

1 A dynamic lithosphere-asthenosphere boundary near the  
2 equatorial Mid-Atlantic Ridge  
3 Catherine A. Rychert<sup>1\*</sup>, Saikiran Tharimena<sup>2</sup>, Nicholas Harmon<sup>1</sup>, Shunguo Wang<sup>3</sup>, Steven  
4 Constable<sup>4</sup>, J. Michael Kendall<sup>5</sup>, Petros Bogiatzis<sup>1</sup>, Matthew R. Agius<sup>6</sup> and David  
5 Schlaphorst<sup>7</sup>

6 <sup>1</sup>*Ocean and Earth Science, National Oceanography Centre Southampton, University of  
7 Southampton Waterfront Campus, UK*

8 <sup>2</sup>*Faculty of Earth Sciences, Geography and Astronomy, University of Vienna, Austria*

9 <sup>3</sup>*Norwegian University of Science and Technology, Norway*

10 <sup>4</sup>*Scripps Institution of Oceanography, University of California, San Diego, San Diego, CA, USA*

11 <sup>5</sup>*Earth Sciences, University of Oxford, UK*

12 <sup>6</sup>*Department of Sciences, University of Roma Tre, Rome, Italy*

13 <sup>7</sup>*Instituto Dom Luiz (IDL), Faculdade de Ciências, Universidade de Lisboa, Campo Grande,  
14 1749–016, Lisboa, Portugal*

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## 18 ABSTRACT

19 In plate tectonic theory a weak asthenosphere is required to facilitate the motions of the  
20 rigid plates. Partial melt could weaken the mantle, in turn impacting convection, but to  
21 date the existence of persistent melt has remained controversial. A wide range of scenarios  
22 have been reported in terms of the location, amount and pathways of melt. Here we use

23 **data collected by 39 ocean bottom seismometers deployed near the equatorial Mid-Atlantic**  
24 **Ridge on 0 to 80 Myr old seafloor. We calculate S-to-P (Sp) receiver functions and perform**  
25 **waveform modeling. We jointly interpret with shear-wave velocity tomography from**  
26 **surface waves and magnetotelluric (MT) imaging to take advantage of a range of**  
27 **resolutions and sensitivities and illuminate the structure of the oceanic lithosphere and the**  
28 **underlying asthenosphere. We image a tectonic plate thickness that increases with age in**  
29 **one location but undulates in another location. We infer thin and slightly thicker melt**  
30 **channels and punctuated regions of ascending partial melt several hundred kilometers off**  
31 **the ridge axis. This suggests melt persists over geologic timescales, although its character is**  
32 **dynamic, with implications for the lithosphere-asthenosphere boundary (LAB) and the**  
33 **driving forces of the plates. Ascending melt intermittently feeds melt channels at the base**  
34 **of the plate. The associated melt-enhanced buoyancy increases the influence of ridge-push**  
35 **in driving plate motions, whereas the channelized melt reduces the resistance of the plates**  
36 **to motion. Therefore, melt dynamics may play a larger role in controlling plate tectonics**  
37 **than previously thought.**

38 **Keywords:** oceanic lithosphere-asthenosphere boundary, seismology, Mid-Atlantic Ridge,  
39 plate tectonics, receiver functions, melt dynamics

40 **1. INTRODUCTION**

41 **1.1 MELT AND THE NATURE OF THE LITHOSPHERE ASTHENOSPHERE**  
42 **BOUNDARY**

43 A large number of observations including imaging of sharp seismic discontinuities,  
44 strong electrical conductivity anomalies, slow seismic velocities and plate thicknesses that do not  
45 monotonically increase with age are inconsistent with a purely thermal definition for the tectonic  
46 plate (Forsyth et al., 1998; Kawakatsu et al., 2009; Key et al., 2013; Naif et al., 2013; Rychert et

47 al., 2018b; Rychert and Shearer, 2009; Thybo, 2006). Several subsolidus mechanisms have been  
48 invoked to explain individual observations that do not conform to the classical thermal model of  
49 a plate (Beghein et al., 2014; Burgos et al., 2014; Cline et al., 2018; Karato et al., 2015;  
50 Yamauchi and Takei, 2016); although, each of these fails to universally explain all aspects of the  
51 aforementioned observations (Rychert and Harmon, 2018; Rychert et al., 2018b). Alternatively,  
52 partial melt may exist in the asthenosphere (Kawakatsu et al., 2009). Melt is expected to decrease  
53 the viscosity of the mantle (Hirth and Kohlstedt, 1995; Jackson et al., 2006), which could in turn  
54 influence mantle dynamics including the coupling of the plate to the deeper mantle and the  
55 thickness of the plate with potential implications for the driving forces of plate tectonics.

56 Despite its importance, constraining the amount, locations, and pathways of melt has  
57 proved challenging, especially near oceanic spreading centers where new plates are formed.  
58 Reports of melt are varied and come from different imaging techniques and locations. Shear  
59 velocities inferred from surface waves suggest melt exists over a broad area beneath the ridge,  
60 out to 400 km off-axis (Forsyth et al., 1998), whereas MT data suggests a narrow triangle, out to  
61 20 km off-axis (Key et al., 2013). Seismic imaging from receiver functions, SS precursors, and  
62 approaches that include multiple S bounces implies sharp discontinuities that require melt  
63 beneath the plate over large swaths of the mantle (Gaherty et al., 1996; Kawakatsu et al., 2009;  
64 Rychert et al., 2018b; Tan and Helmberger, 2007), with a structure in which melt percentage  
65 gradually decrease with depth over tens of kilometers or more (Rychert and Harmon, 2018). Two  
66 seismic reflection surveys and one MT study imaged thin melt channels (Mehouachi and Singh,  
67 2018; Naif et al., 2013; Stern et al., 2015) possibly caused by ponding along a permeability  
68 boundary (Sparks and Parmentier, 1991), although this has not been imaged everywhere (Key et

69 al., 2013). Questions remain as to the exact geometry, location, volume, and pervasiveness of  
70 melt in the mantle and its relationship to the LAB.

71 Spreading rate is thought to be a key factor in determining the style of mantle flow,  
72 associated melting, and plate characteristics (Morgan et al., 1987; Parmentier and Morgan,  
73 1990). However, to date much imaging of the mantle has been focused on the Pacific. For  
74 example, the fast-spreading East Pacific Rise (EPR) has been shown to be dominated by 2-D  
75 passive upwelling (Forsyth et al., 1998; Key et al., 2013), although an asymmetric sub-ridge  
76 anomaly (Forsyth et al., 1998) may also indicate additional influences such as across axis flow  
77 owing to lateral pressure or thermal gradients (Conder et al., 2002; Toomey et al., 2002), local  
78 melt buoyancy (Katz, 2010), or small scale convection (Harmon et al., 2011). Passive upwelling  
79 has also been inferred near the intermediate spreading Juan De Fuca and Gorda Ridges (Bell et  
80 al., 2016) but again with asymmetry suggesting additional influences, potentially the nearby  
81 Cobb hotspot. End member slow spreading at the Mid-Atlantic Ridge has not yet been  
82 investigated at a large scale and with a range of methods.

83 We installed 39 broadband ocean bottom seismometers and 39 ocean bottom  
84 MT instruments on and around the equatorial Mid-Atlantic Ridge, in the region of the Chain  
85 Fracture Zone from March 2016 to March 2017 (Fig. 1) (Harmon et al., 2020; Harmon et al.,  
86 2018; Wang et al., 2020). The deployment was part of the PI-LAB (Passive Imaging of the LAB)  
87 experiment, the EURO-LAB (Experiment to Unearth the Rheological Oceanic LAB), and the  
88 CA-LAB (Central Atlantic imaging of the LAB) experiment, to study a slow spreading ocean  
89 plate from its formation to an age of 80 Myr with a range of sensitivities and resolutions. Here  
90 we present new Sp receiver function imaging and waveform modeling of discontinuity structure  
91 (Rychert et al., 2018a). We jointly interpret with shear-wave velocity tomography from surface

92 waves using teleseismic earthquakes and ambient noise (Harmon et al., 2020) and 2-D MT  
93 imaging (Wang et al., 2020) for a conceptual model of the dynamics of the region.

94 ***1.2 A BRIEF SUMMARY OF PREVIOUS MT AND SURFACE WAVE IMAGING***  
95 ***RESULTS***

96 Previous imaging in the PI-LAB study region revealed a thickening fast lid, several  
97 punctuated asthenospheric slow velocity zones and low resistivity anomalies, and also one fast  
98 and resistive asthenospheric anomaly (Harmon et al., 2020; Wang et al., 2020). Several MT  
99 anomalies are in good agreement with those inferred from surface waves. For instance, using the  
100 nomenclature of Harmon et al., (2020) and Wang et al., (2020), which we will continue to use  
101 here, high conductivity and slow seismic velocity at anomalies B, C, and F and high resistivity  
102 and fast seismic velocity at anomaly D as labelled for instance in Figure 1. The MT anomaly is  
103 shallower than the shear-wave anomaly inferred from surface waves at A, further west near B,  
104 thinner and broader than the shear-wave anomaly inferred from surface waves near F, and further  
105 west (shallower and deeper) near E. Seismic velocities need not match MT anomalies given that  
106 they have different sensitivities to the properties of the Earth. For instance, seismic waves are  
107 more sensitive to grain size and also seismic anisotropy caused by mineral alignment than MT.  
108 However, the locations of the anomalies are not discrepant given the resolutions of the  
109 methodologies (e.g., ~100 km lateral resolution, 10s km depth resolution for surface waves).  
110 General agreement between the models suggests temperature and melt, the factors that do impact  
111 both methodologies, are dominant (Harmon et al., 2020; Wang et al., 2020). Anomalies A, B and  
112 E are likely associated with sub-ridge upwelling and decompression melting. However,  
113 anomalies C and F are too far from the axis, to be ridge related, while anomaly D appears to be a  
114 lithospheric drip, and taken together suggest small scale convection. Receiver function imaging  
115 provides a means of testing the sharpness of the seismic LAB and provides further constraints on

116 the character of melt at the base of the lithosphere that cannot be provided by shear-wave  
117 velocity based on surface waves alone.

118 **2. Methods**

119 **2.1 RECEIVER FUNCTION IMAGING**

120 We used seismic data from teleseismic events located at epicentral distances 55° to 80°.

121 We considered all events with magnitude > 5.5. The data were rotated into P- and S-wave  
122 components using a transformation matrix for ocean bottom seismometers (Rychert et al.,  
123 2018a). For rotation of data, we used previously determined sediment velocities based on the P-  
124 to-S delay times from the sediment crust conversion and velocity-thickness relationships from  
125 previous work (Agius et al., 2018; Saikia et al., 2020). For stations where no P-to-S constraint or  
126 admittance constraint existed we used the linear interpolation between stations as reported in the  
127 P-to-S paper (Agius et al., 2018). We assumed a density of 2900 kg/m<sup>3</sup>. The waveforms were  
128 bandpass filtered from 0.02 to 0.23 Hz. We tested a range of other bands. We found that the  
129 interpreted features persisted regardless of the exact band used. However, this band was most  
130 desirable in terms of the simplicity of the deconvolved waveforms. This band is also similar to  
131 that used in previous ocean bottom receiver function studies (Rychert et al., 2018a).

132 We visually inspected both the P- and S-wave components near the theoretical S-wave  
133 arrival on 3,319 waveforms. We selected waveforms with visible arrivals on the S-wave  
134 component, and with an S-wave amplitude larger than amplitudes before or after its arrival.  
135 Some energy was predicted on the P-wave component owing to conversions from the base of a  
136 sediment layer. We discarded waveforms where the apparent S-wave arrival was greater than 10  
137 s off the theoretical arrival. We manually selected a window around the visible S-wave arrivals  
138 to use as the source waveform in deconvolution. After handpicking the data, we were left with  
139 801 waveforms.

140           The data were deconvolved using an extended time multitaper method (Helffrich, 2006;  
141          Rychert et al., 2012) using a 50 s window, NW = 3, and 4 tapers (Shibutani et al., 2008). The  
142          deconvolved waveforms were inverted so that positive phases correspond to velocity increases  
143          with depth, consistent with polarity typical for P-to-S receiver functions. The waveforms were  
144          then migrated and stacked on a  $0.5^\circ \times 0.5^\circ$  grid, with a depth spacing of 1 km. For migration, we  
145          used a modified version of PREM to include estimated sediment properties (Agius et al., 2018;  
146          Saikia et al., 2020) and an oceanic crust of 7 km. In the crust we assumed a  $V_p/V_s$  ratio that  
147          varied with distance from the ridge from 2.0 to 1.77, to simulate the effect of near-ridge melt. In  
148          the mantle we assumed  $V_p/V_s$  ratio = 1.77. For stations with no sediment thickness information,  
149          we used the linear interpolation between stations, calculating  $V_s$  and  $V_p$  based on relationships  
150          from previous work as explained in the P-to-S study (Agius et al., 2018). We corrected for  
151          station elevations in the migration process and only used bins with more than three waveforms in  
152          the stack (Fig. 2). We smoothed the bins over the Fresnel zone of the waves with a minimum  
153          Fresnel zone cutoff of 50 km.

154          We tested the effect of a range of migration models. We tested using both P- and S-wave  
155          velocities from PREM (Dziewonski and Anderson, 1981), modified to include the sediment layer  
156          along with a 7 km crust. We also tested a constant crustal  $V_p/V_s$  ratio with distance from the  
157          ridge, the effect of using constant vs. varying sediment thickness, and also using a full 3D shear  
158          velocity model inferred from inversion of surface waves from this study and calculating the P-  
159          wave velocity, assuming a range of constant  $V_p/V_s$  ratios (1.77 – 2.0) and also varying them with  
160          distance. We also tested using PREM (Dziewonski and Anderson, 1981) and a range of  $V_p/V_s$  in  
161          the range of 1.77 to 2.0, i.e. an increase in P-wave velocity of 1.5 % to 14.5 % compared to  
162          PREM P-wave velocities.

