PROTOCOL STANDARD FOR A NetBIOS SERVICE ON A TCP/UDP TRANSPORT: DETAILED SPECIFICATIONS

ABSTRACT

This RFC defines a proposed standard protocol to support NetBIOS services in a TCP/IP environment. Both local network and internet operation are supported. Various node types are defined to accommodate local and internet topologies and to allow operation with or without the use of IP broadcast.

This RFC gives the detailed specifications of the NetBIOS-over-TCP packets, protocols, and defined constants and variables. A more general overview is found in a companion RFC, "Protocol Standard For a NetBIOS Service on a TCP/UDP Transport: Concepts and Methods".

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PROTOCOL STANDARD FOR A NetBIOS SERVICE ON A TCP/UDP TRANSPORT: DETAILED SPECIFICATIONS

1. STATUS OF THIS MEMO

This RFC specifies a proposed standard for the DARPA Internet community. Since this topic is new to the Internet community, discussions and suggestions are specifically requested.

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Distribution of this memorandum is unlimited.

2. ACKNOWLEDGEMENTS

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The system proposed by this RFC does not reflect any existing Netbios-over-TCP implementation. However, the design incorporates considerable knowledge obtained from prior implementations. Special thanks goes to the following organizations which have provided this invaluable information:

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3. INTRODUCTION

This RFC contains the detailed packet formats and protocol specifications for NetBIOS-over-TCP. This RFC is a companion to RFC 1001, "Protocol Standard For a NetBIOS Service on a TCP/UDP Transport: Concepts and Methods" [1].

4. PACKET DESCRIPTIONS

Bit and byte ordering are defined by the most recent version of "Assigned Numbers" [2].

4.1. NAME FORMAT

The NetBIOS name representation in all NetBIOS packets (for NAME, SESSION, and DATAGRAM services) is defined in the Domain Name Service RFC 883[3] as "compressed" name messages. This format is called "second-level encoding" in the section entitled "Representation of NetBIOS Names" in the Concepts and Methods document.

For ease of description, the first two paragraphs from page 31, the section titled "Domain name representation and compression", of RFC 883 are replicated here:

Domain names messages are expressed in terms of a sequence of labels. Each label is represented as a one octet length field followed by that number of octets. Since every domain name ends with the null label of the root, a compressed domain name is terminated by a length byte of zero. The high order two bits of the length field must be zero, and the remaining six bits of the length field limit the label to 63 octets or less.

To simplify implementations, the total length of label octets and label length octets that make up a domain name is restricted to 255 octets or less.

The following is the uncompressed representation of the NetBIOS name "FRED ", which is the 4 ASCII characters, F, R, E, D, followed by 12 space characters (0x20). This name has the SCOPE_ID: "NETBIOS.COM"

EGFCEFEECACACACACACACACACACA.NETBIOS.COM

This uncompressed representation of names is called "first-level encoding" in the section entitled "Representation of NetBIOS Names" in the Concepts and Methods document.

The following is a pictographic representation of the compressed representation of the previous uncompressed Domain Name representation.

0 1 2 3 4 5 6 7		1 1 1 1 2 2 2 2 6 7 8 9 0 1 2 3	4 5 6 7 8 9 0 1
0x20	E (0x45)	G (0x47)	F (0x46)
1 '	E (0x45)	F (0x46)	E (0x45)
1	C (0x43)	A (0x41)	C (0x43)
A (0x41)	C (0x43)	A (0x41)	C (0x43)
1 '	C (0x43)	A (0x41)	C (0x43)
1	C (0x43)	A (0x41)	C (0x43)
+-+-+-+-+-+	C (0x43)	A (0x41)	C (0x43)
	C (0x43)	A (0x41)	C (0x43)
	0x07	N (0x4E)	E (0x45)
	B (0x42)	I (0x49)	O (0x4F)
	0x03	C (0x43)	O (0x4F)
M (0x4D)	0x00		

Each section of a domain name is called a label [7 (page 31)]. A label can be a maximum of 63 bytes. The first byte of a label in compressed representation is the number of bytes in the label. For the above example, the first 0x20 is the number of bytes in the left-most label, EGFCEFEECACACACACACACACACACACACACAC, of the domain name. The bytes following the label length count are the characters of the label. The following labels are in sequence after the first label, which is the encoded NetBIOS name, until a zero (0x00) length count. The zero length count represents the root label, which is always null.

A label length count is actually a 6-bit field in the label length field. The most significant 2 bits of the field, bits 7 and 6, are flags allowing an escape from the above compressed representation. If bits 7 and 6 are both set (11), the following 14 bits are an offset pointer into the full message to the actual label string from another domain name that belongs in this name. This label pointer allows for a further compression of a domain name in a packet.

NetBIOS implementations can only use label string pointers in Name Service packets. They cannot be used in Session or Datagram Service packets.

The other two possible values for bits 7 and 6 (01 and 10) of a label length field are reserved for future use by RFC 883[2 (page 32)].

Note that the first octet of a compressed name must contain one of the following bit patterns. (An "x" indicates a bit whose value may be either 0 or 1.):

00100000 - Netbios name, length must be 32 (decimal)

11xxxxxx - Label string pointer

10xxxxxx - Reserved 01xxxxxx - Reserved

4.2. NAME SERVICE PACKETS

4.2.1. GENERAL FORMAT OF NAME SERVICE PACKETS

The NetBIOS Name Service packets follow the packet structure defined in the Domain Name Service (DNS) RFC 883 [7 (pg 26-31)]. The structures are compatible with the existing DNS packet formats, however, additional types and codes have been added to work with NetBIOS.

If Name Service packets are sent over a TCP connection they are preceded by a 16 bit unsigned integer representing the length of the Name Service packet.

	0 1	_	_	_	_	•	•	•	_	•	_	_	_	_	_	6	•	8	-	0	1	2	3	_	5	•	7	•	9	3 0 +-+	1
+ +														HI	EΑΙ	DΕΙ	3.										-				- + - +
+	 +-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-																														
/	/ QUESTION ENTRIES /																														
 	ANSWER RESOURCE RECORDS /																														
+	+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-																														
+	-+-	+	+	-	-	-	+	+	+	+	+	+	+	+	+	+	+	+	+-+	-	-	⊢ — +	-	-	-	-	-	⊢ — +	-	- - +	 +-+
, +	-+-	+	+	+ - -	+ +	-	+	+										_	RE +-+				-	+ +	+	+	+ +	⊦ — ⊣	+	⊦ – ⊣	 -+

4.2.1.1. HEADER

0 1 2 3 4 5 6 7 8 9 0					_	2 2 2 1 2 3		_		_	_	_
+-+-+-+-+-+-+-+-+	-+-+-	+-+-	+-+-	-+-+-	+-+	-+-+-+	+-	+-+	-+-	+	+-+	+-+
NAME_TRN_ID			OI	CODE		NM_	_FLA	3S		RC	DDE	E
+-	-+-+-	+-+-	+-+-	-+-+-	+-+	-+-+-+	+-	+-+	-+-	+	+-+	+-+
QDCOUNT						ANCOU	JNT					
+-+-+-+-+-+-+-+-+-+	-+-+-	+-+-	+-+-	-+-+-	+-+	-+-+-+	+-	+-+	-+-	+	+-+	+-+
NSCOUNT						ARCOU	JNT					
+-+-+-+-+-+-+-+-+	-+-+-	+-+-	·+-+-	+-+-	+-+	-+-+-+	+-	+-+	-+-	+	+-+	+-+

Field Description

NAME_TRN_ID	Transaction ID for Name Service Transaction.
	Requestor places a unique value for each active
	transaction. Responder puts NAME_TRN_ID value
	from request packet in response packet.

OPCODE Packet type code, see table below.

NM_FLAGS Flags for operation, see table below.

RCODE Result codes of request. Table of RCODE values

for each response packet below.

QDCOUNT Unsigned 16 bit integer specifying the number of

entries in the question section of a Name

Service packet. Always zero (0) for responses. Must be non-zero for all NetBIOS Name requests.

ANCOUNT Unsigned 16 bit integer specifying the number of

resource records in the answer section of a Name

Service packet.

NSCOUNT Unsigned 16 bit integer specifying the number of

resource records in the authority section of a

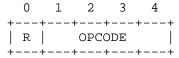
Name Service packet.

ARCOUNT Unsigned 16 bit integer specifying the number of

resource records in the additional records

section of a Name Service packet.

The OPCODE field is defined as:



Bit(s) Description Symbol Operation specifier: OPCODE 1 - 40 = query5 = registration 6 = release 7 = WACK8 = refreshR RESPONSE flag: if bit == 0 then request packet if bit == 1 then response packet. The NM_FLAGS field is defined as: 0 1 2 3 4 5 6 +---+--+ |AA |TC |RD |RA | 0 | 0 | B | +---+ Symbol Bit(s) Description 6 В Broadcast Flag. = 1: packet was broadcast or multicast = 0: unicast RA Recursion Available Flag. Only valid in responses from a NetBIOS Name Server -- must be zero in all other responses. If one (1) then the NBNS supports recursive query, registration, and release. If zero (0) then the end-node must iterate for query and challenge for registration. RD Recursion Desired Flag. May only be set on a request to a NetBIOS Name Server. The NBNS will copy its state into the response packet. If one (1) the NBNS will iterate on the query, registration, or release.

Truncation Flag.

TC

Set if this message was truncated because the datagram carrying it would be greater than 576 bytes in length. Use TCP to get the information from the NetBIOS Name Server.

AA 0 Authoritative Answer flag.

Must be zero (0) if R flag of OPCODE is zero (0).

If R flag is one (1) then if AA is one (1) then the node responding is an authority for the domain name.

End nodes responding to queries always set this bit in responses.

4.2.1.2. QUESTION SECTION

Field Description

QUESTION_NAME The compressed name representation of the NetBIOS name for the request.

QUESTION_TYPE The type of request. The values for this field are specified for each request.

QUESTION_CLASS The class of the request. The values for this field are specified for each request.

