

## DBMS

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ASC → collection of interrelated data  
→ Entities + relationships  
convenient and efficient access of data

Atom: External / View level → how user sees  
logical / conceptual level → what data (columns  
names & attributes) &  
relationship among them  
Physical level →  
how data actually stored  
(eg unsorted may index as primary key)  
changes in one doesn't affect others

## Data Base Theory

specify the database schema

↓ updates

in table

attribute rows

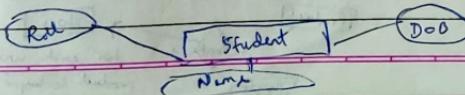
in table

constraint types / primary key / foreign key

the table (has a set of attributes)  
course, a student (distinguishable objects)

entire table (collection of entities having same property)  
eg: courses, students entity set

attributes → column names, eg: SName, Roll, Gender





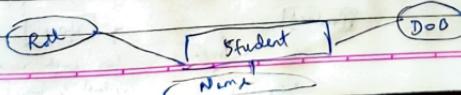
## DBMS

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- \* Database → collection of interrelated data
- Model → Entities + Relationships
- DBMS → convenient and efficient access of data
- \* Levels of Abstraction:
  - External / View level → how users see
  - Logical / Conceptual level → what data (column names & attributes) & relationship among them
  - Physical level → how data actually stored
    - (eg: unordered map index as primary key)
- ↳ All levels are independent: changes in one doesn't affect others
- \* Database system :
  - i) DDL (Data Definition language) → specifies the database schema.  
eg: create table, alter table, drop table
  - ii) DML (data manipulation language) → queries & updates.  
eg: select → retrieve  
insert → add fields (rows) in table  
delete → delete ~~attribute~~ rows  
update → modify values in table  
alter → modify column types / primary key / foreign key

### \* Entity Relationship Model :

- ↳ Entity : a row of the table (has a set of attributes)  
eg: a course, a student (distinguishable objects)
- ↳ Entity set → entire table (collection of entities having some property)  
eg: courses, students entity set
- ↳ Attributes → column names, eg: SName, Roll, Gender



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### \* Types of Attributes.

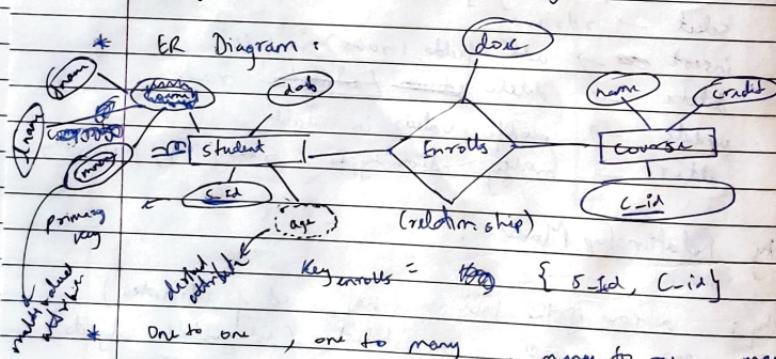
- ↳ Simple: indivisible e.g. dep., phone no.
- ↳ Composite: several components e.g. Quadratic  $\rightarrow$   $a^2 + 2ab + b^2$
- ↳ Derived: dependent on some other attribute e.g. age in DOB

### + Types of Attributes (2):

- ↳ Single valued  $\rightarrow$  have only one value e.g.: Place of Birth
- ↳ Multivalued  $\rightarrow$  have set of values e.g.: Courses enrolled, email
- ↳ combination type I & 2

- \* Keys: uniquely identifies an entity
- ↳ can be a combination of attributes
- ↳ entity set can have multiple keys

### \* ER Diagram:

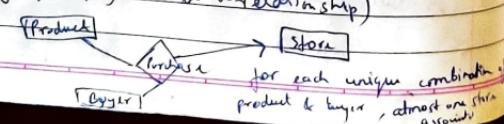


One to one, one to many, many to one, many to many

: one to many  
(arrow  $\Rightarrow$  denotes one)  $\hookrightarrow$  each A can have multiple B  
 $\hookrightarrow$  each B can have almost one A

degree = 2 (no. of participating entities in a relationship)

Ternary rel:



R		S	
A	B	B	C
a <sub>1</sub>	b <sub>1</sub>	b <sub>2</sub>	c <sub>1</sub>
a <sub>2</sub>	b <sub>2</sub>	b <sub>3</sub>	c <sub>2</sub>

left outer join

$$JL \rightarrow r \bowtie s \cup (r - \pi_{R}(r \bowtie s)) \times \{ (null, \dots, null) \}$$

a<sub>1</sub>, b<sub>1</sub>, null

A	B	C
a <sub>2</sub>	b <sub>2</sub>	c <sub>3</sub>

full outer join JL

Division :

$$R = \{ a_1, a_2, \dots, a_n, b_1, b_2, \dots, b_n \}$$

$$S = \{ b_1, b_2, \dots, b_n \}$$

$$r \div s \rightarrow \text{on } R - S$$

R		S	
A	B	B	
x	1 ✓		
x	2 ✓	1	
x	3 ✓	2	
β	1 ✓		e (R-S)
γ	1		
δ	1		
ε	1		
ζ	1		
η	1		

