KMP Algorithm

2015.04.07 Jeehyeong Kim

Exact matching problem

- Given a string *P* called the *pattern* and a longer string *T* called the *text*, the exact matching problem is to find all occurrences, if any, of pattern *P* in text *T*.
- For example

	1	2	3	4	5	6	7	8	9	10	11	12	13	14	
T	b	b	a	b	a	X	a	b	a	b	a	y			input
P	a	b	a												input

Exact matching problem

- Given a string *P* called the *pattern* and a longer string *T* called the *text*, the exact matching problem is to find all occurrences, if any, of pattern *P* in text *T*.
- For example

	1	2	3	4	5	6	7	8	9	10	11	12	13	14	
T	b	b	a	b	a	X	a	b	a	b	a	y			input
P	a	b	a												input
			a	b	a										

Exact matching problem

- Given a string *P* called the *pattern* and a longer string *T* called the *text*, the exact matching problem is to find all occurrences, if any, of pattern *P* in text *T*.
- For example

	1	2	3	4	5	6	7	8	9	10	11	12	13	14	
T	b	b	a	b	a	X	a	b	a	b	a	y			input
P	a	b	a												input
			a	b	a										
							a	b	a						

Exact matching problem

- Given a string *P* called the *pattern* and a longer string *T* called the *text*, the exact matching problem is to find all occurrences, if any, of pattern *P* in text *T*.
- For example

	1	2	3	4	5	6	7	8	9	10	11	12	13	14	
T	b	b	a	b	a	X	a	b	a	b	a	y			input
P	a	b	a												input
			a	b	a										
							a	b	a						
									a	b	a				

• Note that two occurrences of *P* may overlap

Naïve algorithm

	1	2	3	4	5	6	7	8	9	10	11	12	13	14	
T	a	b	d	a	b	c	b	d	a						
P	a	b	c												match at 1

Naïve algorithm

	1	2	3	4	5	6	7	8	9	10	11	12	13	14	
T	a	b	d	a	b	c	b	d	a						
P	a	b	c												match at 2

Naïve algorithm

	1	2	3	4	5	6	7	8	9	10	11	12	13	14	
T	a	b	d	a	b	c	b	d	a						
P	a	b	c												mismatch at 3

Naïve algorithm

	1	2	3	4	5	6	7	8	9	10	11	12	13	14	
T	a	b	d	a	b	c	b	d	a						
P		a	b	c											Shift 1 place

Naïve algorithm

• For example

	1	2	3	4	5	6	7	8	9	10	11	12	13	14	
T	a	b	d	a	b	c	b	d	a						
P		a	b	c											Mismatch at 2

Begin comparing again from the left end of P

Naïve algorithm

	1	2	3	4	5	6	7	8	9	10	11	12	13	14	
T	a	b	d	a	b	c	b	d	a						
P			a	b	c										Shift 1 place

Naïve algorithm

	1	2	3	4	5	6	7	8	9	10	11	12	13	14	
T	a	b	d	a	b	c	b	d	a						
P			a	b	c										Mismatch at 3

Naïve algorithm

	1	2	3	4	5	6	7	8	9	10	11	12	13	14	
T	a	b	d	a	b	c	b	d	a						
P				a	b	c	d								Shift 1 place

Naïve algorithm

	1	2	3	4	5	6	7	8	9	10	11	12	13	14	
T	a	b	d	a	b	c	b	d	a						
P				a	b	c									match at 4

Naïve algorithm

	1	2	3	4	5	6	7	8	9	10	11	12	13	14	
T	a	b	d	a	b	c	b	d	a						
P				a	b	c									match at 5

Naïve algorithm

• For example

	1	2	3	4	5	6	7	8	9	10	11	12	13	14	
T	a	b	d	a	b	c	b	d	a						
P				a	b	c									match at 6

P occurs at postion 4 of T



Naïve algorithm

	1	2	3	4	5	6	7	8	9	10	11	12	13	14	
T	a	b	d	a	b	c	b	d	a						
P					a	b	c								Shift 1 place

Naïve algorithm

• For example

	1	2	3	4	5	6	7	8	9	10	11	12	13	14	
T	a	b	d	a	b	c	b	d	a						
P					a	b	c								Mismatch at 5

Begin comparing again from the left end of P



• Naïve algorithm

- Complexity
 - *O*(*mn*)
 - The length of T = n
 - The length of P = m
 - O(m+n) \longrightarrow KMP algorithm

• The KMP shift idea

- Make **larger shifts** than the naïve algorithm
- The number of **comparisons are smaller** than the naïve algorithm
 - After a shift, the left-most characters of *P* are guaranteed to match their counterparts in *T*

The KMP shift idea

	1	2	3	4	5	6	7	8	9	10	11	12	13	14	
T	a	b	c	X	a	b	c	a	b	c	X	a			
P	a	b	c	X	a	b	c	d	e						mismatch at 8