QUESTION_TYPE is defined as:

Symbol Value Description:

NB 0x0020 NetBIOS general Name Service Resource Record NBSTAT 0x0021 NetBIOS NODE STATUS Resource Record (See NODE STATUS REQUEST)

~

QUESTION_CLASS is defined as:

Symbol Value Description:

IN 0x0001 Internet class

4.2.1.3. RESOURCE RECORD

```
\begin{smallmatrix}0&1&2&3&4&5&6&7&8&9&0&1&2&3&4&5&6&7&8&9&0&1&2&3&4&5&6&7&8&9&0&1\end{smallmatrix}
RR_NAME
         RR_TYPE
                                RR_CLASS
    TTL
     RDLENGTH
   RDATA
Field
            Description
RR NAME
            The compressed name representation of the
            NetBIOS name corresponding to this resource
            record.
RR_TYPE
            Resource record type code
RR CLASS
            Resource record class code
            The Time To Live of a the resource record's
TTL
            name.
            Unsigned 16 bit integer that specifies the
RDLENGTH
            number of bytes in the RDATA field.
            RR_CLASS and RR_TYPE dependent field. Contains
RDATA
            the resource information for the NetBIOS name.
RESOURCE RECORD RR_TYPE field definitions:
Symbol
        Value
              Description:
        0 \times 0001
               IP address Resource Record (See REDIRECT NAME
Α
               QUERY RESPONSE)
NS
        0x0002
              Name Server Resource Record (See REDIRECT
```

NAME QUERY RESPONSE)

NULL 0x000A NULL Resource Record (See WAIT FOR

ACKNOWLEDGEMENT RESPONSE)

NB 0x0020 NetBIOS general Name Service Resource Record

(See NB_FLAGS and NB_ADDRESS, below)

NBSTAT 0x0021 NetBIOS NODE STATUS Resource Record (See NODE

STATUS RESPONSE)

RESOURCE RECORD RR_CLASS field definitions:

Symbol Value Description:

IN 0x0001 Internet class

NB_FLAGS field of the RESOURCE RECORD RDATA field for RR_TYPE of "NB":

										1	1	1	1	1	1
0	1	2	3	4	5	6	7	8	9	0	1	2	3	4	5
++	+		++	+	+		+	+	+			+		+	+
G	ON	ΙΤ	RESERVED												
++	+		++	+	+		++	+	+			+	++	+	+

Symbol Bit(s) Description:

RESERVED 3-15 Reserved for future use. Must be zero (0).

ONT 1,2 Owner Node Type:

00 = B node

01 = P node

10 = M node

11 = Reserved for future use

For registration requests this is the

claimant's type.

For responses this is the actual owner's

type.

G 0 Group Name Flag.

If one (1) then the RR_NAME is a GROUP NetBIOS name.

If zero (0) then the RR_NAME is a UNIQUE

NetBIOS name.

The NB_ADDRESS field of the RESOURCE RECORD RDATA field for RR_TYPE of "NB" is the IP address of the name's owner.

4.2.2. NAME REGISTRATION REQUEST

0 1 2 3 4 5 6 7 8 9 0 1 2 3 4 5	$\begin{array}{cccccccccccccccccccccccccccccccccccc$										
NAME_TRN_ID	0 0x5 0 0 1 0 0 0 B 0x0										
0x0001	0x0000										
0x0000	0x0001										
+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-											
+-+-+-+-+-+-+-+-+-+-+-+-+-+	IN (0x0001)										
+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-											
+-+-+-+-+-+-+-+-+-+-+-+-+-+-+	IN (0x0001)										
TT	rL										
0x0006	NB_FLAGS										
+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-											

Since the RR_NAME is the same name as the QUESTION_NAME, the RR_NAME representation must use pointers to the QUESTION_NAME name's labels to guarantee the length of the datagram is less than the maximum 576 bytes. See section above on name formats and also page 31 and 32 of RFC 883, Domain Names - Implementation and Specification, for a complete description of compressed name label pointers.

4.2.3. NAME OVERWRITE REQUEST & DEMAND

1 1 1 1 0 1 2 3 4 5 6 7 8 9 0 1 2 3				0 1 2 3 4 5 6 7 8 9 0	3 1						
+-+-+-+-+-+-+-+-+-+-+-+-+- NAME_TRN_ID		0	0x5	0 0 0 0 0 0 B 0x0							
+-+-+-+-+-+-+-+-+-+-+-+-+- 0x0001 +-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-				0x0000	-+						
0x0000				0x0001							
+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-											
+-+-+-+-+-+-+-+-+-+-+-+-++				IN (0x0001)	-+ -+						
 +-+-+-+-+-+-+-+-+-+-+-+-+-	+-+-	+-+-	+-+-+	+-+-+-+-+-+	 -+						
NB (0x0020)	+-+-	 +-+-	+-+-+	IN (0x0001)							
	T	ΓL									
0x0006				NB_FLAGS	ĺ						
+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-											

4.2.4. NAME REFRESH REQUEST

1 1 1 1 1 1 0 1 2 3 4 5 6 7 8 9 0 1 2 3 4 5										
NAME_TRN_ID	+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-									
0x0001	0x0000									
0x0000	0x0001									
+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-										
+-+-+-+-+-+-+-+-+-+-+-+-+-+-+	+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-									
 / RR_1 /	NAME /									
+-+-+-+-+-+-+-+-+-+-+-+-+-+-+	+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-									
+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-										
0x0006	+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-									
+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-										

4.2.5. POSITIVE NAME REGISTRATION RESPONSE

 $\begin{smallmatrix} 0 & 1 & 2 & 3 & 4 & 5 & 6 & 7 & 8 & 9 & 0 & 1 & 2 & 3 & 4 & 5 & 6 & 7 & 8 & 9 & 0 & 1 & 2 & 3 & 4 & 5 & 6 & 7 & 8 & 9 & 0 & 1 \\ \end{smallmatrix}$ |1| 0x5 |1|0|1|1|0 0|0| 0x0 | NAME_TRN_ID 0x0000 0×0001 0x00000x0000RR_NAME IN (0x0001)NB (0x0020) NB_FLAGS NB_ADDRESS

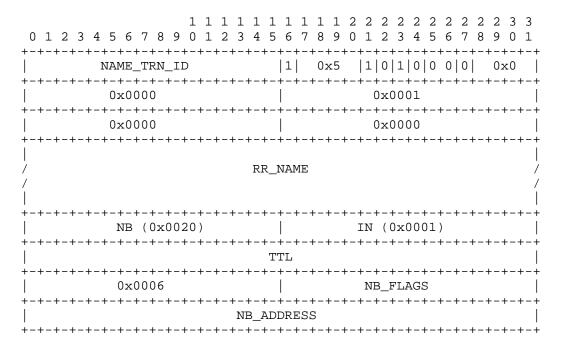
4.2.6. NEGATIVE NAME REGISTRATION RESPONSE

1 1 1 1 1 1 1 1 1 1 2 2 2 2 2 2 2 2 2 3 3 $\begin{smallmatrix}0&1&2&3&4&5&6&7&8&9&0&1&2&3&4&5&6&7&8&9&0&1&2&3&4&5&6&7&8&9&0&1\end{smallmatrix}$ 0x0000 0×0001 0×0000 0×00000 RR_NAME NB (0x0020) IN (0x0001)0x0006NB_FLAGS NB_ADDRESS

RCODE field values:

Symbol	Value	Description:
FMT_ERR	0x1	Format Error. Request was invalidly
		formatted.
SRV_ERR	0x2	Server failure. Problem with NBNS, cannot
		process name.
IMP_ERR	0x4	Unsupported request error. Allowable only
		for challenging NBNS when gets an Update type
		registration request.
RFS_ERR	0x5	Refused error. For policy reasons server
		will not register this name from this host.
ACT_ERR	0x6	Active error. Name is owned by another node.
CFT_ERR	0x7	Name in conflict error. A UNIQUE name is
		owned by more than one node.

4.2.7. END-NODE CHALLENGE REGISTRATION RESPONSE



4.2.8. NAME CONFLICT DEMAND

1 1 0 1 2 3 4 5 6 7 8 9 0 1 +-+-+	+-+-+-+	1 1 1 1 2 2 2 2 2 2 2 6 7 8 9 0 1 2 3 4 5	-+-+-+-+-+								
+-+-+-+-+-+-+-+-+-+- 0x0000 +-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+		-+-+-+-+-+-+-+-+-+-+ 0x0001									
0x0000		0x0000									
 / / 	RR_N.	АМЕ	 / /								
NB (0x0020)		IN (0x0001)	-+-+-+-+-								
+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-											
0x0006		0 ONT 0 0x000	i								
	0x0000										

This packet is identical to a NEGATIVE NAME REGISTRATION RESPONSE with RCODE = CFT_ERR.

4.2.9. NAME RELEASE REQUEST & DEMAND

1 1 1 1 1 1 1 0 1 2 3 4 5 6 7 8 9 0 1 2 3 4 5	$egin{array}{cccccccccccccccccccccccccccccccccccc$
NAME_TRN_ID	0 0x6 0 0 0 0 0 0 B 0x0
0x0001	0x0000
0x0000	0x0001
+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+	
+-+-+-+-+-+-+-+-+-+-+-+-+-+	
 / RR_J /	 NAME
NB (0x0020)	IN (0x0001)
0x000	
0x0006	+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+
NB_AD	

Since the RR_NAME is the same name as the QUESTION_NAME, the RR_NAME representation must use label string pointers to the QUESTION_NAME labels to guarantee the length of the datagram is less than the maximum 576 bytes. This is the same condition as with the NAME REGISTRATION REQUEST.

4.2.10. POSITIVE NAME RELEASE RESPONSE

1 1 1 1 1 1 1 1 1 1 2 2 2 2 2 2 2 2 2 3 3 $\begin{smallmatrix} 0 & 1 & 2 & 3 & 4 & 5 & 6 & 7 & 8 & 9 & 0 & 1 & 2 & 3 & 4 & 5 & 6 & 7 & 8 & 9 & 0 & 1 & 2 & 3 & 4 & 5 & 6 & 7 & 8 & 9 & 0 & 1 \\ \end{smallmatrix}$ NAME_TRN_ID |1| 0x6 |1|0|0|0|0 0|0| 0x0 | 0x00000x00010x00000x0000RR_NAME IN (0x0001)NB (0x0020) NB_FLAGS NB_ADDRESS

4.2.11. NEGATIVE NAME RELEASE RESPONSE

1 1 1 1 1 1 1 1 1 1 2 2 2 2 2 2 2 2 2 3 3 $\begin{smallmatrix}0&1&2&3&4&5&6&7&8&9&0&1&2&3&4&5&6&7&8&9&0&1&2&3&4&5&6&7&8&9&0&1\end{smallmatrix}$ 0x0000 0×0001 0×0000 0×0000 RR_NAME NB (0x0020) IN (0x0001)0x0006NB_FLAGS NB_ADDRESS

RCODE field values:

Symbol	Value	Description:
FMT_ERR	0x1	Format Error. Request was invalidly formatted.
SRV_ERR	0x2	Server failure. Problem with NBNS, cannot process name.
RFS_ERR	0x5	Refused error. For policy reasons server will not release this name from this host.
ACT_ERR	0x6	Active error. Name is owned by another node. Only that node may release it. A NetBIOS Name Server can optionally allow a node to release a name it does not own. This would facilitate detection of inactive names for nodes that went down silently.

