# Natural Language Understanding, Generation, and Machine Translation

Lecture 4: Perceptrons

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Week of 24 January 2022 (week 2)

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#### **Overview**

Simple Perceptrons

Design

Expressive Power

Learning algorithm

Limitations

Multilayer perceptrons

Expressive Power

**Activation Functions** 

Universal Function Approximator

Reading: Jurafsky and Martin (2021), Chapter 7.

#### **Agenda for Today**

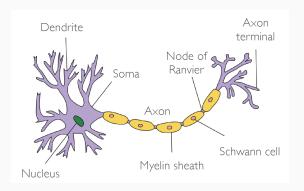
**Last lecture:** we reviewed some simple probabilistic models.

This lecture: we will review some basic nonlinear models: neural networks.

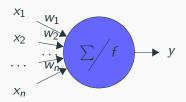
**Next lecture:** we'll combine these ideas by parameterizing a simple probabilistic model with a neural network!

# **Simple Perceptrons**

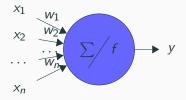
#### A (very) loose inspiration: biological neural networks

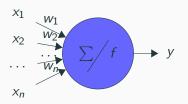


- Neuron receives inputs and combines these in the cell body.
- If the combined input reaches a threshold, then the neuron may fire (produce an output).
- Some inputs are excitatory, while others are inhibitory.



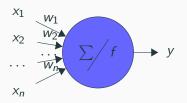
- Input is a vector x, whose ith element is x<sub>i</sub>. To model a logical function, let each x<sub>i</sub> be in the range [0, 1], where 0 is "off" and 1 is "on"—though this isn't necessary in general.
- Weight vector  $\mathbf{w}$  is of same length as  $\mathbf{x}$ . Each  $w_i$  can be any real number (positive or negative).





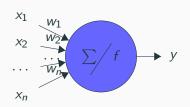
#### Input function:

$$u(\mathbf{x}) = \sum_{i=1}^{n} w_i x_i$$



#### Input function:

$$u(\mathbf{x}) = \mathbf{w} \cdot \mathbf{x}$$

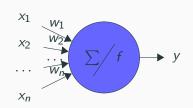


Activation function: threshold

Input function:

$$u(\mathbf{x}) = \mathbf{w} \cdot \mathbf{x}$$

$$y = f(u(\mathbf{x})) = \begin{cases} 1, & \text{if } u(\mathbf{x}) > \theta \\ 0, & \text{otherwise} \end{cases}$$



Activation function: threshold

Input function:

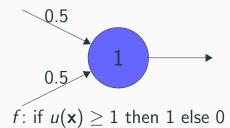
input function:  

$$u(\mathbf{x}) = \mathbf{w} \cdot \mathbf{x}$$
  $y = f(u(\mathbf{x}))$ 

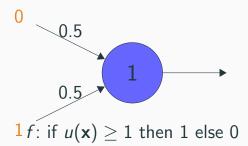
$$y = f(u(\mathbf{x})) =$$

$$\begin{cases} 1, & \text{if } u(\mathbf{x}) > \theta \\ 0, & \text{otherwise} \end{cases}$$

Activation state: 0 or 1

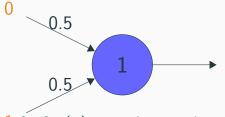


<i>x</i> <sub>1</sub>	<i>X</i> <sub>2</sub>	$x_1$ AND $x_2$
0	0	0
0	1	0
1	0	0
1	1	1



<i>x</i> <sub>1</sub>	<i>X</i> <sub>2</sub>	$x_1$ AND $x_2$
0	0	0
0	1	0
1	0	0
1	1	1

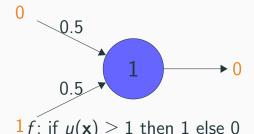
#### Perceptron for AND



1f: if  $u(\mathbf{x}) \geq 1$  then 1 else 0

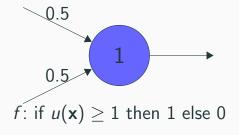
$$0 \cdot 0.5 + 1 \cdot 0.5 = 0.5 < 1$$

<i>x</i> <sub>1</sub>	<i>x</i> <sub>2</sub>	$x_1$ AND $x_2$
0	0	0
0	1	0
1	0	0
1	1	1

