

Finite Automata

- *Useful model for computers having an extremely limited amount of memory.*
- *Small electromechanical devices*
- *Example: switch, an automatic door*

Finite Automata

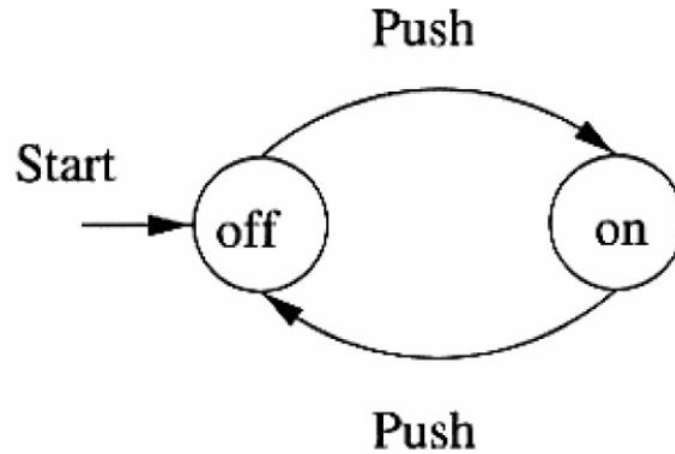


FIGURE 1.0 *Modeling of a switch*

Finite Automata (Door Automation)

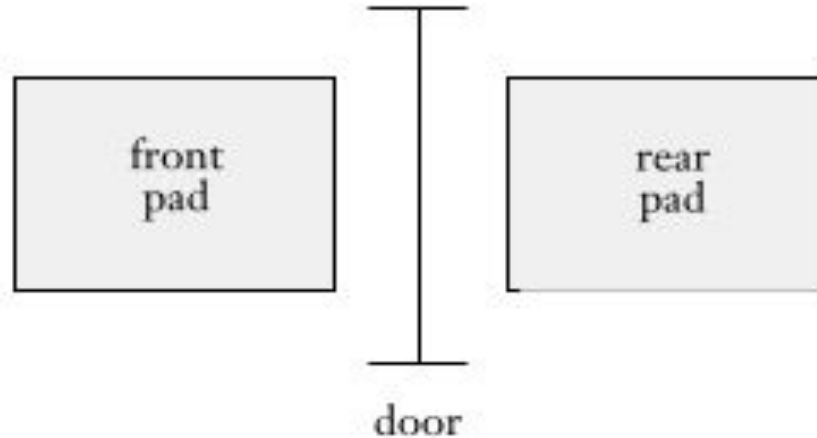


FIGURE 1.1 *Top view of an automatic door*

Finite Automata (Door Automation)

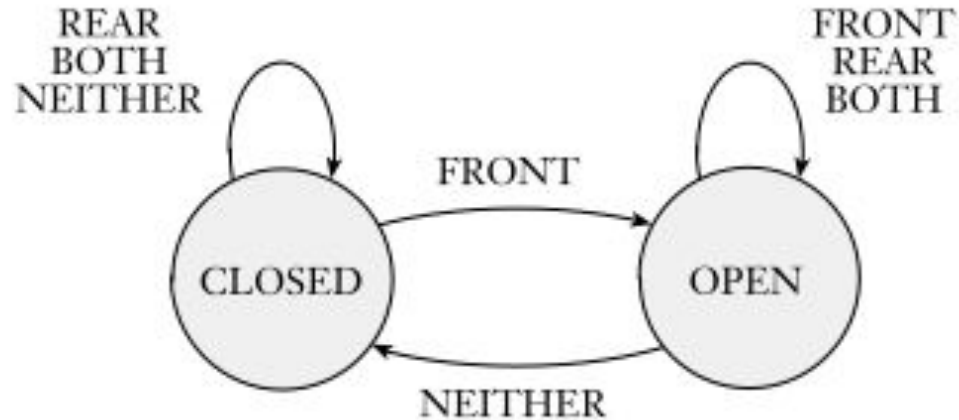


FIGURE 1.2 State diagram for an automatic door controller

Finite Automata (Door Automation)

| | | input signal | | | |
|-------|--------|--------------|-------|--------|--------|
| state | | NEITHER | FRONT | REAR | BOTH |
| | CLOSED | CLOSED | OPEN | CLOSED | CLOSED |
| | OPEN | CLOSED | OPEN | OPEN | OPEN |

FIGURE 1.3 State transition table for an automatic door controller

Finite Automata

→ *Notice the following terms*

- ✓ *state diagram*
- ✓ *states*
- ✓ *start state*
- ✓ *accept state*
- ✓ *Transitions*

Designing Finite Automata

Need to know

- *How many states ?*
- *What are the inputs?*
- *What will be transition table?*

Designing Finite Automata

→ **State** - to remember

✓ **Start State**

- at the initial stage

✓ **Accepting State**

- if the automaton is in this state when finished, the string is accepted otherwise rejected

