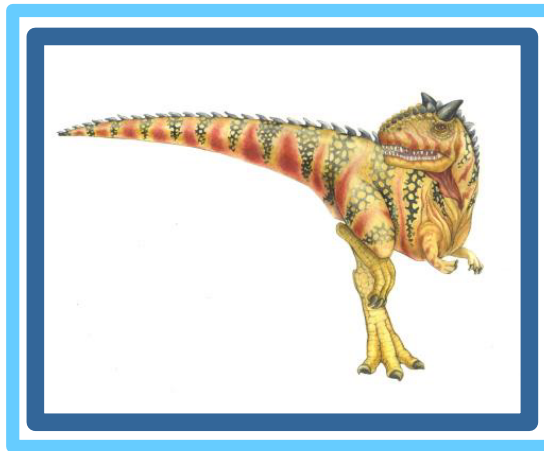


# Chapter 3: Processes

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# Chapter 3: Processes

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- Process Concept
- Process Scheduling
- Operations on Processes
- Interprocess Communication
- Communication in Client-Server Systems





# Objectives

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- To introduce the notion of a process -- a program in execution, which forms the basis of all computation
- To describe the various features of processes, including scheduling, creation and termination, and communication
- To explore interprocess communication using shared memory and message passing
- To describe communication in client-server systems





# Interprocess Communication

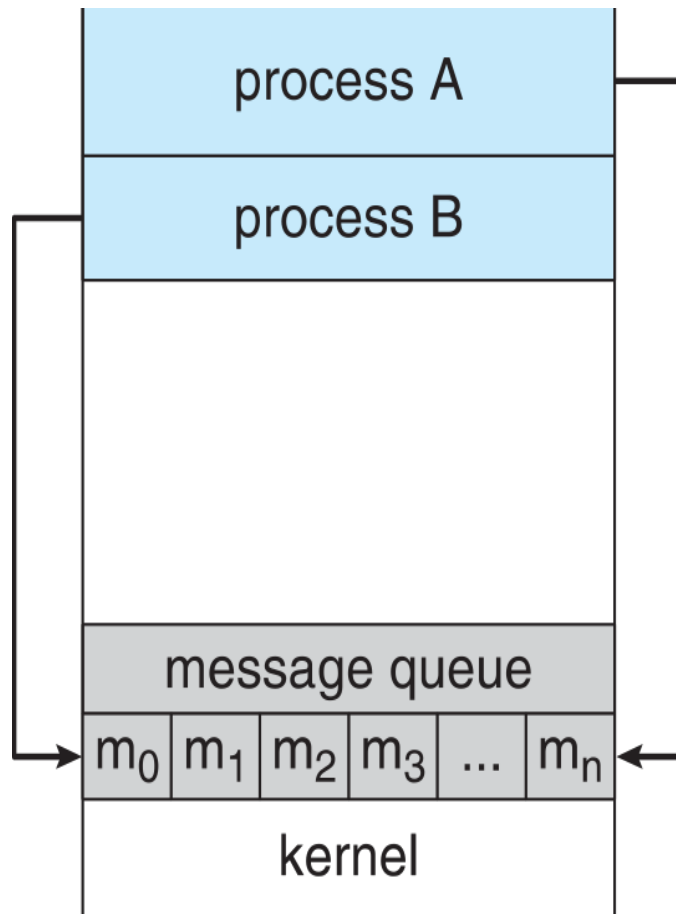
- Processes within a system may be ***independent*** or ***cooperating***
- ***Independent*** process cannot affect or be affected by the execution of another process
- ***Cooperating*** process can affect or be affected by the execution of another process
- Reasons for cooperating processes:
  - Information sharing
  - Computation speedup
  - Modularity
  - Convenience
- Cooperating processes need **interprocess communication (IPC)**
- Two models of IPC
  - **Shared memory**
  - **Message passing**



