

# An *improved* union-find algorithm

- makeset( $e$ ):  $e.\text{parent} \leftarrow e$ , the canonical element is the root.
- find( $e$ ): Follow the parent pointers from  $e$  to the root of the tree containing  $e$ . Return the root.
- **union( $a, b$ )**:  **$a.\text{parent} \leftarrow$  canonical element of *larger* of two sets**

Why is this better?

# Improved Union-Find: Union by Rank

*Idea:* Store with each node  $v$  the size of the subtree (*rank*) rooted at  $y$ . In a union operation, make the tree of the smaller set/rank a subtree of the other tree, and update the size field of the root of the resulting tree.

# Union by Rank

- With each node  $x$  we store a nonnegative integer  $x.\text{rank}$  that is an upper bound on the height of  $x$ .
- When carrying out  $\text{makeSet}(x)$ , we set  $x.\text{rank} \leftarrow 0$ .
- To carry out  $\text{union}(x,y)$ , we compare  $x.\text{rank}$  and  $y.\text{rank}$ .
  - If  $x.\text{rank} < y.\text{rank}$  then  $x.\text{parent} \leftarrow y$ .
  - If  $y.\text{rank} < x.\text{rank}$  then  $y.\text{parent} \leftarrow x$ .
  - If  $x.\text{rank} = y.\text{rank}$  then  $x.\text{parent} \leftarrow y$  and  $y.\text{rank} \leftarrow y.\text{rank} + 1$ .

# Amortized Time Complexity

- A series of  $n$  makeSet, (modified) union, and find operations starting from an initially empty partition take  $O(n \log n)$  time.
- The *amortized* running time per operation is  $O(\log n)$  time.

**A series of  $n$  makeSet, (modified) union, and find operations starting from an initially empty partition take  $O(n \log n)$  time**

*Lemma:* Starting with sets of size 1, using the modified union algorithm, any tree of  $m$  nodes has height of at most  $\log m$ .

# An *improved* union-find algorithm

- makeset( $e$ ):  $e.\text{parent} \leftarrow e$ , the canonical element is the root.  $O(1)$
- find( $e$ ): Follow the parent pointers from  $e$  to the root of the tree containing  $e$ .  $O(\log n)$   
Return the root.
- union( $a, b$ ):  $a.\text{parent} \leftarrow$  the canonical element of the *larger* set  $O(1)$

# Reminder: Kruskal's algorithm

**Step 1.** Create a forest where each vertex in  $V$  represents a tree.

$O(|V|)$

**Step 2.** Sort the edges of  $G$  in increasing order.

$O(|E| \log |E|)$  or store in heap  $O(|E|)$

**Step 3.** Pick the shortest (minimum weight) edge  $(x,y)$  and check whether it belongs to different trees in the forest. *Union-Find Data Structure*

## **Graph**

- tree
- vertex
- edge

## **Union-Find Structure**

- set
- element
- -----



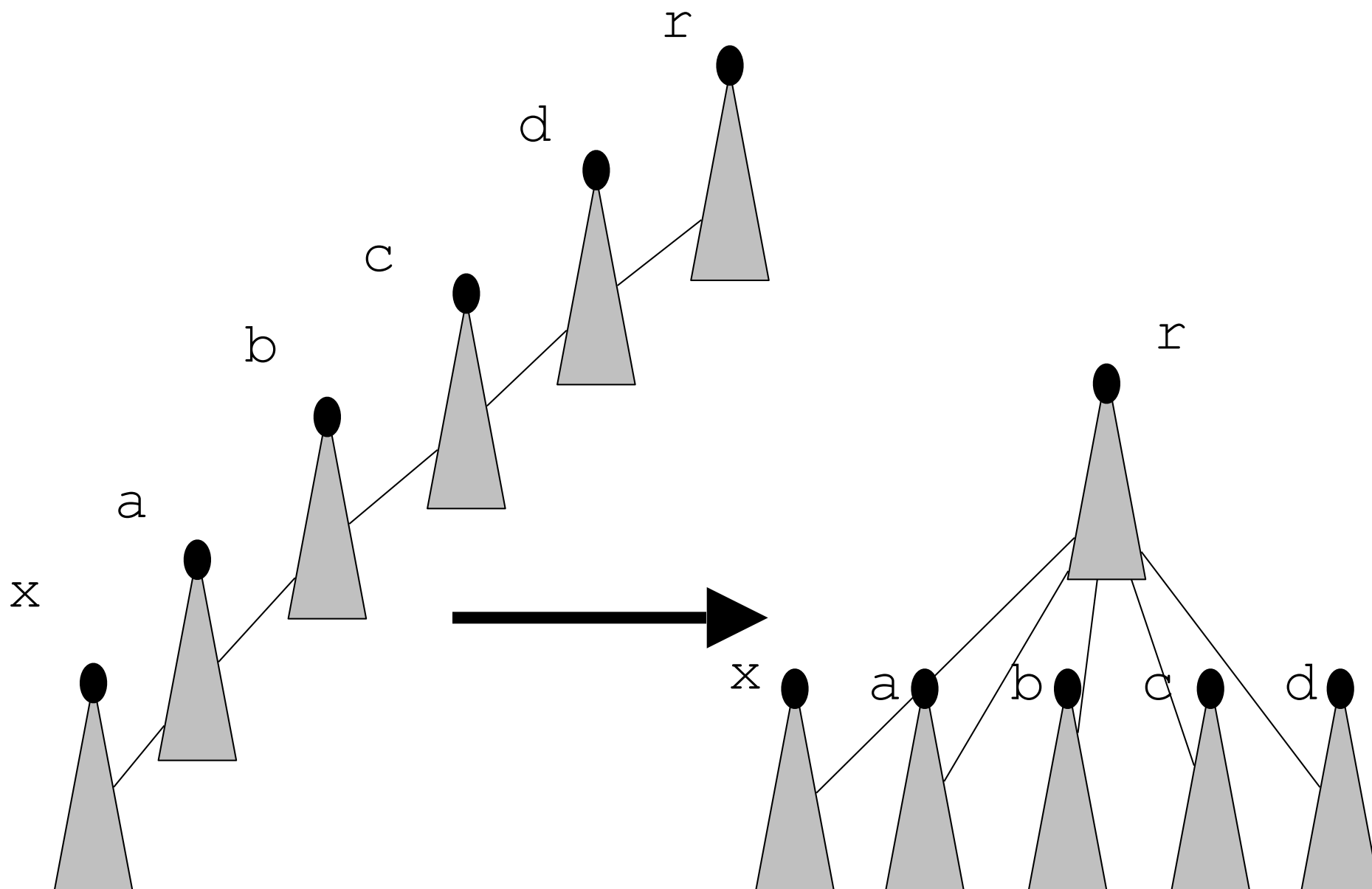
# Union-Find: Another Speed-Up Heuristic

- Union-by-size (also called Union-by rank) with
  - Path compression

# Path compression

**Idea:** For each node  $v$  that the finds visits, reset the parent pointer from  $v$  to point to the root. In other words, after a find operation for an element  $x$  for every node  $v$  on the path from  $x$  to the root  $r$  is

$$v.\text{parent}() = r$$



# Algorithm find( $x$ ):

**if** ( $x \neq x.\text{parent}()$ ) **then**

$x.\text{parent} \leftarrow \text{find}(x.\text{parent}())$

**return**  $x.\text{parent}$

# Definitions and Claims (last class)

- *Amortized running time*

overall running time/number of operations

- *Canonical element*

root of tree

# Definitions and Claims (last class)

- Claim 1: Once a node stops being a canonical element it can never become one again
- Claim 2: Once a node stopped being a canonical element its rank remains fixed
- Claim 3: Ranks are monotonically increasing in the trees as we travel from a node to the root of its tree
- Claim 4: When a node gets assigned rank  $k$  then there are at least  $2^k$  elements in the subtree

# Definitions and Claims

- Claim 5: The number of nodes that are assigned rank  $k$  throughout the execution of the union-find data structure is at most  $n/2^k$
- Claim 6 (Corollary): The maximum rank of a tree in the union-find data structure with  $n$  elements is  $\log(n)$
- Claim 7: The time to perform a single *find*-operation when we perform union-by-rank and path-compression is  $O(\log(n))$

# Amortized Time Complexity

- A series of  $m$  makeSet, union, and find operations on  $n$  elements using union-by-size and path compression starting from an initially single element sets take  $O(m \text{ ?})$  time.
- Then the amortized running time per operation is  $O(\text{?})$  time.



# Amortized Time Complexity

We conclude

- A series of  $m$  makeSet, union, and find operations for  $n$  elements, using union by rank, starting from an initially empty partition take  $O(n + m \log(n))$  time.
- Then the amortized running time per operation is  $O(\log(n))$  time.

# $\log^*(n)$ : The iterated logarithm

- $\log^*(n) = \min\{i : t(i) \geq n\}$  is the inverse of the *tower-of-twos function*  $t(i)$  where

$$t(i) = \begin{cases} 1 & \text{if } i = 0 \\ 2^{t(i-1)} & \text{if } i \geq 1 \end{cases}$$

# The tower-of-twos function

$$t(i) = \begin{cases} 1 & \text{if } i = 0 \\ 2^{t(i-1)} & \text{if } i \geq 1 \end{cases}$$

# $\log^*(n)$ : The iterated logarithm

- $\log^*(n) = \min\{i : t(i) \geq n\}$
- $\log^*(n) \leq 5$  for practical purposes

# Even better: Amortized Time Complexity

- A series of  $n$  makeSet, union, and find operations for  $n$  elements using union-by-size and path compression starting from an initially single element sets take  $O(n \log^*(n))$  time.
- Then the amortized running time per operation is  $O(\log^*(n))$  time.

$$\alpha(n)$$

$\alpha(n)$ : inverse Ackerman function

- grows slower than  $\log^*(n)$

$$t(3) = 2^{t(3-1)} = 2^{t(2)} = 2^{2^1}$$

$$= 2^{2^{2^1}} = 2^{2^2}$$

$$t(5) = 2^{t(4)} = 2^{2^{t(3)}} = 2^{2^{2^{t(2)}}}$$

$$= 2^{2^{2^{2^{t(1)}}}} = 2^{2^{2^{2^2}}}$$

# $\alpha(n)$ : inverse of Ackerman function $A(n)$

- $\alpha(n) = \min\{m : A(m) \geq n\}$  where  $A(n) = A_n(n)$  and

$$A_i(n) = \begin{cases} 2n & \text{for } i = 0 \text{ and } n \geq 0 \\ A_{i-1}(2) & \text{for } i \geq 1 \text{ and } n = 1 \\ A_{i-1}(A_i(n-1)) & \text{for } i \geq 1 \text{ and } n \geq 2 \end{cases}$$



$n$ ,  $n \log n$ ,  $n \log^* n$ , and  $n \alpha(n)$

- What is the growth order of these four functions?

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- $\log^* n$  grows slower than  $\log n$ .

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- What is the growth order of these four functions?
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- $\alpha(n)$  grows slower than  $\log^* n$ .

$n$ ,  $n \log n$ ,  $n \log^* n$ , and  $n \alpha(n)$

- What is the growth order of these four functions?
- $\log^* n$  grows slower than  $\log n$ .
- $\alpha(n)$  grows slower than  $\log^* n$ .
- $n$  grows slower than  $n \log n$ ,  $n \log^* n$ , and  $n \alpha(n)$

# $\alpha(n)$ : inverse Ackerman function

$$\begin{aligned} A(2) &= A_2(2) = A_1(A_2(1)) = A_1(A_1(2)) \\ &= A_1(A_0(A_1(1))) = A_1(A_0(2^2)) \\ &= A_1(2 \cdot 2^2) = A_1(2^3) = A_0(A_1(2^3 - 1)) \\ &= A_0(A_0(A_1(2^3 - 2))) = A_0(A_0(A_0(A_1(2^3 - 3)))) \\ &= A_0(A_0(A_0(A_0(A_1(2^3 - 4))))) \\ &= A_0(A_0(A_0(A_0(A_0(A_1(2^3 - 5)))))) \\ &= A_0(A_0(A_0(A_0(A_0(A_0(A_1(2^3 - 6))))))) \\ &= A_0(A_0(A_0(A_0(A_0(A_0(A_0(A_1(2^3 - 7)))))))) \end{aligned}$$

$\alpha(n)$ : inverse Ackerman function

$$= A_0(A_0(A_0(A_0(A_0(A_0(A_1(1)))))))$$

$$= A_0(A_0(A_0(A_0(A_0(A_0(2^2))))))$$

$$= A_0(A_0(A_0(A_0(A_0(2^3)))))$$

$$= A_0(A_0(A_0(A_0(2^4))))$$

$$= A_0(A_0(A_0(2^5)))$$

$$= A_0(A_0(2^6)) = A_0(2^7)$$

$$= A_0(2^8) = 2^9$$

# And even better: Amortized Time Complexity

- A series of  $n$  makeSet, union, and find operations for  $n$  elements using union-by-size and path compression starting from an initially single element sets take  $O(n \alpha(n))$  time.
- Then the amortized running time per operation is  $O(\alpha(n))$  time.

