

## [1] Building Abstractions with functions

### 1.1 Getting Started

- Computer fundamentals:
  - representing information
  - specifying logic to process it
  - designing abstractions that manage the complexity of that topic
- Recommended textbook: Structure and Interpretation of Computer Programs (SICP)

#### 1.1.1 Programming in Python

#### 1.1.2 Installing Python 3

#### 1.1.3 Interactive Sessions

- In an interactive Python session, you type some Python code after the ">>>". The Python interpreter reads and executes what you type, carrying out your various commands.
- To start an interactive session, type "python3" at a terminal prompt.
- Interactive controls: each session keeps a history of what you have typed. To access that history, press [Ctrl-p] (previous) or [Ctrl-n] (next). You can exit a session with [Ctrl-d], which discards the history. Up and down arrows also cycle through history on some systems.

#### 1.1.4 First Example

- Statements & Expressions: Python code consists of expressions and statements. Broadly, computer programs consist of instructions to either compute some value or carry out some actions. Statements typically describe actions. Expressions typically describe computations.
- Functions: functions encapsulate logic that manipulates data.
- Objects: an object seamlessly bundles together data and the logic that manipulates that data, in a way that manages the complexity of both.
- Interpreters: evaluating compound expressions requires a precise procedure that interprets code in a predictable way. A program that implements such a procedure, evaluating compound expressions, is called an interpreter. When compared with other computer programs, interpreters for programming languages are unique in their generality.

#### 1.1.5 Errors

- Computers are rigid: even the smallest spelling and formatting changes can cause unexpected output and errors. Learning to interpret errors and diagnose the cause of unexpected errors is called debugging.
- Some guiding principles of debugging are:
  - Test incrementally
  - Isolate errors: trace the error to the smallest fragment of code you can before correcting it
  - Check your assumptions
  - Consult others

### 1.2 Elements of Programming

- Programs serve to communicate ideas among members for a programming community. Thus, programs must be written for people to read, and only incidentally for machines to execute.
- When we describe a language, we should pay particular attention to the means that the language provides for combining simple ideas to form more complex ideas. Each powerful language has three such mechanisms:
  - primitive expressions and statements: represent the simplest building blocks



means of combination: by which compound elements are built from simpler ones

means of abstraction: by which compound elements can be named and manipulated as units

### 1.2.1 Expressions

- primitive expressions like numbers in base 10: 42
- compound expressions like numbers combined with mathematical operators: -1 - -1

### 1.2.2 Call Expressions

- The most important kind of compound expression is a **call expression**, which applies a function to some arguments.
- A call expression can have subexpressions.
- The order of the arguments in a call function matters.
- Function notation has three principle advantages over the mathematical convention of infix notation

functions may take an arbitrary number of arguments

function notation extends in a straightforward way to nested expressions

mathematical notations has a great variety of forms, while this complexity can be unified via the notation of call expressions

### 1.2.3 Importing Library Functions

- Python defines a very large number of functions, including the operator functions mentioned in the preceding section, but does not make all of their names available by default. Instead, it organizes the functions and other quantities that it knows into modules., which together comprise the Python library. To use these elements, one imports them. Below are examples:

```
>>> from math import sqrt
```

```
>>> from operator import add, mul
```

### 1.2.4 Names and the Environment

- A critical aspect of a programming language is the means it provides for using names to refer to computational objects. **If a value has been given a name, we say that the name binds to the value.**
- In Python, we can establish new bindings using the assignment statement, like

```
>>> radius = 10
```

```
>>> radius
```

```
10
```

Here "=" is called the assignment operator. **Assignment is our simplest means of abstraction.**

- The possibility of binding names to values and later retrieving those values by names means that the interpreter must maintain some sort of memory that keeps track of the names, values and bindings. This memory is called an **environment**.
- Names can also be bound to functions, like

```
>>> f = max
```

```
>>> f
```

```
<built-in function max>
```

```
>>> f(1, 2, 3, 4)
```

```
4
```

- In Python, names are called variable names or **variables**, because they can be bound to different values in the course of executing a program. When a name is bound to a new value through assignment, it is no longer bound to any previous value. One can even bind built-in names to new values, like max.



- We can assign multiple values to multiple names in a single statement, like  
`area, circumference = pi * radius * radius, 2 * pi * radius`  
 note that after assignment, even if we change the value of radius, area will not change  
 (because in nature, variables are bound to values, not expressions)

#### 1.2.5 Evaluating Nested Expressions

- To evaluate a call expression, Python will do the following:  
 evaluate the operator and operand subexpressions  
 apply the function that is the value of the operator subexpression to the arguments that are the values of the operand subexpressions
- Note that the evaluation procedure is recursive in nature. If we draw each expression that we evaluate, we can visualize the hierarchical structure of this process. This illustration is called an expression tree. In computer science, trees conventionally grow from the top down. The objects at each point in a tree are called nodes, here they are expressions paired with values.

#### 1.2.6 The Non-Pure Print Function

- **Pure functions:** have some input (arguments) and return some output (the result of applying them). Pure functions have the property that applying them has no effects beyond returning a value. Moreover, a pure function must always return the same value when passed in same arguments.
- **Non-pure function:** can generate side effects, which make some changes to the state of the interpreter or computer. A common side effect is to generate additional output beyond the return value, using the print function. The value that print returns is always None (a special Python value that represents nothing)
- Below is a special case:  

```
>>>print(print(1), print(2))
1
2
None, None
```

#Note that None evaluates to nothing, and will not be displayed by the interpreter as a value, like  

```
>>> None
```

#### 1.3 Defining New Functions

- 3 elements which make Python and other programming languages so powerful:  
 Numbers and arithmetic operations are primitive built-in data values and functions  
 Nested function application provides a means of combining operations  
 Binding names to values provides a limited means of abstraction
- Function definition is a much more powerful abstraction technique by which a name can be bound to compound operation, which can then be referred to as a unit.
- How to define a function:  

```
def <name> (<formal parameters>):
    return <return expression>
```

The return expression is not evaluated right away; it is stored as part of the newly defined function and evaluated only when the function is eventually applied.

- We can use the defined function as a building block in defining other function. Actually, user-defined functions are used in exactly the same way as built-in functions



### 1.3.1 Environments

- An environment in which an expression is evaluated consists of a sequence of **frames**, depicted as boxes. Each frame contains bindings, each of which associates a name with its corresponding value.
- global frame
- The name of a function can be repeated twice, once in the frame and again as part of the function itself. The name appearing in the function is called the intrinsic name. The name in a frame is a bound name. There is a difference between the two: different names may refer to the same function, but that function itself has only one intrinsic name. The name bound to a function in a frame is the one used during evaluation. The intrinsic name of a function does not play a role.
- Function signature: a description of the formal parameters of a function, like `max(...)`. Note that the "..." here means `max` can take an arbitrary number of arguments.

### 1.3.2 Calling User-Defined Functions

- Applying a user-defined function introduces a second local frame, which is only accessible to that function. To apply a user-defined function to some arguments:
  - Bind the arguments to the names of the function's formal parameters in a new local frame.
  - Execute the body of the function in the environment that starts with the frame

Note that the first step: bound names, is very tricky! As we have mentioned before, binding is only between values and variable names. So here what we are really doing, is not changing names for the parameters, but evaluating their values and assigning these values to new names! [see HW1 Q5]

- Name evaluation: a name evaluates to the value bound to that name in the earliest frame of the current environment in which that name is found.

### 1.3.3 Example: Calling a User-Defined Function

#### 1.3.4 Local Names

- One detail of a function's implementation that should not affect the function's behavior is the implementer's choice of names for the function's formal parameters. The simplest function of it is that the parameter names of a function must remain local to the body of the function.

#### 1.3.5 Choosing Names

- Important principles in choosing function and parameter names:
  - Function names are lowercase, with words separated by underscores. Descriptive names are encouraged.

Function names typically evoke operations applied to arguments by the interpreter, or the name of the quantity that results.

Parameter names are lowercase, with words separated by underscores. Single-word names are preferred.

Parameter names should evoke the role of the parameter in the function, not just the kind of argument that is allowed.

Single letter parameter names are acceptable when their role is obvious, but avoid `l`, `O` or `I` to avoid confusion with numerals.

#### 1.3.6 Functions as Abstractions

- To master the use of a functional abstraction, it is often useful to consider 3 core attributes:
  - the domain of a function is the set of arguments it can take
  - the range of a function is the set of values it can return



the intent of a function is the relationship it computes between inputs and output (as well as any side effects it might generate)

### 1.3.7 Operators

- distinguish among / (normal division, which results to a floating point, or `truediv` in operator), // (rounds the result to an integer, or `floordiv` in operator), and % (mod)

## 1.4 Designing Functions

- The qualities of good functions:
  - each function should have exactly one job
  - don't repeat yourself (DRY principle)
  - functions should be defined generally

### 1.4.1 Documentation

- A function definition will often include documentation describing the function, called a docstring, which must be indented along with the function body. Docstrings are conventionally triple quoted. The first line describes the job of the function in one line. The following lines can describe arguments and clarify the behavior of the function.
- When you call `[help]` with the name of a function as an argument, you see its docstring (type `[q]` to exit), like

```
>>> help(pressure)
```

- Comments in Python can be attached to the end of a line following the “#” symbol

### 1.4.2 Default Argument Values

- In Python, we can provide default values for the arguments of a function. When calling that function, arguments with default values are optional. If they are not provided, then the default value is bound to the formal parameter name instead. Below is an example:

```
>>> def pressure(v, t, n = 6):
    ....
>>> pressure (1, 273.25) # pass in 2 parameters
2269
>>> pressure (1, 273.15, 6)
2269
```

## 1.5 Control

- Control statements are statements that control the flow of a program's execution based on the results of logical comparisons.