Designing Finite Automata

→ *Lets design an automaton*

✓ *Consists of $\{0,1\}$*

✓ *Has even length*

→ *'100111' -does this string belong to the language?*

→ *'10000' -does this string belong to the language?*

Designing Finite Automata Example

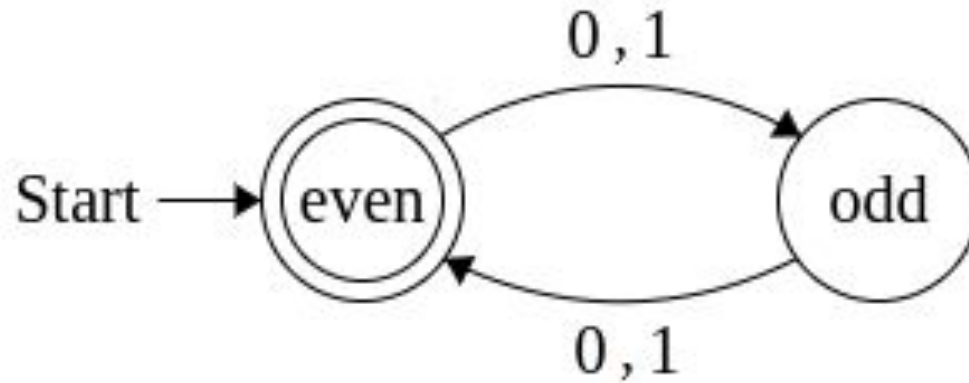


FIGURE: 2-state finite automaton *Mo*

Designing Finite Automata

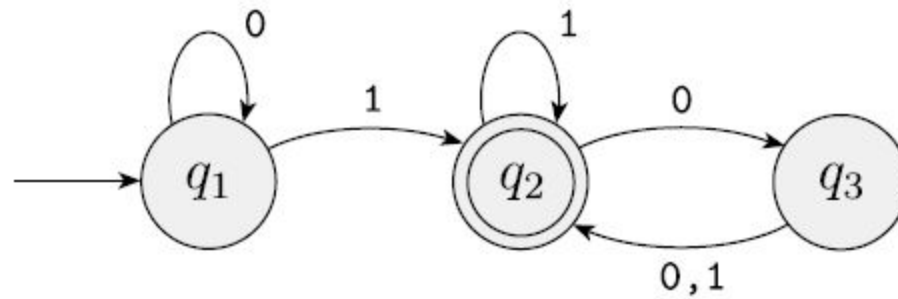


FIGURE 1.4 A finite automaton called M_1 that has three states

Finite Automata

→ **Deterministic**

- for each input there must be one and only one state where the automaton can transition from its current state

→ **Non-deterministic**

- can be in several states at once

→ *Deterministic Finite Automata (DFA)*

→ *Non-deterministic Finite Automata (NFA)*

Formal Definition of Finite Automata

DEFINITION 1.5

A *finite automaton* is a 5-tuple $(Q, \Sigma, \delta, q_0, F)$, where

1. Q is a finite set called the *states*,
2. Σ is a finite set called the *alphabet*,
3. $\delta: Q \times \Sigma \longrightarrow Q$ is the *transition function*,¹
4. $q_0 \in Q$ is the *start state*, and
5. $F \subseteq Q$ is the *set of accept states*.²

Formal Definition of Finite Automata

Let's define automaton M_0 and M_1 formally using 5-tuple.

$A = \{w \mid w \text{ has even length}\}$

Language of machine M_0 is A , written as $L(M_0) = A$, or equivalently, M_1 recognizes A

$L(M_1) = L_1 = A = \{w \mid w \text{ contains at least one 1 and an even number of 0s follow the last 1}\}$.

DFA 2-state (*Sipser, M2*)

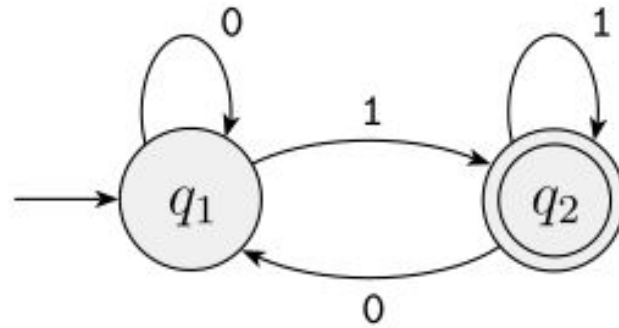


FIGURE 1.8 State diagram of the **two-state** finite automaton **M2**

DFA 2-state (*Sipser M2*)

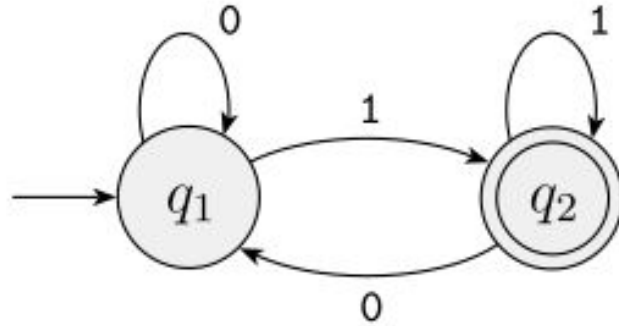


FIGURE 1.8 State diagram of the **two-state** finite automaton **M2**
 $L(M2) = \{w \mid w \text{ ends in a } 1\}$

DFA 2-state (*Sipser, M3*)

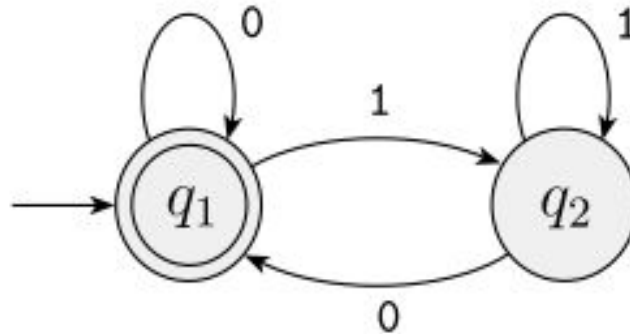


FIGURE 1.10 State diagram of the two-state finite automaton M_3

DFA 2-state (*Sipser, M3*)