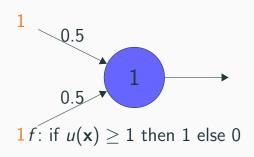


	( )	_			
0 · 0.	5 +	1 .	0.5	= 0.5	< 1

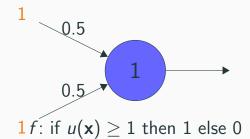
<i>x</i> <sub>1</sub>	<i>X</i> <sub>2</sub>	x <sub>1</sub> AND x <sub>2</sub>
0	0	0
0	1	0
1	0	0
1	1	1



<i>x</i> <sub>1</sub>	<i>X</i> <sub>2</sub>	x <sub>1</sub> AND x <sub>2</sub>
0	0	0
0	1	0
1	0	0
1	1	1

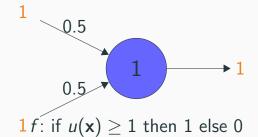


<i>x</i> <sub>1</sub>	<i>X</i> <sub>2</sub>	$x_1 \text{ AND } x_2$
0	0	0
0	1	0
1	0	0
1	1	1
	0	0 0 0 0 1



1.	0.5	+1	.0.	$\bar{5} = \bar{5}$	1 = 1
-	$\circ$		0.0		

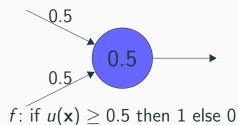
<i>x</i> <sub>1</sub>	<i>X</i> <sub>2</sub>	$x_1$ AND $x_2$
0	0	0
0	1	0
1	0	0
1	1	1
	0	0 0 0 1



1 /	0.5 +	-1	$\wedge$ $\vdash$	- 1	- 1
	II 5 _	_   .	II h -	_   _	
T . /	$\cup$ . $\cup$ $\neg$	T .	U.J -		• т

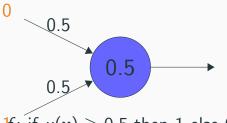
<i>x</i> <sub>1</sub>	<i>x</i> <sub>2</sub>	$x_1$ AND $x_2$
0	0	0
0	1	0
1	0	0
1	1	1

#### Perceptron for OR



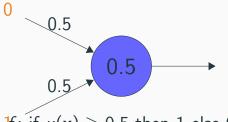
<i>x</i> <sub>1</sub>	<i>x</i> <sub>2</sub>	<i>x</i> <sub>1</sub> OR <i>x</i> <sub>2</sub>
0	0	0
0	1	1
1	0	1
1	1	1
	0	0 0 0 1

#### Perceptron for OR



<i>x</i> <sub>1</sub>	<i>x</i> <sub>2</sub>	<i>x</i> <sub>1</sub> OR <i>x</i> <sub>2</sub>
0	0	0
0	1	1
1	0	1
1	1	1

#### Perceptron for OR

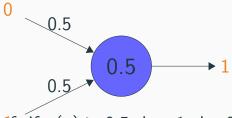


$f$ : if $u(\mathbf{x}) \geq 0.5$ then 1 els	e 0
--	-----

$$0 \cdot 0.5 + 1 \cdot 0.5 = 0.5 = 0.5$$

<i>x</i> <sub>1</sub>	<i>X</i> <sub>2</sub>	<i>x</i> <sub>1</sub> OR <i>x</i> <sub>2</sub>
0	0	0
0	1	1
1	0	1
1	1	1

#### Perceptron for OR



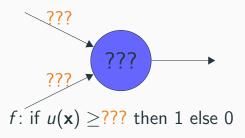
If: if  $u(\mathbf{x}) \geq 0.5$  then 1 else 0

$$0 \cdot 0.5 + 1 \cdot 0.5 = 0.5 = 0.5$$

<i>x</i> <sub>1</sub>	<i>X</i> <sub>2</sub>	<i>x</i> <sub>1</sub> OR <i>x</i> <sub>2</sub>
0	0	0
0	1	1
1	0	1
1	1	1

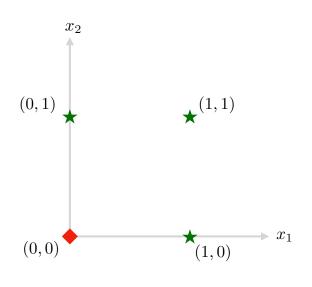
## How would you represent NOT(OR)?