### 1.5.1 Statements

- So far, we have seen 3 statements already: `def`, assignment, and `return`.
- Rather than being evaluated, statements are executed. Each statement describes some change to the interpreter state, and executing a statement applies that change.

### 1.5.2 Compound Statements

- In general, Python code is a sequence of statements. A simple statement is a single line that doesn't end in a colon. A **compound statement** is so-called because it's composed of other statements. Compound statements typically span multiple lines and start with a one-line header ending in colon, which identifies the type of statement. Together, a header and an indented suite of statements is called a clause. A compound statement consists of one or more clauses.



```

<header>:
    <statement>
    <statement>
    ....
<separating header>:
    <statement>
    <statement>
    ....

```

- We can catalog the statements we have learnt:  
Expressions, return statement, and assignment statements are simple statements.  
A def statement is a compound statement made up of clauses as the function body.
- We can understand multi-line programs: to execute a sequence of statements, execute the first statement. If that statement does not **redirect control**, then proceed to execute the rest of the sequence of statements, if any remain.

### 1.5.3 Defining Functions II: Local Assignment

- Whenever a user-defined function is called, the sequence of clauses in the suite of its definition is executed in a local environment – an environment starting with a local frame created by calling that function. A return statement **redirects control**: the process of function terminates whenever the first return statement is executed, and the value of the return expression is the returned value of the function being applied.

### 1.5.4 Conditional Statements

- A conditional statement in Python consists of a series of headers and suites: a required “if” clause, an optional sequence of “elif” clauses, and finally an optional “else” clause:

```

>>> if <expression>:
    <suite>
>>> elif <expression>:
    <suite>
>>> else:
    <suite>

```

- The computational process of executing a conditional clause follows:  
Evaluate the header’s expression  
If it is a true value, execute the suite. Then, skip all subsequent clauses in the conditional statement.
  - The expressions inside the header statements of conditional blocks are in **boolean contexts**: their truth value matter to control flow, but otherwise their values are not assigned or returned. Python includes several false values, including 0, None, “”, [], and the boolean value False. **All other numbers are true values.**
  - Python has two boolean values: True and False.
  - Python has three boolean operators: and, or, and not, the first two of which has the so-called **short-circuit evaluation behavior**.
1. [not] returns the opposite truth value of the following expression (so not will always return either True or False).
  2. [and] evaluates expressions in order and stops evaluating (short-circuits) once it reaches the first false value, and then returns it. If all values evaluate to a true value, the last value is



returned.

3. [or] short-circuits at the first true value and returns it. If all values evaluate to a false value, the last value is returned.

```
>>> not None
```

```
True
```

```
>>> -1 and 0 and 1
```

```
0
```

```
>>> False or 999 or 1/0
```

```
999
```

#### 1.5.5 Iteration

- A while clause structure is like:

```
>>> while <expression>:
```

```
    <suite>
```

#### 1.5.6 Testing

- Testing a function is the act of verifying that the function's behavior matches expectations. A test is a mechanism for systematically performing this verification. Tests typically take the form of another function that contains one more sample calls to this function being tested. The returned value is then verified against an expected result. Unlike most functions, which are meant to be general, tests involve selecting and validating calls with specific argument values. Tests also serve as documentation: they demonstrate how to call a function and what argument values are suitable.
- assert: when the expression being asserted evaluates to a true value, executing an assert statement has no effect. When it is a false value, assert causes an error that halts the execution.

```
>>> assert f(8) == 13
```

```
>>> def f_test():
```

```
    assert f(2) == 1
```

```
    assert f(3) == 1
```

```
    assert f(50) == 89
```

- Python provides a convenient method for placing simple tests directly in the docstring of a function. THE first line of a docstring should contain a one-line description of the function, followed by a blank line. A detailed description of arguments and behavior may follow. In addition, the docstring may include a sample interactive session that calls the function. Then, the interaction can be verified via the doctest module. Below is an example of usage:

```
>>> def f(n):
```

```
    """ Return the sum of the first n elements.
```

```
    >>> f(2)
```

```
    55
```

```
    >>> f(10)
```

```
    458
```

```
    """
```

```
    ....
```

```
>>> from doctest import testmod
```



```
>>> testmod()
```

```
TestResults(failed = 0, attempted = 2)
```

- When writing Python in files, rather than directly into the interpreter, tests are typically written in the same file or a neighboring file with the suffix “\_test.py”. Then all doctests can be run by starting Python with the doctest command line option: [python3 -m doctest (-v) <python\_file>]
- A test that applies a single function is called a unit test.
- autograder usage:
  1. To prevent the ok autograder from interpreting print statements as output: print with ‘DEBUG:’ at the front of the outputted line
  2. To open n interactive terminal to investigate a failing test for question sum\_digits in assignment lab01: [python3 ok -q sum\_digits -i]
  3. To look at an environment diagram to investigate a failing test for question sum\_digits in assignment lab01: [python3 ok -q sum\_digits -trace]

## 1.6 Higher-Order Functions

- We need to construct functions that can accept other functions as arguments, or return functions as values. Functions that manipulate functions are called **higher-order functions**.

### 1.6.1 Functions as Arguments

- Below is an example:

```
>>> def summation(n, term):
```

```
.....
```

```
>>> def identity(x):
```

```
.....
```

```
>>> def sum_naturals(x, identity):
```

```
.....
```

### 1.6.2 Functions as General Methods

- With higher-order functions, we begin to see a more powerful kind of abstraction: some functions express general methods of computation, independent of the particular functions they call.
- We learnt about two related big ideas in computer science:
  1. Naming and functions allow us to abstract away a vast amount of complexity.
  2. It is only by virtue of the fact that we have an extremely general evaluation procedure for the Python language that small components can be composed into complex processes.

### 1.6.3 Defining Functions III: Nested Definitions

- There are two negative consequences of passing functions as arguments:
  1. The global frame becomes cluttered with names of small functions, which must all be unique.
  2. We are constrained by particular function signatures
- Nested function definitions address both of these problems, but require us to enrich our environment model.

- Below is an example:

```
>>> def sqrt(a):
```

```
    def sqrt_update(x):
```

```
        return average(x, a/x)
```

```
    def sqrt_close(x):
```



```

    return approx_eq(x * x, a)
return improve(sqrt_update, sqrt_close)

```

Note that we now place function definitions inside the body of other definitions.

- Like local assignment, local def statements only affect the local frame. These functions are only in scope while sqrt is being evaluated. Locally defined functions also have access to the name bindings in the scope in which they are defined. This discipline of sharing names among nested definitions are called **lexical scoping**.
- We require two extensions to our environment model to enable lexical scoping:
  1. Each user-defined function has a [parent environment where it was defined.
  2. When a user-defined function is called, its local frame extends its parent environment.
- Functions values each have a new annotation that we will include in environment diagrams from now on: a parent. The parent of a function value is the first frame of the environment in which that function was defined. Functions without parent annotations were defined in the global environment. When a user-defined function is called, the frame created has the same parent as that function.

#### 1.6.4 Functions as Returned Values

- Function composition:  $h(x) = f(g(x))$

#### 1.6.5 Example: Newton's Method

#### 1.6.6 Currying

- Given a function  $f(x, y)$ , we can define a function  $g$  such that  $g(x)(y) = f(x, y)$ . Here,  $g$  is a higher-order function that takes in a single argument  $x$  and returns another function that takes in a single argument  $y$ . This transformation is called **currying**. Currying is useful when we require a function that takes in only a single argument (like the map function).
- Inverse Currying transformation:

1. given  $f$ , return  $g \leftarrow \text{currying}$

```

>>> def curry(f):
    def g(x):
        def h(y):
            return f(x, y)
        return h
    return g

```

2. given  $g$ , return  $f \leftarrow \text{uncurrying}$

```

>>> def uncurry(g):
    def f(x, y):
        return g(x)(y)
    return f

```

```

>>> uncurry(curry(f))

```

```

f

```

#### 1.6.7 Lambda Expressions

- In Python, we can create function values on the fly using lambda expressions, which evaluate to unnamed functions. A lambda expression evaluates to a function that has a single return expression as its body. Assignment and control statements are not allowed. Below is an example:

```

>>> def compose(f, g):
    return lambda x: f(g(x))

```



We can understand the structure of a lambda expression by constructing a corresponding sentence:

lambda x : f(g(x))

A function that takes x and returns f(g(x))

- The result of a lambda expression is called a lambda function. It has no intrinsic name, but otherwise it behaves like any other function.

```
>>> s = lambda x: x * x
```

```
>>> s
```

```
<function <lambda> at 0xf3f490>
```

```
>>> s(12)
```

```
144
```

```
>>> (lambda x: x * x)(12)
```

```
144
```

- The main difference between lambda expressions and def statements is that, only the def statements give the function intrinsic names. All lambda expressions share the name [Greek letter(x)]

- There is one key point about lambda expressions: they cannot recursively call themselves.

```
>>> h = lambda x: f(h(x)) #wrong
```

```
>>> h = (lambda g: lambda x: f(g(x)) is )(h) #correct
```

#### 1.6.8 Abstractions and First-Class Functions

- Programming languages impose restrictions on the ways in which computational elements can be manipulated. Elements with the fewest restrictions are said to be have first-class status. Some of the “rights and privileges” of first-class elements are:

1. They may be bound to names.
2. They may be passed as arguments to functions.
3. They may be returned as the results of functions.
4. They may be included in data structures.

