12.5. A faster (combinational) algorithm for longest common subsequence

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Index

- What is the longest increasing subsequence
 - Faster construction of the greedy cover
- Longest common subsequence reduces to longest increasing subsequence
- How good is the method
- The lcs of more than two strings

String1 = mynameisseeun

String2 = yournameissun

In these two strings,
Longest common substring

String1 = mynameisseeun

String2 = yournameissun

In these two strings, Longest common substring

= nameiss

String1 = mynameisseeun

String2 = yournameissun

In these two strings,

Longest common substring = nameiss

Longest common subsequence =

String1 = mynameisseeun

String2 = yournameissun

In these two strings,

Longest common substring = nameiss

Longest common subsequence = ynameissun

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- Let Π be a list of n integers, not necessarily distinct.
- An increasing subsequence of Π whose values strictly increase from left to right.

```
Π = 5, 3, 4, 9, 6, 2, 1, 8, 7, 10

ex1) {5, 9}

ex2) {5, 9, 10}

ex3) {3, 4, 6, 8, 10}

ex4) {3, 4, 8, 10}

ex5) {6, 8, 10}
```

• A decreasing subsequence of Π is a subsequence of Π where the numbers are *non-increasing* from left to right.

$$\Pi = 4, 8, 3, 9, 5, 2, 5, 3, 10, 1, 9, 1, 6$$

$$ex) \{4, 2, 1\}$$

$$\{8, 5, 5, 3, 1, 1\}$$

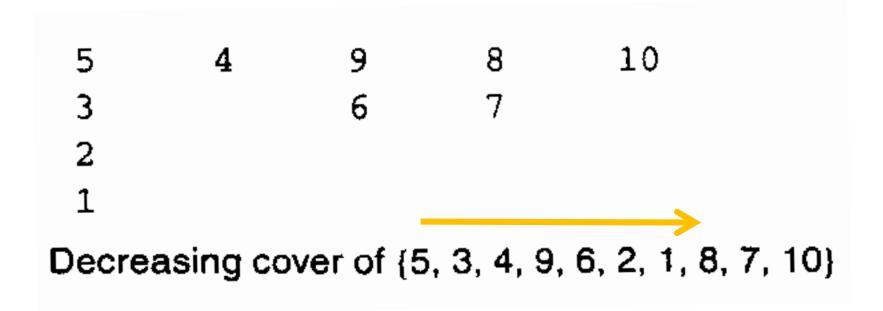
• A **cover** of Π is a set of decreasing subsequences of that contain all the numbers of Π .

$$\Pi = 5, 3, 4, 9, 6, 2, 1, 8, 7, 10$$

ex) {5, 3, 2, 1}; {4}; {9, 6}; {8, 7}; {10}

• the **size** of the cover is the number of decreasing subsequences in it, and a **smallest** cover is a cover with minimum size among all covers.

$$\Pi = 5, 3, 4, 9, 6, 2, 1, 8, 7, 10$$
ex) $\{5, 3, 2, 1\}$; $\{4\}$; $\{9, 6\}$; $\{8, 7\}$; $\{10\}$
 $\{5\}$, $\{3, 2\}$, $\{1\}$, $\{4\}$, $\{9, 6\}$, $\{8\}$, $\{7\}$, $\{10\}$

