

# VEECH GROUPS OF LOCH NESS MONSTERS

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**ABSTRACT.** — We classify Veech groups of tame non-compact flat surfaces. In particular we prove that all countable subgroups of  $GL_+(2, \mathbb{R})$  avoiding the set of mappings of norm less than 1 appear as Veech groups of tame non-compact flat surfaces which are Loch Ness monsters. Conversely, a Veech group of any tame flat surface is either countable, or one of three specific types.

## 1. Zafra

- preopt flat surf : Veech group  $\Gamma \subset SL_2 \mathbb{R}$   $\left\{ \begin{array}{l} \text{Jacobians derivatives of } Aff^+(S) \\ \text{discrete grp} \quad H \hookrightarrow \Gamma(S) \rightarrow \mu(S) \\ \text{acting on} \quad \Delta \rightarrow C \\ \text{which gives} \quad C \cong H/R^+ \Gamma R \\ \text{only for preopt cases.} \quad (R: \text{reflection}) \end{array} \right.$   
non-preopt  $S$   $\Gamma(S) \subset GL_+^+(\mathbb{R})$

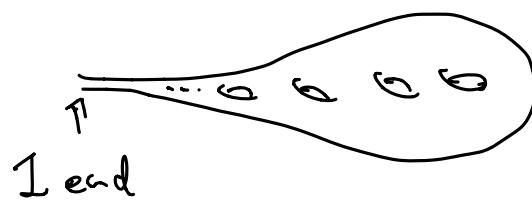
• goal describe all possible Veechgrps

for tame non-opt flat surfaces

coming from the study of billiard dynamics

of irrational-angle table.

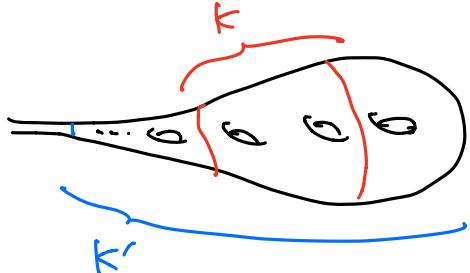
cf. [1982, Veech]



$\Leftrightarrow$  the top. surf.  $S$  of infinite genus

s.t.  $K \subset S$  opt  $K \subset K' \subset S$  : opt  
 $S \setminus K'$  : connected

↳ "1-endedness"



complement of sufficiently large opt set  
is connected.

$P := \left\{ \begin{pmatrix} r & t \\ 0 & s \end{pmatrix} \mid r \in \mathbb{R}, s \in \mathbb{R}_{>0} \right\} \subset GL_2^+ \mathbb{R}$  : all affine deform. fixing  $x = \begin{pmatrix} 1 \\ 0 \end{pmatrix}$ .

$P' := \langle P, \{\pm I\} \rangle \subset GL_2^+ \mathbb{R}$

$\mathcal{U} := \{ M \in GL_2^+ \mathbb{R} : \text{Shrinking i.e. } \|Mu\|_{\mathbb{R}^2} < \|v\|_{\mathbb{R}^2} \text{ for } v \in \mathbb{R}^2 \}$   
(as linear maps)

## Main Results.

THEOREM 1.1. — Let  $G \subset \mathbf{GL}_+(2, \mathbb{R})$  be the Veech group of a tame flat surface. Then one of the following holds.

- (i)  $G$  is countable and disjoint from  $\mathcal{U}$ .
  - (ii)  $G$  is conjugate to  $P$ .
  - (iii)  $G$  is conjugate to  $P'$ .
  - (iv)  $G = \mathbf{GL}_+(2, \mathbb{R})$ .
- } uncountable

Conversely, we prove the following.

THEOREM 1.2. — Any subgroup  $G$  of  $\mathbf{GL}_+(2, \mathbb{R})$  satisfying assertion (i), (ii) or (iii) of Theorem 1.1 can be realized as a Veech group of a tame flat surface  $X$  which is a Loch Ness monster.

Rem  $SL_2^+ \mathbb{R}$  is disjoint from  $\mathcal{U}$ .

the problem describing all possible Veechgrps for pregt flat surfr.  
is still open.

## 2. preliminaries

$(S, \omega)$  : pair of  $\left\{ S : \text{conn. Riem. surf.} \atop \omega : \text{holo. 1-form on } S \right\}$ : flat surf. (also called translation surf.)  
 $Z(\omega) = \text{Zero}(\omega) \subset S$ .

- $(S, \omega) \hookrightarrow G\text{-structure on } S$ , where  $G = \text{Trans } \mathbb{R}^2$ .  $\rightarrow (*)$

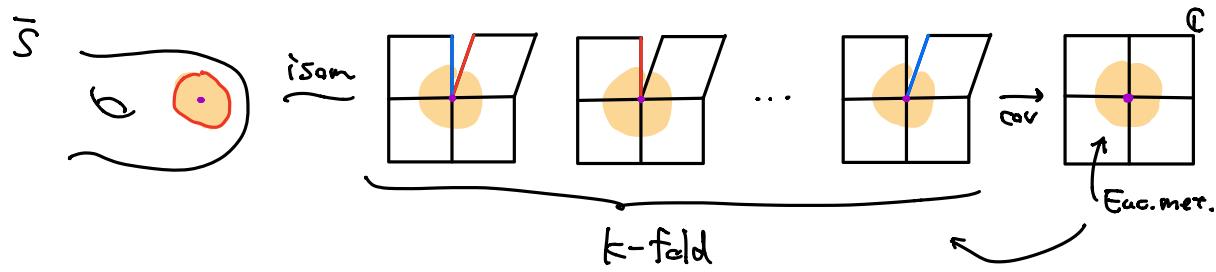
$\text{Aff}^+(S) = \text{Aff}^+(S, \omega) := \{ f : (S, \omega) \rightarrow (S, \omega) : \text{ori. pres. } G\text{-map} \}$  (i.e. bc-affine)  
 $\Gamma(S) = \Gamma(S, \omega) := \{ D(f) \mid f \in \text{Aff}^+(S, \omega) \}$  : Veech group  
pres. marked pts?  
(anyway crit. pts. are setwise-pres.)  
where  $D$  : Jacobian derivative

- for  $(S, \omega)$ ,  $\bar{S}$  : met. completion of  $S$   
(different from closure  $\bar{S}^{\text{top}}$ ) w.r.t. Euclidean met. coming from  $(*)$ .

$(S, \omega)$  is pre cpt  $\Leftrightarrow \bar{S} : \text{cpt.}$

- $p \in \bar{S} \setminus S$  is called a singularity.

