

Section2 Basic Topology

FINITE,COUNTABLE,AND UNCOUNTABLE SETS

2.1 Definition

Consider two sets ,A and B and a mapping from A to B ,which we may call it 'f'.The 'f' should be some manner,or be said to be a **function**(mapping).

The elements $f(x)$ for all x in A,are called **values**.

The set of all values is called the **range** of f.

2.2 Definition

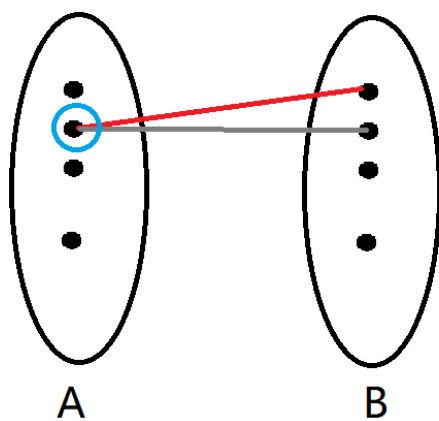
Clearly, $f(A)$ is the range of f and $f(A) \subseteq B$.

We say that f maps A **onto** B when $f(A) = B$.

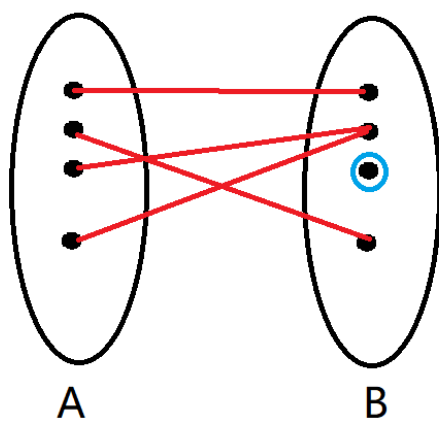
For each $y \in B$,when $f^{-1}(y)$ covers all the elements of A,f is said to be **1-1(one to one)** mapping of A into B.

We may illustrate the definition with these graphs below:

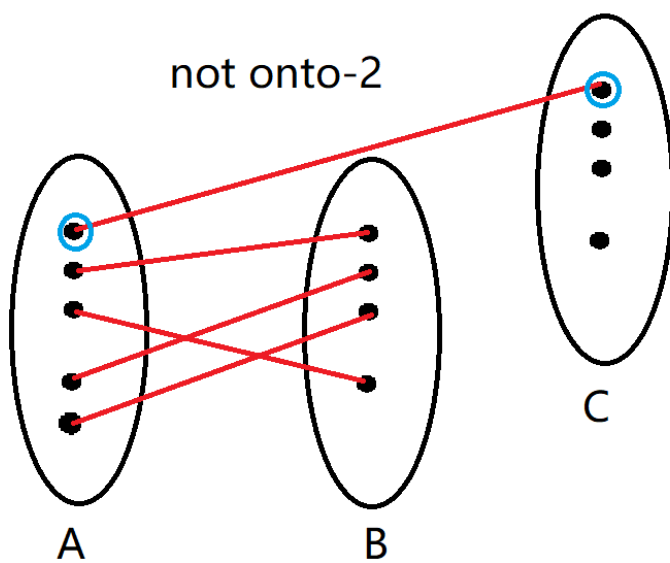
not mapping



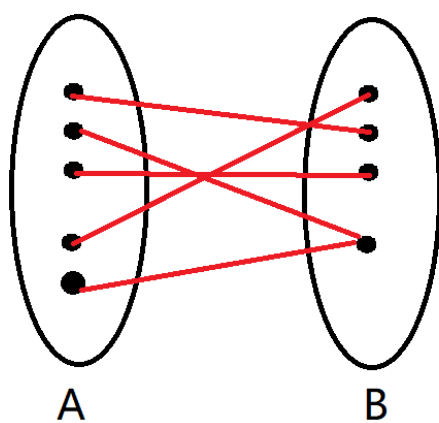
not onto-1



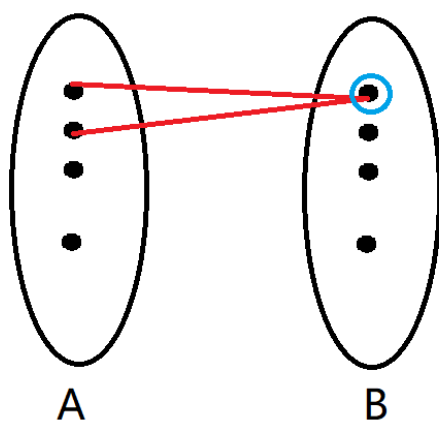
not onto-2



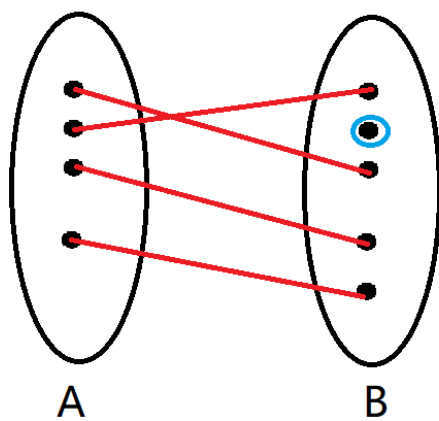
onto (but not 1-1)



