

# FINITE TRANSLATION SURFACES WITH MAXIMAL NUMBER OF TRANSLATIONS

BY

JAN-CHRISTOPH SCHLAGE-PUCHTA

*Institute for Mathematics, University of Rostock, 18051 Rostock, Germany*  
*e-mail: jan-christoph.schlage-puchta@uni-rostock.de*

AND

GABRIELA WEITZE-SCHMITHÜSEN

*Faculty of Mathematics and Computer Science*  
*Saarland University, 66123 Saarbrücken, Germany*  
*e-mail: weitze@math.uni-sb.de*

## ABSTRACT

The natural automorphism group of a translation surface is its group of translations. For finite translation surfaces of genus  $g \geq 2$  the order of this group is naturally bounded in terms of  $g$  due to a Riemann–Hurwitz formula argument. In analogy with classical Hurwitz surfaces, we call surfaces which achieve the maximal bound Hurwitz translation surfaces. We study for which  $g$  there exist Hurwitz translation surfaces of genus  $g$ .

0. Intro

A finite translation surface  $(X, \mu)$  w/  $\mu \int^*$   $z \mapsto z + c$   
surface coord. changes are translations  
defined on  $X$  up to finitely  
many cone singularities  
max. atlas

naturally show up when studying Teichmüller spaces  $T(X)$

Since it comes from  $(X, \omega)$  by  $\mu = \{(\mathcal{U}, p \mapsto \int_{\mathcal{U}}^p \omega) \mid p \in \mathcal{U} \times X\}$

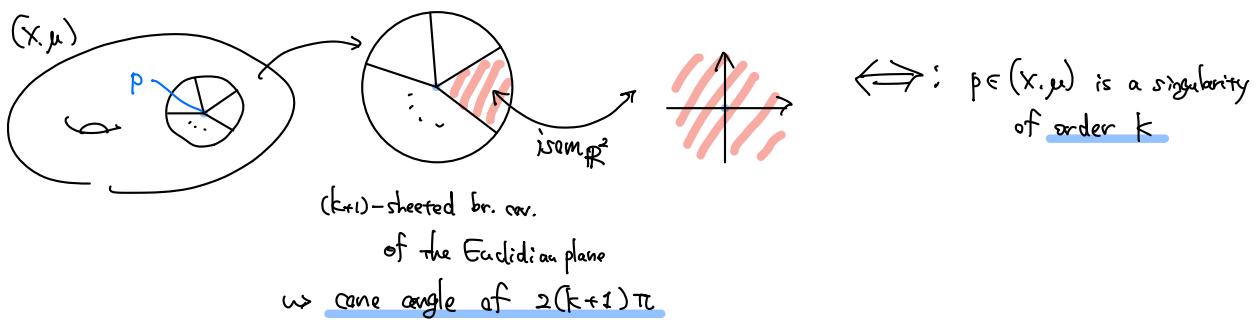
non-zero hol. 1-form

where  $(X, \omega)$  forms pt in cot bundle of  $T(X)$ .

determines Teichmüller disk  $\Delta \subset T(X)$

cf. Earle, Gardiner '87, Veech '88 ...

In general, translation surfaces are distinguished by their singularities.



Def We denote the space of translation surfaces

w/ n singularities of order  $k_1, k_2, \dots, k_n$  by  $H(k_1, k_2, \dots, k_n)$

rem Euler-characteristic calc. states that the genus  $g$  of  $(X, \mu)$

is determined by  $k_1 + k_2 + \dots + k_n = 2g - 2$

Riem. genus ( $X$ )  $\geq 1$ . for trans. surf.  $X$

Q What is the maximal number of automorphism a surface in genus  $g$  can have?

— Hurwitz automorphism theorem (Hurwitz, 1890')

For any Riemann surface in genus  $g$ ,  $|Aut(X)| \leq 84(g-1)$

there has been a vivid study to describe R.S. achieving this upper bound.

→ called a Hurwitz surface. (Hs)

Corder, 1980

Accola, 1968

Maclachlan, 1969

genera in which no Hs occurs

Larsen, 2001 — genera in which Hs are characterized as rare cases.