• The KMP shift idea

	1	2	3	4	5	6	7	8	9	10	11	12	13	14	
T	a	b	c	X	a	b	c	a	b	c	X	a			
P	a	b	c	X	a	b	c	d	e						mismatch at 8
Naïve		a	b	c	X	a	b	c	d	e					Shift 1 place

• The KMP shift idea

	1	2	3	4	5	6	7	8	9	10	11	12	13	14	
T	a	b	c	X	a	b	c	a	b	c	X	a			
P	a	b	c	X	a	b	c	d	e						mismatch at 8
Naïve			a	b	c	X	a	b	c	d	e				Shift 1 place

The KMP shift idea

	1	2	3	4	5	6	7	8	9	10	11	12	13	14	
T	a	b	c	X	a	b	c	a	b	c	X	a			
P	a	b	c	X	a	b	c	d	e						mismatch at 8
Naïve				a	b	c	X	a	b	c	d	e			Shift 1 place

The KMP shift idea

	1	2	3	4	5	6	7	8	9	10	11	12	13	14	
T	a	b	c	X	a	b	c	a	b	c	X	a			
P	a	b	c	X	a	b	c	d	e						mismatch at 8
Naïve					a	b	c	X	a	b	c	d	e		Shift 1 place

- Need to shift and compare 4 times
 - to find the start position of occurrences of P in T
- Have to compare the "already matched" part again

• The KMP shift idea

• For example

	1	2	3	4	5	6	7	8	9	10	11	12	13	14	
T	a	b	c	X	a	b	c	a	b	c	X	a			
P	a	b	c	X	a	b	c	d	e						mismatch at 8
Naïve		a	b	c	X	a	b	c	d	e					
KMP					a	b	c	X	a	b	c	d	e		Shift 4 places

• KMP algorithm can shift *P* by four places without passing over any occurrences of *P* in *T*



• The KMP shift idea

	1	2	3	4	5	6	7	8	9	10	11	12	13	14	
T	a	b	c	X	a	b	c	a	b	c	X	a			
P	a	b	c	X	a	b	c	d	e						mismatch at 8
Naïve		a	b	c	X	a	b	c	d	e					
KMP					a	b	c	X	a	b	c	d	e		Shift 4 places

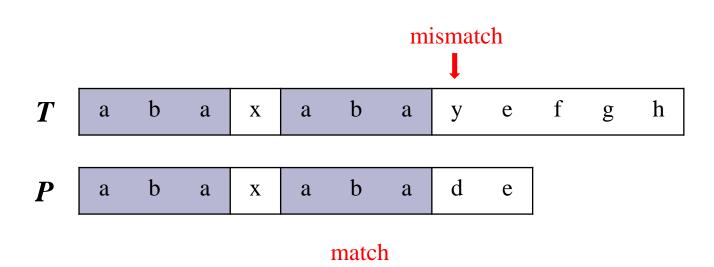
- KMP algorithm can shift *P* by four places without passing over any occurrences of *P* in *T*
- Starts comparing at position 8
 - which was the "mismatched" position
 - Don't have to compare the "already matched" part again.

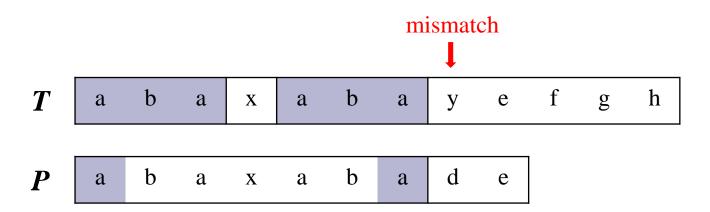


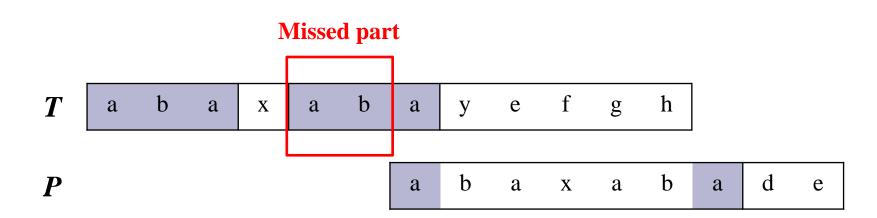
• The KMP shift idea

	1	2	3	4	5	6	7	8	9	10	11	12	13	14	
T	a	b	c	X	a	b	c	a	b	c	X	a			
P	a	b	c	X	a	b	c	d	e						mismatch at 8
Naïve		a	b	c	X	a	b	c	d	e					
KMP					a	b	c	X	a	b	c	d	e		Shift 4 places

- KMP algorithm can shift *P* by four places without passing over any occurrences of *P* in *T*
- Starts comparing at position 8
 - which was the "mismatched" position
 - Don't have to compare the "already matched" part again.







 \therefore Suffix of *P* should be the largest one

• The Definition of $sp_i(P)$

For each position of i in pattern P, define $sp_i(P)$ to be the length of the longest proper suffix of P[1...i] that matches a prefix of P.

• $sp_i(P)$ is the length of the longest proper substring of P[1...i] that ends at i and matches a prefix of P.