- First-class functions, in nature, are functions that can be manipulated as values. They are opposite to higher-order functions, which take functions as argument values, or return a function as a return value

#### 1.6.9 Function Decorators

- Python provides special syntax to apply higher-order functions as part of executing a def statement, called a **decorator**. Below is the most common examples — trace:

```
>>> def trace(fn):
```

```
    def wrapped(x):
```

```
        print('->', fn, '(', x, ')')
```

```
        return fn(x)
```

```
    return wrapped
```

```
>>> @trace
```

```
    def triple(x):
```

```
        return 3 * x
```

```
>>> triple(12)
```

```
-> <function triple at 0x102a39848> (12)
```

```
36
```

In code, the decorator is equivalent to:



```
>>> def triple(x):  
    return 3*x  
>>> triple = trace(triple)
```

- The decorator symbol "@" may also be followed by a call expression. The expression following @ is evaluated first (just as the name trace was evaluated first), the def statement second, and finally the result of evaluating the decorator expression is applied to the newly defined function, and the result is bound to the name in the def statement.

## 1.7 Recursive Functions

- A function is called recursive if the body of the function calls the function itself.

### 1.7.1 The Anatomy of Recursive Functions

- A common pattern can be found in the body of many recursive functions. the body begins with a **base case**, a conditional statement that defines the behavior of the function for the inputs that are simplest to process. Some recursive functions will have multiple base cases. They are then followed by one or more recursive calls, which always have a certain character: they simplify the original problem incrementally.
- Treating a recursive call as a functional abstraction has been called a recursive leap of faith. We define a function in terms of itself, but simply trust that the simpler cases will work correctly when verifying the correctness of the function. Verifying the correctness of a recursive function is a form of proof by induction.

### 1.7.2 Mutual Recursion

- When a recursive procedure is divided among two functions that call each other, the functions are said to be mutually recursive.

### 1.7.3 Printing in Recursive Functions

### 1.7.4 Tree Recursions

- Another common pattern of computation is called tree recursion, in which a function calls itself more than once.
- A function with multiple recursive calls is said to be tree recursive, because each of call branches into multiple smaller calls, each of which branches into yet smaller calls, just as the branch of a tree become smaller but more numerous as they extend from the trunk.

### 1.7.5 Example: Partitions



## [2] Building Abstractions with Data

### 2.1 Introduction

#### 2.1.1 Native data Types

- Every value in Python has a class that determines what type of value it is. The built-in `type` function allows us to inspect the class of any value.

```
>>> type(2)
<class 'int'>
```

- Native data types have the following properties:

1. There are expressions that evaluate to values of native types, called literals.
2. There are built-in functions and operators to manipulate values of native types.

- Python includes three numeric types: `int` (integers), `float` (real numbers) and `complex` (complex numbers).
- The difference between `int` and `float` is very important: `int` objects represent integers exactly; `float` objects can represent a wide range of fractional numbers, but not all numbers can be represented exactly.
- There are also non-numeric types of data, such as the `bool` class for values `True` and `False`.

### 2.2 Data Abstraction

- The general technique of isolating the parts of a program that deal with how data are represented from the parts that deal with how data are manipulated is a powerful design methodology called **data abstraction**. Data abstraction makes programs much easier to design, maintain, and modify.

#### 2.2.1 Example: Rational Numbers

#### 2.2.2 Pairs

- List:

```
>>> pair = [10, 20]
```

```
>>> x, y = pair
```

```
>>> x
```

```
10
```

```
>>> pair[0]
```

```
10
```

```
>>> from operator import getitem
```

```
>>> getitem(pair, 0)
```

```
10
```

#### 2.2.3 Abstraction Barriers

- Function can be divided into several layers of abstraction. In each layer, the functions enforce an **abstraction barrier**. These functions are called by a higher level and implemented using a lower level of abstraction. An abstraction barrier violation occurs whenever a part of the program that can use higher level function instead uses a function in a lower level.

#### 2.2.4 The Properties of data

### 2.3 Sequences

- A sequence is an ordered collection of values. There are many different kinds of sequences, but they all share common behaviors:



1. A sequence has a finite length. An empty sequence has length 0.
2. A sequence has an element corresponding to any non-negative integer index less than its length, starting from 0 for the first element.

### 2.3.1 Lists

```
>>> digits = [1, 8, 2, 8]
>>> len(digits)
4
>>> [2, 7] + digits * 2
[2, 7, 1, 8, 2, 8, 1, 8, 2, 8]
>>> pairs = [[10, 20], [30, 40]]
>>> pairs[1, 0]
30
```

### 2.3.2 Sequence Iteration

- In many cases, we would like to iterate over the elements of a sequence and perform some computation for each element in turn. This pattern is so common that Python has an additional control statement to process sequential data: the for loop.
- A for statement consists of a single clause with the form:  
for <name> in <expression>:  
    <suite>

And a for statement is executed by the following procedure:

1. Evaluate the header <expression>, which must yield an iterable value.
2. For each element value in that iterable value, in order:
  1. Bind <name> to that value in the current frame
  2. Execute the <suite>

- A common pattern in programs is to have a sequence of elements that are themselves sequences, but all of a fixed length. A for statement may include multiple names in its header to “unpack” each element sequence into its respective elements. Such pattern of binding multiple names to multiple values in a fixed-length sequence is called **sequence unpacking**.

```
>>> pairs = [[1, 2], [2, 2], [2, 3], [3, 4]]
>>> same = False
>>> for x, y in pairs:
>>>     if x == y:
>>>         same = True
>>> same
True
```

- A range is another built-in type of sequence in Python, which represents a range of integers. range takes in two integer arguments: the first number and one beyond the last number in the desired range. If only one argument is given, it is interpreted as one beyond the last value for a range that starts at 0.
- A common convention is to use a single underscore character for the name in the for header if the name is unused in the suite. This underscore is just another name in the environment as far as the interpreter is concerned, but has a conventional meaning among programmers that indicates the name will not appear in any future expressions.

### 2.3.3 Sequence Processing



- Many sequence processing operations can be expressed by evaluating a fixed expressions for each element in a sequence and collecting the resulting values in a result sequence. In Python, a **list comprehension** is an expression that performs such a computation.

```
>>> odds = [1, 3, 5, 7, 9]
```

```
>>> [x+1 for x in odds]
```

```
[2, 4, 6, 8, 10]
```

Notice the above for keyword is contained within square brackets, therefore, it is not part of a for statement, but instead part of a list comprehension. The subexpression `x+1` is evaluated with `x` bound to each element of `odds` in turn, and the resulting value are collected into a list.

- List comprehension can also help to select a subset of values that satisfy some condition.

```
>>> [x for x in odds if 25 % x == 0]
```

```
[1, 5]
```

The general form of a list comprehension is

```
[<map expression> for <name> in <sequence expression> if <filter expression>]
```

To evaluate a list comprehension, Python evaluates the `<sequence expression>`, which must return an iterable value. Then, for each element in order, the element value is bound to `<name>`, the filter expression is evaluated, and if it yields a true value, the map expression is evaluated. The values of the map expression are collected into a list.

- A third common pattern in sequence processing is to aggregate all values in a sequence into a single value. The built-in functions `sum`, `min`, and `max` are all examples.
- The common patterns we have observed in sequence processing can be expressed using higher-order functions.

#### 2.3.4 Sequence Abstraction

- A value can be tested for membership in a sequence. Python has two operators `[in]` and `[not in]` that evaluates to True or False depending on whether an element appears in a sequence.

```
>>> digits
```

```
[1, 8, 2, 8]
```

```
>>> 2 in digits
```

```
True
```

- Sequence contain smaller sequences within them. A slice of a sequence is any contiguous span of the original sequence, designated by a pair of integers. As with the range constructor, the first integer indicates the starting index of the slice and the second indicates one beyond the ending index. In Python, sequence slicing is expressed similarly to element selection, using square brackets. A colon separates the starting and ending indices. Any bound that is omitted is assumed to be an extreme value: 0 for the starting index, and the length of the sequence for the ending index.

```
>>> digits[0:2]
```

```
[1, 8]
```

```
>>> digits[1:]
```

```
[8, 2, 8]
```

#### 2.3.5 Strings

- The native data type for text in Python is called a string, and corresponds to the constructor `str`.
- String literals can express arbitrary text, surrounded by either single or double quotation marks (If you use double quotes, then you can use single quotes inside of it. Otherwise you cannot.).