$\hookrightarrow$  is called  $\begin{cases} \text{cone sing. of angle } 2k\pi \\ \text{infinite angle} \end{cases} \Leftrightarrow \begin{cases} \text{isom.} \\ \text{loc. isom.} \end{cases} \begin{cases} \text{(EIN)} \\ \text{univ. cov. of nbd of } \partial \in \mathbb{C} \end{cases}$



$$\Sigma_{\text{fin}} = \Sigma_{\text{fin}}(S) := \{p \in \bar{S} \setminus S : \text{c.s. of fin. angle}\}$$

$$\Sigma_{\text{inf}} = \Sigma_{\text{inf}}(S) := \{p \in \bar{S} \setminus S : \text{c.s. of inf. angle}\}$$

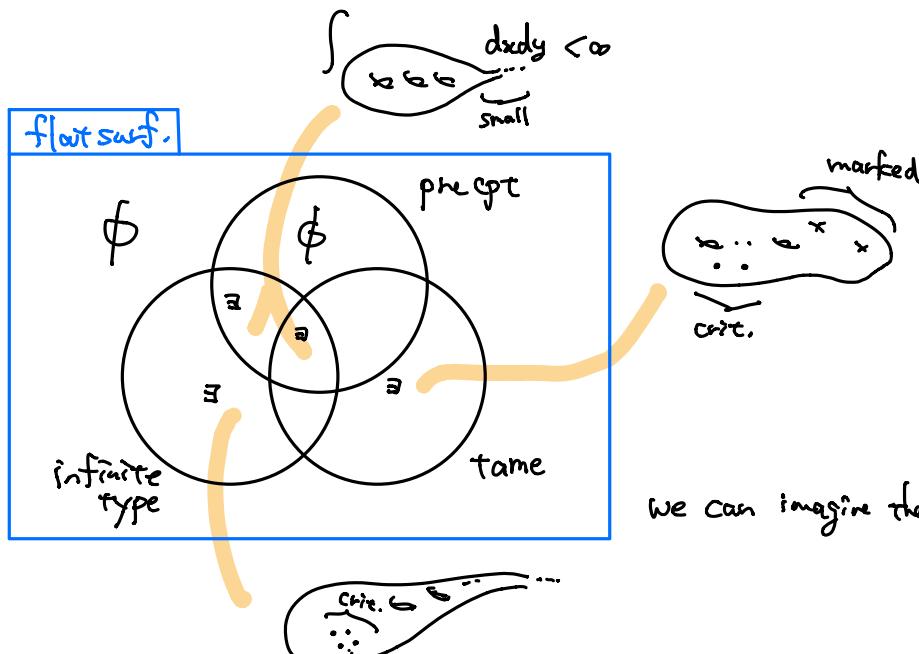
$$\Sigma = \Sigma(S) := \Sigma_{\text{fin}} \cup \Sigma_{\text{inf}} \quad \Sigma_\theta := \{p \in \Sigma_{\text{fin}} \mid p \text{ is } \theta\text{-angle singularity.}\}$$

$$\hat{S} := S \cup \Sigma_{\text{fin}} = \bar{S} \cup \Sigma_{\text{inf}}.$$

"path  $\gamma \subset \bar{S}$  : Saddle conn. of  $(S, \omega)$   $\Leftrightarrow \gamma$ ; geod. joining pts in  $\Sigma(S)$ .

$(S, \omega)$  is a tame flat surface  $\Leftrightarrow \bar{S} \setminus S = \Sigma$

Rem.



We can imagine the rest cases easily.

• being  $(\text{TransR}^+)$ -nfd implies that  $Df = \text{const } f \in \text{Aff}^+(S)$ ,  $\hookrightarrow P(S) \subset GL_2^+(\mathbb{R})$

$S : \text{pre cpt} \Rightarrow f \in \text{Aff}^+(S)$  pars.  $\text{Area}(S) \hookrightarrow P(S) \subset SL_2^+(\mathbb{R})$

### 3. uncountable Vect grps.

Let  $(S, \omega)$ : tame flat surface.

Prop 3.1  $P(S, \omega)$  is uncountable  $\Rightarrow P$  is  $\begin{cases} \text{conjugated to } P' \\ \text{equal to } GL_2^+ \mathbb{R}, \end{cases}$  or

Lem 3.2  $\{S\text{d.l. conn. on } S\} = \emptyset$  and  $P(S)$  is uncountable  
 $\Rightarrow P(S) = P'$  or  
 $P(S) = GL_2^+ \mathbb{R}$  and  $S = \mathbb{C}$

pf) first, assume  $\Sigma(S) = \emptyset$ .

$\Rightarrow$  the univ. cov  $\tilde{S} = \mathbb{C}$  and  $S = \begin{cases} (\text{i}) \mathbb{C} \\ (\text{ii}) \mathbb{C}/\langle r \rangle: \text{cylinder} \\ (\text{iii}) \mathbb{C}/\langle 1 \rangle: \text{cpt torus} \end{cases}$   
 (Euler char. on  $\mathbb{C}$  is lifted to  $\tilde{S}$  as to be complete.)

$P(S)$  is uncountable  $\Rightarrow S$  is non compact, (iii) is impossible.

If (ii),  $S$  is conjugated to  $S_0 = \mathbb{C}/\langle z \mapsto z+1 \rangle$

Now we have  $P(S_0) = P'$   $\square$

It remains to show:

Lem 3.3  $S$ : Tame flat surf. carrying saddle conns. &  $P(S)$  is uncountable

$\Rightarrow P(S)$  is conjugated to  $P$  or  $P'$ .

Step 1.  $\forall$  saddle conn. are parallel.  $\downarrow$   $\text{parallel in } \tilde{S} \text{ at } \tilde{\gamma}_1, \tilde{\gamma}_2, \dots$  (or possibly open?)

$\Leftrightarrow \{[\gamma]_{\text{homot.}} \mid \gamma \subset \tilde{S} : \text{arc joining } \tilde{\gamma}_1, \tilde{\gamma}_2\} : \text{countable?}$

$\Rightarrow SC := \{\text{saddle conns.}\}$  and  $V := \text{hol}(SC) : \text{countable}$

If  $\tilde{\gamma}_1, \tilde{\gamma}_2 \in SC$  are non parallel.  $v_j := \text{hol}(\tilde{\gamma}_j)$  ( $j=1, 2$ )

$\forall g \in P(S)$ . Let  $\eta(g) := (g(v_1), g(v_2)) \in V \times V$ .

since  $\eta : P(S) \hookrightarrow \mathbb{R}^2$  is an embedding.

however the image is both uncountable and contained in  $V \times V$ : countable  
 $(P(S) \text{ is } \dots)$   $\text{Contradiction!}$

We can assume  $\forall \gamma \in SC$  : horizontal (up to conjugation)

Let  $\text{Spin}(S) := \bigcup \{p \in r \mid \gamma \subset \tilde{S} : \text{singular horizontal geod.}\} \subset \tilde{S}$   
 (including saddle conn.)