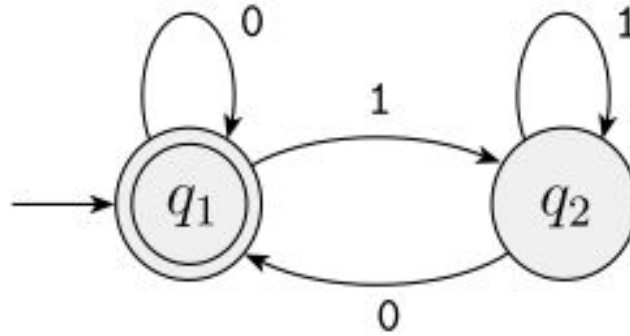


FIGURE 1.10 State diagram of the two-state finite automaton M_3

$L(M_3) = \{ w \mid w \text{ consists of } 0,1 \text{ and } w \text{ ends in } 0, \text{ that includes the empty string, } \varepsilon \}$

DFA 2-state (*Sipser M2*)

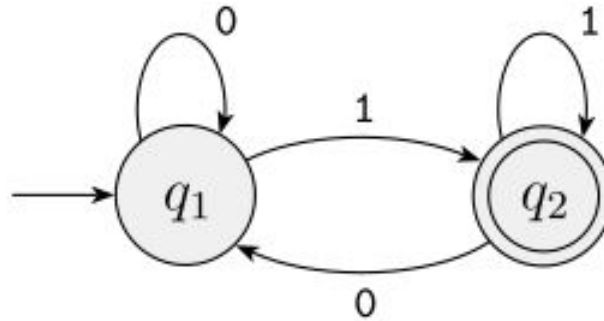


FIGURE 1.8 State diagram of the **two-state** finite automaton **M2**
 $L(M2) = \{w \mid w \text{ ends in a } 1\}$
 w is a set of string.

DFA 5-state (*Sipser, M4*)

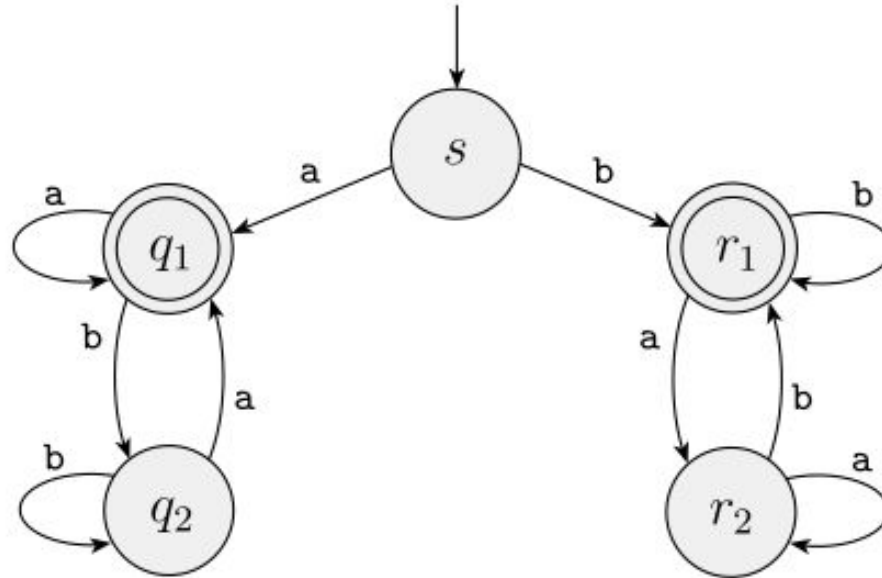


FIGURE 1.12 Finite automaton M_4

DFA 3-state (*Sipser, M5*)

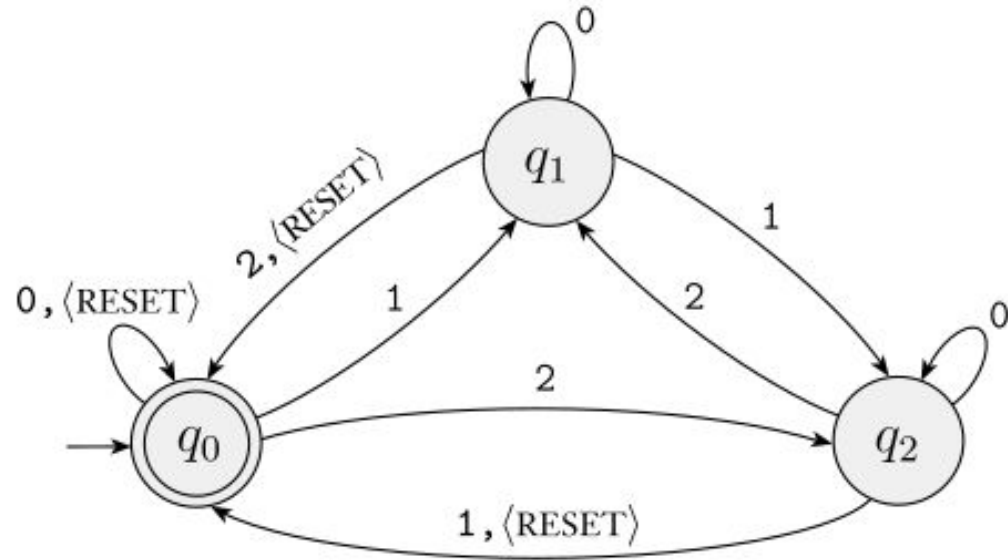


FIGURE 1.14 Finite automaton M_5

DFA 3-state (*Sipser, M5*)