#### Perceptron for NOT(OR)

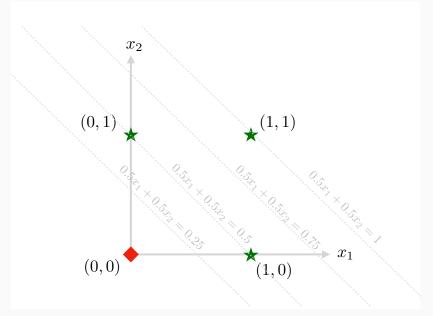


<i>x</i> <sub>1</sub>	<i>x</i> <sub>2</sub>	<i>x</i> <sub>1</sub> OR <i>x</i> <sub>2</sub>
0	0	1
0	1	0
1	0	0
1	1	0

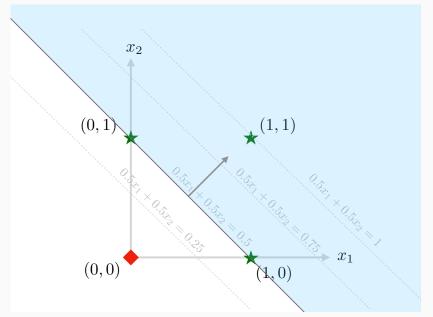
# Perceptrons are linear classifiers (OR example)



# Perceptrons are linear classifiers (OR example)



# Perceptrons are linear classifiers (OR example)



Ν	input $x$	target t
1	(0,1,0,0)	1
2	(1,0,0,0)	0
3	(0,1,1,1)	0
4	(1,0,1,0)	0
5	(1,1,1,1)	1
6	(0,1,0,0)	1

- Input: a vector of 1's and 0's—a feature vector.
- Output: a 1 or 0, given as the target.

Ν	input x	target t	output o
1	(0,1,0,0)	1	0
2	(1,0,0,0)	0	0
3	(0,1,1,1)	0	1
4	(1,0,1,0)	0	1
5	(1,1,1,1)	1	0
6	(0,1,0,0)	1	1

- Input: a vector of 1's and 0's—a feature vector.
- Output: a 1 or 0, given as the target.

Ν	input x	target t	output o	update?
1	(0,1,0,0)	1	0	yes
2	(1,0,0,0)	0	0	
3	(0,1,1,1)	0	1	yes
4	(1,0,1,0)	0	1	yes
5	(1,1,1,1)	1	0	yes
6	(0,1,0,0)	1	1	

- Input: a vector of 1's and 0's—a feature vector.
- Output: a 1 or 0, given as the target.

Ν	input x	target t	output o	update?
1	(0,1,0,0)	1	0	yes
2	(1,0,0,0)	0	0	
3	(0,1,1,1)	0	1	yes
4	(1,0,1,0)	0	1	yes
5	(1,1,1,1)	1	0	yes
6	(0,1,0,0)	1	1	

- Input: a vector of 1's and 0's—a feature vector.
- Output: a 1 or 0, given as the target.
- How do we efficiently find the weights and threshold?

#### Learning

 $Q_1$ : Choosing weights and threshold  $\theta$  for the perceptron is not easy! What's an effective way to learn the weights and threshold from examples?

**A**<sub>1</sub>: We use a learning algorithm that adjusts the weights and threshold based on examples.

# Simplify by converting $\boldsymbol{\theta}$ into a weight

Original: 
$$\mathbf{w} \cdot \mathbf{x} > \theta$$

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Rewritten:  $\mathbf{w} \cdot \mathbf{x} - \theta > 0$ 

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Rewritten:  $\mathbf{w} \cdot \mathbf{x} - \theta > 0$ 

The quantity  $-\theta$  is called the bias, and is usually denoted with b.

