

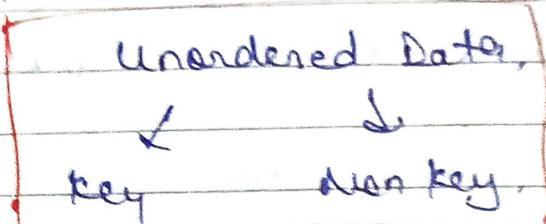
(17)

## Secondary Index, (Multilevel Indexing):

↓  
CS.I.).

→ (Already there is an Index in database, we have to make another Index).

→ Where use S.I. ?



→ Why Another Index?

(E10)

**fails**

[Employee]

key    pointers

|   |   |   |    |
|---|---|---|----|
| 1 | 5 | 9 | 13 |
|---|---|---|----|

key    Name    Pan.no.

|    |   |     |    |
|----|---|-----|----|
| 1  | A | 40  | F5 |
| 2  | B | S1  |    |
| 3  | A | 62  |    |
| 4  | C | 33  |    |
| 5  | A | 71  |    |
| 6  | D | 82  |    |
| 7  | E | S1  |    |
| 8  | F | 23  |    |
| 9  | K | 100 |    |
| 10 | L | 120 |    |
| 11 | M | 150 |    |
| 12 | C | 136 |    |
| 13 | A |     |    |
|    | B |     |    |

(PAN no)

| key | pointers |
|-----|----------|
| 23  | .        |
| 33  | .        |
| 40  | .        |
| S1  | .        |
| 62  | .        |
| 71  | .        |
| 82  | .        |
| ;   | .        |

(with key).

(Name)

| key | pointers |
|-----|----------|
| A   | .        |
| B   | .        |
| C   | .        |
| D   | .        |
| E   | .        |

intermediate layer

(Block of  
Record  
pointers)

(with non-key).

[Secondary  
Index (S.I.)].[primary  
Index].

H.D.



## → Why Another Index?

→ Let, H.D. has data of Employee table  
↳ data is already sorted on basis  
of E-ID (primary key). → [Unique + Not Null]

↳ bco. most of the query are on E-ID.

→ Find  $\sigma$  of Employee where E-ID = ...

then we use primary Index.

(which is already there formed on basis  
of E-ID.)

→ But, sometimes we also use  
name & for us

then, primary Index fails. so, set is  
made on S-ID.

Qn.

we use Secondary Index here.

② Case) when we also have key.

then

we use PAN no.

(unique + Unordered)

(As u can see in Diag.).

\* ③ We always use sorted values in Index.

Often, we apply binary search → Time Saving.

\* Index is always sorted & unique.



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→ Secondary Index on key, is always DENSE.

b/c, we don't have any anchor (leader) here, like in primary In.

→ These values are not sorted. Hence, we put them sorted in Index. & write all the records of H.D. in Index Table.  
So, Dense.

i.e.

[No. of records in Index = No. of records in H.D.]

→ Search time:  $\log_2 N + 1$

where,

N is the no. of blocks in Index Table.

④ Case II When we have Non key with unordered data.

It is the worst case.

then,

we use NAME,

(Neither ordered, nor key)  
(unsorted).

i.e. (Secondary Indx in D.beg.)

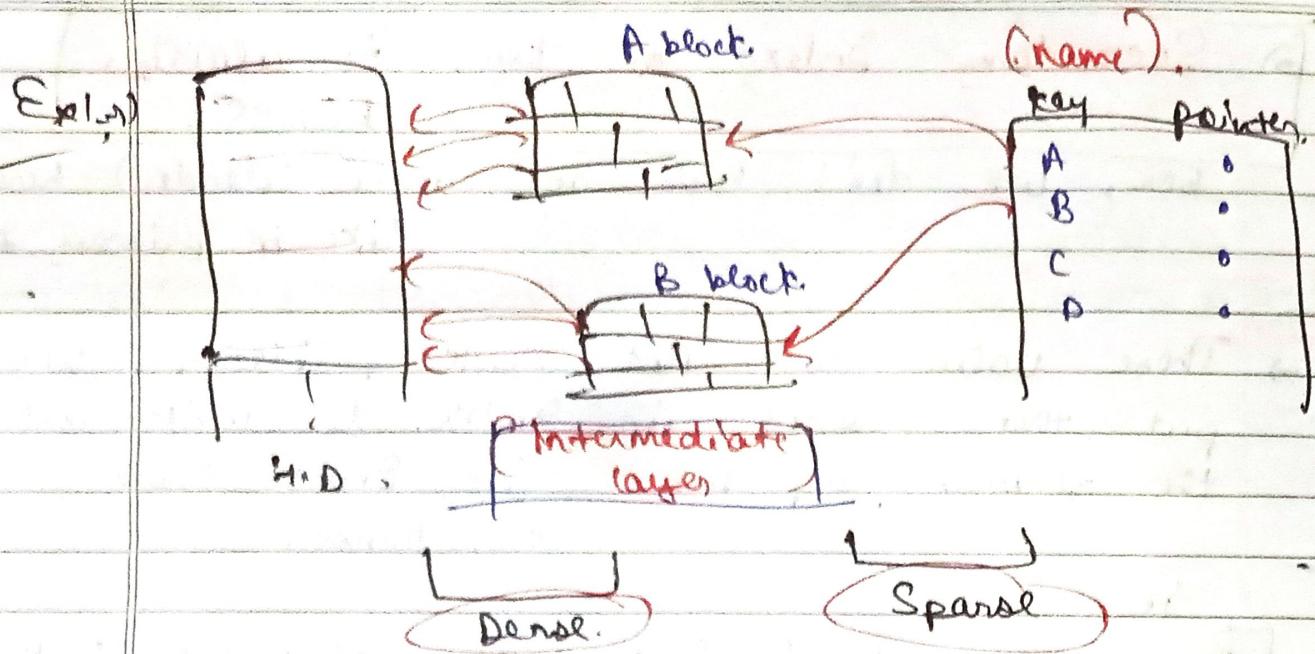
→ In Index, we don't have to take multiple values of A. (Only once).

