

## 2-3 Compact Sets(1)

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### 2.31 Definition

By an open cover of a set  $E$  in a metric space  $X$  we mean a collection  $\{G_\alpha\}$  of open subsets of  $x$  such that  $E \subset \bigcup_\alpha G_\alpha$ .

### 2.32 Definition

A subset  $K$  of a metric space  $X$  is said to be *compact* if every open cover of  $K$  contains a *finite* subcover.

More explicitly, the requirement is that if  $\{G_\alpha\}$  is an open cover of  $K$ , then there are finitely many indices  $\alpha_1, \alpha_2, \dots, \alpha_n$  such that  $K \subset G_{\alpha_1} \cup \dots \cup G_{\alpha_n}$ .

### 2.33 Theorem

Suppose  $K \subset Y \subset X$ . Then  $K$  is *compact relative to  $X$*  if and only if  $K$  is compact to  $Y$ .

#### **Proof**

The "if and only if" condition is equivalent to "sufficient and necessary".

(1) Suppose  $K$  is compact relative to  $X$ , We have

$$K \subset G_{\alpha_1} \cup G_{\alpha_2} \cup \dots \cup G_{\alpha_n} \quad (1)$$

Let

$$V_\alpha = Y \cap G_\alpha$$

Then we have

$$K \subset V_{\alpha_1} \cup V_{\alpha_2} \cup \dots \cup V_{\alpha_n} \quad (2)$$

Thus we prove that  $K$  is compact relative to  $Y$ .

(2) Suppose  $K$  is compact relative to  $Y$ . Similarly Let  $\{G_n\}$  be some finite collection of open subsets of  $X$  which covers  $K$ , and put  $V_\alpha = Y \cap G_\alpha$ . Then we get (2), which implies (1), and therefore  $K$  is compact relative to  $X$ .

### 2.34 Theorem

Compact subsets of metric spaces are closed.

#### **Proof**

这一证明表现出了紧性将局部推广至全局的作用.

我们等价于证明, "度量空间的紧子集 $K$ 之补集 $K^c$ 为开集".

我们考虑紧集中的任意一点 $a$ 和其补集的任意一点 $b$ .现在为了方便我们不妨固定点 $b$ ,考虑有限个子覆盖(subcover) $\{A_n\}$ 及点 $b$ 的有限个邻域 $\{B_n\}$ ,使得

$$\begin{aligned} K &\subset \bigcup_{i=1}^n A_n \\ V &= \bigcap_{i=1}^n B_n \end{aligned}$$

其中, 这两个邻域的“中心点”距离记为 $d(a_n, b)$ .并令所有对应的邻域 $A_n$ 与 $B_n$ 满足半径 $r_n < \frac{d(a_n, b)}{2}$ .

这样就有 $V \cap K = \emptyset$ . (局部不相交推广至全局不相交).因此对于补集中的任意一点 $b$ ,总能以此法构造邻域, 使得 $N_r(b) \cap K = \emptyset, N_r(b) \subset K^c$ .

因此等价命题得证, 原命题得证.

## 2.35 Theorem

Closed subsets of compact sets are compact.

### **Proof**

Let  $K$  be a compact subset of a metric space  $X$  and let  $F \subseteq K$  be closed(relative to  $X$ ).

Let  $\{G_n\}$  be an open cover of  $F$ , then  $\{G_n \cap F^c\}$  is an open cover of  $K$ . (Since  $F^c$  is open)

### **Corollary**

If  $F$  is closed and  $K$  is compact, then  $F \cap K$  is compact.

The intersection of finite compact sets is compact.

## 2.36 Definition

Let  $\{S_n\}$  be a collection of subsets of a metric space  $X$ . We say  $\{S_n\}$  satisfies **finite intersection condition** if the intersection of every finite subcollection of  $\{K_\alpha\}$  is nonempty.

## 2.37 Theorem

If  $\{K_\alpha\}$  is a collection of **compact** subsets of a metric space  $X$  such that the intersection of every finite subcollection of  $\{K_\alpha\}$  is nonempty, then  $\bigcap K_\alpha$  is nonempty.

### **Proof**

The statement is equivalent to "If  $\bigcap K_\alpha = \emptyset$ , then  $\bigcap_{i=1}^n K_i = \emptyset$ ".

We may fix a member  $K_1$  such that  $K_1 \cap (\bigcap_{i=2}^\infty K_i) = \emptyset, K_1 \subset (\bigcap_{i=2}^\infty K_i)^c, K_1 \subset (\bigcup_{i=2}^\infty K_i^c)$ .

Since  $K_1$  is compact,  $K_1 \subset (\bigcup_{i=2}^n K_i^c), \bigcap_{i=1}^n K_i = \emptyset$ .

### **Corollary**

If  $\{K_n\}$  is a sequence of nonempty compact sets such that  $K_n \supset K_{n+1} (n=1, 2, 3, \dots)$ , then  $\bigcap_1^\infty K_n$  is not empty.