# Simplify by converting $\theta$ into a weight

Original:  $\mathbf{w} \cdot \mathbf{x} > \theta$ 

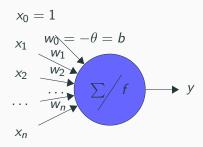
Rewritten:  $\mathbf{w} \cdot \mathbf{x} - \theta > 0$ 

Rename  $-\theta$  to b:  $\mathbf{w} \cdot \mathbf{x} + b > 0$ 

The quantity  $-\theta$  is called the bias, and is usually denoted with b.

## Simplify by converting $\theta$ into a weight

Original:  $\mathbf{w} \cdot \mathbf{x} > \theta$ Rewritten:  $\mathbf{w} \cdot \mathbf{x} - \theta > 0$ Rename  $-\theta$  to b:  $\mathbf{w} \cdot \mathbf{x} + b > 0$ 



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# Simplify by converting $\theta$ into a weight

Original:  $\mathbf{w} \cdot \mathbf{x} > \theta$ 

Rewritten:  $\mathbf{w} \cdot \mathbf{x} - \theta > 0$ 

Rename  $-\theta$  to b:  $\mathbf{w} \cdot \mathbf{x} + b > 0$ 

$$x_0 = 1$$

$$x_1 \quad w_0 = -\theta = b$$

$$x_2 \quad w_2 \quad \dots$$

$$x_n \quad y$$

The quantity  $-\theta$  is called the bias, and is usually denoted with b.

Activation function: threshold

New input function: 
$$u(\mathbf{x}) = \mathbf{w} \cdot \mathbf{x} + b$$
  $y = f(u(\mathbf{x})) = \begin{cases} 1, & \text{if } u(\mathbf{x}) > 0 \\ 0, & \text{otherwise} \end{cases}$ 

0 or 1

Activation state:

Linear!

Nonlinear!

**Intuition:** classification depends on the sign (+ or -) of the output. If output has a different sign than the target, adjust weights to move output in the direction of 0 (that is, towards the classification boundary).

output = 0 and target = 0 Don't adjust weights

```
output = 0 and target = 0 Don't adjust weights output = 0 and target = 1 u(\mathbf{x}) was too low. Make it bigger!
```

```
output = 0 and target = 0 Don't adjust weights output = 0 and target = 1 u(\mathbf{x}) was too low. Make it bigger! output = 1 and target = 0 u(\mathbf{x}) was too high. Make it smaller!
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**Intuition:** classification depends on the sign (+ or -) of the output. If output has a different sign than the target, adjust weights to move output in the direction of 0 (that is, towards the classification boundary).