- The elements of a string are themselves strings that have only a single character. A character is any single letter of the alphabet, punctuation mark, or other symbol. Unlike many other programming languages, Python does not have a separate character type.
- Like lists, strings can also be combined via addition and multiplication.

```
>>> 'Berkeley' + ', CA'
```

```
'Berkeley, CA'
```

```
>>> 'Shabu' * 2
```

```
'Shabu Shabu'
```

- The membership operator [in] applies to strings, but has an entirely different behavior than when it is applied to sequences. It matches substrings rather than elements.

```
>>> 'here' in 'Where's she'
```

```
True
```

- Strings are not limited to a single line. Triple quotes delimit string literals that span multiple lines. '\n' is a single element that represents a new line, and is considered a single character for the purpose of length and element selection.
- A string can be created from any object in Python by calling the str constructor function with an object value as its argument

### 2.3.6 Trees

- Our ability to use lists as the elements of other lists provides a new means of combination in our programming language. The ability is called a **closure property** of a data type. In general, a method for combining data values has closure property if the result of combination can itself be combined using the same method. Closure is the key to power in any means of combination because it permits hierarchical structures.
- Nesting lists within lists can introduce complexity. The **tree** is a fundamental data abstraction that imposes regularity on how hierarchical values are structured and manipulated. A tree has a root label and a sequence of branches. Each branch of a tree is a tree. A tree with no branches is called a leaf. Any tree contained within a tree is called a sub-tree of that tree. The root of each sub-tree is called a node in that tree. A tree is well-formed only if it has a root label and all branches are also trees.

### 2.3.7 Linked Lists

- A common representation of a sequence constructed from nested pairs is called a **linked list**.
- Linked lists have recursive structure: the rest of a linked list or 'empty'.

## 2.4 Mutable Data

- We need strategies to help us structure large systems to be modular, meaning that they divide naturally into coherent parts that can be separately developed and maintained. One powerful technique for creating modular programs is to incorporate data that may change state over time. In this way, a single data object can represent something that evolves independently of the rest of the program. Adding state to data is a central ingredient of a paradigm called **object-oriented programming**.

### 2.4.1 The Object Metaphor

- Objects combine data values with behavior. Objects are both information and processes, bundled together to represent the properties, interactions, and behaviors of complex things.

```
>>> from datetime import date
```



The name [date] is bound to a class. As we have seen, a class represents a kind of value. Individual dates are called instances of that class. Instances are constructed by calling the class on arguments that characterize the instance.

- Objects have attributes, which are named values that are part of the object. In Python, like many other programming languages, we use dot notation to designate an attribute of an object.

`<expression>.<name>`

The `<expression>` evaluates to an object, and `<name>` is the name of an attribute for that object. These attributes are not available in the general environment.

- Objects also have methods, which are function-valued attributes. By implementation, methods are functions that compute their values from both their arguments and their object. The expression for attribute also applies to methods.

- All values in Python are objects.

#### 2.4.2 Sequence Objects

- Instances of primitive built-in values such as numbers are immutable. The values themselves cannot change over the course of program execution. Lists on the other hand are mutable.
- Mutable objects are used to represent values that change over time. An object may have changing properties due to mutating operations. Most changes are performed by invoking methods on list objects.
- Here are many list modification operations:

```
>>> chinese = ['coin', 'string', 'myriad']
>>> suits = chinese
>>> suits.pop()
'myriad' #remove and return the final element
>>> suits.remove('string') #remove the first element that equals the argument
>>> suits.append('cup') #add an element to the end
>>> suits.extend(['sword', 'club']) #add all elements of a sequence to the end
>>> suits[2] = 'spade' #replace an element
>>> suits[0:2] = ['heart', 'diamond'] #replace a slice
>>> suits
['heart', 'diamond', 'spade', 'club']
```

Note all of these mutation operations change the value of the list; they do not create new list objects. Since the object bound to the name `chinese` has also changed, because it is the same list object that was bound to `suits`! This behavior is different from what we have learned from primitive data types. With mutable data, methods called on one name can affect another name at the same time.

- Lists can be copied using the `[list]` constructor function. Changes to one list do not affect another, unless they share structure.

```
>>> new = list(suits)
```

Note that we can use slicing to shallow copy lists, but actually the mechanism is: for lists or something that we must store in memory (not other primitive data types like ints), we copied the references to them instead of the items themselves. Therefore, I can say that both elements [is] the same thing, but modifying one element means changing that reference without change the item, and the other will not be affected.

```
>>> suits.insert(2, 'Joker') #insert an element at index 2, shifting the rest
```



- Because two lists may have the same contents but in fact be different lists, we require a means to test whether two objects are the same. Python includes two comparison operators, called [is] and [is not], that test whether two expressions in fact evaluate to the identical object. Two objects are identical if they are equal in their current value, and any change to one will always be reflected in the other. Identity is a stronger condition than equality.

```
>>> suits is ['heart', 'diamond', 'spade', 'club'] #check for identity
```

```
False
```

```
>>> suits == ['heart', 'diamond', 'spade', 'club'] #check for equality
```

```
True
```

- A list comprehension always creates a new list.
- It is very dangerous to use a mutable data type as the default value for a function argument (list lists). It is because in the function definition of Python, all default values for function arguments are only computed once, instead of computing each time the function is called. Therefore, every time the function is called, its default value will be changed (since it is mutable).
- A tuple, an instance of the built-in tuple type, is an immutable sequence. Tuples are created using a tuple literal that separates element expressions by commas. Parentheses are optional but used commonly in practice. Any objects can be placed into tuples.

```
>>> 1, 2 + 3
```

```
(1, 5)
```

There are also empty and one-element tuples which has special syntax.

```
>>> ()
```

```
()
```

```
>>> tuple()
```

```
()
```

```
>>> (10, )
```

```
(10, )
```

Here are some methods of tuples which are shared between it and lists: in & not in; mul and add; len; []; slice

```
>>> code = (1, 1, 2, 2, 3, 4, 3, 4)
```

```
>>> code.count(2)
```

```
2
```

```
>>> code.index(3)
```

```
4
```

But note that the methods for manipulating the contents of a list are not available for tuples, because they are immutable. It is possible to change the value of a mutable element contained within a tuple.

```
>>> nested = (10, 20, [30, 40])
```

```
>>> nested[2].pop()
```

Note that you can change the elements inside of a mutable element, but you cannot change the whole element itself, for it is part of the immutable tuple.

```
>>> lst = [1, 2, [0]]
```

```
>>> lst[2] = [1]
```



Error

Tuples are often used implicitly in multiple assignment. An assignment of two values to two names creates a two-element tuple and then unpacks it.

### 2.4.3 Dictionaries

- Dictionaries are Python's built-in data type for storing and manipulating correspondence relationships. A dictionary contains key-value pairs, where both the keys and values are objects. The purpose of a dictionary is to provide an abstraction for storing and retrieving values that are indexed not by consecutive integers, but by descriptive keys.

- Look up values:

```
>>> numerals = {'i': 1.0, 'v': 5, 'x': 10}
```

```
>>> numerals['x']
```

```
10
```

- A dictionary can have at most one value for each key. Adding new key-value pairs and changing the existing value for a key can both be achieved with assignment statements:

```
>>> numerals['i'] = 1
```

```
>>> numerals['i'] = 50
```

Note that the newly added key-values pair is not added to the end of the dictionary, because dictionaries are unordered collections of key-value pairs. When we print a dictionary, the keys are values are rendered in some order, but as users of the language we cannot predict what the order will be. The order may change when running a program multiple times.

- The methods `keys`, `values` and `items` all return iterable values.
- A list of key-value pairs can be converted into a dictionary by calling the `[dict]` constructor.
- Dictionaries have some restrictions:

1. A key of a dictionary cannot be or contain mutable value.
2. There can be at most one value for a given key.

According to the first restriction, tuples are often used as keys in dictionaries instead of lists. On the other hand, a list not allowed be used as keys for dictionaries (unhashable list). Tuples can contain anything, including lists; but those containing a list as an element cannot be used as keys.

- A useful method implemented by dictionaries is `[get]`, which returns either the value for a key, if the key is present or a default value. The arguments to `get` are the key and the default value.

```
>>> numerals.get('a', 0)
```

```
0
```

```
>>> numerals.get('v', 5)
```

```
5
```

- We can also remove one key-value pair using `key`

```
>>> numerals.pop('v')
```

```
5
```

- Dictionaries have a comprehension syntax analogous to those of lists. A key expression and a value expression are separated by a colon. Evaluating a dictionary comprehension creates a new dictionary object.

```
>>> [x: x * x for x in range(3, 6)]
```

```
[3 : 9; 4 : 16; 5 : 25]
```

### 2.4.4 Local State

- Lists and dictionaries have **local state**: they are changing values that have some particular



contents at any point in the execution of a program. The word “state” implies an evolving process in which that state may change.

