

# Vertex Algebras

- Notation:
- $V$  is a supervector space (i.e.)  $V = V_0 \oplus V_1$
  - A field  $a(z) = \sum_{n \in \mathbb{Z}} \underset{\substack{\text{in super vector} \\ \downarrow \\ \text{space}}}{a_{(n)}} z^{-n-1}$ , where each  $a_{(n)} \in \text{End}(V)$  which should satisfy  
 $\underset{\text{a superalgebra}}{a_{(n)} v = 0} \quad \forall v \in V, \text{ any } n \gg 0$
  - $a$  is said to have parity  $p(a)$  if  $a_{(n)} V_\alpha \subset V_{\alpha + p(a)}$   
 $\forall \alpha \in \mathbb{Z}/2\mathbb{Z} \quad \forall n \in \mathbb{Z}$

A Vertex Algebra consists of the following data:

- The space of states :  $V$
- The vacuum vector:  $|0\rangle \in V_0$   
 (Note: vacuum vector cannot be degenerate)
- State-Field correspondence : A parity-preserving linear map from  $V$  to the space of fields

$$a \xrightarrow{\uparrow} Y(a, z) = \sum_{n \in \mathbb{Z}} a_{(n)} z^n$$

$\downarrow$

$a(z)$

Satisfying the following:

supercommutator ( $T$  has parity 0 so doesn't matter)

D (Translation covariance):  $\left[ \overset{\leftarrow}{T}, a_{(n)} \right] = \overset{\rightarrow}{-n a_{(n-1)}}$

where  $T \in \text{End}(V)$  .  $T(a) = a_{(-2)}|0\rangle$

2) (Vacuum)

$$|0\rangle_{(n)} = S_{n,-1} \quad (\text{implies } T|0\rangle = 0)$$

$$a_{(n)}|0\rangle = 0 \quad \text{for } n \geq 0$$

$$a_{(-1)}|0\rangle = a$$

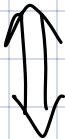
3) (Locality)

$$(z-w)^N [a(z), b(w)] = 0 \quad \text{for } N \gg 0$$

$\underbrace{a(z)b(w) - (-1)^{p(a)p(b)} b(w)a(z)}$

Exercise:

$$\frac{T^a}{n!} = a_{-n-1}|0\rangle$$



$$a(z)|0\rangle = e^{zT}(a)$$

Holomorphic Vertex Algebra

A Vertex Algebra is called holomorphic if  
 $a_{(n)} = 0 \quad \forall n \geq 0 \quad \forall a \in V$ .

In this case,  $a(z) = \sum_{n \in \mathbb{N}} a_{-n-1} z^n$

Claim: A holomorphic vertex algebra  $\cong$  a commutative superalgebra + Derivation

Proof:

$\Rightarrow$  - Direction

Define a product on  $V$

$$ab = a_{(-1)} b$$

$|0\rangle$  is the unit

$$V_\alpha V_\beta \subset V_{\alpha+\beta}$$

Now, check assoc. + comm.:

Observation: The algebra of formal power series has no zero divisor  $\Rightarrow$

(Locality condition)  $\Leftrightarrow [a(z), b(w)] = 0$

$$\text{ie) } a(z)b(w) = (-1)^{p(a)p(b)} b(w)a(z)$$

Comparing LHS & RHS term by term:

$$\Rightarrow a_{(-n)} b_{(-m)} = (-1)^{p(a)p(b)} b_{(-m)} a_{(-n)} \quad \text{x by c}$$

$$\Rightarrow a(bc) = (-1)^{p(a)p(b)} b(ac)$$

$\left. \begin{array}{c} \\ \\ \end{array} \right\} c=|0\rangle$

$$ab = (-1)^{p(a)p(b)} ba$$

gives supercommutativity.

$$\Rightarrow a(cb) = (ac)b \quad \text{aka Associativity}$$

Use  
supercomm.

commute  
elements

and  $T$  gives a derivation on  $V$ :

$$\text{Translation covariance} \Rightarrow T a_{(n)} - a_{(n)} T = -n a_{(n-1)}$$

$$\text{Let } n = -1$$

$$T a_{(-1)} - a_{(-1)} T = a_{(-2)}$$

$\left. \begin{array}{c} \\ \\ \end{array} \right\}$

$$T(ab) - a(Tb) = a_{(-2)} b$$

by defn. of  $T$

supercomm.

$$(Ta)b = (a_{(-2)}|0\rangle) \cdot b = (-1)^{p(a)p(b)} b_{(-1)} \cdot (a_{(-2)}|0\rangle)$$

Need to show  
this is  $= (Ta)b$

$$= a_{(-2)} b_{(-1)} |0\rangle$$

*locality*

$$= a_{(-2)} b \quad //$$

## $\Leftarrow$ - Direction:

Given an assoc., supercomm. Superalgebra  $V$  and a derivation  $T$ , we need to construct a <sup>holomorphic</sup> vertex algebra:

Set:

- Space of states =  $V$
- $|0\rangle = 1_V$

- Let the  $T$  be the derivation

- $a(z) b = (e^{zT} a) b$

$\uparrow$  Equivalently

$$a_{(-n)} b = \frac{T^n a}{n!} b$$

Now check whether these satisfy the axioms of a holomorphic vertex algebra: Exercise. //



## Chapter-2

### Calculus of Formal distributions

A formal distribution in the indeterminates  $z_1, z_2, \dots$  with the values in a vector space  $U$  is a formal expression

$$\sum_{m_1, m_2, \dots} a_{m_1, m_2, \dots} z_1^{m_1} z_2^{m_2} \dots \in U$$

where  $a_{m_1, m_2, \dots} \in U$

Given  $a(z) = \sum_{n \in \mathbb{Z}} a_n z^n$ , its residue  $\text{Res}_z a(z) := a_{-1}$

Remark:

$$\text{Res}_z (\partial a(z) b(z)) = - \text{Res}_z (a(z) \partial b(z))$$

A formal delta function: (A formal distribution in  $z \otimes w$  with values in  $\mathbb{C}$ ):

$$\delta(z-w) := z^{-1} \sum_{n \in \mathbb{Z}} z^n w^{-n}$$

Prop.

1) If  $f(z) \in U[[z, z^{-1}]]$ , we have

$$\operatorname{Res}_z f(z) \delta(z-w) = f(w)$$

$$2) \delta(z-w) = \delta(w-z)$$

$$3) \partial_z^j \delta(z-w) = (-\partial_w)^j \delta(z-w)$$

$$4) (z-w) \partial_w^{(j+1)} \delta(z-w) = \partial_w^{(j)} \delta(z-w) \xrightarrow{A^{(j)} = \frac{A^j}{j!}}$$

$$5) (z-w)^{j+1} \partial_w^{(j)} \delta(z-w) = 0$$

Proof:

By direct calculation from the defn. of  $\delta(z-w)$  above  $\square$

Consider the map

$$\Pi : \bigcup [[z, z^{-1}, w, w^{-1}]] \rightarrow \bigcup [[z, z^{-1}, w, w^{-1}]]$$

$$a(z, w) \longmapsto \sum_{j \in \mathbb{N}} \operatorname{Res}_z (a(z, w) (z-w)^j) \partial_w^{(j)} \delta(z-w)$$

This map has the following properties:

Prop.

$$1) \Pi^2 = \Pi$$

$$2) \operatorname{Ker} \Pi = \{a(z, w) \mid a(z, w) = a(z, w)^{+z}\} \text{ where,}$$

$$a(z, w)^{+z} = \sum_{\substack{m \in \mathbb{Z}_+ \\ n \in \mathbb{Z}}} a_{mn} z^m w^n$$

$$1) + 2) \Rightarrow \forall a(z, w) = \text{Tr } a(z, w) + b(z, w)$$

has this decomposition

~~Rough sketch of~~  
Prob: (Kinda direct computation)

$$1) \text{ If } a(z, w) = \sum_{j \in \mathbb{N}} c^j(w) \delta_w^{(j)} \delta(z-w), \text{ then}$$

$$c^j(w) = \text{Res}_z \left( a(z, w) (z-w)^j \right)$$

$$a(z, w) (z-w)^n = \sum_{j \in \mathbb{N}} c^j(w) \text{Res}_z \left( \partial_w^{(j)} \delta(z-w) (z-w)^n \right)$$

$= 0 \text{ if } n > j$

If  $n=j$ , then we get

$$c^n(w)$$

If  $n < j$ , then

$\partial_w^{(j-n)} \delta(z-w)$ , it's still zero

2) Suppose  $a(z, w) = \sum_{n \in \mathbb{Z}} a_n(w) z^n$

Suppose  $\sum_{n \in \mathbb{Z}} a_n(w) \operatorname{Res}_z z^n (z-w)^n = 0 \quad \forall n \in \mathbb{N}$

$\Rightarrow$  Each term is zero  $\because$  we can't cancel terms.