```
output = 0 and target = 0 Don't adjust weights output = 0 and target = 1 u(\mathbf{x}) was too low. Make it bigger! output = 1 and target = 0 u(\mathbf{x}) was too high. Make it smaller! output = 1 and target = 1 Don't adjust weights
```

Notice: the sign of t - o is the direction we want to move in.

#### Perceptron Learning Rule

$$w_i \leftarrow w_i + \Delta w_i$$
 (t-o) gives the direction x\_i gives how much to update  $\Delta w_i = \eta(t-o)x_i$ 

- $\eta$ ,  $0 < \eta \le 1$  is a constant called the learning rate.
- *t* is the target output of the current example.
- *o* is the output of the Perceptron with the current weights.

## **Learning Rule**

#### **Perceptron Learning Rule**

$$w_i \leftarrow w_i + \Delta w_i$$
  
 $\Delta w_i = \eta(t - o)x_i$ 

$$o = 1 \text{ and } t = 1$$
  
 $o = 0 \text{ and } t = 1$ 

- Learning rate  $\eta$  is positive; controls how big changes  $\Delta w_i$  are.
- If  $x_i > 0$ ,  $\Delta w_i > 0$ . Then  $w_i$  increases in an so that  $w_i x_i$  becomes larger, increasing  $u(\mathbf{x})$ .
- If  $x_i < 0$ ,  $\Delta w_i < 0$ . Then  $w_i$  reduces so that the absolute value of  $w_i x_i$  becomes smaller, increasing  $u(\mathbf{x})$ .

## **Learning Rule**

#### **Perceptron Learning Rule**

$$w_i \leftarrow w_i + \Delta w_i$$
  
 $\Delta w_i = \eta(t - o)x_i$ 

$$o = 1 \text{ and } t = 1$$
  $\Delta w_i = \eta(t - o)x_i = \eta(1 - 1)x_i = 0$   
 $o = 0 \text{ and } t = 1$   $\Delta w_i = \eta(t - o)x_i = \eta(1 - 0)x_i = \eta x_i$ 

- Learning rate  $\eta$  is positive; controls how big changes  $\Delta w_i$  are.
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## **Learning Algorithm**

- 1: Initialize all weights randomly.
- 2: repeat
- 3: **for** each training example **do**
- 4: Apply the learning rule.
- 5: end for
- 6: until the error is acceptable or a certain number of iterations is reached

update within batches could affect the weight changes

## **Learning Algorithm**

- 1: Initialize all weights randomly.
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This algorithm is guaranteed to find a solution with zero error in a limited number of iterations **if** the examples are linearly separable.

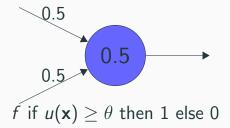
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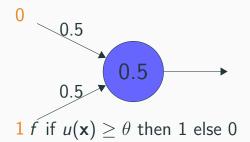
