

Algorithm for finding ***non-ndg*** locations (commentary)

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INPUT: $TRS \mathfrak{R}$

OUTPUT: Finite set of locations $F(\mathfrak{R})$

We follow the creation of the set $F(\mathfrak{R})$ in two main sets which encompass the marked locations on the left- and on the right-hand sides of rules:

$LEFT_0(\mathfrak{R})$ is initialized to contain all location with a nested defined symbol on the left.

$$LEFT_0(\mathfrak{R}) := \left\{ (\alpha, L, \tau) \mid \alpha = l \rightarrow r \in \mathfrak{R}, \tau \in pos(l) \setminus \{\varepsilon\}, l|_{\tau} \in D \right\}$$

$RIGHT_0(\mathfrak{R})$ is initialized to contain all location with a duplicated variable on the right.

$$RIGHT_0(\mathfrak{R}) := \left\{ (\alpha, R, \tau) \mid \alpha = l \rightarrow r \in \mathfrak{R}, \tau, \pi \in pos(r), \tau \neq \pi, r|_{\tau} = r|_{\pi} \in V \right\}$$

//Sadly, Slides don't offer inserting equations, so I have to copy with screenshots

Next up, we define $\text{LEFT}_i(\mathfrak{R})$ for all $i > 0$:

$$\begin{aligned} \text{LEFT}_i(\mathfrak{R}) \quad &:= \{ (\alpha, L, \tau) \mid \alpha = l \rightarrow r \in \mathfrak{R}, \tau \in \text{pos}(l), \pi \in \text{pos}(r), \\ &\quad l|_{\tau} = r|_{\pi} \in V, (\alpha, R, \pi) \in \text{RIGHT}_{i-1}(\mathfrak{R}) \} \\ &\cup \text{LEFT}_{i-1}(\mathfrak{R}) \end{aligned}$$

This simply adds to the set locations of variables on the left, which now flow directly into a marked location on the right-hand side of the same rule. Note that for $\text{LEFT}_1(\mathfrak{R})$ we only require $\text{RIGHT}_0(\mathfrak{R})$, which is defined on its own. It also holds:

$$\text{LEFT}_0(\mathfrak{R}) \subseteq \text{LEFT}_1(\mathfrak{R}) \subseteq \text{LEFT}_2(\mathfrak{R}) \subseteq \dots$$

$$\begin{aligned}
LEFT_i(\mathfrak{R}) &:= \{ (\alpha, L, \tau) \mid \alpha = l \rightarrow r \in \mathfrak{R}, \tau \in pos(l), \pi \in pos(r), \\
&\quad l|_{\tau} = r|_{\pi} \in V, (\alpha, R, \pi) \in RIGHT_{i-1}(\mathfrak{R}) \} \\
&\cup LEFT_{i-1}(\mathfrak{R})
\end{aligned}$$

Because of

$$LEFT_0(\mathfrak{R}) \subseteq LEFT_1(\mathfrak{R}) \subseteq LEFT_2(\mathfrak{R}) \subseteq \dots$$

and because there are finite many locations on the left, it can be concluded that for some $n \in \mathbb{N}$:

$$(1) \quad \dots \subseteq LEFT_{n-1}(\mathfrak{R}) \subseteq LEFT_n(\mathfrak{R}) = LEFT_{n+1}(\mathfrak{R}) = \dots$$

Next up, we define $\text{RIGHT}_i(\mathfrak{R})$ for all $i > 0$:

$$\begin{aligned} \text{RIGHT}_i(\mathfrak{R}) &:= \{ (\alpha, R, \tau) \mid \alpha = l \rightarrow r \in \mathfrak{R}, \tau \in \text{pos}(r), \tau = \pi.v \\ &\quad \alpha \neq \beta = s \rightarrow t \in \mathfrak{R}, \exists \text{MGU for } \mathfrak{R}|_{(\alpha, R, \pi)} \text{ and } \mathfrak{R}|_{(\beta, L, \varepsilon)}, \\ &\quad v \nVdash \omega \in \text{pos}(s), \exists (\beta, L, \omega) \in \text{LEFT}_{i-1}(\mathfrak{R}) \} \\ &\cup \{ (l \rightarrow r, R, \tau) \mid \exists (\beta, P, \pi) \in M_{i-1}(\mathfrak{R}), \text{root}(l) = \text{root}(\mathfrak{R}|_{(\beta, P, \pi)}) \in D, \tau \in \text{pos}(r) \} \\ &\cup \text{RIGHT}_{i-1}(\mathfrak{R}) \end{aligned}$$