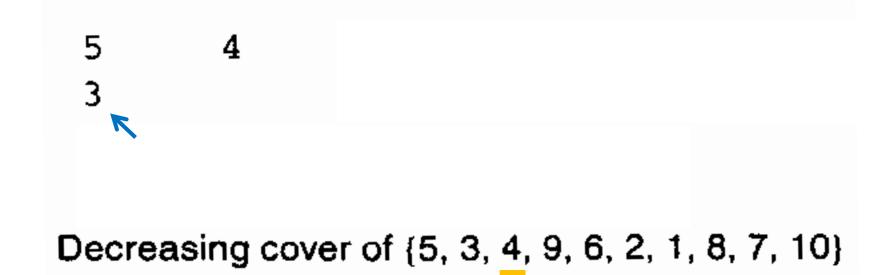


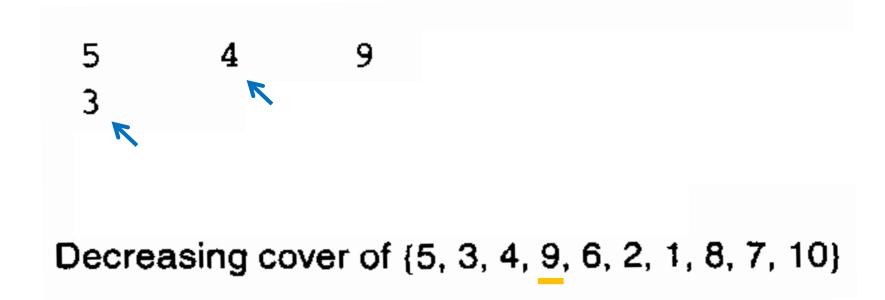
5

Decreasing cover of {5, 3, 4, 9, 6, 2, 1, 8, 7, 10}

5 3 ^K

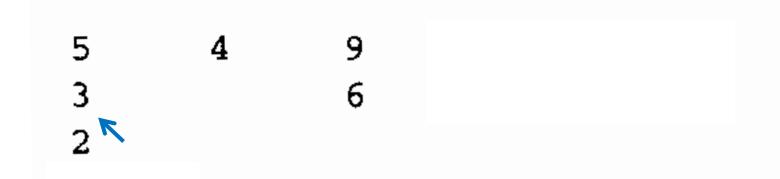
Decreasing cover of {5, 3, 4, 9, 6, 2, 1, 8, 7, 10}







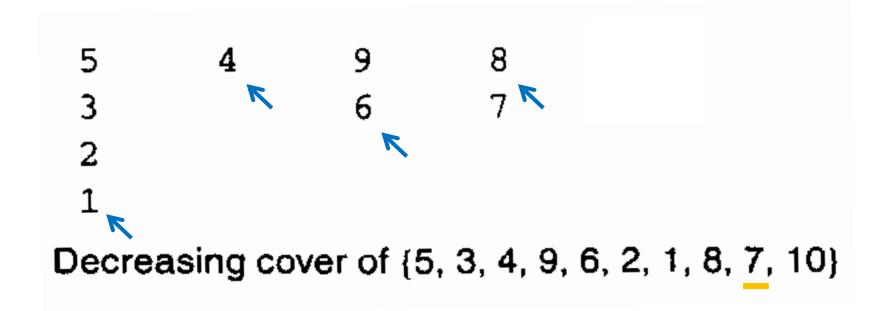
Decreasing cover of {5, 3, 4, 9, 6, 2, 1, 8, 7, 10}

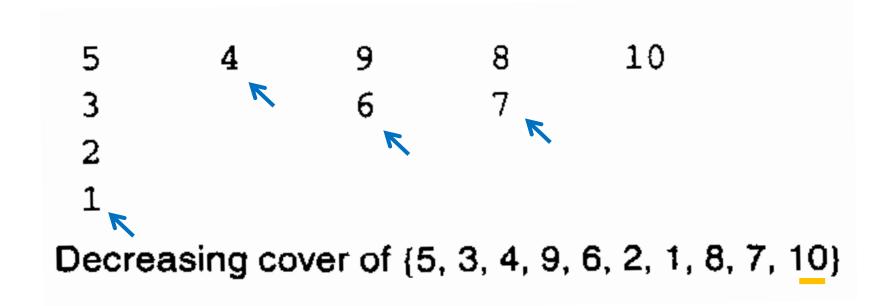


Decreasing cover of {5, 3, 4, 9, 6, 2, 1, 8, 7, 10}

```
5 4 9
3 6
2
1
Decreasing cover of {5, 3, 4, 9, 6, 2, 1, 8, 7, 10}
```

```
5 4 9 8
3 6
2
1
Decreasing cover of {5, 3, 4, 9, 6, 2, 1, 8, 7, 10}
```





Lemma 12.5.1.

• If I is an increasing subsequence of Π with length equal to the size of a cover of Π , call it C, then I is a longest increasing subsequence of Π and C is a smallest cover of Π .

proof

- What is the length of I and size of cover?
- How to prove the premise ?
- How to prove the lemma 12.5.1?

