

Ramsay Theory

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CHAPTER 1

Monochromatic Systems

THEOREM 1.1 (Ramsay’s theorem). *Whenever $\mathbb{N}^{(2)}$ is two-coloured, there exists an infinite monochromatic set.*

- (i) Called a “two-pass” proof.
- (ii) Same proof that whenever $N^{(2)}$ is k -coloured. Alternatively, view color as 1 and “2 or 3 or ... or k ”. and by theorem one we get an infinite set of colour 1 - then just induct on k .
- (iii) Having an infinite monochromatic set is stronger than asking for an arbitrarily large finite monochromatic set.

EXAMPLE 1.2. *Any sequence x_1, x_2, \dots in \mathbb{R} (or any totally ordered set) has a monotone subsequence.*

PROOF. Color **up** if $x_i < x_j$, **down** if $x_i \geq x_j$, and apply Theorem 1.1. □

What about $\mathbb{N}^{(r)}$, $r = 3, 4, \dots$. If we two-color $\mathbb{N}^{(r)}$, can we get an infinite monochromatic set? For example, consider $n = 3$. Color $N^{(3)}$ by colouring (i, j, k) **red** if i divides $j + k$, **blue** if not.

THEOREM 1.3 (Ramsey’s theorem for r -sets). *Whenever $\mathbb{N}^{(r)}$ is two-coloured, there exists an infinite monochromatic set.*

PROOF. Induction on r . $r = 1$ is trivial by the pigeonhole principle. $r = 2$ is shown by Theorem 1.1.

Now, given a two-colouring of $N^{(r)}$. Choose $a_1 \in \mathbb{N}$. We induce a two-colouring c' of $(\mathbb{N} - \{a_1\})^{(r-1)}$ by $c'(F) = c(F \cup \{a_1\})$ for all $F \in (\mathbb{N} - \{a_1\})^{(r-1)}$. By induction, there exists an infinite monochromatic set $B_1 \subseteq N - \{a_1\}$ for c' .

So all r -sets $F \cup \{a_1\}$, $F \subset B_1$ have the same color (c_1 , say). Choose $a_2 \in B_1$. By the same argument, there exists an infinite set $B_2 \subset B_1 - \{a_2\}$ such that all r -sets $F \cup \{a_2\}$, $F \subset B_2$ have the same colour. Continue inductively. We obtain a sequence of points a_1, a_2, \dots and colors c_1, c_2, \dots such that each r -set a_{i_1}, \dots, a_{i_r} with $i_1 < \dots < i_2$ has color c_{i_1} . But we must have $c_{i_1} = c_{i_2} = c_{i_3} = \dots$ for some infinite subsequence. Then $\{a_{i_1}, a_{i_2}, \dots\}$ is an infinite monochromatic sequence. □

EXAMPLE 1.4. We can show that given any $(1, x_1), (2, x_2), \dots$ we can find a subsequence inducing a monotone function. Consider the three-colouring of $(1, x_1), (2, x_2), (3, x_3), \dots$ by colouring triples of points **convex** or **convex** depending on the colouring of the set.

THEOREM 1.5. Infinite Ramsey (Theorem 1.3) implies the finite version. That is, for all $m, r \in \mathbb{N}$, whenever $[m]^{(r)}$ is two-coloured there exists a monochromatic m -set.

PROOF. Suppose not, so for all $n \geq r$ there exists a two-colouring c_n of $[n]^{(r)}$ without a monochromatic m -set. We'll construct a 2-colouring of $\mathbb{N}^{(r)}$ without a monochromatic m -set, contradicting Theorem 1.3.¹

There are only finitely many ways to two-color $[r]^{(r)}$ (two, in fact). So infinitely many of the c_n agree on $[r+1]^{(r)}$. Say, $c_i|_{[r+1]^{(r)}} = d_{r+1}$. Now,

- (i) the d_i are nested, and
- (ii) no d_n has a monochromatic m -set (as there is some k such that $d_n = c_k|_{[n]^{(r)}}$).

Define a colouring $c : \mathbb{N}^{(r)} \rightarrow [2]$ by $c(F) = d_n(F)$ for any $n \geq \max F$. We obtain our contradiction. \square

REMARK 1.6. (i) Proof gives no bound on what $n = n(m, r)$ we could take. There **are** direct proofs that do give upper bounds.

(ii) Called a “compactness argument”. Essentially, we are proving that the space $[0, 1]^{\mathbb{N}}$ (all infinite 0-1 sequences) with the product topology (e.g. the metric $d(f, g) = \frac{1}{\min(n: f_n \neq g_n)}$) is (sequentially) compact.

What if we coloured $\mathbb{N}^{(2)}$ with ∞ many colours (i.e. we have $c : \mathbb{N}^{(2)} \rightarrow X$ for some set X). Obviously, we cannot find an infinite M on which c is constant - for example, let c be injective.