163       The features interpreted here were present in all of these tests with variable degrees of  
164      clarity, suggesting that they are the robust features. In addition, there was no significant change  
165      in the discontinuity depths and/or the age-depth trend of the LAB phase. A 5% faster model  
166      causes discontinuities near 30 – 40 km depth to migrate 1 km shallower and vice-versa if slower  
167      velocities are assumed, while still maintaining the age-depth relationship of the LAB phase.  
168      However, if larger  $V_p/V_s$  is used at the ridge and lower  $V_p/V_s$  away from the ridge, the  
169      discontinuities beneath the ridge migrate shallower by ~2 – 3 km, enhancing the age-depth trend.  
170      Although phases at greater delay times/deeper depths have the potential to be more influenced by  
171      migration model choices,  $V_p/V_s$  variations beneath thicker, melt-free lithosphere are predicted to  
172      be less, making the overall expected effect moderate. We tested the potential impact of an  
173      inaccurate  $V_p/V_s$  assumption in the migration model by changing mantle  $V_p$  by 5%, which  
174      resulted in 5 km shifts in phases around 80 km deep. Therefore, we report error in the depth of  
175      the discontinuities as  $\pm$  5 km, encompassing modest migration model variations beneath older  
176      lithosphere and strong variations beneath younger lithosphere. We interpret phases that are  
177      significantly different from zero according to error bounds calculated for 95 % confidence (Fig.  
178      3). We show the depth and locations of where we detected the LAB phase above the threshold of  
179      formal error in Fig. 2.

180      **2.2 RECEIVER FUNCTION WAVEFORM MODELING**

181      We modeled the receiver function waveforms at anomalies A, C, D, E, and F with  
182      synthetic seismograms, processing them in the identical way as the data. We modeled the  
183      receiver functions in two ways to illustrate the range of potential models that fit the data. We did  
184      not model anomaly B given that there is not a significant receiver function and the locations of  
185      the resistivity and shear-wave anomalies from surface waves are not spatially coincident, likely  
186      owing to variable resolutions of heterogeneous structures. Disambiguating complexity at

187 anomaly B is beyond the scope of this study. We first forward modeled the data assuming a  
188 minimum parameterization, that included one Moho layer, one lithospheric layer and one  
189 asthenospheric layer. We allowed the layer thicknesses and shear velocities to vary. We also  
190 performed a discretized layer inversion using 10 km thick layers. We permitted shear velocity  
191 and  $V_p/V_s$  in the layers to vary between 3.8 - 4.8 km/s and 1.7 - 2.0, respectively, with the  
192 remaining assumptions the same as for the forward approach. We also tested a range of fixed  
193  $V_p/V_s$ , although it did not impact our overall result, i.e., beyond our error bars. The minimum  
194 parameterization is appealing in that it provides the simplest solution. The discretized inversion  
195 illustrates the endmember case for smoother gradients. The approach could be considered an  
196 overparameterization. However, the results of the inversions were primarily simple gradient  
197 structures, which could instead be described by sharpness and magnitude rather than the  
198 velocities of each individual step without created unresolved degrees of freedom. Therefore, we  
199 use this approach to illustrate how smooth the gradients could be while still matching the data.

200 **3. RESULTS**

201 **3.1 RECEIVER FUNCTION IMAGING RESULTS**

202 Sp receiver functions image a velocity increase with depth at 4 – 8 km below the seafloor  
203 across the region associated with the Moho (Figs. 4). At greater depths Sp images a negative  
204 phase. Synthetic waveform modeling suggests it is consistent with a sharp velocity decrease of 6  
205 – 15 % over < 30 km depth (see section 3.2). The depth of the velocity contrast increases  
206 monotonically with age in the western side of transect II in the south from 30 to  $80 \pm 5$  km depth  
207 below sea level (Figs. 4, S1, S2). In the eastern side of transect II the negative phase is patchy. In  
208 transect I in the north the phase has more complex topography and is characterized by larger  
209 error and a patchy character (Figs. 2 - 4). The transects and therefore the interpretations are  
210 located in regions where the hit count is high, and therefore LAB detection vs. non-detection

211 must be either real or an artefact of S-to-P resolution (Fig. 2), which we address in subsequent  
212 sections. We do not interpret features that are not significantly different from zero according to  
213 formal error and therefore, by definition they cannot be noise. In addition, the interpreted  
214 features are clearly present in the highest quality receiver functions and do not arise from noise  
215 (Fig. S1).

216 **3.2 RECEIVER FUNCTION MODELING RESULTS**

217 We find good fits to the receiver function waveforms using the minimum parameterization  
218 approach (Fig. 5). We find strong, sharp velocity drops at the LAB at anomalies A (8 % at 36  
219 km), C (11 % at 43 km), E (13 % at 34 km) and F (15 % at 39 km). No negative LAB  
220 discontinuity is required to match the receiver functions at anomaly D. We do not attempt to fit  
221 additional phases by adding discontinuities, given that we limit the number of parameters, in  
222 particular the second negative peak at anomaly A. The additional peak could be real,  
223 representing continued drop in velocity or they could represent smearing of slightly different  
224 LAB depth in nearby bins, given strong nearby lateral variability (Fig. 4).

225 In the discretized approach, we find that we also fit the receiver function waveforms well.  
226 In this parameterization the LAB phase is explained by smoother velocity depth profiles that still  
227 include large velocity drops from the highest to the lowest velocity: A (12 % from 30 - 50 km  
228 depth), C (6 % at 40 km depth), E (11 % from 30 - 40 km depth), and F (13 % from 20 - 50 km  
229 depth). At anomaly D a broad and moderate velocity drop (7 % from 20 to 50 km depth) can also  
230 be included, while still fitting the data. At anomaly A the discretized inversion prefers a broad  
231 velocity gradient to produce a better match the second negative pulse, within the error bounds.  
232 However, we did not force a fit to within error given that the deeper pulse could be caused by  
233 lateral smearing of nearby topography on the gradient.

234    **4. DISCUSSION**

235    ***4.1 COMPARISON OF RECEIVER FUNCTIONS TO RESISTIVITY AND SHEAR-***  
236    ***WAVE VELOCITIES FROM SURFACE WAVES***

237    There is good agreement with the depth of the discontinuity beneath the western half of  
238    transect II and most other reported discontinuity depths from scattered waves and transect studies  
239    beneath the oceans unaffected by hotspot volcanism globally (Fig. 6). There is also agreement  
240    with the depths of the lowest velocities from surface waves from a global model across the  
241    Pacific (French et al., 2013). However, there are also regions that exhibit significant deviations,  
242    for instance beneath other areas of our study region and also beneath ocean islands or regions  
243    affected beneath hotspots. This suggests that while on average temperature plays a large role in  
244    dictating the thickness of the lithosphere, there are also important deviations (Rychert et al.,  
245    2020). Here we will explore these further.

246        There is good agreement between the presence of a significant negative receiver function  
247    phase and locations of underlying slow shear-wave velocity anomalies inferred from inversion of  
248    surface waves (< 4.2 km/s) at 50 – 100 km depth (Fig. 4, 5, 7 anomalies A C, E, and F) and also  
249    locations where moderate MT conductivities extend to greater depths, i.e., greater than a thin 10  
250    km channel (Fig. 5 e.g., anomaly B, C, F, and just west of E). The negative receiver function  
251    phase is absent or insignificant from zero where the high velocity, high resistivity drip occurs  
252    (Fig. 5, 7 anomaly D), where the conductivity anomaly is a thin channel (Fig. 7 either side of  
253    anomaly F) and where the depth of the negative receiver function phase undulates, based on the  
254    punctuated shear-wave velocity anomalies from surface waves and MT anomalies (sections of  
255    transect I).

256        The relationship of the significant negative receiver function phases to the strong shear-  
257    wave anomalies from surface waves and moderate MT anomalies over broader depths is likely  
258    explained by differences in resolution. MT can resolve thin channels on the order of 10 km

259 (Parker and Whaler, 1981), whereas Sp suffers from destructive interference. For instance, the  
260 amplitude of conversions from the top of a 10 or a 5 km thick channel would be reduced to ~70  
261 % and ~30 % of the original values, respectively, for the filtering used here (Rychert and  
262 Harmon, 2018), beneath the detectability threshold from our receiver function error analysis.  
263 Therefore, the locations where receiver functions clearly image a singular negative velocity  
264 discontinuity likely represent locations where low velocity zones exist over > 10 km depth,  
265 gradually increasing in velocity with depth.

266 The lack of significant negative receiver function phases adjacent to punctuated shear-  
267 wave velocity anomalies from surface waves and MT imaging is likely also explained by  
268 resolution. The shear-wave tomography based on local Rayleigh waves images near vertical  
269 edges to anomaly structures at 30 to 55 km beneath the ridge in transect I (Saikia et al., 2021).  
270 Sp cannot easily resolve dipping or undulating topography (Lekic and Fischer, 2017) (Fig. 7  
271 transect I particularly near anomaly B).

272 In light of the different resolutions of the three approaches, the patchy receiver function  
273 imaging of negative discontinuities is expected. The association of the negative phase with the  
274 base of the seismically fast lithosphere from surface waves and the resistive lithosphere from MT  
275 imaging suggests it marks the LAB.

276 Overall, Sp LAB phases together with MT imaging and surface waves illuminate the  
277 structure of the lithosphere and the asthenosphere. In the western side of transect II, the plate  
278 thickens relatively monotonically with age (Fig. 4, 7, S2) and is characterized by a single  
279 velocity drop rather than a thin channel (drop followed by a deeper velocity increase) (see  
280 section 4.2 and Fig. 2, 7). In the eastern section of transect II the lithosphere is underlain by a  
281 channel that is thinner (~10 km) close to the ridge and extending to 10 - 15 Myr. Near anomaly F

282 the channel is thicker ( $> 10$  km), flatter, and gradually tapers with depth. In transect I the  
283 seismically fast and resistive plate undulates in thickness with age, and there is a single velocity  
284 drop in some regions, such as anomaly C. No channel is apparent.

285 **4.2 1-D MODEL COMPARISONS AND RECEIVER FUNCTION MODELING**

286 We compare the receiver functions to the surface wave and MT models in the locations  
287 of the discrete major asthenospheric anomalies. The 1-D profiles through anomalies A, C, E and  
288 F all show LAB receiver function peaks that are significant from zero. The depths of the peaks  
289 all fall within the gradual drops in shear-wave velocity with depth at the base of the plate (Fig. 4  
290 - 6). Shear-wave velocities in the slow anomalies also reach as slow as 4.2 km/s or slower in the  
291 surface wave model. In contrast, at anomaly D no strong sharp phase is required by the receiver  
292 function and shear-wave velocities are fast ( $V_s > 4.4$  km/s over the upper 150 km of the mantle)  
293 in the location of a hypothesized lithospheric drip. Anomaly A is slightly shallower in the MT  
294 imaging than the seismic, potentially owing to resolution. Anomaly B and C are muted in the  
295 receiver functions, which is likely explained by lateral variability in the depth of the phase, as  
296 evidenced by undulating contours from MT and surface waves. The overall agreement among the  
297 independent seismic methods for anomalies A, C, D, E and F gives us confidence in these  
298 features.

299 The exact shapes of the velocity profiles of the receiver function models and the shear-  
300 wave velocities inferred from surface waves are different at least for some anomalies and some  
301 parameterizations. While the best-fitting receiver function models fall outside the formal error  
302 bars on the shear-wave velocities inferred from surface waves, the two are not necessarily  
303 discrepant. The sensitivity kernels of the shear-wave velocities from surface waves (Harmon et  
304 al., 2020) mean that the inferred shear-wave velocities are smoothed over a depth range and the  
305 error bars represent uncertainty in the average over that depth range rather than uncertainty in the

306 velocity at any single depth. Therefore, the shear velocity from surface wave modeling cannot  
307 be simply compared with the receiver function result at any single depth.

308 Overall, the modeling results provide a range of potential models that could fit the data.  
309 Anomalies A, C, E, and F all require strong, sharp velocity gradients: A (8 - 12 % over < 20 km),  
310 C (6 - 11 % over 0 km), E (11 - 13 % over < 10 km) and F (13 - 15 % over < 30 km). None can  
311 be explained by a purely thermal model such as half-space cooling or the plate cooling model  
312 which are characterized by broader velocity gradients (over > 40 km depth) beneath 0 - 30 Myr  
313 old lithosphere (Tharimena et al., 2017), none of which are predicted to produce a converted  
314 receiver function strong enough for interpretation (Rychert and Harmon, 2018). Of course, there  
315 are error bars on the data which might allow for smaller velocity drops, particularly for  
316 anomalies C and F. However, this would not be consistent with the shear-wave velocity model  
317 from surface waves, which overall shows very good agreement with the total drop from the  
318 receiver functions and requires absolute velocities < 4.2 km/s in the asthenosphere. This suggests  
319 that muted receiver function amplitudes and error bars at C and F are more likely a product of  
320 lateral variability of depth of the discontinuity, and sharp discontinuities inconsistent with a  
321 thermal model are required at anomalies A, C, E, and F.

322 **4.3 COMPARISON OF LITHOSPHERE-ASTHENOSPHERE STRUCTURE**

323 The sub-ridge lithosphere from receiver functions, surfaces waves, and MT imaging is  
324 thicker (20 – 25 km) than the non-existent plate beneath the fast spreading EPR (Harmon et al.,  
325 2009; Key et al., 2013), and equal to or thicker than the 20 km thick plate beneath the  
326 intermediate spreading ridges in Cascadia (Rychert et al., 2018a). This trend of thicker sub-ridge  
327 lithosphere for slower spreading rates is predicted for lateral conductive cooling (Morgan et al.,  
328 1987).

329           The high conductivity channel from MT is similar to some previous channels imaged  
330   north of our study area (Mehouachi and Singh, 2018) and beneath the Cocos (Naif et al., 2013)  
331   and Pacific Plates (Stern et al., 2015). However, it is dissimilar with the lack of a channel  
332   imaged, for instance, in the remainder of our study area and also near the EPR and Mohns Ridge  
333   (Baba et al., 2006; Forsyth et al., 1998; Johansen et al., 2019; Key et al., 2013).

334           The apparent extreme thickening, or drip-like feature at 30 Myr (Figs. 4 - 6, anomaly D)  
335   and also the punctuated anomalies off-axis (e.g., C and F) differ from the smooth, monotonic  
336   increases in plate thickness in the western side of transect II and as observed in the MELT  
337   experiment (Harmon et al., 2009) and Cascadia (Rychert et al., 2018a). Punctuated anomalies  
338   that are distant from the ridge axis are also different from the previously imaged singular,  
339   focused sub-ridge anomalies of other studies (Baba et al., 2006; Forsyth et al., 1998; Johansen et  
340   al., 2019; Key et al., 2013), although our study includes a variety of sensitivities and extends to  
341   ages 8 – 25 times older than these studies.