$\Pi = 5, 3, 4, 9, 6, 2, 1, 8, 7, 10$

```
length of I \begin{cases} \text{ex1}) & \{5, \, 9\} \\ \text{ex2}) & \{5, \, 9, \, 10\} \\ \text{ex3}) & \{3, \, 4, \, 6, \, 8, \, 10\} \\ \text{ex4}) & \{3, \, 4, \, 8, \, 10\} \\ \text{ex5}) & \{6, \, 8, \, 10\} \end{cases} \quad \begin{array}{l} \text{length of I} = 2 \\ \text{length of I} = 3 \\ \text{length of I} = 5 \\ \text{length of I} = 4 \\ \text{ex5}) & \{6, \, 8, \, 10\} \end{cases}
```

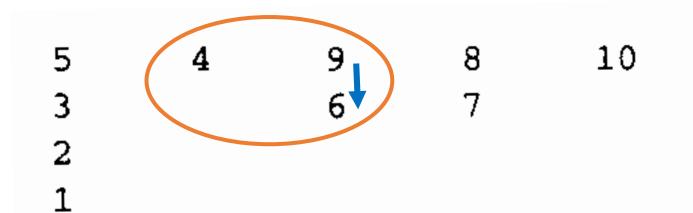
size of a cover of
$$\Pi$$
 $\begin{cases} ex1 \\ \{5, 3, 2, 1\}; \{4\}; \{9, 6\}; \{8, 7\}; \{10\} \\ ex2 \\ \{5\}, \{3, 2\}, \{1\}, \{4\}, \{9, 6\}, \{8\}, \{7\}, \{10\} \end{cases} \end{cases}$

Lemma 12.5.1.

• If I is an increasing subsequence of Π with length equal to the size of a cover of Π , call it C, then I is a longest increasing subsequence of Π and C is a smallest cover of Π .

proof

- What is the length of I and size of cover ?
- How to prove the premise?
- How to prove the lemma 12.5.1?



Decreasing cover of {5, 3, 4, 9, 6, 2, 1, 8, 7, 10}

- premise) Increasing subsequence I 는 decreasing subsequence 에 포함된 수를 하나 보다 더 포함할 수 없다.
- proof) I 가 decreasing subsequence 의 집합인 cover 의 각 decreasing subsequence 에서 두 개의 수를 포함할 경우

$$I = 49, 6$$
error -> I is not increasing



Decreasing cover of {5, 3, 4, 9, 6, 2, 1, 8, 7, 10}

premise) Increasing subsequence I 는 decreasing subsequence 에 포함된 수를 하나 보다 더 포함할 수 없다.

∴ I.length() ≤ C.size()

• A **cover** of Π is a set of decreasing subsequences of that contain all the numbers of Π .

Lemma 12.5.1.

• If I is an increasing subsequence of Π with length equal to the size of a cover of Π , call it C, then I is a longest increasing subsequence of Π and C is a smallest cover of Π .

proof

- What is the length of I and size of cover ?
- How to prove the premise ?
- How to prove the lemma 12.5.1?

assumption) I 의 길이가 cover 의 크기와 같다

I 는 longest increasing subsequence 이다.

 \therefore I.length() \leq C.size() in the premise

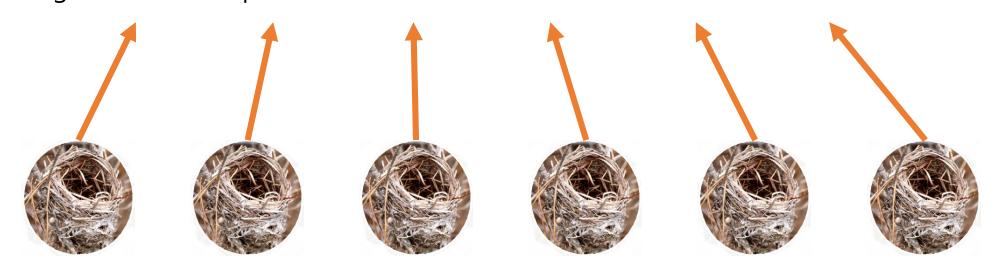
conversely, C' < C 인 C' 가 존재한다.