# Kruskal's Algorithm

**Algorithm** Kruskal

**Time complexity analysis**

**Input:** a weighted connected graph  $G = (V, E)$

**Output:** an MST  $T$  for  $G$

**Data structure:** Disjoint sets (lists or union-find)  $DS$ ;  
sorted weights  $A[]$ ; and tree  $T$

**for each** vertex  $v$  in  $V$  of  $G$  **do**  $C(v) \leftarrow DS.insert(v)$  **end**

**$O(n)$**

$A[] \leftarrow$  sort all edges by edge weight; // sorted array priority queue

$T \leftarrow \emptyset$ ;  $k \leftarrow 0$ ;

**$O(m \log m)$  or  $O(m)$  with heap**

**while**  $T$  has fewer than  $n-1$  edges **do**

$(u, v) \leftarrow A[k]$ ;  $k \leftarrow k + 1$ ; // next edge with smallest weight; deleteMin()

$C(v) \leftarrow DS.findCluster(v)$ ;

$C(u) \leftarrow DS.findCluster(u)$ ;

**if**  $C(v) \neq C(u)$  **then**

add edge  $(v, u)$  to  $T$ ;

**Total merging  $O(m \log n)$**

$DS.insert(DS.union(C(v), C(u)))$ ; // merge two clusters

**end**

**end**

**return**  $T$

**Total  $O(m \log n)$**



# Prim's Algorithm

- Initialize tree with single chosen vertex
- Grow tree by finding lightest edge not yet in tree and expanding the tree, and connect it to tree; repeat until all vertices are in the tree
- *Example of greedy algorithm*



Cut property

# Implementing Prim's algorithm

- Using heaps

# Pseudocode: Prim's Algorithm

**Algorithm** Prim-Jarník-Dijkstra

**Input:** a weighted connected graph  $G = (V, E)$

**Output:** an MST  $T$  for  $G$

**Data structure:** array  $D$ ; Priority Queue  $PQ$ ; and tree  $T$

pick an arbitrary vertex  $v$  in  $G$ ;  $D[v] \leftarrow 0$

**for each** vertex  $u \neq v$  **do**  $D[u] \leftarrow +\infty$  **end**

$T \leftarrow \emptyset$

**for each** vertex  $u$  **do**  $PQ.insert(\{(u, (null), D[u])\})$  **end** // including  $v$

// for each vertex  $u$ ,  $(u, \text{edge})$  is the element and  $D[u]$  is the key in  $PQ$

**while not**  $PQ.empty()$  **do**

$(u, e) \leftarrow PQ.deleteMin()$

    add vertex  $u$  and edge  $e$  to  $T$

**for each** vertex  $z$  adjacent to  $u$  such that  $z$  is in  $PQ$  **do**

**if**  $\text{weight}((u, z)) < D[z]$  **then**

$D[z] \leftarrow \text{weight}((u, z))$

            in  $PQ$ , change element and key of  $z$  to  $\{z, (u, z), D[z]\}$

            update  $PQ$

**end**

**end**

**end**

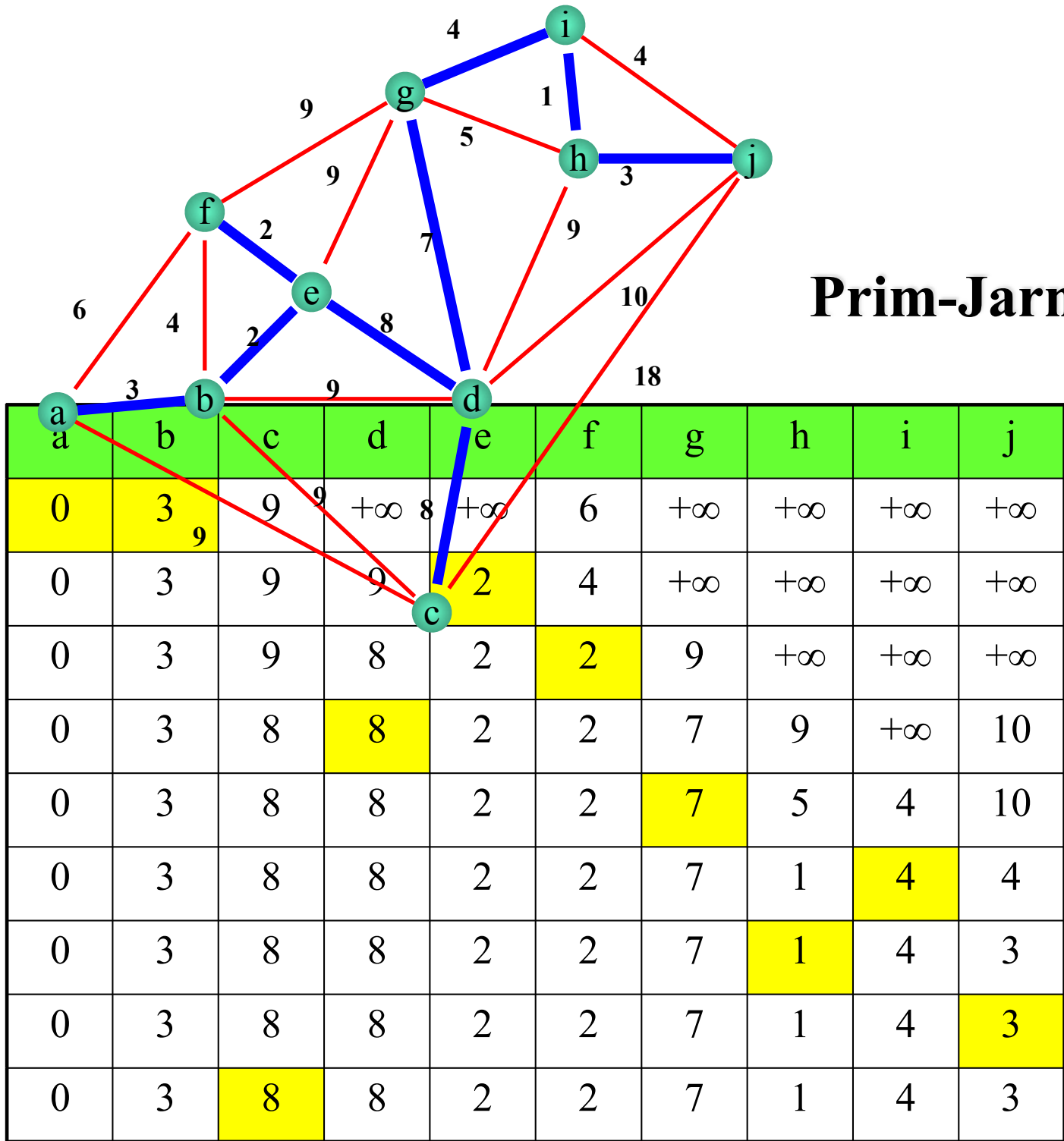
**return**  $T$

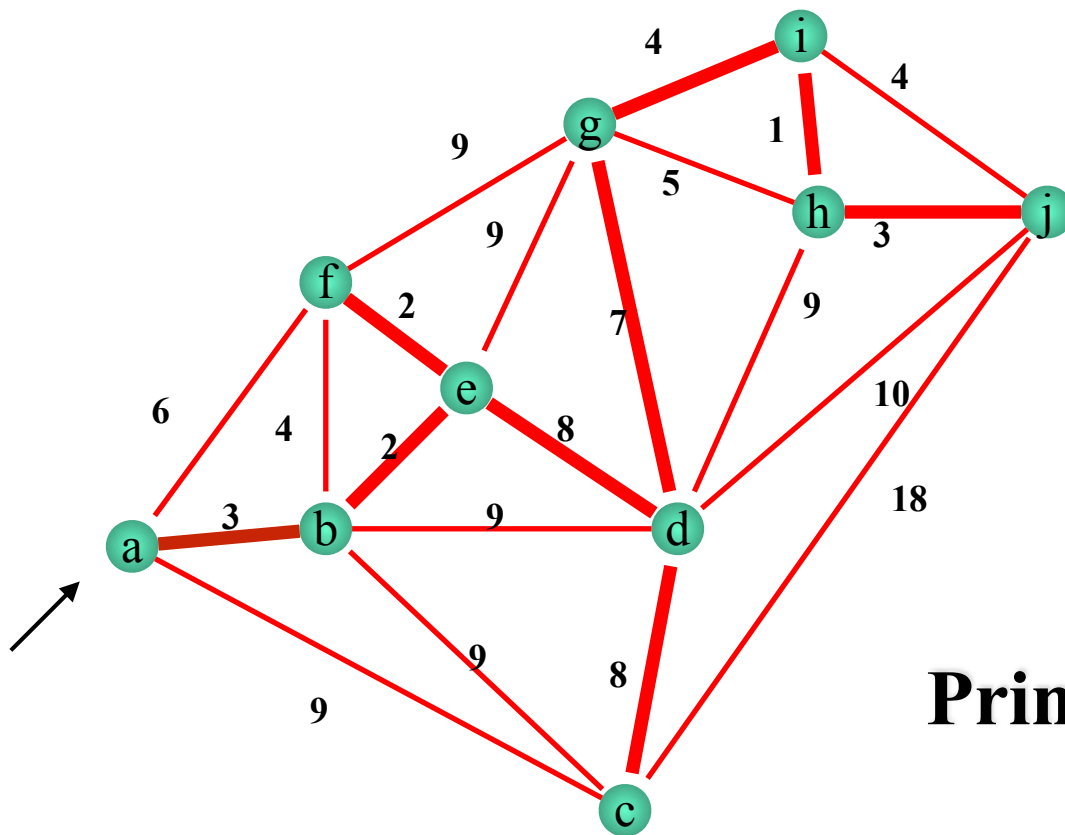
$D$  : distance vector,  
maintains reachable  
vertices

$PQ$  : a priority queue for  
the edges according to  
values in  $D$

# Prim-Jarník-Dijkstra

**D**

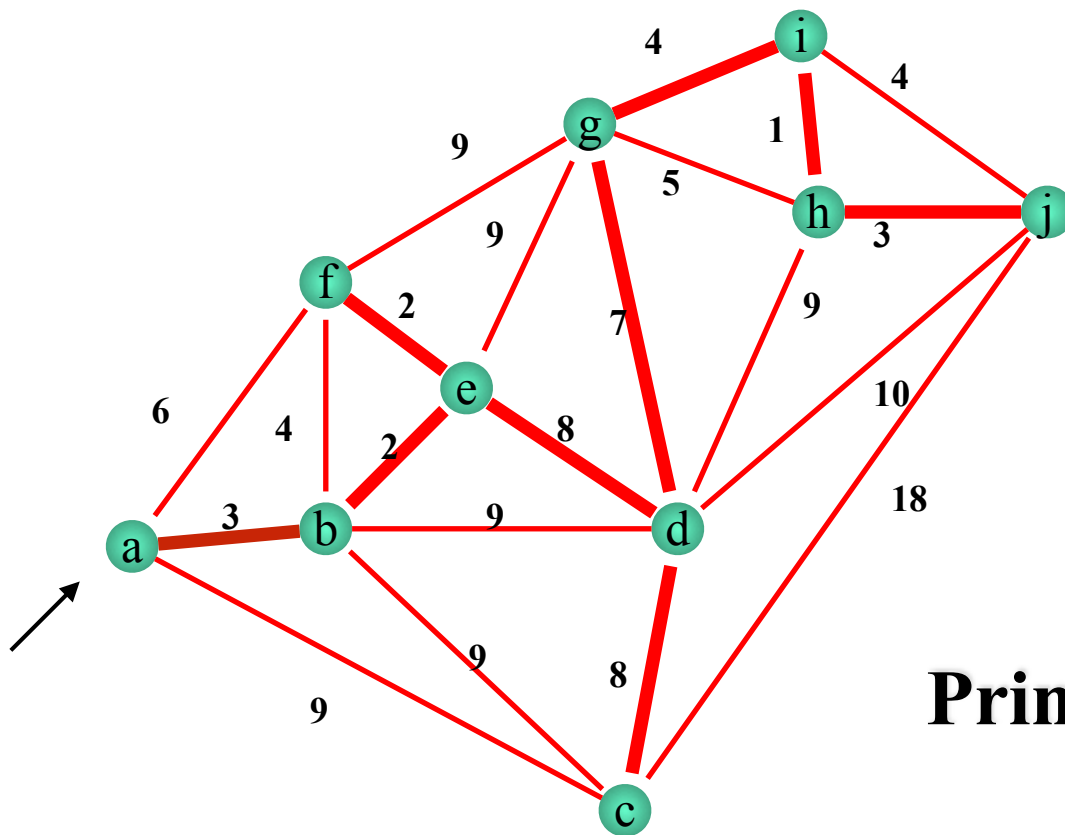




**Prim-Jarník-Dijkstra**

**D**

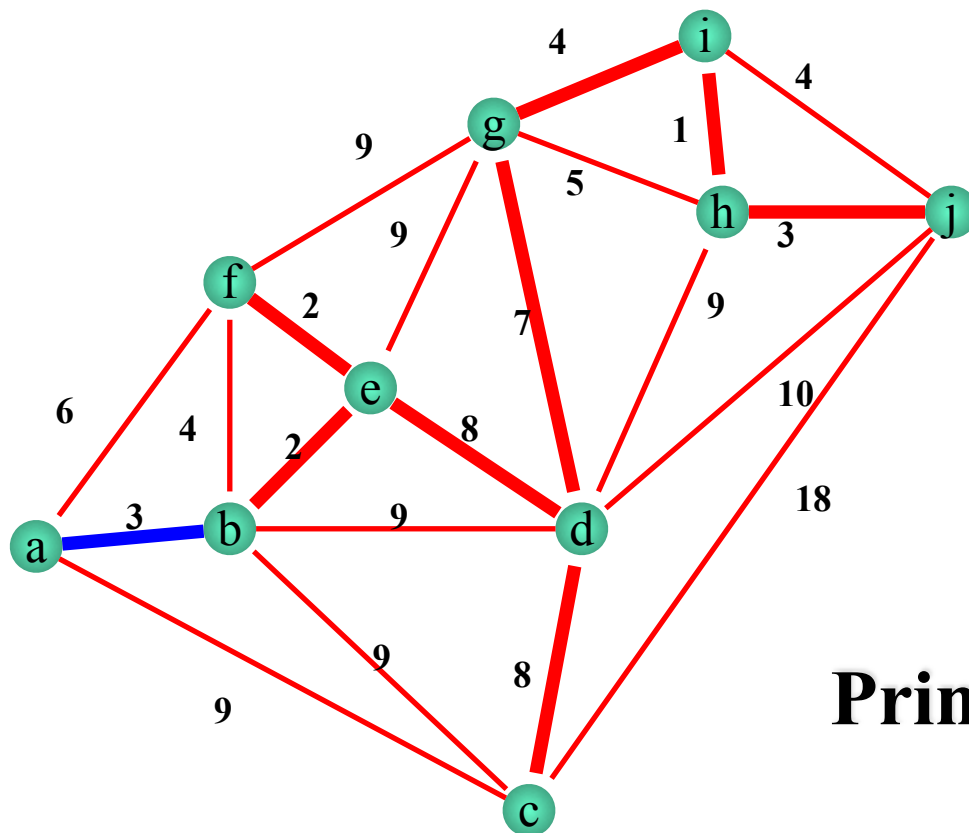
| a | b | c | d         | e         | f | g         | h         | i         | j         |
|---|---|---|-----------|-----------|---|-----------|-----------|-----------|-----------|
| 0 | 3 | 9 | $+\infty$ | $+\infty$ | 6 | $+\infty$ | $+\infty$ | $+\infty$ | $+\infty$ |