But, A is many times in Head file.

So,

here we made an Intermediate layer.

(It is a block of record pointers).



- ∴ Hence, it is Mix of Dense & Sparse.  
So,
- ∴ We can say it DENSE Overall.

- ∴ Time Complexity :  $\log_2 N + 1 + 1 + \dots$
- (And this is only for 'A',  
it may be more than  
 $T \log_2 N + 2$ )
- (extra for  
intermediate  
layer)

(ii) Secondary Index is Always dense.

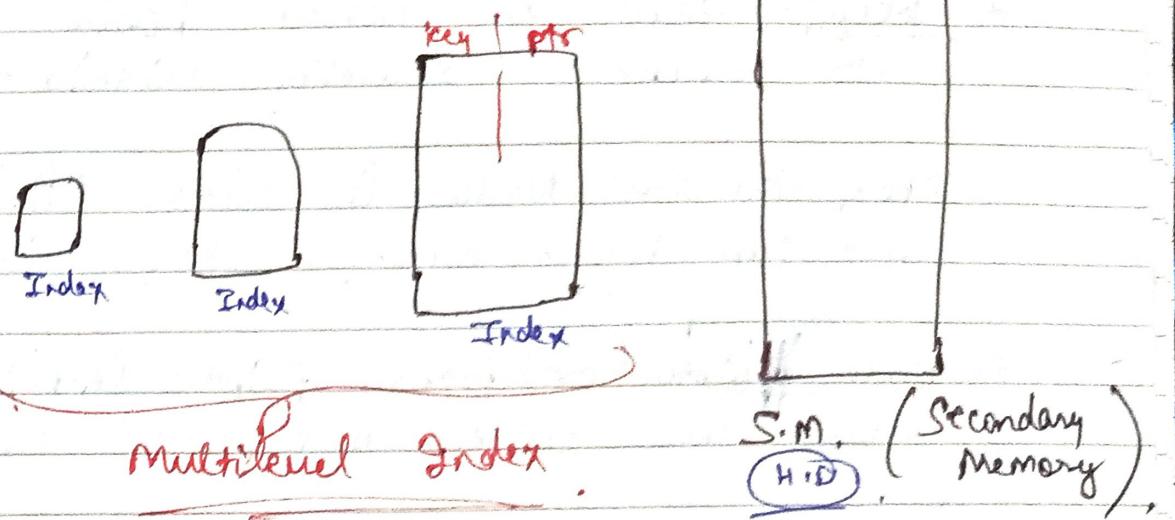
Ques. Intro to B-Tree & its Structure :-

- ∴ B-Tree (Dynamic Multilevel Index) :-  
(Balanced Tree)

graph  $\rightarrow$  cycle  
Tree  $\rightarrow$  Acyclic.



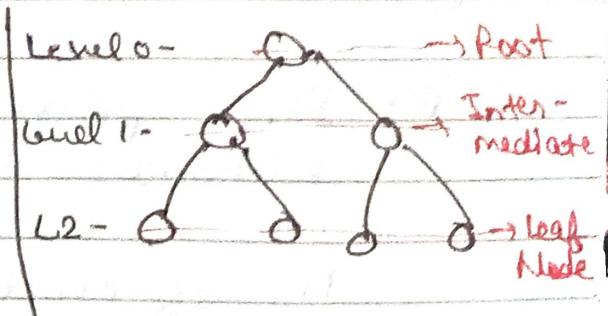
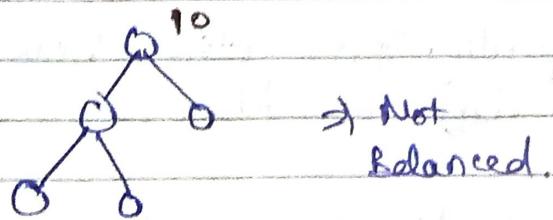
43.



- ⇒ So this, it is hard to manage. b/c, If we insert data in S.M. then we have also insert in all the Indexes & same for delete.

### Balanced Tree (B-Tree!)

- ⇒ Means, all the Elements are at the Same Level of Leaf Node.



- ⇒ Block pointers (B.P.) or Tree pointers! → When node denotes his child. Then, we use Block pointers (B.P.).

⇒ Note! → A node can contain multiple values here.