→ *Let's explore a generalization of automaton **M5***

DFA 3-state (*Sipser, M5*) -generalization

- For each $i \geq 1$ and alphabet $\Sigma = \{0,1,2,<RESET>\}$
- $A_i = \{ w \mid w \text{ is the sum of the numbers is a multiple of } i \}$
- $B_i = (Q_i, \Sigma, \delta_i, q_0, \{q_0\})$

$$\delta_i(q_j, 0) = q_j,$$

$$\delta_i(q_j, 1) = q_k, \text{ where } k = j + 1 \text{ modulo } i,$$

$$\delta_i(q_j, 2) = q_k, \text{ where } k = j + 2 \text{ modulo } i, \text{ and}$$

$$\delta_i(q_j, \langle RESET \rangle) = q_0.$$

DFA 3-state (*Sipser, M5*) -generalization

→ *What can be possible solutions for previous problem if the alphabets are as followings:*

✓ $\Sigma_1 = \{1, 2, 4, \langle \text{RESET} \rangle\}$

✓ $\Sigma_2 = \{2, 5, 8, \langle \text{RESET} \rangle\}$

Formal Definition of Computation

Let,

$M = (Q, \Sigma, \delta, q_0, F)$ be a finite automaton and

$w = w_1 w_2 \cdots w_n$ be a string where each w_i is a member of the alphabet Σ .

Then M accepts w if a sequence of states r_0, r_1, \dots, r_n in Q exists with three

→ Conditions:

- ✓ $r_0 = q_0,$
- ✓ $\delta(r_i, w_{i+1}) = r_{i+1},$ for $i = 0, \dots, n - 1,$ and
- ✓ $r_n \in F.$

Regular Language

DEFINITION 1.16

A language is called a *regular language* if some finite automaton recognizes it.

Regular Language

→ Consider the following string w ,

$10\langle RESET \rangle 22\langle RESET \rangle 012.$

→ $L(M_5) = \{w \mid \text{the sum of the symbols in } w \text{ is } 0 \text{ modulo } 3, \text{ except that } \langle RESET \rangle \text{ resets the count to } 0\}.$

Designing DFA

- *We have to figure out what you need to remember about the string as you are reading it.*
- *Suppose that the alphabet is $\{x, y\}$ and that the language consists of all strings with an odd number of y 's.*
- *We want to construct a finite automaton $E1$ to recognize this language.*

Designing DFA

- *What we need to remember to design this automaton?*
 - ✓ *Remember whether the number of y's seen so far is even or odd for every scanned symbol*
- *Who will remember?*
 - ✓ *States*
- *Our states need to remember for E are:*
 - ✓ *even so far, and*
 - ✓ *odd so far.*

Designing DFA



FIGURE 1.18 *The two states q_{even} and q_{odd}*

Designing DFA

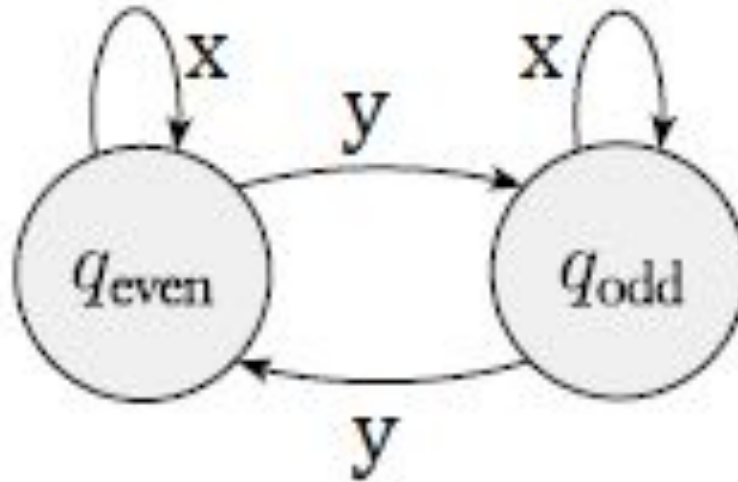


FIGURE 1.19 Transitions telling how the possibilities rearrange

Designing DFA

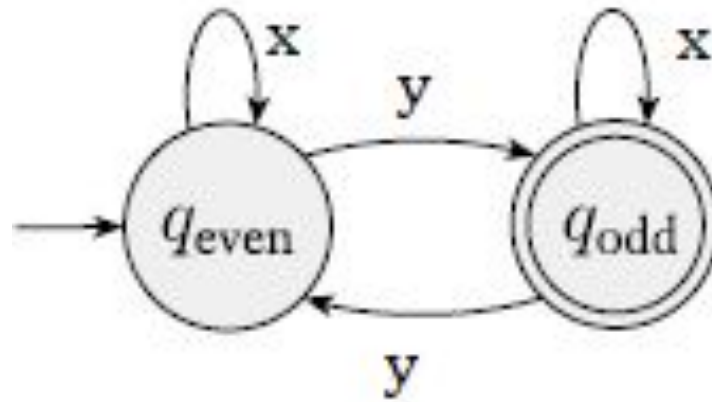


FIGURE 1.20 Adding the start and accept states

Designing DFA

→ Let's design a finite automaton **E2** to recognize the regular language of all strings that contain the string **001** as a **substring**

| | | |
|---|---------------------|--------------|
| ✓ | 0010 | accepted |
| ✓ | 1001 | accepted |
| ✓ | 001 | accepted |
| ✓ | 11111110011111 | accepted |
| ✓ | 0101011010010110101 | accepted |
| ✓ | 11 0000 | not accepted |
| ✓ | ϵ | not accepted |
| ✓ | 101011101 | not accepted |

