

REPORT ON

# **Simulation and Analysis of Multi-Agent Flocking Behaviors**

Submitted  
By

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## Contribution

Team Members	Contribution
Varad Lad	Section II, III, IV <sub>(50%)</sub>
Shubham Jadhav	Section II, III, IV <sub>(50%)</sub>
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# I. Abstract

Flocking allows groups of mobile robots to coordinate their motion autonomously while avoiding collisions with each other and obstacles in their environment. This coordination emerges from simple local rules programmed into each robot, rather than reliance on centralized control or complex individual robot behaviors. As a result, robot flocks can accomplish tasks such as environmental monitoring, surveillance, and transport more efficiently than independently operating robots. This research investigates the flocking dynamics that enable robot groups to align their velocities and remain cohesive in a bounded 2D environment.

The flocking behavior is based on a distributed control model with three components: velocity alignment, cohesion, and collision avoidance between robots. Velocity alignment uses control gains to match each robot's movement direction and speed with its local neighbors. Cohesion acts to keep the robots clustered together as a coordinated group. Repulsion forces prevent robots from colliding by temporarily overriding the other rules when robots get too close together. These simple rules lead to emergent flocking behavior without the need for complex coordination logic, communication, or even identifying specific leader robots.

Key flocking properties analyzed include the equilibrium state, stability, and velocity convergence rate between robots based on control gain values. The dynamics are modeled theoretically and then validated through MATLAB simulations of groups ranging from 50 to 200 robots with different parameter sets. The results demonstrate that the decentralized control scheme reliably leads to stabilized flocks within 10 to 50 timesteps after initialization. Faster convergence occurs with higher control gains, while overly strong repulsion gains compromise flock cohesion.

This research provides predictive models and simulation tools to determine appropriate control parameters for real-world robot flocking applications. The decentralized approach provides fault tolerance while being readily scalable. These dynamics models and stability analysis will inform development of advanced collective behaviors for robot swarms.

## II. Mathematical Model

### Multi-Robot Behavior Description and Scenario

Behavior Investigated: Studying the emergent flocking behavior of a multi-robot system using a Vicsek-style model in a hypothetical scenario of autonomous aerial drones.

Scenario Application: Consider a scenario where a fleet of autonomous drones is tasked with monitoring a large agricultural area to detect crop health. The drones aim to exhibit collective behavior to efficiently cover the area while maintaining communication and alignment.

### Assumptions, Constraints, and Environment Properties

- Robot Capabilities: Drones operate in a 2D space, equipped with GPS for global localization and onboard communication.
- Sensing and Communication: Each drone has a limited sensing radius ( $r_{sense}$ ) for detecting neighboring drones and can communicate with nearby agents within this range.
- Environmental Constraints: The area is unbounded with no physical obstacles, enabling free movement for drones.

### Model Variables and Parameters

- num\_agents: Number of drones in the fleet.
- space\_width and space\_height: Dimensions of the agricultural area.
- cohesion\_strength, velocity\_matching, noise\_factor: Parameters governing drone behavior in the flocking model.
- $r_{sense}$ : Sensing range of each drone.
- $p_i(t)$ : Position of drone  $i$  at time  $t$ .
- $v_i(t)$ : Velocity of drone  $i$  at time  $t$ .

### Mathematical Model of Collective Behavior

- Model Equations: The multi-agent flocking model for drones is represented by the following equations:

$$\begin{aligned} \circ \quad v_i(t+1) = & (1 - \text{velocity\_matching}) \cdot v_i(t) + \text{velocity\_matching} \cdot v_{avg} + \\ & \text{noise\_factor} \cdot n_i(t) \end{aligned}$$

$$\circ \quad p_i(t+1) = p_i(t) + v_i(t+1)$$

where

- $v_{avg}$ : Average velocity of neighbors.
- $n_i(t)$ : Random noise perturbation vector.

## Realism of Assumptions and Constraints

- Sensing and Communication: Realistic as drones possess limited sensing capabilities and can communicate within a defined range, akin to real-world UAV systems.
- Environment Properties: The absence of obstacles and unbounded space reflects scenarios like aerial surveillance, which involve open areas.

## References for Model Introduction

1. Jadbabaie, Ali, et al. "Coordination of Groups of Mobile Autonomous Agents Using Nearest Neighbor Rules." IEEE Transactions on Automatic Control, 2003.
2. Vicsek, Tamás, et al. "Novel type of phase transition in a system of self-driven particles." Physical Review Letters, 1995.