We claim: Spine( $S$ ) is conn. & complete w.r.t. intrinsic metric. ( $d(x,y) = \inf_{\tilde{x}, \tilde{y}} d(x, \tilde{y})$ )

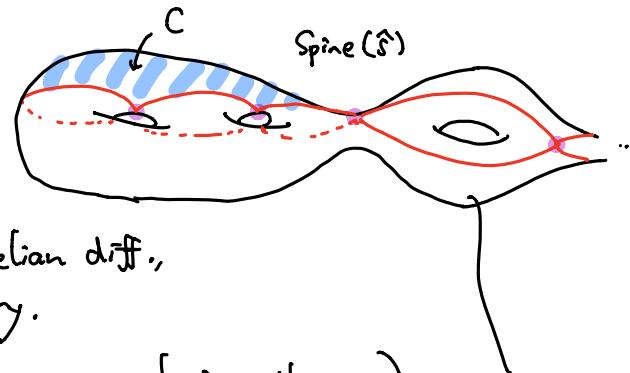
follows from  $\hat{S}$ : complete  
follows from  $\hat{S}$

fact  $\forall p_1, p_2 \in \Sigma \exists c \in S^c$  joining  $p_1, p_2$   
 $\vdash$  horizontal!

**Step 2.**  $P \subset \Gamma(S)$

for each component of  $\hat{S} \setminus \text{Spine}(S)$ ,

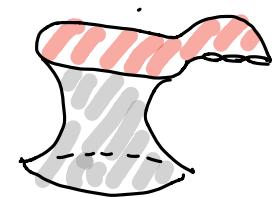
take the closure and denote by  $C$ .



$C$ : complete R.S., non-vanishing Abelian diff.,  
w/ horizontal bdry.

$\partial C$  is connected. (otherwise there'd be a non-horiz. sd.l. conn.)

$\rightarrow C$  is either { half-plane w/ horizontal bdry.  
half-cylinder ? }



$\Rightarrow \forall g \in P, \exists \varphi_g^C \in \text{Aff}^+(C)$  w/  $D\varphi_g^C = g$ .

Since each  $\varphi_g$  stabilizes  $\text{Spine}(S)$ ,  
 $\Rightarrow \varphi_g \in \text{Aff}^+(S)$  w/  $D\varphi_g = g$  obtained by gluing  $(\varphi_g^C)_C$ ,

**Step 3.**  $P(S) \subset P'$ .

claim  $\forall g \in P(S) \quad g(\vec{e}) = \pm \vec{e}$ . where  $\vec{e} = \begin{pmatrix} 1 \\ 0 \end{pmatrix}$ .

since  $\bar{g} \in \text{Aff}^+(S)$  should pres. "horizontal".

$$\exists \lambda \in \mathbb{R} \quad \bar{g}(\vec{e}) = \lambda \vec{e}.$$

assume  $|\lambda| \neq 1$ . we consider the action of  $\bar{g}$  on  $\text{Spine}(S) = \Sigma \cup$  (horiz. sing. geods.)  
; it is contraction.  $\xrightarrow{\text{contraction}}$   $= \mathbb{R}$ . (Now)

By Banach fixed pt thm, the starates of singularity under  $\bar{g}$  (i.e.)

accumulates to fixed pt of  $\bar{g}$ .

since  $\bar{g}$  pres  $\Sigma$ , it implies that

$\Sigma$  has accumulation pt.  $\hookrightarrow$  (Tame ness)

$\hookrightarrow$  possibly  $\vec{e} \mapsto \pm \vec{e}$ .

• Loch Ness monster w/ Veech grp  $P, P'$

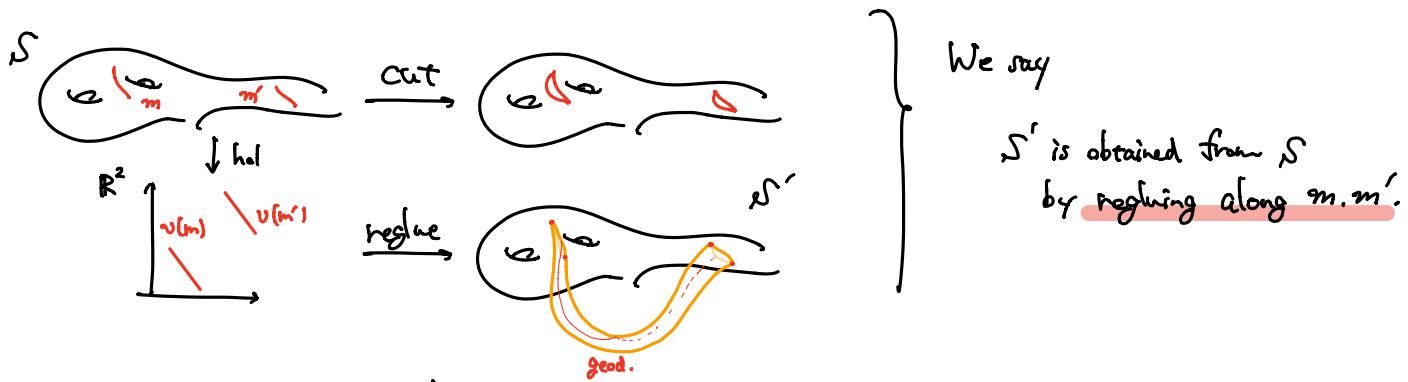
Def 3,4  $S$ : tame flat surf.

a mark on  $S$  is an oriented, finite-length geodesic on  $S$   
which doesn't meet any sing.

rem: if  $S$  is simply-conn, {mark on  $S$ }  $\hookrightarrow S \times S$

the vector of a mark is its holonomy vector (in  $\mathbb{R}^2$ )

for  $m, m'$ : disjoint marks on  $S$ , w/ same vector,



Let  $S_0 := S \setminus (m \cup m')$

then there is a natur. embedding  $S_0 \hookrightarrow S'$ .

If  $A \subset S_0$ , we say  $i(A)$  is inherited by  $S'$  from  $A$ .

Rem 3.5 when  $(S, (m, m')) \xrightarrow{\text{reg}} S'$ ,

$$\begin{aligned} \forall m \neq m', \quad & \sum_{2m\pi}(S') = \sum_{2m\pi}(S) \\ & \sum_{4\pi}(S') = \sum_{4\pi}(S) + 2. \quad (\partial m, \partial m' \text{ consist of nonsing. pts.}) \end{aligned}$$

Def 3.6  $\mathcal{M} = (m_n)_{n \in \mathbb{N}}$   $\mathcal{M}' = (m'_n)_{n \in \mathbb{N}}$  : families of marks on  $S$  (tame fl. surf.) which are pairwise disjoint and  $v(m_n) = v(m'_n) \not\approx_n$ . do not accumulate on  $S$ .

Let  $S_0 = S$ ,  $(S_{n-1}, (m_n, m'_n)) \xrightarrow{\text{reg}} S_n$ , and  $S'$  be the limit of  $S_n$  w/ Abel. diff.

$\rightsquigarrow \exists S'$  since  $M, M'$ : not accumulate but in general  $S'$ : not tame.

We define regular  $(S, (M, M'))$  in the same way as  $(S, (m, m'))$ .

Convention An oriented flat plane is Euclid. plane w/ a choice of a fixed direction, the vertical direction.

Lemma 3.7 There exists a Loch Ness monster  $S'$  s.t.  $P(S) = P'$ .