Designing DFA

→ *There are four possibilities:*

- ✓ *haven't just seen any symbols of the pattern,*
- ✓ *have just seen a 0,*
- ✓ *have just seen 00, or*
- ✓ *have seen the entire pattern 001.*

Designing DFA

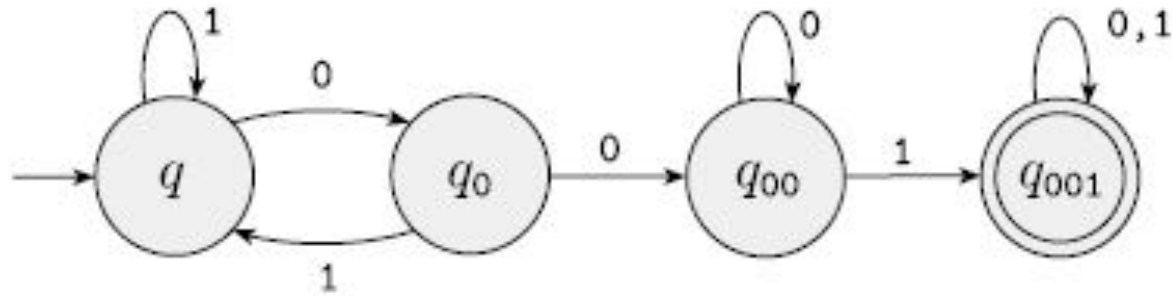


FIGURE 1.22 Accepts strings containing 001

Designing DFA (Hopcroft, Motwani, and Ullman, Example-2.1)

- *Let us formally specify a DFA that accepts all and only the strings of 0's and 1's that have the sequence 01 somewhere in the string.*
- *We can write this language L as:*
$$L = \{w \mid w \text{ is of the form } x01y \text{ for some strings } x \text{ and } y \text{ consisting of 0's and 1's only.}\}$$

Designing DFA (Hopcroft, Motwani, and Ullman, Example-2.1)

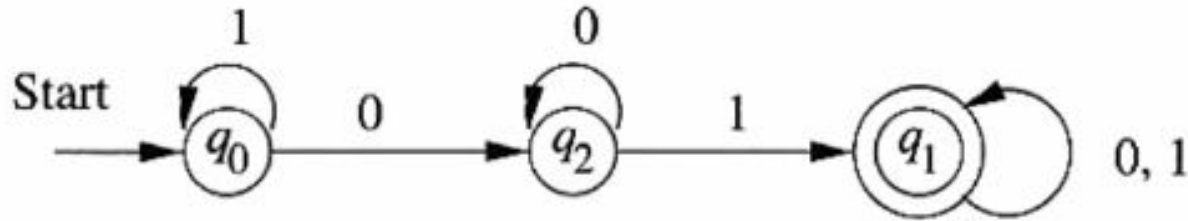


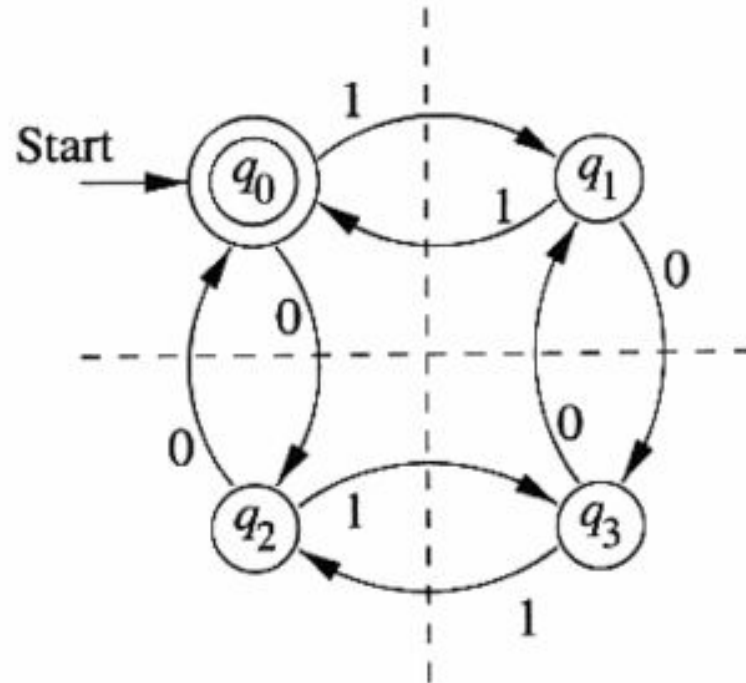
Figure 2.4: The transition diagram for the DFA accepting all strings with a substring 01

