

THE E-BASE OF FINITE SEMI-DISTRIBUTIVE LATTICES*

CONCEPTS 2025

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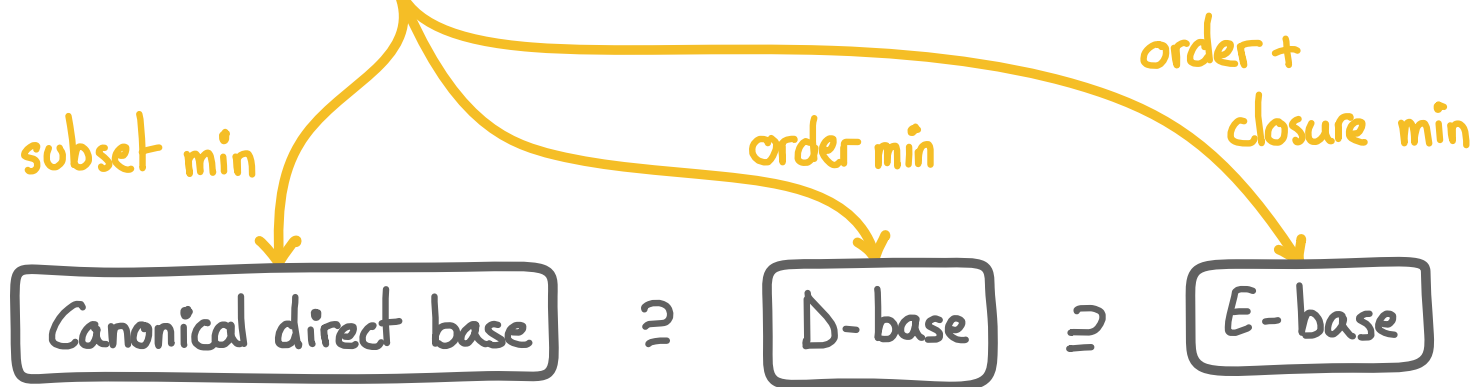
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* [arXiv 2502.04146](https://arxiv.org/abs/2502.04146)

Context: describe a closure system with implications $A \rightarrow x$ where A is a “minimal” generator of x

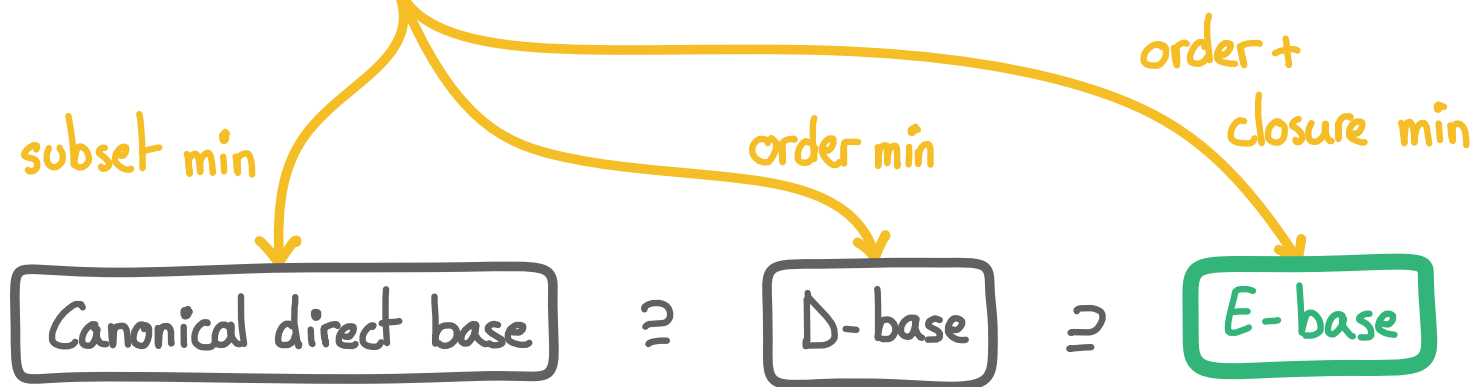
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Different meanings of minimality lead to different implications



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We are interested in the **E-base**

Question: sometimes the E-base is valid, sometimes not ...
so what are the classes of (closure) lattices where it is valid?

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so what are the **classes of (closure) lattices** where it is valid?

THM (Adaricheva, V., 25+): the E -base of a closure system with **semidistributive lattice** is **valid and minimum**

PART 1: what is the E -base ?

- some notations
- meanings of minimality
- the E -base

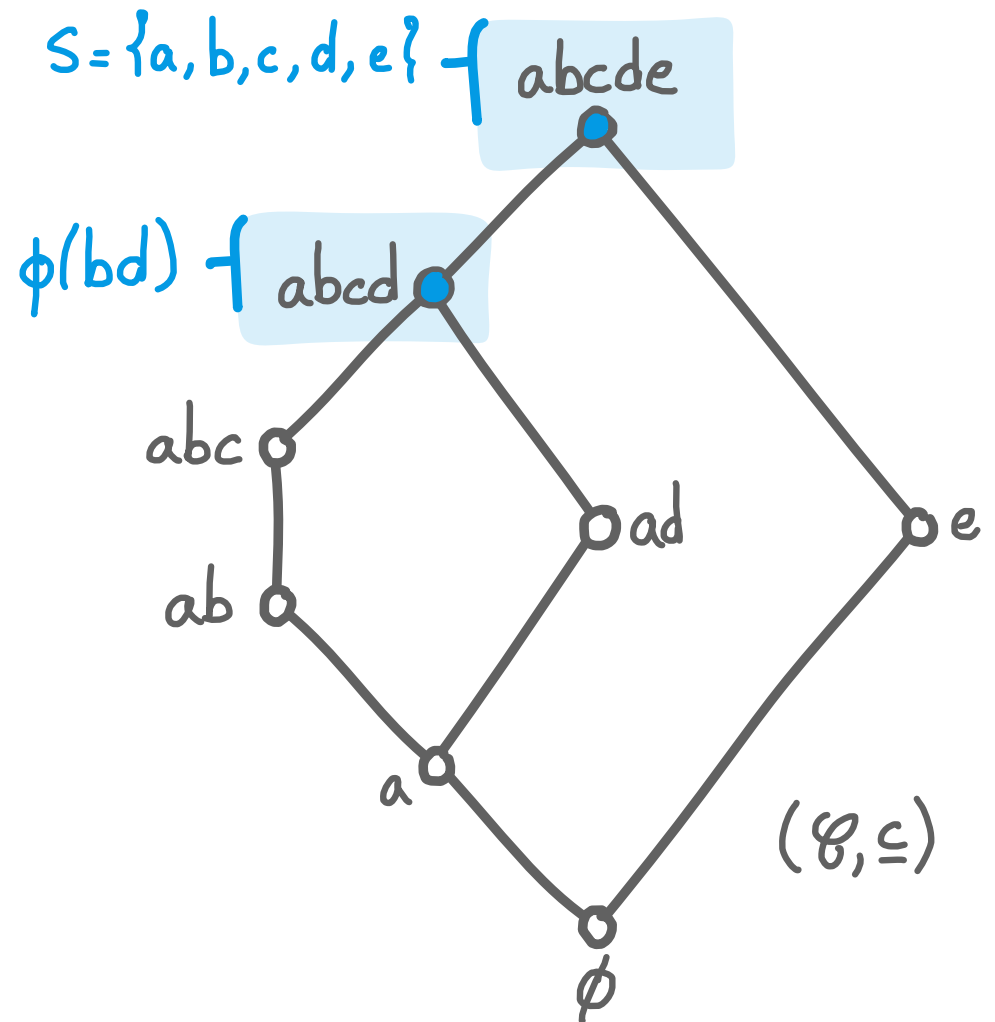
PART 2: is the E -base valid ?

- related work and results
- E -base against canonical base
- E -generators and prime elements

PART 1: what is the E-base?