342   **4.4 A DYNAMIC LITHOSPHERE-ASTHENOSPHERE SYSTEM**

343   Several aspects of the observations are not consistent with a model that includes purely  
344   conductive cooling. The receiver function phases require sharp velocity gradients (6 – 15 % over  
345   < 30 km). Subtle negative receiver function phases can be produced for thermal models (Fischer  
346   et al., 2020; Rychert and Harmon, 2018), although these are smaller than the requirements of our  
347   observations. Thermal gradients over the broadest 20 – 30 km depth ranges from our waveform  
348   modelling can only explain about a 4 % drop (Jackson and Faul, 2010). Similarly, the  
349   magnitudes of the high conductivity anomalies (< 1  $\Omega$  m) cannot be explained by and slow  
350   seismic velocities ( $V_s < 4.2$  km/s), channels structures, and punctuated off-axis anomalies are not  
351   explained by thermal models (Harmon et al., 2020; Wang et al., 2020).

352 Several sub-solidus mechanisms have been proposed to explain observations that are  
353 discrepant from thermal models. Seismic anisotropy from the alignment of olivine does not  
354 affect MT data, and therefore can be excluded in all locations where the methods agree. Also,  
355 Rayleigh wave anisotropy using local events is generally small < 3% throughout the study area  
356 (Saikia et al., 2021), and associated impacts on seismic imaging would be very low (Rychert and  
357 Harmon, 2018). Near solidus temperatures can cause very low seismic velocities (Yamauchi and  
358 Takei, 2016), although this would not explain the MT imaging, and it is also likely that the  
359 mantle near a mid-ocean ridge system will be above the solidus temperature. Mantle oxidation  
360 may affect seismic waves (Cline et al., 2018), but this is expected to be low at mid-ocean ridges  
361 and therefore not likely a factor. In the elastically accommodated grain boundary sliding model  
362 an increase in the sharpness of the velocity gradient with age is predicted, which would cause  
363 larger amplitudes and/or more impulsive receiver function phases at older ages (Karato et al.,  
364 2015), but this is not observed. In addition, this model would not likely affect MT data. Finally,  
365 recent laboratory experiments suggest that water does not affect observed seismic wave  
366 velocities (Cline et al., 2018), and the amount of hydration required to explain the magnitude of  
367 the conductivity anomalies would necessarily mean that the mantle is partially melted (Key et al.,  
368 2013).

369 Alternatively, partial melt could explain the sharp decreases in seismic velocity with  
370 depth, the slowest seismic velocity anomalies, the lowest resistivities, and the channelized and  
371 punctuated anomaly structures. Slow shear-wave velocity anomalies inferred from surface waves  
372 were reported to be 1 – 3 % (Harmon et al., 2020) in comparison to experimental predictions for  
373 peridotite at asthenosphere conditions (Jackson and Faul, 2010). Comparison to receiver function  
374 profiles suggests general agreement in terms of the slowest asthenosphere velocities, but a

375 sharper transition from the fast lithosphere to the slower asthenosphere, and therefore a locally  
376 more pronounced anomaly than predicted by experimental predictions for thermal models or  
377 resolvable by surface waves (Fig. 5). Therefore, we proceed considering the new tighter  
378 constraints on the strong sharp drop from receiver functions. The receiver functions require a  
379 velocity drop of 6 – 15%. A thermal gradient at the base of the plate could explain up to a 4 %  
380 velocity drop for the broader, 20 – 30 km, gradients of the discretized model corresponding to  
381 the larger velocity drops (Jackson and Faul, 2010), i.e., not the 6 % drop over 0 km at anomaly 6.  
382 Therefore, after accounting for the maximum effect of temperature we are left with a 6 – 11 %  
383 velocity drop. Assuming a 2.7% velocity reduction per 1% melt fraction for melt distributed in  
384 tubules (Hammond & Humphreys, 2000) or 2.0% velocity reduction per 1 % melt fraction  
385 assuming equilibrium melt geometries (e.g., Clark & Lesher, 2017), suggests melt fractions, 2.2  
386 – 5.5 %. Alternatively, if melt exists in films it could result in an 7.9 % drop in velocity per 1%  
387 percent partial melt, suggesting melt fractions of 0.8 – 1.4 %. These estimates from receiver  
388 functions are in good agreement with the predicted melt fractions at the lower end of MT  
389 modeling which require 1 – 7 % melt (Wang et al., 2020). Considered together the seismic and  
390 MT results can be explained by 1 – 5.5 % melt.

391 Our observations suggest two different configurations for melt, both channelized at the  
392 base of the plate and distributed in distinct broad regions with length scales on the order of 100  
393 km or more (Fig. 8). The melt channels are characterized by variability in the sharpness in the  
394 gradients in melt volume at their base. Seismic and MT imaging together suggests a thicker  
395 channel with gradual drop off in melt percentage with depth in the western side of transect II, a  
396 thinner, sharper channel (10 km or less) in most of the eastern side of transect II, a thicker

397 channel with gradual drop off with depth in the vicinity of anomaly F, and the lack of a channel  
398 in transect I (see section 4.2).

399       The existence of melt with variable character is supported by recent geodynamic  
400 modeling with non-Newtonian viscosity and two-phase flow that produces ‘porosity waves’,  
401 ephemeral melt-rich pockets that rise from depth and become thicker and more closely spaced as  
402 they approach and pond beneath permeability boundaries at shallow depths (Sim et al., 2020).  
403 Our punctuated anomalies particularly in transect I (e.g., anomaly C) are consistent with rising  
404 melt. Whereas melt beneath the plate over a broad depth range (near anomaly E) and in a thin  
405 channel (west of anomaly F) in transect II could represent melt ponded beneath the plate. The  
406 lack of an imaged channel in transect I could imply the ponded melt in the region has left the  
407 system and has yet to be replenished. The difference in the character of the melt geometry  
408 between our two transects suggests that melt is dynamic, and we have imaged two different  
409 stages in the melt migration process.

410       Our observation of broadly distributed melt, far from the ridge axis requires another  
411 dynamic component to create upwelling, such as small-scale convection due to lithospheric  
412 instabilities (Richter, 1973). In these models, the earliest drips start beneath 5-30 Myr seafloor  
413 for cases with a low viscosity asthenosphere ( $\sim 10^{17}$ - $10^{18}$  Pa s) (Buck and Parmentier, 1986), in  
414 agreement with our observation. Alternatively, upwellings could be driven by mantle chemical  
415 heterogeneity. Broadly distributed melt will also lower mantle viscosity and further enhance  
416 asthenospheric convection. This could also explain why seafloor subsidence and heat flow are  
417 more muted than predicted beneath the oldest seafloor, > 70 Myr (Parsons and Sclater, 1977).

418       Our observations of melt in a variety of forms unify seemingly discrepant observations of  
419 melt channels at the base of the plates (Mehouachi and Singh, 2018; Naif et al., 2013; Stern et

420 al., 2015), including the lack thereof (Key et al., 2013), and broadly distributed melt in the  
421 asthenosphere (Forsyth et al., 1998). It suggests melt is persistent over geologic timescales, yet  
422 dynamic in character. Since melt would decrease the viscosity of the mantle, it would also define  
423 the plate. Therefore, plate thickness and character, and lithosphere-asthenosphere coupling are  
424 highly dynamic, and dependent on melt dynamics. Episodic melt-enhanced buoyancy beneath  
425 the ridge could increase the influence of ridge-push in driving plate motions. In addition, melt  
426 channels at the base of the lithosphere would reduce its basal drag resistance. Enhanced melt  
427 buoyancy and also enhanced decoupling may be key to explaining divergent plate motions  
428 beneath the Atlantic in the absence of significant drivers from surrounding subducting slabs.  
429 Plate spreading may be further assisted by deep upwellings from the lower mantle beneath the  
430 Atlantic that have been proposed based on a thinned mantle transition zone (Agius et al., 2021).  
431 In addition, interplay between upwelling and channelizing could result in temporal variations in  
432 forcing, and explain observed plate velocity variability (Coli et al., 2014). Melt dynamics could  
433 play a larger role in controlling plate motions than previously thought. Understanding the role of  
434 melt generation and migration as a driving force will be needed for a complete understanding of  
435 plate tectonics.

## 436 5. CONCLUSIONS

437 We image the oceanic lithosphere and asthenosphere in the region near the equatorial  
438 Mid-Atlantic Ridge using Sp receiver functions. The LAB increases monotonically with age  
439 from 30 to  $80 \pm 5$  km depth in one location, but the LAB is sporadically detected at  $20 - 80 \pm$  km  
440 depth in other regions. The locations of the LAB detections and depths are consistent with  
441 anomaly structures in the resistivity and surface wave-derived shear velocity models when the  
442 resolutions of the approaches are considered. The sharp LAB discontinuities ( $6 - 15\%$  over  $< 30$

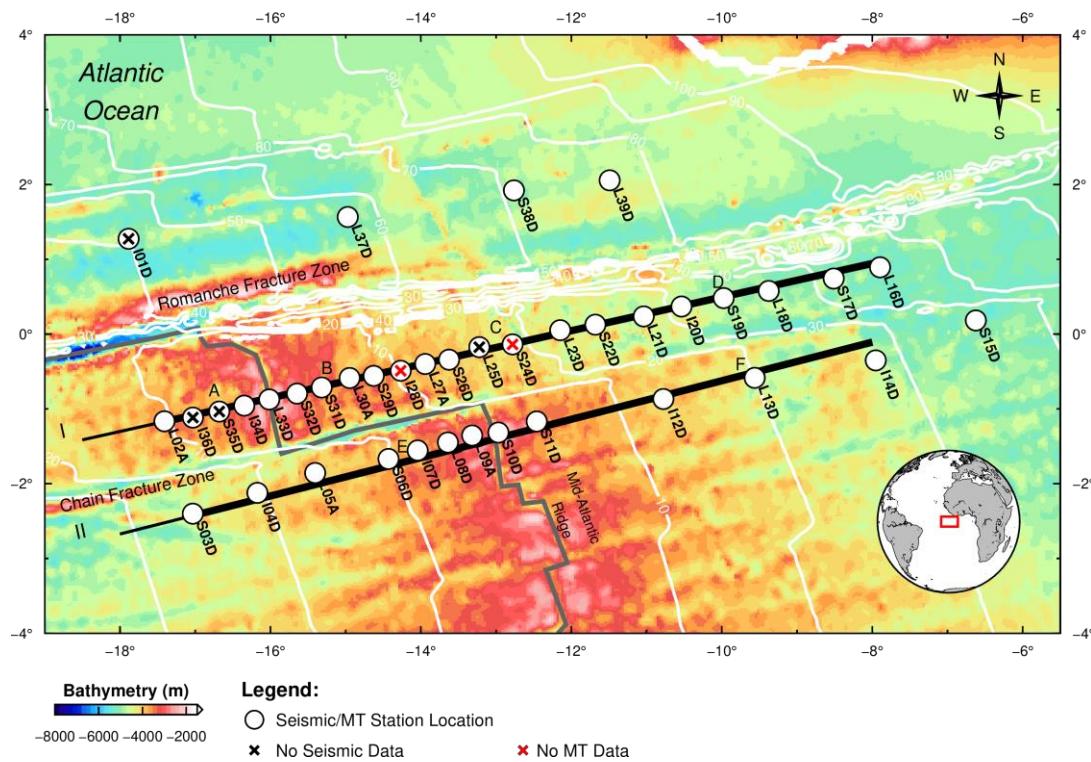
443 km depth), strong seismic ( $V_s < 4.2$  km/s) and MT anomalies ( $< 1 \Omega m$ ), punctuated anomaly  
444 characters, and channel structures are not consistent with a purely thermal model. To explain the  
445 LAB discontinuities, seismic, and resistivity anomalies in the asthenosphere requires 1 – 5.5 %  
446 partial melt localized in upwellings and also ponded beneath the lithosphere. Small scale  
447 convection may explain off-axis melt supply. The observations of melt with variable character  
448 reconciles previous seemingly discrepant reports from different studies and suggests we have  
449 imaged two different stages of melt migration. Melt episodically rises from depth, ponds beneath  
450 the plate, and accumulates before eventually leaving the system. Since the presence of melt  
451 would define the plate, it suggests that the LAB is dynamic, varying according to mantle  
452 dynamics and melt generation and migration. Also, melt dynamics likely play a larger role in  
453 driving plate motions than previously thought, with melt buoyancy aiding ridge push and  
454 reduced viscosity enabling plate motions.

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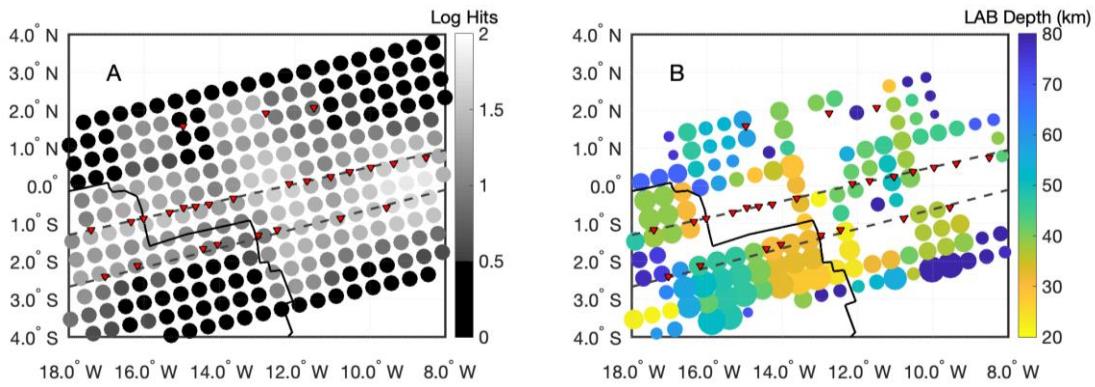
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465

468 **Figure 1**

469 **Map of study region.** Background color shows bathymetry. Inset map shows global location.  
 470 Large white circles show seismic and MT station locations. X's indicate locations where no data  
 471 was used in the seismic (black) and MT (red) analysis. Dark grey line shows the plate boundary.  
 472 White lines show age contours (in Myr) (Muller et al., 2008). Thick black lines indicate transect  
 473 locations, I (northern) and II (southern), with slightly longer limits (thin black line) used in the  
 474 shear-wave velocity and receiver function transects shown in Fig. 4. Anomaly locations are  
 475 indicated by the capital letters.