3.8 pf) let  $A, A'$ : ori. fl. plane ( $\sim \mathbb{R}^2$ )

A  $\sqcup$   $l_n : (4n+1, 4n+3) - \text{segment } (n \in \mathbb{N}).$   $Z$   $A'$

$\frac{1 \ 3 \ 4 \ 7 \ 8 \ 11 \dots}{l_1 \ l_2 \ l_3} \rightarrow \frac{1 \ 3 \ 4 \ 7 \ 8 \ 11 \dots}{l'_1 \ l'_2 \ l'_3} \rightarrow$

$\rightsquigarrow$  let  $\hat{A} \xleftarrow{\text{reg.}} (A \cup A', (c, c'))$

$\hat{P} \cong A, A'$  w/  $l_n, l'_n$ : fixed.  $\rightsquigarrow \hat{A}$ .

thus we see  $P \subset P(\hat{A})$ .  $\rightsquigarrow P(\hat{A})$ : middle.

We say

$S'$  is obtained from  $S$  by regluing along  $m, m'$ .

by Lemma 3.3.  $P(\hat{A}) = P, P', \text{ or } GL_2^+R$ .

however any translations of  $-Id$  cannot preserve  $\Sigma$ .  $\rightarrow P(\hat{A}) = P$ ,  
it can be easily seen that  $-Id : \hat{A} \not\cong$  and  $P(\hat{A}) \neq GL_2^+R \rightarrow P(\hat{A}) = P'$ ,

one can show that  $\hat{A}$  has just 1 end. See Lemma 4.3 for details.  $\square$

#### 4. Countable Veech groups

The main part of this section is devoted to the proof of Theorem 1.2 in the case where the group  $G \subset GL_+(2, \mathbb{R})$  is countable. In other words, we prove the following.

**PROPOSITION 4.1.** — For any countable subgroup  $G$  of  $GL_+(2, \mathbb{R})$  disjoint from  $\mathcal{U} = \{g \in GL_+(2, \mathbb{R}) : \|g\| < 1\}$  there exists a tame flat surface  $S = S(G)$ , which is a Loch Ness monster, with Veech group  $G$ .

for the pf we need this,  
but in fact this can be assumed  
for countable Veech groups!

maybe  $S$  in the construction?  
 $D : Aff_+(S) \rightarrow G$  : isomorphic. (see pf of Lemma 4.13.)

In fact the group  $Aff_+(S)$  will map isomorphically onto  $G$  under the differential map. This means that the group  $G$  will act on  $S$  via affine homeomorphisms with appropriate differentials. Here we adopt the convention that an action of a group  $G$  on a set  $X$  is a mapping  $(g, x) \rightarrow g \cdot x$  satisfying  $(gh) \cdot x = g \cdot (h \cdot x)$  and  $Id \cdot x = x$ .  $\times G = P(S)$

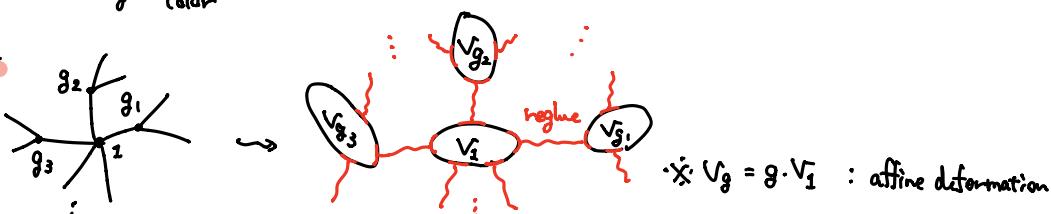
We begin with an outline of the proof of Proposition 4.1. We make use of the fact that any group  $G$  acts on its Cayley graph  $\Gamma$ . We turn  $\Gamma$  equivariantly into a flat surface. With each vertex  $g$  of  $\Gamma$  we associate a flat surface  $V_g$  which can be cut into a flat plane  $A_g$  and a decorated surface  $\tilde{L}'_g$ , whose role is explained later.

#### Cayley Graph of a grp. $G$

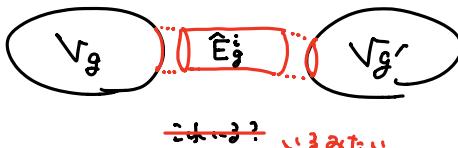
is:  $P = P(G, \{a_i\}_{i \in I}) = (V, E, C)$   
defined by  $\left\{ \begin{array}{l} \cdot V = G \\ \cdot C = \{c_i\}_{i \in I} \\ \cdot E = \{(g, ga_i : c_i) \mid g \in G, i \in I\} \end{array} \right.$   
graph coloring  
edge color

$G \curvearrowright \Gamma$  by  $g, h \in G \vdash V(g)$ ,  
 $(h \cdot g)_{ev} = hg_{ev}$   
 $h \cdot (g, ga_i) := (hg, hg a_i)$

main idea



- To obtain tameness, we use "buffer surface" in regluing so that new singularities do not accumulate.



- We keep the one-endedness in the following way:

- Let each  $V_g, \hat{E}_g^i$ : one-end.
- we glue them so that their ends merge into one end.

- We use "decorated surface"  $\tilde{L}_g$  to prevent  $P(\cdot)$  from being richer than  $G$ .  
To activate this,  $\tilde{L}_g$  is decorated w/ special singr.

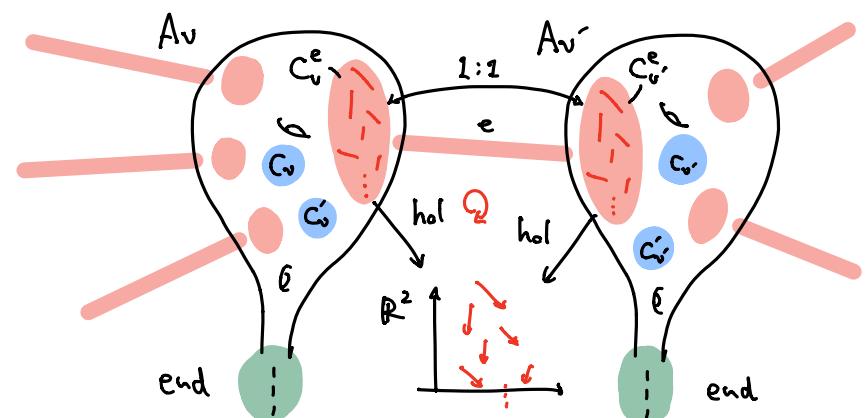
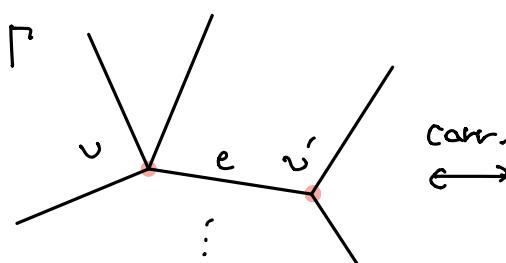
First, we begin by explaining how to obtain a nice action  $GL_2^+ R \xrightarrow{\sim} \coprod_{g \in G} V_g$ .

