# Alphabets and Languages: the mathematics of strings

## Strings and symbols

- An *alphabet* is a finite set of *symbols*, e.g., the binary or Roman alphabet. We denote an arbitrary alphabet by  $\Sigma$
- A string over an alphabet is a finite sequence of symbols from the alphabet.
- The *empty string* is the string with no symbols and is denoted  $\epsilon$ .
- The set of all strings, including the empty string, over an alphabet is denoted  $\Sigma^*$ .
  - o What is the cardinality of  $\Sigma^*$ ?
- The *length* of a string is its length as a sequence.
  - o There is only one string of length 0. What is it?
- The length of a string w is denoted |w|. The symbol in the ith position is denoted  $w_i$ . We say that symbol  $w_i$  occurs in position i. A symbol may have more than one occurrence in a string.

### Operations and relations on strings

- The operation of *concatenation* takes two string x and y and produces a new string xy by putting them together end to end. The string xy is called the *concatenation* of x and y.
  - O Concatenation is an associative operation. So we will write, e.g., xyz for (xy)z or x(yz)
- A string v is a *substring* of a string w iff there are strings x and y such that w = xvy. If  $y = \epsilon$  then v is a *suffix* of w. If  $x = \epsilon$  then v is a *prefix* of w.
- We write  $x^n$  for the string obtained by concatenating n copies of x.
- The reversal of a string w, denoted  $w^R$  is the string w "written backwards".

#### Languages: Sets of strings

- A language is set of strings over an alphabet.
- We may apply set operations like union, intersection, and set difference to languages.
- The *complement* of a language A is  $\Sigma A$ , and is denoted  $\bar{A}$  if  $\Sigma$  is understood.
- If  $L_1$  and  $L_2$  are languages over  $\Sigma$  their concatenation is  $L = L_1 \cdot L_2$  or  $L_1L_2$  where  $L = \{w \in \Sigma^* : w = xy \text{ for some } x \in L_1 \text{ and } y \in L_2 \}$
- The *Kleene star* of a language L, denoted  $L^*$  is the set of all strings obtained by concatenating **zero** or more strings from L. Thus,

$$L^* = \{ w \in \Sigma^* : w = w_1 w_2 \dots w_k \text{ for some } k \ge 0 \}$$

- Examples: The star of  $\Sigma$  is  $\Sigma^*$ ; The star of  $\emptyset$  is  $\{\epsilon\}$
- $L^+$  denotes  $LL^*$  and is the *closure* of L under concatenation. That is, it is the smallest language that includes L and all strings that are concatenations of strings in L.

#### Representing a language with a finite specification

• The vast majority of languages over a finite alphabet cannot be represented by a finite specification.

- Why not?
  - O The set  $\Sigma^*$  of strings over  $\Sigma$  is *countably infinite*, (i.e., we can construct a bijection  $f: \mathbb{N} \to \Sigma^*$  (Exercise: Show that this remains true even if  $\Sigma$  is not finite, but countably infinite.)
  - o A specification for a language is given by a string over a finite alphabet. Therefore, the set of specifications is a subset of  $\Gamma^*$  for some finite  $\Gamma$  and is countably infinite, or even finite.
  - o But the set of possible languages is the set of subsets of  $\Sigma^*$ , i.e., it is the power set of a countably infinite set. It has size  $2^{\Sigma^*}$  and is therefore uncountably infinite (Cantor's argument.)
- What languages can we specify? This is the primary question we will address in this course

## Languages and Problems

Recall from the first lecture that we said we will be concerned with *computational solutions* to *problems*. A problem is a mapping from *problem instances* to YES, NO. Languages may be viewed as an abstract representation of problems. For a problem P, the associated language is  $L_P = \{x \in \Sigma^* : x \text{ is a YES instance of } P\}$ 

So studying "specifiable" languages is analogous to studying "solvable" problems.