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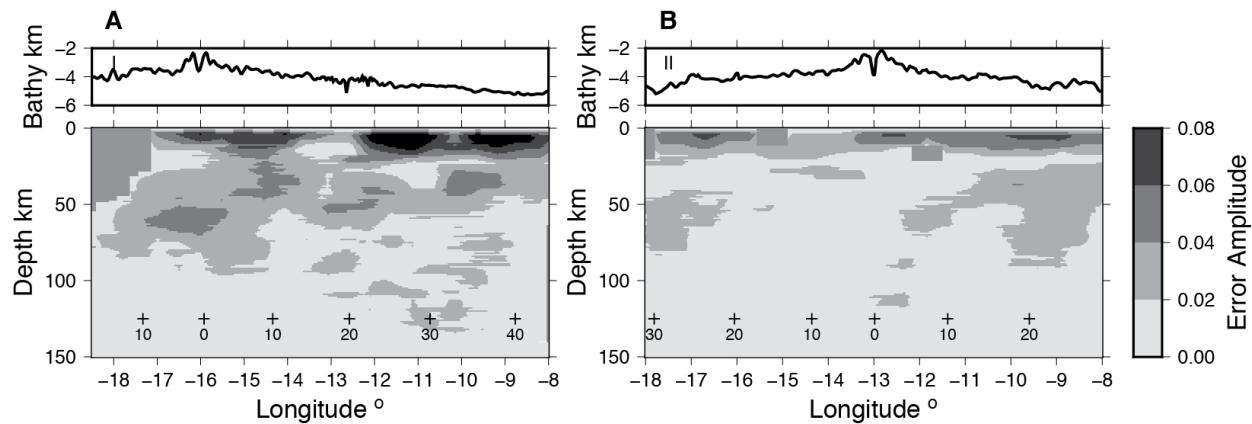
477

478 **Figure 2**

479 **Sp receiver function hit count map and map view of bins with a LAB phase.** A) hit count  
 480 map at 60 km depth. Grey shading indicates the number of waveforms averaged into the bin in  
 481 Log10(Hits). B) Circles indicate the bin location and color indicates the depth to the LAB. Circle  
 482 size corresponds to the inverse of error, and bins where the error exceeds the amplitude of the  
 483 data are not plotted. Black line shows the plate boundary, and dark grey dashed lines show the  
 484 locations of the two transects. Inverted red triangles show the stations used in this study.

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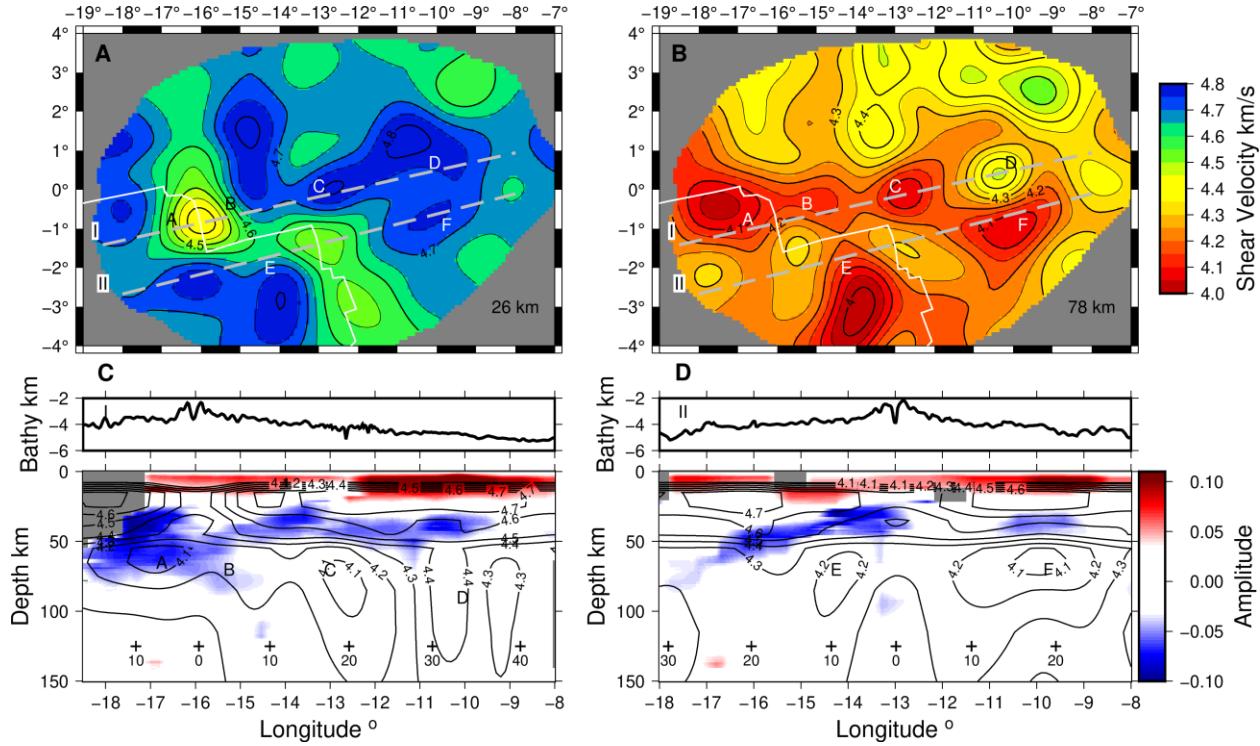
### 488 **Figure 3**

489 **Sp receiver function amplitude error.** Here we present 95 % confidence limits on the  
490 amplitude of the data stack in transect I the north (A) and transect II in the south (B) as shown in  
491 Figure 1.

492

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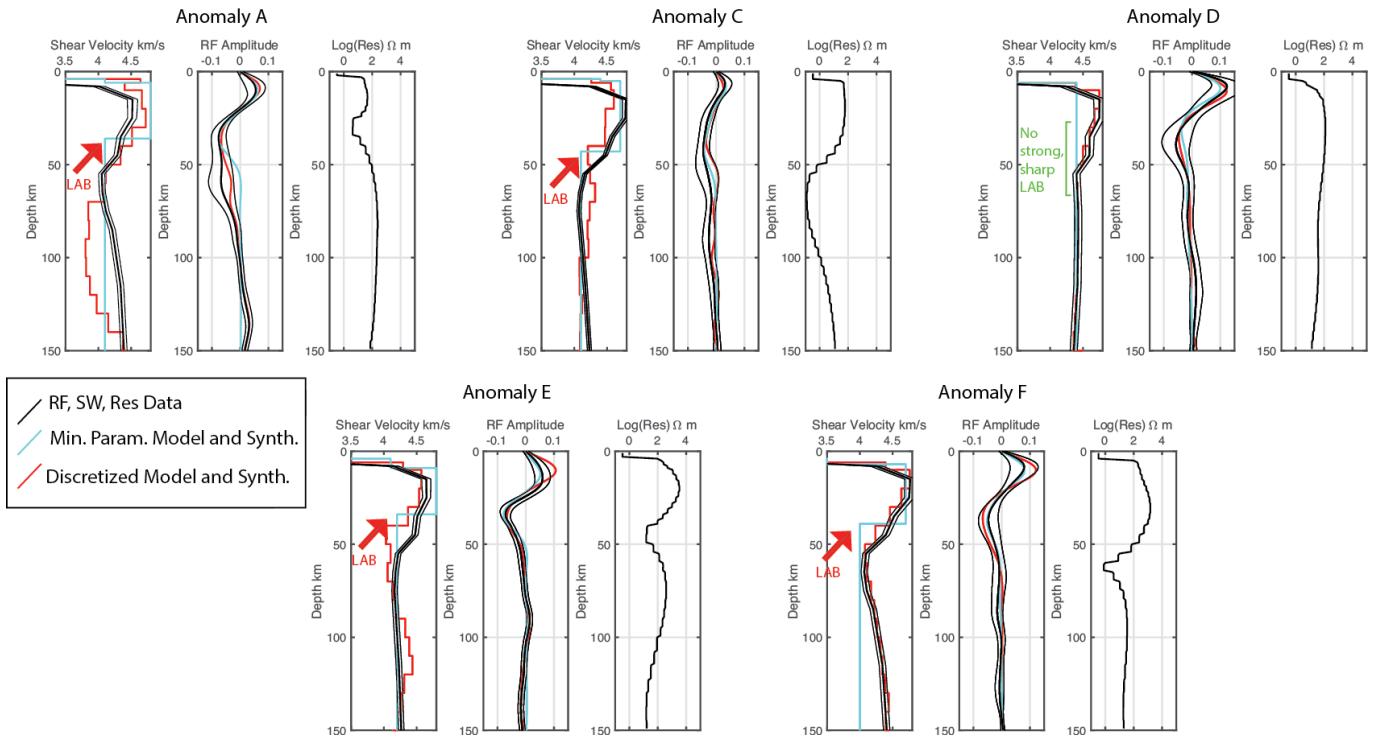
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496 **Figure 4**

497 **Shear-wave velocity model inferred from inversion of surface waves and Sp receiver  
498 functions.** Shear-wave velocities from surface waves are shown in map view by colors and  
499 contours (red for slow and blue for fast) at A) 26 km depth to illustrate variability owing to plate  
500 thickening and B) 78 km depth to illustrate the structure of the punctuated anomalies. White line  
501 shows the plate boundary. Dashed grey lines indicate transect locations. Transects through the  
502 receiver function and shear-wave models are shown C) and D) for transects I and II. Sp  
503 converted phases that result from velocity increases with depth are shown in red and those from  
504 decreases with depth are shown in blue. Seafloor bathymetry is plotted above the transects. Grey  
505 areas show regions with < 3 hits per bin. Shear-wave velocity from surface waves is shown as  
506 black contours with contour labels in km/s. Black crosses show seafloor ages (in Myr) as labeled.

507 Depths are with respect to the sea surface. Anomaly locations are labelled by capital letters.

508



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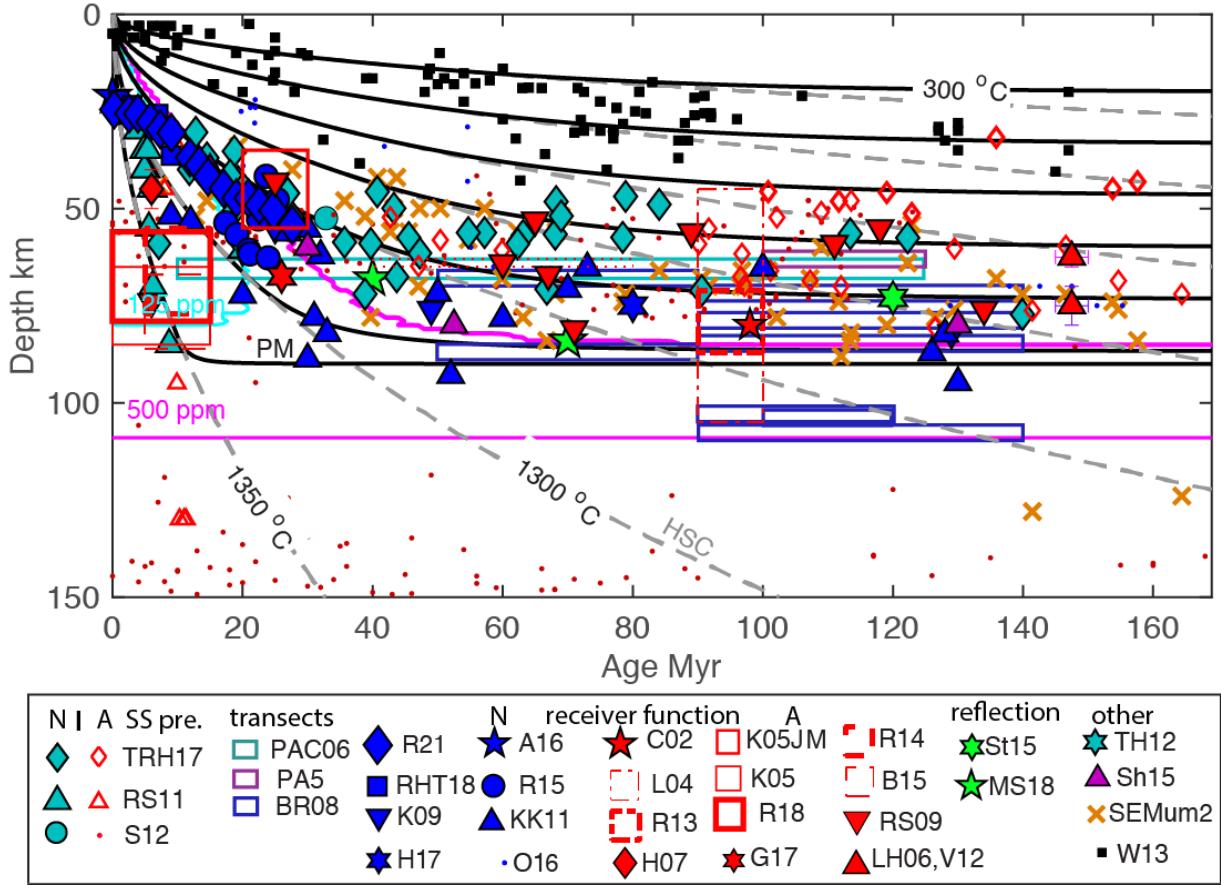
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511 **Figure 5**

512 **1-D Comparisons of main anomalies.** MT imaging (right panels) is compared to the receiver  
513 function (RF) data (middle panel, thick black) and 95 % confidence limits (middle panel, thin  
514 black) and the shear-wave velocities inferred from surface waves (left panel, thick black) and  
515 corresponding 95 % confidence limits (left panel, thin black). Synthetic receiver functions and  
516 corresponding shear velocity models from 2 different modelling approaches: a minimum  
517 parameterisation (blue) and an over parameterisation (red) are shown in the middle and left  
518 panels, respectively. Receiver functions are only sensitive to changes in velocity, although we  
519 show possible absolute velocities for comparison with the shear-wave velocities from surface  
520 waves. The five major interpreted anomalies as labelled in Fig. 7. Red arrows highlight seismic

521 low velocity zones, significant LAB phases from receiver functions and the depth of the strong  
522 sharp drop in velocity in the minimum parameterization model. Green lines show the location  
523 where no LAB phase is significant and no slow shear-wave velocity anomaly exists in the shear-  
524 wave tomography from surface waves, i.e., the interpreted lithospheric drip.  
525