Schlage-Puchta, Wolfart — good genera there have to be a lot Hs.

• natural automorphism on trans. surf.

$$= \text{translation} \quad z \mapsto z + c.$$

**THEOREM 1** (proven in Section 2): Let  $g \geq 2$ .

- (i) A finite translation surface  $(X, \mu)$  of genus  $g$  has at most  $4g - 4$  translations. It has precisely  $4g - 4$  translations if and only if  $(X, \mu)$  is a normal origami in the stratum  $H(1, \dots, 1)$ .
- (ii) A finite group  $G$  is the automorphism group of a Hurwitz translation surface if and only if it can be generated by two elements  $a$  and  $b$  such that their commutator  $[a, b]$  has order 2.

We then study in which genus there exist Hurwitz translation surfaces and obtain the answer to this question in Theorem 2.

**THEOREM 2** (proven in Section 3): There exists a Hurwitz translation surface of genus  $g$  if and only if  $g$  is odd or  $g - 1$  is divisible by 3.

## 1. Basics.

**Definition 1:** An **origami**  $O$  is equivalently given by one of the three following objects:

- (1) A translation surface  $(X, \mu)$  obtained by taking finitely many copies of the Euclidean unit squares and gluing their edges via translations, up to equivalence. Two such surfaces  $(X_1, \mu_1)$  and  $(X_2, \mu_2)$  are equivalent, if there exists a homeomorphism  $f : X_1 \rightarrow X_2$  which is a translation with respect to the charts in  $\mu_1$  and  $\mu_2$ .
- (2) A finite degree cover  $p : X \rightarrow E$  of the torus  $E$  which is ramified at most over one point, up to equivalence. Two such covers  $p_1 : X_1 \rightarrow E_1$  and  $p_2 : X_2 \rightarrow E_2$  are equivalent, if there exists a homeomorphism  $h : X_1 \rightarrow X_2$  with  $p_2 \circ h = p_1$ .
- (3) A pair of permutations  $(\sigma_a, \sigma_b)$  in the symmetric group  $S_d$  with some  $d \in \mathbb{N}$  up to equivalence by simultaneous conjugation.

The equivalence of these definitions is, e.g., described in [Sch06, Section 1].

A special class of origami: normal origami

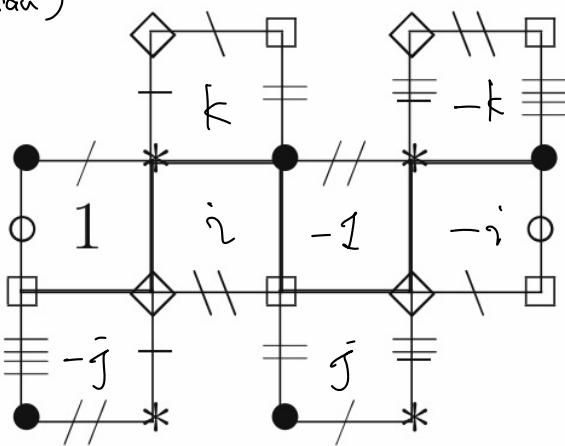
$$\begin{aligned} \Leftrightarrow & \text{ in above Def, } (p : X \rightarrow E) : \text{normal cover } (a) \\ & (q : X \xrightarrow{\text{II (b)}} X/G) : \text{quot. by } G = \text{Trans}(X) = \text{Aut}(X, \mu) \\ & S_d > \langle \sigma_a, \sigma_b \rangle \underset{(c)}{\cong} \pi_1(X^*) \underset{(d)}{\triangleleft} \pi_1(E^*), \quad (a) \sim (d) : \text{equiv.} \end{aligned}$$