We are going to take a look at the first subset in more detail next.

$$\{ (\alpha, R, \tau) \mid \alpha = l \rightarrow r \in \mathfrak{R}, \tau \in \text{pos}(r), \tau = \pi.v$$

$$\alpha \neq \beta = s \rightarrow t \in \mathfrak{R}, \exists \text{MGU for } \mathfrak{R}|_{(\alpha, R, \pi)} \text{ and } \mathfrak{R}|_{(\beta, L, \varepsilon)},$$

$$v \nVdash \omega \in \text{pos}(s), \exists (\beta, L, \omega) \in \text{LEFT}_{i-1}(\mathfrak{R}) \}$$

This set includes locations on the right that flow into *non-ndg* locations on the left.
Let's look at an example.

$\{ (\alpha, R, \tau) \mid \alpha = l \rightarrow r \in \mathfrak{R}, \tau \in \text{pos}(r), \tau = \pi.v$
 $\alpha \neq \beta = s \rightarrow t \in \mathfrak{R}, \exists \text{MGU for } \mathfrak{R}|_{(\alpha, R, \pi)} \text{ and } \mathfrak{R}|_{(\beta, L, \varepsilon)},$
 $v \nVdash \omega \in \text{pos}(s), \exists (\beta, L, \omega) \in \text{LEFT}_{i-1}(\mathfrak{R}) \}$

Let this be the left
term of β , i.e. s

x is marked here



Let this be the right
term of α , i.e. r

We want to determine
if this location is in the
set



//Other branches of these
terms are irrelevant

$\{ (\alpha, R, \tau) \mid \alpha = l \rightarrow r \in \mathfrak{R}, \tau \in \text{pos}(r), \tau = \pi.v \}$

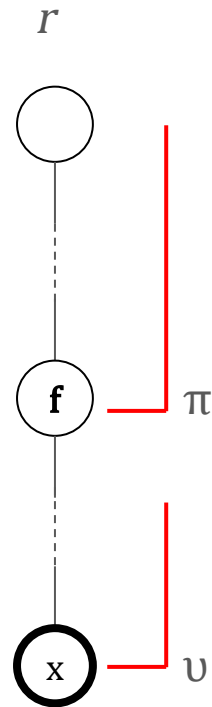
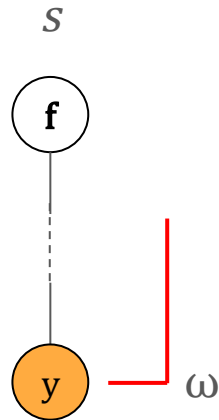
$\alpha \neq \beta = s \rightarrow t \in \mathfrak{R}, \exists \text{MGU for } \mathfrak{R}|_{(\alpha, R, \pi)} \text{ and } \mathfrak{R}|_{(\beta, L, \varepsilon)},$

$v \nVdash \omega \in \text{pos}(s), \exists (\beta, L, \omega) \in \text{LEFT}_{i-1}(\mathfrak{R}) \}$