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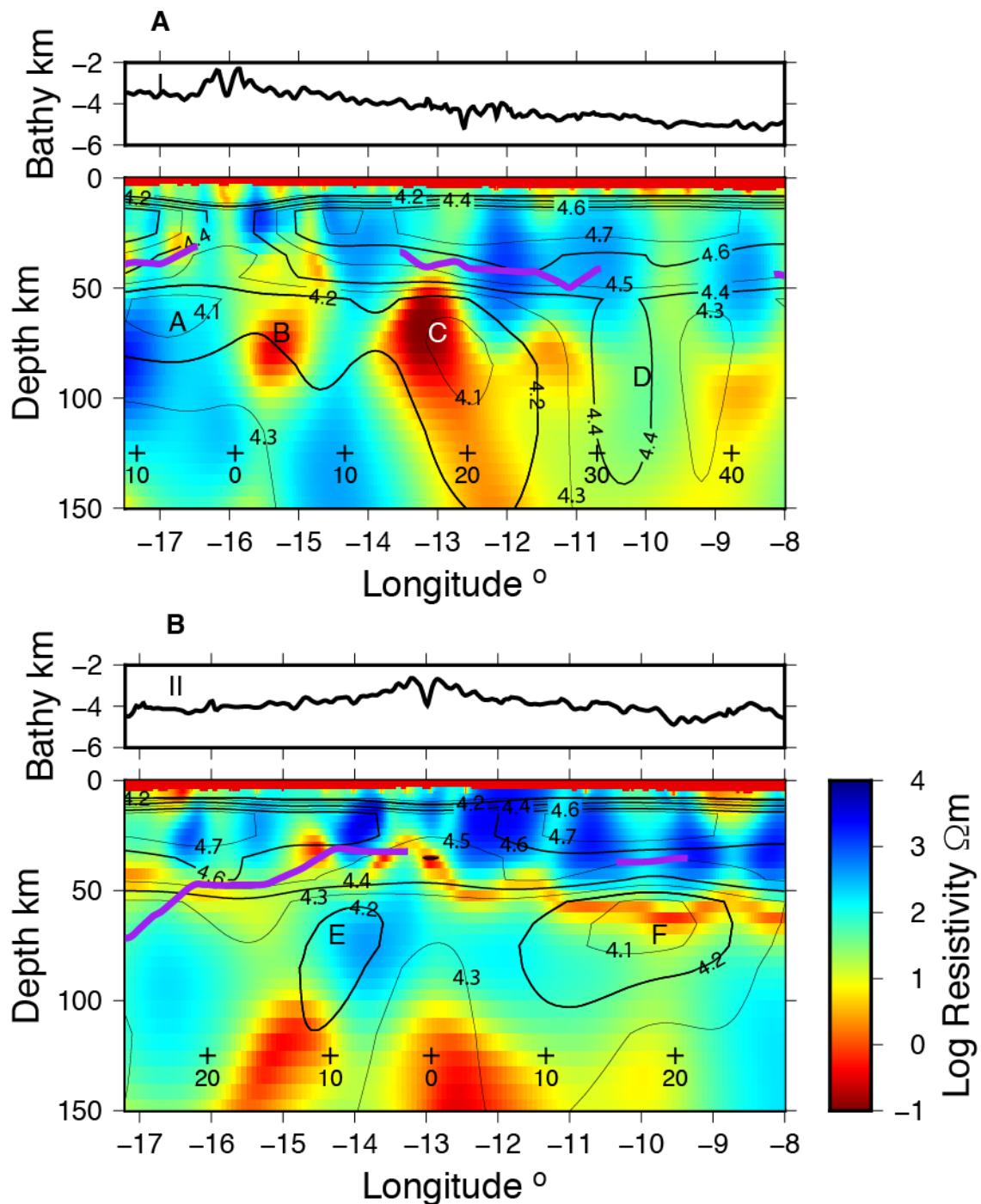
527

528 Figure 6

529 **Discontinuity depths from the western side of transect II in the south compared to other**  
530 **observations.** Thermal contours are plotted for the half-space cooling model (HSC; grey dashed  
531 lines) and the plate model assuming a 90 km thick plate (PM; black lines) at 200 °C interval and  
532 also a contour very close to the potential temperature, 1350 °C. The solidi for a mildly hydrated

533 mantle are shown for 125 ppm (cyan) and 500 ppm (pink) water assuming a plate model and 90  
534 km plate thickness. Depths are plotted relative to the seafloor with submarine results corrected  
535 from the depth beneath sea surface by the amount listed, if any. SS precursor results from the  
536 entire Pacific including (TRH17, RS11, and S12) are sorted into normal lithosphere (N; cyan)  
537 and anomalous (A; red) lithosphere affected by hotspots. Transect studies that encompass a range  
538 of ages are shown as boxes with fixed thickness (5 km), including: PAC06; PA5 -5, km; and  
539 BR08, -4 km. Active source studies (solid green symbols) include MS18, -4 km and St15.  
540 Receiver function results from normal (N) ocean lithosphere unaffected by hotspots (solid blue  
541 symbols) include this study (R21, -4 km), Cascadia (RHT18, -3 km), offshore California (R15, -  
542 3 km), western Pacific (O16), Circum-Pacific (KK11), off-shore Japan (K09), Gloria Fault in the  
543 Atlantic (H17); and the Juan de Fuca Ridge (A16). Receiver function studies from ocean island  
544 hotspot studies (A, anomalous) are shown as solid red symbols or red boxes where the studies  
545 encompass a range of ages or depths, with -5 km depth correction applied to island studies  
546 (LH06, V12, K05JM, L04, H07, G17, R14, B15, K05) and the listed amount applied to  
547 submarine studies: R13, -4 km and C02. The depths of the minimum velocity in the low-velocity  
548 zone beneath the Pacific from surface wave model SEMum2 (-4 km) are shown as orange x's.  
549 Oceanic effective elastic thickness estimates are shown by black squares. Depths from a sS  
550 precursor result (TH12) is shown by a cyan star and a Po/So result (Sh15) is shown by purple  
551 triangles. For a complete set of references please refer to Rychert et al., (2020).

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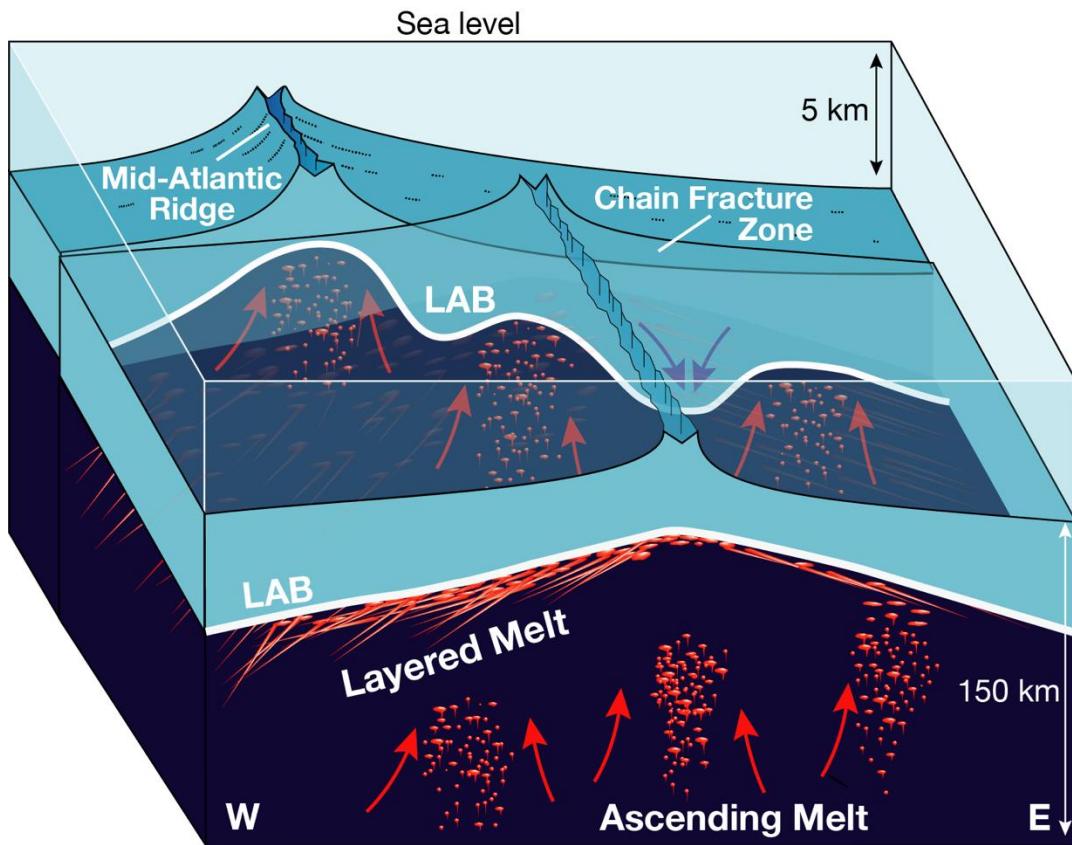


553

554 **Figure 7**

555 **Summary of scientific results.** Results from all three methods are presented along the transects  
 556 (thick black lines, Fig. 1). Background color shows resistivity. Black contours show the shear-  
 557 wave seismic velocity model from surface waves. Thick purple line shows negative polarity

558 phase from the Sp receiver functions with amplitudes that exceed 95 % confidence limits.  
559 Seafloor bathymetry is plotted above the transects. Black crosses show seafloor ages (in Myr) as  
560 labeled. Letters indicate anomalies discussed in the text. Depths are with respect to the sea  
561 surface.



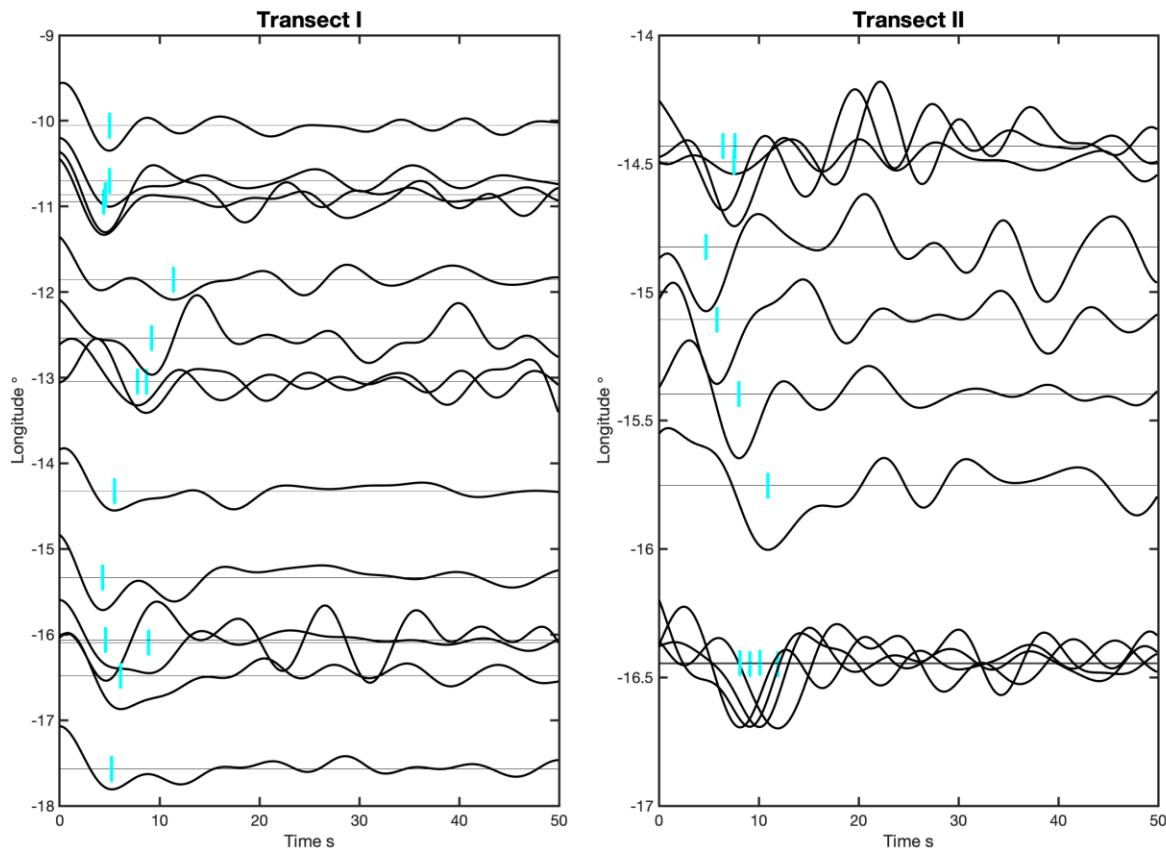
562  
563 **Figure 8**  
564 **Schematic summary of the interpretation of the results.** Front panel shows transect II where  
565 melt layers define the base of the plate, with gradually decreasing amounts of melt with depth in  
566 the west and a thin melt channel in the east. Partial melt from either chemical heterogeneity  
567 and/or small-scale convection ascends from depth. Back panel shows transect I where the age

568 progression of the plate is more complex, possibly owing to alteration by small-scale convection.