- The Definition of $sp_i(P)$
 - $sp_i(P)$ is the length of the longest proper substring of P[1...i] that ends at i and matches a prefix of P.
 - For example P = aaabcaabe, $sp_6 = ??$

						/						
	1	2	3	4	5	6	7	8	9	10	11	
P	a	a	b	c	a	a	b	e				

- The Definition of $sp_i(P)$
 - $sp_i(P)$ is the length of the longest proper substring of P[1...i] that ends at i and matches a prefix of P.
 - For example P = aaabcaabe, $sp_6 = ??$

						i						
	1	2	3	4	5	6	7	8	9	10	11	
P	a	a	b	c	a	a	b	e				
	≠											
		a	a	b	c	a						Mismatch

- The Definition of $sp_i(P)$
 - $sp_i(P)$ is the length of the longest proper substring of P[1...i] that ends at i and matches a prefix of P.
 - For example P = aaabcaabe, $sp_6 = ??$

						i						
	1	2	3	4	5	6	7	8	9	10	11	
P	a	a	b	c	a	a	b	e				
		a	a	b	c	a						Mismatch

- The Definition of $sp_i(P)$
 - $sp_i(P)$ is the length of the longest proper substring of P[1...i] that ends at i and matches a prefix of P.
 - For example P = aaabcaabe, $sp_6 = ??$

						i						
	1	2	3	4	5	6	7	8	9	10	11	
P	a	a	b	c	a	a	b	e				
		a	a	b	С	a						Mismatch
			a	a	b	c						Mismatch
	-							-				

- The Definition of $sp_i(P)$
 - $sp_i(P)$ is the length of the longest proper substring of P[1...i] that ends at i and matches a prefix of P.
 - For example P = aaabcaabe, $sp_6 = ??$

						i						
	1	2	3	4	5	6	7	8	9	10	11	
P	a	a	b	c	a	a	b	e				
		a	a	b	c	a						Mismatch
			a	a	b	c						Mismatch

• The Definition of $sp_i(P)$

- $sp_i(P)$ is the length of the longest proper substring of P[1...i] that ends at i and matches a prefix of P.
- For example P = aaabcaabe, $sp_6 = ??$

						i						
	1	2	3	4	5	6	7	8	9	10	11	
P	a	a	b	c	a	a	b	e				
		a	a	b	#	a						Mismatch
			a	a	b	c						Mismatch
				a	a	b						Mismatch
		1	1					1	1		1	1

- The Definition of $sp_i(P)$
 - $sp_i(P)$ is the length of the longest proper substring of P[1...i] that ends at i and matches a prefix of P.
 - For example P = aaabcaabe, $sp_6 = ??$

						i						
	1	2	3	4	5	6	7	8	9	10	11	
P	a	a	b	c	a	a	b	e				
		a	a	b	c	a						Mismatch
			a	a	b	c						Mismatch
				a	a	b						Mismatch

• The Definition of $sp_i(P)$

- $sp_i(P)$ is the length of the longest proper substring of P[1...i] that ends at i and matches a prefix of P.
- For example P = aaabcaabe, $sp_6 = ??$

						i						
	1	2	3	4	5	6	7	8	9	10	11	
P	a	a	b	c	a	a	b	e				
		a	a	b	c	a						Mismatch
			a	a	b	c						Mismatch
				a	a	b						Mismatch
					a	a						Match

- The Definition of $sp_i(P)$
 - $sp_i(P)$ is the length of the longest proper substring of P[1...i] that ends at i and matches a prefix of P.
 - For example P = aaabcaabe, $sp_6 = ??$

						i						
	1	2	3	4	5	6	7	8	9	10	11	
P	a	a	b	c	a	a	b	e				
		a	a	b	c	a						Mismatch
			a	a	b	c						Mismatch
				a	a	b						Mismatch
					a	a						Match

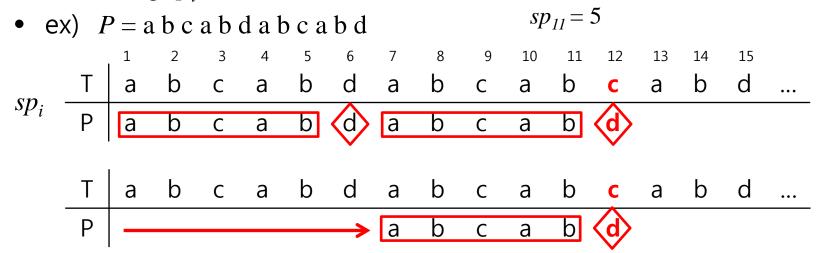
- The Definition of $sp_i(P)$
 - $sp_i(P)$ is the length of the longest proper substring of P[1...i] that ends at i and matches a prefix of P.
 - For example P = aaabcaabe, $sp_6 = ??$

						j						
	1	2	3	4	5	6	7	8	9	10	11	
P	a	a	b	c	a	a	b	e				
		a	a	b	c	a						Mismatch
			a	a	b	<u>•</u>						Mismatch
				a	a	b						Mismatch
					a	a						Match
						a						Match