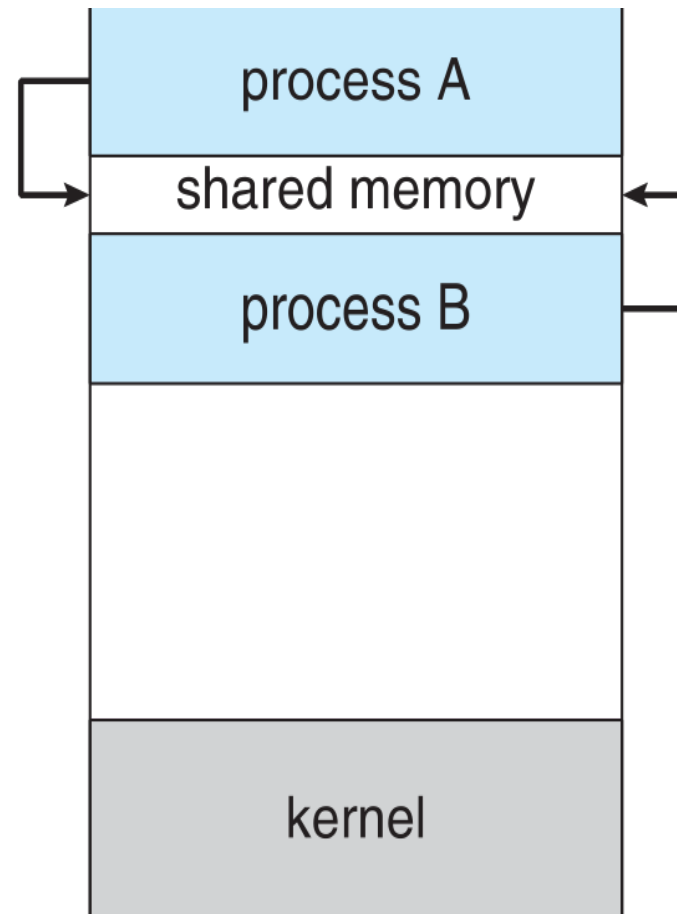


# Communications Models

(a) Message passing. (b) shared memory.



(a)



(b)





# Interprocess Communication – Shared Memory

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- An area of memory shared among the processes that wish to communicate
- The communication is under the control of the users processes not the operating system.
- Major issues is to provide mechanism that will allow the user processes to synchronize their actions when they access shared memory.





# Interprocess Communication – Message Passing

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- Mechanism for processes to communicate and to synchronize their actions
- Message system – processes communicate with each other without resorting to shared variables
- IPC facility provides two operations:
  - **send**(*message*)
  - **receive**(*message*)
- The *message* size is either fixed or variable
- The message passing can be:
  - Direct
  - Indirect
  - Synchronized





# Direct Communication

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- » Processes must name each other explicitly:
  - **send** ( $P$ , *message*) – send a message to process  $P$
  - **receive**( $Q$ , *message*) – receive a message from process  $Q$
- » Properties of communication link
  - Links are established automatically
  - A link is associated with exactly one pair of communicating processes
  - Between each pair there exists exactly one link
  - The link may be unidirectional, but is usually bi-directional







# Indirect Communication

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- » Messages are directed and received from mailboxes (also referred to as ports)
  - Each mailbox has a unique id
  - Processes can communicate only if they share a mailbox
- » Properties of communication link
  - Link established only if processes share a common mailbox
  - A link may be associated with many processes
  - Each pair of processes may share several communication links
  - Link may be unidirectional or bi-directional





# Indirect Communication

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## » Operations

- create a new mailbox (port)
- send and receive messages through mailbox
- destroy a mailbox