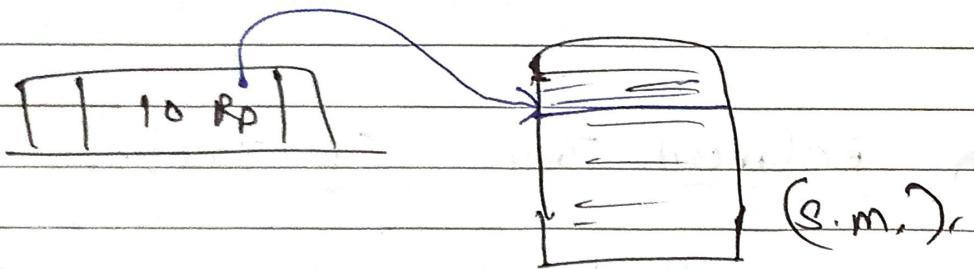


→ Keys: - that on which basis we have to search. Searching Criteria - key.

(Key of BT Nodes in step 1).  
(We can insert multiple keys in a node).

→ Data pointers (or) Record pointers (R.P.): →  
These are with correspond to keys.

→ This record pointers points to in the Secondary Memory (Hard Disk) where are record is present of that key.



# Keys = Record pointers.

# Block pointer depends on the how many children are there of a Node.

No. of children = No. of B.P.

# Order = p (of a B-tree)

= Max. no. of Block pointers.

Order = p = Max. no. of children a node can have.

# Keys =  $p - 1$  Max  
Rp =  $p - 1$

Min Keys =  $\lceil \frac{p}{2} \rceil - 1$

# Table:-

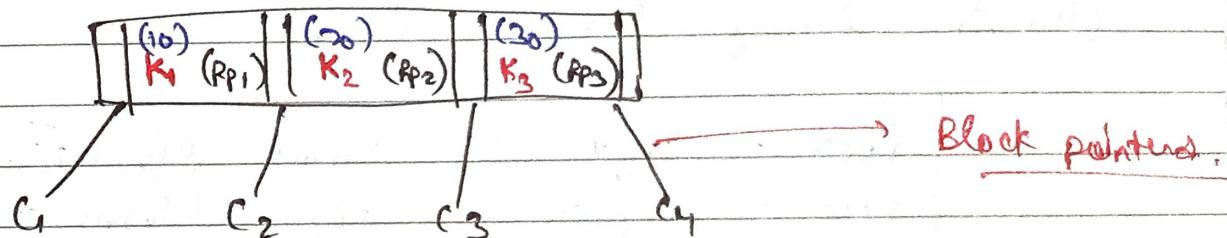
| Children of<br>max<br>min | Root | Intermediate Node             |
|---------------------------|------|-------------------------------|
|                           | p    | p                             |
|                           | 2    | $\lceil \frac{p+1}{2} \rceil$ |

→ ceiling value

# Explan.

(WT)

$p = 4$  = order of a Tree.



# We always insert keys in the sorted Order. (bcz, it follows the prop. of Binary Search Tree).

Q9:

Insertion in B-Tree ! →

Q1. Insert the following keys into B-Tree, if order of B-Tree = 4.

1, 2, 3, 4, 5, 6, 7, 8, 9, 10.

∴ Order = 4 = Max. no. of children.

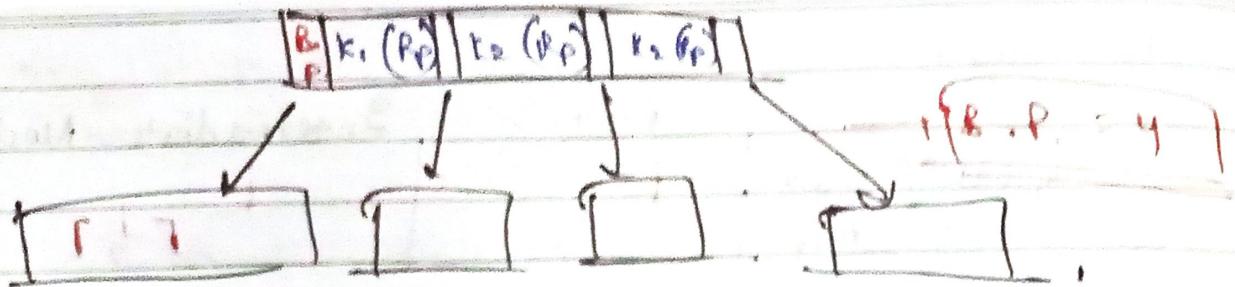


Max keys =  $p-1 = 3$

Min keys =  $\lceil \frac{p}{2} \rceil - 1 \Rightarrow 1$



2)



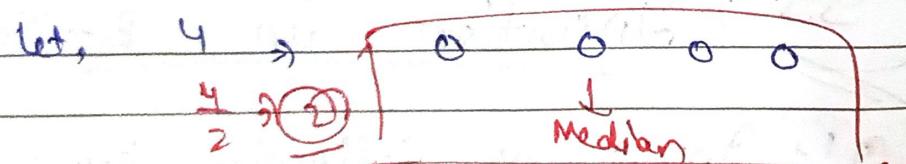
- ⇒ We follows the prop of Binary Search Tree in Insertion.

- ④ In Binary Search Tree,

$\left[ \begin{array}{l} \text{Root} \rightarrow \text{Left} = \text{Small Element} \\ \text{Root} \rightarrow \text{Right} = \text{Big Element} \end{array} \right]$

- ⑤ overflow sit & Median position the break on self itself,

→ When,  $n - \text{Even} \Rightarrow \frac{n}{2} \rightarrow$



→ When,  $n - \text{odd} \Rightarrow \frac{n+1}{2} = \text{Median}$



- ⇒ and, shift Median to upward ( $\uparrow$ ), & both left & Right Elements ~~both sit~~ sit ~~3II~~ ~~1I~~ large, how we break.