- The Definition of $sp_i(P)$
 - $sp_i(P)$ is the length of the longest proper substring of P[1...i] that ends at i and matches a prefix of P.
 - For example P = aaabcaabe

• Sc), <i>sp</i>	6 =	2			i						
	1	2	3	4	5	6	7	8	9	10	11	
P	a	a	b	c	a	a	b	e				
		a	a	b	c	a						Mismatch
			a	a	b	c						Mismatch
				a	a	b						Mismatch
					a	a						Match

- Weakness of sp_i
 - Shift using *sp_i* value



There's an mismatch at position 12. We can shift 6 character. But, the same kind of mismatch(comparing c with d) occurs at the same position 12.



• The Definition of $sp'_i(P)$

For each position of i in pattern P, define $sp'_i(P)$ to be the length of the longest proper suffix of P[1...i] that matches a prefix of P,

```
with the character P(i+1) \neq P(sp'_i+1)
```

• means right character of matched prefix and suffix are not equal.

- The Definition of $sp'_i(P)$
 - with the character $P(i+1) \neq P(sp'_i+1)$
 - For example P = aabcaabe, $sp_6 = 2$, $sp'_6 = ??$

	S]	<i>p'</i> _i	sp' _i -	+ <i>1</i>		i	<i>i</i> +1					
	1	2	3	4	5	6	7	8	9	10	11	
P	a	a	b	c	a	a	b	e				
		a	a	b	c	a						Mismatch
			a	a	b	c						Mismatch
				a	a	b						Mismatch
					a	a	b					Match
						a	a					Match

- The Definition of $sp'_i(P)$
 - with the character $P(i+1) \neq P(sp'_i+1)$
 - For example P = aabcaabe, $sp_6 = 2$, $sp'_6 = ??$

	S _I	p'_i	sp' _i -	+ <i>1</i>		i	<i>i</i> +1					
	1	2	3	4	5	6	7	8	9	10	11	
P	a	a	b	c	a	a	b	e				
		a	a	b	c	a						Mismatch
			a	a	b	c						Mismatch
				a	a	b						Mismatch
					a	a	b					Match
						a	a					Match

- The Definition of $sp'_i(P)$
 - with the character $P(i+1) \neq P(sp'_i+1)$
 - For example P = aabcaabe, $sp_6 = 2$, $sp'_6 = ??$

	$\stackrel{sp'_i}{\longleftrightarrow}$	<i>sp'</i> _i +	-1			i	<i>i</i> +1					
	1	2	3	4	5	6	7	8	9	10	11	
P	a	a	b	c	a	a	b	e				
		a	a	b	c	a						Mismatch
			a	a	b	c						Mismatch
				a	a	b						Mismatch
					a	a	b					Match
						a	a					Match

- The Definition of $sp'_i(P)$
 - with the character $P(i+1) \neq P(sp'_i+1)$
 - For example P = aabcaabe, $sp_6 = 2$, $sp'_6 = ??$

	$\stackrel{sp'_i}{\longleftrightarrow}$	<i>sp'</i> _i +	-1			i	<i>i</i> +1					
	1	2	3	4	5	6	7	8	9	10	11	
P	a	a	b	c	a	a	b	e				
		a	a	b	c	a						Mismatch
			a	a	b	c						Mismatch
				a	a	b						Mismatch
					a	a	b					Match
						a	a					Match

- The Definition of $sp'_i(P)$
 - with the character $P(i+1) \neq P(sp'_i+1)$
 - For example P = aabcaabe, $sp_6 = 2$, $sp'_6 = 1$

	sp'_i	<i>sp'</i> _i +	-1			i	<i>i</i> +1					
	1	2	3	4	5	6	7	8	9	10	11	
P	a	a	b	c	a	a	b	e				
		a a b c										Mismatch
			a	a	b	c						Mismatch
				a	a	b						Mismatch
					a	a	b					Match
						a	a					Match

- Weakness of sp_i
 - Shift using sp_i value

• Shift using
$$sp_i$$
 value
$$sp_{II} = 5$$
• ex) $P = a b c a b d a b c a b d$

$$sp'_{II} = ??$$

$$T \begin{vmatrix} a & b & c & a & b & d & a & b & c & a & b & d & ... \end{vmatrix}$$

$$P \begin{vmatrix} a & b & c & a & b & d & a & b & c & a & b & d & ... \end{vmatrix}$$

$$T \begin{vmatrix} a & b & c & a & b & d & a & b & c & a & b & c & a & b & d & ... \end{vmatrix}$$

$$T \begin{vmatrix} a & b & c & a & b & d & a & b & c & a & b & c & a & b & d & ... \end{vmatrix}$$

b c a b d

- Weakness of sp_i
 - Shift using *sp*'_i value
 - ex) P = a b c a b d a b c a b d

$$sp'_{II} = 2$$
10 11 12 13 14 15

 $sp_{11} = 5$

 $sp'_{11} = 2$, We can **shift more characters** than when we use sp_{11} value. And the next character is not the same character that was mismatched. We don't have to do the same comparison again.