## » Primitives are defined as:

**send**(*A, message*) – send a message to mailbox A

**receive**(*A, message*) – receive a message from mailbox A





# Communications in Client-Server Systems - Sockets

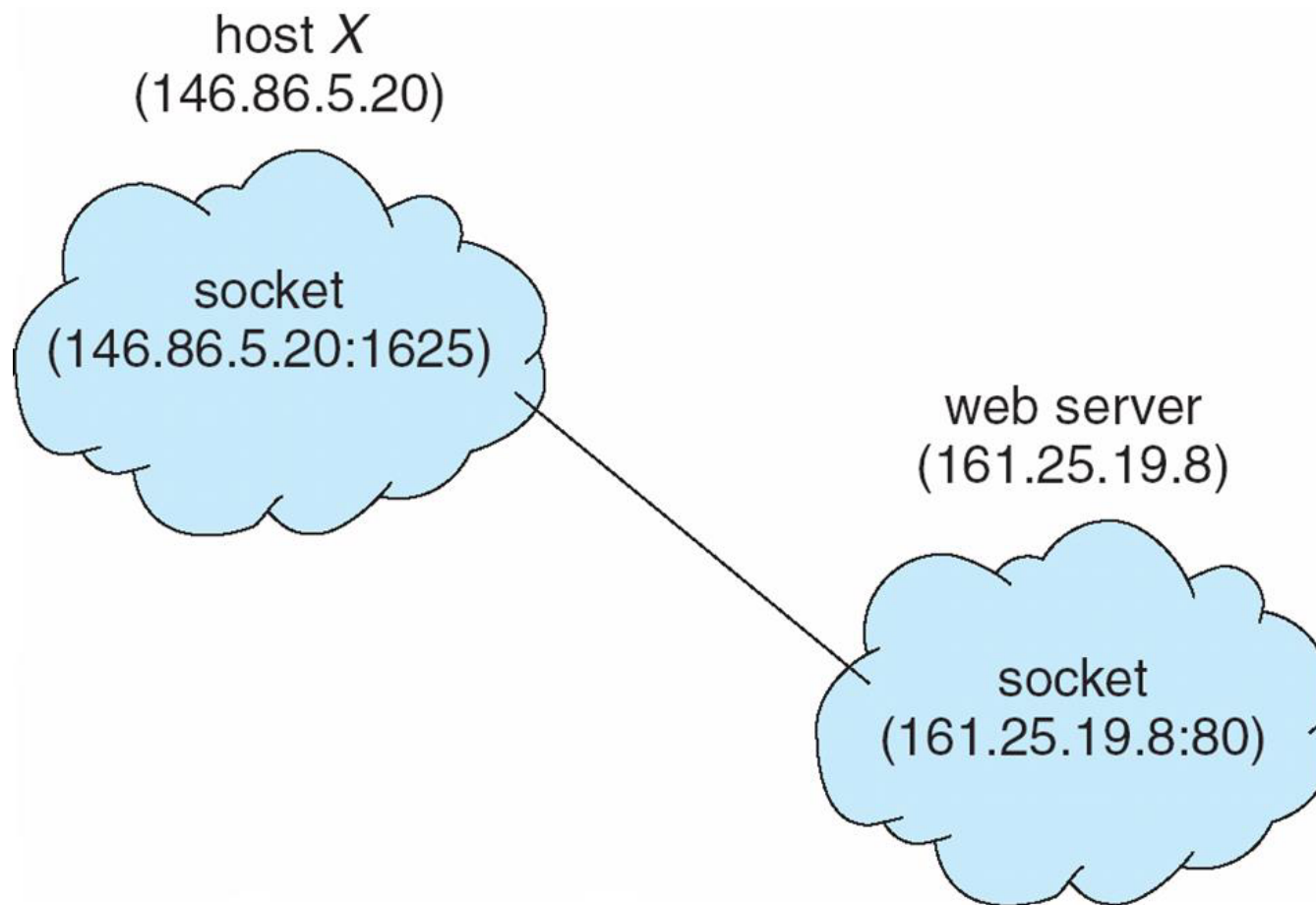
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- A **socket** is defined as an endpoint for communication
- Concatenation of IP address and **port** – a number included at start of message packet to differentiate network services on a host
- The socket **161.25.19.8:1625** refers to port **1625** on host **161.25.19.8**
- Communication consists between a pair of sockets
- All ports below 1024 are ***well known***, used for standard services
- Special IP address 127.0.0.1 (**loopback**) to refer to system on which process is running