## Exm Quaternionic origami (Eierlegende Wollmilchsau)

$X$ : obtained by gluing  $\rightarrow$

$$G = \langle i, j, k \mid i^2 = j^2 = k^2 = ijk = -1 \rangle \dots \text{the quaternion grp}$$

acting on  $X$  by  $\begin{cases} i: \text{translation by } \begin{array}{c} \square \\ \square \end{array} \\ j: \text{translation by } \begin{array}{c} \square \\ \square \end{array} \end{cases}$



$$\text{horiz. monodromy: } o_a = (1 \ i \ -1 \ -i)(j \ -k \ -j \ -k)$$

$$\text{vert. monodromy: } o_b = (1 \ j \ -1 \ -j)(i \ k \ -i \ -k)$$

$$G \cong \langle o_a, o_b \rangle \triangleleft S_8, \quad p: X \rightarrow E \cong X/G, \quad \text{Normal origami.}$$

## 2. Hurwitz trans. surfaces

In this section we show that a translation surface of genus  $g$  can have at most  $4g - 4$  automorphisms. We call a translation surface a **Hurwitz translation surface**, if it achieves this upper bound. We prove the criterion in Theorem 1 for translation surfaces to be Hurwitz translation surface and give first examples for Hurwitz translation surfaces.

LEMMA 4: Let  $X$  be a finite translation surface of genus  $g \geq 2$ ;  $X$  has at most  $c(g) = 4g - 4$  translations. If  $X$  has  $4g - 4$  translations, then it lies in the stratum  $H(1, \dots, 1)$  and is an origami.

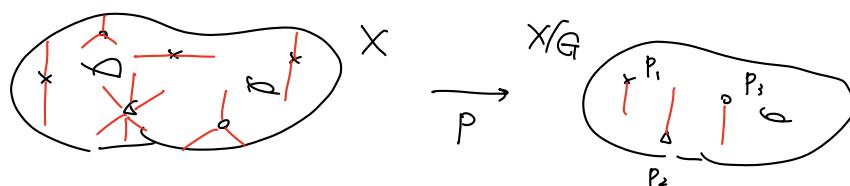
pf) We may consider a <sup>normal</sup> translation cover  $p: X \rightarrow X/G$  where  $G = \text{Trans}(X, \mu)$

Now both  $X$  &  $X/G$  are finite translation surface  $\Rightarrow g(X/G) \geq 1$ .

Let  $P_1, \dots, P_t \in X/G$  be branched pts of  $p$ .

As  $p: \text{normal cov.}$ ,  $^V p_{\text{pts}}$  in  $p^{-1}(P_i)$  have the same multiplicity  $m_i$  for  $^{V_i}$ .

(=  $\text{ord } g$  where  $g \sim \text{the branched locus}$ )



$$\text{We have } d = |p^{-1}(P_i)| \times m_i \quad \text{and} \quad |p^{-1}(P_i)| \leq \frac{d}{2}. \quad (m_i \geq 2)$$

By Riemann-Hurwitz formula, it holds that

$$\begin{aligned}
 2g(x) - 2 &= d(2g(X/G) - 2) + \sum_{i=1}^k |\tilde{p}^*(P_i)| (m_i - 1) \\
 &= d(2g(X/G) - 2) + dk - \sum_{i=1}^k |\tilde{p}^*(P_i)| \\
 &\geq d(2g(X/G) - 2) + dk - \frac{dk}{2} \\
 &= d(2g(X/G) - 2 + \frac{k}{2})
 \end{aligned}$$

Since  $\underline{g(X/G)} \geq 1$ , we have  $2g(X/G) - 2 + \frac{k}{2} = 0 \Leftrightarrow g(X/G) = 1 \& k = 0$ .  
 $\Rightarrow g(x) = 1$ : contradiction

So we have  $\underline{2g(X/G) - 2 + \frac{k}{2} \geq \frac{1}{2}}$ ,  
 $2g(x) - 2 \geq d(\underline{\quad}) \geq d \cdot \underline{\frac{1}{2}}$   
hence  $d \leq 4g(x) - 4$   $\cancel{\neq}$ .