• Theorem 2.3.3 (Time Complexity)

In the KMP method, the number of character comparisons is at most 2n.

Time complexity of KMP

• the total number of character comparisons = number of matches + number of mismatches

• For example

	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22
T	x	у	a	b	c	X	a	b	c	X	a	d	c	X	a	d	c	d	q	f	e	g
P			a	b	c	X	a	b	c	d	e											
compare			1	1	1	1	1	1	1	1												
Shift 4							a	b	c	X	a	b	c	d	e							
compare										1	1	1	1	1								
Shift 4											a	b	c	X	a	b	c	d	e			
compare														1	1							
Total compare			1	1	1	1	1	1	1	2	1	1	1	2	1							

Shift Rule

Proof

- n: the length of T
- s: number of shifts

the total number of character comparisons =

number of matches + number of mismatches

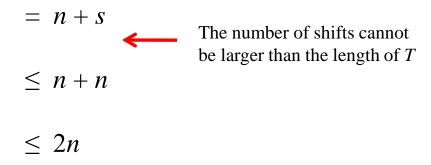
- 1. The number of matches
 - \rightarrow if character matches, never compare again (the number of matches = n)
- 2. The number of mismatches
 - \rightarrow if character mismatches, shift occurs (the number of mismatches = s)

Shift Rule

Proof

- n: the length of T
- *s* : number of shifts

the total number of character comparisons



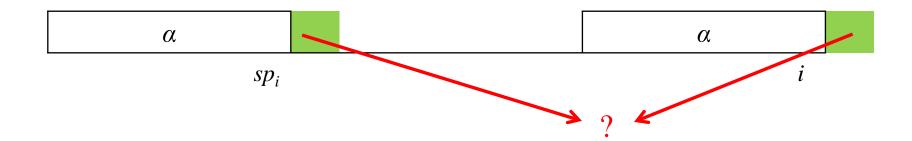
The Preprocessing for KMP

- Compute $sp_i(P)$ for each position i
 - From i = 2 to i = n, $(sp_1 = 0)$
- Inductively

$$sp_1 \rightarrow sp_2 \rightarrow sp_3 \rightarrow sp_4 \dots$$

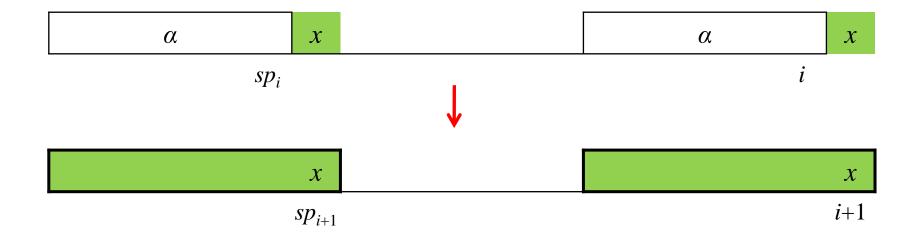
• To compute sp_i , assume that we know sp_1 , $sp_2 \dots sp_{i-1}$

• How to compute sp_{i+1}



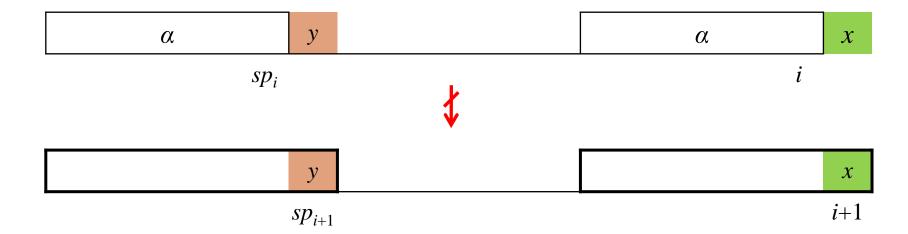
There are two cases:

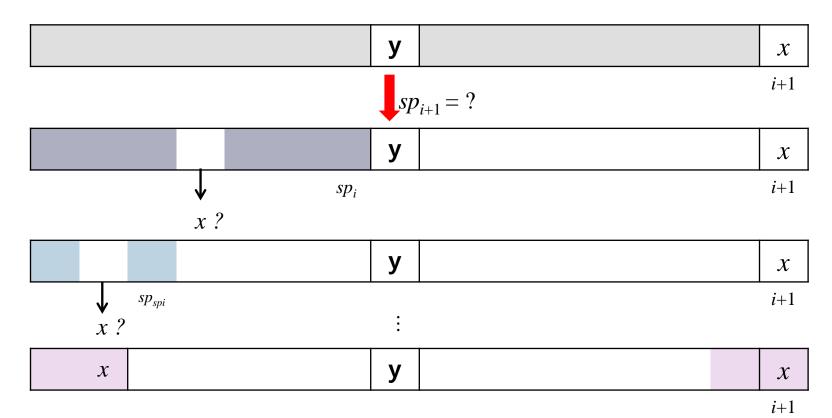
- ① $P(i+1) = P(sp_i)+1$
- ② $P(i+1) \neq P(sp_i)+1$