**Prim-Jarník-Dijkstra**

**D**

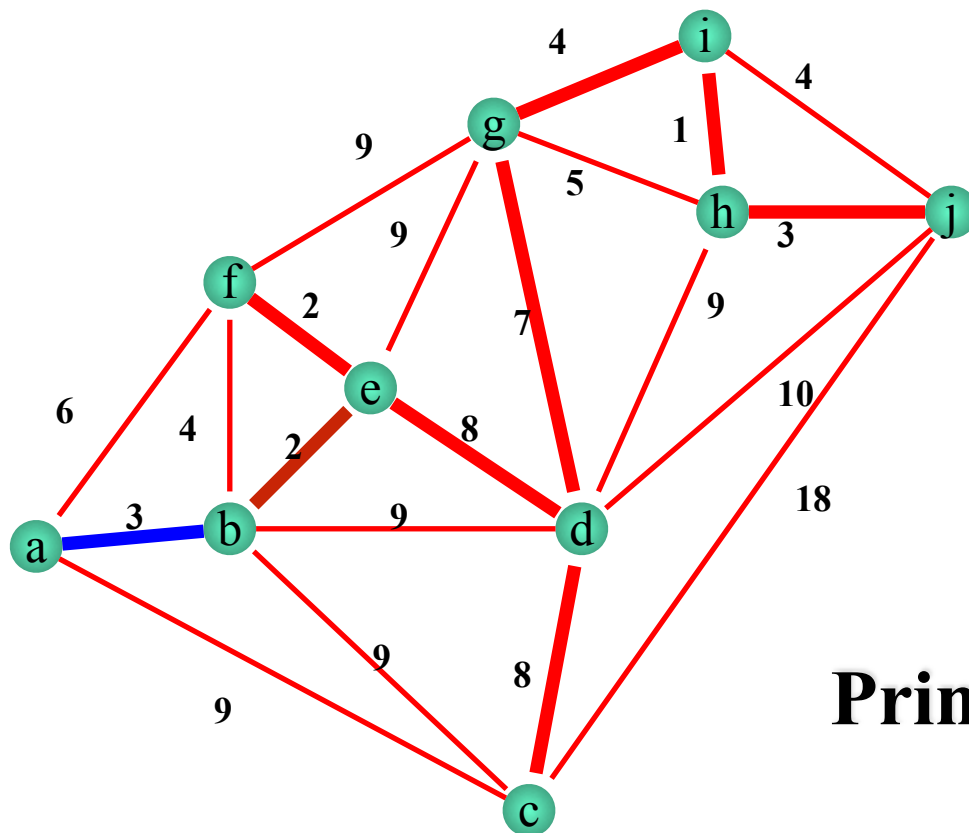
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**Prim-Jarník-Dijkstra**

**D**

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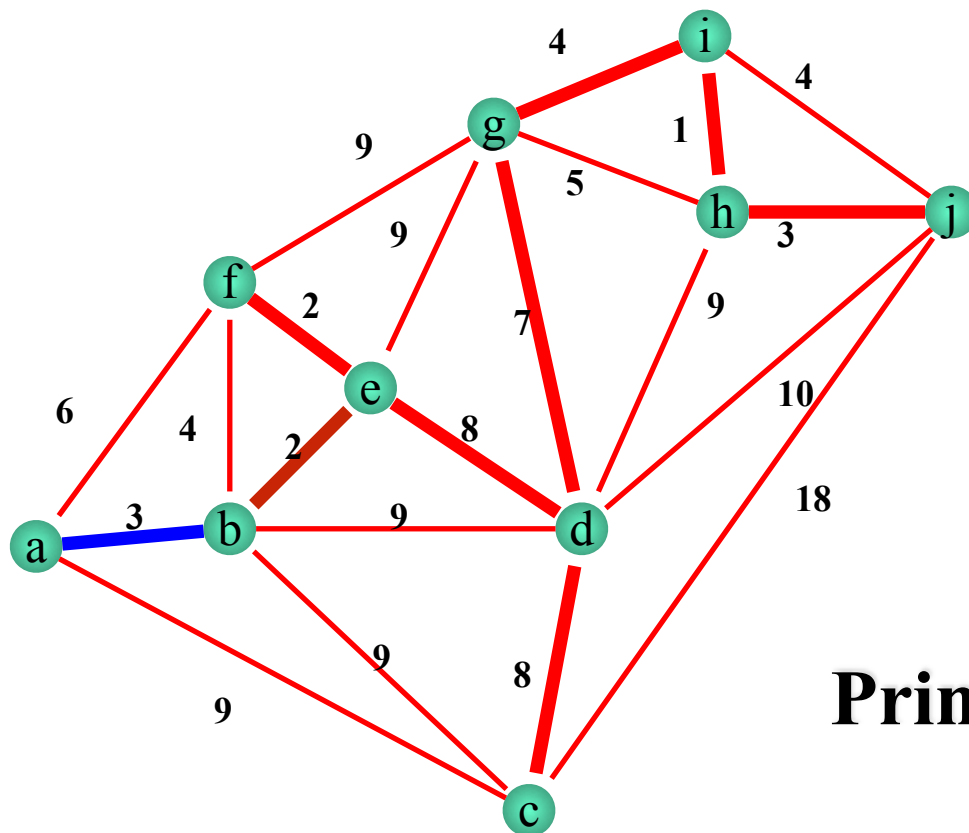


**Prim-Jarník-Dijkstra**

**D**

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|---|---|---|-----------|-----------|---|-----------|-----------|-----------|-----------|
| 0 | 3 | 9 | $+\infty$ | $+\infty$ | 6 | $+\infty$ | $+\infty$ | $+\infty$ | $+\infty$ |
| 0 | 3 | 9 | 9         | 2         | 4 | $+\infty$ | $+\infty$ | $+\infty$ | $+\infty$ |

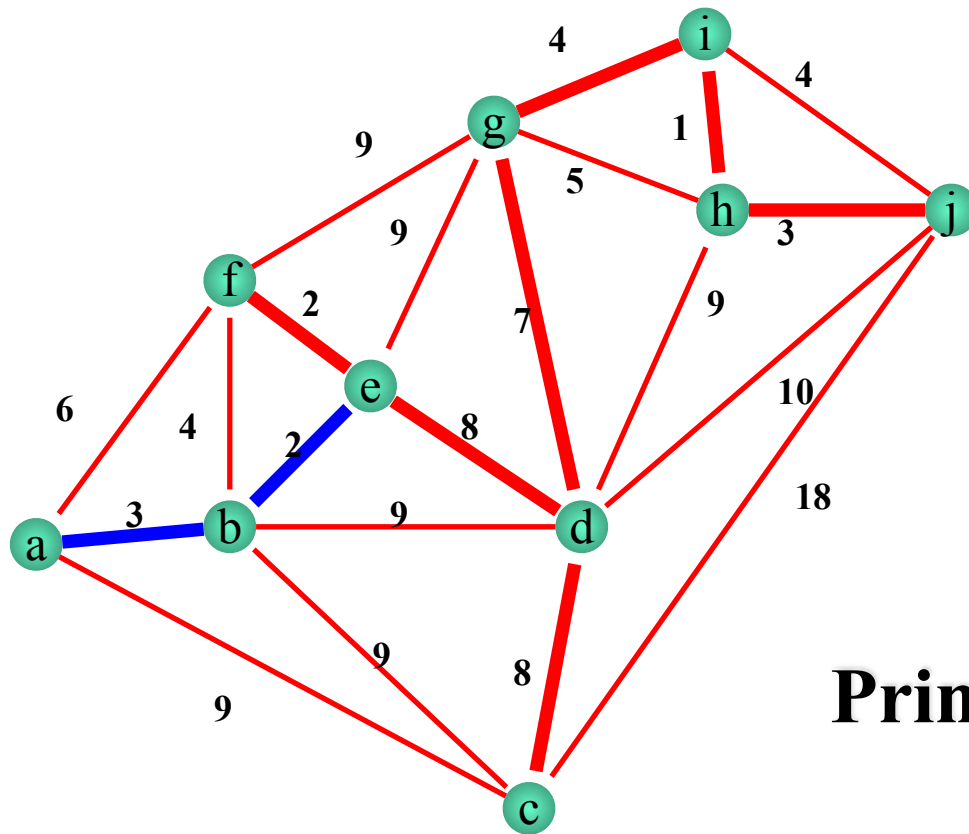




**Prim-Jarník-Dijkstra**

**D**

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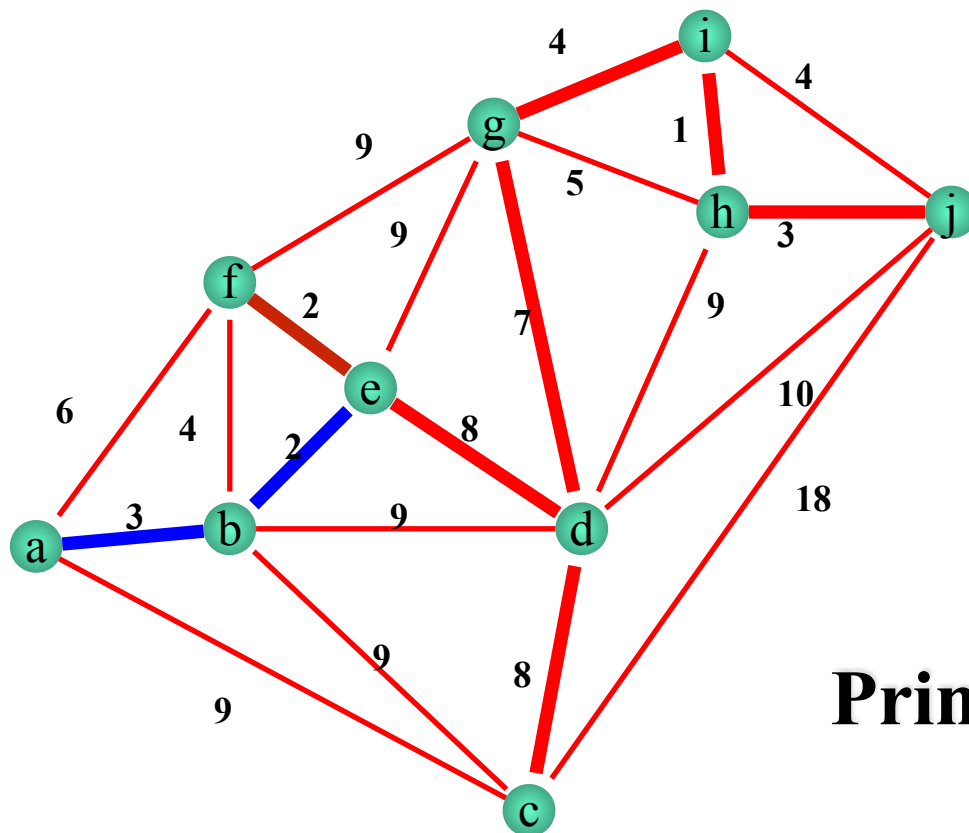
**Prim-Jarník-Dijkstra**