5 4 9 2 8 10 3 6 1 7

size of cover = 6 length of I = 6

D Decreasing cover of {5, 3, 4, 9, 6, 2, 1, 8, 7, 10}

The Pigeonhole Principle



5 4 9 2 8 10 3 6 1 7

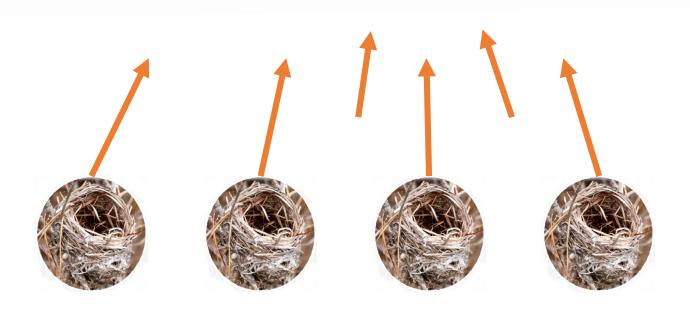
size of cover = 6 length of I = 6

D Decreasing cover of {5, 3, 4, 9, 6, 2, 1, 8, 7, 10}

C' = 4

I.length() > C'.size()

-> error







assumption) I 의 길이가 cover 의 크기와 같다

I 는 longest increasing subsequence 이다.

:: I.length() \le C.size() in the premise

conversely, C' < C 인 C' 가 존재한다.

-> error

∴ I 의 길이가 cover 의 크기와 같으면 I 는 longest subsequence 이고 C 는 smallest cover 이다.

Lemma 12.5.2.

• The greedy cover of Π can be built in $O(n^2)$ time.

ex)
$$\Pi = 1, 2, 3, 4$$

1 2 1

1 2 3 2

1 2 3 4 3

 $0+1+2+...+(n-1) = (n-1)n/2$

Lemma 12.5.3.

• There is an increasing subsequence I of II containing exactly one number from each decreasing subsequence in the greedy cover C. Hence I is the longest possible, and C is the smallest possible.

LIS algo.

Longest increasing subsequence algorithm

begin

- **0.** Set i to be the number of subsequences in the greedy cover. Set I to the empty list; pick any number x in subsequence i and place it on the front of list I.
- 1. While i > 1 do begin
- 2. Scanning down from the *top* of subsequence i-1, find the first number y that is smaller than x.
- 3. Set x to y and i to i-1.
- 4. Place x on the front of list I. end

end.

5 4 9 8 10 3 6 7 2 1

Decreasing cover of {5, 3, 4, 9, 6, 2, 1, 8, 7, 10}

$$I = \{ \}$$

$$I = \{10\}$$

$$I = \{8, 10\}$$

$$I = \{8, 10\}$$

$$I = \{6, 8, 10\}$$

$$I = \{4, 6, 8, 10\}$$

$$I = \{4, 6, 8, 10\}$$

5 4 9 8 10 3 6 7 2 1

$$I = \{3, 4, 6, 8, 10\}$$

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Theorem 12.5.1.

• The greedy cover can be constructed in $O(n \log n)$ time. A longest increasing subsequence and a smallest cover of Π can therefore be found in $O(n \log n)$

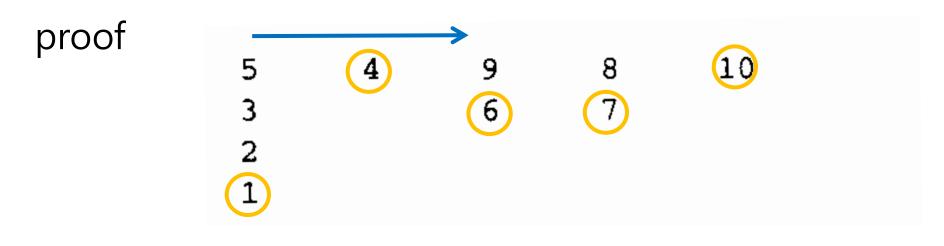
proof

• L is the ordered list containing the last number of each of the decreasing subsequences built so far.

```
5 4 9 8 10
3 6 7
2
1
L = {1, 4, 6, 7, 10}
```

Lemma 12.5.4.

• At any point in the execution of the algorithm, the list L is sorted in increasing order.