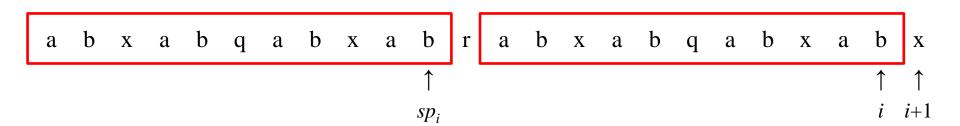


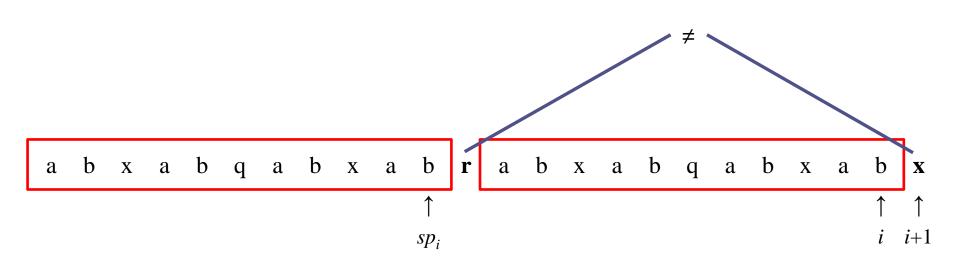
$$sp_{i+1} = sp_i + 1$$

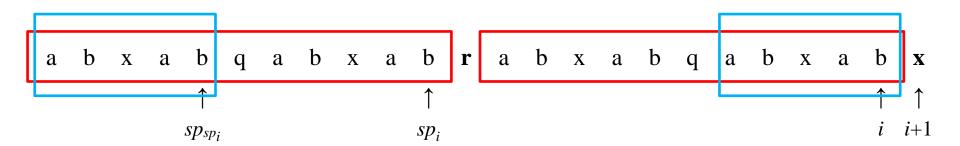
 $P(sp_i)+1 \neq P(i+1)$ (the general case of computing sp_{i+1})

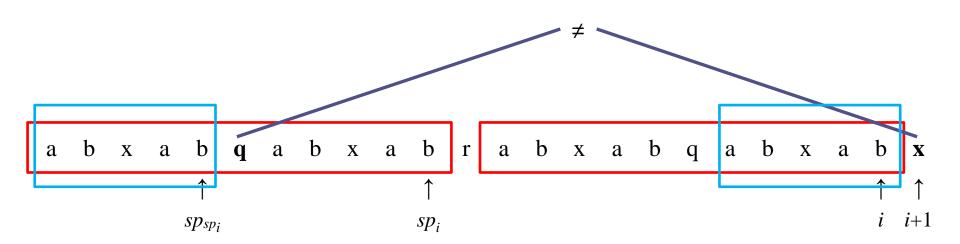


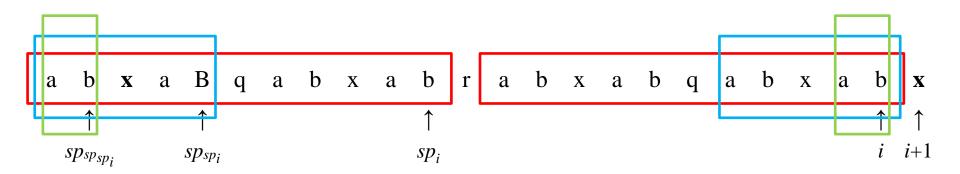


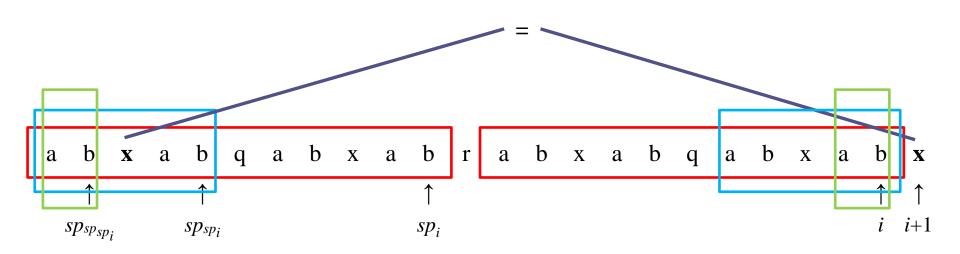


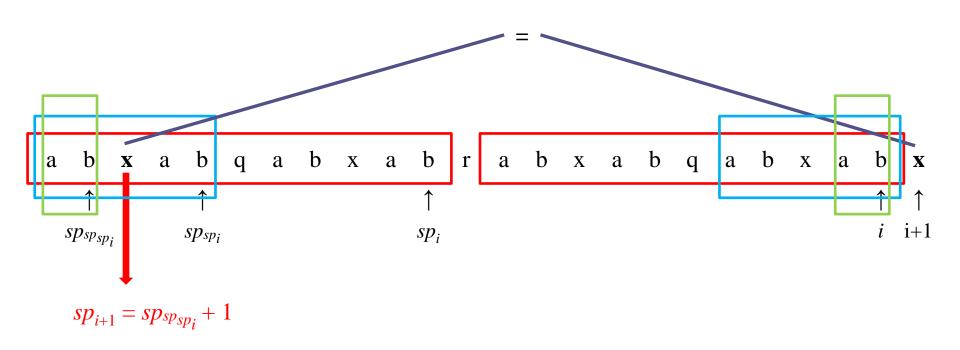












• Theorem 3.3.1 (Complexity)