# Socket Communication





# Review

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- **Process Concept**
- **Process Scheduling**
- **Operations on Processes**
- Interprocess Communication
- Communication in Client-Server Systems

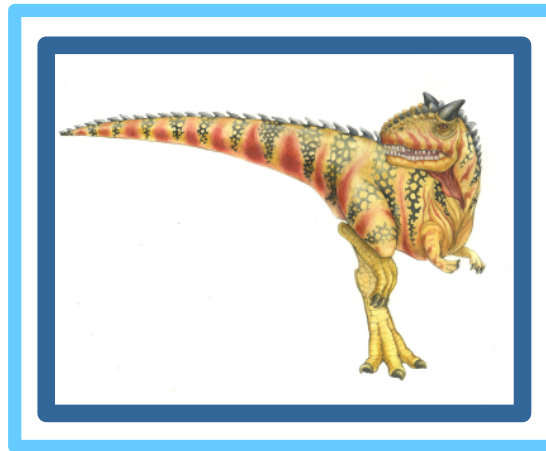
## Quiz:

1. What are the two models of IPC?
2. What are the three types of message passing IPC?
3. Which type of IPC use mailboxes?
4. What are sockets?
5. The well known sockets are the port numbers under .....



# Chapter 4: Threads & Concurrency

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# Chapter 4: Threads

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- Overview
- Multithreading Models
- Threading Issues





# Objectives

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- To introduce the notion of a thread—a fundamental unit of CPU utilization that forms the basis of multithreaded computer systems
- To discuss multithreading models
- To examine issues related to multithreaded programming







# Thread

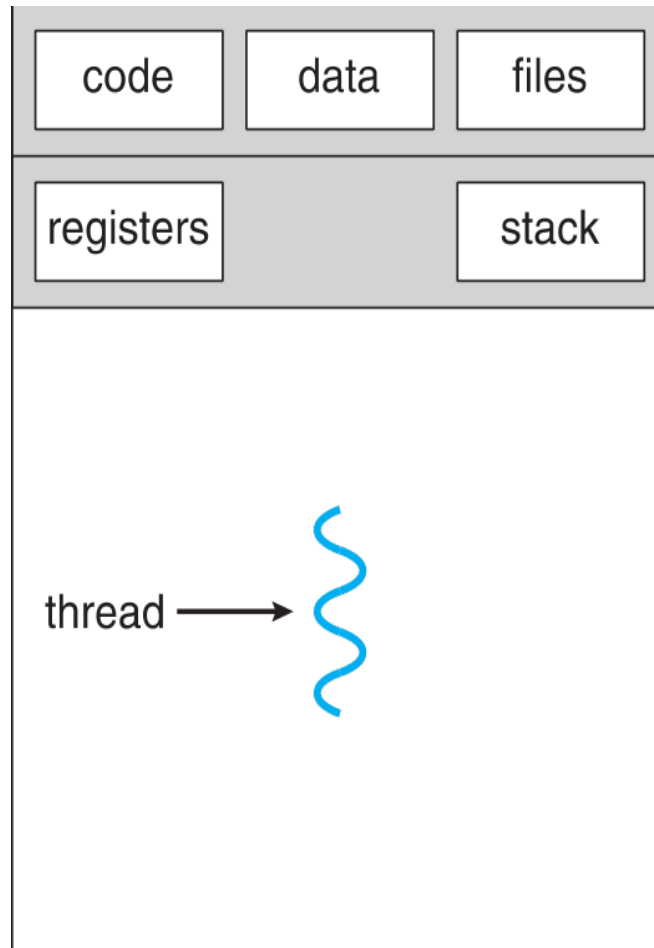
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- Unit of execution
- Comprises of,
  - A thread ID
  - A program counter
  - A register set
  - A stack
- Share code section, data section, OS resources such as files and signals with other threads belonging to the same processes.
- Single process can have multiple thread and each thread can handle one task at a time.