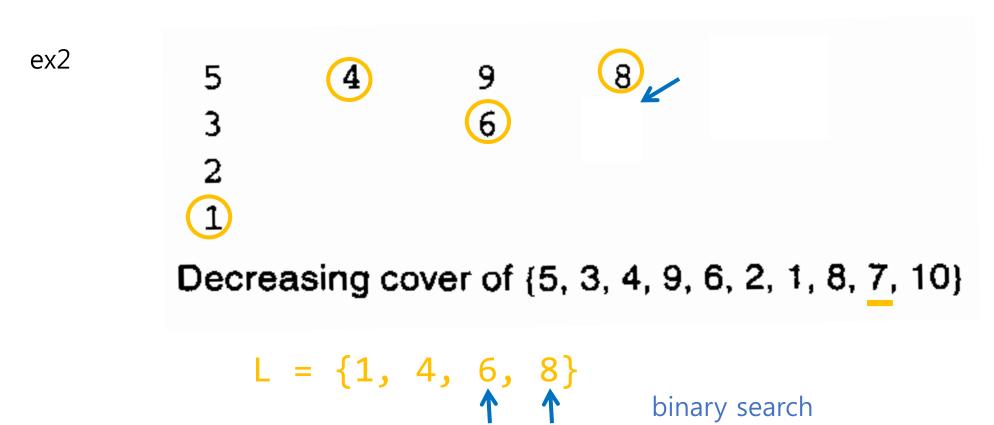
$$L = \{1, 4, 6, 7, 10\}$$

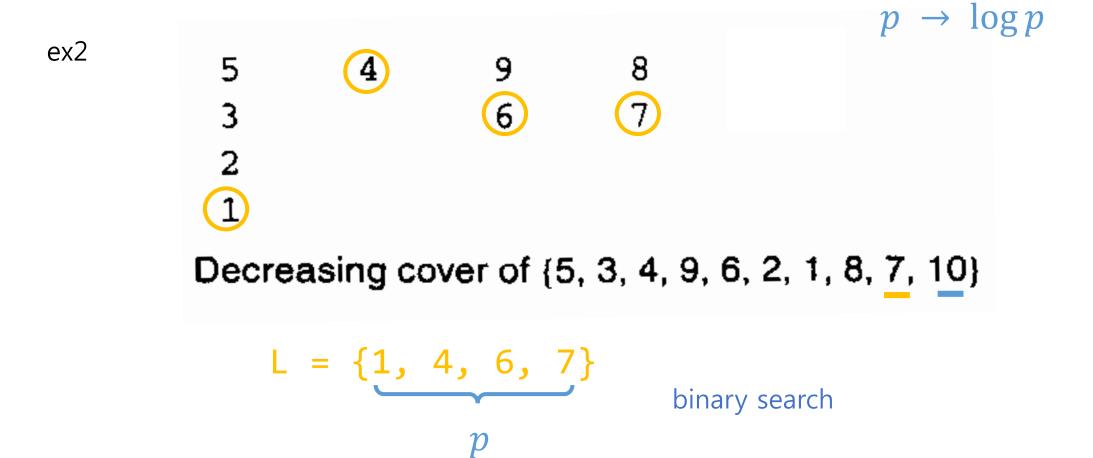
$$L = \{3, 4, 6\}$$

$$\uparrow \qquad \text{binary search}$$

ex1 5 4 9 3 6 2 Decreasing cover of {5, 3, 4, 9, 6, 2, 1, 8, 7, 10}

 $L = \{2, 4, 6\}$





Theorem 12.5.1.

• The greedy cover can be constructed in $O(n \log n)$ time. A longest increasing subsequence and a smallest cover of Π can therefore be found in $O(n \log n)$

• In fact, if p is the length of the LIS, then it can be found in $O(n \log p)$ time.

$$p \le n$$

$$O(n \log n)$$

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Theorem 12.5.2.

• Every increasing subsequence I in $\Pi(S_1, S_2)$ specifies an equal length common subsequence of S_1 and S_2 and vice versa. Thus a longest common subsequence of S_1 and S_2 corresponds to a longest increasing subsequence in the list $\Pi(S_1, S_2)$.

proof

- What is $\Pi(S_1, S_2)$?
- Correlation between CS and IS
- How to solve LCS using LIS?

$$S_1$$
 = abacx
 S_2 = baabca
 $r(1) = 3, r(2) = 2, r(3) = 3, r(4) = 1, r(5) = 0$

r(1) = 3

```
S_1 = abacx
S_2 = baabca
```

$$S_1$$
 = abacx
 S_2 = baabca
 $r(1) = 3, r(2) = 2$

$$S_1$$
 = abacx
 S_2 = baabca
 $r(1) = 3, r(2) = 2, r(3) = 3$

$$S_1 = abacx$$

 $S_2 = baabca$
 $r(1) = 3, r(2) = 2, r(3) = 3, r(4) = 1$

$$S_1$$
 = abacx
 S_2 = baabca
 $r(1) = 3, r(2) = 2, r(3) = 3, r(4) = 1, r(5) = 0$