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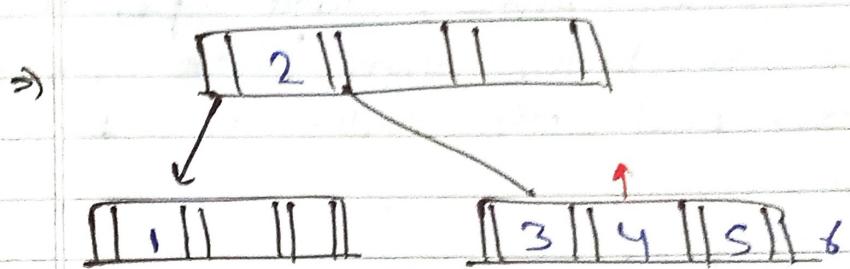
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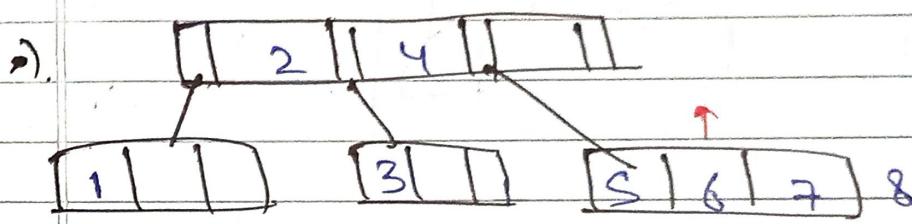
Q1. 1, 2, 3, 4, 5, 6, 7, 8, 9, 10



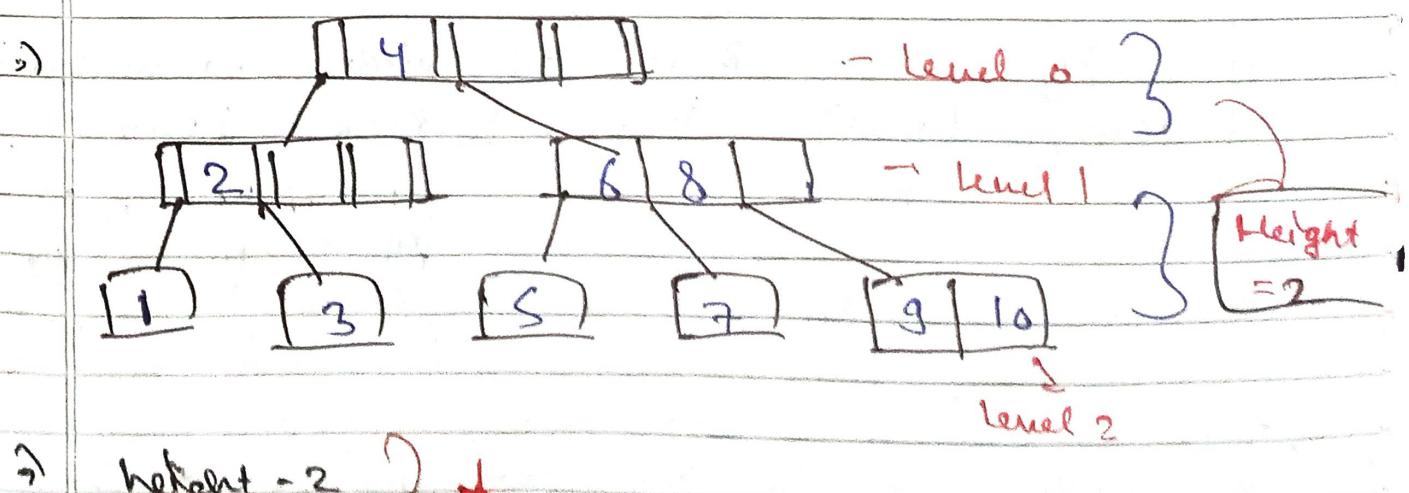
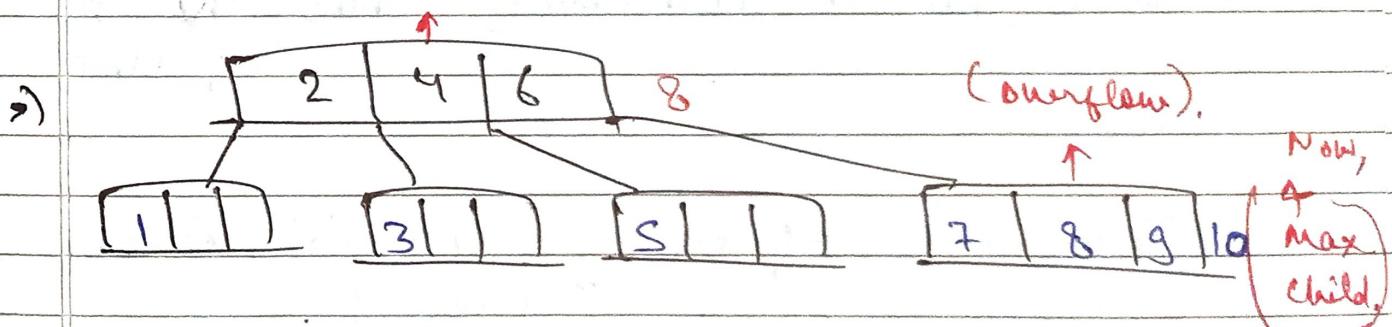
(overflow).  $\Rightarrow \frac{1}{2} \rightarrow \frac{1}{2} 22$



(overflow).