Can we always find an infinite M such that c is either constant on $M^{(2)}$ or injective on $M^{(2)}$? No - for example, $1 \mapsto \{2, 3, 4, \dots\}, 2 \mapsto \{3, 4, 5, \dots\}, \dots$ as different colours as a counterexample.

THEOREM 1.7 (Canonical Ramsey Theorem). Let $c : \mathbb{N}^{(2)} \rightarrow X$ for some set X . Then there exists an infinite $M \in \mathbb{N}$ such that one of the following holds:

- (i) c is constant on $M^{(2)}$,
- (ii) c is injective on $M^{(2)}$,
- (iii) $c(i, j) = c(k, l) \iff i = k$ with $(i, j, k, l \in M, i < j, k < l)$
- (iv) $c(i, j) = c(k, l) \iff j = l$ with $(i, j, k, l \in M, i < j, k < l)$

REMARK 1.8. This generalizes enormously Theorem 1.1 - if X is finite then (i), (iii), (iv) cannot arise.

¹ If the c_n nested - that is, if $c_n|_{[n-1]^{(r)}} = c_{n-1}$, can take union, but they may **not** be nested

PROOF. We'll apply this for Ramsey's theorem on 4-sets. Two-colour $\mathbb{N}^{(4)}$ by giving (i, j, k, l) colour **same** if $c(i, j) = c(k, l)$, **different** otherwise.

By Ramsey's theorem for 4-sets (Theorem 1.3), there exists an infinite set M_1 that is monochromatic for this colouring.

If M_1 is coloured **same**, for any i, j and k, l in M_1^2 , choose $m, n \in M_1^{(2)}$ within $m > j, l$. Then $c(i, j) = c(m, n)$ and $c(k, l) = c(m, n)$. So $c(i, j) = c(k, l)$ so c is constant on $M_1^{(2)}$.

So now, we may assume M_1 is coloured differently. Now two-colour $M_1^{(4)}$ by giving (i, j, k, l) **same** if $c(i, l) = c(j, k)$, **different** otherwise. By Theorem 1.3, there exists an infinite set $M_2 \subset M_1$ that is monochromatic for this colouring.

If M_2 are coloured the same, choose $i < j < k < l < m < n$ in M_2 . Then $c(j, k) = c(i, n)$ and $c(l, m) = c(i, n)$, whence $c(j, k) = c(l, m)$, which is a contradiction, as $M_2 \subset M_1$. Thus, M_2 is coloured **different**.

Two-colour $M_2^{(4)}$ by giving (i, j, k, l) colour **same** if $c(i, k) = c(j, l)$, **different** otherwise. We have an infinite monochromatic colouring $M_3 \subset M_2$ for this colouring. If M_3 coloured **same**, choose $i < j < k < l < m < n$ in M_3 . Then $c(i, l) = c(j, m)$ and $c(i, l) = c(k, m)$, so $c(j, n) = c(k, m)$, a contradiction. So M_3 is coloured **different**.

Two-colour $M_3^{(3)}$ by giving (i, j, k) colour **same** if $c(i, j) = c(j, k)$, **different** otherwise. We have an infinite monochromatic sequence $M_4 \subset M_3$ for this colouring. If M_4 is coloured same, choose $i < j < k < l$ in M_4 . Then $c(i, j) = c(j, k) = c(k, l)$, a contradiction. So, M_4 is coloured **differently**.

Two-colour $M_4^{(3)}$, by giving (i, j, k) colour **left-same** if $c(i, j) = c(i, k)$, **left-different** otherwise. We have an infinite monochromatic set $M_5 \subset M_4$ for this. Then two-colour $M_5^{(3)}$ by giving (i, j, k) colour **right-same** if $c(j, k) = c(i, k)$, **right-different** if not. We get an infinite monochromatic sequence M_6 for this colouring.

If M_6 is **left-different, right-different**, we have case (iii). If M_6 is **left-same, right-different**, we have case (ii). If M_6 is **left-different, right-same**, we have case (iv). If M_6 is **left-same, right-same**, choosing $i < j < k$ in M_6 , then $c(i, j) = c(i, k) = c(j, k)$, which is a contradiction. \square

REMARK 1.9. (i) Could use just one colouring, according to the pattern of colours on the 2-sets inside a given 4-set.

(ii) For any r , one can show similarly. For **any** colouring c of $\mathbb{N}^{(r)}$, there exists an infinite $M \subset \mathbb{N}$ and $I \subset [r]$ such that for all $i_1 < \dots < i_r$ and $j_1 < \dots < j_r$ in M , $c(i_1, \dots, i_r) = c(j_1, \dots, j_r) \iff i_n = j_n$ for all $n \in I$. These 2^r colourings are the canonical colourings of $\mathbb{N}^{(r)}$.