- Functions can also have local state.

nonlocal balance

The nonlocal statement declares that whenever we change the binding of the name balance, the binding is changed in the first frame in which balance is already bound. If balance has not previously been bound to a value, then the nonlocal statement will give an error.

- Ever since we first encountered nested def statements, we have observed that a locally defined function can look up names outside of its local frames. No nonlocal statement is required to access a non-local name. By contrast, only after a nonlocal statement can a function change the binding of names in these frames.
- Note that in our example, balance is bound to an immutable value (an integer). If we bound a temporary variable to a mutable value, like a list, we can refer to or modify the value of elements inside of it in the local frame, without defining non-local.

#### 2.4.5 The Benefits of Non-Local Assignment

- Non-local assignment is an important step on our path to viewing a program as a collection of independent and autonomous objects, which interact with each other but each manage their own internal state. In particular, non-local assignment has given us the ability to maintain some state that is local to a function, but evolves over successive calls to that function.

#### 2.4.6 The Cost of Non-Local Assignment

- When two names are both bound to a function, it does matter whether they are bound to the same function, or different instances of that function. By introducing non-pure functions that change the non-local environment, we have changed the nature of expressions. An expression that contains only pure function calls is referentially transparent: its value does not change if we substitute one of its subexpression with the value of that subexpression. Re-binding operations violate the conditions of referential transparency because they do more than return a value.

#### 2.4.7 Implementing Lists and Dictionaries

- None is None, but [] is not [] (they are equal, but not identical).
- We design our mutable linked list function as a dispatch function, and its arguments are first a message, followed by additional arguments to parameterize that method. This message is a string naming what the function should do. Dispatch functions are effectively many functions in one: the message determines the behavior of the function, and the additional arguments are used in that behavior.
- The approach, which encapsulates the logic for all operations on a data value within one function that responds to different messages, is a discipline called message passing. A program that uses message passing defines dispatch functions, each of which may have local state, and organizes computation by passing “messages” as the first argument to those functions. The messages are strings that correspond to particular behaviors.

#### 2.4.8 Dispatch Dictionaries

#### 2.4.9 Propagating Constraints

- Declarative programming: in which a programmer declares the structure of a program to be solved, but abstracts away the details of exactly how the solution to the problem is computed.
- Computer programs are traditionally organized as one-directional computations, which perform operations on pre-specified arguments to produce desired outputs. On the other hand, we often



want to model systems in terms of relations among quantities. Such a model is not one-directional.

- We can use a message passing system to coordinate constraints and connectors. Constraints are dictionaries that do not hold local states themselves. Their responses to messages are non-pure functions that change the connectors that they constrain. Connectors are dictionaries that hold a current value and respond to messages that manipulate that value. Constraints will not change the value of connectors directly, but will do so by sending messages, so that the connector can notify other constraints in response to the change. In this way, a connector represents a number, but also encapsulates connector behavior.

## 2.5 Object-Oriented Programming

### 2.5.1 Objects and Classes

- A class serves as a template for all objects whose type is that class. Every object is an instance of some particular class. The objects we have used so far all have built-in classes, but new user-defined classes can be created as well. A class definition specifies the attributes and methods shared among objects of that class.
- The act of creating a new object instance is known as **instantiating the class**. The syntax in Python for instantiating a class is identical to the syntax of calling a function.

```
>>> a = Account('Kirk')
```

- An attribute of an object is a name-value pair associated with the object, which is accessible via dot notation. The attribute specific to a particular object, as opposed to all objects of a class, are called **instance attributes**.
- Functions that operate on the object or perform object-specific computations are called methods.

### 2.5.2 Defining Classes

- User-defined classes are created by class statements, which consist of a single clause. A class statement defines the class name, then includes a suite of statement to define the attributes of the class.

```
class <name>:  
    <suite>
```

- An example:

```
class Account:  
    def __init__(self, account_holder): #constructor  
        self.balance = 0  
        self.holder = account_holder
```

The `__init__` method has two formal parameters. The first one, `self`, is bound to the newly created object. The second one, is bound to the argument passed into the class when it is called to be instantiated.

- Each new object instance has its own attribute, the value of which is independent of other objects of the same class. To enforce this separation, every object that is an instance of a user-defined class has a unique **identity**. Object identity is compared using `is` and `is not` operators. As usual, binding an object to a new name using assignment does not create a new object.

```
>>> c = a  
>>> c is a  
True
```



New objects that have user-defined classes are only created when a class is instantiated with call expression syntax.

### 2.5.3 Message Passing and Dot Expressions

- The built-in function [getattr] returns an attribute for an object by name.

```
>>> getattr(spock_account, 'balance')
```

```
10
```

(getattr and dot expressions look up a name in the same way)

- The built-in function [hasattr] checks whether an object has a named attribute.

```
>>> hasattr(spock_account, 'balance')
```

```
True
```

- The difference between functions and bound methods:

1. Functions, which we have been creating since the beginning of the course.
2. Bound methods, which couple together a function and the object on which that method will be invoked. (Object + Function = Bound Method)

```
>>> type(Account.deposit)
```

```
<class 'function'>
```

```
>>> type(spock_account.deposit)
```

```
<class 'method'>
```

The first is a standard two-argument function with parameters self and amount. The second is a one-argument method, where the name self will be bound to the object when the method is called.

We can call deposit in two ways:

```
>>> Account.deposit(sa, 100) #the deposit function takes two arguments
```

```
>>> sa.deposit(100) #the deposit function take one argument
```

- Class names are conventionally written using the CapWords convention

### 2.5.4 Class Attributes

- Some attribute values are shared across all objects of a given class. Such attributes are associated with the class itself, rather than any individual instance of the class. Class attributes are created by assignment statements in the suite of a class statement, outside of any method definition (they may also be called class variables or static variables.)

```
class Account:
```

```
    interest = 0.02
```

```
    def __init__(self, account_holder): #constructor
```

```
        self.balance = 0
```

```
        self.holder = account_holder
```

A single assignment statement to a class attribute changes the value of the attribute for all instances of the class. However, it is not vice versa.

```
>>> Account.interest = 0.04
```

```
>>> account.interest
```

```
0.04
```

```
>>> account.interest = 0.08
```

```
>>> Account.interest
```

```
0.04
```

- Dot expression consists of an expression, a dot, and a name:

```
<expression>.<name>
```



To evaluate a dot expression:

1. evaluate the <expression> to the left of the dot, which yields the object of the dot expression
  2. <name> is matched against the instance attributes of the object; if an attribute with that name exists, its value is returned
  3. if <name> does not appear among instance attributes, then <name> is looked up in the class, which yields a class attribute value.
  4. that value is returned unless it is a function, in which case a bound method is returned instead.
- Objects receive messages via dot notation.

```
tom_account.deposit(10)
```

[\_\_Dot expression\_\_]

[\_\_Call expression\_\_]

#### 2.5.5 Inheritance

- Two classes may have similar attributes, but one represents a special case of the other. B is a specialization of A. In OOP terminology, the generic class (A) will serve as the base class of B. The terms parent class and superclass are also used for the base class, while child class is also used for the subclass.
- A subclass inherits the attributes of its base class, but may override certain attributes, including certain methods. With inheritance, we only specify what is different between the subclass and the base class. Anything that we leave unspecified in the subclass is automatically assumed to behave just as it would for the base class.
- is-a and has-a relationship

#### 2.5.6 Using Inheritance

- The implementation of B:

```
>>> class Account:
```

```
.....
```

```
>>> class CheckingAccount(Account):
```

```
.....
```

- We can define the procedure to look up a name in a class recursively:
  1. If it names an attribute in the class, return the attribute value.
  2. Otherwise, look up the name in the base class, if there is one.
- Attributes that have been overridden are still accessible via class objects.

```
>>> class CheckingAccount(Account):
```

```
    withdraw_charge = 1
```

```
    interest = 0.01
```

```
    def withdraw(self, amount):
```

```
        return Account.withdraw(self, amount + self.withdraw_charge)
```

#### 2.5.7 Multiple Inheritance

- Python supports the concept of a subclass inheriting attributes from multiple base cases, a language feature called multiple inheritance.

```
>>> class SavingsAccount(Account):
```

```
.....
```

```
>>> class SpecialAccount(CheckingAccount, SavingsAccount):
```

```
.....
```

- For a diamond shape inheritance relationship, Python resolves names from left to right, then



upwards. This is vital when it comes to find names for ambiguous references.

## 2.5.8 The Role of Objects

### 2.9 Recursive Objects

- Objects can have other objects as attribute values. When an object of some class has an attribute value of that same class, it is a recursive object.