Closure systems

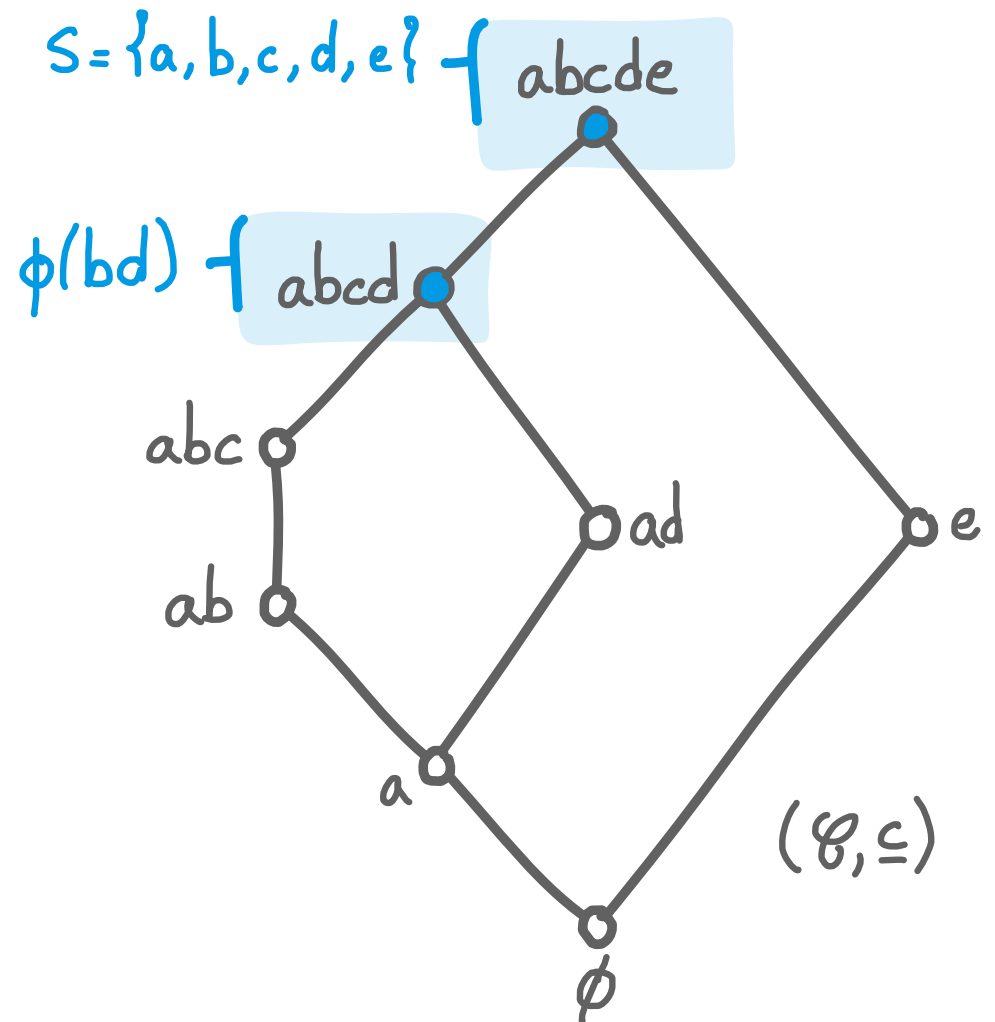
- closure system (S, \mathcal{C}) : ground set S , $\mathcal{C} \subseteq 2^S$ contains S and is closed under intersection
- closure operator ϕ
- closure lattice (\mathcal{C}, \subseteq)



Closure systems

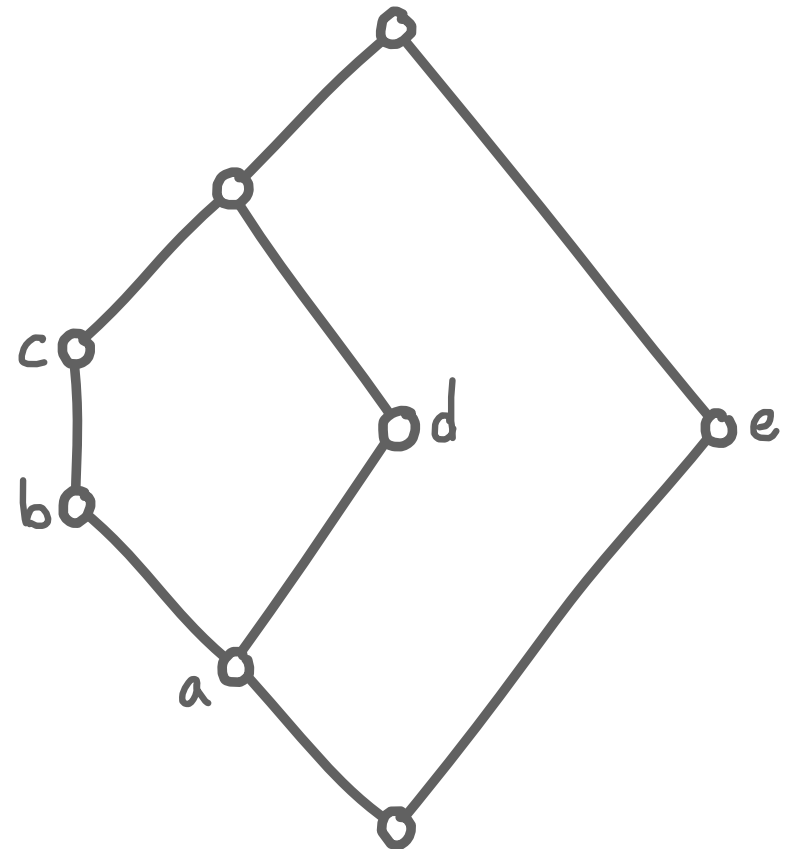
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$C = \phi(A)$: A spans C
 $X \subseteq \phi(A)$: A generates X



Implications

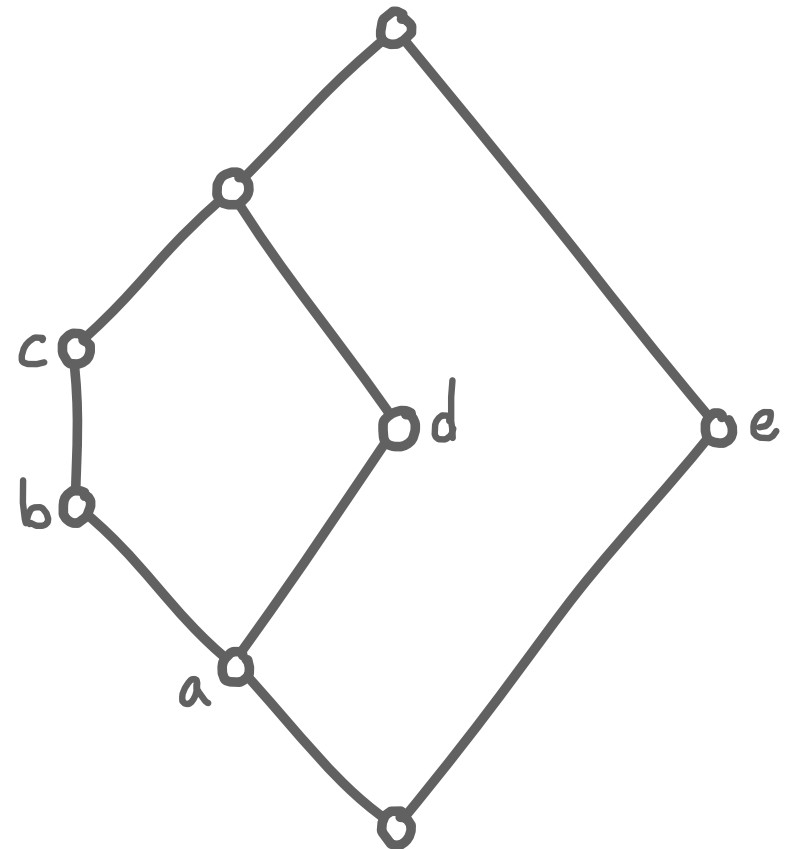
- implicational base (IB) (S, Σ) : Σ set of implications $A \rightarrow B$ with $A, B \in S$
- associated closure system (S, \mathcal{C}_Σ)
- each closure system admits ≥ 1 IB



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(S, Σ) is a **valid IB** of (S, \mathcal{C}) if $\mathcal{C}_\Sigma = \mathcal{C}$