$$r \div s =$$

A
P

for r, δ, ε. both 1 & 2 are not present

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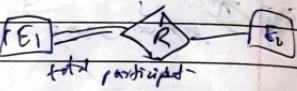
### # Min - max notation :

m: min no. of times a particular entity must appear in relationship triples



0 → partial participant  
1/1 → total participant

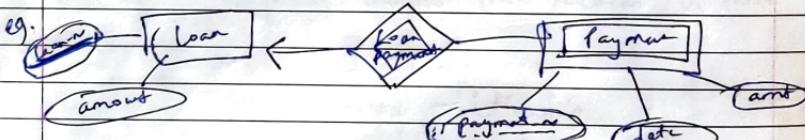
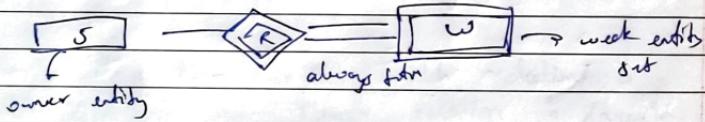
n: maxm.



### # Weak Entity set :

→ no primary key

→ each weak entity is associated with some entity of owner entity set.



L<sub>1</sub> 2800<sup>0</sup>  
L<sub>2</sub> 3000<sup>0</sup>  
L<sub>3</sub> 4800<sup>0</sup>

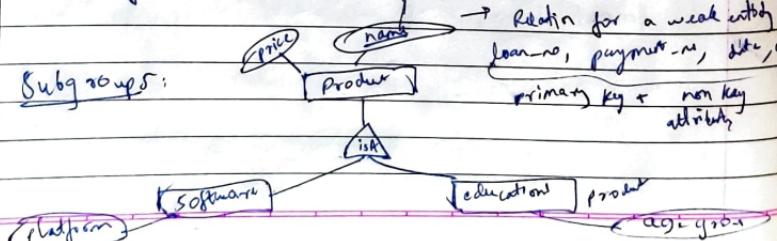
20 24/7/21 5000  
20 29/7/21 6000  
20 24/7/21 1000

on some date payment made of 5000 ear

can't determine associated with which loan-no

→ Relation for a weak entity  $W$  loan-no, payment-no, date, etc primary key + non key attributes?

### # Subgroups:

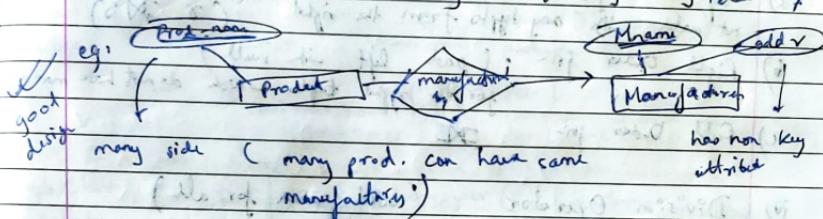


## # Entity set is User attributes:

- \* To be an entity set, one of the two conditions must satisfy.

i) has atleast one non-key attribute

ii) it is the many in many-one (many-many relationship)



## # Categories of language

Procedural

→ Relational algebra

Non-procedural

→ Tuple relational calculus

→ Domain relational calculus

## # Relational Algebra:

## # Basic operators:

Selection ( $\sigma$ ), Projection ( $\pi$ ), Union ( $\cup$ ), set difference (-), Cartesian product ( $\times$ ), Rename:  $f$

$f_a(E)$ : returns expression  $E$  under the name  $a$

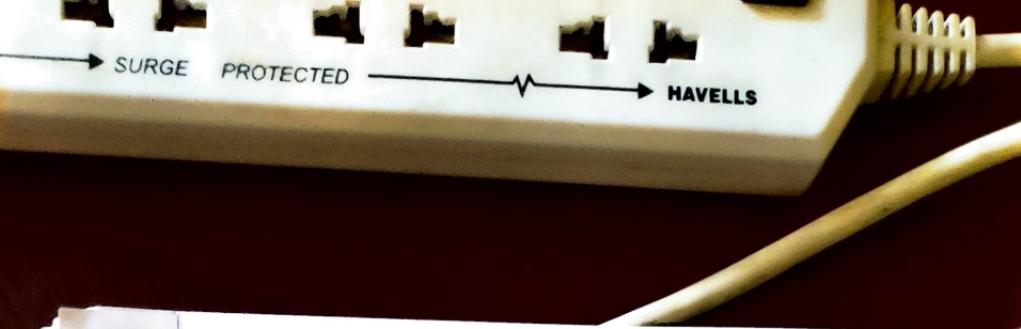
## # Additional Operators:

i) Set intersection ( $\cap$ ):  $\cap S = r - (r - S)$

ii) Natural join ( $\bowtie$ ):  $r \bowtie S$

↳ cartesian product + equating common attributes + removing duplicates

$$r \bowtie S = \pi_{R \cup S} (r \cap S) \quad (r \times S) \\ r.A_1 = S.A_1 \wedge r.A_2 = S.A_2 \dots r.A_n = S.A_n$$