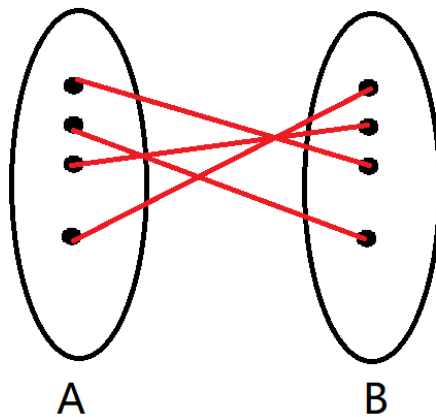
not 1-1



1-1 (but not onto)



1-1 and onto



2.3 Definition

Any relation with these properties is called an equivalence relation:

reflexive : $A \sim A$.

symmetric : If $A \sim B$, then $B \sim A$.

transitive : If $A \sim B$ and $B \sim C$, then $A \sim C$.

If there exists a 1-1 mapping of A onto B, we say that A and B are equivalent, that is, $A \sim B$.

2.4 Remark

if $J_m \sim J_n$, $m = n$.

if $m = n$, $J_m \sim J_n$.

where J_n is the set whose elements are the integers $1, 2, \dots, n$;

2.5 Definition

For any set A, we may say:

- A is **finite** if $A \sim J_n$ for some n. (empty set \emptyset is considered to be finite)
- A is **infinite** if A is not finite.
- A is **countable** if $A \sim J$.
- A is **uncountable** if A is neither **finite** or **countable**.
- A is **at most countable** (至多可数) if A is **finite** or **countable**.

2.6 Remark

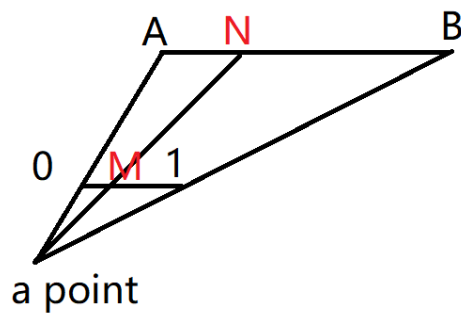
a. $N \sim \mathbb{Z}$

For $:0, 1, 2, 3, \dots \rightarrow 0, -1, 1, -2, \dots$

We may even find out an explicit formula.

b. $\forall a, b \in \mathbb{R}, (a, b) \sim (0, 1)$:

Graphic explanations:



$\forall x$ in (a, b) , denoted by N on the axis AB , has some linear pattern corresponding to M in axis 01 .

c. $(0, 1) \sim \mathbb{R}$

We may even find the explicit function of it:

$$f(x) := \begin{cases} x - \frac{1}{2} \\ \frac{x^2}{2}, & 0 < x \leq \frac{1}{2} \\ x - \frac{1}{2} \\ \frac{x^2}{1-x^2}, & \frac{1}{2} < x < 1 \end{cases}$$

d. $\forall a, b \in R, (a, b) \sim R$:

An equivalence relation is transitive, so it is clear.

In fact, we could replace the definition of infinite set 2.5.b by the statement below:

A is infinite if A is equivalent to one of its proper subsets.

2.7 Definition

We may sort of order or label the elements in a countable set A in the way of 'sequence in A ':

$$A = \{x_n\}, n \in J.$$

The elements in the set arranged in this way need not to be distinct. (For example, we may assume: $f(x) := x^2, x_1 = (-1)^2 = 1, x_2 = (+1)^2 = 1, x_1 = x_2$, which is valid)

2.8 Theorem

Every infinite subset of a countable set A is countable.

No uncountable set can be a subset of a countable set.

Proof:

The key operation is to find out the 'net mapping' of J_n , the subset of J :

$$\begin{array}{ccc} k & n_k & x_{n_k} \\ 1 & n_1 & x_{n_1} \\ : & 2 & x_{n_2} \\ \dots & \dots & \dots \\ n & n_n & x_{n_n} \end{array}$$

In this way we found a mapping function from J_n to A such that n_k is the smallest integer greater than n_{k-1} and $x_{n_k} \in E$, where $f(k) = x_{n_k}$.