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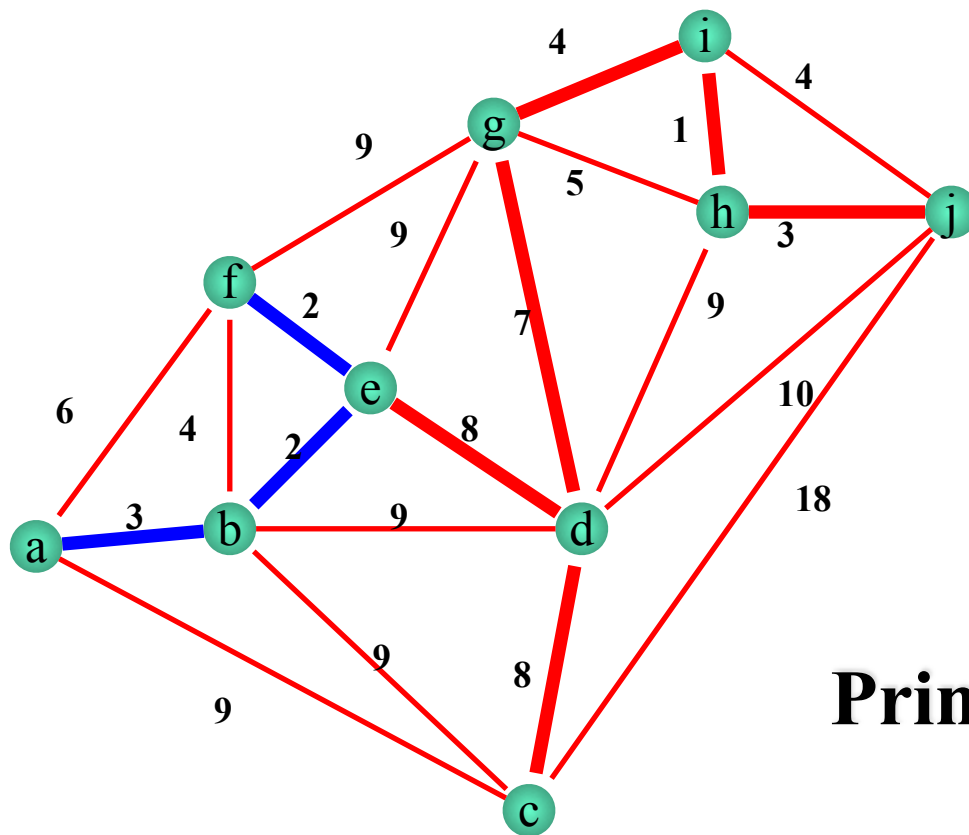
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| 0 | 3 | 9 | $+\infty$ | $+\infty$ | 6 | $+\infty$ | $+\infty$ | $+\infty$ | $+\infty$ |
| 0 | 3 | 9 | 9         | 2         | 4 | $+\infty$ | $+\infty$ | $+\infty$ | $+\infty$ |
| 0 | 3 | 9 | 8         | 2         | 2 | 9         | $+\infty$ | $+\infty$ | $+\infty$ |



**Prim-Jarník-Dijkstra**

**D**

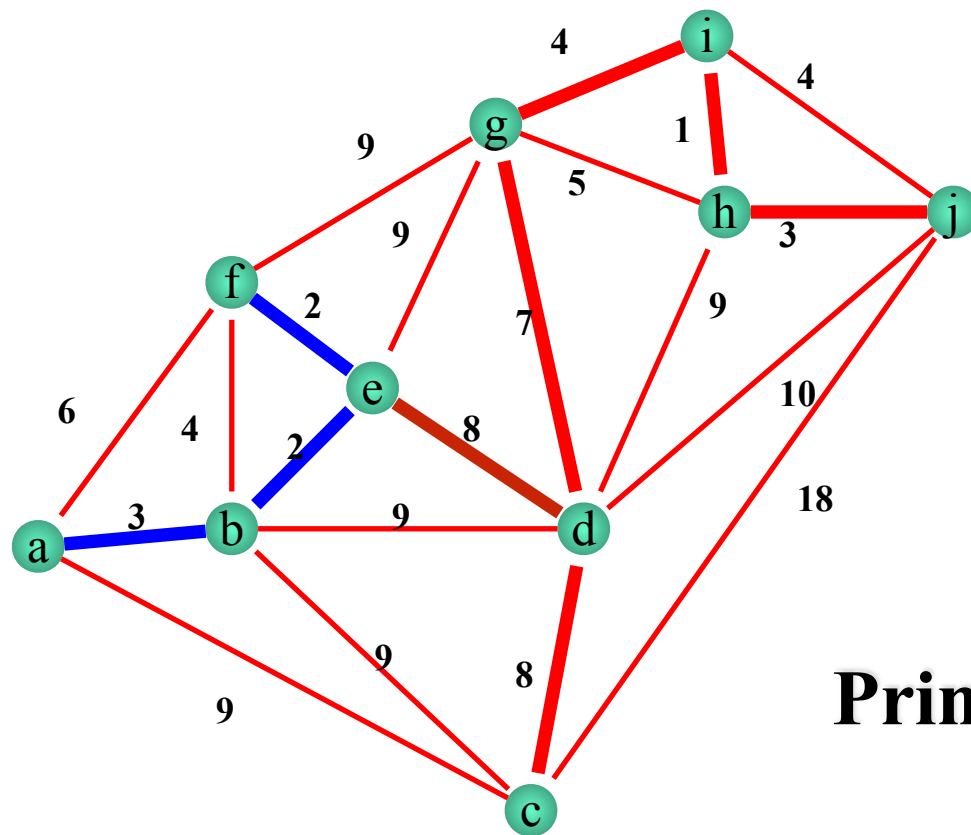
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| 0 | 3 | 9 | 9         | 2         | 4 | $+\infty$ | $+\infty$ | $+\infty$ | $+\infty$ |
| 0 | 3 | 9 | 8         | 2         | 2 | 9         | $+\infty$ | $+\infty$ | $+\infty$ |



**Prim-Jarník-Dijkstra**

**D**

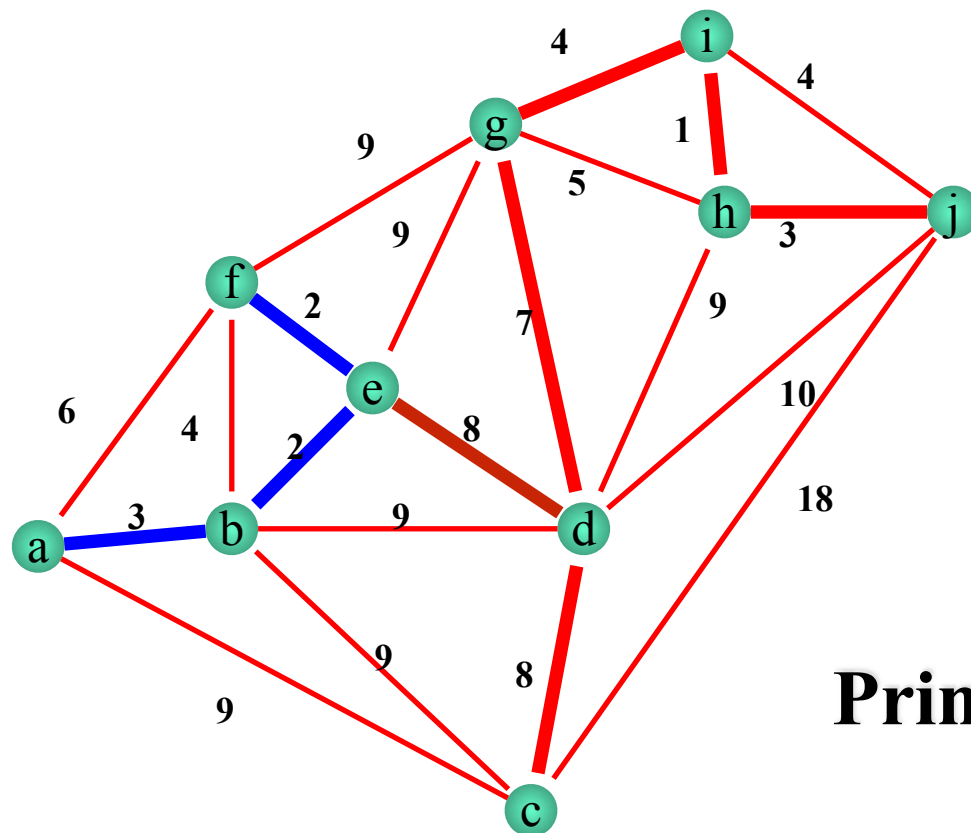
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**Prim-Jarník-Dijkstra**

**D**

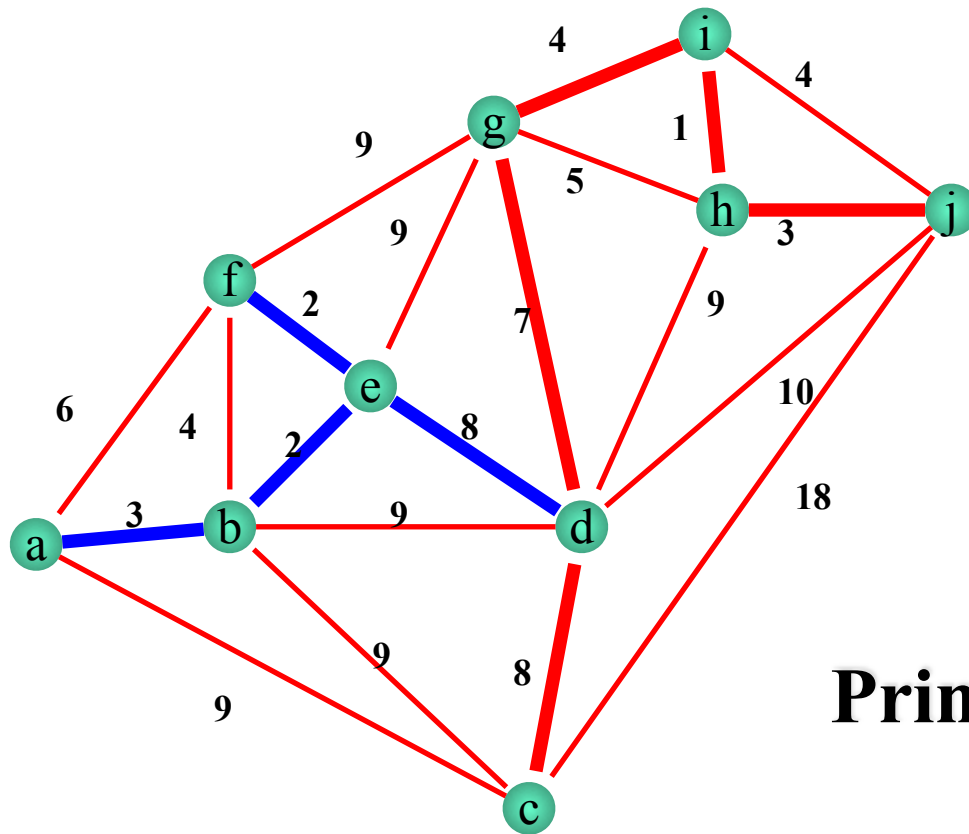
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| 0 | 3 | 9 | 8         | 2         | 2 | 9         | $+\infty$ | $+\infty$ | $+\infty$ |
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**Prim-Jarník-Dijkstra**

**D**

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| 0 | 3 | 9 | 8         | 2         | 2 | 9         | $+\infty$ | $+\infty$ | $+\infty$ |
| 0 | 3 | 8 | 8         | 2         | 2 | 9         | $+\infty$ | $+\infty$ | $+\infty$ |

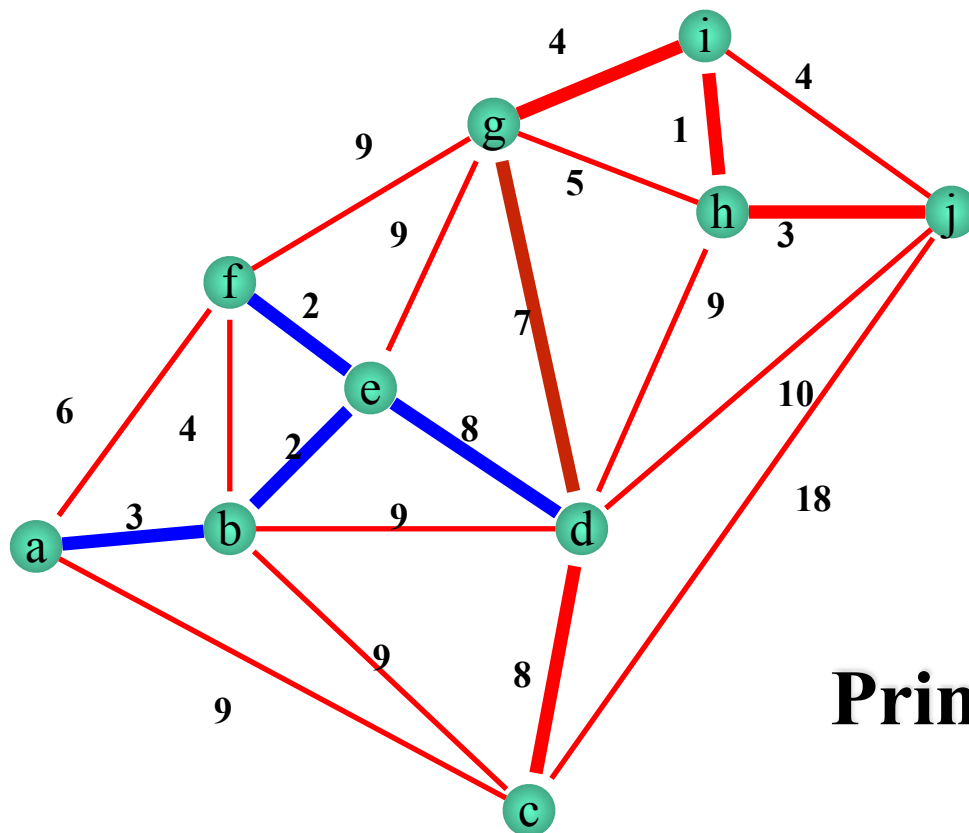


**Prim-Jarník-Dijkstra**

**D**

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| 0 | 3 | 9 | 8         | 2         | 2 | 9         | $+\infty$ | $+\infty$ | $+\infty$ |
| 0 | 3 | 8 | 8         | 2         | 2 | 9         | 9         | $+\infty$ | $+\infty$ |