Def 4.2  $S_{Id}$ : tame flat surf.

for each  $g \in GL_2^+ R$ , let  $S_g$ : affine copy, whose atlas is deformed w/  $g$  (post composing, affine action).  
Now we have canonical affine homeo.  $\bar{g}: S_{Id} \rightarrow S_g$  which is top. id.  
Moreover  $GL_2^+ R \xrightarrow{\sim} \coprod_{g \in GL_2^+ R} S_g$  s.t. each  $g \in GL_2^+ R$  acts as  $S_g \xrightarrow{\text{can.}} S_g g$  w/ diff.  $g$ .

## ② 1-endedness

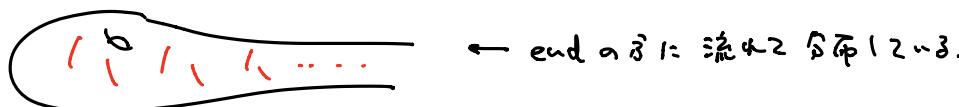
- Let  $\Gamma$ : conn. graph.  $A := \bigcup_{v \in \Gamma^{(0)}} A_v$ : union along  $\Gamma$ .  
where  $A_v$ : 1-ended tame fl. surf. w/  $\Sigma_{\text{inf}}(A_v) = \emptyset$ .
- assume that each  $A_v$  is equipped w/  $\begin{cases} C_v^e : \text{inf. family of marks (e: edge issuing from } v\text{.)} \\ C_v' \text{ possibly finite families of marks.} \\ C_v \text{ w/ same cardinality.} \end{cases}$  disjoint, no accumulation  
 $\Rightarrow \Gamma^{(0)}$ : countable (we assume?)
- Moreover, assume that for  $e = (v, v') \in \Gamma^{(1)}$ ,  $C_v^e = \{m_n\}$ ,  $C_{v'}^e = \{m'_n\}$ , we have  $\text{hol}(m_n) = \text{hol}(m'_n)$



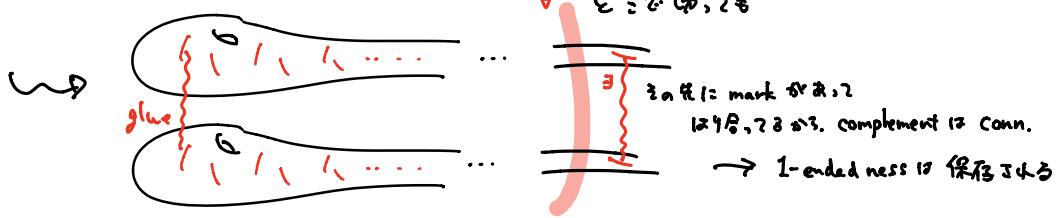
LEMMA 4.3. — Let  $S$  be the surface obtained from  $A$  by regluing along  $C_v^e$  and  $C_{v'}^e$ , for all edges  $e = (v, v')$  in  $\Gamma^{(1)}$ , and along  $C_v$  and  $C_{v'}$ , for all vertices  $v$  in  $\Gamma^{(0)}$ . Then  $S$  is 1-ended. If  $\Gamma$  has an edge or if it has only one vertex  $v$  but with infinite  $C_v$  (or if  $A_v$  has infinite genus), then  $S$  has infinite genus.

Note "inf. family of marks w/ no accumulation" to 1-ended surf.  $\Sigma$ .  $\Sigma_2, \Sigma_3$ ,  $\Sigma_2$  marks is  $\{x\}$

$\Sigma_3$



end of  $\partial\Sigma$ :  $\Sigma_2 \cup \Sigma_3$  if  $\partial\Sigma = \Sigma_2 \cup \Sigma_3$ .



pf)  $\forall v \in \Gamma^{(0)}$ , choose  $0_v \in A_v$ : base pt.

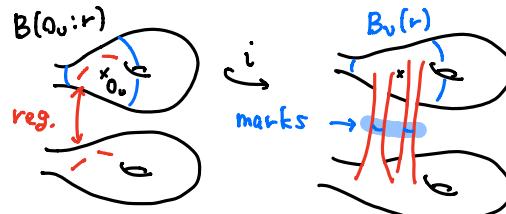
$B_v(r)$ : closure of  
[  $i(B(0_v; r))$  in  $\Sigma$  w/  $\forall$  marks removed. ]

$$(B(0_v; r)) = \{x \in A_v \mid d(x, 0_v) < r\}$$

We take a seq. of all vertices  $(v_j)_{j=1}^{\infty}$   $B(0_v; r)$

$$\text{For } l \geq 1, K_l := \bigcup_{j=1}^l B_{v_j}(l)$$

Claim  $\forall l \geq 1, S \setminus K_l$  : connected



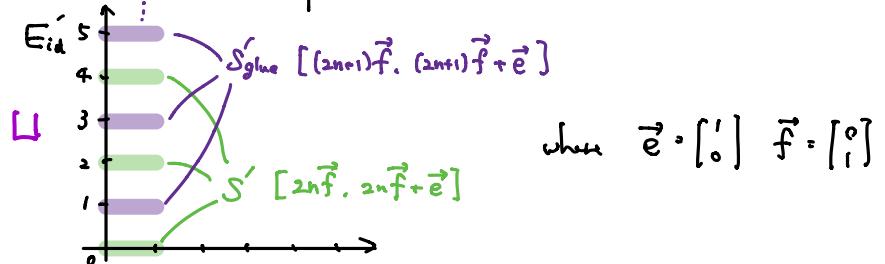
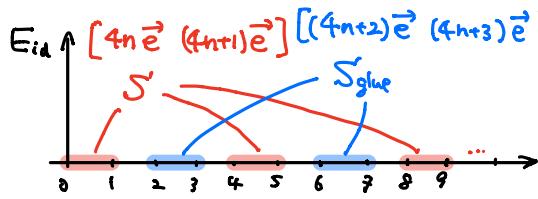
Since  $\{A_v\}$ : complete non-positively curved, 1-ended,  
balls and marks we consider are convex, and  
marks are disjoint

$\Rightarrow A'_v := A_v \setminus (B_{v_j}(l) \cup C_{v_j}^e \cup C_{v_j} \cup C_{v_j}')$  is connected for  $\forall j \geq 1$ .

since  $\cup A'_j$  is dense in  $\Sigma$ ,  $S \setminus K_l$  is conn. for  $\forall l \geq 1$ . for sufficiently large  $l \geq 1$ .  $\alpha \neq 2 - \alpha$ ?

$\hookrightarrow S$  : 1-ended.

Construction 4.4  $E_{id}, E'_{id}$  : 2 oriented flat planes  $\sim \mathbb{R}^2$  (equipped w/ origins)



$\rightarrow$  let  $\hat{E}_{id}$  be the tame flat surf. obtained from  $(E_{id} \cup E'_{id}, (S_{\text{glue}}, S'_{\text{glue}}))$ .

w/ marks  $S, S'$ .

We call  $\hat{E}_{id}$  the buffer surface.