• Let r denote the sum $\sum_{i=1}^{m} r(i)$.

$$S_1$$
 = abacx
 S_2 = baabca
 $r(1) = 3, r(2) = 2, r(3) = 3, r(4) = 1, r(5) = 0$
 $r = 3+2+3+1+0=9$

• $\Pi(S_1, S_2)$ is a list with length r, in which each character instance in S_1 is replaced with the associated list for that character, in decreasing order.

$$S_1 = abacx$$

$$S_2 = baabca$$

$$S_1(1) = a$$

$$\Pi(S_1, S_2) = (6, 3, 2, 4, 1, 6, 3, 2, 5)$$

• $\Pi(S_1, S_2)$ is a list with length r, in which each character instance in S_1 is replaced with the associated list for that character, in decreasing order.

$$S_1 = abacx$$

$$S_2 = baabca$$

$$S_1(1) = a S_1(2) = b$$

$$\Pi(S_1, S_2) = 6, 3, 2, (4, 1, 6, 3, 2, 5)$$

• $\Pi(S_1, S_2)$ is a list with length r, in which each character instance in S_1 is replaced with the associated list for that character, in decreasing order.

$$S_1 = abacx$$

$$S_2 = baabca$$

$$S_1(1) = a S_1(2) = b S_1(3) = a$$

$$\Pi(S_1, S_2) = 6, 3, 2, 4, 1, (6, 3, 2), 5$$

• $\Pi(S_1, S_2)$ is a list with length r, in which each character instance in S_1 is replaced with the associated list for that character, in decreasing order.

$$S_1 = abacx$$

$$S_2 = baabca$$

$$S_1(1) = a S_1(2) = b S_1(3) = a S_1(4) = c$$

$$\Pi(S_1, S_2) = 6, 3, 2, 4, 1, 6, 3, 2(5)$$

• $\Pi(S_1, S_2)$ is a list with length r, in which each character instance in S_1 is replaced with the associated list for that character, in decreasing order.

$$S_1$$
 = abacx
$$S_2$$
 = baabca
$$S_1(1) = a \qquad S_1(2) = b \qquad S_1(3) = a \qquad S_1(4) = c \qquad S_1(5) = x$$

$$\Pi(S_1, S_2) = 6, 3, 2, 4, 1, 6, 3, 2, 5$$

• $\Pi(S_1, S_2)$ is a list with length r, in which each character instance in S_1 is replaced with the associated list for that character, in decreasing order.

```
S_1 = abacx

S_2 = baabca

S_1(1) = a S_1(2) = b \mathbf{r} S_1(3) = a S_1(4) = c S_1(5) = x

\Pi(S_1, S_2) = 6, 3, 2, 4, 1, 6, 3, 2, 5
```

Theorem 12.5.2.

• Every increasing subsequence I in $\Pi(S_1, S_2)$ specifies an equal length common subsequence of S_1 and S_2 and vice versa. Thus a longest common subsequence of S_1 and S_2 corresponds to a longest increasing subsequence in the list $\Pi(S_1, S_2)$.

proof

- What is $\Pi(S_1, S_2)$?
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$$S_1$$
 = abacx

$$S_2$$
 = baabca

$$\Pi(S_1, S_2) = 6, 3, 2, 4, 1, 6, 3, 2, 5$$

a b a c
$$\Pi(S_1, S_2) = 6, 3, 2, 4, 1, 6, 3, 2, 5$$

$$S_1 = abacx$$
 $CS = bac$ $S_2 = baabca$ a b a c

a b a c
$$\Pi(S_1, S_2) = 6, 3, 2/4, 1/6, 3, 2/5$$

a b a c
$$\Pi(S_1, S_2) = 6, 3, 2/4, 1/6, 3, 2/5 \qquad 1, 2, 5 = IS$$

$$\Pi(S_1, S_2) = 6, 3, 2/4, 1/6, 3, 2/5$$
 1, 2, 5 = IS
$$S_1 = \text{abacx}$$

$$S_2 = \text{baabca}$$

$$\Pi(S_1, S_2) = 6, 3, 2/4, 1/6, 3, 2/5$$
 1, 2, 5 = IS
$$S_1 = abacx$$

$$S_2 = baabca$$

$$\Pi(S_1, S_2) = 6, 3, 2/4, 1/6, 3, 2/5$$
 1, 2, 5 = IS
$$S_1 = abacx$$

$$S_2 = baabca$$

a b a c
$$\Pi(S_1, S_2) = 6, 3, 2/4, 1/6, 3, 2/5 \qquad 1, 2, 5 = IS$$

$$S_1 = abacx$$

$$S_2$$
 = baabca

$$CS = bac$$

∴ We can solve LCS using LIS

Theorem 12.5.2.