(overflow).



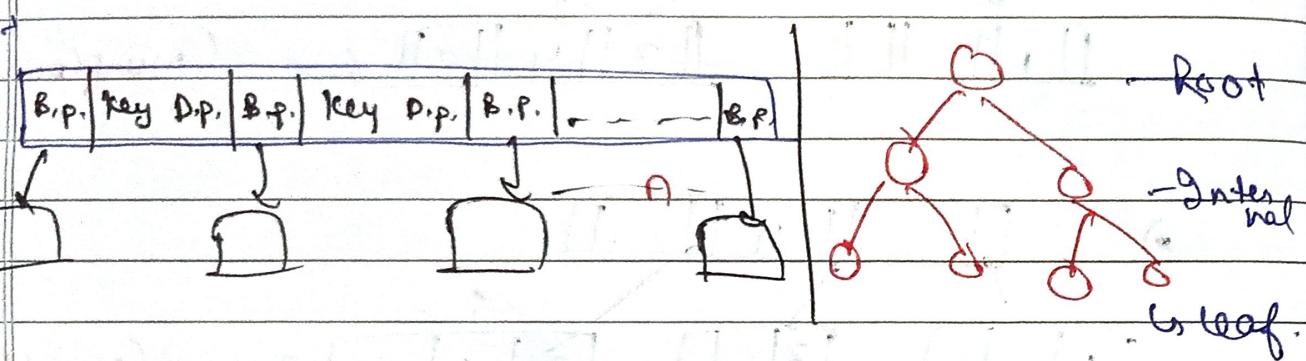
height = 2 }  
levels = 3 }

100.

## How to find Order of B-Tree?

- Q. Consider a B-tree with key size = 10 bytes, block size = 12 bytes, data pointer is of size 8 bytes and block pointer is 5 bytes. Find the order of B-tree?

Sol:



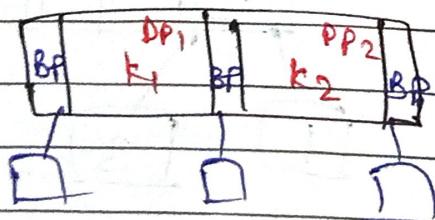
→ Let, If a node / block has 'n' no. of Block pointers.

So,

$$\text{Total Size of B.P.} = n \times (\text{size of 1 B.P.})$$

$\leftarrow D.B.P.$

If  $n$  B.P. (or children a node can have) then,  $(n-1)$  keys, & Record pointer.



~~$n \times B.P. + (n-1) \text{key size} + (n-1) R.P. \leq \text{Block size}$~~   
 $(\text{or})$   
 $n \times B.P. + (n-1) \text{key size} + (n-1) R.P. \leq \text{Block size}$   
 $(\text{or})$   
 $n \times B.P. + (n-1) \text{key size} + (n-1) R.P. \leq \text{Node size.}$



2).  $n \times 5 + (n-1)(10+8) \leq 512$ .

$\Rightarrow 5n + 18n - 18 \leq 512$

$\Rightarrow 23n \leq 530$

$$\left[ n \leq \frac{530}{23} \right].$$

$\Rightarrow n \leq 23.04$

$\therefore$   $n=23$  — Max. 23 children.

Every B.P. represent 9 children.

QD,

Max. Order = 23.

cl.

Max | Min children

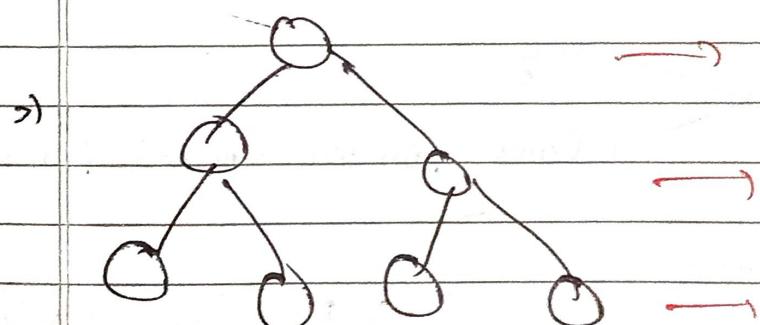
23 | 2

23

$\left[\frac{23}{2}\right] \rightarrow [11.5]$

(12)

leaf has no children.



- Keys = Order - 1

cl. 22

101.

DIB

B-Tree

& B+ Tree

cl.

\* B-Tree :-

1) Data is stored in leaf as well as internal nodes.

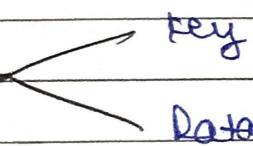
2) Searching is slower, deletion complex.