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#### iii) Outer join operator:

natural join + add tuples which do not match using null values

Types:

- a) Left Outer join: includes tuples from left relation that did not match with any tuples from the right. ( $\pi_R \bowtie S$ )
- b) Right Outer join: pads left with null for the right tuples which do not have match
- c) Full Outer join  $\bowtie$

#### iv) Division Operator: (used when for all)

$$\begin{aligned} r \div s &= \{ t \mid t \in \pi_{R-S}(r) \wedge \forall u \in s, (tu \in r) \} \\ &= \pi_{R-S}(r) - \pi_{R-S}((\pi_{R-S}(r) \times s) - \pi_{R-S,S}(r)) \end{aligned}$$

#### # Assignment Operators:

eg  $r \bowtie s$ :  $\text{temp1} \leftarrow r \bowtie s$   
 $\text{temp2} \leftarrow \dots$   $r.A_1 = s.A_1, \dots, r.A_n = s.A_n$  (temp1)  
 $\text{result} \leftarrow \pi_{R-S}(\text{temp2})$

#### # few more join operations

semi join:

$$r \text{ semiJoin } s = \pi_R(r \bowtie s)$$

Anti join:

$$r \text{ antiJoin } s = r - (r \text{ semiJoin } s)$$

# Delete:  $r \leftarrow r - E$  (r  $\rightarrow$  relation, E  $\rightarrow$  algebra query)

Insert:  $r \leftarrow r \cup E$

Update:  $r \leftarrow \prod_{f_1, f_2, \dots, f_i} (r)$

Example :

Find the names of all customers who have a loan at 'Pataliputra' branch but do not have an account at any branch of the bank.

Relationship algebra  $\Pi_{\text{customer-name}} (\sigma_{\text{branch-name} = \text{"Pataliputra"}} (\text{borrower} \bowtie \text{loan}))$

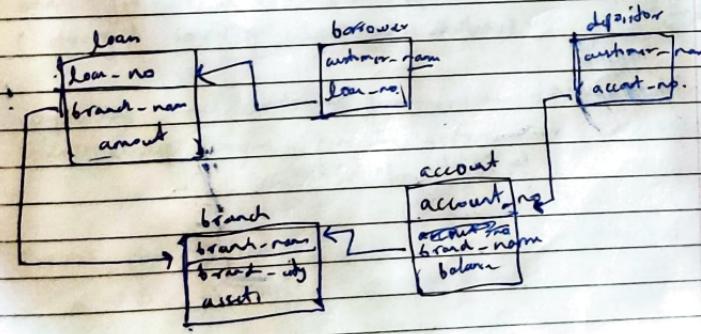
$- \Pi_{\text{customer-name}} (\text{depositor})$

Type Relation  $\{t\}$   $\exists s \in \text{borrower} (t[\text{customer-name}] =$   
~~(delets)~~  $s[\text{customer-name}] \wedge \exists v \in \text{loan}$   
 $(v[\text{branch-name}] = \text{"Pataliputra"} \wedge v[\text{loan-no}] = s[\text{loan-no}])$   
 $) \wedge \neg \exists r \in \text{depositor} (r[\text{customer-name}] =$   
 $t[\text{customer-name}])$

$\rightarrow$  for desired attribute

$- s, v, r \rightarrow$  for getting tuples in each relation

Domain Relation  
 Calculus :  $\{ \langle c \rangle \exists l/b, a (\langle c, l \rangle \in \text{borrower} \wedge$   
 $\langle l, b, a \rangle \in \text{loan} \wedge b = \text{"Pataliputra"})$   
 $\wedge \neg \exists ac (\langle c, ac \rangle \in \text{depositor}) \}$



SUN	MON	TUE	WED	THU	FRI	SAT
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Q) Find the names of customers who have an account at all the branches located in 'Patna' city.

Relation:  $\Pi_{customer\_name, branch\_name} (depositors \wedge account)$

$\exists_1 K_a x, y, z (x = y \wedge y = z \wedge branch\_name = "Patna")$

TRC:  $\{ \exists_1 \forall v \forall branch (v [branch\_city] = "Patna") \Rightarrow \exists v \& account (v [branch\_name] = v [branch\_name] \wedge \exists w \& depositor (w [account\_no] = v [account\_no] \wedge \exists t (customer\_name) = w [customer\_name]) ) \}$

DRC:  $\{ \langle c \rangle \wedge \forall y, z (\langle x, y, z \rangle \in branch \wedge y = "Patna") \Rightarrow \exists a, b (\langle a, x, b \rangle \in account \wedge \langle c, a \rangle \in depositor) \}$

Q) Find the largest account balance in the bank

$\exists \Pi_{balance} (account) - \Pi_{balance} (or (account \times P account))$

$(through \exists \langle a, b \rangle > ) \rightarrow F \text{ for } \lambda$

largest account balance in the bank



## Functional Dependency & Normalization

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- loss less join decomposition:
- ↳ decomposing a table into smaller units: natural join will give original table

### # 1<sup>st</sup> Normal form:

- no multivalued / composite attributes
- \* A relational schema R is in 1<sup>st</sup> Normal form if domain of all attributes of R are single atomic values.