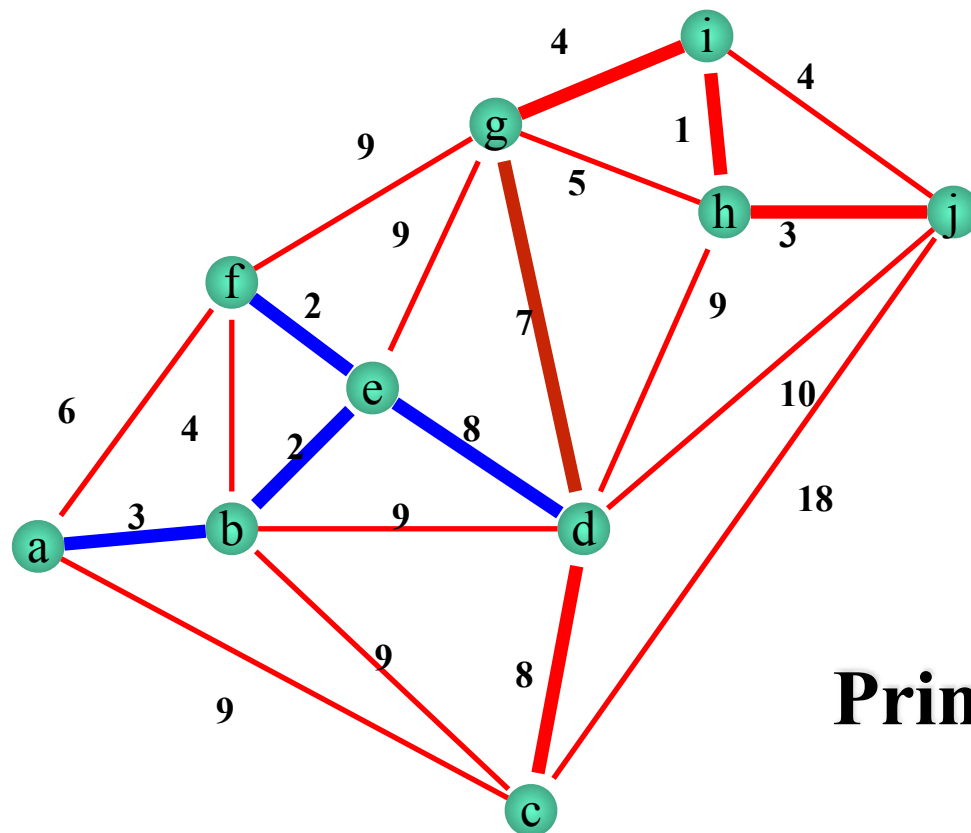




**Prim-Jarník-Dijkstra**

**D**

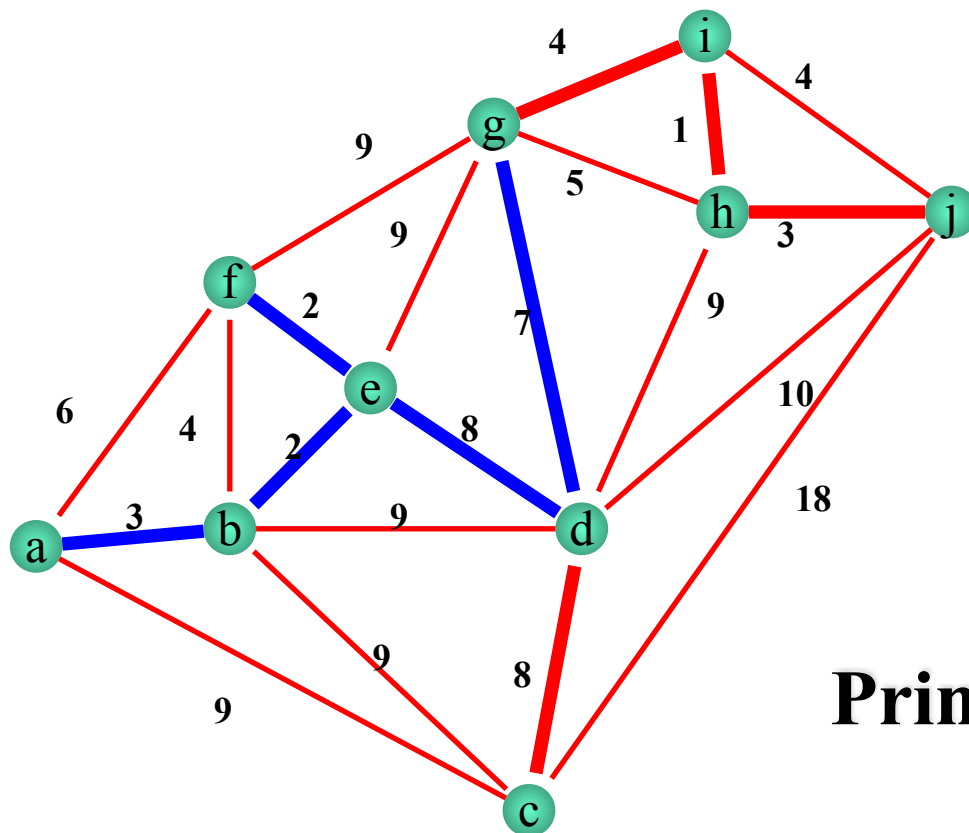
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| 0 | 3 | 9 | 9         | 2         | 4 | $+\infty$ | $+\infty$ | $+\infty$ | $+\infty$ |
| 0 | 3 | 9 | 8         | 2         | 2 | 9         | $+\infty$ | $+\infty$ | $+\infty$ |
| 0 | 3 | 8 | 8         | 2         | 2 | 9         | 9         | $+\infty$ | $+\infty$ |
| 0 | 3 | 8 | 8         | 2         | 2 | 7         | 9         | $+\infty$ | 10        |



**Prim-Jarník-Dijkstra**

**D**

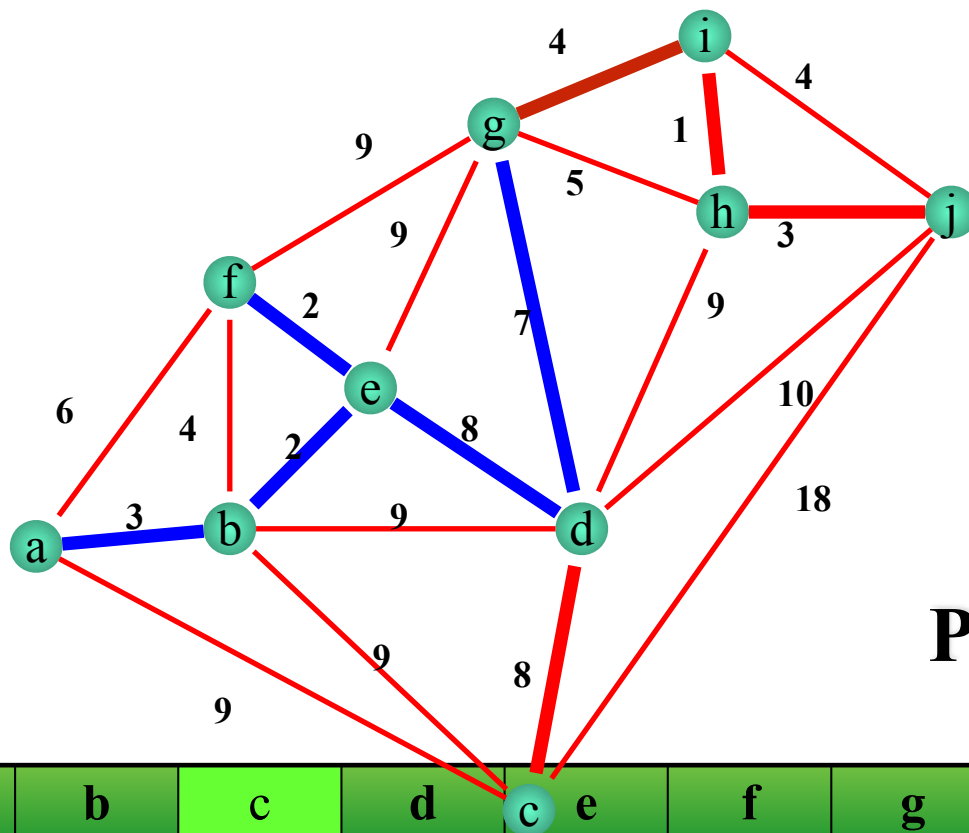
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**Prim-Jarník-Dijkstra**

**D**

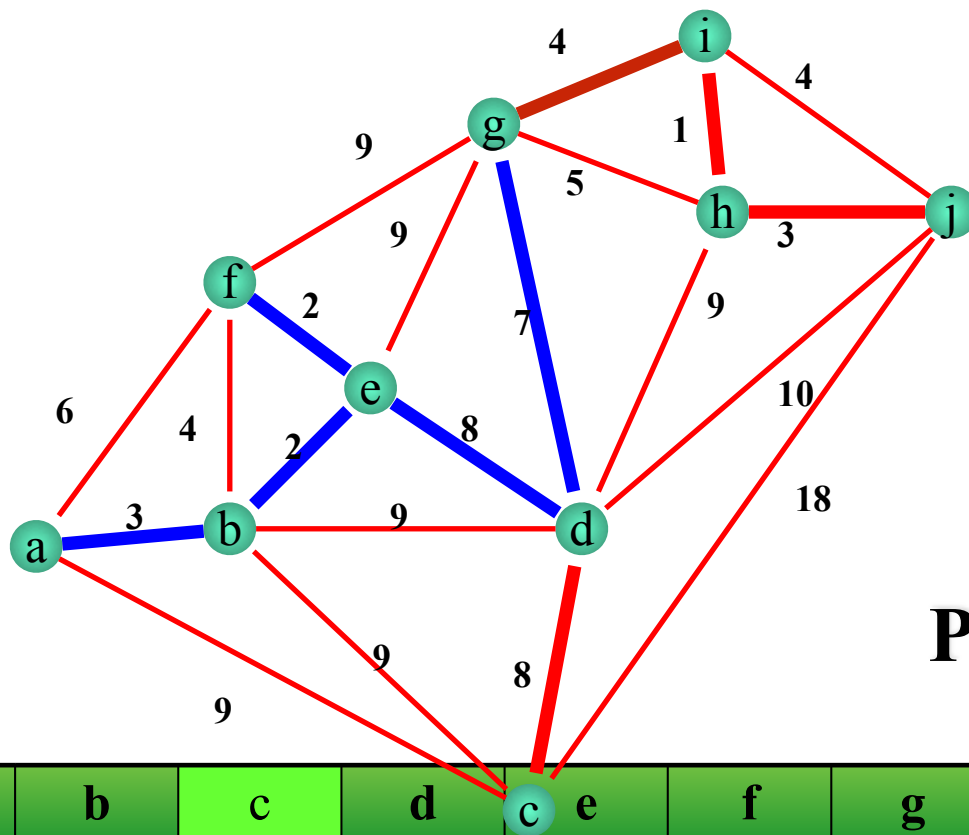
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| 0 | 3 | 9 | $+\infty$ | $+\infty$ | 6 | $+\infty$ | $+\infty$ | $+\infty$ | $+\infty$ |
| 0 | 3 | 9 | 9         | 2         | 4 | $+\infty$ | $+\infty$ | $+\infty$ | $+\infty$ |
| 0 | 3 | 9 | 8         | 2         | 2 | 9         | $+\infty$ | $+\infty$ | $+\infty$ |
| 0 | 3 | 8 | 8         | 2         | 2 | 8         | 9         | $+\infty$ | $+\infty$ |
| 0 | 3 | 8 | 8         | 2         | 2 | 7         | 9         | $+\infty$ | 10        |