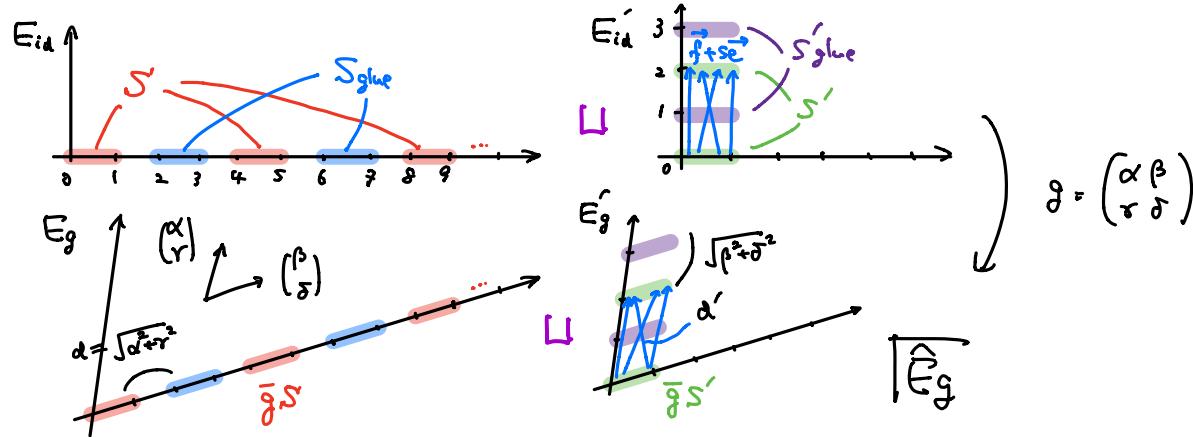
we will make correspondence  $\hat{E}_{id} \leftrightarrow e \in \Gamma^{(0)}$ .

Lemma 4.5  $\forall g \in GL_2^+ \mathbb{R} \setminus U$  ( $U = \{||g'|| < 1\}$ )

the distance  $\hat{d}$  : in  $\hat{E}_g$ , between  $\bar{g}S$  and  $\bar{g}S'$  is at least  $1/\sqrt{2}$ .

*Proof.* — Denote by  $\hat{d}$  the distance in  $\widehat{E}_g$  between  $\bar{g}\mathcal{S}$  and  $\bar{g}\mathcal{S}'$ . Let  $d$  be the distance in  $E_g$  between  $\bar{g}\mathcal{S}$  and  $\bar{g}\mathcal{S}_{\text{glue}}$  and let  $d'$  be the distance in  $E'_g$  between  $\bar{g}\mathcal{S}'_{\text{glue}}$  and  $\bar{g}\mathcal{S}'$ . Then we have  $\hat{d} \geq d + d'$ . Moreover,  $d = |g(\vec{e})|$  and

$$d' = \min_{|s| \leq 1} |g(\vec{f} + s\vec{e})|.$$



Let  $s \in [-1, 1]$  be such that the minimum is attained, that is  $d' = |g(\vec{f} + s\vec{e})|$ .

If  $d + d' < \frac{1}{\sqrt{2}}$ , then

$$|g(\vec{f})| \leq |g(\vec{f} + s\vec{e})| + |s||g(\vec{e})| < \frac{1}{\sqrt{2}}.$$

Hence for any  $v = x\vec{e} + y\vec{f} \in \mathbb{R}^2$  we have

$$|g(v)| \leq |x||g(\vec{e})| + |y||g(\vec{f})| < \frac{1}{\sqrt{2}}(|x| + |y|) \leq \sqrt{x^2 + y^2} = |v|.$$

Thus  $\|g\| < 1$ . Contradiction.  $\square$

Q decoration

To obtain the rigidity of  $P(S)$ .

Construction 4.6 Let  $L_{\text{id}}$  : ori. flat plate w/ an origin. 0.

$\tilde{L}_{\text{id}}$  : 3-fold cyclic branched cov. br. over 0.

denote the projection by  $\pi : \tilde{L}_{\text{id}} \rightarrow L_{\text{id}}$ .

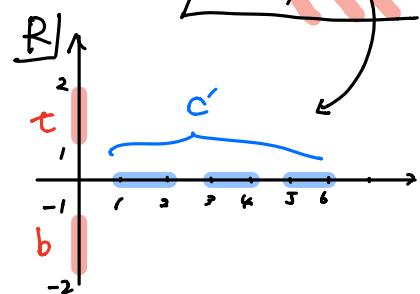
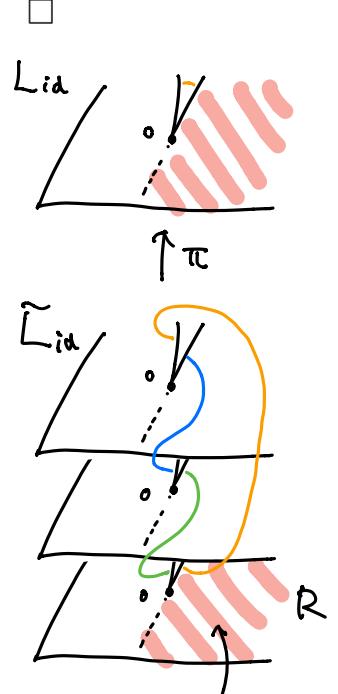
the closure of one component of  $\pi^{-1}(\mathbb{R}_{>0}^2)$  by R.

Let  $\tilde{L}'_{\text{id}}$  the same flat surf. obtained from  $(\tilde{L}_{\text{id}}, (t, b))$

→ We call  $\tilde{L}'_{\text{id}}$  the decorated surface.

(equipped w/ marks  $C'$ , w/ vector  $\vec{e}'$ .)

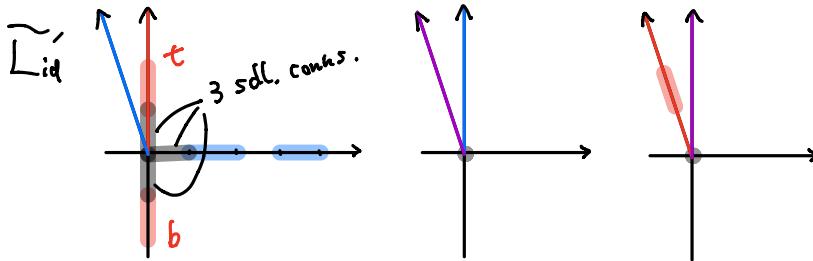
Note that  $0 \in \tilde{L}'_{\text{id}}$  is a  $6\pi$ -angle sing.



Rem 4.8 Let  $S$  be a tame flat surf. w/ no-acumulating family  $C$  of marks w/ vector  $\vec{e}$ .

Let  $S'$  be obtained from  $(S \sqcup \tilde{L}'_{\text{id}}, (C, C'))$

$\hookrightarrow$  there are only 3 saddle connections issuing from 0.



interior pts of these saddle connns. are contained in  $R \setminus (\text{tub} \cup C)$ .