http://www.youtube.com/watch?v=vGwemZhPlsA&feature=youtu.be

#### Perceptron for XOR



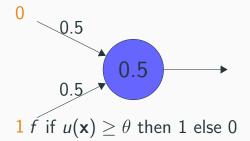
<i>x</i> <sub>1</sub>	<i>x</i> <sub>2</sub>	$x_1$ XOR $x_2$
0	0	0
0	1	1
1	0	1
1	1	0

#### Perceptron for XOR



$x_1$	<i>x</i> <sub>2</sub>	$x_1$ XOR $x_2$
0	0	0
0	1	1
1	0	1
1	1	0

## Perceptron for XOR

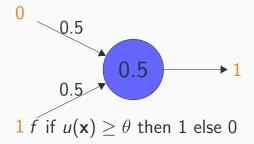


 $0 \cdot 0.5 + 1 \cdot 0.5 = 0.5$ 

<i>x</i> <sub>1</sub>	<i>x</i> <sub>2</sub>	$x_1 \text{ XOR } x_2$
0	0	0
0	1	1
1	0	1
1	1	0

XOR is an exclusive OR because it only returns a true value of 1 if
the two values are exclusive, i.e., they are both different.

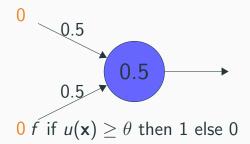
### Perceptron for XOR



 $0 \cdot 0.5 + 1 \cdot 0.5 = 0.5$ 

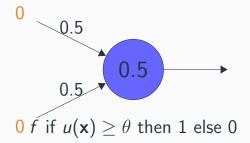
$x_1$	<i>x</i> <sub>2</sub>	$x_1$ XOR $x_2$
0	0	0
0	1	1
1	0	1
1	1	0

#### Perceptron for XOR



<i>x</i> <sub>1</sub>	<i>x</i> <sub>2</sub>	x <sub>1</sub> XOR x <sub>2</sub>
0	0	0
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## Perceptron for XOR

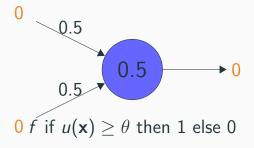


 $0 \cdot 0.5 + 0 \cdot 0.5 = 0$ 

<i>x</i> <sub>1</sub>	<i>x</i> <sub>2</sub>	x <sub>1</sub> XOR x <sub>2</sub>
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## Perceptron for XOR

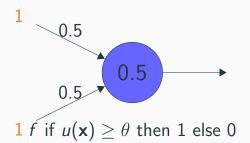


 $0 \cdot 0.5 + 0 \cdot 0.5 = 0$ 

<i>x</i> <sub>1</sub>	<i>X</i> <sub>2</sub>	x <sub>1</sub> XOR x <sub>2</sub>
0	0	0
0	1	1
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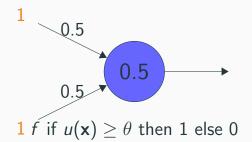
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#### Perceptron for XOR



<i>x</i> <sub>1</sub>	<i>x</i> <sub>2</sub>	$x_1$ XOR $x_2$
0	0	0
0	1	1
1	0	1
1	1	0
	0	0 0 0 0 1

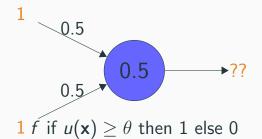
#### Perceptron for XOR



<i>x</i> <sub>1</sub>	<i>x</i> <sub>2</sub>	x <sub>1</sub> XOR x <sub>2</sub>
0	0	0
0	1	1
1	0	1
1	1	0

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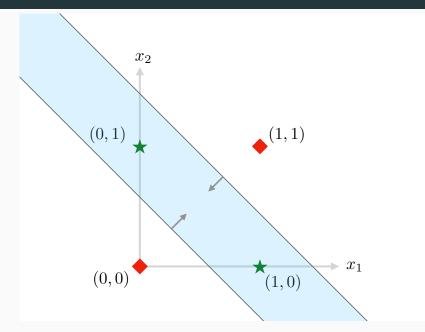
#### Perceptron for XOR



<i>x</i> <sub>1</sub>	<i>x</i> <sub>2</sub>	x <sub>1</sub> XOR x <sub>2</sub>
0	0	0
0	1	1
1	0	1
1	1	0

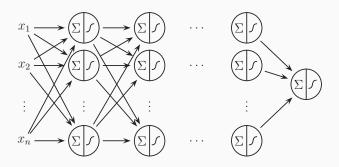
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_	0.0		- ~		

# Problem: XOR is not linearly separable



# Multilayer perceptrons

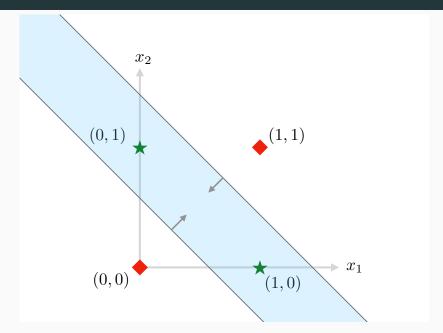
# Multilayer Perceptrons (MLPs) are more expressive

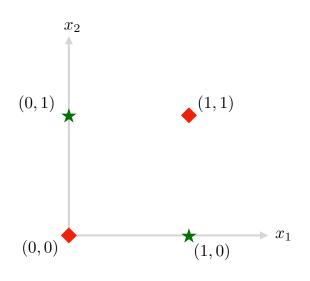