## Prim-Jarník-Dijkstra

**D**

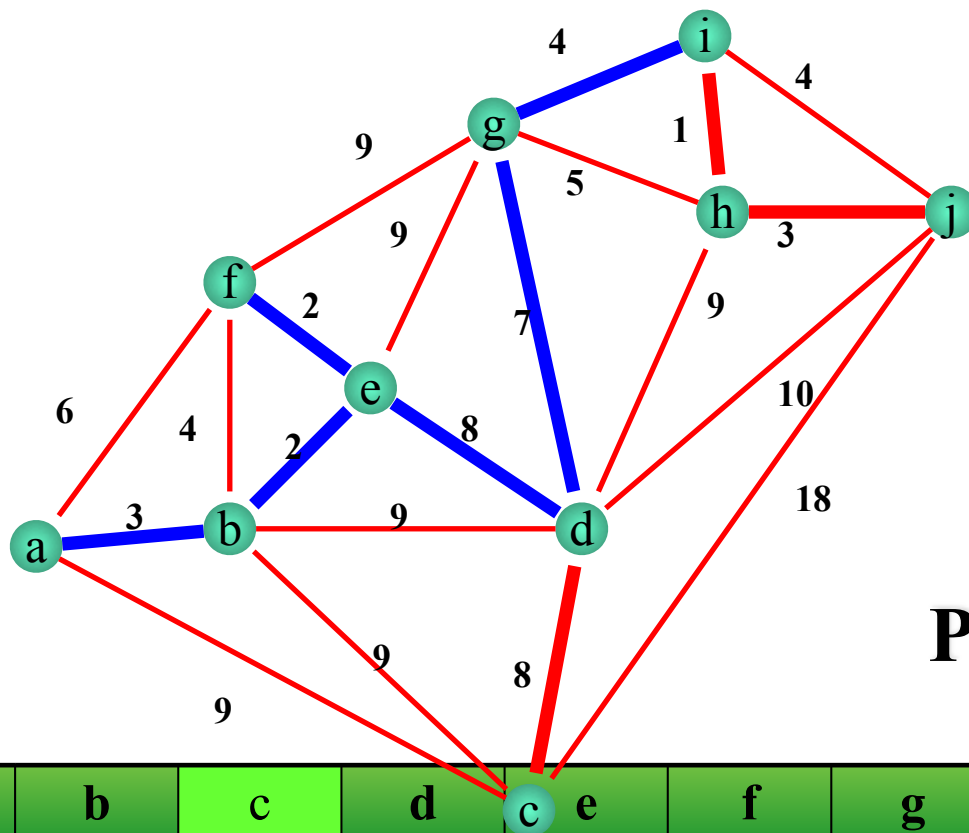
| a | b | c | d         | c         | e         | f | g         | h         | i         | j         |
|---|---|---|-----------|-----------|-----------|---|-----------|-----------|-----------|-----------|
| 0 | 3 | 9 | $+\infty$ | $+\infty$ | $+\infty$ | 6 | $+\infty$ | $+\infty$ | $+\infty$ | $+\infty$ |
| 0 | 3 | 9 | 9         | 2         | $+\infty$ | 4 | $+\infty$ | $+\infty$ | $+\infty$ | $+\infty$ |
| 0 | 3 | 9 | 8         | 2         | 2         | 9 | $+\infty$ | $+\infty$ | $+\infty$ | $+\infty$ |
| 0 | 3 | 8 | 8         | 2         | 2         | 8 | 9         | $+\infty$ | $+\infty$ | $+\infty$ |
| 0 | 3 | 8 | 8         | 2         | 2         | 7 | 9         | $+\infty$ | 10        | $+\infty$ |
| 0 | 3 | 8 | 8         | 2         | 2         | 7 | 1         | 4         | 4         | 4         |



## Prim-Jarník-Dijkstra

**D**

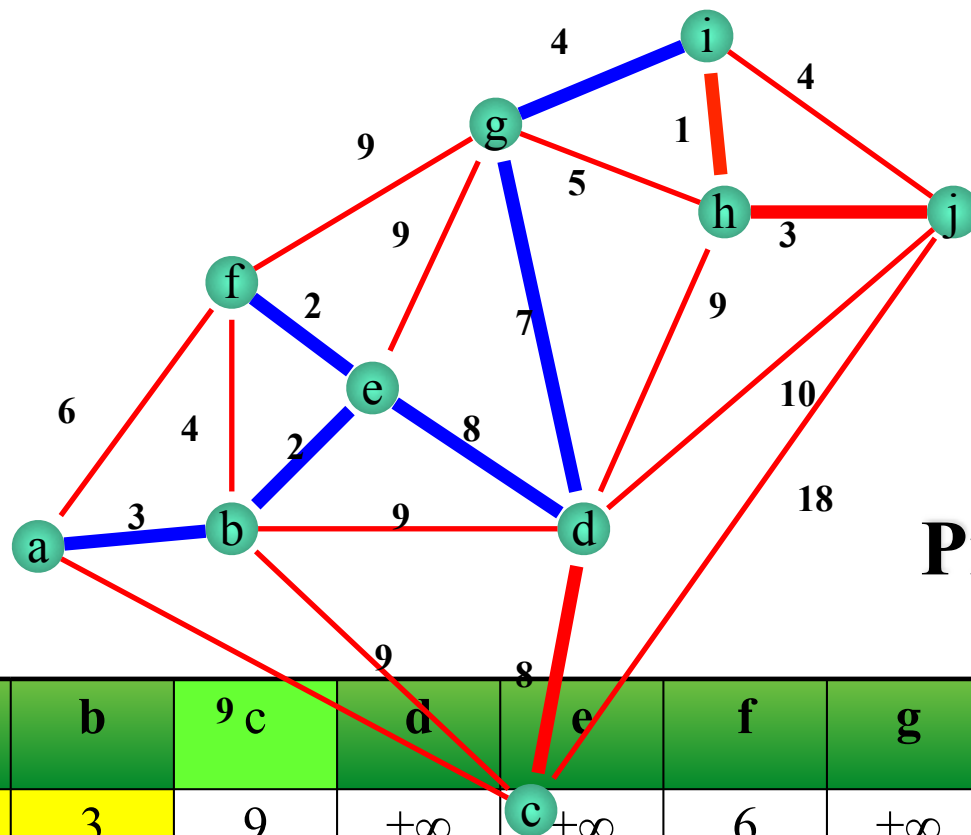
| a | b | c | d         | c         | e         | f | g         | h         | i         | j         |
|---|---|---|-----------|-----------|-----------|---|-----------|-----------|-----------|-----------|
| 0 | 3 | 9 | $+\infty$ | $+\infty$ | $+\infty$ | 6 | $+\infty$ | $+\infty$ | $+\infty$ | $+\infty$ |
| 0 | 3 | 9 | 9         | 2         | $+\infty$ | 4 | $+\infty$ | $+\infty$ | $+\infty$ | $+\infty$ |
| 0 | 3 | 9 | 8         | 2         | 2         | 9 | $+\infty$ | $+\infty$ | $+\infty$ | $+\infty$ |
| 0 | 3 | 8 | 8         | 2         | 2         | 8 | 9         | $+\infty$ | $+\infty$ | $+\infty$ |
| 0 | 3 | 8 | 8         | 2         | 2         | 7 | 5         | 4         | 10        | 10        |
| 0 | 3 | 8 | 8         | 2         | 2         | 7 | 1         | 4         | 4         | 4         |



## Prim-Jarník-Dijkstra

**D**

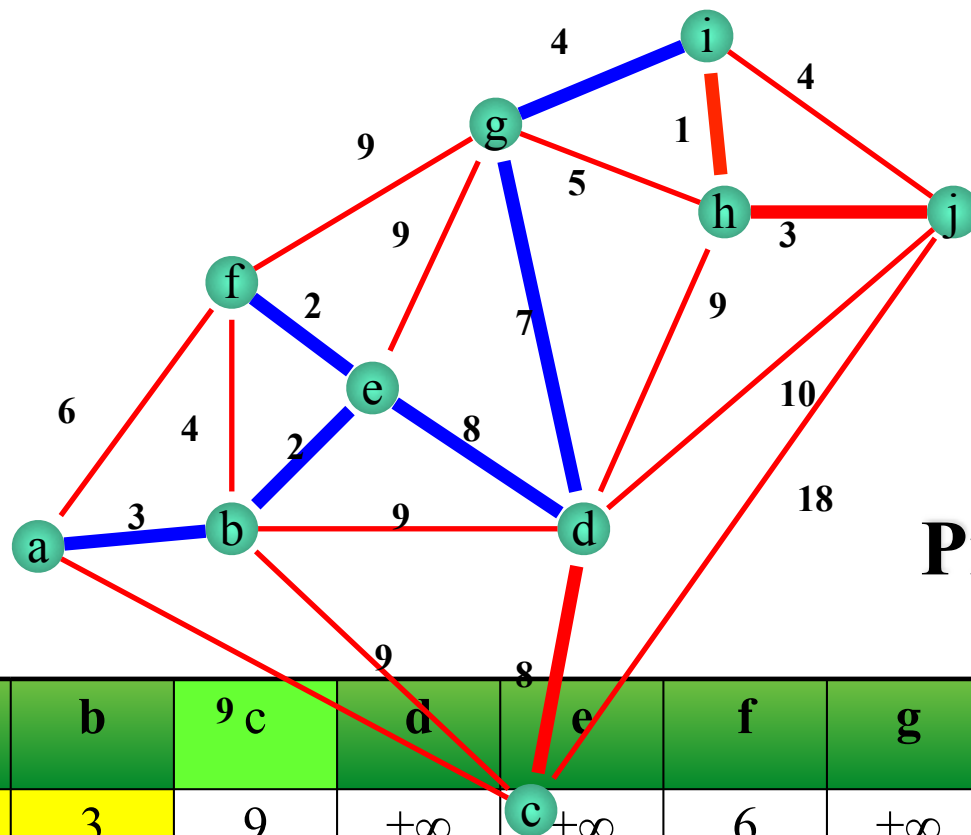
| a | b | c | d         | c         | e         | f | g         | h         | i         | j         |
|---|---|---|-----------|-----------|-----------|---|-----------|-----------|-----------|-----------|
| 0 | 3 | 9 | $+\infty$ | $+\infty$ | $+\infty$ | 6 | $+\infty$ | $+\infty$ | $+\infty$ | $+\infty$ |
| 0 | 3 | 9 | 9         | 2         | $+\infty$ | 4 | $+\infty$ | $+\infty$ | $+\infty$ | $+\infty$ |
| 0 | 3 | 9 | 8         | 2         | 2         | 9 | $+\infty$ | $+\infty$ | $+\infty$ | $+\infty$ |
| 0 | 3 | 8 | 8         | 2         | 2         | 8 | 9         | $+\infty$ | $+\infty$ | $+\infty$ |
| 0 | 3 | 8 | 8         | 2         | 2         | 7 | 5         | 4         | 10        | 10        |
| 0 | 3 | 8 | 8         | 2         | 2         | 7 | 1         | 4         | 4         | 4         |



## Prim-Jarník-Dijkstra

**D**

| a | b | c | d         | e         | f | g         | h         | i         | j         |
|---|---|---|-----------|-----------|---|-----------|-----------|-----------|-----------|
| 0 | 3 | 9 | $+\infty$ | $+\infty$ | 6 | $+\infty$ | $+\infty$ | $+\infty$ | $+\infty$ |
| 0 | 3 | 9 | 9         | 2         | 4 | $+\infty$ | $+\infty$ | $+\infty$ | $+\infty$ |
| 0 | 3 | 9 | 8         | 2         | 2 | 9         | $+\infty$ | $+\infty$ | $+\infty$ |
| 0 | 3 | 8 | 8         | 2         | 2 | 8         | 9         | $+\infty$ | $+\infty$ |
| 0 | 3 | 8 | 8         | 2         | 2 | 7         | 5         | 4         | 10        |
| 0 | 3 | 8 | 8         | 2         | 2 | 7         | 1         | 4         | 4         |
| 0 | 3 | 8 | 8         | 2         | 2 | 7         | 1         | 4         | 3         |