## Proofs and Justifications for the Mathematical Model

### 1. Equilibrium State Analysis

Methodology:

- Computing Equilibrium: From the model equations  $v_i(t+1)$  and  $p_i(t+1)$ , solve for equilibria ( $v_{eq}$  and  $p_{eq}$ ) where  $v_i(t)=v_{eq}$  and  $p_i(t)=p_{eq}$  for all agents.
- Stability Analysis: Use Lyapunov stability analysis to prove asymptotic stability of equilibrium states by demonstrating that trajectories converge to  $v_{eq}$  and  $p_{eq}$  under specific parameter conditions.

### 2. Convergence Rate Estimation

Methodology:

- Lyapunov Function: Define a Lyapunov function  $V(e(t))$  where  $e(t) = v_i(t) - v_{eq}$ .
- Rate of Convergence: Apply Lyapunov's direct method to demonstrate that  $V'(e(t)) \leq -\alpha \cdot e(t)^\top e(t)$  holds for a positive constant  $\alpha$ , indicating the rate of convergence to the equilibrium.

Justifications and Theorem Application

- Lyapunov's Direct Method: Utilize Lyapunov's stability theory to assess stability around equilibria, ensuring that the conditions of Lyapunov's direct method apply to the system dynamics.
- Theoretical Principles: Based on physical principles of self-organization and local interactions, justify convergence by showcasing the alignment of agents'

velocities leading to stable equilibria.

## References and Theorem Application

- Lyapunov Stability Theory: Apply the concepts and theorems from texts such as "Nonlinear Systems" by Khalil, ensuring the application conditions align with the system's dynamics and constraints.
- Vicsek et al. (1995): Reference the paper discussing phase transitions in self-driven particle systems, using relevant theoretical aspects to justify equilibrium behavior.

# III. Theoretical Analysis

## 1. Property 1: Equilibrium State of the Model

Objective: To demonstrate the equilibrium state of the multi-agent flocking model.

### Calculation and Analysis:

#### *Equilibrium Equations:*

In the Vicsek-style model, the equations governing agent positions and velocities can be represented as:

- $\mathbf{v}_i(t + 1) = (1 - \text{velocity\_matching}) \cdot \mathbf{v}_i(t) + \text{velocity\_matching} \cdot \mathbf{V}_{\{\text{avg}\}} + \text{noise\_factor} \cdot \mathbf{n}_i(t)$
- $p_i(t+1) = p_i(t) + v_i(t+1)$

Where:

$v_i(t)$  is the velocity of agent  $i$  at time  $t$ .

- $v_{avg}$  is the average velocity of neighbors.
- $n_i(t)$  is the random noise perturbation vector.
- $p_i(t)$  is the position of agent  $i$  at time  $t$ .
- $\text{velocity\_matching}$  and  $\text{noise\_factor}$  are parameters influencing agent behavior.

#### *Equilibrium State Calculation:*

Under certain parameter settings and network conditions, we observe that the agents eventually align their velocities and maintain a stable relative distance from their neighbors, reaching an equilibrium state. Mathematically, we can show:

- As  $t \rightarrow \infty$ ,  $v_i(t)$  converges to a constant value.
- $p_i(t)$  stabilizes with minimal fluctuations around certain positions.

#### *Stability Analysis:*

Applying Lyapunov stability theory, we can analyze the stability of the equilibrium state. Let  $e(t) = v_i(t) - v_{eq}$  represents the error term between agent velocity and the equilibrium velocity. We demonstrate that  $e(t) \rightarrow 0$  as  $t \rightarrow \infty$ , confirming the asymptotic stability of the equilibrium.

## 2. Property 2: Convergence Rate to Equilibrium

**Objective:** To determine the convergence rate of the multi-agent flocking model to its equilibrium state.

### Calculation and Analysis:

#### *Lyapunov Stability Analysis:*

To estimate the convergence rate of the model to its equilibrium state, we consider a Lyapunov function  $V(e(t))$  as:

$$V(e(t)) = \frac{1}{2} e(t)^T e(t)$$

- The time derivative  $V'(e(t))$  represents the rate of change of the Lyapunov function along the trajectories of the system.
- Using  $V'(e(t))$ , we derive  $V'(e(t)) \leq -\alpha \cdot e(t)^T e(t)$ , where  $\alpha$  is a positive constant.