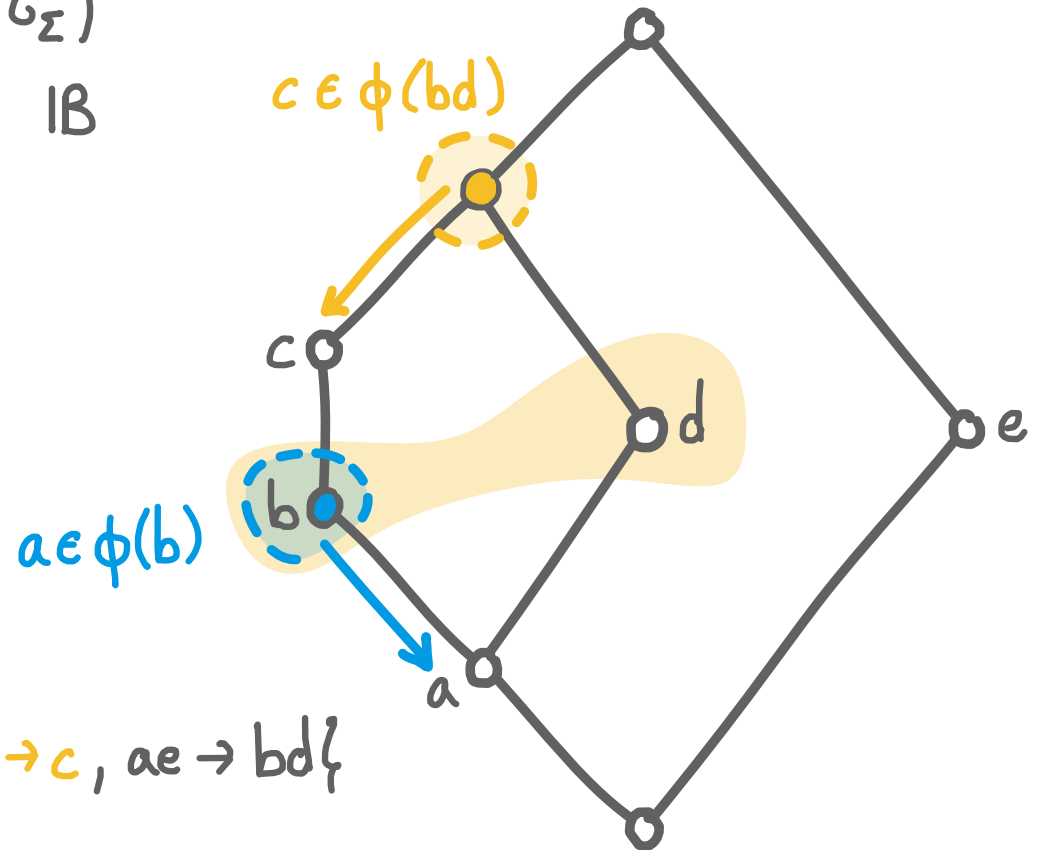


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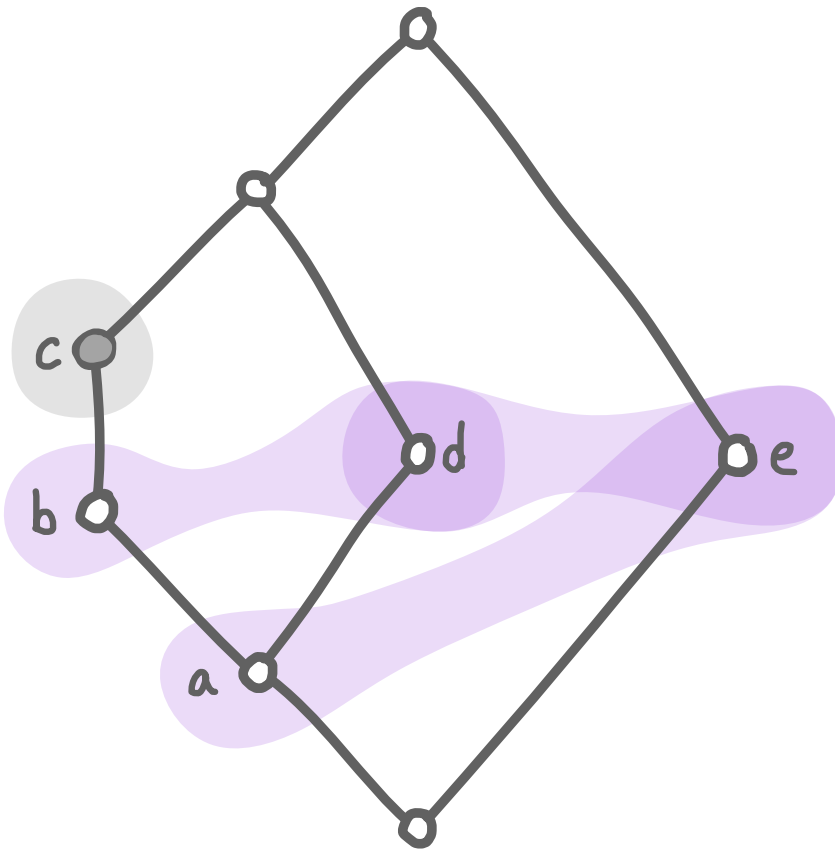
$$\Sigma = \{c \rightarrow b, b \rightarrow a, d \rightarrow a, bd \rightarrow c, ae \rightarrow bd\}$$



Flavors of minimality

some "minimal" generators of c

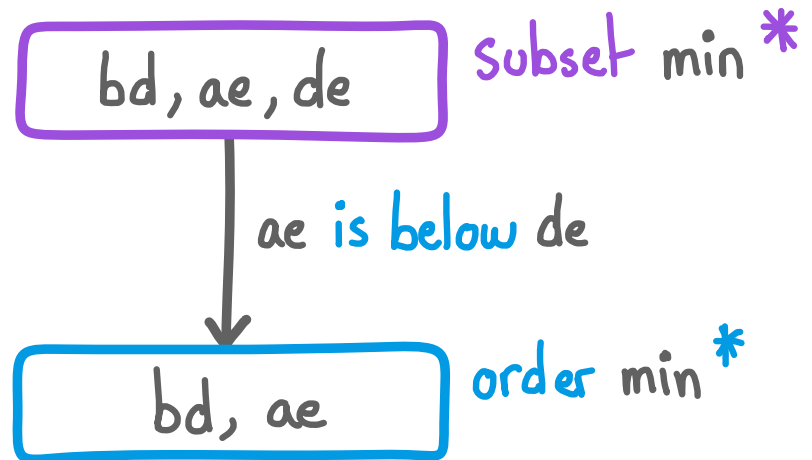
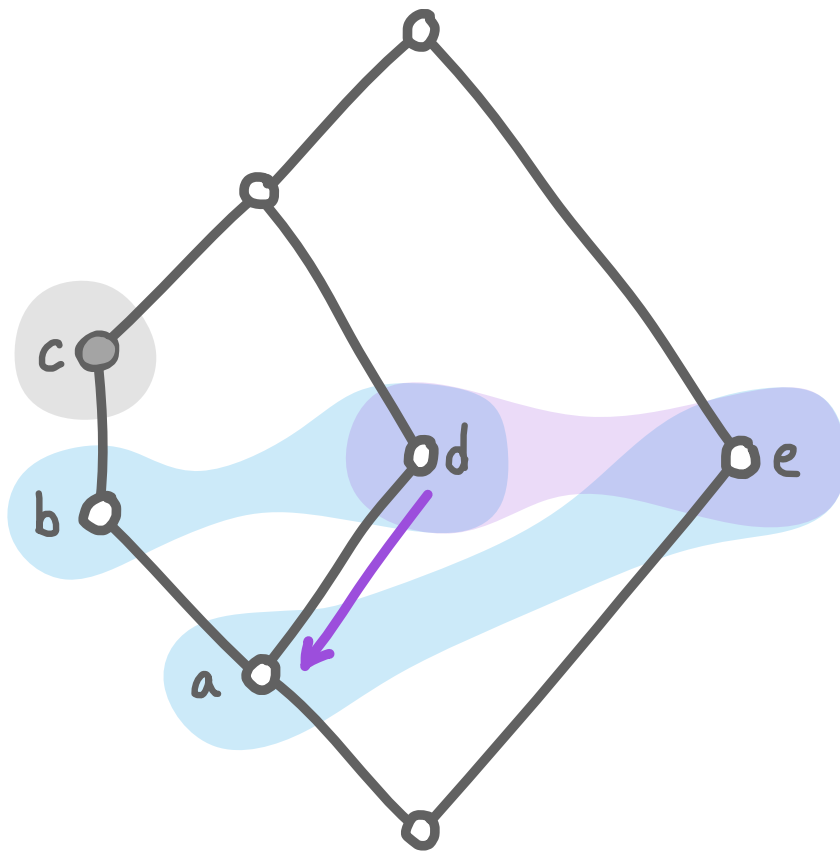
bd, ae, de subset min *



* minimal generators

Flavors of minimality

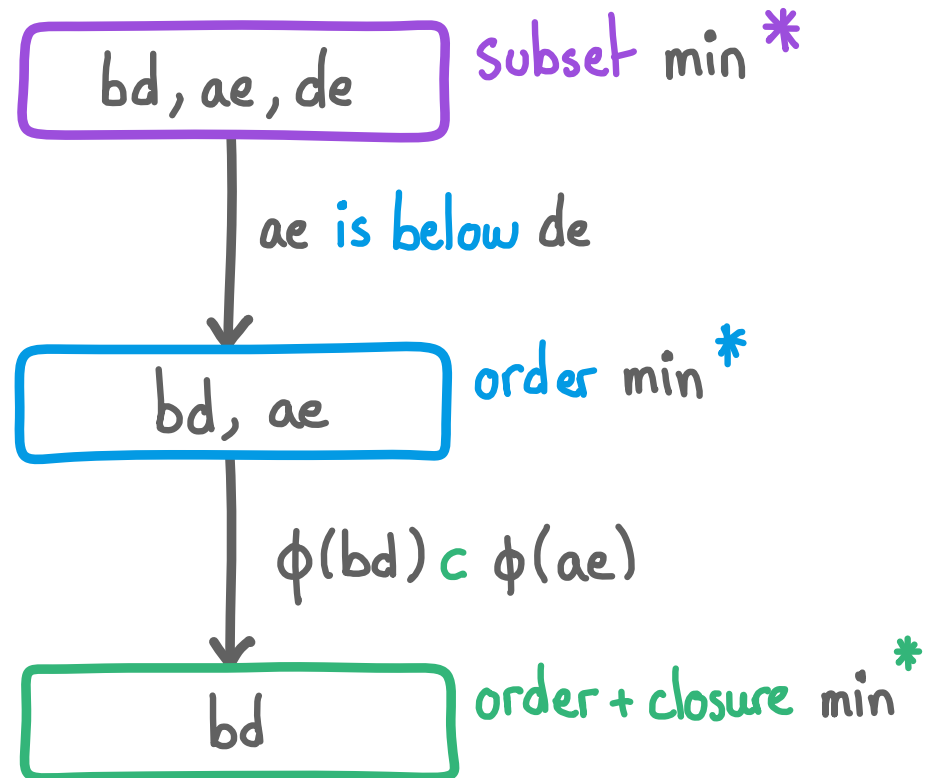
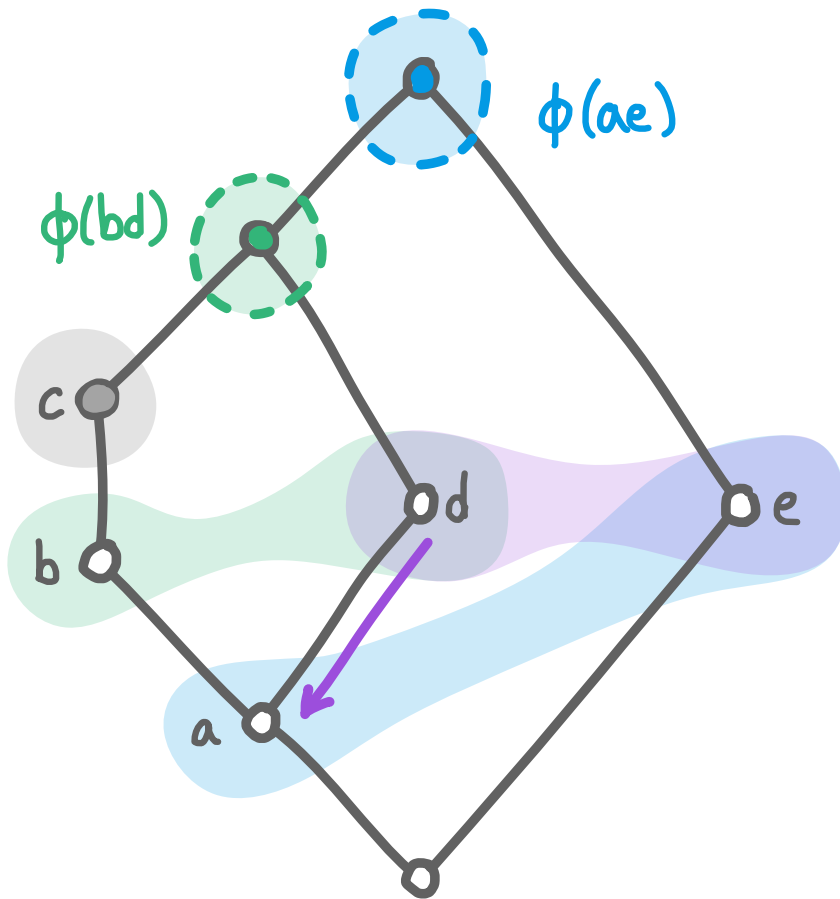
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* minimal generators * Δ -generators