### # Functional Dependency:

- values of certain set of attributes determine uniquely the values for another set of attributes (like a Key)

$$\Rightarrow \alpha \rightarrow \beta$$

if  $t_1, t_2 \in \text{dom}(R)$  and  $t_1[\alpha] = t_2[\alpha]$  then  $t_1[\beta] = t_2[\beta]$   
(if value repeats in  $\alpha$ , then it must repeat in  $\beta$ )

$\Rightarrow K$  is superkey for  $R$ : iff  $K \rightarrow R$

A	B
1	4
1	5
3	7

$\Rightarrow K$  is candidate key for  $R$  iff  $K \rightarrow R$   
and for no  $\alpha \subset K$ ,  $\alpha \rightarrow R$   
(stronger condition, least out of attributes)

$\Rightarrow$  Super Keys  $\rightarrow$  constraint for vertical table / relational  
functional dependencies can also express other constraints  
(set of attributes)

### \* Trivial func. Depend:

$\alpha \rightarrow \beta$  is trivial if  $\beta \subseteq \alpha$

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11. Functional dependency and closure

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\* Closure of  $f \rightarrow f^+$ : set of all func. dependencies logically implied by  $f$

\* Armstrong's Axioms:

- if  $\beta \subseteq \alpha$ , then  $\alpha \rightarrow \beta$  (reflexivity)
- if  $\alpha \rightarrow \beta$ , then  $\gamma\alpha \rightarrow \gamma\beta$  (augmentation)
- if  $\alpha \rightarrow \beta$  and  $\beta \rightarrow \gamma$ , then  $\alpha \rightarrow \gamma$  (transitivity)

\* Additional rules:

- Union: if  $\alpha \rightarrow \beta$  and  $\alpha \rightarrow \gamma$ , then  $\alpha \rightarrow \beta\gamma$
- decomposition: if  $\alpha \rightarrow \beta\gamma$ , then  $\alpha \rightarrow \beta$  and  $\alpha \rightarrow \gamma$
- pseudotransitivity: if  $\alpha \rightarrow \beta$  and  $\gamma\beta \rightarrow \gamma$ , then  $\alpha\gamma \rightarrow \gamma$

# Full functional dependency,

- minimal in size
- FD  $X \rightarrow A$  for which no proper subset  $Y$  of  $X$ :  
 $Y \rightarrow A$ , then  $A$  is fully functionally dependent on  $X$ .

# Closure of Attribute Sets:

- set of all attributes functionally determined by a.
- denoted by  $a^+$
- $A^+ = \{A, B, C, D\}$  means we can uniquely determine  $A, B, C, D$  when we know  $A$

# Cover of a set of FDs:

- $f$  is a cover of  $g$  or vice versa if  $f^+ = g^+$   
where  $f$  and  $g$  be set of functional dependencies

\* Minimal Cover or canonical cover ( $f_c$ ):

- ↳ no redundant terms

### # Extraneous Attribute:

- attribute which we can remove without changing the closure of set of FDs.
- Let  $\alpha \rightarrow \beta$  be in F  
 $A$  is extraneous in  $\alpha$  if  $A\alpha, F$  logically implies  $(F - \{\alpha \rightarrow \beta\}) \cup \{\alpha \rightarrow (\beta - A) \rightarrow \beta\}$
- $A$  is extraneous in  $F$ , if  $A \in B$  and  $(F - \{\alpha \rightarrow \beta\}) \cup \{\alpha \rightarrow (\beta - A) \rightarrow \beta\}$  logically implies  $F$ .

### # 2NF : 2<sup>nd</sup> Normal form:

- $R$  is in 2NF if every non-prime attribute  $A$  in  $R$  is not partially dependent on any candidate key of  $R$ . (Prime attribute  $\rightarrow$  attribute part of candidate key)

eg:  $r(A, B, C, D)$

if  $(A, B)$  is a candidate key &  $A \rightarrow D$  holds

Then decompose into  $r_1$  &  $r_2$

$r_1(A, D) \rightarrow A$  is the candidate key

$r_2(A, B, C) \rightarrow (A, B)$  is candidate key

$r_1(A, D)$	$\xrightarrow{2NF} r_1(A, D)$
$A \rightarrow D$	
$B \rightarrow C$	
Non prime = $C, D$	
$(A, B) \rightarrow$ candidate key	

### # 3NF:

- for a non-trivial FD  $X \rightarrow A$  to hold either
  - i)  $X$  is a superkey of  $R$ , or
  - ii)  $A$  is a prime attribute of  $R$
- if  $r(A, B, C)$  and  $A \rightarrow$  candidate key &  $B \rightarrow C$  holds  
 Then  $r$  can be replaced by
  - $r_1(B, C) \rightarrow B$  is the candidate key
  - $r_2(A, B) \rightarrow A$  is the candidate key

## # BCNF:

- 3NF with only the first condition  
( $X \rightarrow A$  holds then  $X$  is a superkey of  $R$ )
- stronger as every 3NF is BCNF BCNF is 3NF
- \* For 2NF it should be in 1 NF and for 3NF it should be in 2NF.