569 The LAB is shown as the thick white line.

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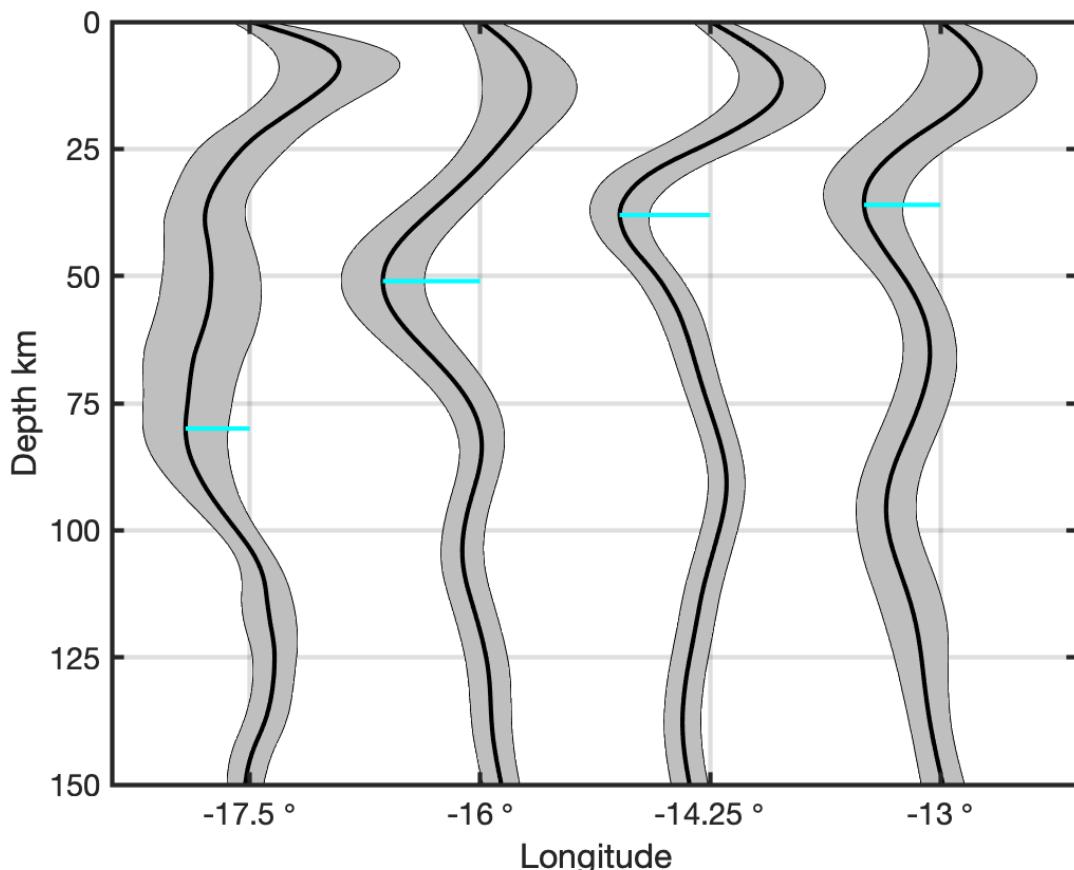


572

573 **Figure S1**

574 **Individual S-to-P receiver function examples.** Receiver functions examples with signal to  
575 noise ratios > 10 in the raw data that are stacked and highly weighted in the bins located beneath  
576 transects I (left) and II (right) are shown. The x-axes correspond to the differential time before  
577 the S-wave. The y-axes correspond to the longitude of the conversion point. These receiver  
578 functions are converted at any depth beneath the transect. In the final model these examples are

579 also stacked with many more seismograms of similar quality. Therefore, a 1-to-1 correlation with  
580 the models presented in Figure 1 and 4 is not expected. A positive phase is imaged at < 5 s that is  
581 related to the Moho. It is shallower in some cases owing to interference with internal Moho  
582 discontinuities. In addition, a negative discontinuity is imaged in transect II (marked by cyan  
583 lines) with increasing differential time towards the west, corresponding to the interpreted  
584 thickening plate. In transect I greater complexity is imaged, and in some cases two negative  
585 discontinuities exist, potentially related to complex topography. Overall, the figure demonstrates  
586 what goes into the stacks. Other phases are present besides the Moho-related phase and the LAB  
587 in some receiver functions. Additional phases besides the Moho-related phase and the LAB are  
588 likely related to noise because they stack out in the final model. The figure demonstrates that the  
589 Moho and/or Moho-related phase and LAB phases exist in the raw receiver functions and do not  
590 correspond to wrongly interpreted noise. Phases that are not significantly different from zero  
591 according to formal error are not plotted or interpreted in Fig. 7.



592

593

594 **Figure S2**

595 **Vertical receiver functions from the stacked model from the western half of transect II in**  
 596 **the south.** Thick black lines show the receiver functions and grey region show the 95 %  
 597 confidence limits. Cyan lines show the depths of the interpreted LAB phases.

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Figure 1

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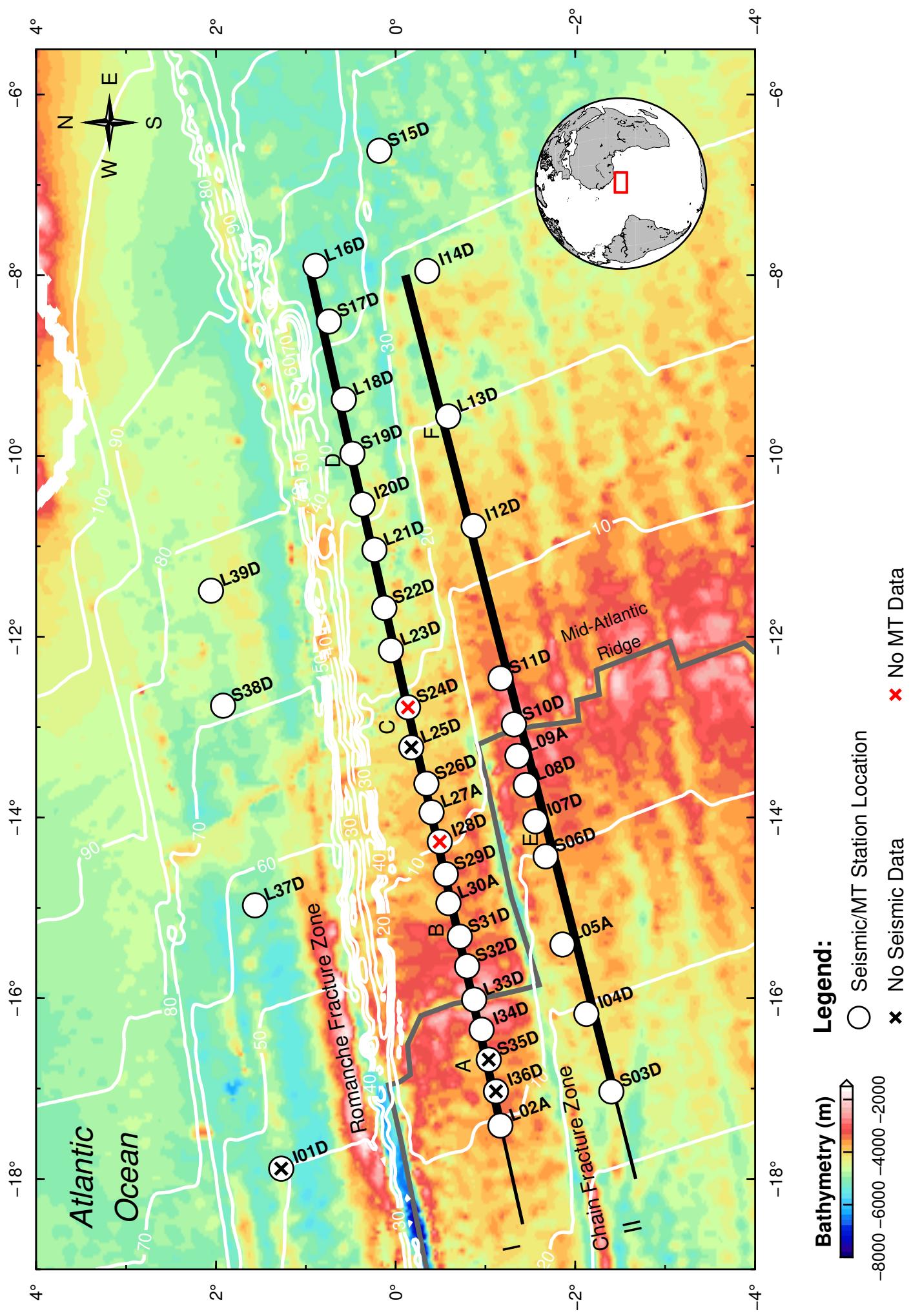
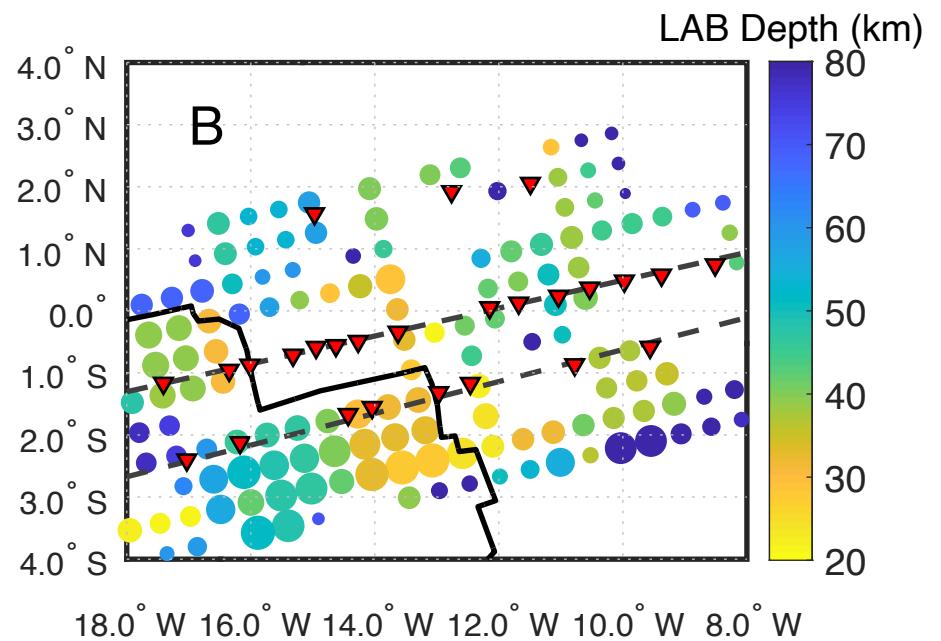
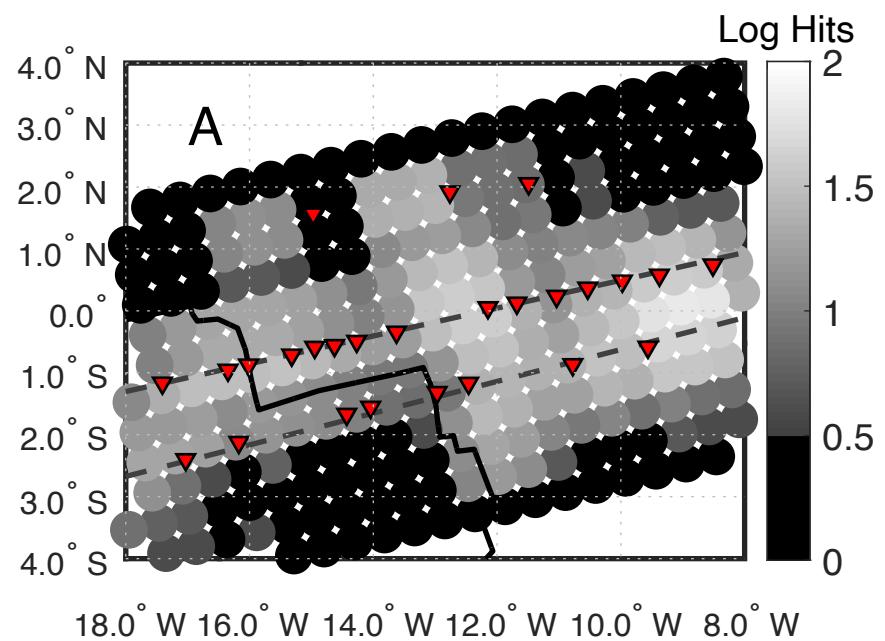
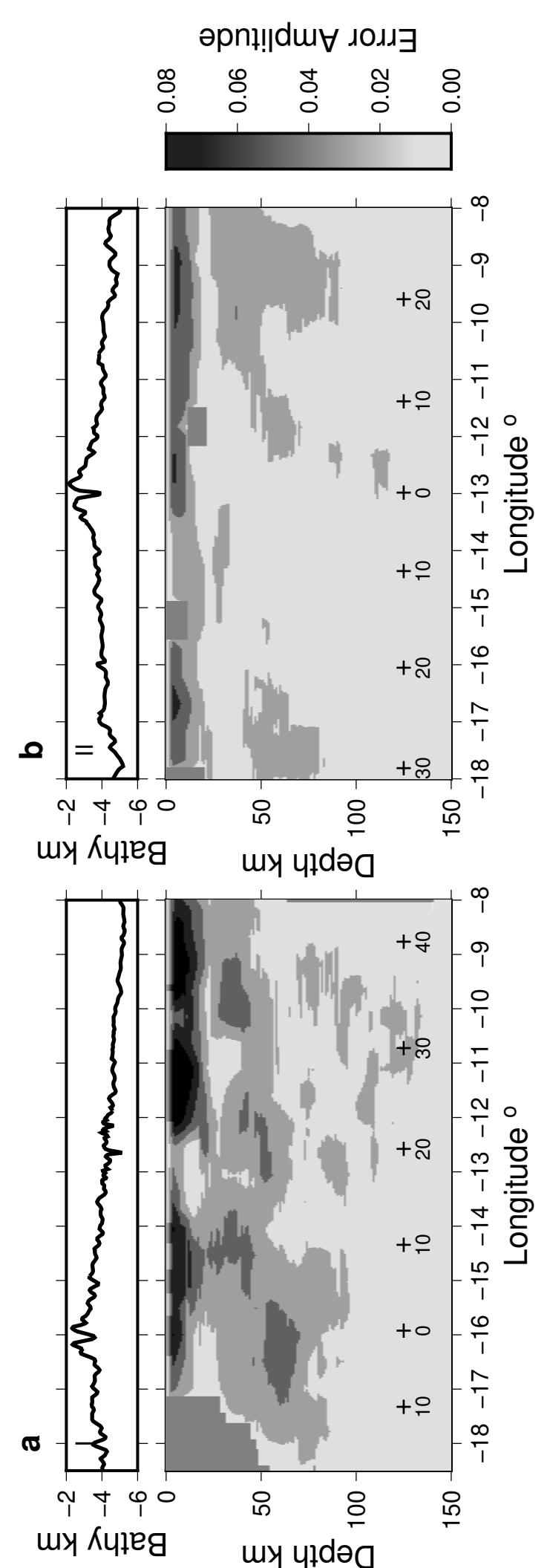