Flavors of minimality

some "minimal" generators of c



* minimal generators * Δ -generators * E -generators

The E-base

DEF: $A \subseteq S$ is a **E-generator** of x if

(1) $x \in \phi(A)$ but $x \notin \phi(a)$, $a \in A$

(2) for all $B \subseteq \bigcup_A \phi(a)$, $x \in \phi(B) \Rightarrow A \subseteq B$

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| closure min.

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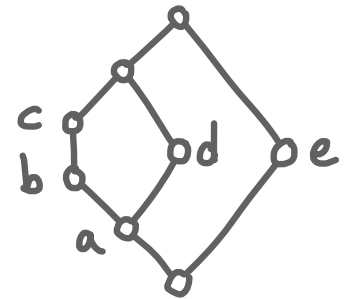
| closure min.

DEF: the **E-base** of (S, \mathcal{E}) is (S, Σ_E) with

$$\Sigma_E = \{ a \rightarrow b : b \in \phi(a) \}$$

$$\cup \{ A \rightarrow b : A \text{ is a E-generator of } b \}$$

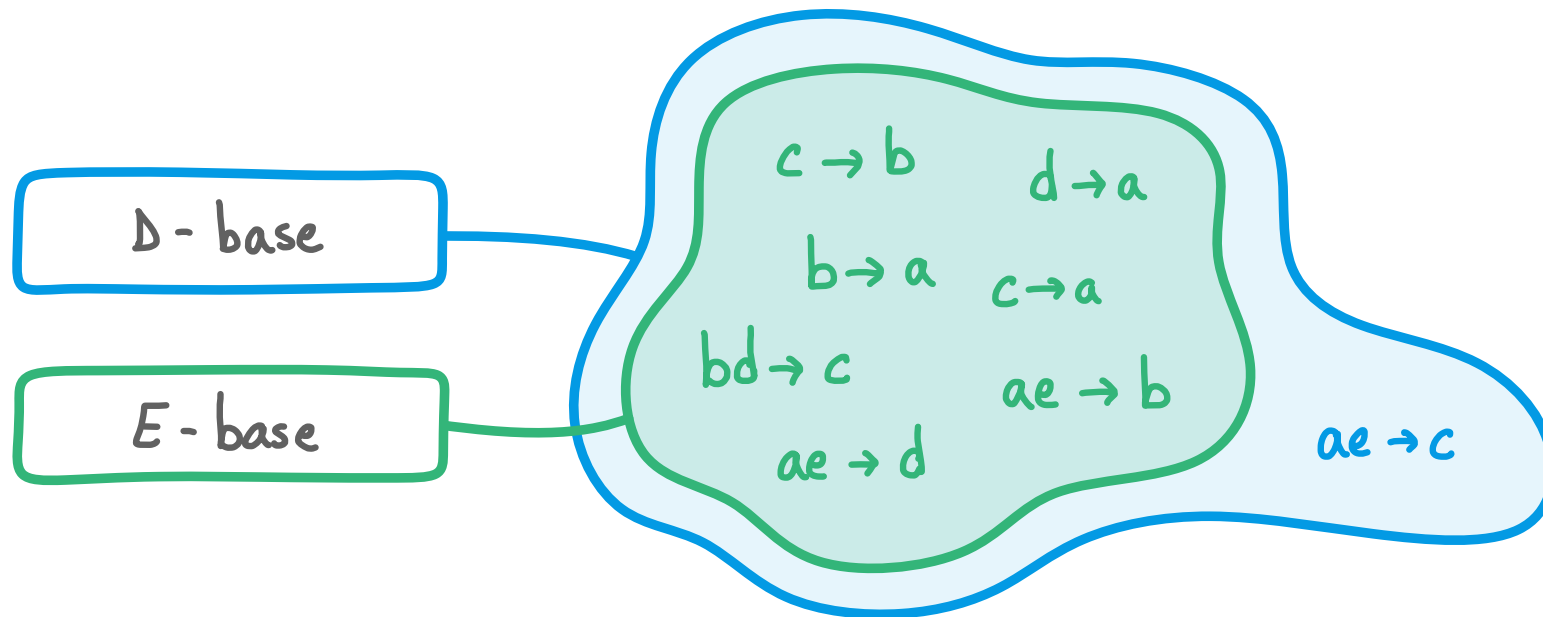
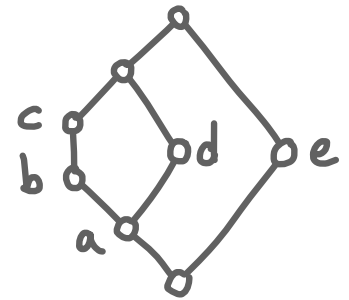
Back to the example



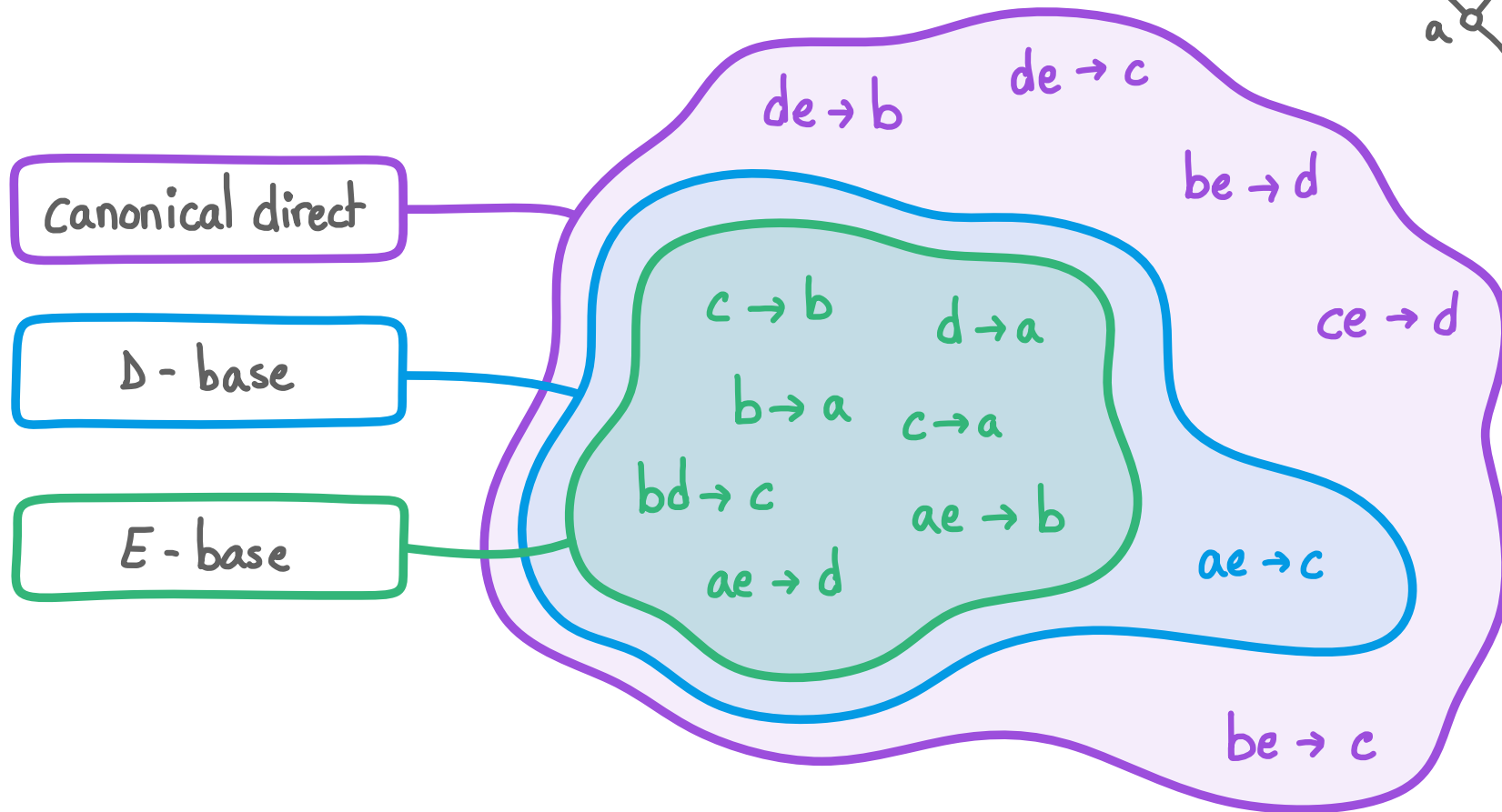
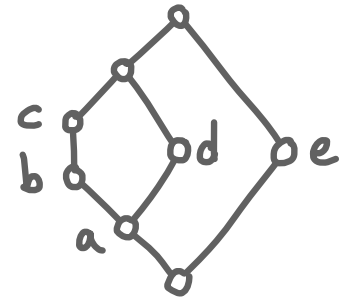
E - base