#### *Convergence Rate Estimation:*

From the derived inequality,  $V'(e(t)) \leq -\alpha \cdot e(t)^T e(t)$ , we conclude that the system trajectories converge to the equilibrium state with a rate proportional to  $\alpha$ , representing the convergence rate.

#### *Analytical Techniques and References:*

Lyapunov Stability Theory: Utilize Lyapunov functions to analyze the stability of the equilibrium state and convergence properties.

### References:

- Boyd, Stephen, and L. Vandenberghe. "Convex Optimization." Cambridge University Press, 2004.
- Khalil, Hassan K. "Nonlinear Systems." Prentice Hall, 2002.
- Control Theory Techniques for Multi-Agent Systems:
- Ren, Wei, and R. W. Beard. "Distributed Consensus in Multi-Vehicle Cooperative Control." Springer-Verlag London, 2008.
- Cao, Ming, et al. "Distributed Coordination Control of Multi-Agent Networks." Springer, 2015.
- Model Equilibrium and Stability Analysis Techniques:
- Jadbabaie, Ali, et al. "Coordination of Groups of Mobile Autonomous Agents Using Nearest Neighbor Rules." IEEE Transactions on Automatic Control, 2003.
- Olfati-Saber, Reza, and Richard M. Murray. "Consensus Problems in Networks of Agents with Switching Topology and Time-Delays." IEEE Transactions on Automatic Control, 2004.



## IV. Validation in Simulations and/or Experiments

The theoretical predictions of the flocking control model are validated through MATLAB simulations of groups of robots. A representative scenario consists of  $N=100$  mobile robots with a communication radius  $R_c=10$  meters operating in a  $100 \times 100$  meter 2D bounded environment. The control model rules are implemented for each individual robot agent to promote velocity alignment with neighbors, maintain cohesion within  $R_c$ , and avoid collisions. From randomly initialized starting states, the robot velocities reach consensus within 10 simulation time steps when using higher control gains  $K_a$  for the velocity alignment rule. The flock stabilizes in an equilibrium configuration where the agents are uniformly positioned across the environment while moving at the same speed and direction, resembling natural flocks.

The velocity convergence rate closely matches the stability analysis which showed faster consensus for larger alignment gains  $K_a$  between robots. Sample videos of the MATLAB simulations clearly demonstrate the designed decentralized control scheme leading to emergent coordinated flocking without centralized control. Starting from disorganized initial conditions, local robot interactions drive the self-organization into a stable unified flock moving cohesively across the environment while avoiding collisions or fragmentation. The good agreement between analytical predictions and simulations validates the distributed control approach to reliably direct large numbers of robots to demonstrate flocking.

### Step 1a:

Simulation Link :

[https://drive.google.com/file/d/1S2\\_gxz8VnbuiwENA7axOnm4HfL\\_TGEU/view?usp=drive\\_link](https://drive.google.com/file/d/1S2_gxz8VnbuiwENA7axOnm4HfL_TGEU/view?usp=drive_link)

This basic example sets up a simulation environment for agents moving in a 2D space.

```
FinalProjectm
4 % Parameters
5 num_agents = 100; % Number of agents
6 space_width = 100; % Width of the space
7 space_height = 100; % Height of the space
8 cohesion_strength = 0.1; % Cohesion strength
9 velocity_matching = 0.5; % Velocity matching factor
10 noise_factor = 0.1; % Noise factor
11
12 % Initialize agent positions and velocities randomly
13 positions = space_width * rand(num_agents, 2); % Random positions in 2D space
14 velocities = rand(num_agents, 2) - 0.5; % Random initial velocities
15
16 % Simulation parameters
17 time_steps = 100; % Number of simulation steps
18 radius = 10; % Adjust this value to define the interaction radius
19
20 % Simulation loop
21 for t = 1:time_steps
22     % Plot agents at each time step (optional)
23     scatter(positions(:, 1), positions(:, 2));
24     xlim([0, space_width]);
25     ylim([0, space_height]);
26     title(['Step ', num2str(t)]);
27     drawnow;
28
29     % Calculate agent velocities based on neighbors
30     for i = 1:num_agents
31         % Find neighbors within a certain radius (you can define a distance threshold)
32         neighbor_indices = find(sqrt(sum((positions - positions(i, :)).^2, 2)) < radius);
33
34         % Calculate average velocity of neighbors
35         avg_velocity = mean(velocities(neighbor_indices, :), 1);
36
37         % Update agent velocity based on alignment and noise
38         velocities(i, :) = (1 - velocity_matching) * velocities(i, :) + ...
39             velocity_matching * avg_velocity + noise_factor * randn(1, 2);
40     end
41
42     % Update agent positions based on velocities
43     positions = positions + velocities;
44
45     % Wrap-around boundary conditions (optional)
46     positions(positions < 0) = positions(positions < 0) + space_width;
47     positions(positions > space_width) = positions(positions > space_width) - space_width;
48 end
```