Equality holds  $\Leftrightarrow$  equalities of  $\underline{\quad}$  &  $\underline{\quad}$  hold  
 $\Leftrightarrow |\tilde{p}^*(P_i)| = \frac{d}{2} \forall i \& \underline{g(X/G) = 1}, k=1$   
 $\Leftrightarrow$  normal origami in  $H(1, \dots, 1)$   $\otimes$

Def 5 We say that  $(x, \mu)$  is a Hurwitz translation surface (Hts)  
iff  $|\text{Trans}(x, \mu)| = 4g-4$ .

Example 7: The following origamis are Hurwitz translation surfaces:

- (1) The Eierlegende Wollmilchsau from Example 2 is a Hurwitz translation surface. (quaternionic origami)
- (2) The Escalator with 8 squares (see Figure 4) defined by the permutations

$$\sigma_a = (1, 2)(3, 4)(5, 6)(7, 8), \quad \sigma_b = (2, 3)(4, 5)(6, 7)(8, 1).$$

The automorphism group is the dihedral group

$$D_4 = \langle \tau_1, \tau_2; \tau_1^2, \tau_2^2, (\tau_1\tau_2)^4 \rangle$$

of 8 elements. Going to the right corresponds to multiplication by  $\tau_1$ , going up corresponds to multiplication by  $\tau_2$ . The origami has four singularities of total angle  $4\pi$  and is thus of genus 3.

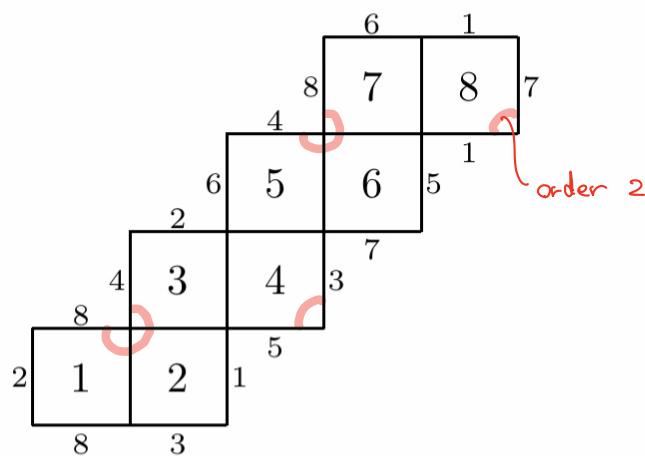


Figure 4. The Escalator: a normal origami of genus 3 with 8 translations.

(3) The origami given by the following two permutations (see Figure 5):

$$\sigma_a = (1, 5, 7)(2, 4, 8)(11, 12, 10)(3, 6, 9),$$

$$\sigma_b = (1, 4)(2, 6)(3, 5)(7, 11)(8, 10)(9, 12).$$

The origami is of genus 4. Its automorphism group is the alternating group  $A_4$ . The squares correspond to the elements in  $A_4$  as follows:

$$1 \leftrightarrow \text{id}, 2 \leftrightarrow (1, 4, 3), 3 \leftrightarrow (1, 3, 4), 4 \leftrightarrow (1, 2)(3, 4),$$

$$5 \leftrightarrow (1, 2, 3), 6 \leftrightarrow (1, 2, 4), 7 \leftrightarrow (1, 3, 2), 8 \leftrightarrow (2, 4, 3),$$

$$9 \leftrightarrow (1, 4)(2, 3), 10 \leftrightarrow (1, 4, 2), 11 \leftrightarrow (2, 3, 4), 12 \leftrightarrow (1, 3)(2, 4).$$

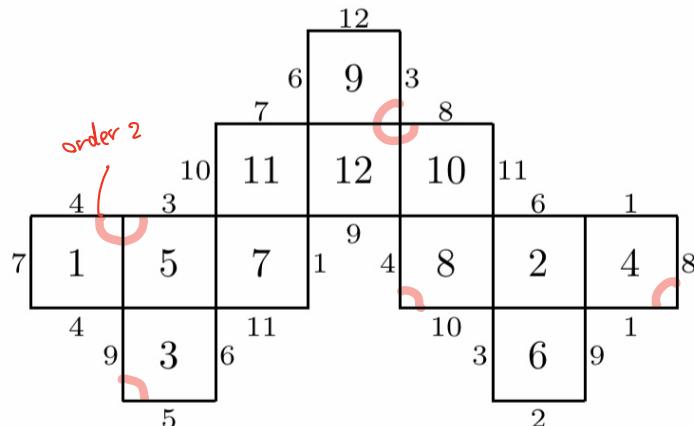


Figure 5. A normal origami in genus 4 whose deck group is the alternating group  $A_4$ .