$c \rightarrow b$ $d \rightarrow a$
 $b \rightarrow a$ $c \rightarrow a$
 $bd \rightarrow c$ $ae \rightarrow b$
 $ae \rightarrow d$

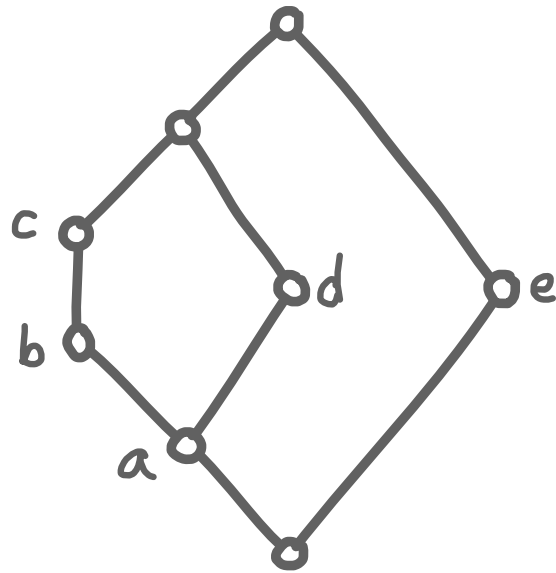
Back to the example



Back to the example



Is the E-base valid ?



$$\Sigma_E = \{c \rightarrow b, c \rightarrow a, b \rightarrow a, d \rightarrow a\} \\ \cup \{ae \rightarrow b, ae \rightarrow d, bd \rightarrow c\}$$

Valid implicational base

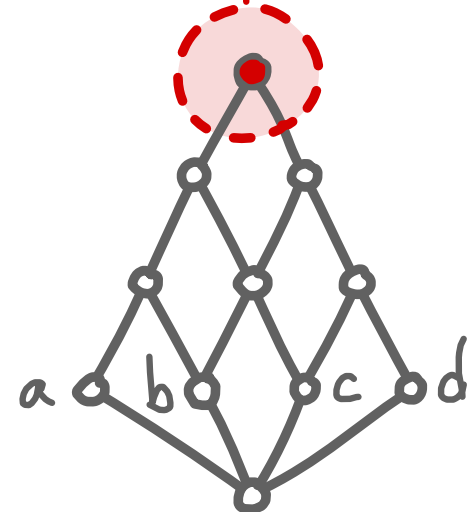


$$\Sigma_E = \{ac \rightarrow b, bd \rightarrow c\} \text{ } ad \rightarrow bc ?$$

Non-valid implicational base



not correctly described



PART 2: is the E-base valid ?

E-base origins and related works

Origins of the E-base

- E-generators come from free lattices, Freese et al. 1995
- then turned into an IB, Adaricheva et al. 2013

Question: what are the classes of lattices where it is valid?

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Deduced from earlier works (mostly Wild, 1994, Wild, 2000)

- valid in atomistic modular lattices and binary matroids

Towards structural insights

Question : how to study the validity of the E -base ?

(in particular for semidistributive lattices)

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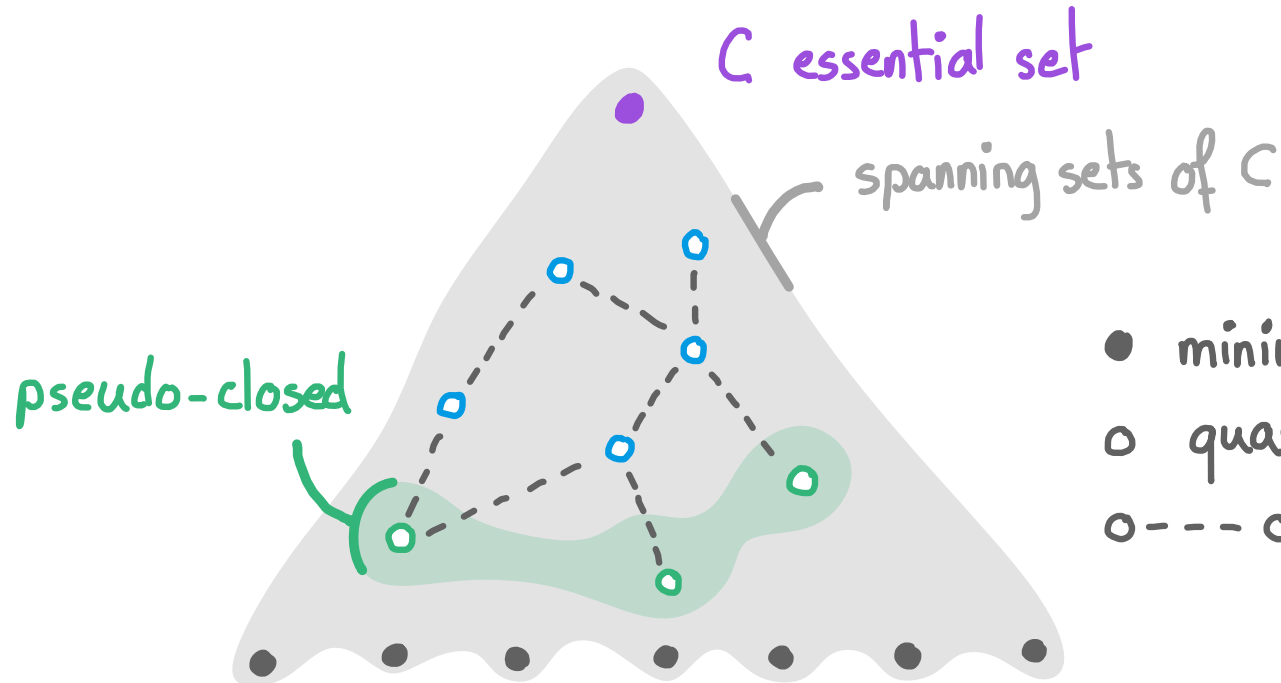
(in particular for semidistributive lattices)

Two ideas :

- (1) compare the E -base with the canonical base
- (2) find the meaning of E -generators in the lattice
in terms of prime elements

Quasi-closed, pseudo-closed, essential sets

- $Q \subseteq S$ **quasi-closed**: for $Y \subseteq Q$, $\phi(Y) \subset \phi(Q)$ implies $\phi(Y) \subseteq Q$
- $P \subseteq S$ **pseudo-closed**: \subseteq -min quasi-closed spanning sets of $\phi(P)$
- $C \subseteq S$ **essential set**: $C = \phi(P)$ for some pseudo-closed set P



- minimal spanning set of
- quasi-closed (non-closed)
- --- ○ set-inclusion

Canonical base (see, e.g., Ganter 1984, Duquenne, Guigues, 1986)