Designing DFA

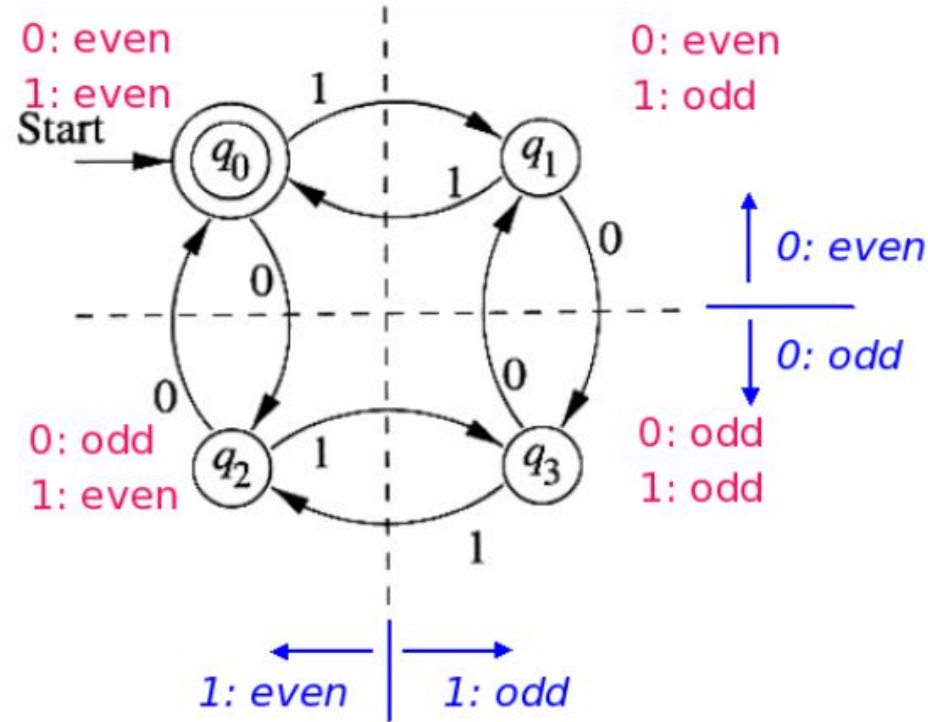
→ *Design a DFA to accept the language,*

$L = \{w \mid w \text{ has both an even number of 0's and an even number of 1's}\}$

Designing DFA



Designing DFA



Designing DFA *(Lewis and Papadimitriou, Example 2.1.2)*

→ *Design a DFA, M that accepts the language,*

$$L(M) = \{w \in \{a,b\}^* : w \text{ does not contain three consecutive } b\text{'s}\}$$

Designing DFA *(Lewis and Papadimitriou, Example 2.1.2)*

→ *Design a DFA, M that accepts the language,*

$$L(M) = \{w \in \{a,b\}^* : w \text{ does not contain three consecutive } b\text{'s}\}$$

→ **Observation:**

$w \in \{a,b\}^*$ means any symbol can be used any times including 0 times

→ **Remember:**

Number of b 's appeared one after another in any string.

Designing DFA *(Lewis and Papadimitriou, Example 2.1.2)*

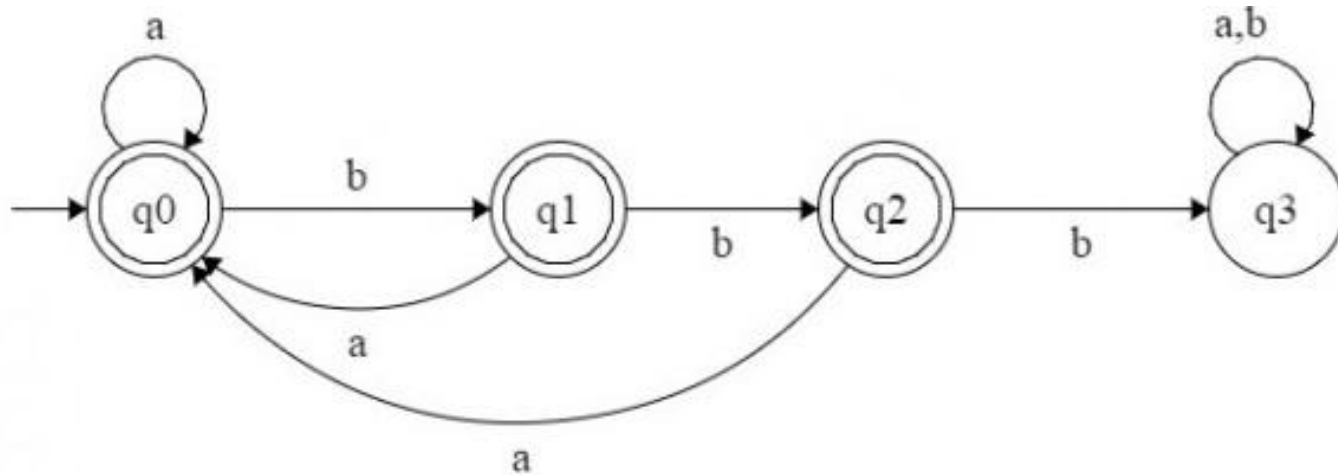


Figure: State diagram of $L(M)$ where w does not contain three consecutive b 's.

Designing DFA

→ Design a DFA that accepts **binary** numbers that are **divisible by three**.

→ **Observations:**

- ✓ *binary numbers*
- ✓ *divisible by three*

Designing DFA

→ Design a DFA that accepts **binary** numbers that are **divisible by three**.

→ **Observations:**

- ✓ *binary numbers*
- ✓ *divisible by three*

→ Thumb rule of binary number:

- ✓ $X0 = 2 * X$
- ✓ $X1 = 2 * X + 1$

Designing DFA (Hopcroft, Motwani, and Ullman, Example-2.4)