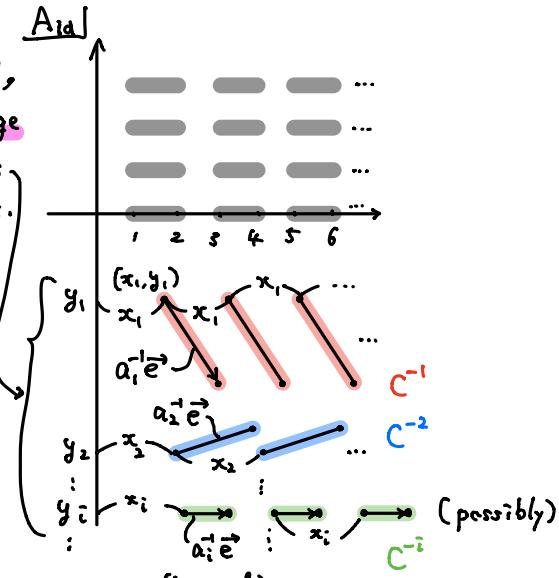
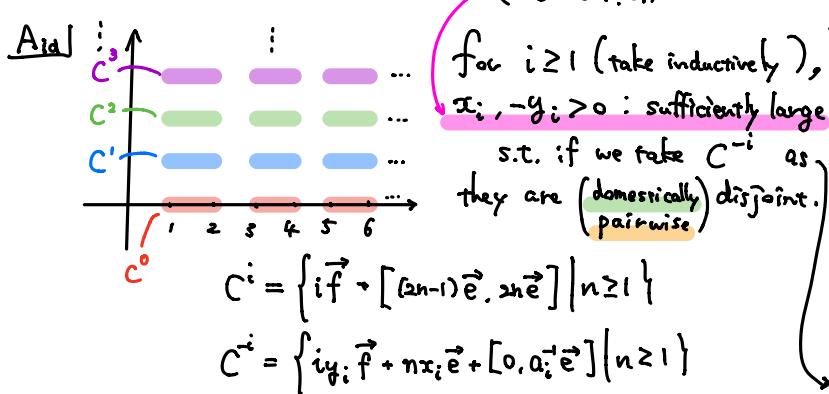
and their holonomy vectors are  $\vec{f}, \vec{e}$ , and  $\vec{f}'$ .

hence angles between them are  $\frac{\pi}{2}, \frac{\pi}{2}$ , and  $5\pi$ .

Construction 4.9 Let  $G = \langle a_i | i \in N \rangle < GL_2^+ \mathbb{R} \setminus U$ . (if  $G = \{\text{id}\}$ , let  $a_i = \text{id}$ .)  
(possibly finite, and we can count even all elements in  $G$ .)

1. Let  $A_{\text{id}}$ : an oriented flat plane w/ origin 0.

$A := \bigsqcup_{g \in G} A_g$ . (We use AC.)



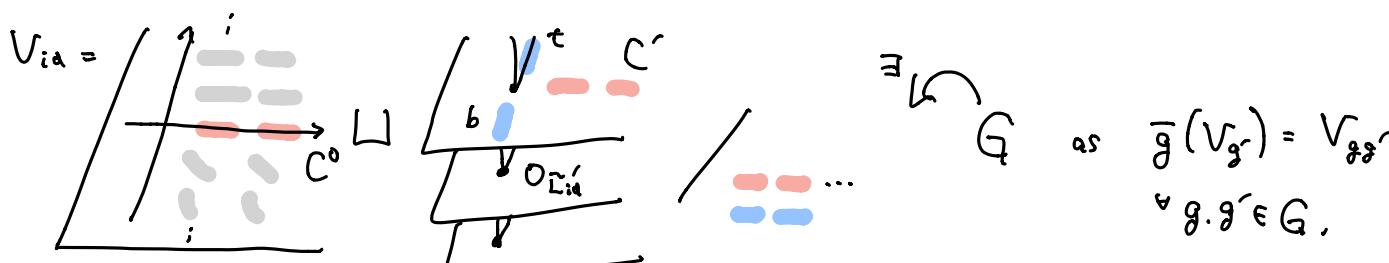
2. Let  $\tilde{L}'_{\text{id}}$ : the decorated surface

$\tilde{L}' := \bigsqcup_{g \in G} \tilde{L}'_g$ .

$V_g$ : the tame flat surf. obtained from  $(A_g \sqcup \tilde{L}'_g, (\bar{g}c^0, \bar{g}c'))$ .

$\hookleftarrow$  it is allowed since all of these marks have common hol. vect.  $\bar{g}\vec{e}$ .

$V := \bigsqcup_{g \in G} V_g$ .



We keep the notation  $C^i$ ,  $i \in \mathbb{Z}^*$  for the familiar of marks inherited from ones of  $\mathcal{A}_g$ .

3. for each  $i \in \mathbb{N}$  take  $\widehat{E}_g^i$  as a copy of the buffer surface  $\widehat{E}_{id}^i$ .

we denote the copies of  $S, S'$  in  $\widehat{E}_g^i$  by  $S^i, S'^i$ .

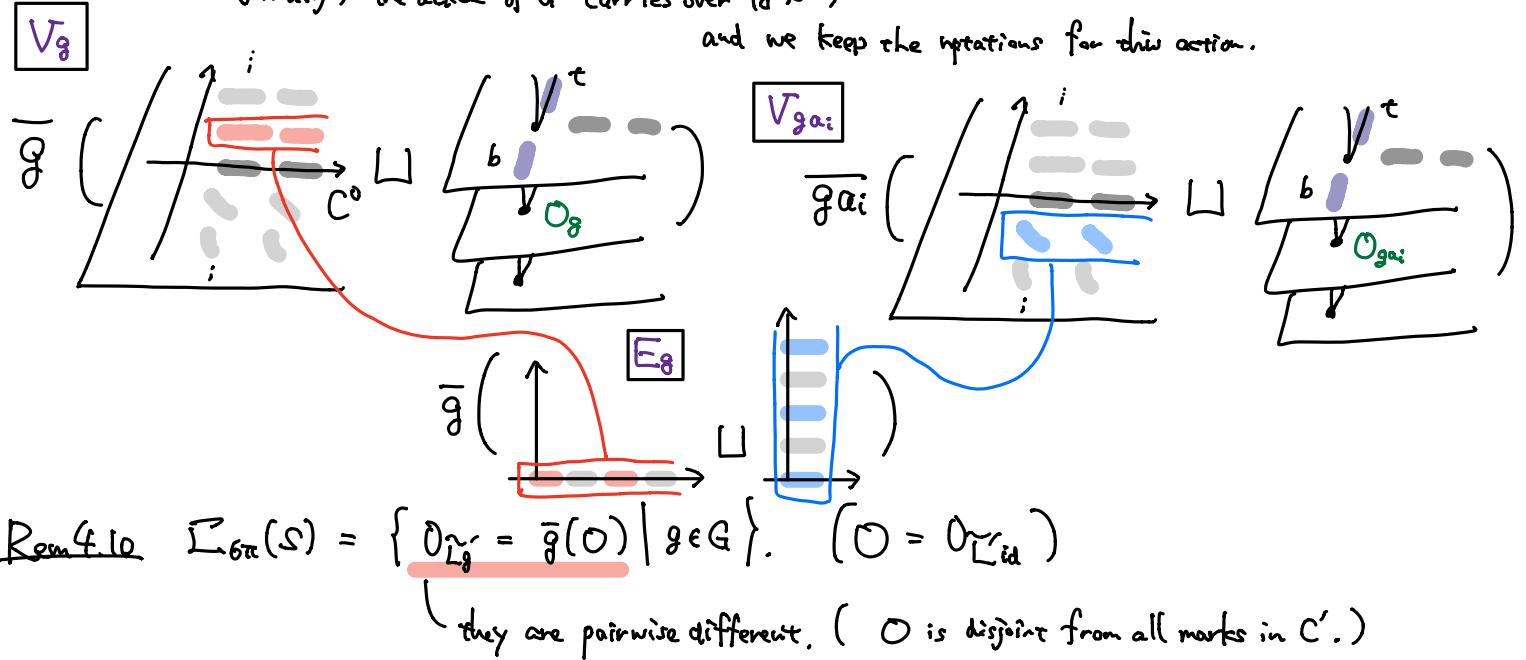
$$\text{Let } E := \bigsqcup_{g \in G} \widehat{E}_g^i$$