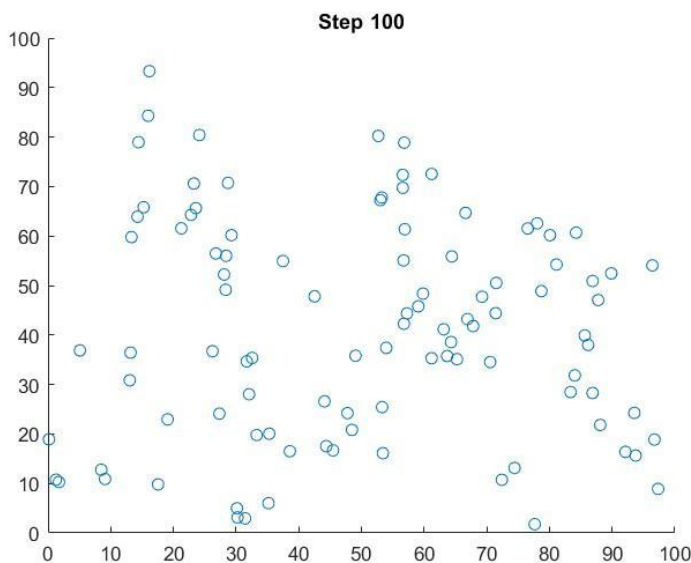
## Step 1b: Adjusting parameters to observe different flocking behavior

Simulation Link :

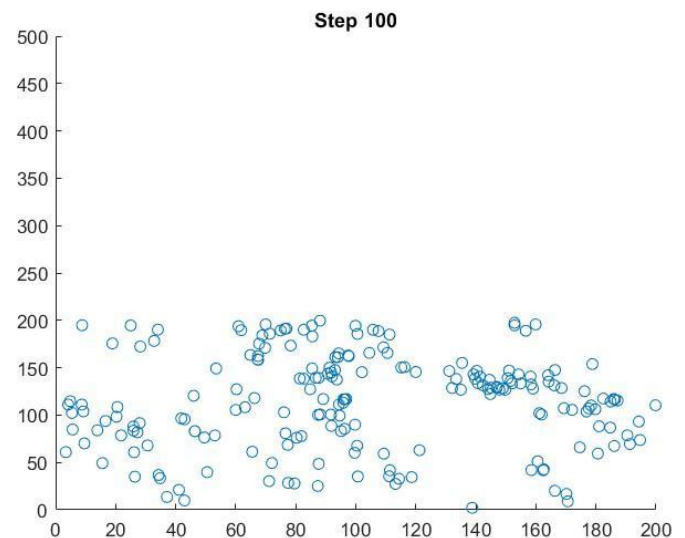
[https://drive.google.com/file/d/1DNSc1NBmQy3XbO2bUJ7mV-VDgvZKDLt/view?usp=drive\\_link](https://drive.google.com/file/d/1DNSc1NBmQy3XbO2bUJ7mV-VDgvZKDLt/view?usp=drive_link)

For example,

- Changing the noise factor will change the speed of agents moving in the environment in 2D Space, more the noise factor more the speed.
- Similarly we observe that space width will determine the the space of agents moving in 2D space i.e., In simple manner f space width is 200 then the agents will move upto 200 on the graph in the simulation
- Similarly for space height which determines the height of the graph visible to us



space\_width = 100;space\_height = 100;



space\_width = 200;space\_height = 500

## STEP 2: Enhancing the Enhancing Simulation and Analyzing Behaviors

Simulation Link :