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3NF  
BCNF

eg: 3NF

 $R(A, B, C, D)$ Candidate key ::  $AB \rightarrow CD$ 

2NF

- i)  $AB \rightarrow C$  →  $C \rightarrow D$  → non prime
- ii)  $C \rightarrow D$  → transitivity (not allowed)
- non prime → non prime (allowed in 2NF)

$R_1(A, B, C)$  (in 3NF if  $NP \rightarrow NP$ )

$R_2(C, D)$  (in 2NF if  $NP \rightarrow NP$  not allowed)

of BCNF

 $R_1(A, B, C)$ 

$AB \rightarrow C$  →  $C \rightarrow D$  → (not allowed)

$C \rightarrow D$  → (not allowed)

$C \rightarrow D$  → (not allowed)

## # Lossless Join :

- Let  $R$  be relation schema &  $f$  be set of func. dependency
- Let  $R_1, R_2$  are decomposition of  $R$
- The decomposition is lossless if all the two functional dependencies is in  $f^+$ :

$$i) R_1 \cap R_2 \rightarrow R_1$$

$$ii) R_1 \cap R_2 \rightarrow R_2$$

$\Rightarrow$  common attributes  
 $= \{A\}$  (only)  
 $B$  is not in  $R_1$  &  $R_2$

$r(A, B, C)$

cand. key =  $C$  and  $A \rightarrow B$

$r(A, B) \quad r(B, C)$

$(A \rightarrow B) \quad (C \rightarrow B)$

(not lossless join)

# Dependency preservation:

some eg:

$$r(A, B, C) \quad | \quad \begin{array}{l} C \rightarrow A \\ C \rightarrow B \\ A \rightarrow B \\ A \rightarrow C \\ C \rightarrow B \end{array}$$

Can we derive  $C \rightarrow A$  from  $A \rightarrow B$  and  $C \rightarrow B$   
 → not possible to obtain  $\Rightarrow$  not dependency preserving

eg) Given:  $\begin{array}{l} A \rightarrow B, B \rightarrow C \\ \Rightarrow A \rightarrow C \end{array}$

$$r(A, B, C) \quad | \quad \begin{array}{l} r_1(A, B) \\ r_2(B, C) \end{array}$$

not dependency preserving

$$r(A, B) \quad | \quad \begin{array}{l} A \rightarrow B \\ A \rightarrow C \end{array}$$

can't derive  $B \rightarrow C$

## Transactions

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### # ACID Properties :

→ preserve the integrity of a database

i) Atomicity : either all operations or none are reflected in database

ii) Consistency : explicit like primary key, foreign keys  
implicit like sum ( $A+B$ ) after transaction =  
 $\sum (A+B)$  before transaction

iii) Isolation : Each transaction unaware of other concurrently executed transactions  
→ can be ensured trivially by running transaction serially (one transaction completed before executing other)

iv) Durability : Database updates after transaction must persist even after software / hardware failures

### # Concurrency control schemes

→ mechanisms to achieve isolation i.e. control interactions b/w concurrent transactions to ensure consistency

# Schedule : Sequence of instructions in chronological order in which instructions of concurrent transactions are executed

→ all instructions must be present & order of instructions in a transaction must be preserved.

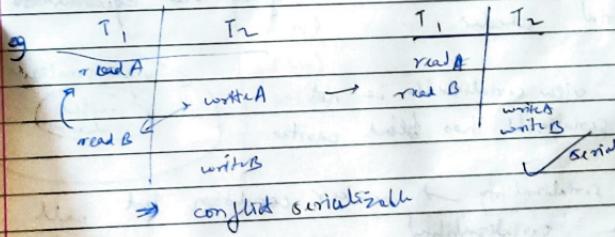
→ A schedule is serializable if it is equivalent to a serial schedule

Assumption → each transaction preserves consistency  
→ serial execution preserves consistency

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### # Conflict Serializability:

- Instructions  $i_i$  &  $i_j$  of transactions  $T_i$  &  $T_j$  conflict iff some item  $Q$  is accessed by both  $T_i$  &  $T_j$  & atleast one of them writes ( $Q$ ).
- If no conflict b/w  $i_i$  &  $i_j$  then they can be swapped in schedule.
- $s \xrightarrow{\text{swaps of non-conflicting ins.}} s'$ , then  $s$  &  $s'$  are conflict equivalent.
- $s$  is conflict serializable if it is conflict equivalent to a serial schedule



- Precedence graph: directed graph : vertices  $\rightarrow$  transaction  $T_i \rightarrow T_j$  if  $T_i$  executes before  $T_j$  & both are conflicting
- A schedule is conflict serializable iff its precedence graph is acyclic. To find the serial order - use topological sort (can be multiple ordering)