4.2.12. NAME QUERY REQUEST

0 1 2 3 4 5 6 7 8 9	0 1 2 3 4	5 6 7		7 8 9 0 1
NAME_TRN_IC		0	$0 \times 0 0 0 1 0 0 0 $	B 0x0
0x0001 +-+-+-+-+-+-+-+-+-+			0x0000	
0x0000			0x0000	
+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-		TION_NAI		-+-+-+-+ / /
 	0)		IN (0x0001)	

4.2.13. POSITIVE NAME QUERY RESPONSE

0 1 2 3 4 5 6 7 8 9 0 1 2 3 4 5	1 1 1 1 2 2 2 2 2 2 2 2 2 2 2 2 3 3 6 7 8 9 0 1 2 3 4 5 6 7 8 9 0 1
NAME_TRN_ID	1 0x0 1 T 1 ? 0 0 0 0x0
0x0000	0x0001
+-+-+-+-+-+-+-+-+-+-+-+-+-+	0x0000
	/
NB (0x0020)	IN (0x0001)
TT	rL
RDLENGTH	
ADDR_ENTE	Y ARRAY / / /

The ADDR_ENTRY ARRAY a sequence of zero or more ADDR_ENTRY records. Each ADDR_ENTRY record represents an owner of a name. For group names there may be multiple entries. However, the list may be incomplete due to packet size limitations. Bit 22, "T", will be set to indicate truncated data.

Each ADDR_ENTRY has the following format:

+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-	+-
NB_FLAGS	NB_ADDRESS
+-+-+-+-+-+-	+-
NB_ADDRESS (continued)	
+-+-+-+-+-+-	+

4.2.14. NEGATIVE NAME QUERY RESPONSE

1 1 1 1 1 1 1 1 1 1 2 2 2 2 2 2 2 2 2 3 3 $\begin{smallmatrix} 0 & 1 & 2 & 3 & 4 & 5 & 6 & 7 & 8 & 9 & 0 & 1 & 2 & 3 & 4 & 5 & 6 & 7 & 8 & 9 & 0 & 1 & 2 & 3 & 4 & 5 & 6 & 7 & 8 & 9 & 0 & 1 \\ \end{smallmatrix}$ NAME_TRN_ID | 1 | 0x0 | 1 | 0 | 1 | 7 | 0 | 0 | 0 | RCODE | 0x00000x00000x00000x0000RR NAME NULL (0x000A) IN (0x0001) 0×000000000

RCODE field values:

Symbol	Value	Description
FMT_ERR	0x1	Format Error. Request was invalidly formatted.
SRV_ERR	0x2	Server failure. Problem with NBNS, cannot process name.
NAM_ERR	0x3	Name Error. The name requested does not exist.
IMP_ERR	0x4	Unsupported request error. Allowable only for challenging NBNS when gets an Update type registration request.
RFS_ERR	0x5	Refused error. For policy reasons server will not register this name from this host.

4.2.15. REDIRECT NAME QUERY RESPONSE

	6 7 8 9 0 1 2 3 4 5 6 7 8 9 0 1
+-+-+-+-+-+-+-+-+-+-+-+-+-+	1 0x0 0 0 1 0 0 0 0 0x0
0x0000	0x0000
0x0001	0x0001
+-+-+-+-+-+-+-+-+-+-+-+-+	I
/ RR_I	JAME /
 	 •-+-+-+-+-+-+-+-+-+-+-+-+-+-+-
NS (0x0002)	IN (0x0001)
T:	rl
RDLENGTH	 -
/ NSD	NAME /
/ 	- /
 	, +-+-+-+-+-+-+-+-+-+-+-+-+-+-+
/ RR_I	JAME ,
, 	,
A (0x0001)	IN (0x0001)
T	rL
0x0004	NSD_IP_ADDR
+-+-+-+-+-+-+-+-+-+-+-+-+	

An end node responding to a NAME QUERY REQUEST always responds with the AA and RA bits set for both the NEGATIVE and POSITIVE NAME QUERY RESPONSE packets. An end node never sends a REDIRECT NAME QUERY RESPONSE packet.

When the requestor receives the REDIRECT NAME QUERY RESPONSE it must reiterate the NAME QUERY REQUEST to the NBNS specified by the NSD_IP_ADDR field of the A type RESOURCE RECORD in the ADDITIONAL section of the response packet. This is an optional packet for the NBNS.

The NSD_NAME and the RR_NAME in the ADDITIONAL section of the response packet are the same name. Space can be optimized if label string pointers are used in the RR_NAME which point to the labels in the NSD_NAME.

The RR_NAME in the AUTHORITY section is the name of the domain the NBNS called by NSD_NAME has authority over.

4.2.16. WAIT FOR ACKNOWLEDGEMENT (WACK) RESPONSE

1 1 1 1 1	1 1 1 1 1 2 2 2 2 2 2 2 2 2 2 3	3
0 1 2 3 4 5 6 7 8 9 0 1 2 3 4	5678901234567890) 1
+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-	+-	-+-+
NAME TRN ID	1 0x7 1 0 0 0 0 0 0x0) i
	+-	' !
		.+-+
0x0000	0x0001	
+-+-+-+-+-+-+-+-+-+-+-+-+-	+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-	-+-+
$0 \times 0 \times 0 \times 0 = 0$	0x0000	
+-+-+-+-+-	+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-	-+-+
, /	R NAME	,
/		,
, 		í
		. !
	+-	.+-+
NULL (0x0020)	IN (0x0001)	
+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-	+-	-+-+
	TTL	
+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-	+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-	-+-+
0x0002	OPCODE NM FLAGS 0x0)
+-+-+-+-+-+-+-+-+-+-+-+-+-		-+-+

The NAME_TRN_ID of the WACK RESPONSE packet is the same NAME_TRN_ID of the request that the NBNS is telling the requestor to wait longer to complete. The RR_NAME is the name from the request, if any. If no name is available from the request then it is a null name, single byte of zero.

The TTL field of the ResourceRecord is the new time to wait, in seconds, for the request to complete. The RDATA field contains the OPCODE and NM_FLAGS of the request.

A TTL value of 0 means that the NBNS can not estimate the time it may take to complete a response.

4.2.17. NODE STATUS REQUEST

+-+-+-+-+-+-+-+-+-+-	+-+-+-	1 1 1 1 1 2 2 2 2 2 2 2 2 2 2 2 3 3 5 6 7 8 9 0 1 2 3 4 5 6 7 8 9 0 1 -+-+-+-+-+-+-+-+-+-+-+-+-+
+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-		
0x0001	+-+-+-	0x0000 -+-+-+-+-+-+-+-+-+-+-+-+-+-+-
0x0000		0x0000
+-+-+-+-+-+-+-+- /		-+-+-+-+-+-+-+-+-+-+-+-+-+-+
NBSTAT (0x0021	.)	-+-+-+-+-+-+-+-+-+-+-+-+-+

4.2.18. NODE STATUS RESPONSE

11111	1 1 1 1 2 2 2 2 2 2 2 2 2 2 3 3
0 1 2 3 4 5 6 7 8 9 0 1 2 3 4 5	6789012345678901
NAME_TRN_ID	1 0x0 1 0 0 0 0 0 0 0x0
0x0000	0x0001
0x0000 +-+-+	0x0000
 RR 1	
NBSTAT (0x0021)	IN (0x0001)
0x0000	
RDLENGTH	+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-
/ NODE_NA	AME ARRAY /
†	Ī
+-+-+-+-+-+-+-+-+-+-+-+-+-+	+-+-+-+-+-+-+-+-+-+-+-+-+-+
+ / STAT:	+ ISTICS /
+	+
+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-	

The NODE_NAME ARRAY is an array of zero or more NUM_NAMES entries of NODE_NAME records. Each NODE_NAME entry represents an active name in the same NetBIOS scope as the requesting name in the local name table of the responder. RR_NAME is the requesting name.

NODE_NAME Entry:

The NAME_FLAGS field:

The NAME_FLAGS field is defined as:

Symbol Bit(s) Description: RESERVED 7-15 Reserved for future use. Must be zero (0). PRM 6 Permanent Name Flag. If one (1) then entry is for the permanent node name. Flag is zero (0) for all other names. ACT 5 Active Name Flag. All entries have this flag set to one (1). Conflict Flag. If one (1) then name on this CNF node is in conflict. Deregister Flag. If one (1) then this name DRG 3 is in the process of being deleted. 1,2 ONT Owner Node Type: 00 = B node

01 = P node 10 = M node

11 = Reserved for future use Group Name Flag.

If one (1) then the name is a GROUP NetBIOS name.

If zero (0) then it is a UNIQUE NetBIOS name.

G

STATISTICS Field of the NODE STATUS RESPONSE:

0 1 2 3 4 5 6 7 8 9 0 1 2 3 4 5	
+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-	unit ID)
+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-	JUMPERS TEST_RESULT
	PERIOD_OF_STATISTICS
	NUMBER_ALIGNMENT_ERRORS
	NUMBER_SEND_ABORTS
NUMBER_GC	OOD_SENDS
I.	D_RECEIVES
	NUMBER_NO_RESOURCE_CONDITIONS
NUMBER_FREE_COMMAND_BLOCKS	TOTAL_NUMBER_COMMAND_BLOCKS
MAX_TOTAL_NUMBER_COMMAND_BLOCKS	NUMBER_PENDING_SESSIONS
MAX_NUMBER_PENDING_SESSIONS	MAX_TOTAL_SESSIONS_POSSIBLE
SESSION_DATA_PACKET_SIZE	

4.3. SESSION SERVICE PACKETS

4.3.1. GENERAL FORMAT OF SESSION PACKETS

All session service messages are sent over a TCP connection.

All session packets are of the following general structure:

0 1 2 3 4 5 6 7		1 1 1 1 2 2 2 2 2 2 2 2 2 2 2 2 3 3 6 7 8 9 0 1 2 3 4 5 6 7 8 9 0 1
		+-
TYPE +-+-+-+-+-	FLAGS +-+-+-+-+-	LENGTH
ļ		
/ 	TRAILER (Packet '	Type Dependent) /
+-+-+-+-+-	+-+-+-	י +-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+

The TYPE, FLAGS, and LENGTH fields are present in every session packet.

The LENGTH field is the number of bytes following the LENGTH field. In other words, LENGTH is the combined size of the TRAILER field(s). For example, the POSITIVE SESSION RESPONSE packet always has a LENGTH field value of zero (0000) while the RETARGET SESSION RESPONSE always has a LENGTH field value of six (0006).

One of the bits of the FLAGS field acts as an additional, high-order bit for the LENGTH field. Thus the cumulative size of the trailer field(s) may range from 0 to 128K bytes.

Session Packet Types (in hexidecimal):

- 00 SESSION MESSAGE
- 81 SESSION REQUEST
- 82 POSITIVE SESSION RESPONSE
- 83 NEGATIVE SESSION RESPONSE
- 84 RETARGET SESSION RESPONSE
- 85 SESSION KEEP ALIVE

Bit definitions of the FLAGS field:

	Ü	1	2	3	4	5	6	./	
+-	+		++		++	+		+	+
	0	0	0	0	0	0	0	E	
+-	+		++		++	+		+	+

Symbol Bit(s) Description

E 7 Length extension, used as an additional, high-order bit on the LENGTH field.