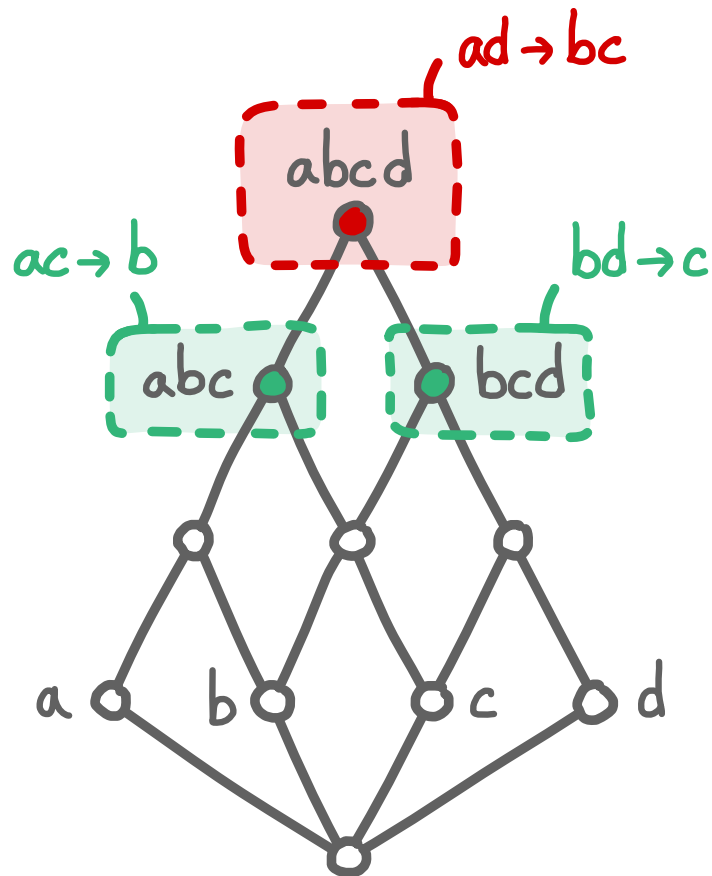
DEF: the canonical base of (S, \mathcal{E}) is (S, Σ_C) where
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DEF: the canonical base of (S, \mathcal{E}) is (S, Σ_C) where
$$\Sigma_C = \{ P \rightarrow \phi(P) \setminus P : P \text{ pseudo-closed} \}$$

THM: any valid IB of (S, \mathcal{E}) contains
an implication $A \rightarrow X$ with $A \subseteq P$ and
 $\phi(A) = \phi(P)$ for each pseudo-closed set P

E -base vs. canonical: missing essential sets

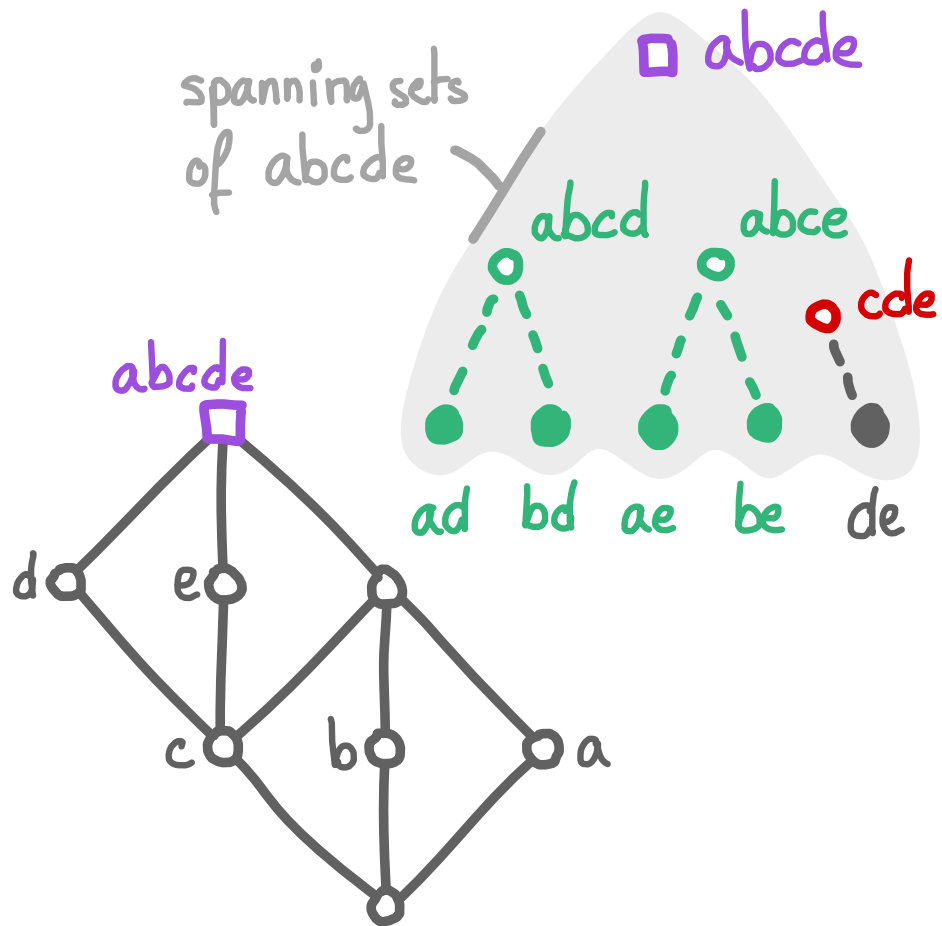


Σ_C
 $ac \rightarrow b$
 $bd \rightarrow c$
 $ad \rightarrow bc$

Σ_E
 $ac \rightarrow b$
 $bd \rightarrow c$

PROB: essential set $abcd$ not the closure of any E -generator

E-base vs. canonical: missing pseudo-closed sets



- Each essential set is spanned by some E-generator

- essential set abcde

Σ_C

Σ_E

abce \rightarrow d

ae \rightarrow d, be \rightarrow d

abcd \rightarrow e

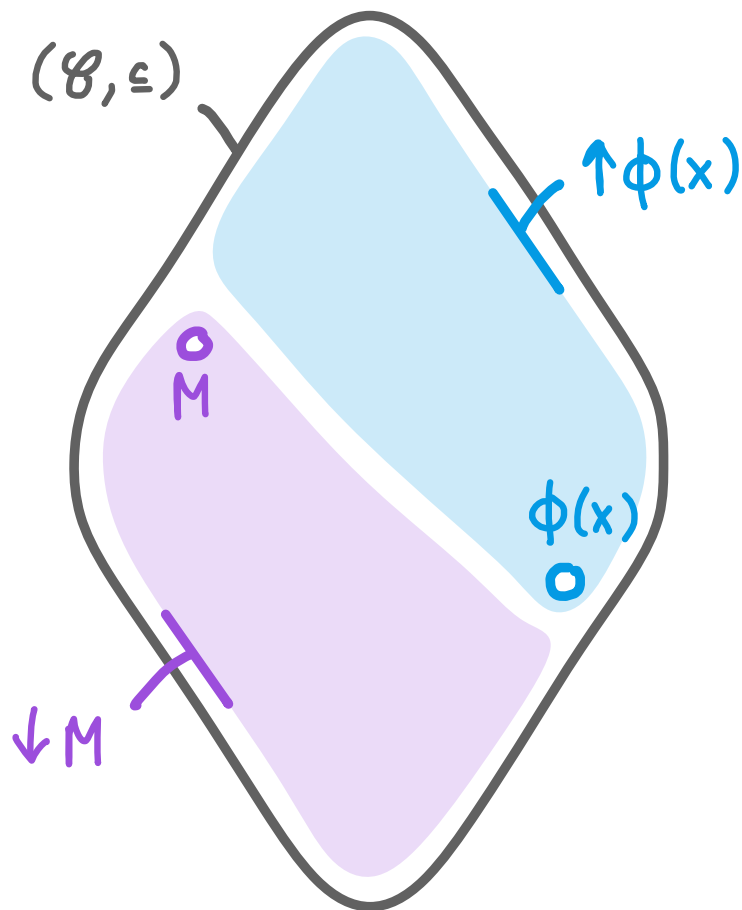
ad \rightarrow e, bd \rightarrow e

cde \rightarrow ab

PROB: pseudo-closed set cde not subsumed by any E-generator spanning abcde

Prime elements

DEF: $x \in S$ is prime in (S, \mathcal{C}) if it has no minimal generators of size ≥ 2 .



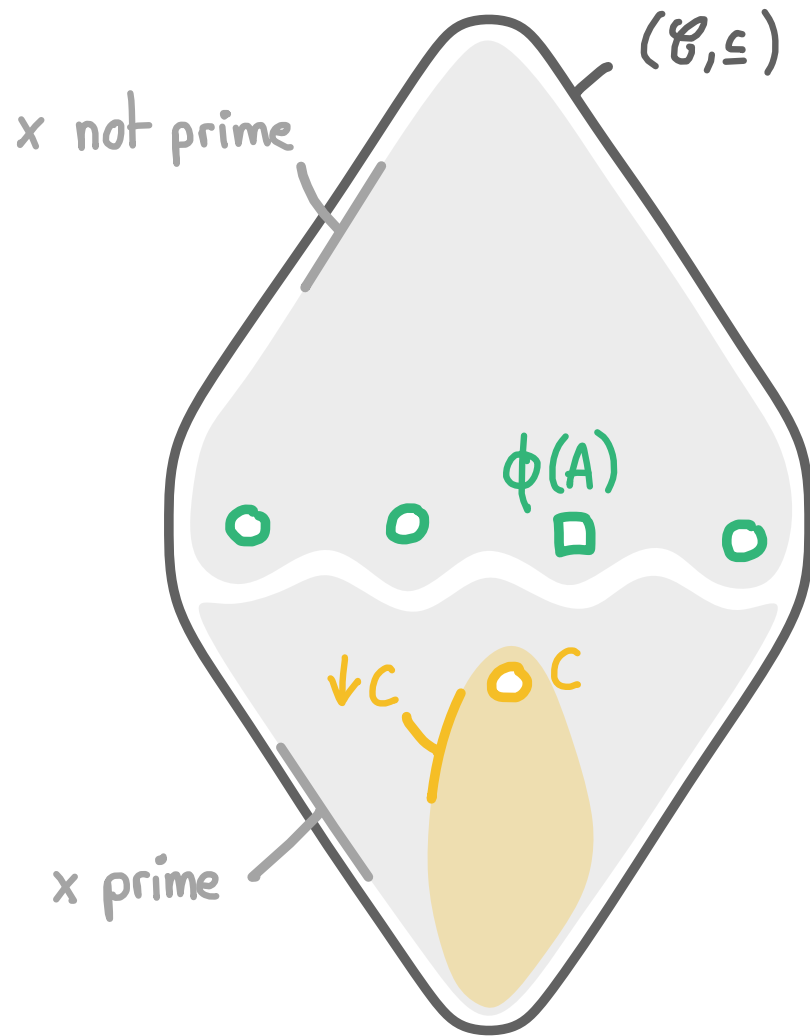
x is prime

$\Leftrightarrow x$ has no E -generators

$\Leftrightarrow \phi(x)$ is join-prime in (\mathcal{C}, \leq)