## # View serializability.

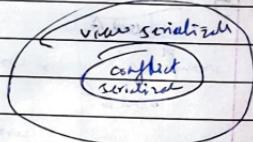
- $S$  and  $S'$  are view equivalent if for each data item  $Q$ :
- In  $S$   $T_i$  reads initial value of  $Q \Rightarrow$  In  $S'$  also  $T_i$  reads  $Q$  init.
  - In  $S$   $T_i$  reads  $Q$  after  $T_j$  writes  $Q \Rightarrow$  In  $S'$  also  $T_i$  reads  $Q$  after  $T_j$  writes  $Q$
  - In  $S$  if  $T_i$  writes  $Q$ , then  $S'$  does same.

ex:	$T_3$	$T_4$	$T_6$
	read( $Q$ )		
		write( $Q$ )	
			write( $Q$ )

$\sim (T_3, T_4, T_6)$

→ Schedule is view serializable if ~~is~~ view equivalent to a serial schedule.

→ Every view serializable is not conflict serializable has blind writes.



→ View serializability → weaker condition but will ensure (serializability).

→ Even if not serializable, a schedule can produce same results.

## # Recoverable Schedule :

- If  $T_j$  reads a data item previously written by  $T_i$ , then commit of  $T_i$  appears before the commit of  $T_j$ . (If  $T_i$  fails/aborts,  $T_j$  must also abort)

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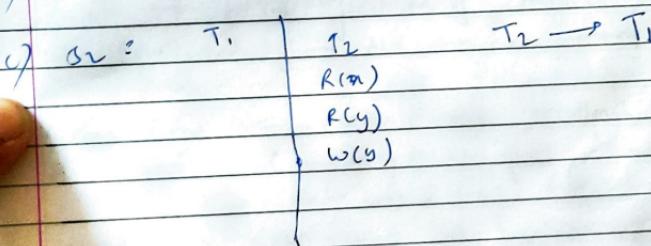
### # Cascaded schedule :

- $T_j$  reads a data item previously written by  $T_i$ , then commit of  $T_i$  must appear before read of  $T_j$ .
- every cascaded schedule is also recoverable.

### # Concurrency Control :

- schedules should be either conflict free & serializable & recoverable and preferably cascaded.

1b) C

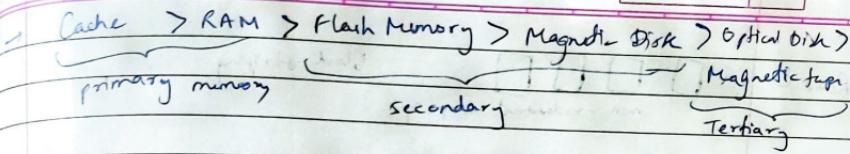


2).  $T_1 \rightarrow T_2$  ✓

2)  $T_1 \rightarrow$  younger  
 $T_2 \rightarrow$  older

## STORAGE

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### Magnetic Disk :

- Read write head → magnetically reads / writes
- ① tracks (circular)
- sectors □ : smallest unit of data that can be read / written  
~ 512 bytes
- Request for disk I/O specifies the address on the disk to be referenced in the form of block no.
- Block : Consist of fixed no. of contiguous sectors
- Data transferred from disk ↔ main memory in units of blocks

\* Access Time = seek time + rotational latency time  
(positioning the arm) (waiting for the sector under correct track to appear under R/W head)

→ time b/w a R/W request and beginning of data transfer.

### \* Data Transfer Rate :

→ rate at which data can be retrieved from or stored to the disk. (after R/W head positions on the first sector)

\* Mean Time to Failure : reliability of disk  
amount of time the system runs continuously without any failure.

# RAID : Redundant Array of Independent Disks.  
→ multiple disks, one view, higher speed & reliability  
→ Redundancy to rebuild info lost in a disk failure.  
eg: Mirroring → duplicate every disk

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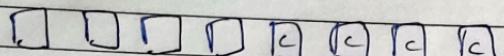
Raid 0



block striping

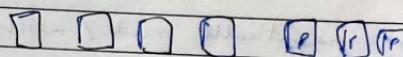
non-redundant striping

Raid 1



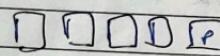
mirrored data

Raid 2



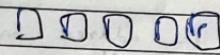
memory style error correction code (bit striping)

Raid 3



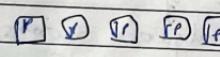
bit interleaved parity

Raid 4



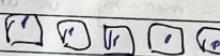
block interleaved parity

Raid 5



block distributed parity

Raid 6



P+Q redundancy

(extra redundant info)

## FILE ORGANISATION:

- each record entirely in one block
- Block may have several records

+ Fixed length records  
→ free lists

+ Variable length records:

→ Byte String Representation } Variable length  
→ Slotted page structure } representation

→ Reserved space method  
→ list representation  
→ alternate list represent.