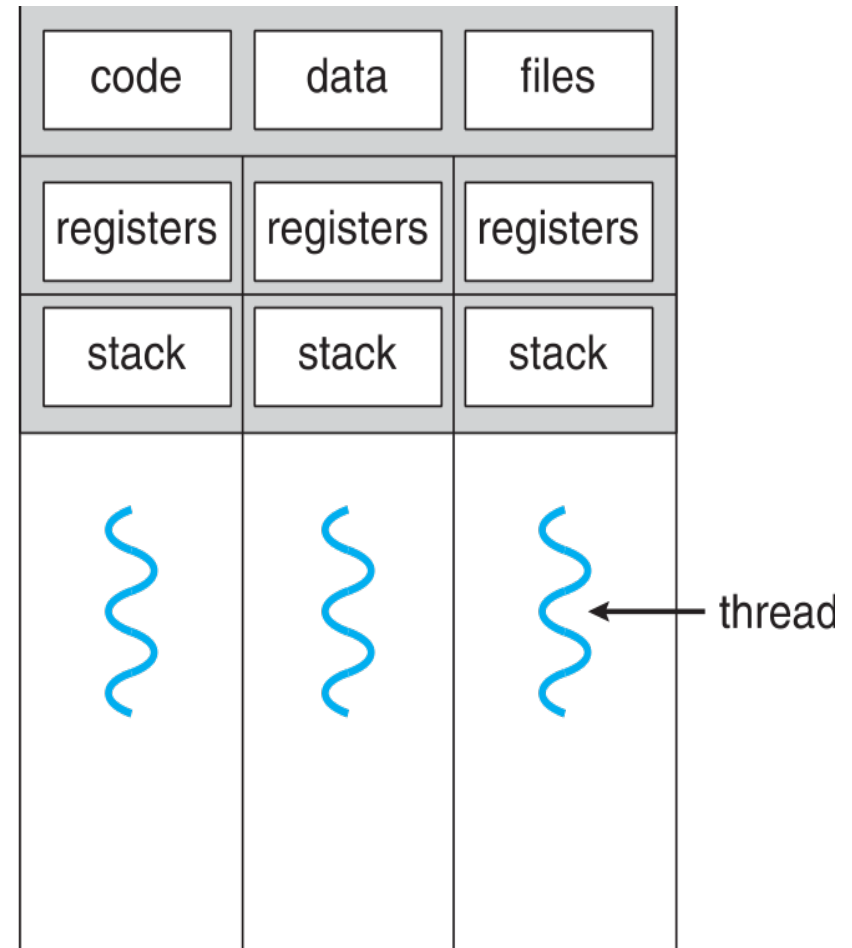




# Single and Multithreaded Processes



single-threaded process



multithreaded process





## Benefits

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- **Responsiveness** – may allow continued execution if part of process is blocked, especially important for user interfaces
- **Resource Sharing** – threads share resources of process, easier than shared memory or message passing
- **Economy** – cheaper than process creation, thread switching lower overhead than context switching
- **Scalability** – process can take advantage of multiprocessor architectures





# User Threads and Kernel Threads

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- **User threads** - management done by user-level threads library
- Three primary thread libraries:
  - POSIX **Pthreads**
  - Windows threads
  - Java threads
- **Kernel threads** - Supported by the Kernel
- Examples – virtually all general purpose operating systems, including:
  - Windows
  - Solaris
  - Linux
  - Tru64 UNIX
  - Mac OS X





# Multithreading Models

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- The relationship between the User Thread and the Kernel Threads are established mainly by three models,
  - Many-to-One
  - One-to-One
  - Many-to-Many