\Leftrightarrow there is a unique maximal closed set M in $\{C : C \in \mathcal{C}, x \notin C\}$

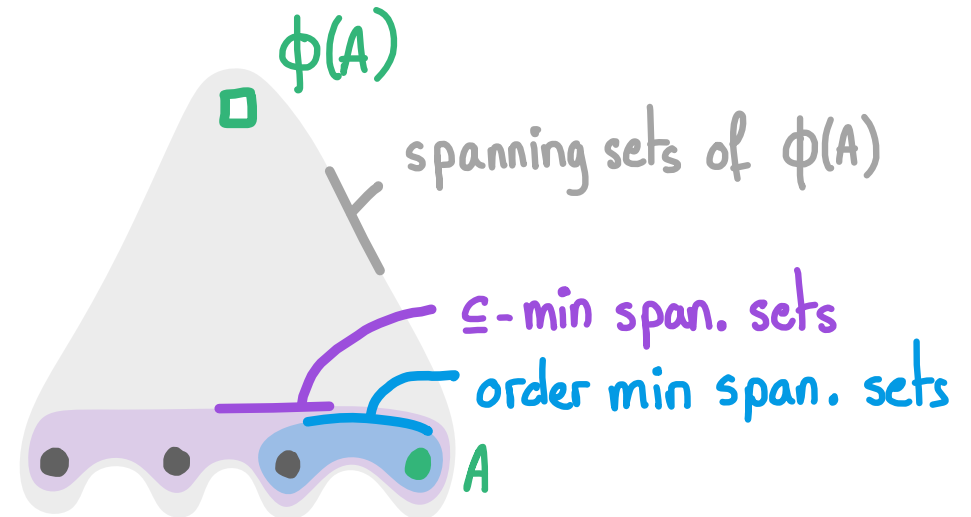
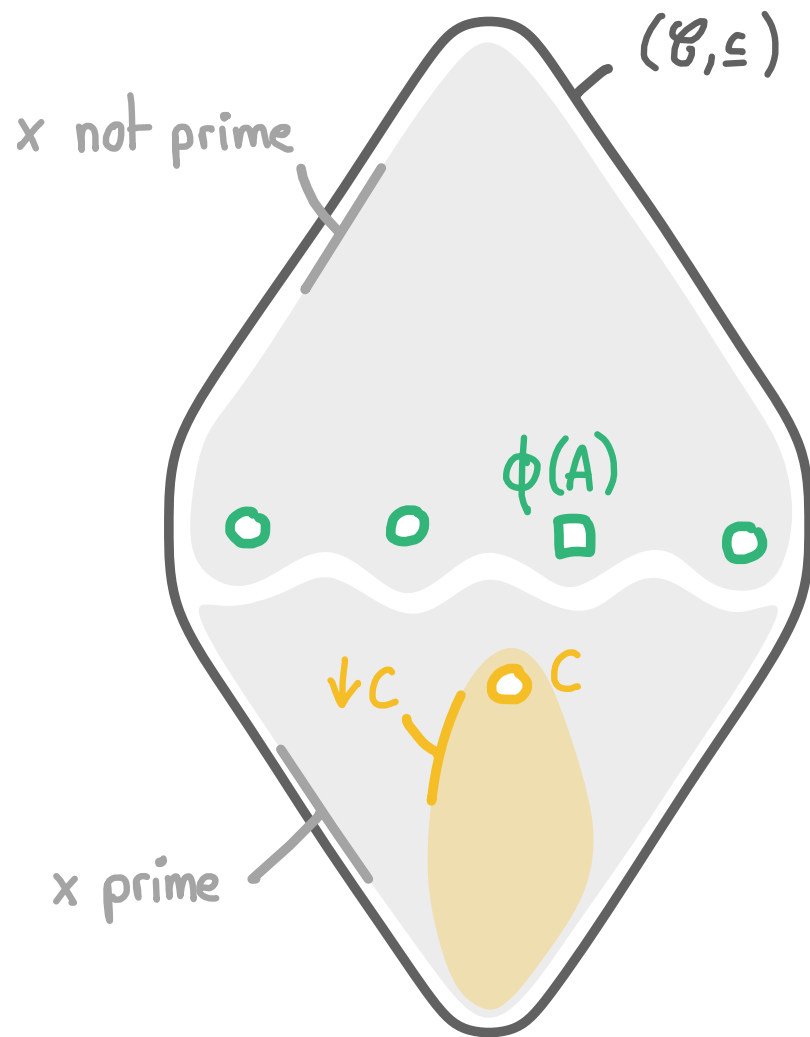
E -generators and primality



LEM: $A \in S$ E -gen of x iff:

- (i) for $C \in \mathcal{C}$, $x \in C$ and $C \subset \phi(A)$
 $\Rightarrow x$ prime in $(C, \downarrow C)$

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(1) for $C \in \mathcal{C}$, $x \in C$ and $C \subset \phi(A)$
 $\Rightarrow x$ prime in $(C, \downarrow C)$

(2) $x \in \phi(A)$, $x \notin \phi(a)$ for $a \in A$

(3) A is an order-min span set of $\phi(A)$

The E -base reflects in the canonical base

IDEA: closures of E -gen of x delineate the part of the lattice where x is not prime. They are "essential" to the closure system and in fact essential strictly speaking.

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IDEA: closures of E -gen of x delineate the part of the lattice where x is not prime. They are "essential" to the closure system and in fact essential strictly speaking.

THM (Adaricheva, V., 25+): for any $C \in \mathcal{C}$ that is the closure of some E -gen of x , there is $P \rightarrow C \setminus P$ in Σ_C and a E -gen A of x s.t. $A \subseteq P$ and $\phi(A) = \phi(P) = C$.

A word on semidistributivity

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- SD_j says : each $C \in \mathcal{C}$ has a unique order min. span. set that moreover consists in prime elements of $\downarrow C$
- SD_m adds : each pseudo-closed set P reduces to a joint E -gen of enough prime elements of predecessors of $\phi(P)$

Conclusion

arXiv 2502.04146



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⚠: unlike the D-base and the canonical direct base, the E-base is not always valid



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Playing with prime elements, quasi-closed, pseudo-closed and essential sets we can show that:

- the E -base reflects in the canonical base
- the E -base of SD lattices is valid and minimum

References

Freese, Nation, Ježek

Free lattices

American Mathematical Society, 1995

Freese et al. 1995

Adaricheva, Nation, Rand

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Discrete Applied Mathematics, 2013

Adaricheva et al. 2013

Wild

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Advances in Mathematics, 1994

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Optimal implicational bases for finite modular lattices
Quaestiones Mathematicae, 2000

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Ganter

Two basic algorithms in Concepts Analysis
Preprint, Technische Hochschule, Fachbereich Mathematik Darmstadt, 1984

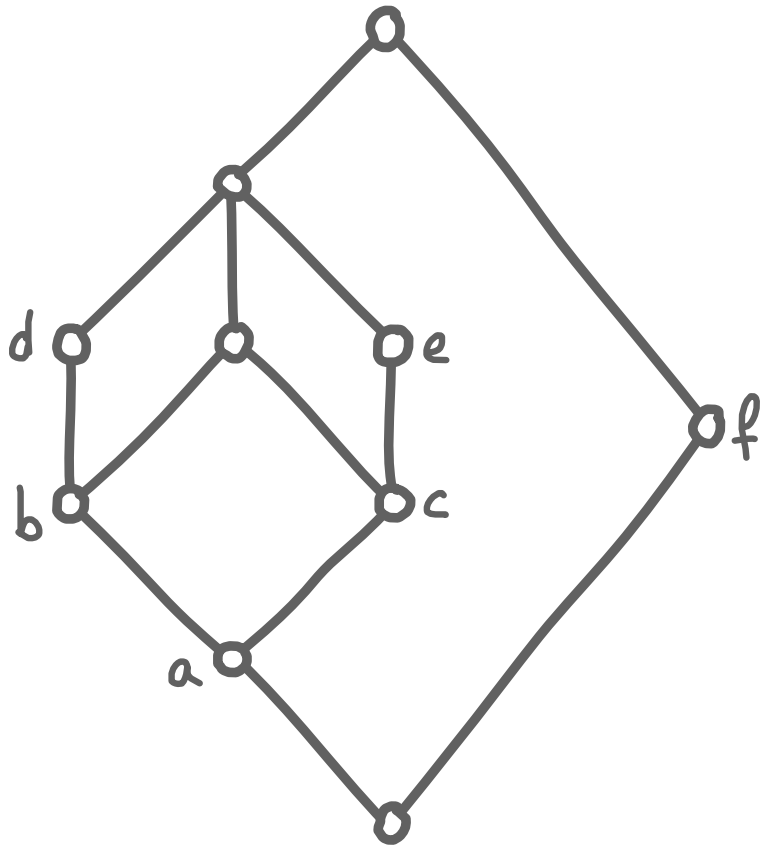
Ganter 1984

Duquenne, Guigues

Familles minimales d'implications informatives résultant d'un tableau
de données binaires,
Mathématiques et Sciences Humaines, 1986

Duquenne, Guigues, 1986

E -base vs. canonical: not reaching enough elements



- Each pseudo-closed set is subsumed by a E -generator spanning the same essential set.