π marks the start of the term, which we try to match

Ideally the terms match perfectly and we have:

$$\omega \geq v, v \geq \omega$$



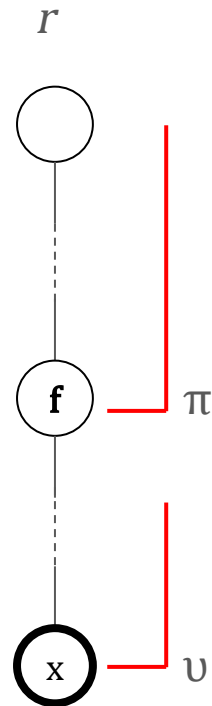
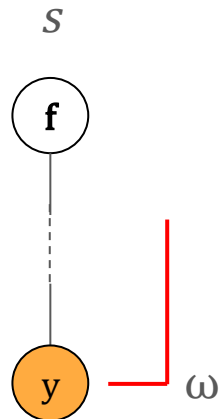
$\{ (\alpha, R, \tau) \mid \alpha = l \rightarrow r \in \mathfrak{R}, \tau \in \text{pos}(r), \tau = \pi.v \}$

$\alpha \neq \beta = s \rightarrow t \in \mathfrak{R}, \exists \text{MGU for } \mathfrak{R}|_{(\alpha, R, \pi)} \text{ and } \mathfrak{R}|_{(\beta, L, \varepsilon)},$

$v \nVdash \omega \in \text{pos}(s), \exists (\beta, L, \omega) \in \text{LEFT}_{i-1}(\mathfrak{R}) \}$

However, we must
also consider the
separate cases!

Let's get rid of the
upper part of r for
some space, but keep
in mind it's still there.



$\{ (\alpha, R, \tau) \mid \alpha = l \rightarrow r \in \mathfrak{R}, \tau \in pos(r), \tau = \pi.v \}$

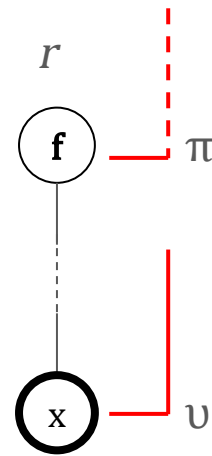
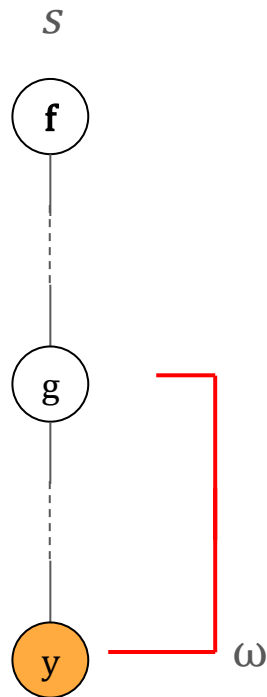
$\alpha \neq \beta = s \rightarrow t \in \mathfrak{R}, \exists \text{ MGU for } \mathfrak{R}|_{(\alpha, R, \pi)} \text{ and } \mathfrak{R}|_{(\beta, L, \varepsilon)},$

$v \nVdash \omega \in pos(s), \exists (\beta, L, \omega) \in LEFT_{i-1}(\mathfrak{R}) \}$

I. $\omega \geq v$

Here only $\omega \geq v$
would hold.

Since we don't know
what symbols occur
between v and ω , we
can consider two
important cases.



MGU $\sigma = \{ X/g(\dots(y)), \dots \}$

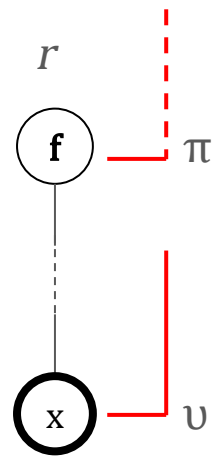
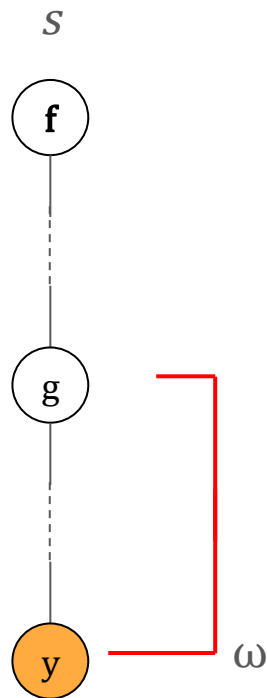
$\{ (\alpha, R, \tau) \mid \alpha = l \rightarrow r \in \mathfrak{R}, \tau \in pos(r), \tau = \pi.v \}$