Algorithm SP finds all the $sp_i(P)$ values in O(m) time, where m is the length of P



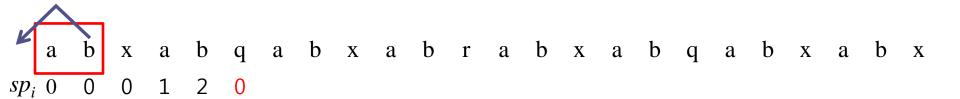
time complexity



- If
 - ① $P(sp_i)+1 = P(i+1) \rightarrow O(1)$
 - ② $P(sp_i)+1 \neq P(i+1)$ \rightarrow depends on the number of times sp_i jumps

• time complexity

• time complexity



$$\begin{bmatrix} a & b \\ sp_i & 0 & 0 \\ \end{bmatrix} x \quad a \quad b \quad q \quad a \quad b \quad x \quad a \quad b \quad r \quad a \quad b \quad x \quad a \quad b \quad q \quad a \quad b \quad x \quad a \quad b \quad x$$

$$\begin{bmatrix} a & b & x & a \\ sp_i & 0 & 0 & 1 \\ \end{bmatrix} b & q & a & b & x & a & b & r & a & b & x & a & b & q & a & b & x & a & b & x$$

time complexity

When the value of sp_i is increasing, it can only increase by 1 \rightarrow The value of sp_i can increase at most m-1.



• time complexity



The value of sp_i can decrease as large as the amount it has increased.



• time complexity

The total value of sp_i can increase at most m-1 over the entire algorithm

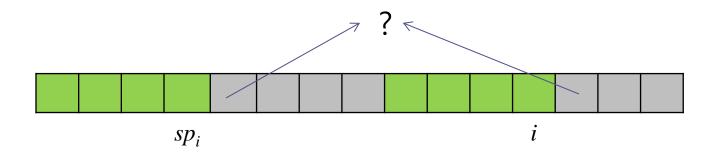
No matter how many times does sp_i decrease, the total amount that the value of sp_i can decrease is bounded by m-1



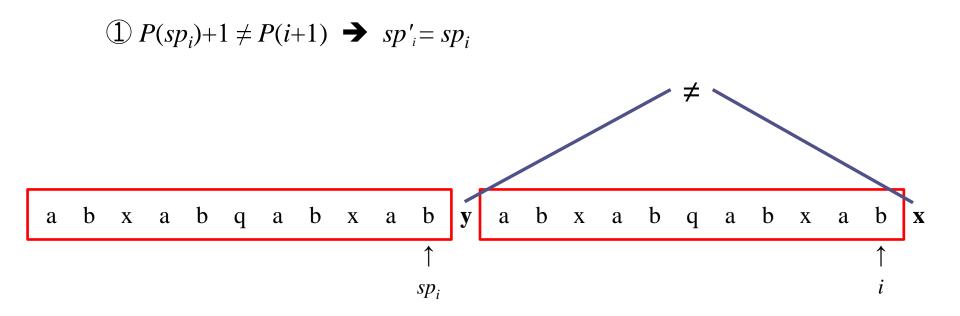
• time complexity

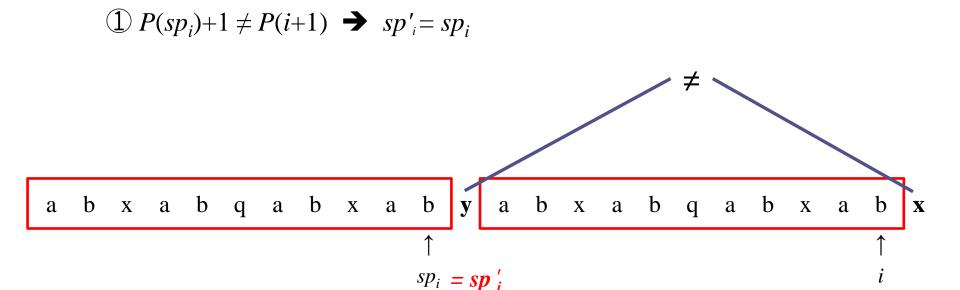
If ① $P(sp_i)+1 = P(i+1) \Rightarrow O(1) * \mathbf{m}$ ② $P(sp_i)+1 \neq P(i+1) \Rightarrow O(\mathbf{m})$