**LEMMA 8:** Let  $G$  be a finite group of order  $d$  which is generated by two elements  $a$  and  $b$ . Suppose that the commutator  $[a, b]$  has order 2;  $G$  acts on itself by multiplication from the right. Identify the elements of  $G$  with the numbers  $1, \dots, d$ . Then each element  $g$  of  $G$  defines a permutation  $\sigma_g$  in  $S_d$ . Let  $O$  be

the origami defined by the pair of permutations  $(\sigma_a, \sigma_b)$ . Then  $O$  is a Hurwitz translation surface and we obtain any Hurwitz translation surface in this way.

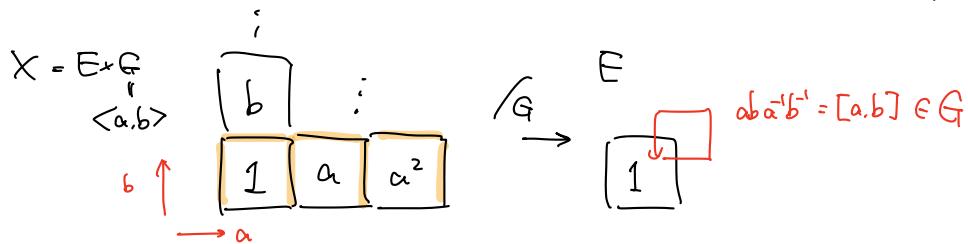
pf)  $G = \langle a, b \rangle$  acts on  $G$  itself by right multiplication.

As  $G$  is finite, doubly-generated & the action is transitive,

it defines an origami whose cells labelled in  $G$ .

$\hookrightarrow$  We may construct an origami equivalent  $\Leftrightarrow X \rightarrow X/G$  : normal cover.  
in the following way :

$$\mathcal{O}_G : X = E \times G / \sim \rightarrow E ; (p, g) \mapsto p \begin{cases} ((0), g \cdot a) \sim ((4), g) \\ ((x), g \cdot b) \sim ((2), g) \end{cases}$$



Here we have multiplication indices arr. every vertices

by (The orders of translation by  $[a, b]$ ) = 2.

$$\Rightarrow \mathcal{O}_G \in H(1, 1, \dots, 1).$$

Converse is clear.  $\checkmark$

Reut? There is no Hts of genus 2.

( $\because$  there is no grp  $\langle a, b \rangle$  of order  $4 \cdot 2 - 4 = 4$  w/ ord  $[a, b] = 2$ )

### 3. Translation Hurwitz numbers

Exm 7 shows: Hts in genus 3, 4

Rem 9 shows: no Hts in genus 2

Lem 8 gives an answer to: Which group occurs as  $\text{Trans}(G)$  of  ${}^3\text{Hts}$ ?

Q. In what genus does Hts exist?

Def 10 A finite group  $G$  is called translation Hurwitz (tH)

$$\Leftrightarrow G = \langle a, b \rangle \text{ w/ } [a, b] = 2.$$

An integer  $n$  is called translation Hurwitz (tH)

$$\Leftrightarrow {}^3G : \text{tH group s.t. } |G| = n \quad (\Leftrightarrow g(O_G) = \frac{n}{4} + 1)$$

Euler char. calc.  
↓

We'll observe that:

$\Rightarrow n$  shall be divisible by 4!  
(cf. Lem 14)

Prop 11 An integer  $n$  is tH  $\Leftrightarrow n$  is divisible by 8 or 12.