RESERVED 0-6 Reserved, must be zero (0)

4.3.2. SESSION REQUEST PACKET

4.3.3. POSITIVE SESSION RESPONSE PACKET

4.3.4. NEGATIVE SESSION RESPONSE PACKET

NEGATIVE SESSION RESPONSE packet error code values (in hexidecimal):

- 80 Not listening on called name
- 81 Not listening for calling name
- 82 Called name not present
- 83 Called name present, but insufficient resources
- 8F Unspecified error

4.3.5. SESSION RETARGET RESPONSE PACKET

4.3.6. SESSION MESSAGE PACKET

4.3.7. SESSION KEEP ALIVE PACKET

4.4. DATAGRAM SERVICE PACKETS

4.4.1. NetBIOS DATAGRAM HEADER

MSG_TYPE values (in hexidecimal):

- 10 DIRECT_UNIQUE DATAGRAM
- 11 DIRECT_GROUP DATAGRAM
- 12 BROADCAST DATAGRAM
- 13 DATAGRAM ERROR
- 14 DATAGRAM QUERY REQUEST
- 15 DATAGRAM POSITIVE QUERY RESPONSE
- 16 DATAGRAM NEGATIVE QUERY RESPONSE

Bit definitions of the FLAGS field:

	0		1		2		3		4	5		6		7	
+-		-+-		-+-		+-		-+-	+		-+-		+-		+
	0		0		0		0		SNT			F		М	
+-		- + -		- + -		- + -		- + -	+		- + -		+-		+

Symbol Bit(s) Description

M 7 MORE flag, If set then more NetBIOS datagram fragments follow.

F 6 FIRST packet flag, If set then this is first (and possibly only) fragment of NetBIOS datagram

SNT 4,5 Source End-Node type:

00 = B node 01 = P node 10 = M node 11 = NBDD

RESERVED 0-3 Reserved, must be zero (0)

4.4.2. DIRECT_UNIQUE, DIRECT_GROUP, & BROADCAST DATAGRAM

4.4.3. DATAGRAM ERROR PACKET

ERROR CODE values (in hexidecimal):

- 82 DESTINATION NAME NOT PRESENT
- 83 INVALID SOURCE NAME FORMAT
- 84 INVALID DESTINATION NAME FORMAT

4.4.4. DATAGRAM QUERY REQUEST

4.4.5. DATAGRAM POSITIVE AND NEGATIVE QUERY RESPONSE

5. PROTOCOL DESCRIPTIONS

5.1. NAME SERVICE PROTOCOLS

A REQUEST packet is always sent to the well known UDP port - NAME_SERVICE_UDP_PORT. The destination address is normally either the IP broadcast address or the address of the NBNS - the address of the NBNS server it set up at initialization time. In rare cases, a request packet will be sent to an end node, e.g. a NAME QUERY REQUEST sent to "challenge" a node.

A RESPONSE packet is always sent to the source UDP port and source IP address of the request packet.

A DEMAND packet must always be sent to the well known UDP port - NAME_SERVICE_UDP_PORT. There is no restriction on the target IP address.

Terms used in this section:

tid - Transaction ID. This is a value composed from the requestor's IP address and a unique 16 bit value generated by the originator of the transaction.

5.1.1. B-NODE ACTIVITY

5.1.1.1. B-NODE ADD NAME

```
PROCEDURE add_name(newname)

/*
   * Host initiated processing for a B node
   */
BEGIN

REPEAT

   /* build name service packet */

ONT = B_NODE; /* broadcast node */
   G = UNIQUE; /* unique name */
   TTL = 0;

broadcast NAME REGISTRATION REQUEST packet;

   /*
        * remote node(s) will send response packet
        * if applicable
        */
```

```
pause(BCAST_REQ_RETRY_TIMEOUT);
UNTIL response packet is received or
     retransmit count has been exceeded
IF no response packet was received THEN
BEGIN /* no response */
    /*
     * build packet
     ONT = B_NODE; /* broadcast node */
     G = UNIQUE; /* unique name */
     TTL = 0;
     /*
     * Let other nodes known you have the name
     broadcast NAME UPDATE REQUEST packet;
     /* name can be added to local name table */
    return success;
END /* no response */
ELSE
BEGIN /* got response */
     * Match return transaction id
      * against tid sent in request
    IF NOT response tid = request tid THEN
     ignore response packet;
    END
    ELSE
    CASE packet type OF
    NEGATIVE NAME REGISTRATION RESPONSE:
         return failure; /* name cannot be added */
    POSITIVE NAME REGISTRATION RESPONSE:
    END-NODE CHALLENGE NAME REGISTRATION RESPONSE:
          * B nodes should normally not get this
          * response.
          ignore packet;
```

```
END /* case */;
       END /* got response */
   END /* procedure */
5.1.1.2. B-NODE ADD_GROUP NAME
   PROCEDURE add_group_name(newname)
   * Host initiated processing for a B node
  BEGIN
        * same as for a unique name with the
        * exception that the group bit (G) must
        * be set in the request packets.
        * /
       G = GROUP;
        . . .
        . . .
        * broadcast request ...
  END
5.1.1.3. B-NODE FIND_NAME
  PROCEDURE find_name(name)
   * Host initiated processing for a B node
  BEGIN
       REPEAT
             * build packet
             * /
             ONT = B;
             TTL = 0;
             G = DONT CARE;
             broadcast NAME QUERY REQUEST packet;
```

```
* a node might send response packet
             pause(BCAST_REQ_RETRY_TIMEOUT);
       UNTIL response packet received OR
             max transmit threshold exceeded
        IF no response packet received THEN
            return failure;
        IF NOT response tid = request tid THEN
            ignore packet;
        ELSE
        CASE packet type OF
        POSITIVE NAME QUERY RESPONSE:
              * Start a timer to detect conflict.
              * Be prepared to detect conflict if
              * any more response packets are received.
              * /
             save response as authoritative response;
             start_timer(CONFLICT_TIMER);
             return success;
       NEGATIVE NAME QUERY RESPONSE:
       REDIRECT NAME QUERY RESPONSE:
              * B Node should normally not get either
              * response.
              * /
              ignore response packet;
       END /* case */
   END /* procedure */
5.1.1.4. B NODE NAME RELEASE
   PROCEDURE delete_name (name)
   BEGIN
       REPEAT
              * build packet
```

```
* send request
             broadcast NAME RELEASE REQUEST packet;
              * no response packet expected
             pause(BCAST_REQ_RETRY_TIMEOUT);
       UNTIL retransmit count has been exceeded
   END /* procedure */
5.1.1.5. B-NODE INCOMING PACKET PROCESSING
   Following processing is done when broadcast or unicast packets
   are received at the NAME_SERVICE_UDP_PORT.
  PROCEDURE process_incoming_packet(packet)
   * Processing initiated by incoming packets for a B node
  BEGIN
         * Note: response packets are always sent
         * source IP address of request packet
         * source UDP port of request packet
        CASE packet type OF
       NAME REGISTRATION REQUEST (UNIQUE):
             IF name exists in local name table THEN
                  send NEGATIVE NAME REGISTRATION RESPONSE ;
       NAME REGISTRATION REQUEST (GROUP):
             IF name exists in local name table THEN
             BEGIN
                  IF local entry is a unique name THEN
                      send NEGATIVE NAME REGISTRATION RESPONSE ;
             END
       NAME QUERY REQUEST:
             IF name exists in local name table THEN
             BEGIN
                  build response packet;
```

```
send POSITIVE NAME QUERY RESPONSE;
POSITIVE NAME QUERY RESPONSE:
     IF name conflict timer is not active THEN
     BEGIN
           * timer has expired already... ignore this
           * packet
         return;
     END
     ELSE /* timer is active */
     IF a response for this name has previously been
         received THEN
     BEGIN /* existing entry */
          * we sent out a request packet, and
          * have already received (at least)
          * one response
           * Check if conflict exists.
           * If so, send out a conflict packet.
           * Note: detecting conflict does NOT
           * affect any existing sessions.
           * /
           * Check for name conflict.
           * See "Name Conflict" in Concepts and Methods
           * /
          check saved authoritative response against
              information in this response packet;
          IF conflict detected THEN
          BEGIN
               unicast NAME CONFLICT DEMAND packet;
               IF entry exists in cache THEN
               BEGIN
                    remove entry from cache;
               END
          END
     END /* existing entry */
     ELSE
     BEGIN
           * Note: If this was the first response
           * to a name query, it would have been
           * handled in the
           * find_name() procedure.
```

```
* /
          ignore packet;
     END
NAME CONFLICT DEMAND:
     IF name exists in local name table THEN
          mark name as conflict detected;
           * a name in the state "conflict detected"
           * does not "logically" exist on that node.
           * No further session will be accepted on
           * that name.
           * No datagrams can be sent against that name.
           * Such an entry will not be used for
           * purposes of processing incoming request
           * packets.
           * The only valid user NetBIOS operation
           * against such a name is DELETE NAME.
           * /
     END
NAME RELEASE REQUEST:
     IF caching is being done THEN
     BEGIN
          remove entry from cache;
     END
NAME UPDATE REQUEST:
     IF caching is being done THEN
     BEGIN
          IF entry exists in cache already,
               update cache;
          ELSE IF name is "interesting" THEN
               add entry to cache;
          END
     END
NODE STATUS REQUEST:
     IF name exists in local name table THEN
     BEGIN
           * send only those names that are
           * in the same scope as the scope
           * field in the request packet
           * /
          send NODE STATUS RESPONSE;
     END
```

END

5.1.2. P-NODE ACTIVITY

All packets sent or received by P nodes are unicast UDP packets. A P node sends name service requests to the NBNS node that is specified in the P-node configuration.