Figure 2

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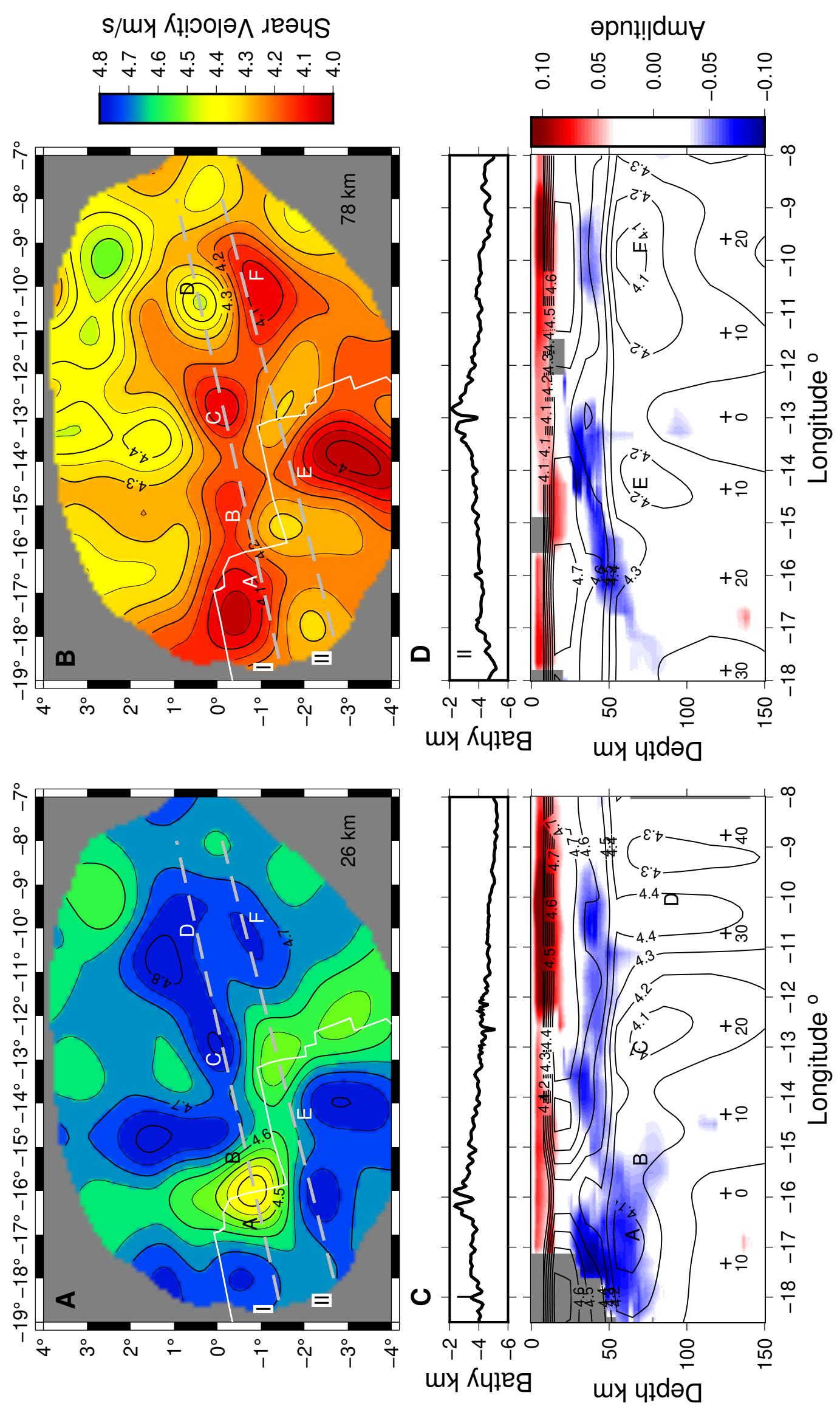
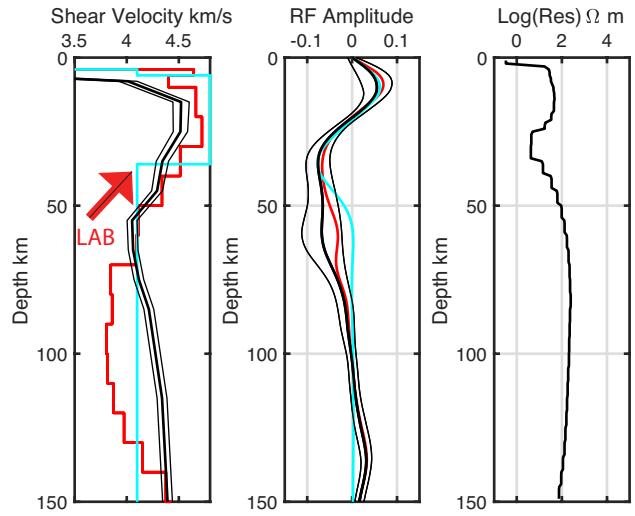


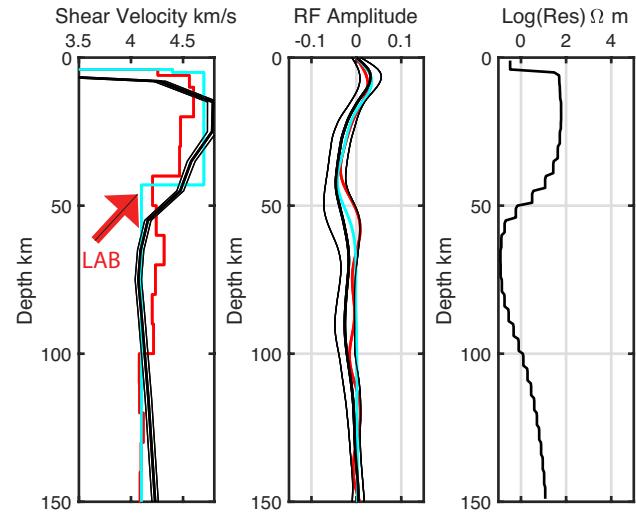
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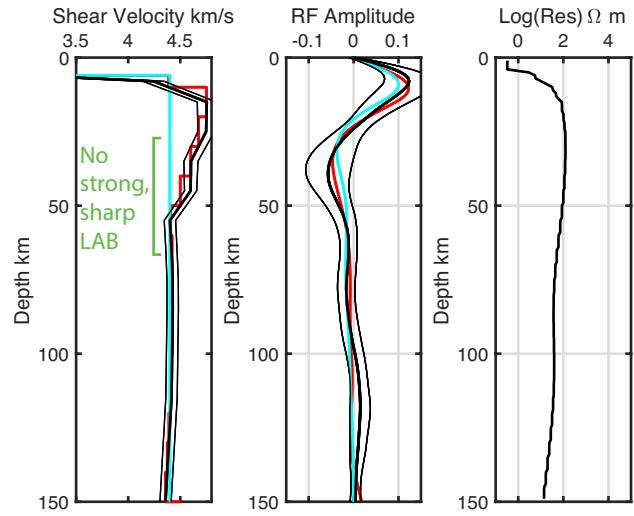
Anomaly A



Anomaly C

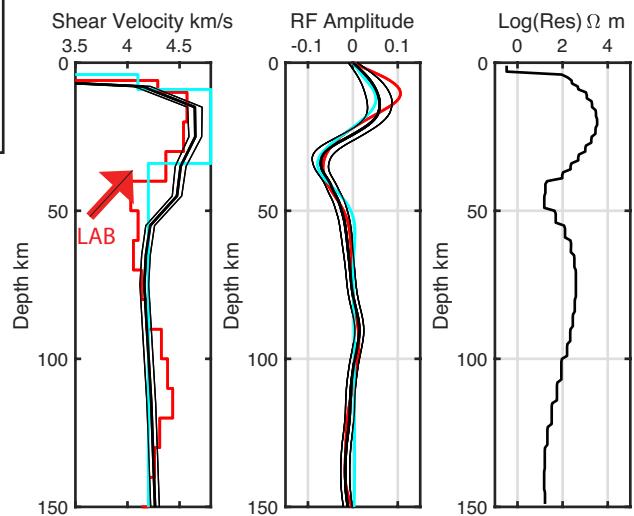


Anomaly D



- RF, SW, Res Data
- Min. Param. Model and Synth.
- Over Param. Model and Synth.