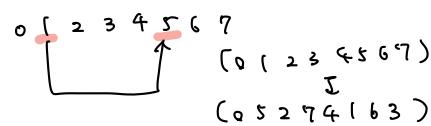
As a direct consequence, it follows that:

Thm 2 There exists Hts of genus  $g \Leftrightarrow g-1$  is divisible by 2 or 3.

Our directions of Prop 11 follows from the following exms.

$\alpha=4 \quad C_4$

Prop 12 (1)  $\forall \alpha \geq 3 \quad 2^\alpha$  is tH.  
 ("=" of prop 11) (2)  $\forall b \geq 1 \quad 4 \cdot 3^b$  is tH.  
 (3)  $n$  is tH &  $(n, m) = 1 \Rightarrow nm$  is tH.



pf) (1) consider  $G = C_{2^{a-1}} \times_q C_2$  where  $\varphi: C_2 \rightarrow \text{Aut}(C_{2^{a-1}})$   
 $1 \mapsto (1 \mapsto 1+2^{a-2}) = \begin{pmatrix} 2^{a-1} & \mapsto 2^{a-2} + 2^{a-1} \\ 2^a & \mapsto 2^a \end{pmatrix}$   
 $(\xi, \epsilon_1) \cdot (\xi_2, \epsilon_2) = (\xi, \varphi_{\epsilon_1}(\xi_2), \epsilon_1 \epsilon_2)$  (\* abelian)

We choose a pair of generators  $x = (1, 0) \quad y = (0, 1) \quad (\rightarrow \text{Normal origami})$

$$\begin{aligned} [x, y] &= (1, 0)(0, 1)(-1, 0)(0, 1) \\ &= ((1+2^{a-1}, 0), 0+1)(-1, 0)(0, 1) \\ &= ((1+2^{a-1}, 1+0), 0, 1) \\ &= (1+2^{a-2}-1, 1)(0, 1) = (2^{a-2}, 0) : \text{order 2} \Rightarrow G \text{ is tH.} \end{aligned}$$

(2) Use the alternating grp  $A_4 = \langle x_i, y_i \rangle \text{ w/ } x_i = (1 2 3) \quad y_i = (1 2)(3 4)$

As  $[x_i, y_i]$  has order 2 &  $|A_4| = 4 \cdot 3^1$ , claim holds for  $b=1$ .

For the higher  $b$ ,  $G = A_4 \times C_{3^{b-1}} = \langle x_b, y_b \rangle \text{ w/ } x_b = (x_1, 0) \quad y_b = (y_1, 1)$

give a suitable Hts. →

(3) Let  $G = \langle x, y \rangle : \text{cfH w/ } |G| = n, [x, y] : \text{order 2}$

Consider  $G_m = G \times C_m \quad \& \quad x_m = (x, 0), y_m = (y, 1)$

Since  $(x, n) = 1, \exists u, v \in \mathbb{Z} \text{ s.t. } un + vn = 1$ ,

$$\begin{aligned} \text{we have: } y_m^{un} &= ((y^u)^n, 1-vn) \quad x_m^{vn} = (1, v) \\ &= (1, 1) \end{aligned}$$

$$\Rightarrow G_m = \langle x_m, y_m \rangle \quad \& \quad [x_m, y_m] : \text{order 2}$$

$$\Rightarrow nm : \text{cfH} \rightarrow \square$$

For the converse,

② Thompson's classification ('68) of minimal finite simple groups

### 3. Statement of main theorem and corollaries.

**MAIN THEOREM.** *Each nonsolvable N-group is isomorphic to a group  $\mathfrak{G}$  such that  $I(\mathfrak{G}) \subseteq \mathfrak{G} \subseteq \text{Aut}(\mathfrak{G})$ , where  $\mathfrak{G}$  is one of the following N-groups:*

- (a)  $L_2(q), q > 3$ .
- (b)  $Sz(q), q = 2^{2n+1}, n \geq 1$ .
- (c)  $L_3(3)$ .
- (d)  $M_{11}$ .
- (e)  $A_7$ .
- (f)  $U_8(3)$ .