5.1.2.1. P-NODE ADD_NAME

```
PROCEDURE add_name(newname)
 * Host initiated processing for a P node
BEGIN
     REPEAT
          * build packet
          ONT = P;
          G = UNIQUE;
          /*
           * send request
          unicast NAME REGISTRATION REQUEST packet;
           * NBNS will send response packet
          IF receive a WACK RESPONSE THEN
              pause(time from TTL field of response);
          ELSE
               pause(UCAST_REQ_RETRY_TIMEOUT);
     UNTIL response packet is received OR
          retransmit count has been exceeded
     IF no response packet was received THEN
     BEGIN /* no response */
          /*
           * NBNS is down. Cannot claim name.
          return failure; /* name cannot be claimed */
     END /* no response */
     ELSE
```

```
BEGIN /* response */
   IF NOT response tid = request tid THEN
    /* Packet may belong to another transaction */
     ignore response packet;
    END
    ELSE
    CASE packet type OF
    POSITIVE NAME REGISTRATION RESPONSE:
         * name can be added
         adjust refresh timeout value, TTL, for this name;
         return success; /* name can be added */
    NEGATIVE NAME REGISTRATION RESPONSE:
        return failure; /* name cannot be added */
    END-NODE CHALLENGE REGISTRATION REQUEST:
    BEGIN /* end node challenge */
         * The response packet has in it the
          * address of the presumed owner of the
          * name. Challenge that owner.
          * If owner either does not
          * respond or indicates that he no longer
          * owns the name, claim the name.
          * Otherwise, the name cannot be claimed.
          * /
         REPEAT
          * build packet
          . . .
          unicast NAME QUERY REQUEST packet to the
              address contained in the END NODE
               CHALLENGE RESPONSE packet;
           * remote node may send response packet
          pause(UCAST_REQ_RETRY_TIMEOUT);
```

```
UNTIL response packet is received or
   retransmit count has been exceeded
IF no response packet is received OR
     NEGATIVE NAME QUERY RESPONSE packet
     received THEN
BEGIN /* update */
 /*
 * name can be claimed
 REPEAT
     /*
     * build packet
     . . .
     unicast NAME UPDATE REQUEST to NBNS;
     * NBNS node will send response packet
     IF receive a WACK RESPONSE THEN
           pause(time from TTL field of response);
     ELSE
          pause(UCAST_REQ_RETRY_TIMEOUT);
 UNTIL response packet is received or
    retransmit count has been exceeded
 IF no response packet received THEN
 BEGIN /* no response */
       * name could not be claimed
     return failure;
 END /* no response */
 ELSE
 CASE packet type OF
      POSITIVE NAME REGISTRATION RESPONSE:
           * add name
            * /
           return success;
      NEGATIVE NAME REGISTRATION RESPONSE:
           * you lose ...
```

```
return failure;
                     END /* case */
                 END /* update */
                 ELSE
                 * received a positive response to the "challenge"
                  * Remote node still has name
                 return failure;
           END /* end node challenge */
       END /* response */
   END /* procedure */
5.1.2.2. P-NODE ADD GROUP NAME
  PROCEDURE add_group_name(newname)
   /*
   * Host initiated processing for a P node
  BEGIN
        * same as for a unique name, except that the
        * request packet must indicate that a
        * group name claim is being made.
       G = GROUP;
        . . .
        /*
        * send packet
         . . .
  END
   5.1.2.3. P-NODE FIND NAME
  PROCEDURE find_name(name)
   * Host initiated processing for a P node
   BEGIN
```

```
REPEAT
             * build packet
             ONT = P;
             G = DONT CARE;
             unicast NAME QUERY REQUEST packet;
             * a NBNS node might send response packet
             IF receive a WACK RESPONSE THEN
                 pause(time from TTL field of response);
                 pause(UCAST_REQ_RETRY_TIMEOUT);
        UNTIL response packet received OR
            max transmit threshold exceeded
        IF no response packet received THEN
            return failure;
        ELSE
        IF NOT response tid = request tid THEN
            ignore packet;
        ELSE
        CASE packet type OF
        POSITIVE NAME QUERY RESPONSE:
            return success;
       REDIRECT NAME QUERY RESPONSE:
             * NBNS node wants this end node
              * to use some other NBNS node
              * to resolve the query.
              * /
              repeat query with NBNS address
                 in the response packet;
       NEGATIVE NAME QUERY RESPONSE:
            return failure;
        END /* case */
   END /* procedure */
5.1.2.4. P-NODE DELETE_NAME
  PROCEDURE delete_name (name)
```

```
* Host initiated processing for a P node
  BEGIN
       REPEAT
              * build packet
             /*
             * send request
             unicast NAME RELEASE REQUEST packet;
             IF receive a WACK RESPONSE THEN
                 pause(time from TTL field of response);
                 pause(UCAST_REQ_RETRY_TIMEOUT);
        UNTIL retransmit count has been exceeded
             or response been received
        IF response has been received THEN
        CASE packet type OF
        POSITIVE NAME RELEASE RESPONSE:
             return success;
       NEGATIVE NAME RELEASE RESPONSE:
             * NBNS does want node to delete this
              * name !!!
              * /
             return failure;
       END /* case */
   END /* procedure */
5.1.2.5. P-NODE INCOMING PACKET PROCESSING
   Processing initiated by reception of packets at a P node
   PROCEDURE process_incoming_packet(packet)
   * Processing initiated by incoming packets at a P node
   BEGIN
```

```
* always ignore UDP broadcast packets
IF packet was sent as a broadcast THEN
BEGIN
    ignore packet;
     return;
END
CASE packet type of
NAME CONFLICT DEMAND:
     IF name exists in local name table THEN
        mark name as in conflict;
     return;
NAME QUERY REQUEST:
     IF name exists in local name table THEN
     BEGIN /* name exists */
           * build packet
           * /
          . . .
           * send response to the IP address and port
           * number from which the request was received.
          send POSITIVE NAME QUERY RESPONSE ;
          return;
     END /* exists */
     ELSE
     BEGIN /* does not exist */
           * send response to the requestor
          send NEGATIVE NAME QUERY RESPONSE ;
          return;
     END /* does not exist */
NODE STATUS REQUEST:
      * Name of "*" may be used for force node to
      * divulge status for administrative purposes
     IF name in local name table OR name = "*" THEN
     BEGIN
```

```
* Build response packet and
                   * send to requestor node
                   * Send only those names that are
                   * in the same scope as the scope
                   * in the request packet.
                  send NODE STATUS RESPONSE;
             END
       NAME RELEASE REQUEST:
              * This will be received if the NBNS wants to flush the
              * name from the local name table, or from the local
              * cache.
              * /
             IF name exists in the local name table THEN
             BEGIN
                  delete name from local name table;
                  inform user that name has been deleted;
             END
             ELSE
                  IF name has been cached locally THEN
                       remove entry from cache:
                  END
        END /* case */
   END /* procedure */
5.1.2.6. P-NODE TIMER INITIATED PROCESSING
   Processing initiated by timer expiration.
   PROCEDURE timer_expired()
   ^{\star} Processing initiated by the expiration of a timer on a P node
   BEGIN
         * Send a NAME REFRESH REQUEST for each name which the
         * TTL which has expired.
         * /
        REPEAT
             build NAME REFRESH REQUEST packet;
             REPEAT
                  send packet to NBNS;
                  IF receive a WACK RESPONSE THEN
                       pause(time from TTL field of response);
```

```
ELSE
                       pause(UCAST_REQ_RETRY_TIMEOUT);
             UNTIL response packet is received or
                  retransmit count has been exceeded
             CASE packet type OF
               POSITIVE NAME REGISTRATION RESPONSE:
                 /* successfully refreshed */
                 reset TTL timer for this name;
               NEGATIVE NAME REGISTRATION RESPONSE:
                  * refused, can't keep name
                  * assume in conflict
                 mark name as in conflict;
             END /* case */
       UNTIL request sent for all names for which TTL
            has expired
   END /* procedure */
5.1.3. M-NODE ACTIVITY
   M nodes behavior is similar to that of P nodes with the addition
   of some B node-like broadcast actions. M node name service
   proceeds in two steps:
   1.Use broadcast UDP based name service. Depending on the
    operation, goto step 2.
   2.Use directed UDP name service.
   The following code for M nodes is exactly the same as for a P
   node, with the exception that broadcast operations are done
  before P type operation is attempted.
   5.1.3.1. M-NODE ADD NAME
   PROCEDURE add name(newname)
   * Host initiated processing for a M node
  BEGIN
         * check if name exists on the
```

* broadcast area

```
REPEAT
    /* build packet */
    broadcast NAME REGISTRATION REQUEST packet;
    pause(BCAST_REQ_RETRY_TIMEOUT);
UNTIL response packet is received or
     retransmit count has been exceeded
IF valid response received THEN
BEGIN
    /* cannot claim name */
    return failure;
END
* No objections received within the
 * broadcast area.
 * Send request to name server.
 * /
REPEAT
     * build packet
     ONT = M;
     unicast NAME REGISTRATION REQUEST packet;
      * remote NBNS will send response packet
     IF receive a WACK RESPONSE THEN
         pause(time from TTL field of response);
     ELSE
         pause(UCAST_REQ_RETRY_TIMEOUT);
UNTIL response packet is received or
     retransmit count has been exceeded
IF no response packet was received THEN
BEGIN /* no response */
     * NBNS is down. Cannot claim name.
```

```
return failure; /* name cannot be claimed */
END /* no response */
ELSE
BEGIN /* response */
   IF NOT response tid = request tid THEN
   BEGIN
    ignore response packet;
   END
   ELSE
    CASE packet type OF
    POSITIVE NAME REGISTRATION RESPONSE:
         * name can be added
         adjust refresh timeout value, TTL;
         return success; /* name can be added */
    NEGATIVE NAME REGISTRATION RESPONSE:
         return failure; /* name cannot be added */
    END-NODE CHALLENGE REGISTRATION REQUEST:
    BEGIN /* end node challenge */
          * The response packet has in it the
          * address of the presumed owner of the
          * name. Challenge that owner.
          * If owner either does not
          * respond or indicates that he no longer
          * owns the name, claim the name.
          * Otherwise, the name cannot be claimed.
          * /
         REPEAT
          /*
          * build packet
          . . .
          * send packet to address contained in the
           * response packet
           * /
          unicast NAME QUERY REQUEST packet;
           * remote node may send response packet
```

```
* /
pause(UCAST_REQ_RETRY_TIMEOUT);
UNTIL response packet is received or
   retransmit count has been exceeded
IF no response packet is received THEN
BEGIN /* no response */
 /*
 * name can be claimed
 REPEAT
     * build packet
     unicast NAME UPDATE REQUEST to NBNS;
     * NBNS node will send response packet
     IF receive a WACK RESPONSE THEN
           pause(time from TTL field of response);
 ELSE
     pause(UCAST_REQ_RETRY_TIMEOUT);
 UNTIL response packet is received or
    retransmit count has been exceeded
 IF no response packet received THEN
 BEGIN /* no response */
      * name could not be claimed
     return failure;
 END /* no response */
 ELSE
 CASE packet type OF
 POSITIVE NAME REGISTRATION RESPONSE:
      * add name
     return success;
 NEGATIVE NAME REGISTRATION RESPONSE:
```

```
* you lose ...
                      return failure;
                  END /* case */
                 END /* no response */
                 ELSE
                 IF NOT response tid = request tid THEN
                 ignore response packet;
                 END
                 /*
                 * received a response to the "challenge"
                  * packet
                 CASE packet type OF
                 POSITIVE NAME QUERY:
                  * remote node still has name.
                  return failure;
                 NEGATIVE NAME QUERY:
                  * remote node no longer has name
                 return success;
                END /* case */
           END /* end node challenge */
           END /* case */
       END /* response */
   END /* procedure */
5.1.3.2. M-NODE ADD GROUP NAME
  PROCEDURE add_group_name(newname)
   /*
   * Host initiated processing for a P node
  BEGIN
        * same as for a unique name, except that the
         * request packet must indicate that a
```

```
* group name claim is being made.
        . . .
        G = GROUP;
        /*
         * send packet
         . . .
   END
5.1.3.3. M-NODE FIND NAME
  PROCEDURE find_name(name)
   /*
   * Host initiated processing for a M node
  BEGIN
        * check if any node on the broadcast
        * area has the name
       REPEAT
            /* build packet */
             broadcast NAME QUERY REQUEST packet;
             pause(BCAST_REQ_RETRY_TIMEOUT);
       UNTIL response packet received OR
            max transmit threshold exceeded
        IF valid response received THEN
        BEGIN
             save response as authoritative response;
             start_timer(CONFLICT_TIMER);
             return success;
        END
        * no valid response on the b'cast segment.
         * Try the name server.
        REPEAT
```

```
* build packet
             ONT = M;
             G = DONT CARE;
             unicast NAME QUERY REQUEST packet to NBNS;
             /*
             * a NBNS node might send response packet
             IF receive a WACK RESPONSE THEN
                  pause(time from TTL field of response);
             ELSE
                 pause(UCAST_REQ_RETRY_TIMEOUT);
        UNTIL response packet received OR
            max transmit threshold exceeded
        IF no response packet received THEN
            return failure;
        ELSE
        IF NOT response tid = request tid THEN
            ignore packet;
        ELSE
        CASE packet type OF
        POSITIVE NAME QUERY RESPONSE:
             return success;
       REDIRECT NAME QUERY RESPONSE:
              * NBNS node wants this end node
              * to use some other NBNS node
              * to resolve the query.
              repeat query with NBNS address
                 in the response packet;
       NEGATIVE NAME QUERY RESPONSE:
            return failure;
        END /* case */
   END /* procedure */
5.1.3.4. M-NODE DELETE NAME
   PROCEDURE delete_name (name)
   /*
```

```
* Host initiated processing for a P node
BEGIN
      * First, delete name on NBNS
    REPEAT
          * build packet
          . . .
          /*
          * send request
          unicast NAME RELEASE REQUEST packet to NBNS;
          IF receive a WACK RESPONSE THEN
              pause(time from TTL field of response);
          ELSE
              pause(UCAST_REQ_RETRY_TIMEOUT);
    UNTIL retransmit count has been exceeded
          or response been received
     IF response has been received THEN
     CASE packet type OF
     POSITIVE NAME RELEASE RESPONSE:
          * Deletion of name on b'cast segment is deferred
           * until after NBNS has deleted the name
         REPEAT
               /* build packet */
               broadcast NAME RELEASE REQUEST;
               pause(BCAST_REQ_RETRY_TIMEOUT);
          UNTIL rexmt threshold exceeded
          return success;
    NEGATIVE NAME RELEASE RESPONSE:
          * NBNS does want node to delete this
           * name
           * /
```

```
return failure;
       END /* case */
   END /* procedure */
5.1.3.5. M-NODE INCOMING PACKET PROCESSING
   Processing initiated by reception of packets at a M node
   PROCEDURE process_incoming_packet(packet)
    \star Processing initiated by incoming packets at a M node
  BEGIN
       CASE packet type of
       NAME CONFLICT DEMAND:
             IF name exists in local name table THEN
                 mark name as in conflict;
             return;
       NAME QUERY REQUEST:
             IF name exists in local name table THEN
             BEGIN /* name exists */
                   * build packet
                  . . .
                  /*
                   * send response to the IP address and port
                   * number from which the request was received.
                  send POSITIVE NAME QUERY RESPONSE ;
                  return;
             END /* exists */
             ELSE
             BEGIN /* does not exist */
                   * send response to the requestor
                  IF request NOT broadcast THEN
                        * Don't send negative responses to
                        * queries sent by B nodes
```

```
send NEGATIVE NAME QUERY RESPONSE ;
               return;
          END /* does not exist */
    NODE STATUS REQUEST:
          BEGIN
          /*
           * Name of "*" may be used for force node to
           * divulge status for administrative purposes
          IF name in local name table OR name = "*" THEN
                * Build response packet and
                \star send to requestor node
                \mbox{\scriptsize \star} Send only those names that are
                * in the same scope as the scope
                * in the request packet.
               send NODE STATUS RESPONSE;
          END
    NAME RELEASE REQUEST:
           * This will be received if the NBNS wants to flush the
           * name from the local name table, or from the local
           * cache.
           * /
          IF name exists in the local name table THEN
               delete name from local name table;
               inform user that name has been deleted;
          END
          ELSE
               IF name has been cached locally THEN
               BEGIN
                    remove entry from cache:
               END
    NAME REGISTRATION REQUEST (UNIQUE):
          IF name exists in local name table THEN
               send NEGATIVE NAME REGISTRATION RESPONSE ;
    NAME REGISTRATION REQUEST (GROUP):
          IF name exists in local name table THEN
               IF local entry is a unique name THEN
                   send NEGATIVE NAME REGISTRATION RESPONSE ;
          F:ND
     END /* case */
END /* procedure */
```