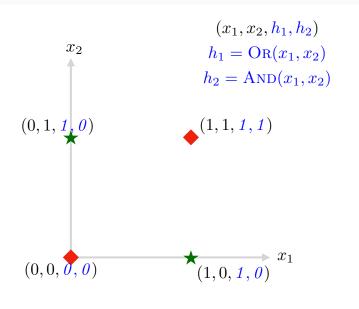
- MLPs are feed-forward neural networks, organized in layers.
- One input layer, one or more hidden layers, one output layer.
- Each node in a layer connected to all other nodes in next layer.
- Each connection has a weight (can be zero).

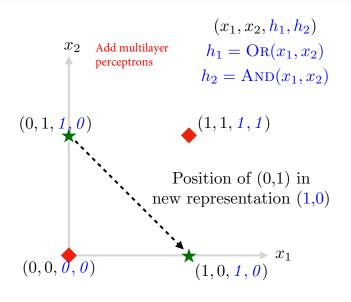
# Q: How would you represent XOR?

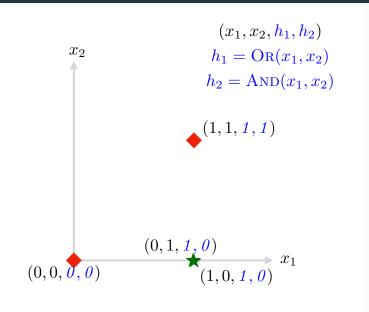
## MLP combines multiple separators

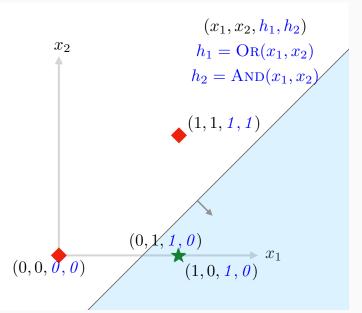




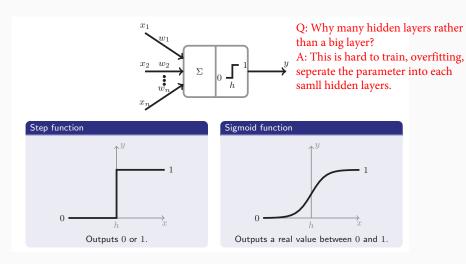




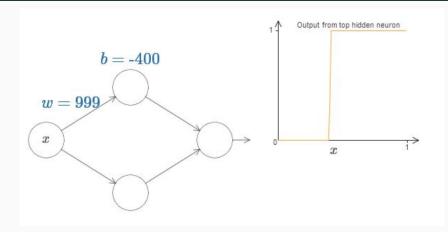




#### We can use activation functions other than thresholds

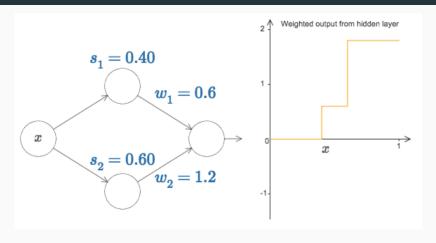


With sigmoid function we get **smooth transitions** instead of hard decision boundaries.



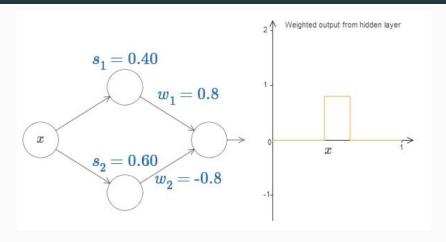
Each hidden unit can approximate a step function of the input.

Source: http://neuralnetworksanddeeplearning.com



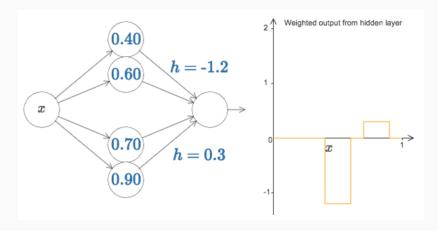
With multiple hidden units, you can get multiple steps.

 $Source: \verb|http://neuralnetworks and deeplearning.com|\\$ 



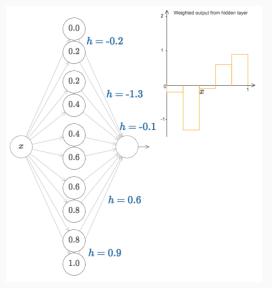
The steps can be positive or negative.

Source: http://neuralnetworksanddeeplearning.com

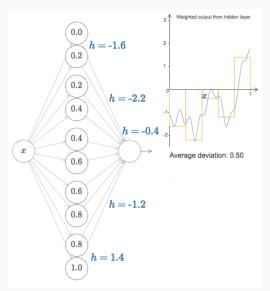


With more hidden units, you can represent more steps.

 $Source: \verb|http://neuralnetworks and deeplearning.com|\\$ 



Many hidden units produce more complex functions.



Add hidden units to approximate very complex functions.

### **Summary**

- A perceptron is a simple learnable function.
- A simple perceptron can express linearly separable functions.
- The perceptron itself is a non-linear function.
- There is a simple learning rule for the perceptron.
- A multilayer perceptron is more expressive than a perceptron, and in fact is a universal function approximator.
- The hidden layers of a multilayer perceptron compute a new representation of the input data.

**Next lecture:** We will use multilayer perceptrons to represent *n*-gram probabilities.

#### References

Jurafsky, Daniel, and James H. Martin. 2021. Speech and Language Processing: An Introduction to Natural Language Processing, Computational Linguistics and Speech Recognition. Upper Saddle River, NJ: Pearson Education, draft of 3rd edn. https://web.stanford.edu/~jurafsky/slp3/.