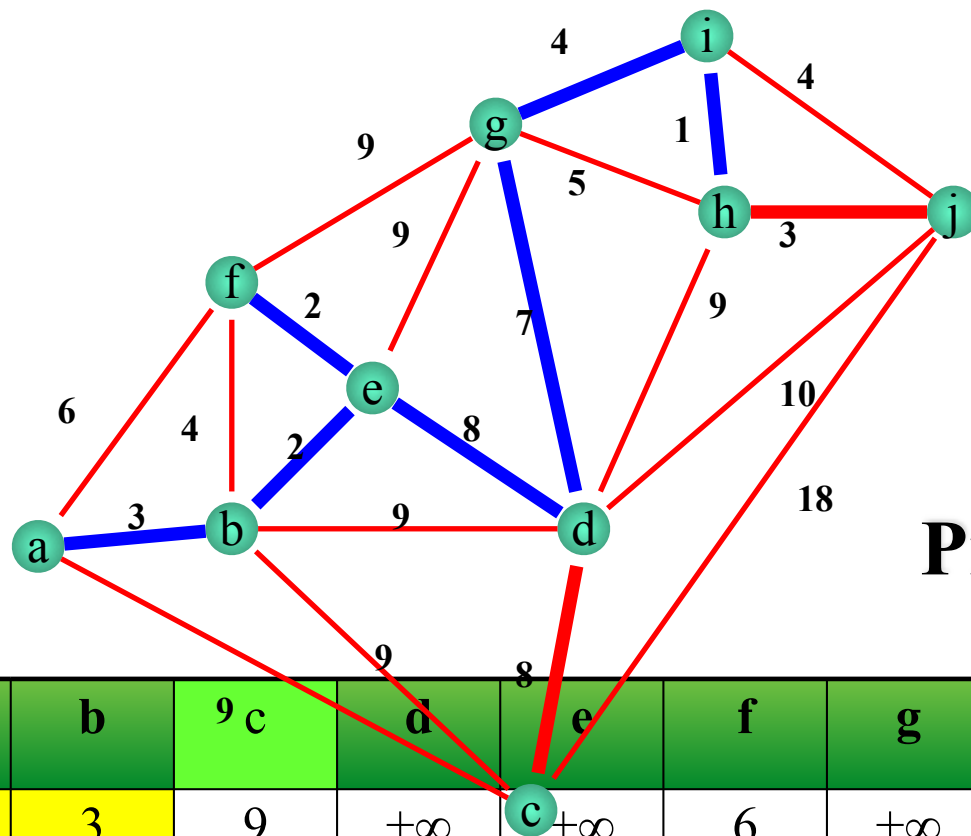


**Prim-Jarník-Dijkstra**

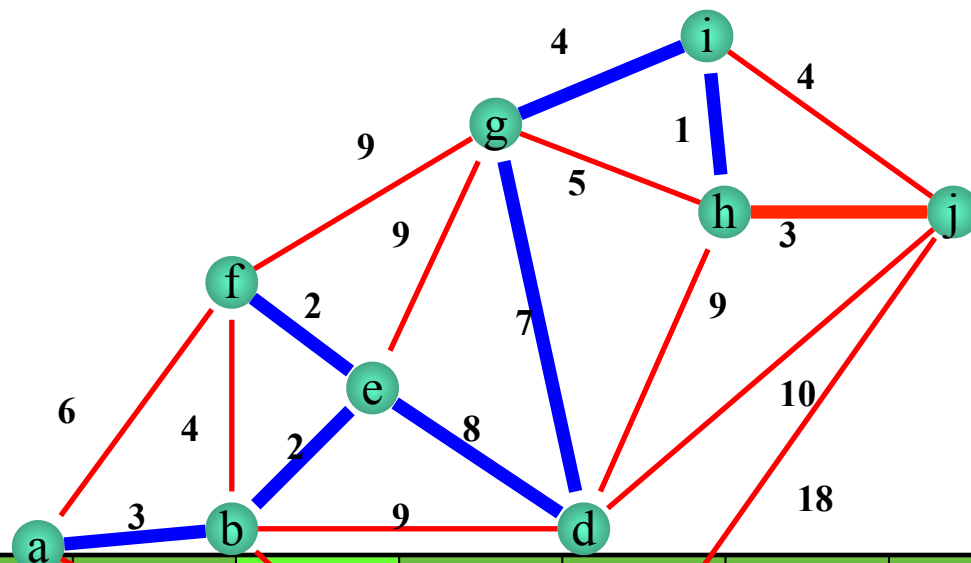
**D**

| a | b | c | d         | e         | f | g         | h         | i         | j         |
|---|---|---|-----------|-----------|---|-----------|-----------|-----------|-----------|
| 0 | 3 | 9 | $+\infty$ | $+\infty$ | 6 | $+\infty$ | $+\infty$ | $+\infty$ | $+\infty$ |
| 0 | 3 | 9 | 9         | 2         | 4 | $+\infty$ | $+\infty$ | $+\infty$ | $+\infty$ |
| 0 | 3 | 9 | 8         | 2         | 2 | 9         | $+\infty$ | $+\infty$ | $+\infty$ |
| 0 | 3 | 8 | 8         | 2         | 2 | 8         | 9         | $+\infty$ | $+\infty$ |
| 0 | 3 | 8 | 8         | 2         | 2 | 7         | 5         | 4         | 10        |
| 0 | 3 | 8 | 8         | 2         | 2 | 7         | 1         | 4         | 4         |
| 0 | 3 | 8 | 8         | 2         | 2 | 7         | 1         | 4         | 3         |





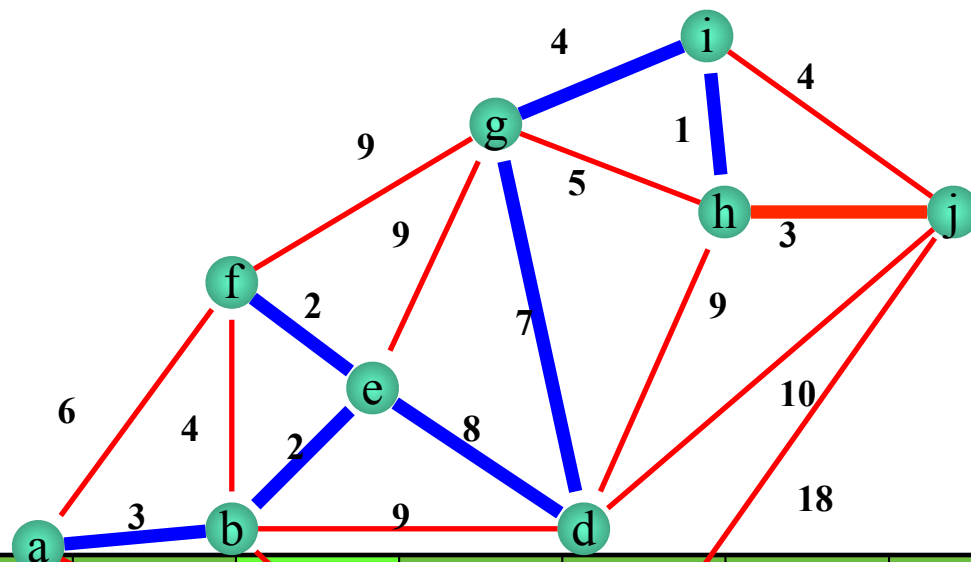
| a | b | c | d         | e         | f | g         | h         | i         | j         |
|---|---|---|-----------|-----------|---|-----------|-----------|-----------|-----------|
| 0 | 3 | 9 | $+\infty$ | $+\infty$ | 6 | $+\infty$ | $+\infty$ | $+\infty$ | $+\infty$ |
| 0 | 3 | 9 | 9         | 2         | 4 | $+\infty$ | $+\infty$ | $+\infty$ | $+\infty$ |
| 0 | 3 | 9 | 8         | 2         | 2 | 9         | $+\infty$ | $+\infty$ | $+\infty$ |
| 0 | 3 | 8 | 8         | 2         | 2 | 8         | 9         | $+\infty$ | $+\infty$ |
| 0 | 3 | 8 | 8         | 2         | 2 | 7         | 5         | 4         | 10        |
| 0 | 3 | 8 | 8         | 2         | 2 | 7         | 1         | 4         | 4         |
| 0 | 3 | 8 | 8         | 2         | 2 | 7         | 1         | 4         | 3         |



## Prim-Jarník-Dijkstra

**D**

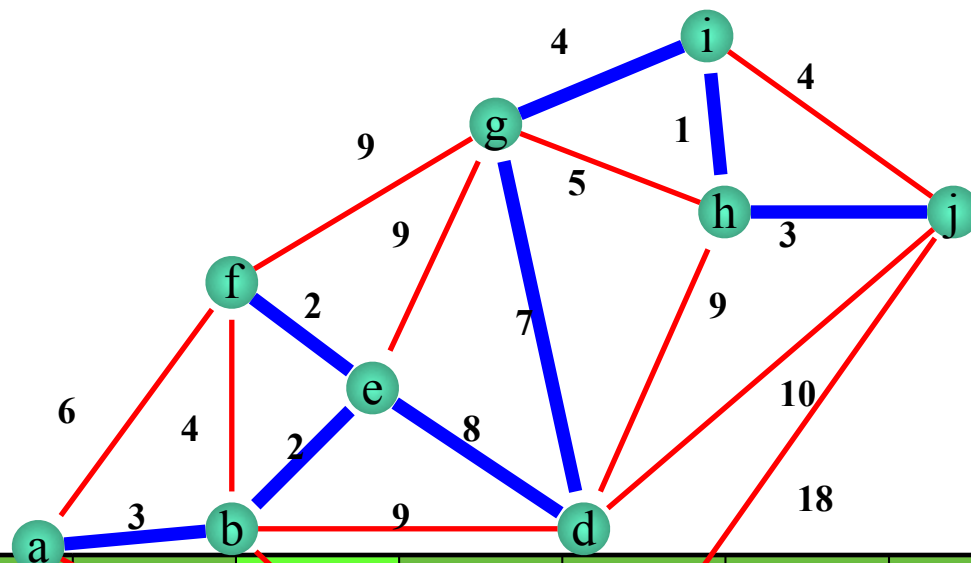
| a | b | c | d         | e         | f | g         | h         | i         | j         |
|---|---|---|-----------|-----------|---|-----------|-----------|-----------|-----------|
| 0 | 3 | 9 | $+\infty$ | $+\infty$ | 6 | $+\infty$ | $+\infty$ | $+\infty$ | $+\infty$ |
| 0 | 3 | 9 | 9         | 2         | 4 | $+\infty$ | $+\infty$ | $+\infty$ | $+\infty$ |
| 0 | 3 | 9 | 8         | 2         | 2 | 9         | $+\infty$ | $+\infty$ | $+\infty$ |
| 0 | 3 | 8 | 8         | 2         | 2 | 8         | 9         | $+\infty$ | $+\infty$ |
| 0 | 3 | 8 | 8         | 2         | 2 | 7         | 5         | 4         | 10        |
| 0 | 3 | 8 | 8         | 2         | 2 | 7         | 1         | 4         | 4         |
| 0 | 3 | 8 | 8         | 2         | 2 | 7         | 1         | 4         | 3         |
| 0 | 3 | 8 | 8         | 2         | 2 | 7         | 1         | 4         | 3         |



## Prim-Jarník-Dijkstra

**D**

| a | b | c | d         | e         | f | g         | h         | i         | j         |
|---|---|---|-----------|-----------|---|-----------|-----------|-----------|-----------|
| 0 | 3 | 9 | $+\infty$ | $+\infty$ | 6 | $+\infty$ | $+\infty$ | $+\infty$ | $+\infty$ |
| 0 | 3 | 9 | 9         | 2         | 4 | $+\infty$ | $+\infty$ | $+\infty$ | $+\infty$ |
| 0 | 3 | 9 | 8         | 2         | 2 | 9         | $+\infty$ | $+\infty$ | $+\infty$ |
| 0 | 3 | 8 | 8         | 2         | 2 | 8         | 9         | $+\infty$ | $+\infty$ |
| 0 | 3 | 8 | 8         | 2         | 2 | 7         | 5         | 4         | 10        |
| 0 | 3 | 8 | 8         | 2         | 2 | 7         | 1         | 4         | 4         |
| 0 | 3 | 8 | 8         | 2         | 2 | 7         | 1         | 4         | 3         |
| 0 | 3 | 8 | 8         | 2         | 2 | 7         | 1         | 4         | 3         |