5.1.3.6. M-NODE TIMER INITIATED PROCESSING

```
Processing initiated by timer expiration:
PROCEDURE timer_expired()
* Processing initiated by the expiration of a timer on a M node \,
BEGIN
      * Send a NAME REFRESH REQUEST for each name which the
      * TTL which has expired.
      * /
    REPEAT
          build NAME REFRESH REQUEST packet;
          REPEAT
               send packet to NBNS;
               IF receive a WACK RESPONSE THEN
                    pause(time from TTL field of response);
                    pause(UCAST_REQ_RETRY_TIMEOUT);
          UNTIL response packet is received or
               retransmit count has been exceeded
          CASE packet type OF
            POSITIVE NAME REGISTRATION RESPONSE:
               /* successfully refreshed */
               reset TTL timer for this name;
            NEGATIVE NAME REGISTRATION RESPONSE:
                * refused, can't keep name
                * assume in conflict
               mark name as in conflict;
          END /* case */
     UNTIL request sent for all names for which TTL
          has expired
END /* procedure */
```

5.1.4. NBNS ACTIVITY

A NBNS node will receive directed packets from P and M nodes. Reply packets are always sent as directed packets to the source IP address and UDP port number. Received broadcast packets must be ignored.

5.1.4.1. NBNS INCOMING PACKET PROCESSING

```
PROCEDURE process_incoming_packet(packet)
 * Incoming packet processing on a NS node
BEGIN
     IF packet was sent as a broadcast THEN
         discard packet;
         return;
     END
     CASE packet type of
    NAME REGISTRATION REQUEST (UNIQUE):
          IF unique name exists in data base THEN
          BEGIN /* unique name exists */
              /*
               * NBNS node may be a "passive"
               * server in that it expects the
               * end node to do the challenge
                * server. Such a NBNS node is
                * called a "non-secure" server.
                * A "secure" server will do the
                * challenging before it sends
                * back a response packet.
               IF non-secure THEN
               BEGIN
                     * build response packet
                     * let end node do the challenge
                    send END-NODE CHALLENGE NAME REGISTRATION
                        RESPONSE;
                    return;
               END
               ELSE
               * secure server - do the name
               * challenge operation
                * /
```

```
REPEAT
    send NAME QUERY REQUEST;
   pause(UCAST_REQ_RETRY_TIMEOUT);
UNTIL response has been received or
    retransmit count has been exceeded
IF no response was received THEN
BEGIN
     /* node down */
     update data base - remove entry;
     update data base - add new entry;
     send POSITIVE NAME REGISTRATION RESPONSE;
    return;
END
ELSE
BEGIN /* challenged node replied */
   /*
    * challenged node replied with
    * a response packet
     * /
    CASE packet type
    POSITIVE NAME QUERY RESPONSE:
      * name still owned by the
      * challenged node
      * build packet and send response
      . . .
      * Note: The NBNS will need to
      * keep track (based on transaction id) of
      * the IP address and port number
      * of the original requestor.
     send NEGATIVE NAME REGISTRATION RESPONSE;
     return;
    NEGATIVE NAME QUERY RESPONSE:
     update data base - remove entry;
    update data base - add new entry;
      * build response packet and send
```

```
* response
               send POSITIVE NAME REGISTRATION RESPONSE;
               return;
              END /* case */
          END /* challenged node replied */
     END /* unique name exists in data base */
     ELSE
     IF group name exists in data base THEN
     BEGIN /* group names exists */
           \mbox{\tt *} Members of a group name are NOT
           * challenged.
           * Make the assumption that
           * at least some of the group members
           * are still alive.
           * Refresh mechanism will
           * allow the NBNS to detect when all
           * members of a group no longer use that
           * name
           * /
           send NEGATIVE NAME REGISTRATION RESPONSE;
     END /* group name exists */
     BEGIN /* name does not exist */
           * Name does not exist in data base
           * This code applies to both non-secure
           * and secure server.
           * /
          update data base - add new entry;
          send POSITIVE NAME REGISTRATION RESPONSE;
          return;
     END
NAME QUERY REQUEST:
     IF name exists in data base THEN
     BEGIN
           * build response packet and send to
           * requestor
           * /
           . . .
          send POSITIVE NAME QUERY RESPONSE;
          return;
```

```
ELSE
     BEGIN
           * build response packet and send to
           * requestor
           * /
          send NEGATIVE NAME QUERY RESPONSE;
     END
NAME REGISTRATION REQUEST (GROUP):
     IF name exists in data base THEN
     BEGIN
          IF local entry is a unique name THEN
          BEGIN /* local is unique */
              IF non-secure THEN
              BEGIN
               send END-NODE CHALLENGE NAME
                    REGISTRATION RESPONSE;
               return;
              END
              REPEAT
               send NAME QUERY REQUEST;
              pause(UCAST_REQ_RETRY_TIMEOUT);
              UNTIL response received or
                   retransmit count exceeded
              IF no response received or
                   NEGATIVE NAME QUERY RESPONSE
                    received THEN
              BEGIN
               update data base - remove entry;
               update data base - add new entry;
               send POSITIVE NAME REGISTRATION RESPONSE;
               return;
              END
              ELSE
              BEGIN
                * name still being held
                * by challenged node
                send NEGATIVE NAME REGISTRATION RESPONSE;
          END /* local is unique */
          ELSE
          BEGIN /* local is group */
```

```
* existing entry is a group name
               update data base - remove entry;
               update data base - add new entry;
               send POSITIVE NAME REGISTRATION RESPONSE;
               return;
          END /* local is group */
     END /* names exists */
     ELSE
     BEGIN /* does not exist */
          /* name does not exist in data base */
          update data base - add new entry;
          send POSITIVE NAME REGISTRATION RESPONSE;
          return;
     END /* does not exist */
NAME RELEASE REQUEST:
      * secure server may choose to disallow
      * a node from deleting a name
     update data base - remove entry;
     send POSITIVE NAME RELEASE RESPONSE;
     return;
NAME UPDATE REQUEST:
      * End-node completed a successful challenge,
      * no update database
     IF secure server THEN
          send NEGATIVE NAME REGISTRATION RESPONSE;
     ELSE
     BEGIN /* new entry */
          IF entry already exists THEN
               update data base - remove entry;
          update data base - add new entry;
          send POSITIVE NAME REGISTRATION RESPONSE;
          start_timer(TTL);
     END
NAME REFRESH REQUEST:
     check for consistency;
```

```
IF node not allowed to have name THEN
             BEGIN
                   * tell end node that it can't have name
                  send NEGATIVE NAME REGISTRATION RESPONSE;
             END
             ELSE
             BEGIN
                   * send confirmation response to the
                   * end node.
                  send POSITIVE NAME REGISTRATION;
                  start_timer(TTL);
             END
             return;
        END /* case */
   END /* procedure */
5.1.4.2. NBNS TIMER INITIATED PROCESSING
   A NS node uses timers to flush out entries from the data base.
   Each entry in the data base is removed when its timer expires.
   This time value is a multiple of the refresh TTL established when
   the name was registered.
   PROCEDURE timer_expired()
    * processing initiated by expiration of TTL for a given name
  BEGIN
        * NBNS can (optionally) ensure
         * that the node is actually down
         * by sending a NODE STATUS REQUEST.
         * If such a request is sent, and
         * no response is received, it can
         * be assumed that the node is down.
        * /
       remove entry from data base;
```

END

5.2. SESSION SERVICE PROTOCOLS

The following are variables and should be configurable by the NetBIOS user. The default values of these variables is found in "Defined Constants and Variables" in the Detailed Specification.):

- SSN_RETRY_COUNT The maximum number TCP connection attempts allowable per a single NetBIOS call request.
- SSN_CLOSE_TIMEOUT is the time period to wait when closing the NetBIOS session before killing the TCP connection if session sends are outstanding.