} Fixed length representation

### # Organization of records in FILES :

- Neap (anywhere)
- Sequential (based on search key)
- Hashing (value of attribute) = block address of record

\* Generally separate file for each relation - except multi-table clustering file organization

### # Sequential file Organization :

- sorted order based on search key
- records linked together by pointers
- records stored physically in search key order or as close as possible.

### # Multitable file Clustering file Organization :

- several relations in 1 file
- good for queries of  $\bowtie$  (bad for only 1 table queries)

\* Data Dictionary storage → stores metadata

### # Indexing and Hashing :

→ Index file    Search key    | pointer |

→ Types of Indices :

- Ordered indices : search key stored in sorted order
- Hash indices : " " distributed uniformly across buckets using hash fn

→ Ordered indices

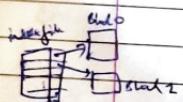
- Primary index : index whose search key specifies the sequential order of file
- Secondary index : " " " " " " order diff. from sequential order of file

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\* Index Sequential File is sequential file with a primary index  
     → Dense (index record for every search key value in file)  
     → Sparse (contains index records for some search key values in file)

### \* Sparse Index Files:

- good tradeoff: sparse index with an index entry for every block in file corresponding to least search key value in file



### \* Multilevel Index:

- When primary index file too big, treat primary index kept on disk as a sequential file & construct a sparse index on it.

→ outer index: sparse index of primary index      inner index: primary index file

### # Secondary Index:

- have to be dense

- index record points to a bucket that contains pointers to all the actual records with that particular search key value.

### # B<sup>+</sup> Tree Index Files:

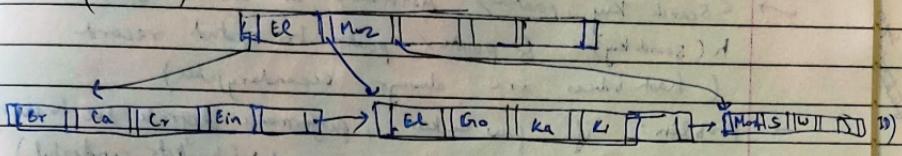
- to overcome performance degrade of index sequential file due to file growth and periodic reorganization of file
- all paths from root to leaf are of same length
- each node not a leaf has  $\lceil \frac{n}{2} \rceil$  and no children
- leaf node has  $\lceil \frac{(n-1)}{2} \rceil$  and  $(n-1)$  values

$$\text{Node: } [P_1 | K_1 | P_2 | K_2 | \dots | P_m | K_m | P_n]$$

$$K_1 < K_2 < K_3 < \dots < K_{n-1}$$

- leaf node points to file record with 5th key  $K_1$ .  
 $P_n$  points to next leaf node in search key order

eg (n=6)



- If  $K$  5th Key values, true weight  $\leq \lceil \log_{p+1} k \rceil$
- node size  $\approx$  disk block  $\rightarrow O(\log_{p+1} k)$

#  $B^+$  file organization : same except leaf nodes store records instead of pointers

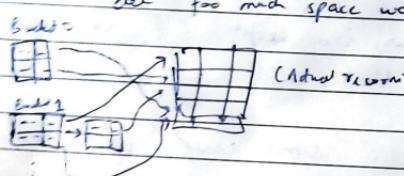
#  $B^-$  tree index files :

- search key values appear once
- additional pointer in non-leaf nodes included (points to file records)
- may use less nodes than corresponding  $B^+$  tree, search key value may be found before reading leaf node. ( $B^-$  is wider)

# STATIC HASHING :

- bucket = block  $\rightarrow$  contains one or more records
- hash fn:  $h$  (search key) = bucket address then search bucket for record
- Hash fn should be uniform, random
- Multiple records may have same search key & different search key may point to same bucket.  $\Rightarrow$  bucket overflow  
 Sol: bucket chaining (linked list)

- # Hash Index : (Ordered under part is over)
- Hashing can be used not only for file, but also for index creation.
  - $\langle$  Search key, pointer  $\rangle$  distributed in buckets based on h (search key) & pointer - pointer to actual record (hash indices are always secondary index)
  - Problem: if few buckets, performance degrade due to overflow else too much space wasted (buckets underfull)



### # Dynamic Hashing :

- Extendable Hashing:
- use only a prefix  $j^i$  to index into a table of bucket addresses
- i bit prefix  $\Rightarrow$  bucket address table size =  $2^i$   $\leq i \leq 32$
- No. of buckets also change dynamically (splitting of buckets)

# DBMS.

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- Data process (raw bytes) → Information (for decision making)
- Database → how & where data is stored (storage)
- DBMS → database + SQL programs
- access, add, update, delete
- ## A Advantages of DBMS over normal file systems
- efficient access (query)
  - no data inconsistency & redundancy
  - atomicity, consistency, integrity, durability (data security)
  - security
  - Data abstraction (different views of the same database)

\* schema = design

## # 3 schema Architecture :

→ Data abstraction in 3 levels

i) Physical level → how data is actually stored  
(data structures used, compression, encryption etc.)  
→ goal: efficient storage & access

ii) Logical level / conceptual level → what data is stored (attribute)  
(e.g. student data → name, id, address, phone no.)  
→ constraints (e.g. id can't be null, phone no. = 10 digits)  
→ relationship b/w data (e.g. Student table → Course table)

iii) View level / External level: same database having different views for different end users  
→ e.g. Customer database  
Logistic Dept → [Name, Phone, address]  
Customer Service → [Name, Phone, previous order]

HAVELLS

## # Data models :-

- way to describe the design of DB & logical level
- describe data, relationships, constraints
- ex: ER model, relationship model, object-oriented model, object-relation model

## A Database languages:

DDL → create, drop, alter, etc.