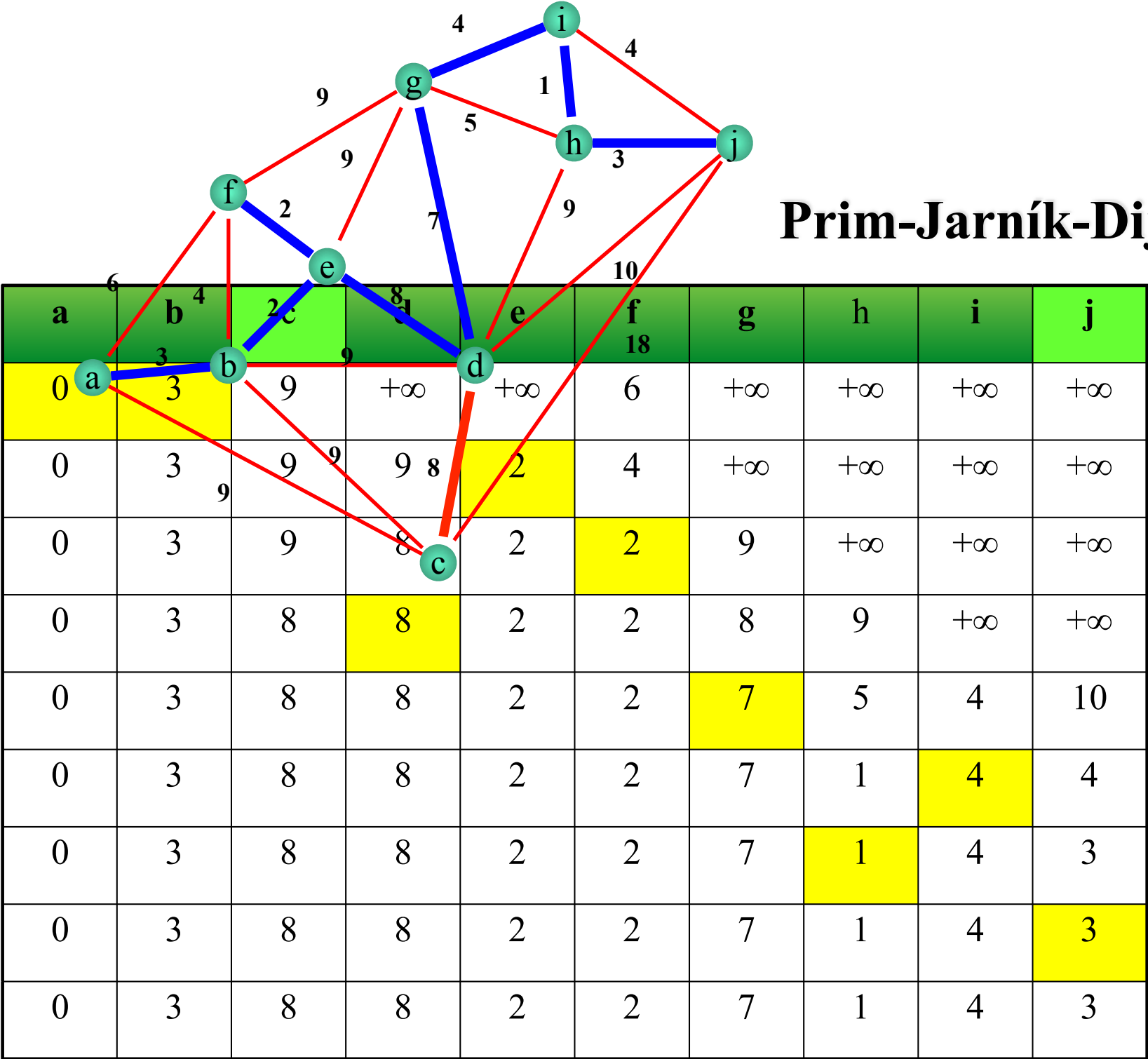


## Prim-Jarník-Dijkstra

**D**

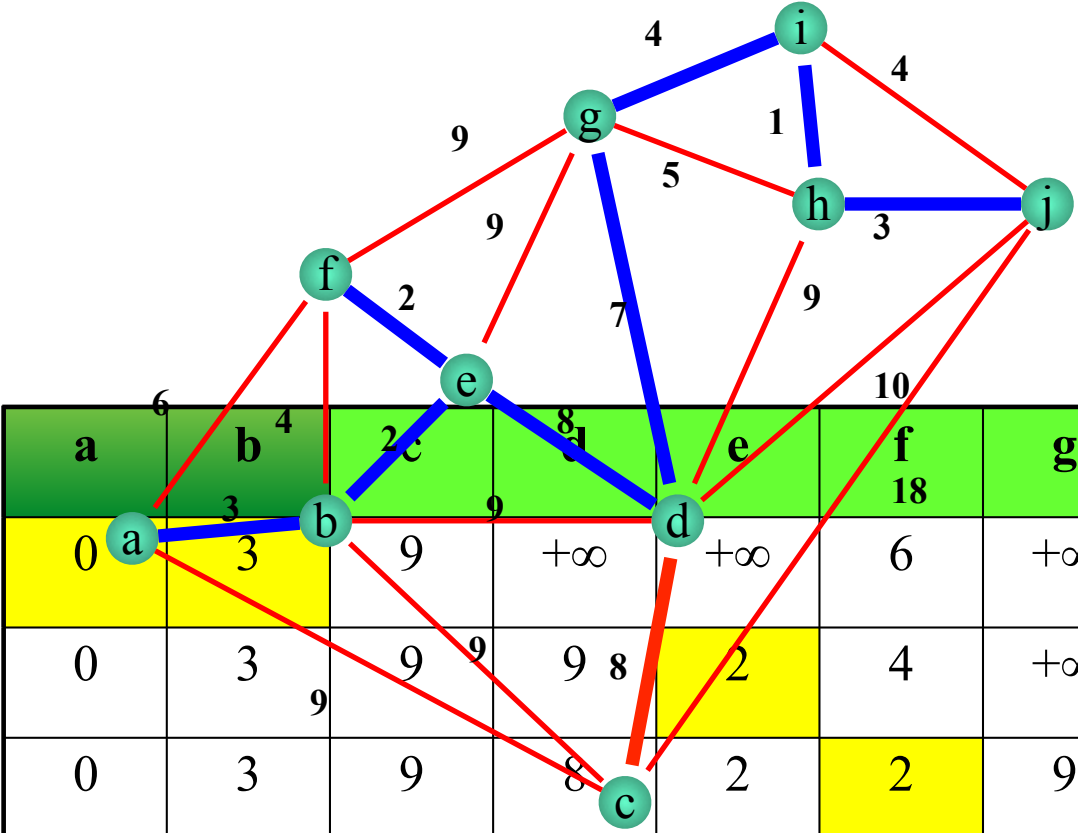
| a | b | c | d         | e         | f | g         | h         | i         | j         |
|---|---|---|-----------|-----------|---|-----------|-----------|-----------|-----------|
| 0 | 3 | 9 | $+\infty$ | $+\infty$ | 6 | $+\infty$ | $+\infty$ | $+\infty$ | $+\infty$ |
| 0 | 3 | 9 | 9         | 2         | 4 | $+\infty$ | $+\infty$ | $+\infty$ | $+\infty$ |
| 0 | 3 | 9 | 8         | 2         | 2 | 9         | $+\infty$ | $+\infty$ | $+\infty$ |
| 0 | 3 | 8 | 8         | 2         | 2 | 8         | 9         | $+\infty$ | $+\infty$ |
| 0 | 3 | 8 | 8         | 2         | 2 | 7         | 5         | 4         | 10        |
| 0 | 3 | 8 | 8         | 2         | 2 | 7         | 1         | 4         | 4         |
| 0 | 3 | 8 | 8         | 2         | 2 | 7         | 1         | 4         | 3         |
| 0 | 3 | 8 | 8         | 2         | 2 | 7         | 1         | 4         | 3         |

# Prim-Jarník-Dijkstra



**D**

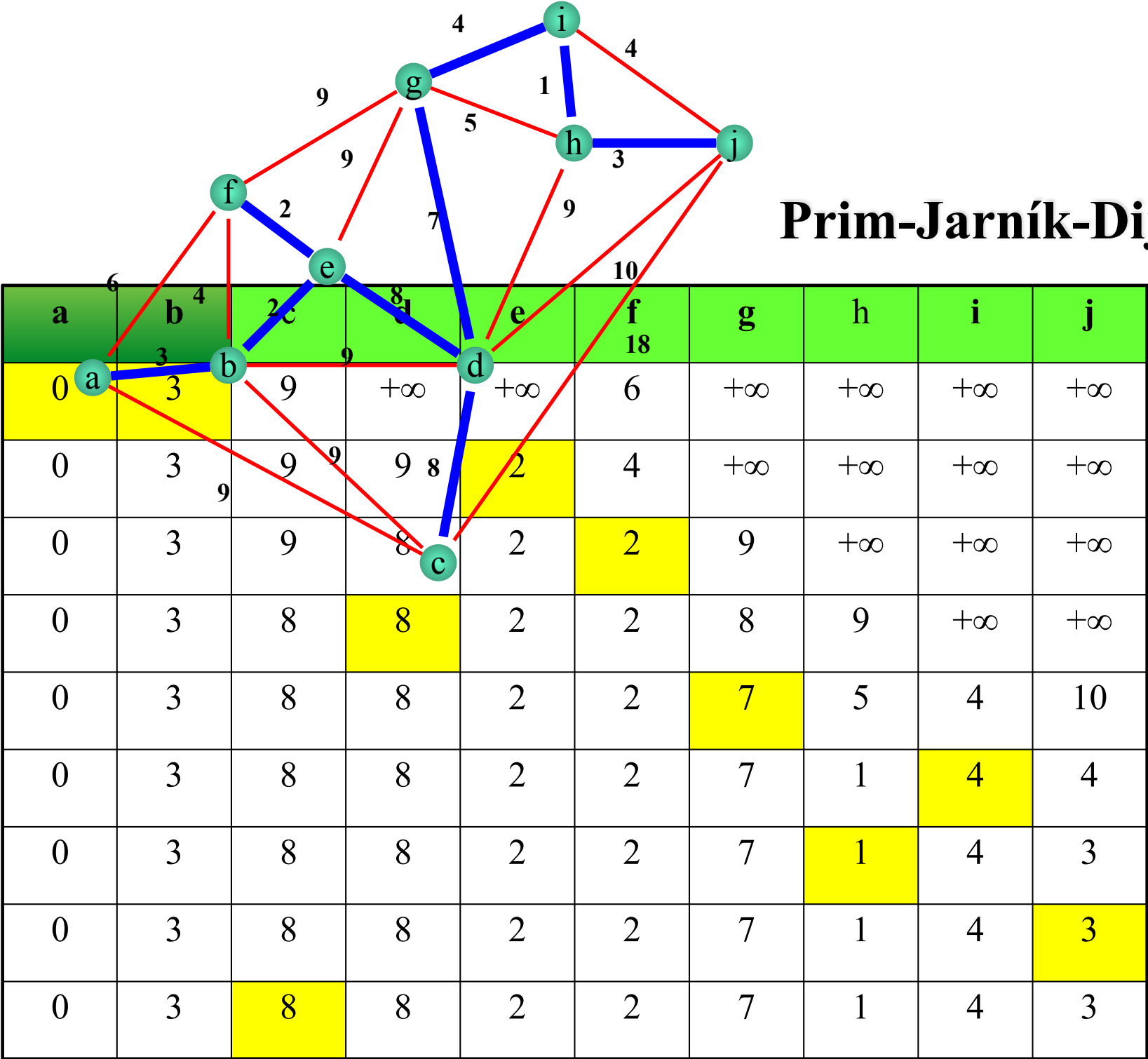
# Prim-Jarník-Dijkstra



|   | a | b | c | d  | e | f  | g | h | i | j |
|---|---|---|---|----|---|----|---|---|---|---|
| a | 0 | 3 | 6 | 9  | + | 6  | + | + | + | + |
| b | 3 | 0 | 4 | 3  | 2 | +  | + | + | + | + |
| c | 6 | 4 | 0 | 8  | 9 | 2  | 9 | + | + | + |
| d | 9 | 3 | 8 | 0  | 7 | 10 | 5 | 9 | 4 | 3 |
| e | + | 2 | 9 | 7  | 0 | +  | + | + | + | + |
| f | 6 | + | 2 | 10 | + | 0  | + | + | + | + |
| g | + | + | 9 | 5  | + | +  | 0 | + | + | + |
| h | + | + | + | 9  | + | +  | + | 0 | + | + |
| i | + | + | + | 4  | + | +  | + | + | 0 | + |
| j | + | + | + | 3  | + | +  | + | + | + | 0 |

**D**

# Prim-Jarník-Dijkstra



D

# Pseudocode: Prim's Algorithm

**Algorithm** Prim-Jarník-Dijkstra

**Input:** a weighted connected graph  $G = (V, E)$

**Output:** an MST  $T$  for  $G$

**Data structure:** array  $D$ ; Priority Queue  $PQ$ ; tree  $T$

pick an arbitrary vertex  $v$  in  $G$ ;  $D[v] \leftarrow 0$

**for each** vertex  $u \neq v$  **do**  $D[u] \leftarrow +\infty$  **end**

$T \leftarrow \emptyset$

**for each** vertex  $u$  **do**  $PQ.insert(\{(u, \text{null}), D[u]\})$  **end** // including  $v$

// for each vertex  $u$ ,  $(u, \text{edge})$  is the element and  $D[u]$  is the key in  $PQ$

**while not**  $PQ.empty()$  **do**

$(u, e) \leftarrow PQ.deleteMin()$

    add vertex  $u$  and edge  $e$  to  $T$

**for each** vertex  $z$  adjacent to  $u$  such that  $z$  is in  $PQ$  **do**

**if**  $\text{weight}((u, z)) < D[z]$  **then**

$D[z] \leftarrow \text{weight}((u, z))$

            in  $PQ$ , change element and key of  $z$  to  $\{z, (u, z), D[z]\}$

            update  $PQ$

**end**

**end**

**end**

**return**  $T$

$D$ : distance vector,  
maintains reachable  
vertices

$PQ$ : priority queue  
(heap) for the edges,  
according to their  
values in  $D$



# Prim-Jarník Time Complexity

**Theorem.** The Prim-Jarník algorithm constructs a minimum spanning tree for a connected weighted graph  $G = (V, E)$  with  $n$  vertices and  $m$  edges in  $O(m \log(n))$  time.

# Borůvka's Algorithm

**Algorithm** Borůvka

**Input:** a weighted connected graph  $G = (V, E)$ , all edge weights pairwise distinct

**Output:** an MST  $T$  for  $G$

**Data structure:** Priority Queue  $PQ$ ; tree  $T$

**let**  $T$  be a subgraph of  $G$  initially containing just the vertices in  $V$

**for each** edge  $e$  in  $E$  **do**  $PQ.insert(e)$  **end**

**while**  $T$  has fewer than  $n-1$  edges **do**

**for each** connected component  $C_k$  in  $T$  **do**

$e = (v, u) \leftarrow PQ.deleteMin()$  with  $v \in C_k$  and  $u \notin C_k$

        add  $e$  to  $T$  unless  $e$  is already in  $T$

**end**

**end**

**return**  $T$

# Time Complexity

- Every stage of the algorithm divides number of trees by two
- No more than  $O(\log(n))$  stages
- A stage may take  $O(m)$  time
- Total:  $O(m \log(n))$

# Borůvka's Algorithm

Time complexity analysis

**Algorithm** Borůvka

**Input:** a weighted connected graph  $G = (V, E)$

**Output:** an MST  $T$  for  $G$

**Data structure:** Priority Queue  $PQ$ ; tree  $T$

**let**  $T$  be a subgraph of  $G$  initially containing just the vertices in  $V$

**for each** edge  $e$  in  $E$  **do**  $PQ.insert(e)$  **end**

**while**  $T$  has fewer than  $n-1$  edges **do**

$O(\log n)$

**for each** connected component  $C_k$  in  $T$  **do**

$e = (v, u) \leftarrow PQ.deleteMin()$  with  $v \in C_k$  and  $u \notin C_k$

$O(m)$

        add  $e$  to  $T$  unless  $e$  is already in  $T$

**end**

**end**

**return**  $T$

Total  $O(m \log n)$

# More on Implementation (Borůvka's Algorithm)

- possible using union-find data structure

# Implementation Considerations

- All three algorithms: same worst-case running time
- each uses different data structures/different approaches
- Kruskal's algorithm uses priority queue to store edges, and union-find data structure, to store clusters
- Prim-Jarník's algorithm is similar to implement as Dijkstra's single-source shortest-path algorithm (for the ones who know Dijkstra's algorithm already)
- Borůvka's algorithm is also easy to implement and stores connected components
- There is no clear winner with respect to best constant