**COROLLARY 1.** *Every minimal simple group is isomorphic to one of the following minimal simple groups:*

- (a)  $L_2(2^p), p \text{ any prime.}$
- (b)  $L_2(3^p), p \text{ any odd prime.}$
- (c)  $L_2(p), p \text{ any prime exceeding 3 such that } p^2 + 1 \equiv 0 \pmod{5}$ .
- (d)  $Sz(2^p), p \text{ any odd prime.}$
- (e)  $L_3(3)$ .

**COROLLARY 2.** *A finite group is solvable if and only if every pair of its elements generates a solvable group.*

Def  $G$  is a simple group

$\Leftrightarrow$  non-trivial grp w/ no nontrivial normal subgrp.

Def  $G$  is a solvable group

$\Leftrightarrow$  seq.  $1 \triangleleft G_1 \triangleleft \dots \triangleleft G_k = G$

s.t.  $\forall G_j/G_{j-1}$ : commutative  
(abelian)

Def  $G$  is a minimal simple grp

$\Leftrightarrow$  non-abelian simple grp. &  
proper subgroup is solvable

**LEMMA 13 (Hall):** *Let  $G$  be a finite solvable group,  $\pi$  a set of prime divisors of  $|G|$ . Write  $|G| = nm$ , where all prime divisors of  $n$  are in  $\pi$ , and all prime divisors of  $m$  are not in  $\pi$ . Then there exists a subgroup  $U$  of  $G$  with  $|U| = n$ , and all subgroups of this form are conjugate.*

We call a subgroup of this form a  $\pi$ -Hall group. If  $\pi$  consists of a single prime  $p$ , we write  $p$  in place of  $\{p\}$ , and for a set  $\pi$  we denote by  $\pi'$  the complement of  $\pi$ . In particular,  $2'$  denotes the set of all odd primes.

LEMMA 15: Let  $\pi$  be a set of primes,  $G$  a solvable group,  $U$  a  $\pi$ -Hall group. Let  $n$  be the number of conjugates of  $U$ . Then  $n$  divides the  $\pi'$ -part of  $|G|$ , and there exist non-negative integers  $a_p$ ,  $p \in \pi$ , such that

$$1 + \sum_{p \in \pi} a_p p = n.$$

COROLLARY 16: Suppose that  $|G|$  is divisible by 4, but not by 8 or 12. Then  $G$  has a normal subgroup of index 4.

pf of prop 11) Suppose  $G : \text{Hall grp.}$

$$g = \frac{|G|}{4} + 1$$

Consider the genus of the normal origami:  $\rightarrow |G|$  is divisible by 4.

By the fact that every group of order 4 is commutative & Cor 16, we see that:  $|G|$  is not divisible by 8, 12

$$\Rightarrow G \triangleright \overset{\exists}{U} : \text{index 4.} \quad \& \quad U \geq \text{comm}(G).$$

Then  $[G : \text{comm}(G)]$  is divisible by 4

$$\Rightarrow |\text{comm}(G)| : \text{odd}$$

$\nexists (x, y) \in G$  st.  $[x, y]$  : even order.

$\Rightarrow$  Note  $\square$

### Summary

THEOREM 1 (proven in Section 2): Let  $g \geq 2$ .

- (i) A finite translation surface  $(X, \mu)$  of genus  $g$  has at most  $4g - 4$  translations. It has precisely  $4g - 4$  translations if and only if  $(X, \mu)$  is a normal origami in the stratum  $H(1, \dots, 1)$ .
- (ii) A finite group  $G$  is the automorphism group of a Hurwitz translation surface if and only if it can be generated by two elements  $a$  and  $b$  such that their commutator  $[a, b]$  has order 2.

We then study in which genus there exist Hurwitz translation surfaces and obtain the answer to this question in Theorem 2.

THEOREM 2 (proven in Section 3): There exists a Hurwitz translation surface of genus  $g$  if and only if  $g$  is odd or  $g - 1$  is divisible by 3.