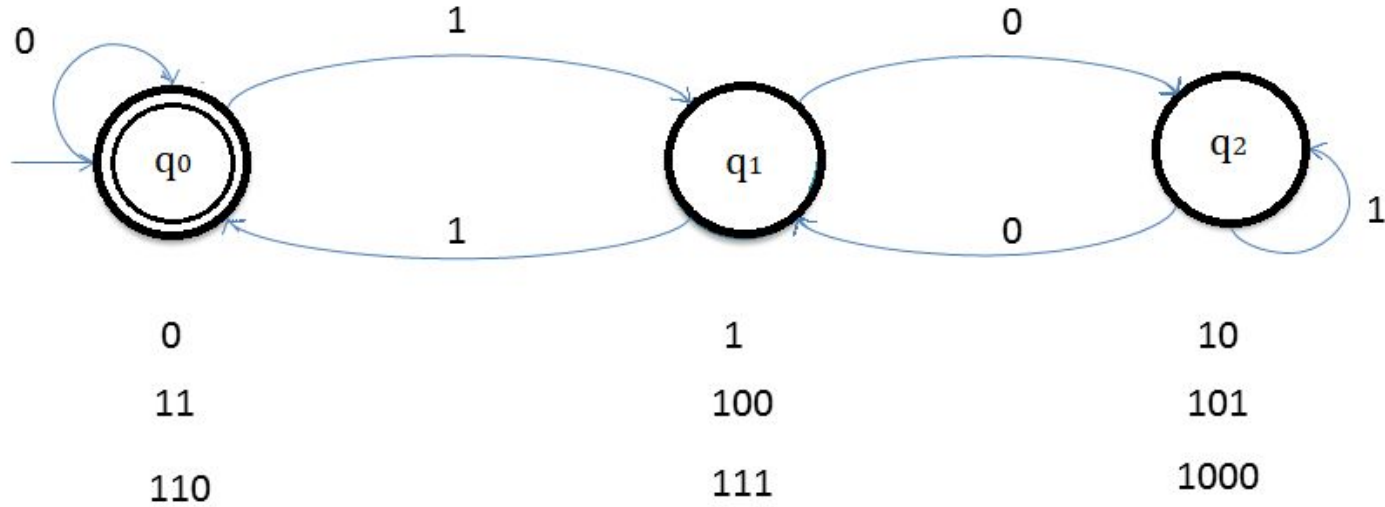


Figure: DFA accepts binary number divisible by 3

The Regular Operations

- *Lets begin to investigate properties of regular languages which is recognized by some finite automaton.*
- *In arithmetic: objects=numbers and the tools like $+$ and \times*
- *In the **theory of computation**:*
 - ✓ *objects = languages*
 - ✓ *Tools = operations specifically designed for manipulating them.*
- *Three operations on languages, called the **regular operations**.*

The Regular Operations *(sipser)*

DEFINITION 1.23

Let A and B be languages. We define the regular operations *union*, *concatenation*, and *star* as follows:

- **Union:** $A \cup B = \{x \mid x \in A \text{ or } x \in B\}$.
- **Concatenation:** $A \circ B = \{xy \mid x \in A \text{ and } y \in B\}$.
- **Star:** $A^* = \{x_1x_2 \dots x_k \mid k \geq 0 \text{ and each } x_i \in A\}$.

The Regular Operations *(sipser)*

- *Alphabet, $\Sigma = \{a, b, \dots, z\}$*
- *Language, $A = \{good, bad\}$*
- *Language, $B = \{boy, girl\}$*

Union:

$$A \cup B = \{good, bad, boy, girl\}$$

Concatenation:

$$A \circ B = \{goodboy, goodgirl, badboy, badgirl\}$$

Star:

$$A^* = \{\epsilon, good, bad, goodgood, goodbad, badgood, badbad, goodgoodgood, goodgoodbad, goodbadgood, goodbadbad, \dots\}$$

The Regular Operations *(sipser)*

→ $N = \{1, 2, 3, \dots\}$ be the set of natural numbers.

We say that N is closed under multiplication.

We mean that for any x and y in N , the product $x \times y$ also is in N .

In contrast, N is not closed under division.

1 and 2 are in N but $1/2$ is not.

The Regular Operations *(sipser)*

- *A collection of objects is closed under some operation if applying that operation to members of the collection returns an object still in the collection.*
- *We will show that the collection of regular languages is closed under all three of the regular operations.*

The Regular Operations *(sipser)*

THEOREM 1.25

The class of regular languages is closed under the union operation.

The Regular Operations *(sipser)*

Proof Idea:

- *Languages are A_1 and A_2*
- *Corresponding Machine M_1 and M_2*
- *Language of union is $A_1 \cup A_2$*
- *To prove that $A_1 \cup A_2$ is regular, we demonstrate a finite automaton, call it M , that recognizes $A_1 \cup A_2$*
- *Once the symbols of the input have been read and used to simulate M_1 , we can't "rewind the input tape"*
- *Simulate both M_1 and M_2 simultaneously, as the input symbols arrive one by one.*
- *String is accepted if either state of pair of state is in accepting state of the individual machines.*

The Regular Operations *(sipser)*

PROOF

- Let M_1 recognize A_1 , where $M_1 = (Q_1, \Sigma, \delta_1, q_1, F_1)$
 M_2 recognize A_2 , where $M_2 = (Q_2, \Sigma, \delta_2, q_2, F_2)$
- Construct M to recognize $A_1 \cup A_2$, where $M = (Q, \Sigma, \delta, q_0, F)$.