$\alpha \neq \beta = s \rightarrow t \in \mathfrak{R}, \exists \text{ MGU for } \mathfrak{R}|_{(\alpha, R, \pi)} \text{ and } \mathfrak{R}|_{(\beta, L, \varepsilon)},$

$v \nVdash \omega \in pos(s), \exists (\beta, L, \omega) \in LEFT_{i-1}(\mathfrak{R}) \}$

I. $\omega \geq v$

1. If no defined symbol occurs in between, then there is a sequence, which takes this rewrite step.



MGU $\sigma = \{ X/g(\dots(y)), \dots \}$

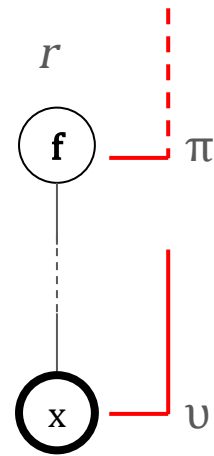
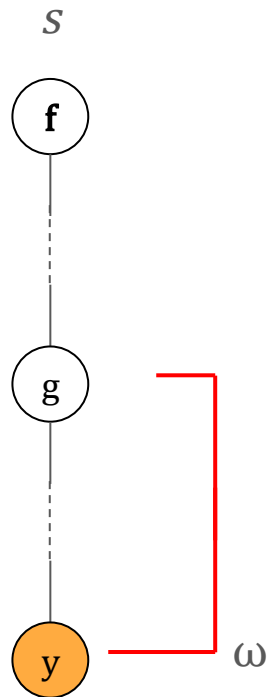
$\{ (\alpha, R, \tau) \mid \alpha = l \rightarrow r \in \mathfrak{R}, \tau \in pos(r), \tau = \pi.v \}$

$\alpha \neq \beta = s \rightarrow t \in \mathfrak{R}, \exists \text{ MGU for } \mathfrak{R}|_{(\alpha, R, \pi)} \text{ and } \mathfrak{R}|_{(\beta, L, \varepsilon)},$

$v \nVdash \omega \in pos(s), \exists (\beta, L, \omega) \in LEFT_{i-1}(\mathfrak{R}) \}$

I. $\omega \geq v$

2. If a defined symbol h occurs in between, than it is possible that x never receives h as input and thus no sequence exist, where this rewrite step is taken.



MGU $\sigma = \{ X/g(\dots(y)), \dots \}$

$\{ (\alpha, R, \tau) \mid \alpha = l \rightarrow r \in \mathfrak{R}, \tau \in \text{pos}(r), \tau = \pi.v \}$

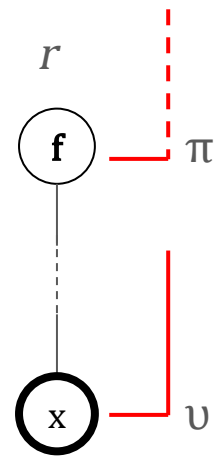
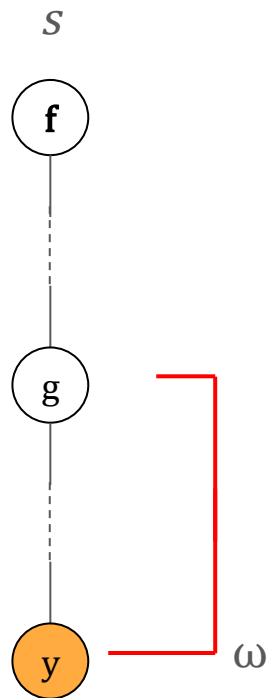
$\alpha \neq \beta = s \rightarrow t \in \mathfrak{R}, \exists \text{MGU for } \mathfrak{R}|_{(\alpha, R, \pi)} \text{ and } \mathfrak{R}|_{(\beta, L, \varepsilon)},$

$v \nVdash \omega \in \text{pos}(s), \exists (\beta, L, \omega) \in \text{LEFT}_{i-1}(\mathfrak{R}) \}$

I. $\omega \geq v$

Which would mean
that there is no need
to mark x.