For example, let $r = 2$. $I = \{1\}$ is case (iii). $I = \{2\}$ is case

CHAPTER 2

Van Der Waerden's Theorem

THEOREM 2.1. *Whenever \mathbb{N} is two-coloured, there exists a monochromatic arithmetic progression of length m , for any $m \in \mathbb{N}$.*

THEOREM 2.2. *Let $k \in \mathbb{N}$. Then there exists $n \in \mathbb{N}$ such that whenever $[n]$ is k -coloured, there exists a monochromatic arithmetic progression of length m .*

One idea in the proof is - we show that $\forall m, k \in \mathbb{N}$, whenever $[n]$ is k -coloured, there exists a monochromatic arithmetic progression of length m .¹

Write $W(m, k)$ for the least such n (if it exists) - a “Van Der Waerden's number”. Let A_1, \dots, A_r be arithmetic progressions of length $m - 1$, say

$$A_i = \{a_i, a_i + d_i, \dots, a_i + (m - 2) \cdot d_i\} \quad (2.1)$$

We say A_1, \dots, A_r are **focused** at f if $a_i + (m - 1)d_i = f$ for all i - for example, $\{1, 4\}$ and $\{5, 6\}$ are focused at 7. If each A_i are monochromatic (for a given colouring), with no two A_i the same colour, say that A_1, \dots, A_r are colour-focused at f .²

PROPOSITION 2.3. *Let $k \in \mathbb{N}$. Then there exists $n \in \mathbb{N}$ such that whenever $[n]$ is k -coloured, there exists a monochromatic arithmetic progression of length 3.³*

LEMMA 2.4. *We claim the following result - for all $r \leq k$, there exists n such that whenever $[n]$ is k -coloured, there exists a monochromatic arithmetic progression of length 3 or there exist r colour-focused arithmetic progressions of length 2.*

PROOF. Proceed by induction on r . This is true for $r = 1$ (setting $n = k + 1$.) We'll show that if n is suitable for $r - 1$ then

$$(k^{2n} + 1) \cdot 2n \quad (2.2)$$

is suitable for r . Indeed, given a k -colouring of $[(k^{2n} + 1)2n]$ with no monochromatic arithmetic progression of length 3.

¹Harder results **could** be easier to prove - if the proof is by induction!

²So if we have a r -colouring, and A_1, \dots, A_r are colour-focused. Then, we get a monochromatic arithmetic progression of length m - by asking, what colour is the focus f .

³This will be subsumed by Van Der Waerden's theorem

Break up $[(k^{2n} + 1)2n]$ into intervals $B_1, \dots, B_{k^{2n}+1}$ of length $2n$ - so $B_i = [2n(i-1) + 1, 2ni]$ for $i = 1, 2, \dots, k^{2n} + 1$. Now, there are k^{2n} ways to k -colour a block. Thus, there exist two blocks coloured identically - say B_s and B_{s+t} .

...

□

Complete this proof

THEOREM 2.5 (Strengthened Van Der Warden). *Let $m \in \mathbb{N}$. Then whenever \mathbb{N} is finitely coloured there exists an arithmetic progression that (together with its common difference) is monochromatic.*

Missed lecture...

PROOF. Induction on k , the number of colours. Given n suitable for $k-1$ (whenever $[n]$ is $k-1$ coloured there exists a monochromatic arithmetic progression with common difference of length n), then $W(n(m-1) + 1, k)$ is suitable for k .

Given k -colouring of $[W(n(m-1) + 1, k)]$, there exists a monochromatic arithmetic progression of length $n(m-1) + 1$ - say $a, a+d, a+2d, \dots, a+n(m-1)d$. If d or $2d$ or \dots is the same color as the arithmetic progression, we are done. Otherwise, $\{d, 2d, \dots, nd\}$ is $k-1$ coloured, so we are done by induction. □

REMARK 2.6. (i) Henceforth, we do not care about bounds.

(ii) The case $k = 2$ is Schur's theorem - whenever \mathbb{N} is finitely coloured, there exist x, y, z monochromatic with $x + y = z$.

CHAPTER 3

The Hales-Jewett Theorem

Let X be a finite set. Subset of X^n is a **line** or **combinatorial line** if there exists $I \subset [n]$, $I \neq \emptyset$, and $a_i \in X$ for each $i \in [n] - I$, such that

$$L = \{x \in X^n \mid x_i = a_i \forall i \notin I, x_j = x_k \text{ for all } j, k \in I\} \quad (3.1)$$

THEOREM 3.1. *Let $m, k \in \mathbb{N}$. Then there exists $n \in \mathbb{N}$ such that whenever $[m]^n$ is k -coloured there exists a monochromatic line.*

REMARK 3.2. (i) *The smallest such n is denoted $HJ(m, k)$.*

(ii) *So m -in-a-row naughts and crosses played in enough dimensions cannot end in a draw.¹*

(iii) *Hales-Jewett implies Van Der Waerden's theorem. Indeed, given a k -colouring on \mathbb{N} , induce a k -colouring of $[m]^n$ by $c'((x_1, x_2, \dots, x_n) = c(x_1 + x_2 + \dots + x_n)$. By Hales-Jewett, there exists a monochromatic line for c' .*

¹Exercise: show that first-player winners.

Bibliography