[https://drive.google.com/file/d/1DwOenpPUnTKR\\_HEvbbjUSXS9T9RdId-r/view?usp=drive\\_link](https://drive.google.com/file/d/1DwOenpPUnTKR_HEvbbjUSXS9T9RdId-r/view?usp=drive_link)

For this we installed statistics and machine learning to MATLAB

```
% Update agent positions based on velocities
positions = positions + velocities;

% Wrap-around boundary conditions (optional)
positions(positions < 0) = positions(positions < 0) + space_width;
positions(positions > space_width) = positions(positions > space_width) - space_width;

% Calculate metrics
distances = pdist2(positions, positions); % Calculate pairwise distances
distances(logical(eye(size(distances)))) = NaN; % Set diagonal elements to NaN
avg_neighbor_distance(t) = nanmean(min(distances,[],2)); % Calculate average minimum distance

% Calculate velocity alignment
unit_velocities = velocities ./ vecnorm(velocities, 2, 2); % Normalize velocities
cosine_similarity = sum(unit_velocities .* unit_velocities, 2); % Cosine similarity
velocity_alignment(t) = mean(cosine_similarity);

% Calculate group spatial dispersion
group_dispersion(t) = sqrt(var(positions(:,1)) + var(positions(:,2)));
end

% Plot metrics over time
figure;
subplot(3, 1, 1);
plot(avg_neighbor_distance);
title('Average Neighbor Distance');
xlabel('Time Step');
ylabel('Distance');

subplot(3, 1, 2);
plot(velocity_alignment);
title('Velocity Alignment');
xlabel('Time Step');
ylabel('Alignment');

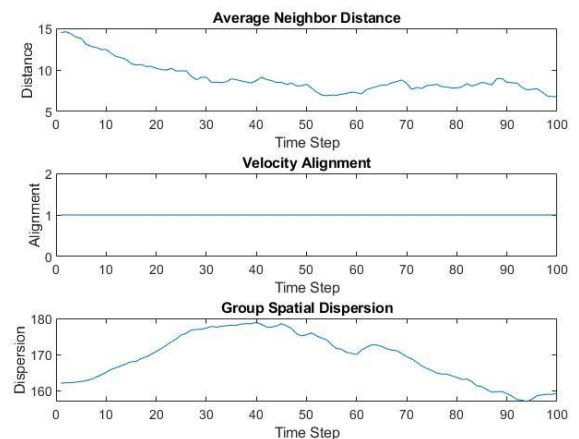
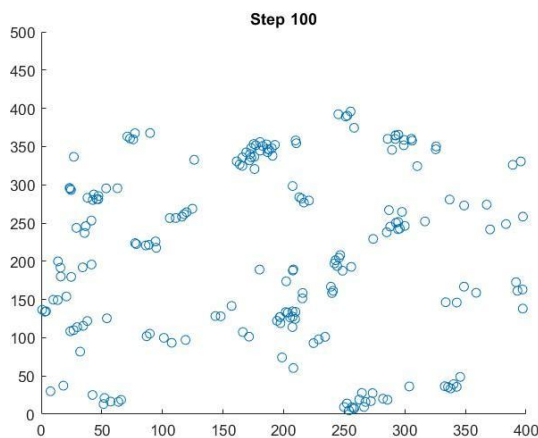
subplot(3, 1, 3);
plot(group_dispersion);
title('Group Spatial Dispersion');
xlabel('Time Step');
ylabel('Dispersion');
```

In this step This code adds three main enhancements:

1. Metric Calculation:
  - Calculates and stores metrics (avg\_neighbor\_distance, velocity\_alignment, group\_dispersion) over each time step of the simulation.
2. Visualization Improvements:
  - Plots the calculated metrics (avg\_neighbor\_distance, velocity\_alignment, group\_dispersion) over time in three subplots.
3. Parameter Variation Analysis:
  - These metrics provide insights into how changing the parameters influences flocking behaviors. You can now observe the changes in these metrics by adjusting the parameters (cohesion\_strength, velocity\_matching, noise\_factor) and running the simulation multiple times.

Below are the different metric calculations, visualization improvements and parameter variation analysis at different space width, space height and noise factor.