So pick a term & equate it to zero

□

Corollary:

If  $a(z, w)(z-w)^N = 0$ , then

$$a(z, w) = \sum_{j=0}^{N-1} \operatorname{Res}_z (a(z, w)(z-w)^j) \partial_w^{(j)} \delta(z-w)$$

Proof:

From prov. prop.,

$$a(z, w) = \sum_{j \in \mathbb{N}} \operatorname{Res}_z (a(z, w)(z-w)^j) \partial_w^{(j)} \delta(z-w) + b(z, w)$$

$\times (z-w)^N$  on both sides,

$$a(z, w)(z-w)^N = \sum_{j \in \mathbb{N}} \text{Res}_z \left( a(z, w)(z-w)^j \right) \partial_w^{(j)} \delta(z-w)(z-w)^N$$

$a(z, w)(z-w)^j$  vanishes  
for  $j > N$

$\partial_w^{(j)}$   $j \leq N$

$\approx 0$  by assumption,

$$+ b(z, w)(z-w)^N$$

For  $j = N$ ,

$$\text{Res}_z \left( \underbrace{a(z, w)(z-w)^N}_{=0} \right) = 0$$

$$\Rightarrow b(z, w)(z-w)^N = 0$$

□

### Locality

Defn:  $a(z), b(w)$  formal distri with values in  $U$ .  
These are called local if

$$(z-w)^N [a(z), b(w)] = 0 \text{ for } N \gg 0$$

### Notations:

i)  $a(z) = \sum_{n \in \mathbb{Z}} a_{(n)} z^{-n-1}$  where  $a_{(n)} = a_{-n-1}$   
 $\quad \quad \quad = \text{Res}_z a(z) z^n$

$$\sum_{n \in \mathbb{Z}} a_n z^n$$

$$2) \quad a(z)_- = \sum_{n \geq 0} a_{(n)} z^{-n-1}$$

$$a(z)_+ = \sum_{n < 0} a_{(n)} z^{-n-1}$$

$$3) : a(z)b(w) = a(z)_+ b(w) + (-1)^{p(a)p(b)} b(w)a(z)_-$$

↓

$= a(z)b(w)$ , if  $a(z)$  is holomorphic.

$$\text{We have: } a(z)b(w) = [a(z)_-, b(w)] + :a(z)b(w):$$

$$(-1)^{p(a)p(b)} b(w)a(z) = -[a(z)_+, b(w)] + :a(z)b(w):$$

For each  $n \in \mathbb{N}$ , define

$$a(z)_n b(w) = \text{Res}_z [a(z), b(w)] (z-w)^n$$

Thm:

Let  $c^j(w) = a(z)_j b(w)$ , then the following properties  $\Leftrightarrow$  locality condition.

$$(i) \quad [a(z), b(w)] = \sum_{j=0}^{N-1} \partial_w^{(j)} \delta(w-j) c^j(w)$$

$$(i) [a(z)_-, b(w)] = \sum_{j=0}^{N-1} i_{z,w} \frac{1}{(z-w)^{j+1}} c^j(w)$$

$$[a(z)_+, b(w)] = \sum_{j=0}^{N-1} i_{w,z} \frac{1}{(z-w)^{j+1}} c^j(w)$$

$$(iii) a(z) b(w) = \sum_{j=0}^{N-1} i_{z,w} \frac{1}{(z-w)^{j+1}} c^j(w) + :a(z)b(w):$$

Defn. of OPE

$$(-1)^{p(a)p(b)} b(w) a(z) = \sum_{j=0}^{N-1} i_{w,z} \frac{1}{(z-w)^{j+1}} c^j(w) + :a(z)b(w):$$

$$i_{z,w} \frac{1}{(z-w)^{j+1}} = \sum_{m=0}^{\infty} \binom{m}{j} z^{-m-1} w^{m-j}$$

$$i_{w,z} \frac{1}{(z-w)^{j+1}} = \sum_{m=-1}^{\infty} \binom{m}{j} z^{-m-1} w^{m-j}$$

By abuse of notation,

$$a(z)b(w) \sim \sum_{j=0}^{N-1} \frac{a(z)_j b(w)}{(z-w)^{j+1}}$$

Prop.

1)  $\partial a(z)_{(m)} b(w) = -n a(z)_{(n-1)} b(w)$

$$\partial_w (a(z)_n b(w)) = a(z)_n \partial b(w) + \partial a(z)_n b(w)$$

2) For any  $a(z), b(w)$  mutually local,

$$a(z)_{(n)} b(w) = (-1)^{p(a)p(b)N!} \sum_{j=0}^{j+n+1} (-1)^j \partial^{(j)} (b(w) a(z)_{(n+j)})$$

3) For three formal distri.

$$a(z)_{(m)} (b(w)_{(n)} c(u)) = \sum_{j=0}^m \binom{m}{j} a(z)_{(j)} b(w) c(u)_{(m+n-j)}$$

$$+ (-1)^{p(a)p(b)} b(w)_{(n)} (a(z)_{(m)} c(u))$$

Corollary:

$$[a_{(0)}, b(w)] = 0 \text{ iff } a(z)_{(0)} b(w) = 0;$$

if  $a(z)$  is odd, then  $a_{(0)}^2 = 0$  iff

$$\text{Res}_w a(z)_{(0)} a(w) = 0$$