

Email Thread - Orion Trading LLC (KYC + Sanctions) - Export

Thread period: 2026-02-14 | Participants: Compliance Ops, Relationship Manager, KYC Analyst

Email 1 (Compliance Ops -> RM)

Subject: URGENT - Sanctions screening escalation (TM-8821)

We have an 87% sanctions similarity match on counterparty name for Orion Trading LLC flows. Please provide: (1) signed UBO declaration, (2) passport copy for UBO, (3) source-of-wealth summary, (4) invoice/contract supporting the CHF 2.1m transfer. Also confirm whether any funds are subject to hold / restricted status.

Attachment referenced: UBO_Declaration_Signed.pdf (NOT ATTACHED in this export).

Email 2 (RM -> Compliance Ops)

We onboarded Orion last week. UBO is Mr. Mohamed Al-Sayed (spelling varies in CRM due to transliteration). Passport copy is in SharePoint folder (link). The transfer relates to fertilizer shipment prepayment.

I cannot attach SharePoint files through this export; please check the shared folder. No issues expected; counterparty is not sanctioned.

Email 3 (KYC Analyst -> All)

We have conflicting spellings for the UBO (El-Sayed vs Al-Sayed). Without a signed declaration + ID scan in the case file, we cannot close EDD. Also: sanctions similarity above 80% requires documented override or entity match clearance.

Export produced for demo purposes; referenced attachments intentionally omitted to test 'drops'.