• Every increasing subsequence I in $\Pi(S_1, S_2)$ specifies an equal length common subsequence of S_1 and S_2 and vice versa. Thus a longest common subsequence of S_1 and S_2 corresponds to a longest increasing subsequence in the list $\Pi(S_1, S_2)$.

proof

- What is $\Pi(S_1, S_2)$?
- Correlation between CS and IS
- How to solve LCS using LIS?

$$S_1$$
 = abacx
 S_2 = baabca

$$S_1$$
 = abacx
 S_2 = baabca

$$\Pi(S_1, S_2) = 6, 3, 2, 4, 1, 6, 3, 2, 5$$

cover $6, 4, 6, 3, 5$
 $2, 2, 2$
 1
 $I = \{3, 4, 5\}$

Index1 = 1, 2
Index2 = 3, 4
$$S = a, b$$

$$S_1$$
 = abacx
 S_2 = baabca

$$\Pi(S_1, S_2) = 6, 3, 2, 4, 1, 6, 3, 2, 5$$

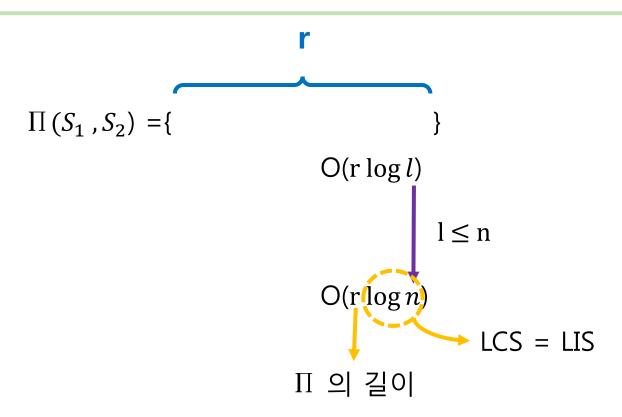
cover $6, 4, 6, 3, 5$
 $2, 2, 4, 1, 6, 3, 2, 5$
 $1 = \{3, 4, 5\}$

$$\therefore$$
 LCS = abc

Theorem 12.5.3.

• The longest common subsequence problem can be solved in $O(r \log n)$ time.





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Time complexity of LCS is $O(r \log n)$

r is expected to be nm/σ

$$\therefore O(\frac{nm}{\sigma}\log n)$$

Traceback example

	Ø	Α	G	С	Α	Т
Ø	0	0	0	0	0	0
G	0	← ¹ 0	\1	←1	←1	←1
Α	0	\1	\leftarrow^{\uparrow_1}	\leftarrow^{\uparrow_1}	√2	←2
C	0	↑1	$\leftarrow^{\uparrow_{1}}$	√2	\leftarrow^{\uparrow_2}	$\leftarrow^{\uparrow_{2}}$

Dynamic programming -> O(nm)

 $O(\frac{nm}{\sigma}\log n)$ looks attractive compared to O(nm)

$$S_1 = \square \square \square \square \square \square$$
 m
$$\frac{1}{\sigma}$$

$$S_2 = \square \square \square \square \square \square \square$$
 n

$$S_1 = \square \square \square \square \square \square m$$

$$\frac{1}{\sigma} \qquad \sigma = 100$$

$$S_2 = \square \square \square \square \square \square \square \square n$$

 σ is what roman alphabet with capital letters, digits, and punctuation marks added

$$r \log n = \frac{nm}{100} \log n < nm$$

 \therefore $O(\frac{nm}{\sigma}\log n)$ looks attractive compared to O(nm)

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Theorem 12.5.4.

• Every increasing subsequence in $\Pi(S_1, S_2, S_3)$ specifies an equal length common subsequence of S_1 , S_2 , S_3 and vice versa. Therefore, a longest common subsequence of S_1 , S_2 , S_3 corresponds to a longest increasing subsequence in $\Pi(S_1, S_2, S_3)$.