$S = S(G)$  be the flat surf. obtained from  $(V \cup E, (\{\bar{g}C^i\}_{g \in G}, \{\bar{g}S^i\}_{g \in G}, \{\bar{g}S'^i\}_{g \in G}))$

← Note that  $\forall g \in G$  the marks in  $\widehat{E}_g^i$  have common vector  $\bar{g}\vec{e}$ .

Finally, the action of  $G$  carries over to  $\mathcal{N}'$ ,

and we keep the notations for this action.



$$\text{Rem 4.10} \quad \mathcal{L}_{6n}(S) = \{ O_{\bar{g}g} = \bar{g}(O) \mid g \in G \}. \quad (O = O_{\widehat{E}_{id}})$$

they are pairwise different. ( $O$  is disjoint from all marks in  $C'$ .)

LEMMA 4.11. —  $S$  is a Loch Ness Monster.

Proof. — This follows from Lemma 4.3 applied to the graph  $\Gamma'$  obtained from the Cayley graph  $\Gamma$  of  $G = \langle a_i \rangle_{i \geq 1}$ . We get  $\Gamma'$  from  $\Gamma$  by subdividing each edge of  $\Gamma$  into three parts and by adding for each original vertex  $v$  of  $\Gamma$  an additional vertex  $v'$  and an edge joining  $v'$  to  $v$ .  $\square$

LEMMA 4.12. —  $S$  is a tame flat surface.

Proof. — Let  $\bar{V}_g$ , respectively  $\bar{E}_g^i$ , denote the closures in  $S$  of the subsets inherited from  $V_g \setminus \bar{g}(\bigcup_{i \neq 0} C^i)$ , respectively  $\widehat{E}_g^i \setminus \bar{g}(S^i \cup S'^i)$ .

It is enough to prove that  $S$  is complete. Let  $(x_k)$  be a Cauchy sequence on  $S$ . By Lemma 4.5 we may assume that there is some  $g \in G$  such that all  $x_k$  lie in the union of  $\bar{V}_g$  and the adjacent affine buffer surfaces  $\bar{E}_g^i$  and  $\bar{E}_{ga_i^{-1}}^i$ . Since the components of  $\bar{V}_g \cap (\bigcup_i (\bar{E}_g^i \cup \bar{E}_{ga_i^{-1}}^i))$  form a discrete subset in  $\bar{V}_g$ , we may assume that all  $x_k$  lie in  $\bar{V}_g$  and in a single adjacent buffer surface. Since both  $\bar{V}_g$  and the buffer surface are complete,  $(x_k)$  converges, as required.  $\square$

LEMMA 4.13. — Any orientation preserving affine homeomorphism of  $S$  is equal to  $\bar{g}$  for some  $g \in G$ .

← KEY  
LEMMA

pf) Take  $\forall \psi \in \text{Aff}^+(S)$ .  
 since  $\psi$  preserve  $\Sigma_{\text{ext}}(S) = \{\bar{g}(0) | g \in G\}$ , there exists  $\exists \bar{g} \in G$  s.t.  $\psi(0) = \bar{g}(0)$ .

claim  $\psi = \bar{g}$ .

( $\circlearrowleft$ ) let  $\varphi := \bar{g}^{-1} \circ \psi$ . Now we only know  $\varphi(0) = 0$ .  
 as in Rem 4.8, there are just 3 sd. combs. issuing from 0  
 and they cross by angles  $\frac{\pi}{2}, \frac{\pi}{2}$ , and  $5\pi$ .

so  $\varphi$  should preserve these three sd. combs..  
 $\Rightarrow \varphi = \text{id}$  near 0,  
 $\Rightarrow \varphi = \text{id}$  globally.  $\square$ .

Note that by construction  $P(S) > G$ .  
 So Lem 4.13 implies that  $P(S) = G$ .

With Lem 4.11 and 4.12, we complete the pf of Prop 4.1 //

Conversely, we have the following.

LEMMA 4.15. — If the Veech group  $G$  of a flat surface  $S$  is countable, then  $G$  is disjoint from  $\mathcal{U}$ .

*Proof.* — First consider the case, where  $S$  has a singularity  $x$ . Recall that  $\widehat{S}$  denotes the metric completion of  $S$  and that the action of the group of orientation preserving affine homeomorphisms of  $S$  extends to an action on  $\widehat{S}$ . Suppose that there is an orientation preserving affine homeomorphism  $\varphi$  of  $S$  with  $D\varphi \in \mathcal{U}$ . Then  $\varphi$  extends to a contraction on  $\widehat{S}$ . By the Banach fixed point theorem, the sequence  $\varphi^k(x)$  converges in  $\widehat{S}$ . If  $x$  is not the fixed point of  $\varphi$ , then this contradicts tameness.

Assume now that  $x$  is the fixed point of  $\varphi$  and the only singularity of  $S$ . Then  $S$  is simply connected. Otherwise by iterating under  $\varphi$  a homotopically nontrivial loop going through  $x$  we obtain arbitrarily short homotopically nontrivial loops through  $x$ , which contradicts tameness. Hence  $S$  is a cyclic branched covering of  $\mathbb{R}^2$  and thus  $G = \mathbf{GL}_+(2, \mathbb{R})$  which is not countable, contradiction.

If  $S$  does not have singularities, its universal cover is the flat plane. Since  $G$  is countable,  $S$  must be a flat torus and we have  $G \subset \mathbf{SL}(2, \mathbb{R})$  which is disjoint from  $\mathcal{U}$ .  $\square$

This proves Theorem 1.1 in the case where  $G$  is countable.

$\times$   $4\pi$ -angle singularities occur in regularizing and hence we need to use  $6\pi$ -angle ones for decoration.  
 ( for half-translation cases, we may use simple poles for decoration! )