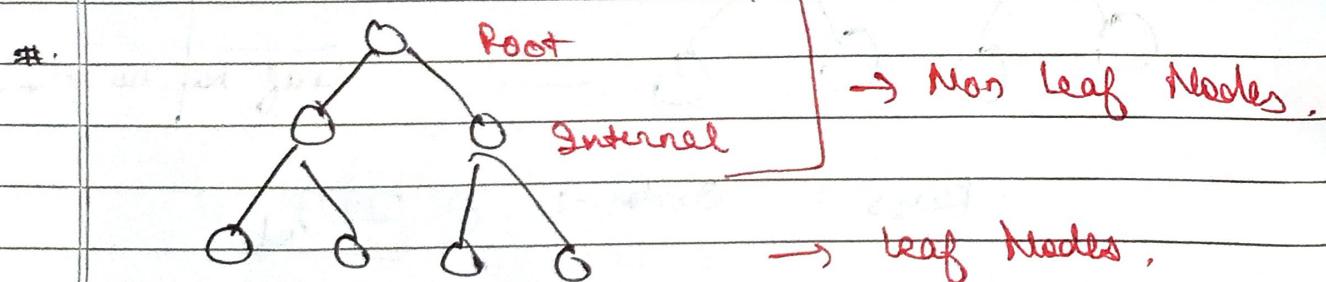
- 3.). No Redundant (Duplicate) search key present.
- 4.). Leaf Nodes not linked together.

### ② B+ Tree

- 1.) Data is stored only in leaf nodes.
- 2.) Searching is faster, deletion easy.  
(directly from Leaf Node).
- 3.) Redundant keys may present.
- 4.) Linked together like linked list.

#. We use B & B+ Tree, to put Index Record. These trees actually contain Index Record.

#. Index Record  Data pointers (Record pointer),

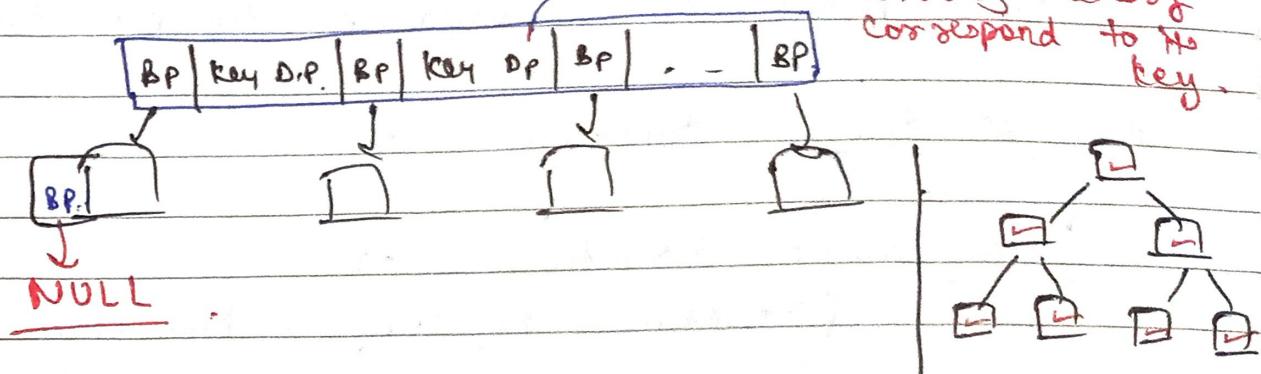


#. In B tree, the structure of every node is same. (Either root, internal or leaf).

#. Leaf Node, has no children. So, what's the role of B.P. Then, they points to NULL.

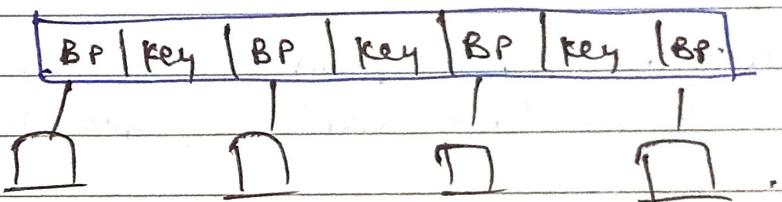
## B.P - Block pointers.

# Structure of B tree,



# Structure of  $B^+$  tree : →

→ [Non leaf structure] → or Internal Node →.



→ There is no Data pointer (D.P.) in Internal Node structure / Non-leaf Node structure.

R.p / D.p ~~X~~

Hence,

# We have more space in Internal node.  
Hence, we can create more children & put more keys.

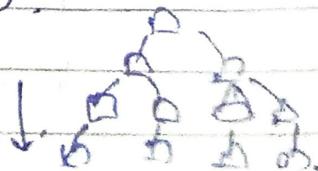
So, that's why,

$B^+$  tree → Breathwise longer.

B tree → Depthwise longer.

(as less no. of children breathwise as compared to the  $B^+$  tree).

So, depth more.



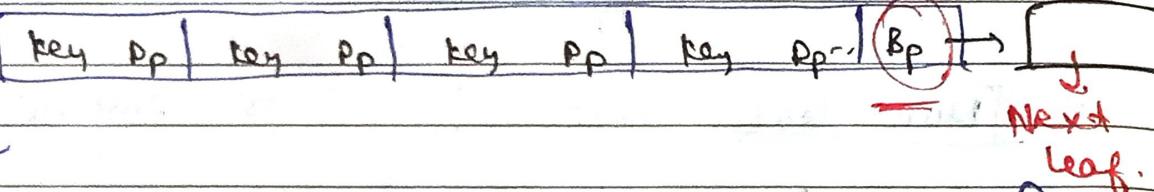


#. So, that's why searching is slower in B tree as compared to B+ tree.