 S_1 = abacx

 S_2 = baabca

 S_3 = babbac

$$S_1 = abacx$$

$$S_2$$
 = baabca 6, 3, 2

$$S_3$$
 = babbac 5, 2

$$\Pi(S_1, S_2, S_3) = (6, 5), (6, 2), (3, 5), (3, 2), (2, 5), (2, 2)$$

$$S_1 = abacx$$

$$S_2$$
 = baabca 4, 1

$$S_3$$
 = babbac 4, 3, 1

$$\Pi(S_1, S_2, S_3) = (6, 5), (6, 2), (3, 5), (3, 2), (2, 5), (2, 2),$$

$$(4, 4), (4, 3), (4, 1), (1, 4), (1, 3), (1, 1),$$

$$S_1$$
 = abacx
 S_2 = baabca 6, 3, 2
 S_3 = babbac 5, 2

$$\Pi(S_1, S_2, S_3) = (6, 5), (6, 2), (3, 5), (3, 2), (2, 5), (2, 2),$$

$$(4, 4), (4, 3), (4, 1), (1, 4), (1, 3), (1, 1),$$

$$(6, 5), (6, 2), (3, 5), (3, 2), (2, 5), (2, 2)$$

$$S_1$$
 = abacx
 S_2 = baabca 5
 S_3 = babbac 6

$$\Pi(S_1, S_2, S_3) = (6, 5), (6, 2), (3, 5), (3, 2), (2, 5), (2, 2),$$

$$(4, 4), (4, 3), (4, 1), (1, 4), (1, 3), (1, 1),$$

$$(6, 5), (6, 2), (3, 5), (3, 2), (2, 5), (2, 2),$$

$$(5, 6)$$

$$S_1$$
 = abacx
 S_2 = baabca
 S_3 = babbac

$$\Pi(S_1, S_2, S_3) = (6, 5), (6, 2), (3, 5), (3, 2), (2, 5), (2, 2),$$

$$(4, 4), (4, 3), (4, 1), (1, 4), (1, 3), (1, 1),$$

$$(6, 5), (6, 2), (3, 5), (3, 2), (2, 5), (2, 2),$$

$$(5, 6)$$

$$S_1$$
 = abacx

$$S_2$$
 = baabca

$$S_3$$
 = babbac

$$\Pi(S_1, S_2, S_3) = (6, 5), (6, 2), (3, 5), (3, 2), (2, 5), (2, 2),$$

$$(4, 4), (4, 3), (4, 1), (1, 4), (1, 3), (1, 1),$$

$$(6, 5), (6, 2), (3, 5), (3, 2), (2, 5), (2, 2),$$

$$(5, 6)$$

$$S_1$$
 = abacx
 S_2 = baabca

$$S_3$$
 = babbac

$$\Pi(S_1, S_2, S_3) = (6, 5), (6, 2), (3, 5), (3, 2), (2, 5), (2, 2),$$

$$(4, 4), (4, 3), (4, 1), (1, 4), (1, 3), (1, 1),$$

$$(6, 5), (6, 2), (3, 5), (3, 2), (2, 5), (2, 2),$$

$$(5, 6)$$

$$S_1$$
 = abacx
 S_2 = baabca
 S_3 = babbac

$$\Pi(S_1, S_2, S_3) = (6, 5), (6, 2), (3, 5), (3, 2), (2, 5), (2, 2),$$

$$(4, 4), (4, 3), (4, 1), (1, 4), (1, 3), (1, 1),$$

$$(6, 5), (6, 2), (3, 5), (3, 2), (2, 5), (2, 2),$$

$$(5, 6)$$

$$S_1$$
 = abacx
 S_2 = baabca
 S_3 = babbac

$$\Pi(S_1, S_2, S_3) = (6, 5), (6, 2), (3, 5), (3, 2), (2, 5), (2, 2),$$

$$(4, 4), (4, 3), (4, 1), (1, 4), (1, 3), (1, 1),$$

$$(6, 5), (6, 2), (3, 5), (3, 2), (2, 5), (2, 2),$$

$$(5, 6)$$

$$S_1$$
 = abacx
 S_2 = baabca
 S_3 = babbac

$$\Pi(S_1, S_2, S_3) = (6, 5), (6, 2), (3, 5), (3, 2), (2, 5), (2, 2),$$

$$(4, 4), (4, 3), (4, 1), (1, 4), (1, 3), (1, 1),$$

$$(6, 5), (6, 2), (3, 5), (3, 2), (2, 5), (2, 2),$$

$$(5, 6)$$