



**Please complete, sign and then upload to the on-line reporting system at <https://firstpic.force.com/ncai>.**

**NCAI  
FUND**

Date: \_\_\_\_\_

Prime Federal Award #: 2019-VO-GX-K145

Project Title: NCAI Fund Victim Services Micro-grant Program

Subgrantee Name: \_\_\_\_\_

### **Financial Management Capacity and Required Certifications**

*Please complete and submit this form via the NCAI Fund Online Reporting System  
(<https://firstpic.force.com/ncai/login>).*

1. Does your organization have written policies and procedures for the proper management of grants and contracts in compliance with 2 CFR 200.302?      Yes      No
2. Does your organization have an established Conflict of Interest Policy?      Yes      No
3. Does your organization have written policies preventing discrimination?      Yes      No
4. Has any project participant or your tribal nation/organization been debarred or suspended?      Yes      No
5. Does your tribal nation/organization have a procurement policy in compliance with 2 CFR 200.317-326?  
Yes      No
6. Does your tribal nation/organization have a financial management policy in compliance with 2 CFR 200.302 and .303?  
Yes      No
7. What accounting system does your tribal nation/organization use?
8. Does your tribal nation/organization outsource payroll?      Yes      No  
If yes, to whom?
9. Does your tribal nation/organization have a travel policy in compliance with Federal Cost Principles under 2 CFR 200.474?      Yes      No

Signatory initials: \_\_\_\_\_

10. Has your tribal nation/organization been designated as a 'high risk' grantee by the Department of Justice, Office for Victims of Crime or any other federal agency? Yes No

If so, please provide:

- a. Name of the agency: \_\_\_\_\_
- b. Date(s) the agency notified the applicant entity of the 'high risk' designation: \_\_\_\_\_
- c. Reason for the 'high risk' designation, as set out by the federal agency: \_\_\_\_\_
- d. Any actions that have been taken to resolve the issues that led to the 'high risk' designation: \_\_\_\_\_

11. If your organization is not a federally recognized tribal nation, please certify that your organization (unless an exemption applies by operation of law):

- a. Has filed all Federal tax returns for the three tax years immediately preceding this year;
- b. Has not been convicted of a criminal offense under the Internal Revenue Code of 1986; and
- c. Has not, more than 90 days prior to this certification, been notified of any unpaid federal tax assessment for which the liability remains unsatisfied, unless the assessment is the subject of an installment agreement or offer in compromise that has been approved by the Internal Revenue Service and is not in default, or the assessment is the subject of a non-frivolous administrative or judicial proceeding.

We are a federally recognized tribal nation

We are not a federally recognized tribal nation and can certify the above statements

We are not a federally recognized tribal nation and cannot certify to the above statements

If you cannot certify this information, please indicate why:

Signatory  
initials: \_\_\_\_\_

**Federal Funding Accountability and Transparency Act**

The National Congress of American Indians Fund has determined that the Federal Funding Accountability and Transparency Act (FFATA) reporting requirements are applicable to the above-referenced subgrant. Therefore, in order to comply with FFATA regulations, please complete and submit this form via the NCAI Fund Online Reporting System (<https://firstpic.force.com/ncai/login>).

1. Amount of subaward:
2. Official legal name of entity with DUNS number registration:
3. Entity DUNS number :
4. Official address registered with DUNS number:
5. Principal place of award address (if different from the address listed on your Letter of Agreement):
6. Is your tribal nation/organization already registered in the System for Award Management (SAM)?  
Yes      No
7. In your tribal nation/organization's most recent completed fiscal year, did your tribal nation/organization (the legal entity to which the DUNS number it provided belongs) receive (1) 80 percent or more of its annual gross revenues in U.S. federal contracts, subcontracts, loans, grants, subgrants, and/or cooperative agreements; and (2) \$25,000,000 or more in annual gross revenues from U.S. federal contracts, subcontracts, loans, grants, subgrants, and/or cooperative agreements?  
Yes      No
8. Does the public have access to information about the compensation of the executives in your tribal nation/organization (the legal entity to which the DUNS number it provided belongs) through periodic reports filed under section 13(a) or 15(d) of the Securities Exchange Act of 1934 (15 U.S.C. 78m (a), 780(d)) or section 6104 of the Internal Revenue Code of 1986?  
Yes      No
9. If you answered "Yes" to question #7 AND "No" to question #8, AND you have not included information about your tribal nation/organization's total compensation of its top 5 employees, please provide the following detailed information: (Do not fill out if your answer to #7 was "No" OR your answer to #8 was "Yes" OR if this information is already included in your SAM registration).

Names and Total Compensation of Top 5 Employees:

#	Name	Compensation Amount

Signatory  
initials: \_\_\_\_\_

This information completed by:

\_\_\_\_\_  
Signature of person completing form on Subgrantee's behalf

\_\_\_\_\_  
Name of person completing form on Subgrantee's behalf

\_\_\_\_\_  
Title of person completing form on Subgrantee's behalf