#### 2.9.1 Linked List Class

- A linked list is composed of a first element and the rest of the list. The rest of a linked list is itself a linked list – a recursive definition. The empty list is a special kind of linked list that has no first element or rest. A linked list is a sequence: it has a finite length and supports element selection by index.
- The link class has the closure property. A link can contain a link as its first element.
- Recursive functions are particularly well-suited to manipulate linked lists.

#### 2.9.2 Tree Class

#### 2.9.3 Sets

- In sets, duplicate elements are removed upon construction. Sets are unordered collection.

```
>>> s = {3, 2, 1, 4, 4}
```

```
>>> s
```

```
{1, 2, 3, 4}
```

- Common built-in functions for sets:

```
>>> 3 in s #membership tests
```

```
True
```

```
>>> len(s) #length computation
```

```
4
```

```
>>> s.union({1, 5}) #set union
```

```
{1, 2, 3, 4, 5}
```

```
>>> s.intersection({6, 5, 4, 3})
```

```
{3, 4}
```

- Sets are mutable, and can be changed one element at a time using add, remove, discard, and pop.

### 2.7 Object Abstraction

- A central concept in object abstraction is a **generic function**, which is a function that can accept values of multiple different types. We will consider three different techniques for implementing **generic functions: shared interfaces, type dispatching, and type coercion.**

#### 2.7.1 String Conversion

- To represent data effectively, an object should behave like the kind of data it is meant to represent, including producing a string representation of itself.
- Python stipulates that all objects should produce two different string representations: one that is human-interpretable text and one that is a Python-interpretable expression. **The constructor function for strings, [str], returns a human-readable string. Where possible, the [repr] function returns a Python expression that evaluates to an equal object.** The result of calling repr on the value of an expression is what Python prints in an interactive session. Oftentimes str and repr are the same functions.

```
>>> a
```



1

```
>>. print(repr(a))
```

1 #the two expressions are the same

- We would like them to be generic or polymorphic functions, which can be applied to many different forms of data. The object system provides an elegant solution in this case: the `repr` function always invokes a method called `__repr__` on its argument. (the same, `str` -> `__str__`)
- By implementing this same method in user-defined classes, we can extend the applicability of `repr` to any class we create in the future. This example highlights another benefit of dot expressions in general: they provide a mechanism for extending the domain of existing functions to new object types.
- These polymorphic functions are examples of a more general principle: certain functions should apply to multiple data types. Moreover, one way to create such a function is to use a shared attribute name with a different definition in each class.

### 2.7.2 Special Methods

- In Python, certain special names are invoked by the Python interpreter in special circumstances. For instance, the `__init__` method of a class is automatically invoked whenever an object is constructed. The `__str__` method is invoked automatically when printing, and `__repr__` is invoked in an interactive session to display values.
- True and False values -> `__bool__` method
- sequence operations -> `__len__` and `__getitem__` methods
- In Python, functions are first-class objects, so they can be passed around as data and have attributes like any other object. Python also allows us to define objects that can be "called" like functions by including a `__call__` method. With this method, we can define a class that behaves like a higher-order function.
- Certain names are special because they have built-in behavior. These names always start and end with two underscores.

`__init__` -> Method invoked automatically when an object is constructed.

`__repr__` -> Method invoked to display an object as a Python expression.

`__add__` -> Method invoked to add one object to another.

`__bool__` -> Method invoked to convert an object to true or False.

`__float__` -> Method invoked to convert an object to a float / real number.

Special methods can also define the behavior of above built-in operators when they are applied to user-defined objects.

### 2.7.3 Multiple Representations

- Object attributes, which are a form of message passing, allows different data types to respond to the same message in different ways. A shared set of messages that elicit similar behavior from different classes is a powerful method of abstraction. An **interface** is a set of shared attribute names, along with a specification of their behavior.
- The requirement that two or more attribute values maintain a fixed relationship with each other is a new problem. One solution is to store attribute values for only one representation and compute the other representation whenever it is needed. Python has a simple feature for computing attributes on the fly from zero-argument functions. The **@property** decorator allows functions to be called without call expression syntax (parentheses following an expression).

@property



```
def magnitude(self):
```

```
.....
```

- The interface approach to encoding multiple representations has appealing properties. The class for each representation can be developed separately; they must only agree on the names of the attributes they share, as well as many behavior conditions for those attributes. The interface is also additive: if another programmer wanted to add a third representation of complex numbers to the same program, they would have to create another class with the same attributes.

#### 2.7.4 Generic Functions

- One way to implement cross-type operations is to select behavior based on the types of the arguments to a function or method. The idea of **type dispatching** is to write functions that inspect the type of arguments they receive.
- We use the type-tag attribute to distinguish types of arguments. One could directly use the built-in `isinstance` method as well, but tags simplify the implementation.
- Often the different data types are not completely independent, and there may be ways by which objects of one type may be viewed as being of another type. This process is called **coercion**. In general, we can implement this idea by designing coercion functions that transform an object of one type into an equivalent object of another type.
- Further advantages come from extending coercion. Some more sophisticated coercion schemes do not just try to coerce one type into another, but instead may try to coerce two different types each into a third common type. Another extension to coercion is iterative coercion, in which one data type is coerced into another via intermediate types. Chaining coercion in this way can reduce the total number of coercion functions that are required by a program.



### [3] Interpreting Computer Programs

#### 3.1 Introduction

- A Python program is just a collection of text. Only through the process of interpretation do we perform any meaningful computation based on that text. A programming language like Python is useful because we can define an interpreter, a program that carries out Python's evaluation and execution procedures. It is no exaggeration to regard this as the most fundamental idea in programming, that an interpreter, which determines the meaning of expressions in a programming language, is just another program.

##### 3.1.1 Programming Languages

- Powerful languages exist that do not include an object system, higher-order functions, assignment, or even control constructs such as while and for statements. An example is Scheme.
- Interpreters are programs that can carry out any possible computation, depending on their input. However, many interpreters have an elegant common structure: two mutually recursive functions. the first evaluate expressions in environments; the second applies functions to arguments. These functions are recursive in that they are defined in terms of each other: applying a function require evaluating the expressions in its body, while evaluating an expression may involve applying one or more functions.

#### 3.2 Functional Programming

- Our object of study, a subset of the Scheme language, employs a very similar model of computation to Python's, but uses only expressions (no statements), specializes in symbolic computation, and employs only immutable values.

##### 3.2.1 Expressions

- Scheme programs consist of expressions, which are either call expressions or special forms. A call expression consists of an operator expression followed by zero or more operand sub-expressions, as in Python. Both the operator and operand are contained within parentheses.

(quotient 10 2)

5

- Scheme exclusively uses prefix notation. Operators are often symbols, such as + and \*. Call expressions can be nested, and they may span more than one line (space doesn't matter).
- The general form of an [if] expression is:

(if <predicate> <consequent> <alternative>)

To evaluate an if expression, the interpreter starts by evaluating the <predicate> part of the expression. If the <predicate> evaluates to a true value, the interpreter then evaluates the <consequent> and returns its value. Otherwise it evaluates the <alternative> and returns its values.

(>= 2 1)

true

- The boolean values #t (or true) and #f (or false) in Scheme also can be connected by and, or, not.

##### 3.2.2 Definitions

- Values can be named using the [define] special form.

(define pi 3.14)

- New functions, called procedures in Scheme, can be defined using a second version of the define special form.



```
(define (square x) (* x x))
```

The general form of a procedure definition is:

```
(define (<name> <formal parameters>) <body>)
```

- Anonymous functions are created using the lambda special form. Lambda is used to create procedure in the same way as define, except that no name is specified for the procedure.

```
(lambda (<formal-parameters>) <body>)
```

### 3.2.3 Compound Values

- Pairs are built into the Scheme language. Pairs are created with the `cons` built-in function, and the elements of a pair are accessed with `car` (for the first element) and `cdr` (for the rest) (note that the rest is a list containing all the rest elements, or a new list that excludes the first element of the original list).

! `cons` plays a different role as `list`: `cons` connects element 1 to element 2, or adding element 1 to the front of element2; however, `list` creates a new list, with its first element pointing to element 1, second element pointing to element 2, etc (it can take infinite arguments).

- Recursive lists are also built into the language, using pairs. Every Scheme list is a linked list. A special value denoted `[nil]` or `[]` represents the empty list. A recursive list value is rendered by placing its elements within parentheses, separated by spaces.
- Whether a list is empty can be determined using the primitive `[null?]` predicate.

### 3.2.4 Symbolic Data

- In order to manipulate symbols we need a new element in our language: the ability to quote a data object. (`'a` is equal to `(quote a)`)

```
(define a 1)
```

```
(define b 2)
```

`(list a b)` #we can build lists with the built-in list procedure

```
(1 2)
```

```
(list 'a 'b)
```

```
(a b)
```

`'(1 c)` # same as `(list 1 'c)`

```
(1 c)
```

```
(list 1 c)
```

Error: unknown symbol c

In Scheme, any expression that is not evaluated is said to be quoted.