The following are Defined Constants for the NetBIOS Session Service. (See "Defined Constants and Variables" in the Detailed Specification for the value of these constants):

- SSN_SRVC_TCP_PORT - is the globally well-known TCP port allocated for the NetBIOS Session Service. The service accepts TCP connections on this port to establish NetBIOS Sessions. The TCP connection established to this port by the caller is initially used for the exchange of NetBIOS control information. The actual NetBIOS data connection may also pass through this port or, through the retargetting facility, through another port.

5.2.1. SESSION ESTABLISHMENT PROTOCOLS

5.2.1.1. USER REQUEST PROCESSING

```
Inform Session Service that NetBIOS listen is complete;
     IF session established THEN
         return success and session information to user;
         return failure;
END /* procedure */
PROCEDURE call(calling name, called name)
 * user initiated processing for B, P and M nodes
/*
* This algorithm assumes that the called name is a unique name.
 * If the called name is a group name, the call() procedure
* needs to cycle through the members of the group
* until either (retry_count == SSN_RETRY_COUNT) or
* the list has been exhausted.
* /
BEGIN
    retry_count = 0;
    retarget = FALSE; /* TRUE: caller is being retargetted */
    name_query = TRUE; /* TRUE: caller must begin again with */
                         /*
                              name query. */
    REPEAT
         IF name_query THEN
         BEGIN
               do name discovery, returns IP address;
               TCP port = SSN_SRVC_TCP_PORT;
               IF name discovery fails THEN
                   return failure;
               ELSE
                   name_query = FALSE;
         END
          /*
          * now have IP address and TCP port of
          * remote party.
           * /
          establish TCP connection with remote party, use an
               ephemeral port as source TCP port;
          IF connection refused THEN
         BEGIN
               IF retarget THEN
               BEGIN
                    /* retry */
                   retarget = FALSE;
```

```
use original IP address and TCP port;
          goto LOOP;
     END
     /* retry for just missed TCP listen */
     pause(SESSION_RETRY_TIMER);
     establish TCP connection, again use ephemeral
          port as source TCP port;
     IF connection refused OR
       connection timed out THEN
         return failure;
END
ELSE
IF connection timed out THEN
BEGIN
     IF retarget THEN
     BEGIN
         /* retry */
          retarget = FALSE;
          use original IP address and TCP port;
          goto LOOP;
     END
     ELSE
     BEGIN
           * incorrect name discovery was done,
           * try again
          inform name discovery process of
              possible error;
          name query = TRUE;
          goto LOOP;
     END
END
* TCP connection has been established
wait for session response packet;
CASE packet type OF
   POSITIVE SESSION RESPONSE:
     return success and session established
          information;
   NEGATIVE SESSION RESPONSE:
   BEGIN
```

```
CASE error OF
                  NOT LISTENING ON CALLED NAME:
                  NOT LISTENING FOR CALLING NAME:
                  BEGIN
                    kill TCP connection;
                    return failure;
                  END
                  CALLED NAME NOT PRESENT:
                  BEGIN
                     * called name does not exist on
                     * remote node
                    inform name discovery procedure
                         of possible error;
                    IF this is a P or M node THEN
                    BEGIN
                         /*
                          * Inform NetBIOS Name Server
                          * it has returned incorrect
                          * information.
                          * /
                         send NAME RELEASE REQUEST for called
                           name and IP address to
                           NetBIOS Name Server;
                    END
                    /* retry from beginning */
                    retarget = FALSE;
                    name_query = TRUE;
                    goto LOOP;
                  END /* called name not present */
               END /* case */
             END /* negative response */
             RETARGET SESSION RESPONSE:
             BEGIN
               close TCP connection;
               extract IP address and TCP port from
                   response;
               retarget = TRUE;
             END /* retarget response */
          END /* case */
LOOP:
              retry_count = retry_count + 1;
     UNTIL (retry_count > SSN_RETRY_COUNT);
     return failure;
END /* procedure */
```

5.2.1.2. RECEIVED PACKET PROCESSING

These are packets received on a TCP connection before a session has been established. The listen routines attached to a NetBIOS user process need not implement the RETARGET response section. The user process version, separate from a shared Session Service, need only accept (POSITIVE SESSION RESPONSE) or reject (NEGATIVE SESSION RESPONSE) a session request.

```
PROCEDURE session packet(packet)
 * processing initiated by receipt of a session service
 * packet for a session in the session establishment phase.
 * Assumes the TCP connection has been accepted.
 * /
BEGIN
     CASE packet type
        SESSION REQUEST:
        BEGIN
          IF called name does not exist on node THEN
               send NEGATIVE SESSION RESPONSE with CALLED
                    NAME NOT PRESENT error code;
               close TCP connection;
          END
          Search for a listen with CALLING NAME for CALLED
          IF matching listen is found THEN
          BEGIN
               IF port of listener process is port TCP
                  connection is on THEN
               BEGIN
                    send POSITIVE SESSION RESPONSE;
                    Hand off connection to client process
                         and/or inform user session is
                         established;
               END
               ELSE
               BEGIN
                    send RETARGET SESSION RESPONSE with
                         listener's IP address and
                         TCP port;
                    close TCP connection;
               END
          END
          ELSE
          BEGIN
               /* no matching listen pending */
```

```
send NEGATIVE SESSION RESPONSE with either
                       NOT LISTENING ON CALLED NAME or NOT
                       LISTENING FOR CALLING NAME error
                       code;
                  close TCP connection;
             END
           END /* session request */
        END /* case */
   END /* procedure */
5.2.2. SESSION DATA TRANSFER PROTOCOLS
5.2.2.1. USER REQUEST PROCESSING
   PROCEDURE send_message(user_message)
   BEGIN
       build SESSION MESSAGE header;
        send SESSION MESSAGE header;
        send user_message;
       reset and restart keep-alive timer;
        IF send fails THEN
        BEGIN
              * TCP connection has failed */
              * /
             close NetBIOS session;
             inform user that session is lost;
             return failure;
        END
        ELSE
            return success;
   END
   5.2.2.2. RECEIVED PACKET PROCESSING
   These are packets received after a session has been established.
   PROCEDURE session_packet(packet)
   * processing initiated by receipt of a session service
   * packet for a session in the data transfer phase.
   * /
  BEGIN
        CASE packet type OF
           SESSION MESSAGE:
             process message header;
             read in user data;
             reset and restart keep-alive timer;
             deliver data to user;
```

```
END /* session message */
           SESSION KEEP ALIVE:
             discard packet;
        END /* case */
   END /* procedure */
5.2.2.3. PROCESSING INITIATED BY TIMER
  PROCEDURE session_ka_timer()
   * processing initiated when session keep alive timer expires
  BEGIN
            send SESSION KEEP ALIVE, if configured;
            IF send fails THEN
            BEGIN
            /* remote node, or path to it, is down */
             abort TCP connection;
             close NetBIOS session;
             inform user that session is lost;
            return;
            END
   END /* procedure */
5.2.3. SESSION TERMINATION PROTOCOLS
5.2.3.1. USER REQUEST PROCESSING
  PROCEDURE close_session()
   /* initiated by a user request to close a session */
  BEGIN
       close gracefully the TCP connection;
       WAIT for the connection to close or SSN_CLOSE_TIMEOUT
             to expire;
        IF time out expired THEN
            abort TCP connection;
   END /* procedure */
5.2.3.2. RECEPTION INDICATION PROCESSING
   PROCEDURE close_indication()
   * initiated by a TCP indication of a close request from
    * the remote connection partner.
```

```
*/
BEGIN
    close gracefully TCP connection;

close NetBIOS session;

inform user session closed by remote partner;
END /* procedure */
```

5.3. NetBIOS DATAGRAM SERVICE PROTOCOLS

The following are GLOBAL variables and should be NetBIOS user configurable:

- SCOPE_ID: the non-leaf section of the domain name preceded by a '.' which represents the domain of the NetBIOS scope for the NetBIOS name. The following protocol description only supports single scope operation.
- MAX_DATAGRAM_LENGTH: the maximum length of an IP datagram. The minimal maximum length defined in for IP is 576 bytes. This value is used when determining whether to fragment a NetBIOS datagram. Implementations are expected to be capable of receiving unfragmented NetBIOS datagrams up to their maximum size.
- BROADCAST_ADDRESS: the IP address B-nodes use to send datagrams with group name destinations and broadcast datagrams. The default is the IP broadcast address for a single IP network.

The following are Defined Constants for the NetBIOS Datagram Service:

- DGM_SRVC_UDP_PORT: the globally well-known UDP port allocated where the NetBIOS Datagram Service receives UDP packets. See section 6, "Defined Constants", for its value.