The Regular Operations *(sipser)*

Design of DFA:

1. $Q = \{(r1, r2) \mid r1 \in Q1 \text{ and } r2 \in Q2\}.$
2. Σ , the alphabet, is the same as in $M1$ and $M2$.
3. δ , the transition function, is defined as follows.
For each $(r1, r2) \in Q$ and each $a \in \Sigma$,
let $(\delta(r1, r2), a) = (\delta_1(r1, a), \delta_2(r2, a))$
4. $q0$ is the pair $(q1, q2)$.
5. $F = \{(r1, r2) \mid r1 \in F1 \text{ or } r2 \in F2\}.$

Thus, it is proved that $A_1 \cup A_2$ is being recognized by a DFA M . That means $A_1 \cup A_2$ is regular. - “Regular language is closed under union operation”

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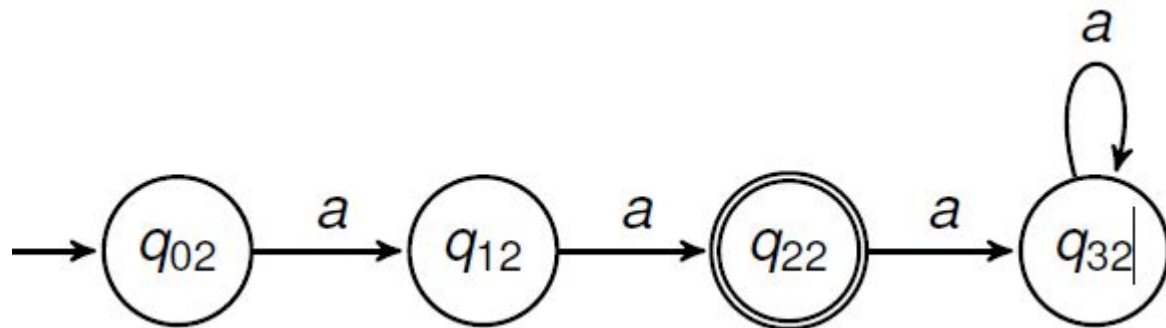
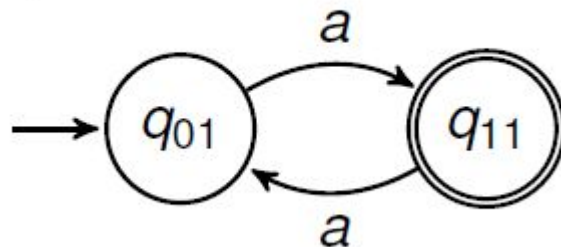
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The Regular Operations *(sipser)*

Example: 1

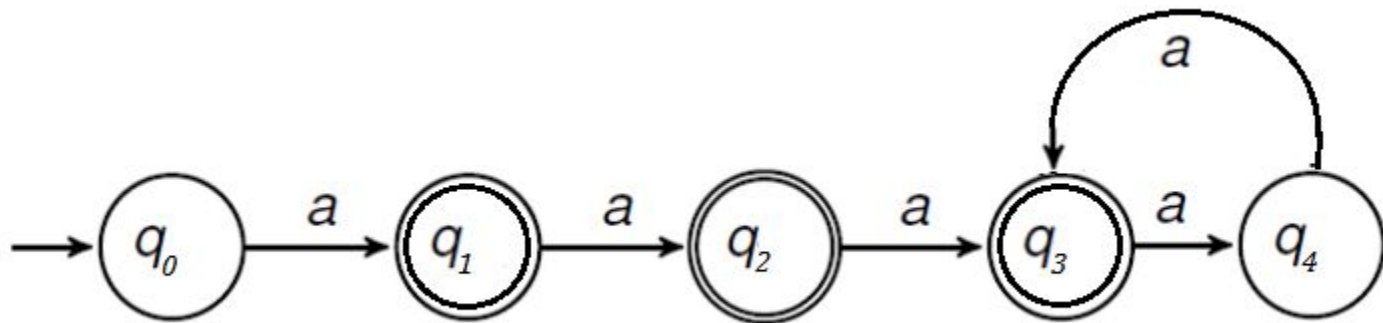
$A1 = \{ \text{contains an odd number of } a\text{'s} \}$

$A2 = \{aa\}$



The Regular Operations *(sipser)*

Following Machine M, recognizes $A_1 \cup A_2$



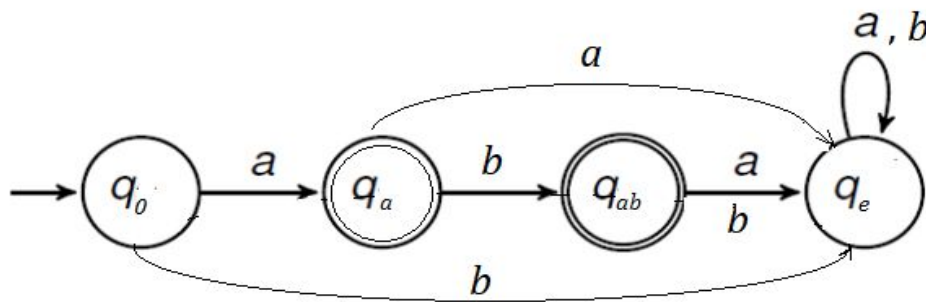
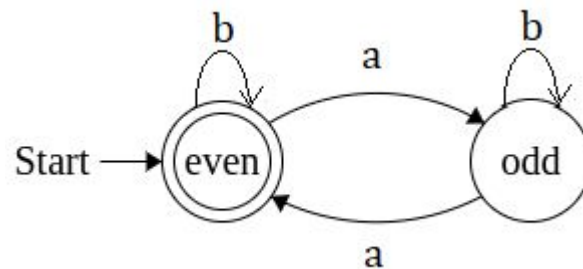
The Regular Operations *(sipser)*

Example: 2

$$\Sigma_1 = \{a, b\}$$

$L1 = \{ \text{contains an even number of } a\text{'s} \}$

$L2 = \{a, ab\}$



The Regular Operations *(sipser)*

Following Machine, $M_{L_1 \cup L_2}$ recognizes $L_1 \cup L_2$

