## 1 A Review of Rings

We do a quick, informal review of things that we should know already.

**Definition 1.** A Ring R is (put simply) a set with two binary operations.

**Definition 2.** An **ideal** is a subset of a ring which is closed under addition and has the absorption property of multiplication, namely

$$RI \subseteq I$$

**Definition 3.** A quotient ring by an ideal I denoted R/I is the ring whose elements are the cosets x + I, often denoted by  $\overline{x}$ . It is a frequent exercise to check that this notation is non-ambiguous.

**Proposition 1.1.** There is a 1-1 correspondence between ideals in R/I and ideals in R containing I.

Proof. (Exercise) Hint: think about the projection map.

A number particular types of ideals will be of use to us over the course of this class, namely:

- 1. Principle: Generated by a single element
- 2. Maximal: Nontrivial ideal which isn't in any others
- 3. Prime Ideal: An ideal P such that  $x \notin P$  and  $y \notin P$  then  $xy \notin P$ . Moreover if  $xy \in P$ , then  $x \in P$  or  $y \in P$ . E.g. take any ideal in  $\mathbb{Z}$  generated by a prime number.

Notice that the structure of quotient rings by ideals classifies nicely:

Theorem 1.2 (Quotient Ring Classification).

- 1. R/M is a field  $\longleftrightarrow M$  is maximal
- 2. R/P is an Integral domain  $\longleftrightarrow P$  is prime.

*Proof.* Solution given by Saskia:

(1.)

(⇒) R/M is a field, so it therefore has no nontrivial ideals. Then also, by the correspondence theorem R has no ideals I with  $M \subset I \subset R$ .

(⇐) Works the same way as forward direction.

 $\triangle$ 

(2.)

- $\Rightarrow$  . We have that  $[x], [y] \in R/M$ , so then  $x_1 \in [x], y_1 \in [y]$ , but then  $[x_1y_1] = [xy] = 0$  iff [x] or [y] = 0. Then, by definition of an ideal,  $x_1y_1 \in M \Leftrightarrow x_1$  or  $y_1 \in M$ .
- ( $\Leftarrow$ ) Since M is a prime ideal,  $xy \in M$  means that one of x or y is in M, then  $[xy] = [x][y] = 0 \Leftrightarrow [x] = 0$  or [y] = 0

*Proof.* Alternative proof of the field condition given by Ishaan.

- (⇒) Let I be an ideal such that  $M \subseteq I$ . Then let  $a \in I$  be given such that  $a \notin M$ . Then  $a + M \neq 0 + M$ , and also  $\exists b + M$  such that (a + M)(b + M) = 1 + M. Then ab + M = 1 + M, which indicates that  $ab 1 \in M \subsetneq I$ . Thus  $ab \in I, 1 \in I$ , so  $1 \in I = R$ .
- ( $\Leftarrow$ ) Suppose that M is maximal, then let  $a+M\in R/M$  such such that  $a+M\neq 0+M$ . Now notice that if we attempt to extend the ideal M via a, we will get the whole ring. So then since  $1\in R$ ,  $\exists r\in R$ ,  $\exists m\in M$  such that 1=ra+m, so 1+M=ra+M, and (r+M)(a+M).

**Note.** Every maximal ideal is prime.

**Proposition 1.3.** Every ring has a maximal ideal.

Proof. (Exercise)  $\Box$ 

Corollary 1.4. Every ideal is contained in some maximal ideal

Corollary 1.5. Every non-unit is contained in a maximal ideal

**Definition 4.** A local ring is a ring which has a single maximal ideal

**Proposition 1.6.** Suppose  $m \subseteq R$  such that every  $\alpha \in m^c$  is a unit. Then R is local with maximal ideal m.

**Example 1.** Let  $C^0(\mathbb{R}) = \{\text{continuous functions } j : \mathbb{R} \to \mathbb{R} \}$ , and take the ring identified by the collection of germs  $[f]_0$  which are centered at 0.

Notice that the collection of functions vanishing at 0 is a maximal ideal.

*Proof.* Define the evaluation map at zero  $\frac{\operatorname{ev}_0:C^0(R)_0\to\mathbb{R}}{\operatorname{ev}_0(f)\mapsto f(0)}$ . Notice that for any continuous function  $f\neq 0$  at 0., the  $\frac{1}{f}$  is also continuous at 0, and  $f*\frac{1}{f}=1$ .

## 1.1 Exercises

- 1. Prove (1.1)
- 2. Prove (1.2)
- 3. Let K be a field show that (f(x)) = (g(x)) iff f and g differ by a constant for  $f(x), g(x) \in K[x]$ ,
- 4. Prove that if R is an integral domain, then R[x] is too.

Proof. ( $\Rightarrow$ ) Suppose that  $(f(x)) = (g(x)), f(x), g(x) \in K[x]$ , then there exists some  $k(x), k'(x) \in K[x]$  such that f(x) = k(x)g(x) and g(x) = k'(x)f(x). Then  $\deg(f(x)) = \deg(k) + \deg(g) = \deg k + \deg k' + \deg f$ , and so the degree of the ks must be zero.

- $(\Leftarrow)$  Suppose that  $\exists c, c' \in \mathbb{R}$  such that f(x) = cg(x) and g(x) = c'f(x)
- 5. Define  $\mathbb{C}[[x]] = \{\sum_{n=0}^{\infty} a_n x^n \mid a_n \in \mathbb{C}\}$ . This is the ring of formal power series. Show that  $\mathbb{C}[[x]]$  is a local ring.

*Proof.* Consider the ideal of non-units  $M = \langle x \rangle$ , i.e. the ideal of all formal power series with constant term = 0.

It is trivially obvious that this is an ideal.

Now notice that  $\mathbb{C}[[x]]/M \cong \mathbb{C}$  since  $\forall a \in \mathbb{C}[[x]],$ 

$$a = \sum_{i=0}^{\infty} a_i x^i = \underbrace{\left(\sum_{i=1}^{\infty} a_i x^i\right)}_{\in \langle x \rangle} + a_0,$$

so in  $\mathbb{C}[[x]]/M$ ,  $\overline{a}$  is in bijection with  $a_0$  in  $\mathbb{C}$ . Then, since  $\mathbb{C}$  is a field, M must indeed be maximal. Now suppose that there was some other maximal ideal  $M' \neq M$ . But if this is the case, then there must be some unit  $u \in M'$ , which means that  $1 = uu^{-1} \in M'$ , so  $M' = \mathbb{C}[[x]]$ . And thus M is indeed a unique maximal ideal<sup>1</sup>.

 $<sup>^{1}</sup>$ There is an assumption here that M really is the ideal containing all the non units, but this is easy to show