- How to compute sp'_i
 - assume that we know the value sp_i



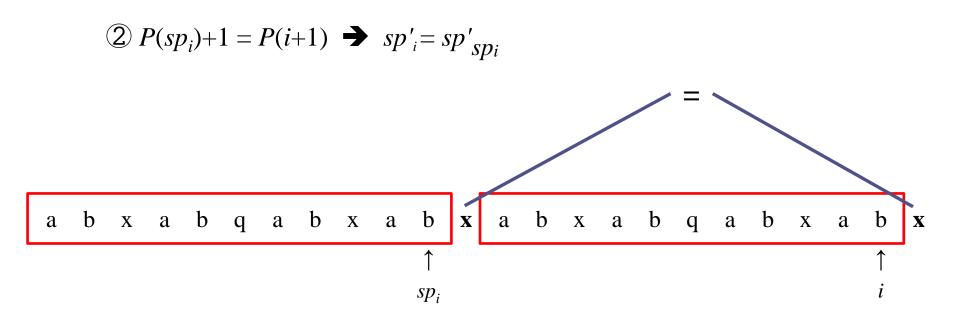
②
$$P(sp_i)+1 = P(i+1)$$
 $\Rightarrow sp'_i = sp'_{sp_i}$

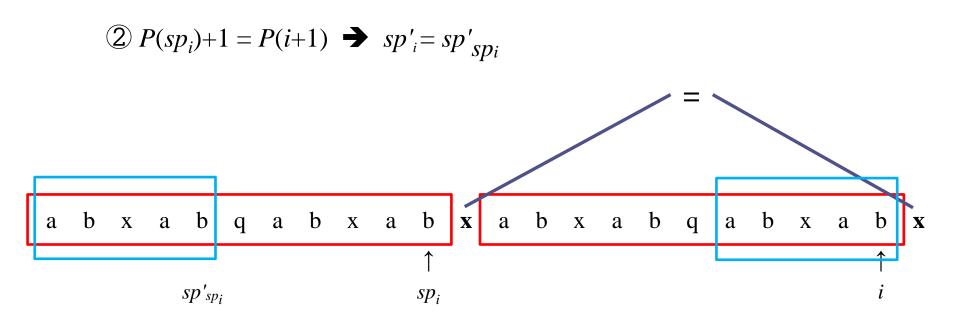


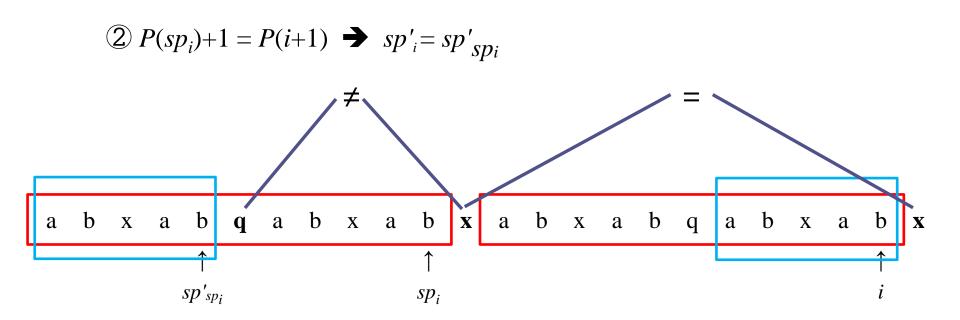


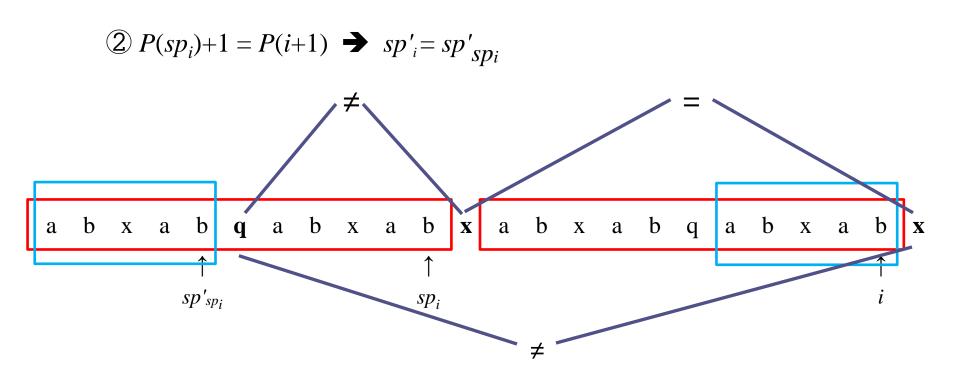
according to the definition of
$$sp'_{i}$$

 $sp'_{i} = sp_{i}$









Satisfies the definition of sp'_{i}

 $sp'_i = sp'_{sp_i}$

time complexity

O(m) O(1) * m O(1) * mThe time used to compute sp_i (1) $P(sp_i)+1 \neq P(i+1) \implies sp'_i = sp_i$

② $P(sp_i)+1 = P(i+1) \implies sp'_i = sp'_{sp_i}$

90