For now we choose to
overapproximate
these markings.



MGU $\sigma = \{ X/g(\dots(y)), \dots \}$

$\{ (\alpha, R, \tau) \mid \alpha = l \rightarrow r \in \mathfrak{R}, \tau \in \text{pos}(r), \tau = \pi.v \}$

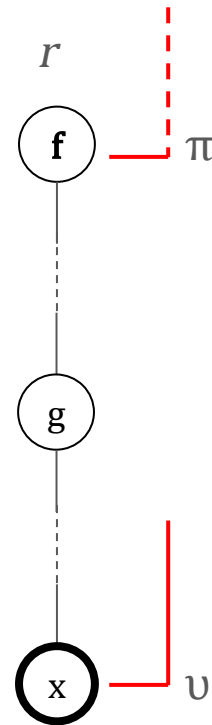
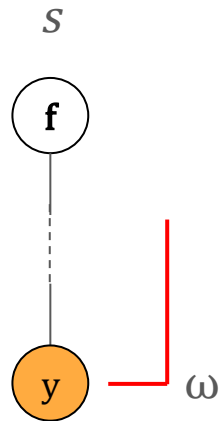
$\alpha \neq \beta = s \rightarrow t \in \mathfrak{R}, \exists \text{MGU for } \mathfrak{R}|_{(\alpha, R, \pi)} \text{ and } \mathfrak{R}|_{(\beta, L, \varepsilon)},$

$v \nVdash \omega \in \text{pos}(s), \exists (\beta, L, \omega) \in \text{LEFT}_{i-1}(\mathfrak{R}) \}$

II. $v \geq \omega$

Here only $v \geq \omega$
would hold.

In this case it is
irrelevant they don't
match exactly, since
the term $g(\dots(x))$ flows
directly into y .



MGU $\sigma = \{ Y/g(\dots(x)), \dots \}$

Going back to our definition of $\text{RIGHT}_i(\mathfrak{R})$

$$\begin{aligned} \text{RIGHT}_i(\mathfrak{R}) &:= \{ (\alpha, R, \tau) \mid \alpha = l \rightarrow r \in \mathfrak{R}, \tau \in \text{pos}(r), \tau = \pi.v \\ &\quad \alpha \neq \beta = s \rightarrow t \in \mathfrak{R}, \exists \text{MGU for } \mathfrak{R}|_{(\alpha, R, \pi)} \text{ and } \mathfrak{R}|_{(\beta, L, \varepsilon)}, \\ &\quad v \nVdash \omega \in \text{pos}(s), \exists (\beta, L, \omega) \in \text{LEFT}_{i-1}(\mathfrak{R}) \} \\ &\cup \{ (l \rightarrow r, R, \tau) \mid \exists (\beta, P, \pi) \in M_{i-1}(\mathfrak{R}), \text{root}(l) = \text{root}(\mathfrak{R}|_{(\beta, P, \pi)}) \in D, \tau \in \text{pos}(r) \} \\ &\cup \text{RIGHT}_{i-1}(\mathfrak{R}) \end{aligned}$$

The second subset is quite a bit more simple. It marks all locations on the right-hand side of a rule, which is a function call of a marked defined symbol elsewhere in the TRS.