#. B<sup>+</sup> tree Structure →

Leaf Node Structure →

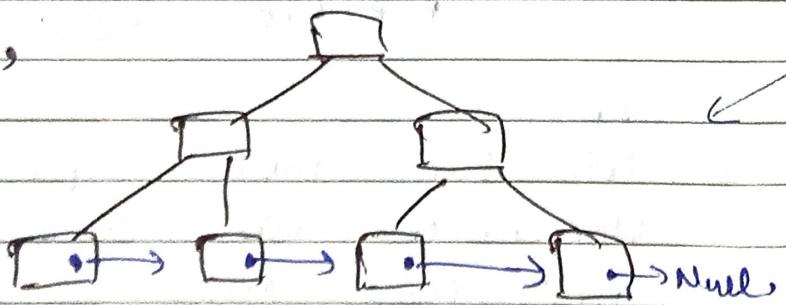
(Structure of Leaf & Non Leaf Nodes are different.)



⇒ (There are no Block pointers in Leaf Node  
Except i in last which points to Next  
leaf.)

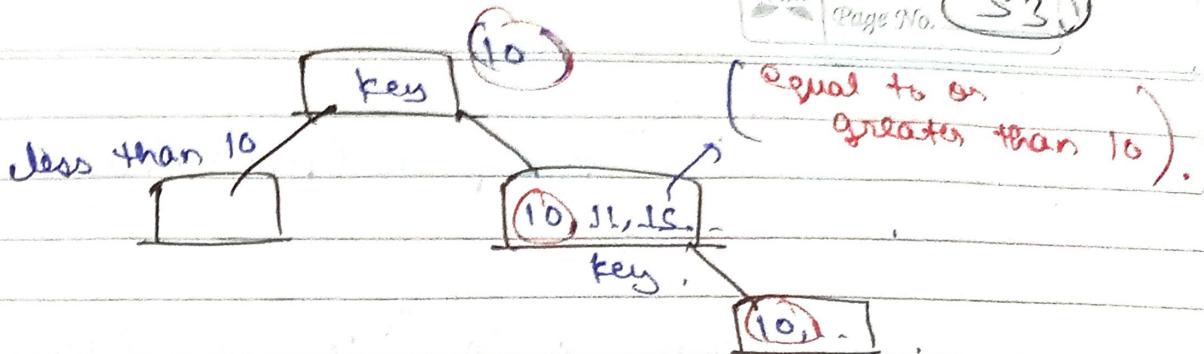
# Both, B & B<sup>+</sup> are balanced,  
i.e. all leaf nodes are at same level.

# In B<sup>+</sup> structure,



⇒ In this, less value → to key is in left node & the greater value to key are in right node. (But here, in right side, we also have to put the key value with its greater values).

⇒ i.e.,



→ Reason: → bcs, we only search in leaf Node, bcs, Only Leaf Node has all keys with Data pointers are present.  
(c.p.)

so,

we also have to search the D.P. of the key  
so, that's why we also carry the key value upto leaf Node.

- { Searching is that's why faster in B+ tree,  
. bcs, have to search only in leaf Node. }

# bcs of this, Redundant keys are also present in B+ tree.

# Leaf Nodes are also linked together.

102.

Ques: on Order of B+ Tree : →

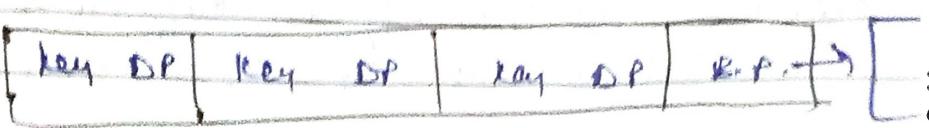
Q: Consider a B+ Tree with key size = 10 bytes, block size = 512 bytes, data pointer = 8 bytes & block pointer = 4 bytes. What is the order of leaf & non leaf node?

Sol:

Non Leaf: →



Leaf Node: →



→ Non-leaf: →

$$n \times B_p + (n-1) \times \text{key} \leq \text{Block Size}$$

$$\rightarrow S \times n + (n-1)_{10} \leq S_{12}$$

$$S_n + 10n - 10 \leq S_{12}$$

$$18n \leq S_{22}$$

$$n \leq \frac{S_{22}}{18} = 34.8$$

$$\boxed{n \leq 34.8}$$

$$\boxed{n = 34} \quad \text{oh}$$

$$\boxed{\text{order} = 34}$$

[ (Max BP.) or (Max children, possible) ].

→ Leaf: →

~~(Let)~~  
~~x pairs~~

$$x(\text{key} + \text{pp}) + \text{BP} \leq \text{Block size}$$

$$x(10+8) + S \leq S_{12}$$

$$18x \leq S_{07}$$

$$x \leq \frac{S_{07}}{18} = 28.18$$

$$\boxed{x \leq 28.18}$$

$$\boxed{x = 28} \quad \text{oh} \quad \boxed{\text{order} = 28}$$

~~Note:~~ Order of a leaf node in B+ tree  
is the no. of (key, p.p.) pairs.



103.