- Quotation also allows us to use combinations to form lists.

```
(car '(a b c))
```

```
a
```

```
(cdr '(a b c))
```

```
(b c)
```

- We can also use comma to unquote:

```
(define b 2)
```

`'(a ,b c)` #we used quasi quote

`(a 2 c)` #note that this only works if we unquote something that has an value, otherwise Error



`'(a ,b c) #we used regular quote`

`(a (unquote b) c)`

### 3.2.5 Turtle Graphics

## 3.4 Interpreters for Language with Combination

### 3.4.1 A Scheme-Syntax Calculator

- The Calculator language is an expression language for the arithmetic operations of addition, subtraction, multiplication, and division.
- Subtraction (-) has two behaviors. With one argument, it negates the argument. With at least two arguments, it subtracts all but the first from the first. Division (/) has a similar pair of two behaviors: compute that multiplicative inverse of a single argument or divide all but the first into the first.

### 3.4.2 Expression Trees

- In order to write an interpreter, we must operate on expressions as data. A primitive expression is just a number or a string in Calculator: either an int or float or an operator symbol. A call expression is a Scheme list with a first element (the operator) followed by zero or more operand expressions.
- In Scheme, lists are nested pairs, but not all pairs are (well-formed) lists. A well-formed list is a pair whose second element is either a list or nil. We notate a pair that is not a well-formed list by adding a dot between any two of its elements. When pairs represent well-formed lists, they have the method [len] (in other words, if len is applied to pairs, it will cause Error).
- The Calculator language has primitive expressions and call expressions. A primitive expression is a number; a call expression is a combination that begins with an operator followed by one more expressions. Expressions are represented as Scheme lists that encode tree structures.
- The value of a Calculator expression is defined recursively. A primitive expression (number) is self-evaluating. And a call expression evaluates to its argument values combined by an operator.

### 3.4.3 Parsing Expressions

- Parsing is the process of generating expression trees from raw text. A parser is a composition of two components: a lexical analyzer and a syntactic analyzer. First, the lexical analyzer partitions the input string into tokens (white space is ignored), which are the minimal units of the language, such as names and symbols. Second, the syntactic analyzer constructs an expression tree from this sequence of tokens. Lexical analysis is an iterative process, and syntactic analysis is a tree-recursive process.
- A predictive recursive descent parser inspects only k tokens to decide how to proceed, for some fixed k. The Scheme language is exactly something can be parsed by it. Syntactic analysis identifies the hierarchical structure of an expression, which may be nested. Each call to `scheme_read` consumes the input tokens for exactly one expression. The base case is symbols and numbers. The recursive call is to call `scheme_read` on sub-expressions and combine them.

### 3.4.4 Calculator Evaluation

- The eval function computes the value of an expression, which is always a number. It is a generic function that dispatches on the type of the expression (primitive or call).
- The apply function applies some operation to a (Scheme) list of argument values. In Calculator, all operations are named by built-in operators: +, -, \*, /.
- The user interface for many programming languages is an interactive interpreter.



1. Print a prompt
  2. Read text input from the user
  3. Parse the text input into an expression
  4. Evaluate the expression
  5. If any errors occur, report those errors, otherwise
  6. Print the value of the expression and repeat
- Exceptions are raised within lexical analysis, syntactic analysis, eval, and apply.
    1. Lexical analysis: the token 2.3.4 raises ValueError.
    2. Syntactic analysis: an extra ) raises SyntaxError.
    3. Eval: an empty combination () raises TypeError.
    4. Apply: no arguments to - raises TypeError.
  - A well-formed interactive interpreter should not halt completely on an error, so that the user has an opportunity to try again in the current environment.

### 3.5 Interpreters for Languages with Abstraction

#### 3.5.1 Structure

- Eval:
  - Base cases:
    1. Primitive values (numbers)
    2. Look up values bound to symbols
  - Recursive calls:
    1. Eval (operator, operands) of call expressions
    2. apply (procedure, arguments)
    3. eval (sub-expressions) of special forms
- Apply:
  - Base cases:
    1. Built-in primitive procedures
  - recursive calls:
    2. Eval (body) of user-defined procedures
- The scheme\_eval function dispatches on expression form:
  1. Symbols are bound to values in the current environment.
  2. Self-evaluating expressions are returned.
  3. All other legal expressions are represented as Scheme lists, called combinations. (Examples: if, lambda, define: special forms are identified by the first list element.)

#### 3.5.2 Environments

- The above structure of an interpreter requires an environment for symbol lookup. It creates a new environment each time a user-defined procedure is applied. And that environment will be passed into eval as an argument so that when we look up a name, we get the right value for it.
- A frame represents an environment by having a parent frame. Frames are Python instances methods lookup and define. In Scheme, frames do not hold return values. The frame without any parent is the global frame.
- Define binds a symbol to a value in the first frame of the current environment.
 

(define <name> <expression>)

  1. Evaluate the <expression>
  2. Bind <name> to its value in the current frame



- To apply a user-defined procedure, create a new frame in which formal parameters are bound to argument values, whose parent is the env (an attribute of the procedure object that remembers the environment in which the procedure was originally defined) of the procedure. Evaluate the body of the procedure in the environment that starts with this new frame.
- The way in which names are looked up in Scheme and Python is called lexical scope or static scope.
  1. Lexical scope: the parent of a frame is the environment in which a procedure was defined.
  2. Dynamic scope: the parent of a frame is the environment in which a procedure was called.

### 3.5.3 Data As Programs

- Logical forms may only evaluate some sub-expressions, like if, and, or, cond and etc.
- The quote special form evaluates to the quoted expression, which is not evaluated.
- Lambda expressions evaluate to user-defined procedures.
- **Functional programming** is the idea that you can organize the entire program according to pure functions, which are modular and can be combined in interesting ways, and also have other advantages. It has such properties:

1. All functions are pure functions.
2. No re-assignment and no mutable data types.
3. Name-value bindings are permanent.

It therefore has such advantages:

1. The value of an expression is independent of the order in which sub-expressions are evaluated.
2. Sub-expressions can safely be evaluated in parallel or on demand (lazily).
3. The value of an expression does not change when we substitute one of its subexpression with the value of that subexpression (referential transparency).

- A procedure call that not yet returned is active. Some procedure calls are tail calls. A Scheme interpreter should support an unbounded number of active tail calls using only a constant amount of space. And it's going to do that by skipping over all those extra frames.

- A **tail call** is a call expression in a **tail context**:

1. the last body sub-expression in a [lambda] expression
2. sub-expression 2 & 3 in a tail context [if] expression
3. all no-predicate sub-expression in a tail context [cond]
4. the last sub-expression in a tail context [and] or [or]
5. the last expression in a tail context [begin]

- A call expression is not a tail call if more computation is still required in the calling procedure. (the whole expression, i.e., the call expression combined with the computation is a tail call, while the call expression itself is not) Linear recursive procedures can often be re-written to use tail calls.

- Scheme programs consist of expressions, which can be:

1. Primitive expressions
2. Combinations

The built-in Scheme list data structure (which is a linked list) can represent combinations.

- A **macro** is an operation performed on the source code of a program before evaluation. Macros exist in many languages, but are easiest to define correctly in a language like Lisp, where programs are just data.
- Scheme has a define-macro special form that allows you to define a source code transformation.



- Evaluation procedure of a macro call expression:
  1. Evaluate the operator sub-expression, which evaluates to a macro.
  2. Call the macro procedure on the operand expressions **without evaluating them first**.
  3. Evaluate the expression returned from the macro procedure.

#### [Streams]

- A **stream** is a list, but the rest of the list is computed only when needed (when cdr is applied). Therefore, errors only occur when expressions are evaluated.

(cons-stream 1 (cons-stream (/ 1 0) nil)) -> no error

the upper expression is stored as (1 . #promise (not forced)), where promise is a scheme way of representing a value that could be computed if that were required, but for now hasn't been computed yet.

(cdr (cons-stream 1 (cons-stream (/ 1 0) nil))) -> error

- Stream ranges are implicit: a stream can give on-demand access to each element in order. (Many efforts might be required to build a list, but they won't happen in one step).
- An **integer stream** is a stream of **consecutive** integers. The rest of the stream is not yet computed when the stream is created. to build a stream, you have to say how you will compute the rest of the stream, using an expression, but the computation is not carried out.
- The rest of a constant stream is just the constant stream.
- For higher-order functions on streams, implementations are identical, but change cons to cons-stream, and change cdr to cdr-stream.

#### [4]

##### 4.3 Declarative Programming

- In addition to streams, data values are often stored in large repositories called **databases**. A database consists of a data store containing the data values with an interface for retrieving and transforming those values. Each value stored in a database is called a record. Records with similar structures are grouped into **tables**. Records are retrieved and transformed using queries, which are statements in a query language. By far the most ubiquitous query language is called Structural Query Language (SQL, pronounced as sequel).
- SQL is an example of a **declarative programming language**, which do not describe computations directly, but instead describe the desired result of some computation. It is the role of the query interpreter of the database system to design and perform a computational process to produce such a result. In contrast, an imperative language, like Python and Scheme, describes the computational process. The job of interpreter is to carry out the execution and evaluation rules. Therefore, there is more flexibility in declarative language.