DML → select, update, add, delete

SQL → lots of features present in single language

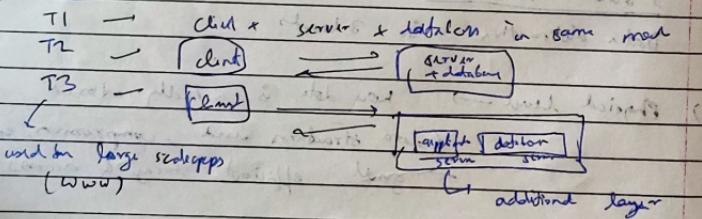
## \* How to access database from Application programs?

API : eg C++ → SQL

API → ODBC

+ Database administrators → central control of databases

## # Architecture

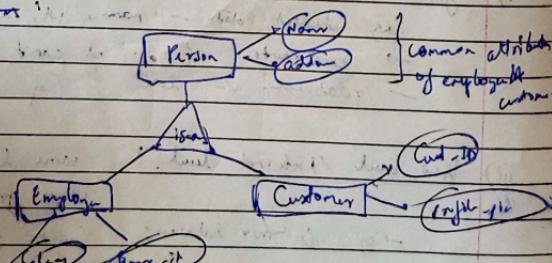


## # Extended ER features :

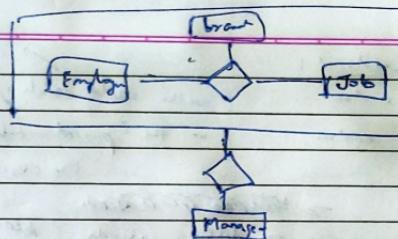
- Specialization:
  - top down
  - is-a relationship

## ii) Generalization :

- bottom up (reverse of specialization)
- is-a relationship
- thinking method is DDL
- but ER diagram is good



ii) Aggregation



Manager manages aggregation of (Emp, Brand, Job)

# Steps to make ER diagram:

- i) Identify entity sets
- ii) " attributes & their types (single value, multivalued, primary key, derived, atomic, composite)
- iii) " relationships & constraints
  - ↳ mappings (1:N or N:M)
  - ↳ participation (total / partial)

# Relational Model: — Implemented through RDBMS (e.g. SQL, Oracle, MySQL)  
(Convert ER diagram in form of tables / relations)

\* Keys: Primary, Super, candidate, alternate, foreign key  
(combination of attributes to uniquely identify a tuple)  
(candidate - primary)  
(non-redundant attributes from super)

Foreign key: attribute which is a primary key of another relation

Order Relation:			
Order ID	Product ID	Qty	Amount
1	1	10	100

\* Integrity constraints: of data types (phone-no → int, etc.)

→ primary key constraint (PK != NULL)

→ domain restrict the values (e.g. Age >= 18)



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#### \* Referential Constraints :

- insert constraint (on the child table / referencing table)
- delete constraint (on the parent table / referenced table)
  - cascading delete
  - on delete cascade (Delete value from parent table → delete corresponding row from child table)
  - on delete set null
  - on delete set null (on corresponding child table)

#### \* Key Constraints :

- Not Null (column shouldn't be null)
- Unique constraint (a table can have multiple attributes as unique but when only one Primary key)
- default constraint (default value of a column)
- Check constraint (limit value range (domain))

#### # Converting ER to Relational Model :

- Composite attribute : add multiple columns (card-acts, address, ...)
- multivalued attribute : add a new relation < card, departs >
- Generalization → 3 tables / 2 tables
- Weak entity → add primary key of strong entity
- Aggregation → new table with combination of all primary key of all participating relation
- Unary relation : same table - add foreign key (1:N) or new table (M:N)

Emp ID	Role	Manager Employee (Foreign Key)
101	SOF	103
102	SWE	103
103	EM	Num

- Derived attribute → discard (Manager can manage multiple projects)
- Strong entities → relation with attributes as columns

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→ SQL :

→ Functional dependency :

AIM : reduce redundancy, insert anomaly, update anomaly,  
delete anomaly, size of DB  
improve access time

1NF → ~~all~~ all atomic attributes, no multivalued

2NF → no partial dependency : if  $AB \rightarrow C$  then  $A \nrightarrow C$

3NF → N- normal → non-prime depending from non-prime

BCNF →  $A \rightarrow B$  ; A must be superkey

(A must determine all the attributes)