## Immediate Database Modification : → (Log Based Recovery Methods).

⇒ Immediate means start, log & H.

Ex-1

|           |     |
|-----------|-----|
| $A = 100$ | 200 |
| $B = 200$ | 400 |

Hard Drive.

$$\begin{aligned} T_1 \\ R(A) \\ A = A + 100 \end{aligned}$$

$$W(A) - 200$$

$$R(B)$$

$$B = B + 200$$

$$W(B) - 400$$

Commit.

Transaction Log.<  $T_1$ , Start ><  $T_1$ , A, 100, 200 >  
old new<  $T_1$ , B, 200, 400 >  
old new<  $T_1$ , Commit >I Redo

⇒ यहाँ हम Ram में value (200) से, 3rd  
Time पर ही Database में गत ( $A=200$ ) करते। लिन  
Commit नहीं।

⇒ i.e., At time, when we write in memory  
(RAM), at same time also update in  
the H.D. We don't wait for commit.

⇒ But, when we see in Trans. Log,

Our Recovery Manager sees the log &  
check whether  $T_1$  was both start &  
commit or not. & If yes, then it TRDO

→ Redo, means saves the latest value in the database. i.e.

→ Recovery Manager don't see value in the H.D., it only sees in the Trans. log & checks (starts & Commit) & then saves in the H.D. database. & if already saved, then Over-write & fixed them.

→ But, If.

### Transac<sup>n</sup> log

| Ex:- | Database  | T <sub>i</sub> | Transac <sup>n</sup> log           |
|------|-----------|----------------|------------------------------------|
|      | $A = 100$ | R(A)           | < T <sub>i</sub> , start >         |
|      | $B = 200$ | $A = A + 100$  | $\langle T_i, A, 100, 200 \rangle$ |
|      |           | W(A) - 200     | old new                            |
|      |           | R(B)           | $\langle T_i, B, 200, 400 \rangle$ |
|      |           | $B = B + 200$  |                                    |
|      |           | W(B) - 400     |                                    |
|      |           |                | <u>UNDO</u>                        |
|      |           | * fail.        |                                    |

→ Here, Recovery Manager don't find commit in Trans. Log. So, he UNDO.

→ UNDO, means it saves the old value. But, in database there are updated values now. So, Recovery Manager takes the old values from the Trans. Log & saves them in the Database.

- Q) In Immediate, we store both old & new values.  
 but,  
 In Deferred, we only store new value.  
 (i.e., only REDO, not UNDO).

+ that's why

Immediate Database Modification is known  
 as UNDO - REDO Strategy.

Ex:-  $\Rightarrow$  Transaction log  $\Rightarrow$

$\langle T_1, \text{start} \rangle$   
 $\langle T_1, A, 1000, 2000 \rangle$   
 $\langle T_1, B, 5000, 6000 \rangle$   
 $\langle T_1, \text{Commit} \rangle$

} REDO

$\langle T_2, \text{start} \rangle$   
 $\langle T_2, C, 700, 800 \rangle$   
~~old.~~

} UNDO

104)

Ques on DBMS basic Concepts & Data  
 Modelling :-

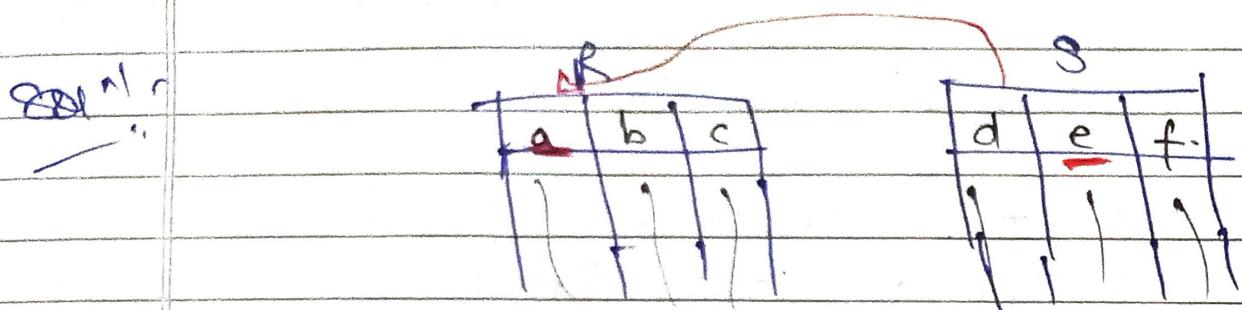
Q:- Let, R (a, b, c) and S (d, e, f) be 2 Rel's.  
 'd' is foreign key of S that refers its  
 primary key of R. Consider 4 options  
 on 'R' & 'S'.

- i) insert into R
- ii) insert into S
- iii) delete from R
- iv) delete from S



→ Which of these can violate Referential Integrity?

- a) i & ii
- b) i & iii
- c) ii & iii → Ans.
- d) i & iv.



→ Understand from intelligent student that  
if delete one record & not the foreign key record  
then don't delete that record (परन्तु),  
i.e. (If they don't delete → then, violation.)

Q. The view of total database Content is —

- ~~a.) Conceptual view~~
- ~~b.) Internal view~~
- c.) External view
- d.) physical view.

→ This is on 3 schema architecture.

# Internal view → External view,

External view is basically related to outer view.

→ User don't Total view (परन्तु).

User has partial view (data only he need)