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2.9 Theorem

Let $\{E_n\}, n = 1, 2, 3, \dots$, be a sequence of countable sets, and put

$$S = \bigcup_{n=1}^{\infty} E_n$$

Then S is countable.

2.10 Remark

Let $A = (0, 1)$ and $E_\alpha = (0, \alpha)$, then

- (1) $\bigcup_{\alpha \in A} E_\alpha = (0, 1)$
- (2) $\bigcap_{\alpha \in A} E_\alpha = \emptyset$.

(2) is clear, for:

$$\forall y > x > 0, y \notin E_x.$$

Hence $\forall y > 0, y \notin \cup_{x \in A} E_x$.

To prove (1), we let $M = \cup_{\alpha \in A} E_\alpha$. $\forall x \in M, x \in (0, 1), M \subseteq (0, 1)$.

Suppose $\forall \beta \in (0, 1), \forall \alpha \in (0, 1), \beta \notin E_\alpha$, then $\beta \geq 1$. However $\beta < 1$.

Hence, $\forall \beta \in (0, 1), \exists \alpha \in (0, 1), s. t. \beta \in E_\alpha$, whereas $(0, 1) \subseteq M$.

Since $(0, 1) \subseteq M, M \subseteq (0, 1), M = \cup_{\alpha \in A} E_\alpha = (0, 1)$.

2.11 Facts

A, B are two sets.

(1) If A has a 1-1 mapping f into B, $\exists g : B \rightarrow A, s. t. (g \circ f) = id_A$.

(2) If f maps A onto B, $\exists g : B \rightarrow A, s. t. (f \circ g) = id_B$.

2.12 Theorem

Let $\{E_n\}, n = 1, 2, 3, \dots$, be a sequence of countable sets, and put $S = \cup_{n=1}^{\infty} E_n$. Then S is countable.

Proof:

Rearrange the order of the terms of the sets T in a sequence $\{x_{nk}\}$:

$x_{11} \rightarrow x_{21} \rightarrow x_{12} \rightarrow x_{31} \rightarrow x_{22} \rightarrow x_{13} \rightarrow \dots$

It is valid, because for each element on the specific diagonal

, the sum of the indexes ranges from (2) to $n+k$ with step 1.

However, some entries may appear more than once, so what we can assure is that it goes that

$$S \sim T \subseteq \mathbb{N}$$

Hence S and T are **at most countable**. Since S is infinite, S is countable.

Corollary

Suppose A is at most countable, and, for every $\alpha \in A, B_\alpha$ is at most countable. Put

$$T = \cup_{\alpha \in A} B_\alpha$$

Then T is at most countable.

For T is the subset of the at most countable set $S = \cup_{n=1}^{\infty} E_n$.

2.13 Theorem

Let A be a countable set, and let B_n be the set of all n-tuples $(\alpha_1, \dots, \alpha_n)$, where $\alpha_k \in A (k = 1, \dots, n)$, and the elements $\alpha_1, \dots, \alpha_n$ need not to be distinct. Then B_n is countable.

Proof:

$B_n = \underbrace{A \times \dots \times A}_n$. By induction and Theorem 2.12, we know that $A \times A$ is countable, thus $A \times A \times A$ is countable, ..., B_n is countable.

Corollary

The set of all **rational numbers** is countable.

That is because $\mathbb{Q} \subset \mathbb{N} \times \mathbb{N}$, $\mathbb{N} \times \mathbb{N}$ is countable and \mathbb{Q} is at most countable but infinite, and thus countable.

2.14 Theorem

Let A be the set of all sequences whose elements are the digits 0 and 1. This set A is uncountable.

Outline of the proof:

To prove something wrong is usually hard, so we may try to prove it by contradiction.

We may assign E as a sequence of countless sequences:

$$E = \{s_1, s_2, s_3, \dots\}$$

, where $s_k, k = 1, 2, \dots$ is a sequence.

If a set is countable, it can be rearranged to a sequence like that:

$$E = \{\{a_{11}, \dots\}, \{a_{21}, a_{22}, \dots\}, \{a_{31}, a_{32}, a_{33}, \dots\}, \dots\}$$

Let's DIY a sequence to create the contradiction in the way below:

Consider a sequence $\{b_k\}$ like that:

$$b_k = \begin{cases} 1, & a_{kk} = 0 \\ 0, & a_{kk} = 1 \end{cases}$$

The sequence $b_k \neq E_n, \forall n \in \mathbb{N}$. However $b_k \in E$.

Thus A is uncountable.

Corollary

The set of all real numbers is uncountable.