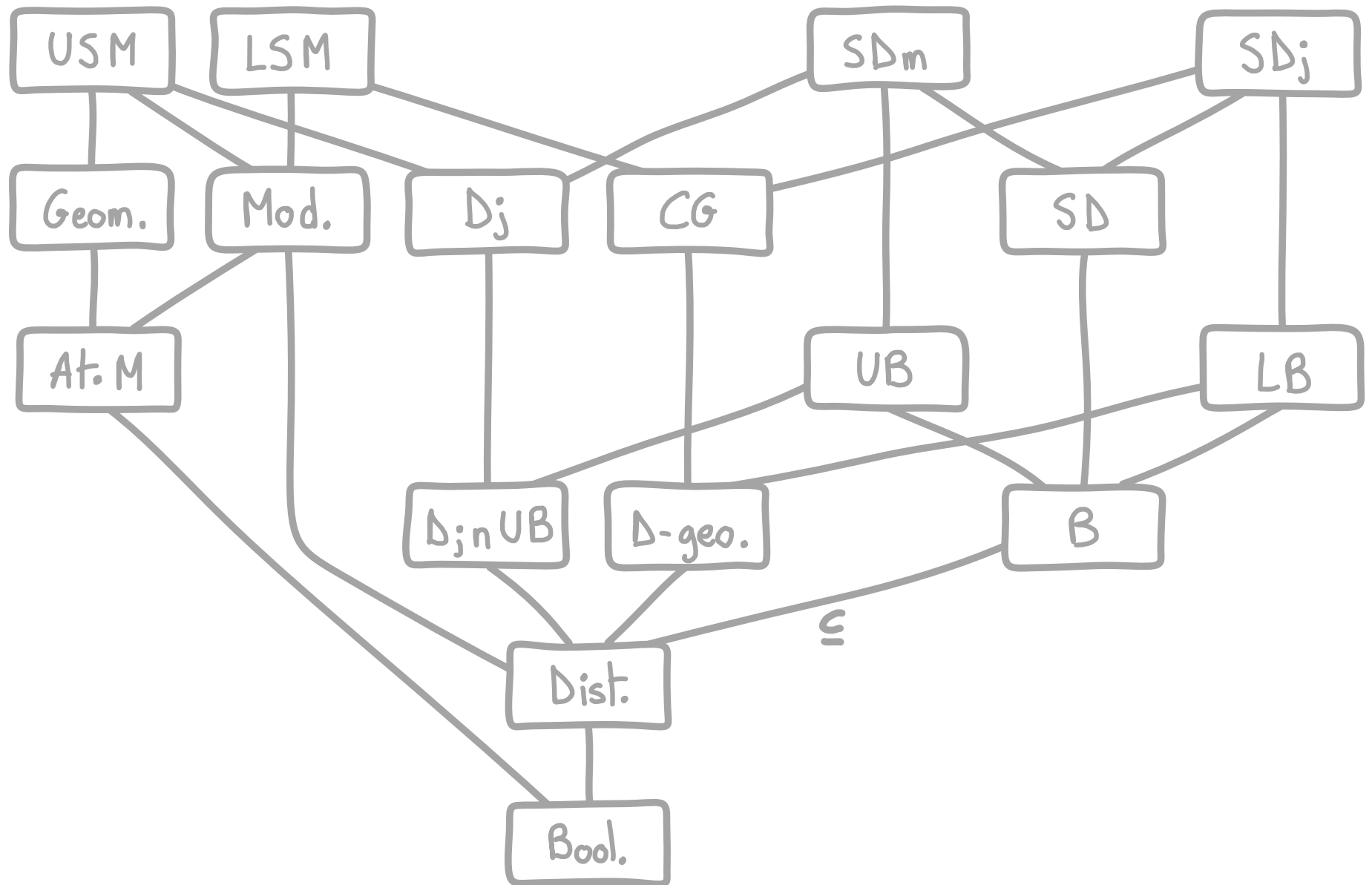
$$\Sigma_C = e \rightarrow ca, d \rightarrow ba, b \rightarrow a, c \rightarrow a, \\ abcd \rightarrow e, abce \rightarrow d, \text{af} \rightarrow bcde$$

$$\Sigma_E = e \rightarrow ca, d \rightarrow ba, b \rightarrow a, c \rightarrow a, \\ cd \rightarrow e, be \rightarrow d, \text{af} \rightarrow bc$$

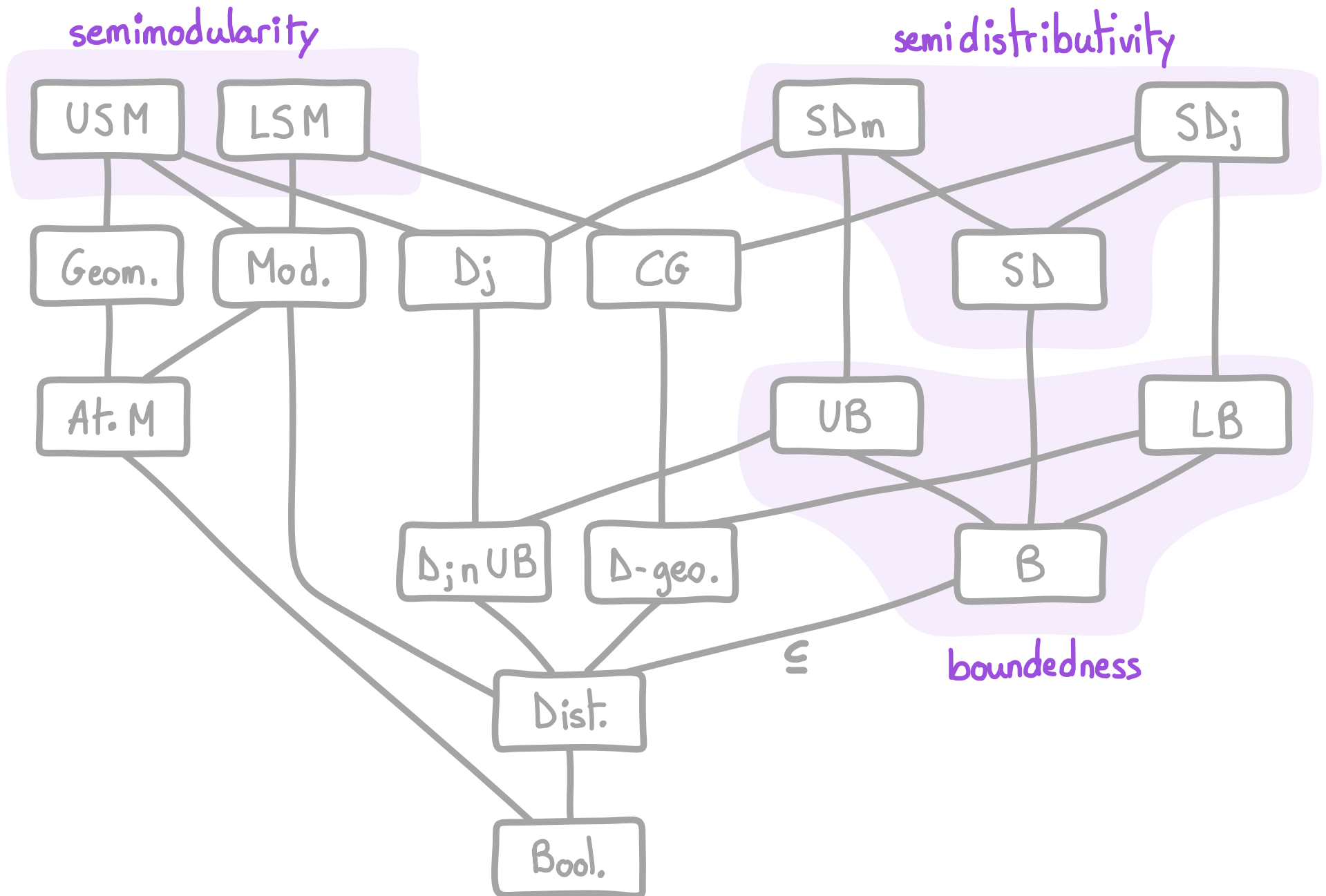
$\text{af} \rightarrow de$ is not true in Σ_E

PROB: the E -base does not generate enough elements

Classes of lattices with valid ϵ -base



Classes of lattices with valid \mathcal{E} -base



Classes of lattices with valid E-base