##### 4.3.1 Tables

- A table, also called a relation, has a fixed number of named and typed columns. Each row of a table represents a data record and has value for each column.
- A table with a single row can be created in the SQL language using a **[select]** statement, in which the row values are represented by commas and the column names follow the keyword "as". All SQL statements end in a semicolon.

```
> select 28 as latitude, 122 as longitude, "Berkeley" as name;
38|122|Berkeley
```



- A multi-line table can be constructed by [union], which combines the rows of two tables. The column names of the left table are used in the constructed table. Spacing within a line does not affect the result.

```
> select 38 as latitude, 122 as longitude, "Berkeley" as name union
> select 42,          71,          "Cambridge"      union
> select 45,          93,          "Minneapolis";
38|122|Berkeley
42|71|Cambridge
45|93|Minneapolis
```

- A table can be given a name using a [create table] statement. While this statement can also be used to create empty tables, we will focus on the form that gives a name to an existing table defined by select statement.

```
create table [name] as [select statement]
```

```
> create table cities as
```

```
    select 38 as latitude, 122 as longitude, "Berkeley" as name union
    select 42,          71,          "Cambridge"      union
    select 45,          93,          "Minneapolis";
```

```
CREATE TABLE IF NOT EXISTS TABLE-NAME; #the table will only be created if it doesn't exist
```

```
CREATE TABLE numbers (n, note);
```

```
CREATE TABLE numbers (n UNIQUE, note); #every n should be unique
```

```
CREATE TABLE numbers (n, note DEFAULT "No comment"); #no note could be empty
```

- A table's name can be forgotten by using [drop table].

```
DROP TABLE IF EXISTS NAME;
```

- Creating an empty table is only useful when you are able to modify it. Any table can be modified by inserting, deleting, or changing the rows.

1.insert

```
INSERT INTO t(column) VALUES (value);
```

```
INSERT INTO t VALUES (values0, values1, ...);
```

2.update

```
UPDATE SET COLUMN-NAME=(expr1) WHERE (expr2); #the table still exists!
```

3.delete

```
DELETE FROM TABLE-NAME WHERE expr;
```

- Once a table is named, that name can be used in a from clause within a select statement. all columns of a table can be displayed using the special [select \*] form.

```
> select * from cities;
```

```
38|122|Berkeley
42|71|Cambridge
45|93|Minneapolis
```

#### 4.3.2 Select Statements

- A [select] statement defines a new table either by listing the values in a single row, or, more commonly, by projecting an existing table using a [from] clause. Note that the result of a select statement is displayed to the user, but not stored.

```
select [column description] from [existing table name]
```

```
> select name, 60*abs(latitude - 38) from cities
```



- In a select expression, column names evaluate to row values (see the above example). Arithmetic expressions can combine row values and constants.
- > select chair, single + 2 \* couple as total from lift;
- Optionally, each statement can be followed by the keyword [as] and a column name. When the entire table is given a name, it is often helpful to give each column a name so that it can be referenced in future select statements. Columns described by a simple name are named automatically.
- > create table distances as
- ```
select name, 60*abs(latitude - 38) from cities;
```
- > select [expression] as [name], [expression] as [name] -> multiple columns
- A select statement can also include a [where] clause with a filtering expression. This expression filters the rows that are projected. Only a row for which the filtering expression evaluates to a true value will be used to produce a row in the resulting table.
- > create table cold as
- ```
select name from cities where latitude > 43;
```
- A select statement can also express an ordering over the resulting table. An order clause contains an ordering expression that is evaluated for each unfiltered row. The resulting values of this expression are used as a criterion for the result table.
- > select distance, name from distances order by -distance.

#### 4.3.3 Joins

- Data are combined multiple tables together into one, a fundamental operation in database systems. When tables are joined, the resulting table contains a new row for each combination of rows in the input tables. (we only consider the case: left m rows \* right n rows -> m\*n rows)
- > select \* from cities, temps;
- Joins are typically accompanied by a where clause that expresses a relationship between two tables.
- > select name, latitude, temp from cities, temps where name = city.
- Here the city name is stored in cities as name, and in temps as city.
- Tables may have overlapping column names, and so we need a method for disambiguating column names by table. A table may also be joined with itself, and so we need a method for disambiguating tables. To do so, SQL allows us to give aliases to tables within a from clause using the keyword [as] and to refer to a column within a particular table using a dot expression.
- > select a.child as first, b.child as second
- ```
from parents as a, parents as b
where a.parent = b.parent and a.child < b.child;
```

#### 4.3.4 Interpreting SQL

- Expressions can contain function calls and arithmetic operators.
- Combine values: +, -, \*, /, %, and, or
- Transform values: abs, round, not, - (negate a number)
- Compare values: <, <=, >, >=, <> (also not equal), !=, =

#### 4.3.5 Recursive Select Statements

- Select statements can optionally include a [with] clause that generates and names additional tables used in computing the final result. The full syntax of a select statement, not including unions, has the following form:



with [tables] select [columns] from [names] where [condition] order by [order]  
[condition] and [order] are expressions that can be evaluated for an input row. The [tables] portion is a comma-separated list of table descriptions of the form:

[table name] ([column names]) as ([select statement])

> with

```
states(city, state) as (  
    select "Berkeley", "California" union  
    select "Chicago", "Illinois")
```

```
select a.city, b.city, a.state from states as a, states as b  
where a.state = b.state and a.city < b.city
```

- A table defined within a with clause may have a single recursive case that defines output rows in terms of other output rows.

> with

```
ints(n) as (  
    select 5 union  
    select n+1 from ints where n < 15)  
)
```

> select n, n\*n from ints where n%2 = 1;

The above with clause defines a table of integers from 5 to 15, of which the odd values are selected and squared.

- Multiple tables can be defined in a with clause, separated by commas.

> with

```
ints(n) as (  
    select 5 union  
    select n+1 from ints where n < 20),  
squares(x, xx) as (  
    select n, n*n from ints),  
sum_of_squares(a, b, sum) as (  
    select a.x, b.x, a.xx, b.xx  
    from squares as a, squares as b where a.x < b.x)
```

> select a, b, x from squares, sum\_of\_squares where sum = xx;

- Two strings can be concatenated into a longer string using the `||` operator in SQL.

> create table phrase as select "hello, world" as s;

> select substr(s, 4, 2) || substr(s, instr(s, " ") + 1, 1) from phrase;

low

#### 4.3.6 Aggregation and Grouping

- A select statement can perform aggregation operations over multiple rows. Aggregation functions include max, min, count, avg, and sum, which can be applied to the same set of rows by defining more than one column. Only columns that are included by the where clause are considered.

- The `distinct` keyword ensures that no repeated values in a column are included in the aggregation. The special `count *` syntax counts the number of rows.

count (distinct legs)

- An aggregate function also selects a row in the table, which may be meaningful.

select max(weight), kind from animals;



# if the max value corresponds to several rows, then you will get an arbitrary value of kind  
# if you use an aggregation function that combines the values of many rows, then be careful that you may not find it meaningful to refer to a particular row, like using avg or sum

- The [group by] and [having] clauses of a select statement are used to partition rows into groups and select only a subset of the groups. Any aggregate functions in the having clause or column description will apply to each group independently, rather than the entire set of rows in the table.

#### 1.group by

```
select [columns] from [table] group by [expression] having [expression];  
([columns] -> [expression] as [name], [expression] as [name])
```

# The number of groups is the number of unique values of an expression.

```
select legs, max(weight) from animals by legs;
```

# Multiple values can be combined as a unique indicator

```
select legs, weight from animals group by legs, weight;
```

#### 2.having

A having clause filters the set of groups that we keep for aggregation. Although we have a where clause to filter individual rows, a having clause can even include aggregation itself.

```
select weight/legs, count(*) from animals group by weight/legs having count(*) > 1;
```

#### 4.3.extra Python and SQL

```
import sqlite3
```

```
db = sqlite3.Connection("n.db")
```

```
db.execute("xxx(any SQL command)")
```

```
db.execute("CREATE TABLE nums AS SELECT 2 UNION SELECT 3;")
```

```
db.execute("INSERT INTO nums VALUES (?,?), (?,?,?)", range(4, 7))
```

```
print(db.execute("SELECT * FROM nums;").fetchall())
```

```
db.commit() #used to store all your changes & updates
```

#### 4.3.extra SQL Injection Attack

- When a Python program reads data from an external source and then constructs SQL statements to store that data or use it in some other way, it may be vulnerable to a SQL injection attack.

- In order to sanitize database inputs and prevent from attack, we should use an template:

Wrong: 

```
db.execute("INSERT INTO Students VALUES (' " + name + " ');")
```

Right: 

```
db.execute("INSERT INTO Students VALUES (?,?)", [name])
```

#### 4.3.extra Database Connections

- Multiple programs can be connected to the same database at the same time. They can all be inserting values, and reading values from the same tables. One of the interesting things about database management systems is that they can handle the fact that multiple different connections are made to the same database and that multiple different clients are trying to change the same table.