5.3.1. B NODE TRANSMISSION OF NetBIOS DATAGRAMS

```
PROCEDURE send_datagram(data, source, destination, broadcast)
```

```
/*
 * user initiated processing on B node
 */
```

BEGIN

```
group = FALSE;
```

```
IF name type is group name THEN
BEGIN
     group = TRUE;
END
 * build datagram service UDP packet;
convert source and destination NetBIOS names into
    half-ASCII, biased encoded name;
SOURCE_NAME = cat(source, SCOPE_ID);
SOURCE_IP = this nodes IP address;
SOURCE_PORT = DGM_SRVC_UDP_PORT;
IF NetBIOS broadcast THEN
BEGIN
     DESTINATION_NAME = cat("*", SCOPE_ID)
END
ELSE
BEGIN
     DESTINATION_NAME = cat(destination, SCOPE_ID)
MSG_TYPE = select_one_from_set
     {BROADCAST, DIRECT_UNIQUE, DIRECT_GROUP}
DGM_ID = next transaction id for Datagrams;
DGM_LENGTH = length of data + length of second level encoded
     source and destination names;
IF (length of the NetBIOS Datagram, including UDP and
    IP headers, > MAX_DATAGRAM_LENGTH) THEN
BEGIN
      * fragment NetBIOS datagram into 2 UDP packets
     Put names into 1st UDP packet and any data that fits
          after names;
     Set MORE and FIRST bits in 1st UDP packet's FLAGS;
     OFFSET in 1st UDP = 0;
     Replicate NetBIOS Datagram header from 1st UDP packet
          into 2nd UDP packet;
     Put rest of data in 2nd UDP packet;
     Clear MORE and FIRST bits in 2nd UDP packet's FLAGS;
     OFFSET in 2nd UDP = DGM_LENGTH - number of name and
          data bytes in 1st UDP;
END
BEGIN
      * Only need one UDP packet
```

```
USER_DATA = data;
             Clear MORE bit and set FIRST bit in FLAGS;
             OFFSET = 0;
        END
        IF (group == TRUE) OR (NetBIOS broadcast) THEN
             send UDP packet(s) to BROADCAST_ADDRESS;
        END
        ELSE
        BEGIN
             send UDP packet(s) to IP address returned by name
                discovery;
        END
   END /* procedure */
5.3.2. P AND M NODE TRANSMISSION OF NetBIOS DATAGRAMS
   PROCEDURE send_datagram(data, source, destination, broadcast)
   * User initiated processing on P and M node.
    * This processing is the same as for B nodes except for
    * sending broadcast and multicast NetBIOS datagrams.
   BEGIN
        group = FALSE;
        do name discovery on destination name, returns name type
             and IP address;
        IF name type is group name THEN
        BEGIN
             group = TRUE;
        END
         * build datagram service UDP packet;
        convert source and destination NetBIOS names into
             half-ASCII, biased encoded name;
        SOURCE_NAME = cat(source, SCOPE_ID);
        SOURCE_IP = this nodes IP address;
        SOURCE_PORT = DGM_SRVC_UDP_PORT;
        IF NetBIOS broadcast THEN
       BEGIN
             DESTINATION_NAME = cat("*", SCOPE_ID)
        END
        ELSE
```

```
BEGIN
     DESTINATION_NAME = cat(destination, SCOPE_ID)
END
MSG_TYPE = select_one_from_set
     {BROADCAST, DIRECT_UNIQUE, DIRECT_GROUP}
DGM_ID = next transaction id for Datagrams;
DGM_LENGTH = length of data + length of second level encoded
     source and destination names;
IF (length of the NetBIOS Datagram, including UDP and
    IP headers, > MAX_DATAGRAM_LENGTH) THEN
BEGIN
     /*
     * fragment NetBIOS datagram into 2 UDP packets
     Put names into 1st UDP packet and any data that fits
          after names;
     Set MORE and FIRST bits in 1st UDP packet's FLAGS;
     OFFSET in 1st UDP = 0;
     Replicate NetBIOS Datagram header from 1st UDP packet
          into 2nd UDP packet;
     Put rest of data in 2nd UDP packet;
     Clear MORE and FIRST bits in 2nd UDP packet's FLAGS;
     OFFSET in 2nd UDP = DGM_LENGTH - number of name and
          data bytes in 1st UDP;
END
BEGIN
      * Only need one UDP packet
     USER DATA = data;
     Clear MORE bit and set FIRST bit in FLAGS;
     OFFSET = 0;
END
IF (group == TRUE) OR (NetBIOS broadcast) THEN
BEGIN
      * Sending of following query is optional.
      * Node may send datagram to NBDD immediately
      * but NBDD may discard the datagram.
     send DATAGRAM QUERY REQUEST to NBDD;
     IF response is POSITIVE QUERY RESPONSE THEN
          send UDP packet(s) to NBDD Server IP address;
     ELSE
     BEGIN
          get list of destination nodes from NBNS;
```

```
FOR EACH node in list
                  BEGIN
                       send UDP packet(s) to this node's
                            IP address;
                  END
             END
        END
        ELSE
        BEGIN
             send UDP packet(s) to IP address returned by name
                discovery;
   END /* procedure */
5.3.3. RECEPTION OF NetBIOS DATAGRAMS BY ALL NODES
```

The following algorithm discards out of order NetBIOS Datagram fragments. An implementation which reassembles out of order NetBIOS Datagram fragments conforms to this specification. The fragment discard timer is initialized to the value FRAGMENT_TO. This value should be user configurable. The default value is given in Section 6, "Defined Constants and Variables".

```
PROCEDURE datagram_packet(packet)
```

```
* processing initiated by datagram packet reception
 * on B, P and M nodes
 * /
BEGIN
      * if this node is a P node, ignore
      * broadcast packets.
      * /
     IF this is a P node AND incoming packet is
          a broadcast packet THEN
     BEGIN
          discard packet;
     END
     CASE packet type OF
        DATAGRAM SERVICE:
        BEGIN
          IF FIRST bit in FLAGS is set THEN
               IF MORE bit in FLAGS is set THEN
               BEGIN
                    Save 1st UDP packet of the Datagram;
                    Set this Datagram's fragment discard
                      timer to FRAGMENT_TO;
```

```
return;
     END
     ELSE
          Datagram is composed of a single
            UDP packet;
END
ELSE
BEGIN
     /* Have the second fragment of a Datagram */
     Search for 1st fragment by source IP address
       and DGM_ID;
     IF found 1st fragment THEN
         Process both UDP packets;
     ELSE
     BEGIN
          discard 2nd fragment UDP packet;
          return;
     END
END
IF DESTINATION NAME is '*' THEN
BEGIN
     /* NetBIOS broadcast */
     deliver USER_DATA from UDP packet(s) to all
          outstanding receive broadcast
          datagram requests;
     return;
END
ELSE
BEGIN /* non-broadcast */
     /* Datagram for Unique or Group Name */
     IF DESTINATION_NAME is not present in the
        local name table THEN
     BEGIN
          /* destination not present */
          build DATAGRAM ERROR packet, clear
               FIRST and MORE bit, put in
               this nodes IP and PORT, set
               ERROR_CODE;
          send DATAGRAM ERROR packet to
               source IP address and port
               of UDP;
          discard UDP packet(s);
          return;
     END
     ELSE
     BEGIN /* good */
          /*
```

```
* Replicate received NetBIOS datagram for
                     * each recipient
                    FOR EACH pending NetBIOS user's receive
                         datagram operation
                    BEGIN
                         IF source name of operation
                            matches destination name
                            of packet THEN
                         BEGIN
                            deliver USER_DATA from UDP
                              packet(s);
                         END
                    END /* for each */
                    return;
               END /* good */
          END /* non-broadcast */
         END /* datagram service */
        DATAGRAM ERROR:
        BEGIN
              * name service returned incorrect information
             inform local name service that incorrect
               information was provided;
             IF this is a P or M node THEN
             BEGIN
                   * tell NetBIOS Name Server that it may
                   * have given incorrect information
                  send NAME RELEASE REQUEST with name
                    and incorrect IP address to NetBIOS
                    Name Server;
             END
        END /* datagram error */
    END /* case */
END
```

5.3.4. PROTOCOLS FOR THE NBDD

The key to NetBIOS Datagram forwarding service is the packet delivered to the destination end node must have the same NetBIOS header as if the source end node sent the packet directly to the destination end node. Consequently, the NBDD does not reassemble NetBIOS Datagrams. It forwards the UDP packet as is.

```
PROCEDURE datagram_packet(packet)
 * processing initiated by a incoming datagram service
 * packet on a NBDD node.
BEGIN
     CASE packet type OF
        DATAGRAM SERVICE:
        BEGIN
             IF packet was sent as a directed
               NetBIOS datagram THEN
             BEGIN
                * provide group forwarding service
                * Forward datagram to each member of the
                * group. Can forward via:
                    1) get list of group members and send
                    the DATAGRAM SERVICE packet unicast
                    to each
                    2) use Group Multicast, if available
                    3) combination of 1) and 2)
               . . .
             END
             ELSE
             BEGIN
                * provide broadcast forwarding service
                * Forward datagram to every node in the
                * NetBIOS scope. Can forward via:
                    1) get list of group members and send
                    the DATAGRAM SERVICE packet unicast
                    to each
                    2) use Group Multicast, if available
                    3) combination of 1) and 2)
                * /
               . . .
             END
        END /* datagram service */
        DATAGRAM ERROR:
```

```
BEGIN
          /*
           * Should never receive these because Datagrams
           * forwarded have source end node IP address and
           * port in NetBIOS header.
          send DELETE NAME REQUEST with incorrect name and
               IP address to NetBIOS Name Server;
        END /* datagram error */
        DATAGRAM QUERY REQUEST:
        BEGIN
          IF can send packet to DESTINATION_NAME THEN
          BEGIN
                * NBDD is able to relay Datagrams for
                * this name
                * /
               send POSITIVE DATAGRAM QUERY RESPONSE to
                REQUEST source IP address and UDP port
                 with request's DGM_ID;
          END
          ELSE
          BEGIN
                * NBDD is NOT able to relay Datagrams for
                * this name
                * /
               send NEGATIVE DATAGRAM QUERY RESPONSE to
                 REQUEST source IP address and UDP port
                 with request's DGM_ID;
          END
        END /* datagram query request */
    END /* case */
END /* procedure */
```

6. DEFINED CONSTANTS AND VARIABLES

GENERAL:

The name of the NetBIOS scope. SCOPE_ID

> This is expressed as a character string meeting the requirements of the domain name system and without

a leading or trailing "dot".

An implementation may elect to make this a single global value for the node or allow it to be specified with each separate NetBIOS name (thus permitting cross-scope

references.)

BROADCAST_ADDRESS An IP address composed of the

> nodes's network and subnetwork numbers with all remaining bits set

I.e. "Specific subnet" broadcast addressing according to section 2.3

of RFC 950.

BCAST REQ RETRY TIMEOUT 250 milliseconds.

An adaptive timer may be used.

BCAST_REQ_RETRY_COUNT 3

UCAST_REQ_RETRY_TIMEOUT 5 seconds

An adaptive timer may be used.

3 UCAST_REQ_RETRY_COUNT

576 bytes (default) MAX_DATAGRAM_LENGTH

NAME SERVICE:

Negotiated with NBNS for each name. REFRESH_TIMER

CONFLICT_TIMER 1 second

Implementations may chose a longer

value.

NAME_SERVICE_TCP_PORT 137 (decimal)

NAME_SERVICE_UDP_PORT 137 (decimal)

INFINITE_TTL 0

SESSION SERVICE:

SSN_SRVC_TCP_PORT 139 (decimal)

SSN_RETRY_COUNT 4 (default)

Re-configurable by user.

SSN_CLOSE_TIMEOUT 30 seconds (default)

Re-configurable by user.

SSN_KEEP_ALIVE_TIMEOUT 60 seconds, recommended, may be set to

a higher value.

(Session keep-alives are used only

if configured.)

DATAGRAM SERVICE:

DGM_SRVC_UDP_PORT 138 (decimal)

FRAGMENT_TO 2 seconds (default)

REFERENCES

[1] "Protocol Standard For a NetBIOS Service on a TCP/UDP Transport: Concepts and Methods", RFC 1001, March 1987.

- [2] J. Reynolds, J. Postel, "Assigned Numbers", RFC 990, November 1986.
- [3] P. Mockapetris, "Domain Names Implementation and Specification", RFC 883, November 1983.