Here a new set $M_i(\mathfrak{R})$ is mentioned, which we define as the union of both $\text{RIGHT}_i(\mathfrak{R})$ and $\text{LEFT}_i(\mathfrak{R})$

$$M_i(\mathfrak{R}) := \text{LEFT}_i(\mathfrak{R}) \cup \text{RIGHT}_i(\mathfrak{R})$$

Going back to our definition of $\text{RIGHT}_i(\mathfrak{R})$

$$\begin{aligned}
 \text{RIGHT}_i(\mathfrak{R}) &:= \{ (\alpha, R, \tau) \mid \alpha = l \rightarrow r \in \mathfrak{R}, \tau \in \text{pos}(r), \tau = \pi.v \\
 &\quad \alpha \neq \beta = s \rightarrow t \in \mathfrak{R}, \exists \text{MGU for } \mathfrak{R}|_{(\alpha, R, \pi)} \text{ and } \mathfrak{R}|_{(\beta, L, \varepsilon)}, \\
 &\quad v \nVdash \omega \in \text{pos}(s), \exists (\beta, L, \omega) \in \text{LEFT}_{i-1}(\mathfrak{R}) \} \\
 &\cup \{ (l \rightarrow r, R, \tau) \mid \exists (\beta, P, \pi) \in M_{i-1}(\mathfrak{R}), \text{root}(l) = \text{root}(\mathfrak{R}|_{(\beta, P, \pi)}) \in D, \tau \in \text{pos}(r) \} \\
 &\cup \text{RIGHT}_{i-1}(\mathfrak{R})
 \end{aligned}$$

Since $\text{RIGHT}_i(\mathfrak{R})$ also contains the previous $\text{RIGHT}_{i-1}(\mathfrak{R})$ the same holds true as with $\text{LEFT}_i(\mathfrak{R})$ for some $m \in N$:

$$(2) \quad \dots \subseteq \text{RIGHT}_{m-1}(\mathfrak{R}) \subseteq \text{RIGHT}_m(\mathfrak{R}) = \text{RIGHT}_{m+1}(\mathfrak{R}) = \dots$$

Let $n, m \in N$ be the smallest for which (1) and (2) hold, then:

$$M(\mathfrak{R}) \quad := \quad \bigcup_{i \in N} M_i(\mathfrak{R}) \quad = \quad LEFT_n(\mathfrak{R}) \cup RIGHT_m(\mathfrak{R})$$

Now we can define our final set $F(\mathfrak{R})$, which the algorithm outputs:

$$F(\mathfrak{R}) \quad := \quad M(\mathfrak{R}) \setminus \left(\left\{ (l \rightarrow r, L, \tau) \mid root(l|_{\tau}) \in V \right\} \cup \left\{ (\alpha, P, \tau) \mid root(\mathfrak{R}|_{(\alpha, P, \tau)}) \in C \right\} \right)$$

The two subsets we want to remove are:

- Marked variables on the left-hand side of rules
- Marked constructors on either side

The output of this algorithm should mostly be equivalent to the one presented last week.

There is however one notable change that ,I think, made the output of this version more precise. Namely in determining the data flows.

For each $\tau \in \text{pos}(\text{term}(\alpha, R, \varepsilon)) \{$

$\pi = \text{getParent}(\tau);$

$// \tau = \pi . \tau' \text{ where } \tau' \in N \text{ (natural numbers)}$

$\tau' = \tau - \pi ;$

For each rule $\beta \in A \{$

if(\exists MGU for $\text{term}(\alpha, R, \pi)$ and $\text{term}(\beta, L, \varepsilon)$){

For each $\omega \in \text{pos}(\text{term}(\beta, L, \tau')) \{$

if($(\beta, L, \omega) \in F$) {

add (α, R, τ) to F ;

add all sub-locations of (α, R, τ) to F ;

flag = true;

}..}

$\{ (\alpha, R, \tau) \mid \alpha = l \rightarrow r \in \mathfrak{R}, \tau \in \text{pos}(r), \tau = \pi.v$

$\alpha \neq \beta = s \rightarrow t \in \mathfrak{R}, \exists \text{ MGU for } \mathfrak{R}|_{(\alpha, R, \pi)} \text{ and } \mathfrak{R}|_{(\beta, L, \varepsilon)},$

$v \nVdash \omega \in \text{pos}(s), \exists (\beta, L, \omega) \in \text{LEFT}_{i-1}(\mathfrak{R}) \}$

I think that what I wrote originally doesn't take into account parallel positions, which could in turn mark a position for no reason. I believe with the explanations provided earlier, that the new definition on the right is better.