Anomaly E



Anomaly F

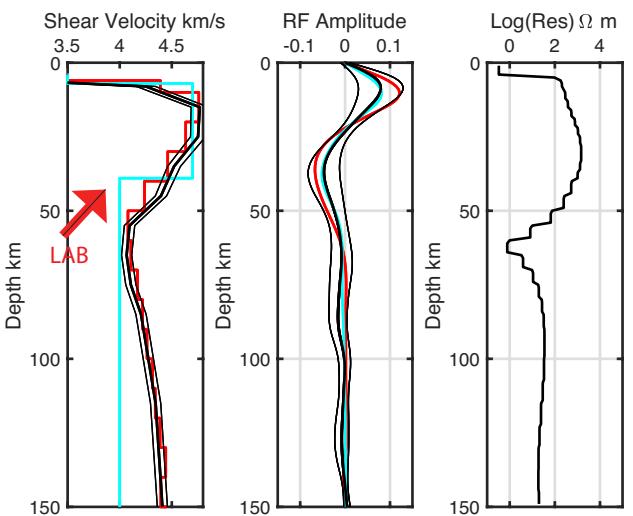


Figure 6

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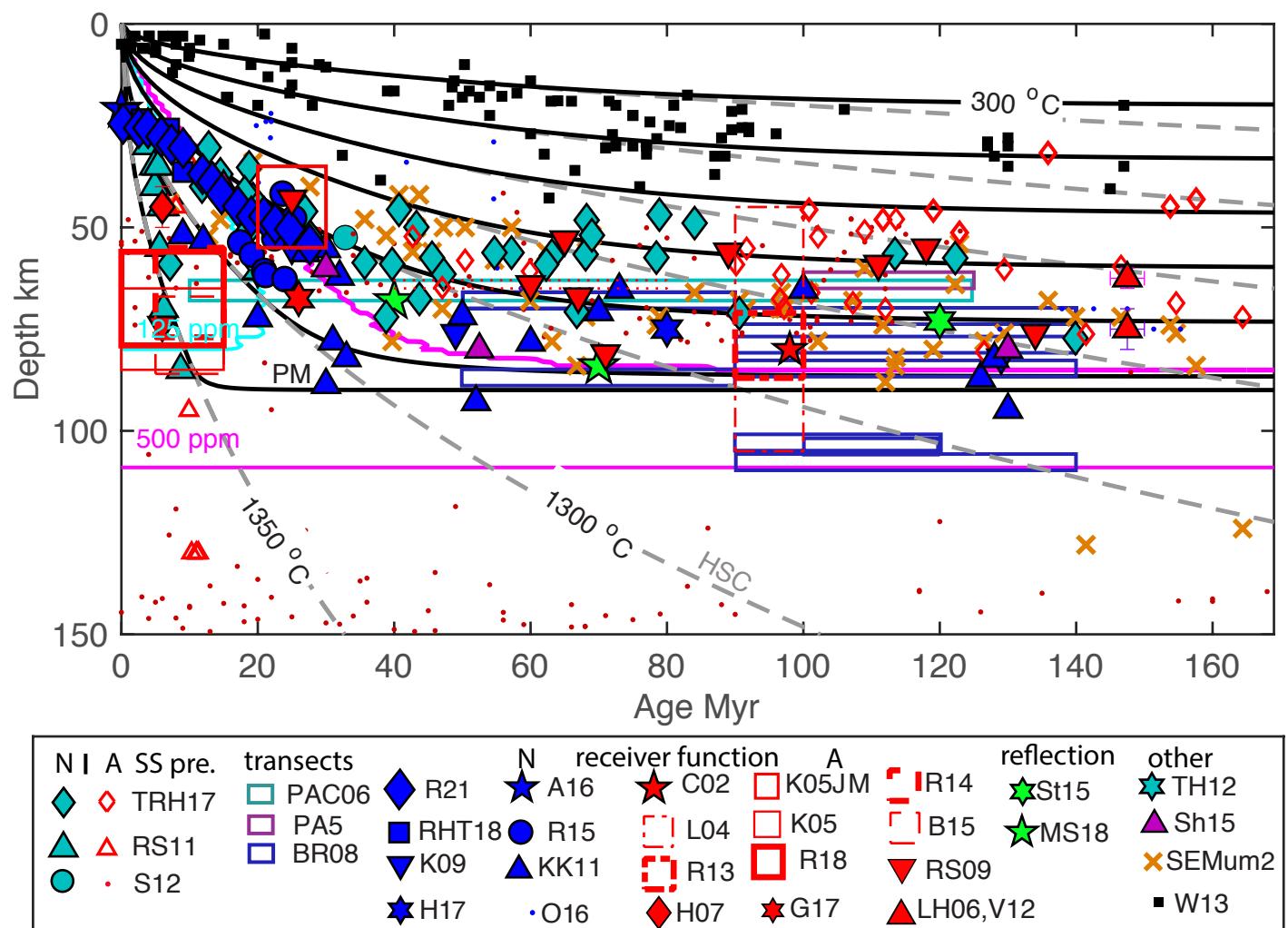
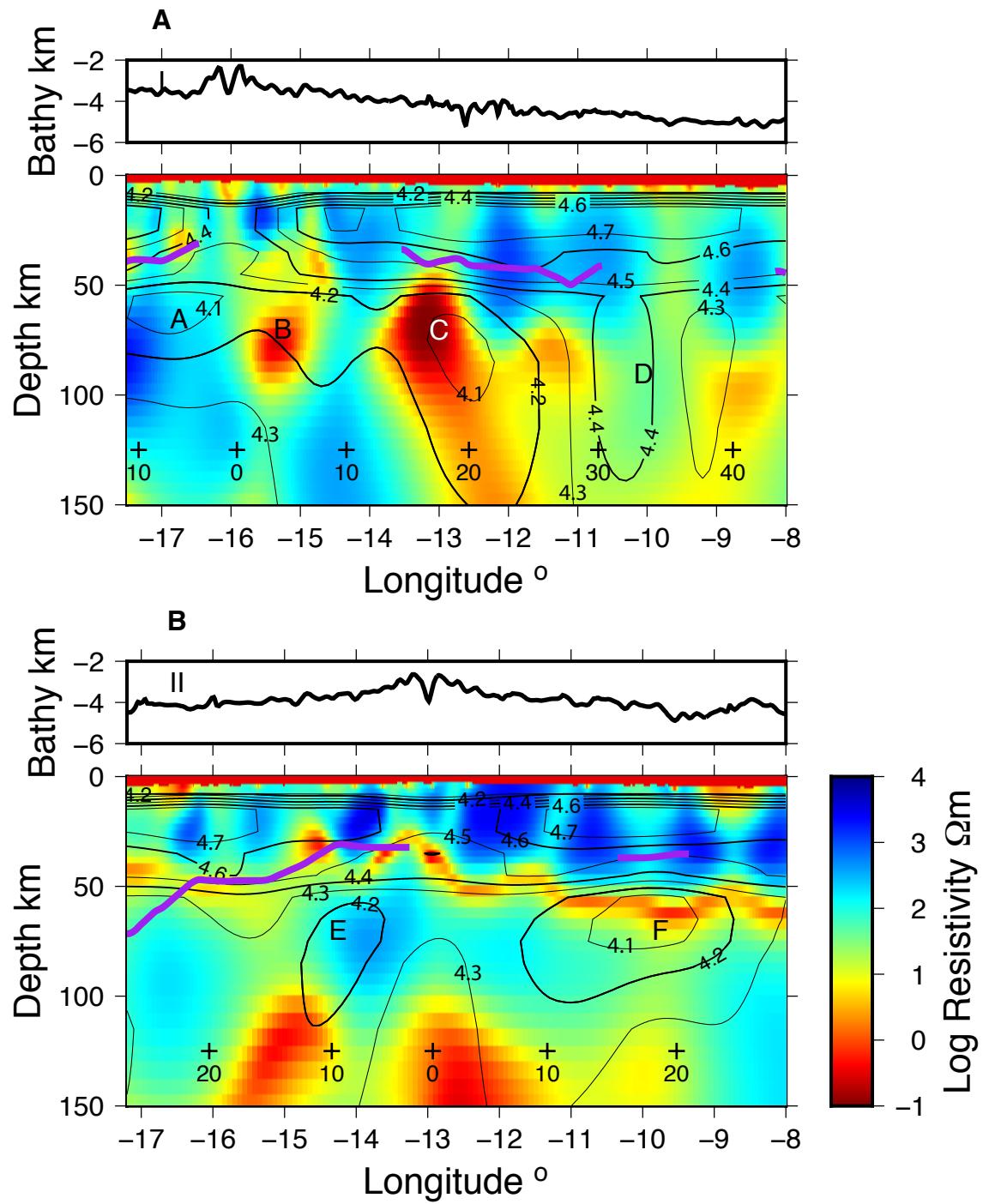
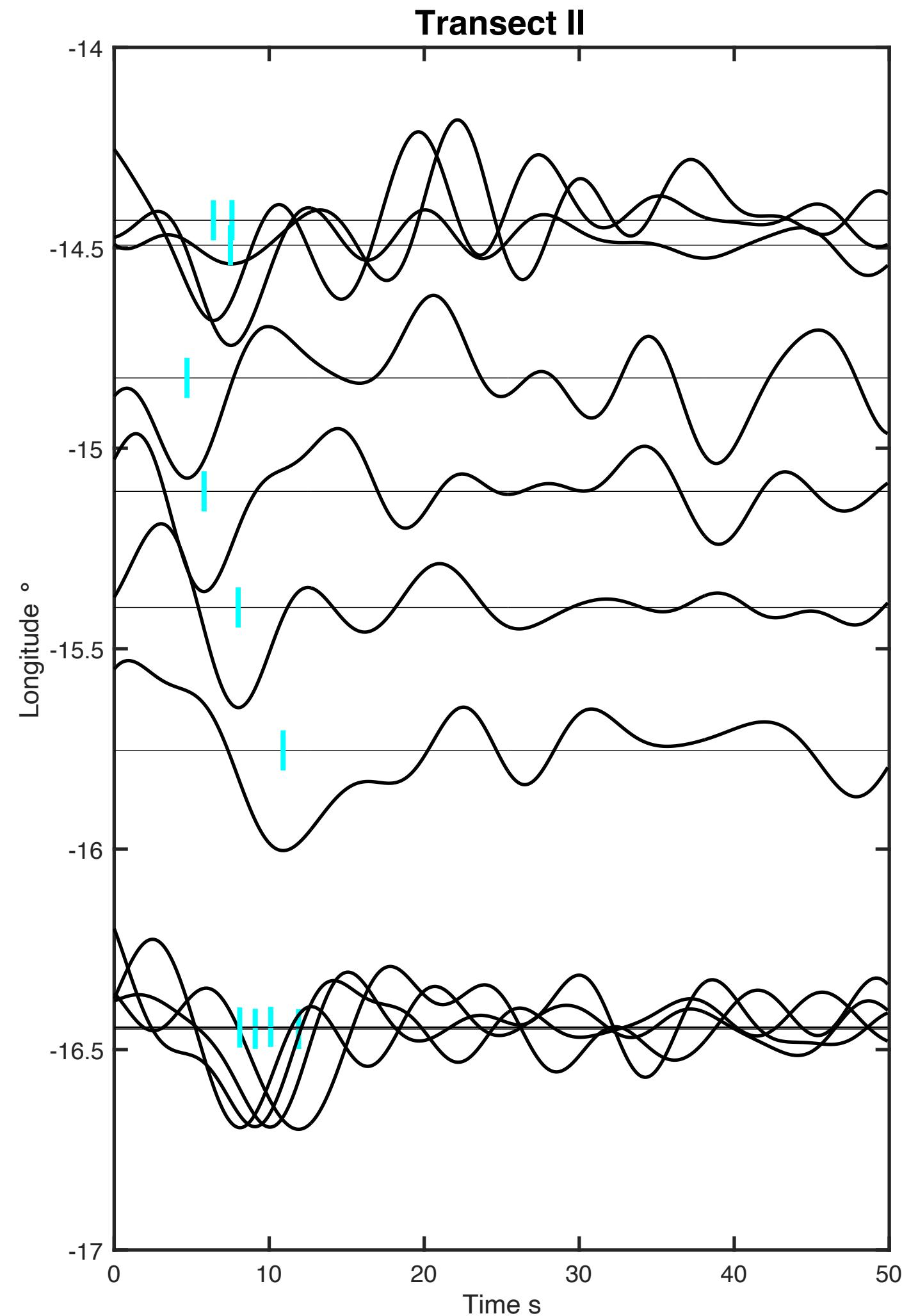
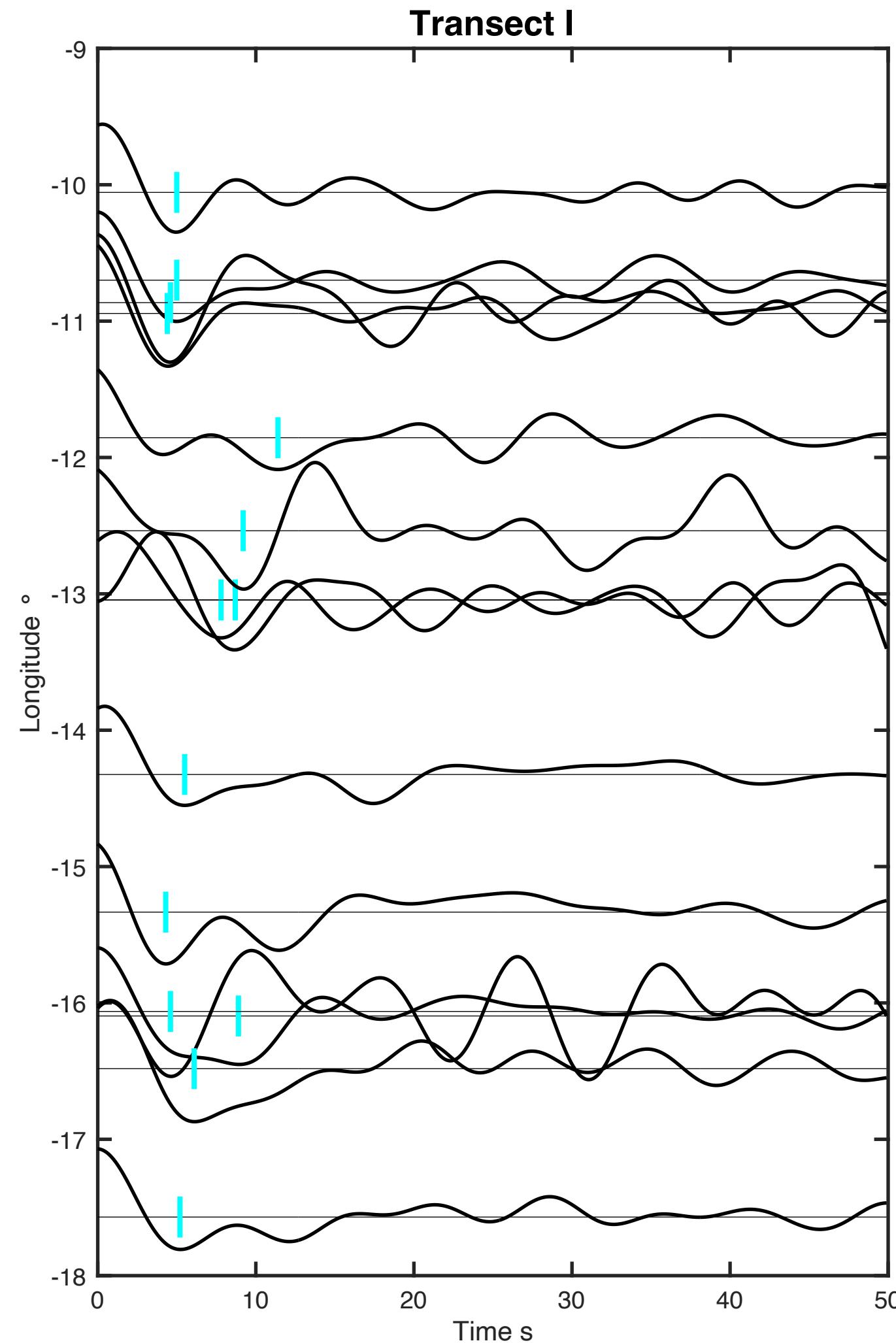
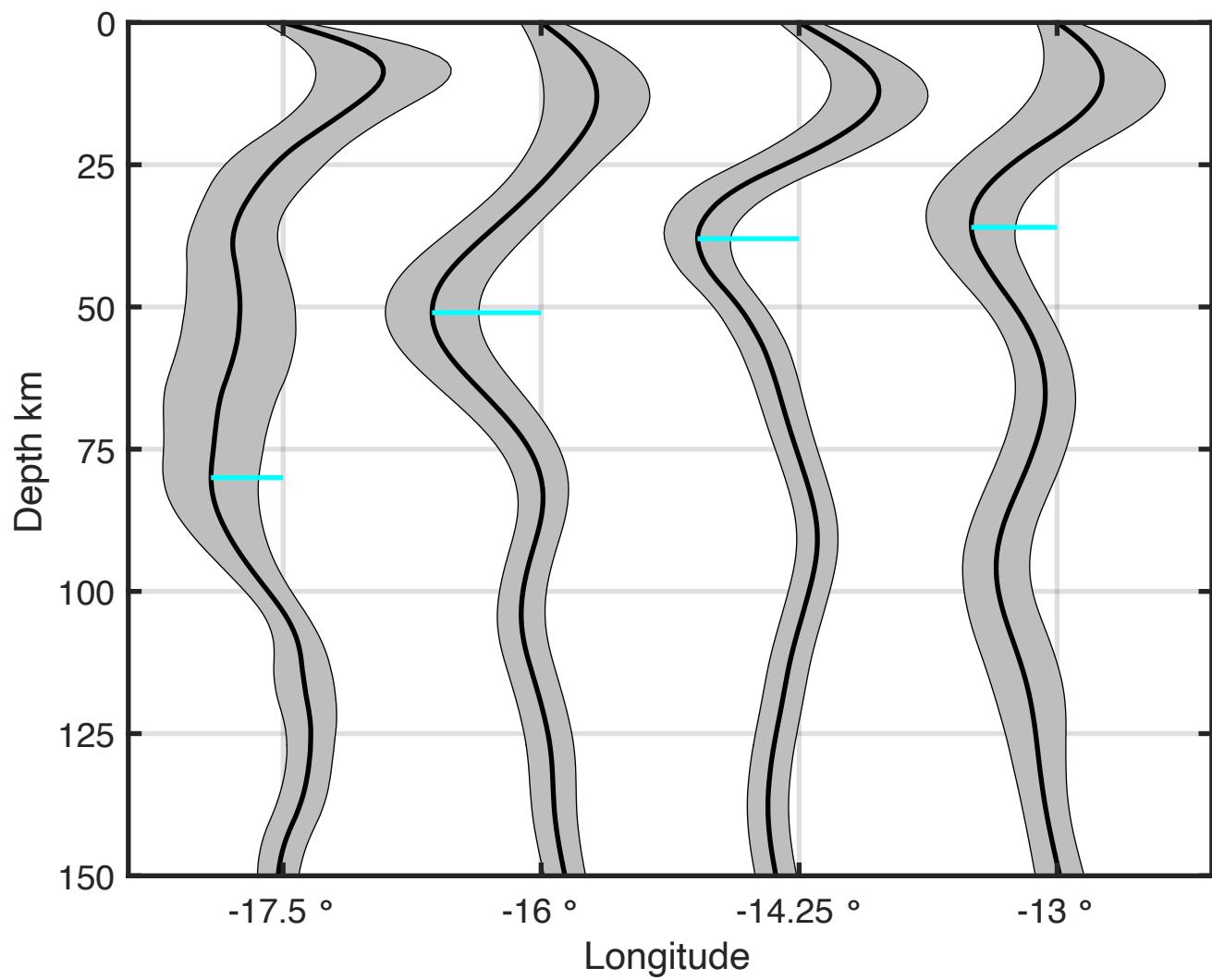


Figure 7

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**Catherine Rychert & Nicholas Harmon:** Conceptualization, Validation, Formal Analysis, Methodology, Software, Resources, Data Curation, Writing - Review & Editing, Visualization, Supervision, Project Administration **Sai Tharimena:** Validation, Formal Analysis, Data Curation, Writing - Review & Editing **Shunguo Wang:** Validation, Formal Analysis, Writing - Review & Editing **Steven Constable:** Conceptualization, Validation, Formal Analysis, Writing - Review & Editing, Supervision, Project Administration **Mike Kendall:** Conceptualization, Data Curation, Writing - Review & Editing, Supervision **Petros Bogiatzis, Matthew Agius, and David Schlaphorst:** Data Curation, Writing - Review & Editing