

## Class Set: Merge Function

### Prototype: Set Merge (Set sDash)

**Input:**  $S_k$  (currentSet),  $S'$

**Output:**  $S_{k+1}$

#### Merge function:

Let  $t_1$  represent a pointer to the first element of  $S_k$

Let  $t_2$  represent a pointer to the first element of  $S'$

If none of the  $S_k$  or  $S'$  is traversed completely,

```
If ( $t_1.weight < t_2.weight$ )  
  add ( $t_1$ )  
  while ( $t_1.profit \geq t_2.profit$ )  
     $t_2++$   
   $t_1++$ 
```

```
If ( $t_1.weight > t_2.weight$ )  
  add ( $t_2$ )  
  while ( $t_1.profit \leq t_2.profit$ )  
     $t_1++$   
   $t_2++$ 
```

```
If ( $t_1.weight = t_2.weight$ )  
  if ( $t_1.profit \leq t_2.profit$ )  
     $t_2++$   
  else  $t_1++$ 
```

If  $S_k$  is traversed completely, but  $S'$  is not. ( Similarly for  $S'$  traversed completely,  $S_k$  is not )

```
while (  $S'$  is not empty )  
  if ( item.profit  $\geq$  lastItemProfitS )  
    add(item)  
  item++
```

**Claim : Output of Merge function is sorted in order of increasing weights**

#### Proof by induction:

$S_0 = (0,0)$  : Trivially sorted

Note that  $(0,0)$  will never be purged and is trivially contained in all  $S_k$ .

Induction Hypothesis:  $S_k$  is sorted

Let  $S_k = \{ (0,0) (p_1,w_1) (p_1,w_2) \dots (p_n,w_n) \}$

In worst case,  $n = 2^k$

Note :  $S_k$  denotes optimal knapsack considering first  $k$  elements. Hence, in optimal arrangement, profit must increase with weight.

Hence, By I.H.  $S_k$  is sorted in increasing order in both profit and weight.

To show :  $S_{k+1}$  is also sorted.

Without loss of generalisation, suppose  $t_1$  is being added to the list. We will show that  $t_1$  has the weight of all the items not traversed yet. Then at each step, the smallest weight is added implying ascending order is preserved.

NOTE : This is the reason that this is a **Greedy Algorithm**.

When  $t_1$  is being added,  $t_2$ .weight is larger ( as seen in Code 1 ). Also, for all items in  $S'$  after  $t_2$ , weight is higher than  $t_2$ . Thus,  $t_1$  is less than all unexplored items in  $S'$ . In  $S_k$ , all items after  $t_1$  have greater weight.

**Hence proved** by induction that **Merge function produce Sorted sets**.

**Corollary : All sets  $S_0, S_1 \dots S_N$  are ordered in accordance to increasing weight and profit.**

**Claim : At each step,  $S'$  constructed in sorted in order of weight and profit.**

**Proof:**

Let program Input be of form  $\{ (0,0) (P_1,W_1) (P_2,W_2) \dots (P_n,W_n) \}$

By Above Corollary,  $S_k$  will be sorted in both weight and profit.

Since  $S'$  is constructed by taking sum of  $S_k$  with  $(P_{k+1},W_{k+1})$

$S' = \{ (P_{k+1}, W_{k+1}) (P_{k+1}+P_1, W_{k+1}+W_1) (P_{k+1}+P_2, W_{k+1}+W_2) \dots (P_{k+1}+P_n, W_{k+1}+W_n) \}$

Since  $S_k$  is sorted and we are only adding constant doublet to each term, hence (as seen above),  $S'$  is also sorted.

**Hence Proved.**

**Purge Constraints:** In Set  $S_k$ ,

1. For a pair of items  $p_1$  and  $p_2$ :  
 $p_1.\text{weight} > p_2.\text{weight}$  and  $p_1.\text{profit} \leq p_2.\text{profit}$
2. For a pair of items  $p_1$  and  $p_2$ :  
 $p_1.\text{weight} = p_2.\text{weight}$  and  $p_1.\text{profit} \leq p_2.\text{profit}$
3. For any pair  $p$ :  
 $p.\text{weight} > \text{maximum KnapSack Capacity}$

**Result: Basically, Merge takes a union of two sorted lists while purging appropriate items.**

**Claim : At each step, Output Set  $S_{k+1}$  produced by merge satisfies purge constraints.**

**Constraint 3**

Note that **constraint 3** is handled by extend function of class Set. Hence  $S'$  never contains an infeasible term.

## Constraint 1 & 2

### Proof by Induction

$S_1 = \{ (0,0), (P_1, W_1) \}$

Satisfies constraint 1

Induction Hypothesis :  $S_k$  satisfies constraint 1.

Showing that constraint holds in  $S_{k+1}$

Without loss of generality, consider the instant when  $t_1$  is being added.

We need to show that addition of  $t_1$  would not lead to violation of purge conditions.

When  $t_1$  is added,  $t_1.\text{weight} < t_2.\text{weight}$ .

All items from list  $S_k$  added before  $t_1$  will not lead to violation as  $S_k$  satisfies constraint.

For an item from  $S'$  is added before (say  $t_{20}$ ),

Since all  $S_k$  are sorted,  $t_{20}.\text{weight} < t_1.\text{weight}$

Constraint will be violated only if  $t_{20}.\text{profit} \geq t_1.\text{profit}$ .

However if this was the case, during the addition of  $t_{20}$ , all items before  $t_1$  and  $t_1$  itself would have been purged because  $(t_1.\text{profit} \leq t_{20}.\text{profit})$  holds for items including  $t_1$  and before.

Hence, **contradiction that**  $t_{20}.\text{profit} \geq t_1.\text{profit}$ .

Therefore, **addition of a new item would not violate constraint.**

**Hence, the constraint is satisfied by the complete set  $S_{k+1}$**

**Thus, Merge function produces the next set  $S_{k+1}$  which is the optimal arrangement considering first k elements.**