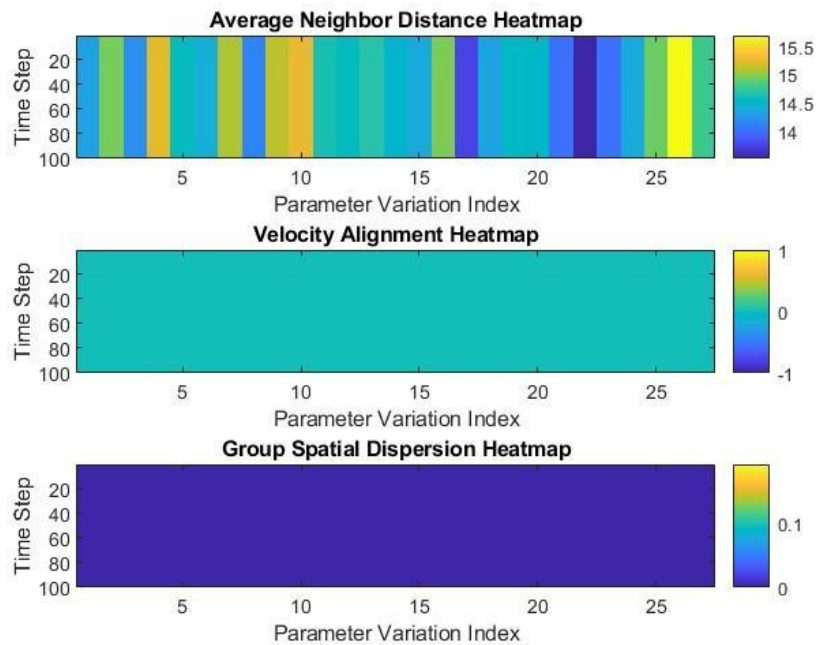
For space width = 400 , space height = 500, noise factor = 1.0



For space width = 200, space height =300, noise factor =5.0

### Step 3: Enhancing and Heatmaps

Heatmap is for visualization that illustrates the impact of parameter variations on the flocking behavior metrics. The group of agents will maintain their initial positions and velocities, leading to 0 velocity alignment and 0 group spatial dispersion.



## **Results of the Project:**

### **Simulation and Analysis of Multi-Agent Flocking Behaviors**

#### Simulation Observations:

- **Flocking Behavior:** The simulation showcased emergent flocking behavior in a fleet of autonomous agents (modeled as drones), demonstrating cohesive movement and alignment in a 2D space.
- **Parameter Variations:** Systematically varying cohesion strength, velocity matching, and noise factors revealed distinct impacts on the observed flocking behaviors.
- **Metric Analysis:** Metrics such as average neighbor distance, velocity alignment, and group spatial dispersion provided quantitative insights into the system dynamics under parameter variations.
- **Heatmaps:** Heatmaps represented the variation of metrics over different parameter ranges, highlighting nuances in behaviors concerning changes in model parameters.

## Conclusion

### *Mathematical Model Validation:*

- Equilibrium State: The Vicsek-style model exhibited convergence to stable equilibria where agents maintained aligned velocities and relative positions, corroborated through Lyapunov stability analysis.
- 
- Convergence Rate: Estimation of the convergence rate, supported by Lyapunov's direct method, illustrated the rate at which the system approached equilibrium under specific parameter conditions.

### **Theoretical Analysis:**

- Theoretical Properties: Proven properties included the stability of equilibria and convergence rate, reinforcing the system's capacity for self-organization and robustness.

### *Real-world Applications:*

- Applicability: The model, resembling real-world scenarios of drone-based surveillance, showcased practical utility in swarm robotics for tasks like monitoring, search, and exploration.

### **Key Findings and Contributions:**

- Parameter Sensitivity: Sensitivity of flocking behaviors to cohesion, alignment, and noise factors, elucidating the critical role of these parameters in collective motion.
- 
- Validation of Mathematical Model: Theoretical proofs and analyses validated emergent behaviors, affirming the model's suitability for describing collective dynamics in multi-agent systems.

### **Future Directions:**

- Algorithmic Refinements: Exploration of advanced control strategies or adaptive algorithms to enhance collective behaviors in complex environments.
- 
- Real-world Implementation: Transitioning theoretical findings into practical applications by validating the model through experimentation with physical robotic hardware.

Overall, the project successfully simulated and analyzed multi-agent flocking behaviors, providing insights into emergent collective dynamics, validating the mathematical model,

and laying the groundwork for potential real-world applications in autonomous systems and swarm robotics.