# Research Proposal Draft

Title: Mapping of Photogrammetry Mine Pit Data using 3D texture

**Author:** Daniel Hess - 21971897

Supervisor: Professor Eun-Jung Holden, Dr. Daniel Wedge

**Degree:** MDS (12 point project)

# Background

Texture segmentation of images is an important area of data analysis with applications in navigation, surveying, classification, computer vision and the general decision making processes.

In images, texture is a characterisation of certain regions that contain similar intensity, colour or shapes and their patterns. If we consider grey-scale images, texture may have more to do with a change in intensity pattern and shapes present. Texture classification alone can be useful in image processing for separating objects that may be overlapping, or even classifying how different object share certain characteristics.

#### What is the problem? Geological stuff

The data supplied for this study considers a 3D point cloud and colour images of the same regions, both of which will be used in this study. Providing depth and height information for the images, we can effectively map out 3D regions and provide a surface plot for these regions - see *Aims*.

There are a number of appraoches previously used for similar data. Co-occurence relations use reoccuring intensity pairs to build matrix which can then be used to calculate certain features such as entropy, shade, inertia and many others [6]. Traditionally, co-occurence matrices are used on grey-scale images, however some have been developed to specifically consider colour images [8].

Another approach considers Gabor filters and log-Gabor filters. It has been shown that Gabor filters are good at characterising textures and edges [5], and have also been used in some applications used for characterising 3D textures [17]. Some research has also been done into the preference for log-Gabor filters as opposed to Gabor filters [7].

Convolutional neural networks are a popular area at the moment, with promising texture classification [3]. It also provides a good framework for implementing into 3D applications as the filters it generates can be of arbitrary dimension.

Segmentation is an evolving area, which generally relies on some combination of feature extraction and selection, then clustering or classification techniques. Some

people tend to stick with these kernel banks [12] as well as edge detection [14]. There are also number of these that benefit from the use of optimization techniques either as selection criteria [2] or as seeding points for other algorithms [11].

Chen et al [2] proposes a technique involving ant colony optimization as a method of feature selection, whereas Pereira [10] uses ACO as a method of segmentation in itself. This highlights the adaptability of many techniques we use and how we can often use many techniques for different tasks.

Auto-Encoders have been shown to have great success given the right constraints [16]. Auto-encoders have the advantage that they can be given a set of images and can generate compressed or lower dimensional constructions of the input data, which of course can be implemented into traditional segmentation/clustering algorithms as required. These can be used as a way of feature selection but also can be used as a training step in the building of CNNs.

Often many of these techniques can be paired with clustering techniques as the method of segmentation. Generally these include, k-means, fuzzy c-means, expectation and maximization, and DBSCAN [4].

### Aims

In this project, I will be exploring the effectiveness of segmentation techniques on a confidential data set provided by Rio Tinto. The main goal is to be able to quickly and effectively identify and segment detrital from bedded geological units. This is a task currently performed by geologists, and while effective at their job, can introduce levels of subjectivity as they primarily rely of subtle visual cues. By successfully implementing these techniques, we can free up the time of geologists performing this long and tedious task, as well as decrease the time it can take to process large amounts of data.

The data provides photogrammetry data of pit faces from an anonymous Rio Tinto mine pit. The aerial photography is a set of colour images, while a 3D point cloud provides a location in 3D space to which each point sits. Together they form a cohesive mesh of a 3D surface. Common segmentation techniques are limited for this type of data. The techniques explored will be dependent on the ability to implement ways of exploring the 3D aspect of the data, with a focus on feature selection techniques.

There are 3 stages to this study:

#### 1. 3D Data Encoding

- Flattened Image: this is the baseline case, to compare whether techniques that include 3D information have any improvement.
- Intensity Level: As Xu et al [17] includes 3D information as a separate intensity level, we should be able to do the same

#### 2. Feature Selection

- Auto-encoder: Using a CNN to perform dimensionality reduction and do feature selection in a single step, may allow for some form of deep understanding

from the images.

- -Log-Gabor filters: Similar to how Xu  $et\ al\ [17]$  used Gabor Filters, however using log-Gabor. This technique can be paired with other forms of feature selection.
- -ACO: Ant Colony Optimisation has been shown to be great at optimisation tasks. This implementation will be similar to how Chen *et al* [2] implemented the algorithm.

#### 3. Segmentation

- K-means: One of the simplest and widest used clustering techniques.
- DBSCAN: A clustering algorithm with good real-time performance [13].

### Method

Specifically, I plan on using ACO and auto-encoders as potential methods of feature selection and use these in conjunction with both clustering techniques (kmeans, dbscan) and ACO for segmentaion.

There is going to be a specific push for implementation of the 3D point cloud of data, with exploration into systems that take this as another piece of data, or ways of implementing this data into formats each system can manage. Obviously the most simple way of dealing with this is to just flatten the image and perform our analysis on that. While this is not what I'm hoping to end with, this will be a good baseline metric to compare these methods to.

The next closest possibility to consider a distortion of the original image that corresponds to surface plot. While this is just another method of flattening the image we can consider the terrain as mapping the contours of this new image. This would require additional computation in reversing this process.

Another method is to consider the height map as another dimension in the colour information; ultimately the colour information is used as a matrix of numbers by the computer so by accessing the information this way we can use kernel's the same way. This also allows for us to include information like the gradient at specific locations.

Essentially, there are 3 parts to this analysis. Firstly we are comparing methods of 3D encoding: this is data specific as the provided data set includes this information. Next we are comparing method's of feature selection. And finally we are comparing segmentation techniques: while this is ultimately the desire for this analysis, my purpose is to compare the range of factor's that lead to a certain performance.

### Timeline

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Late March	Define Metrics
29th March	Defend Proposal
Mid April	Label Provided Data
Late April	Source Publicly Available Data
Early May	Implement Suggested Techniques
Mid May	Standardize workflow between techniques
17th May	Revised Proposal Due
17th May	Literature Review Due
Late May	Define Experimental Procedure
Late July	Build Models
Mid August	Evaluate Results
20th September	Draft Dissertation Due
20th September	Seminar Abstract Due
4th October	Seminar
18th October	Dissertation Due

# Software and Hardware Requirements

Python will be primarily used for this analysis. Software packages such as scikit-learn [9] as well as scikit-image [15], and Tensorflow [1]. While no particular hardware is required, the project may benefit greatly from access to GPUs.

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