

A PERFECT OBSTRUCTION THEORY FOR $SU(2)$ -HIGGS SHEAVES

SIMON SCHIRREN,
SUPERVISED BY RICHARD THOMAS

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Abstract. We redefine the perfect obstruction theory for $SU(r)$ -Higgs sheaves constructed by [TT] using a different method in the rank 2 case: The key step here is a modification of \mathbf{C}^\times -localisation formula from [GP], replacing the torus action by an involution $(E, \phi) \mapsto (E^*, -\phi^*)$.

0. INTRODUCTION

0.1. Background. Virtual cycles have been one of the key tools in enumerative geometry: the parameter or moduli spaces of the objects one wants to parametrize, such as moduli spaces of stable maps or coherent sheaves, are "wrong" in some sense: they don't have the *expected dimension* that one would hope for. The right thing to do here is to replace the fundamental cycle $[\mathcal{M}]$ by a "better cycle" what is called a *virtual fundamental class*. This has been introduced by [LT] and [BF] in the late 90's.

0.2. Obstruction sheaves. The data attached to a space \mathcal{M} that gives a virtual fundamental class is a complex V^\bullet on \mathcal{M} called a *perfect obstruction complex* together with a map $\psi : V^\bullet \rightarrow \mathbf{L}_{\mathcal{M}}$ to the truncated cotangent complex $\mathbf{L}_{\mathcal{M}}$ of \mathcal{M} , such that ψ is an iso. in degree 0 and a surjection in degree -1 . Here, *perfect* means that V^\bullet can be represented by a 2-term complex of vector bundles $[V^{-1} \rightarrow V^0]$.

Suppose now \mathcal{M} is a moduli space parametrizing stable rank r sheaves E with fixed invariants defined on a smooth projective variety X . Restricting to a fibre \mathcal{M}_L of $\det : \mathcal{M} \rightarrow \mathbf{Pic}(X)$ for $\det(E) = L$, a point $y = [E] \in \mathcal{M}_L$ has deformations given by $\mathrm{Ext}^1(E, E)_0$ and obstructions $\mathrm{Ext}^2(E, E)_0$ where the suffix 0 means trace-free.

Assuming that this dimension is constant over \mathcal{M}_L , we call the difference $\mathrm{vd} := \mathrm{ext}_0^1 - \mathrm{ext}_0^2$ the *virtual* or *expected dimension* of the moduli space.

If X is a curve of genus $g \geq 2$, then $\mathrm{ext}^2(E, E)_0 = 0$ and \mathcal{M}_L is smooth. In this case, the virtual dimension agrees with the actual one of \mathcal{M}_L and is equal to

$$\mathrm{ext}^1(E, E)_0 = (r^2 - 1)(g - 1).$$

In general, there are bounds

$$\mathrm{ext}^1(E, E)_0 \geq \dim_{[E]} \mathcal{M}_L \geq \mathrm{ext}^1(E, E)_0 - \mathrm{ext}^2(E, E)_0$$

and we see that the vanishing of ext_0^2 implies smoothness of \mathcal{M}_L at $[E]$ ¹. In case ext_0^2 does not vanish, we want to find a complex $V = [V^{-1} \rightarrow V^0]$ on \mathcal{M}_L computing ext_0^* at every point $m := [E] \in \mathcal{M}$, i.e. such that $h^0(V(m)) = \mathrm{ext}^1(E, E)_0$ and $h^{-1}(V(m)) = \mathrm{ext}^2(E, E)_0$.

Such a V ² is called a perfect obstruction complex. Lets assume such a V exists and the number $\mathrm{vd} = \mathrm{ext}^1(E, E)_0 - \mathrm{ext}^2(E, E)_0$ is constant on \mathcal{M}_L . This holds for instance for stable E on a smooth projective surface X : here, we have that

$$\mathrm{vd} = \mathrm{ext}_0^1 - \mathrm{ext}_0^2 = 2rc_2(E) - (r - 1)c_1(E)^2 - (r^2 - 1) \cdot \chi(\mathcal{O}_X)$$

is a topological constant and depends only on X and the Chern classes on \mathcal{M}_L . In such a case, V endows \mathcal{M}_L with a virtual cycle

$$[\mathcal{M}_L]^{vir} \in A_{\mathrm{vd}}(\mathcal{M}_L).$$

The existence of perfect obstruction complexes giving virtual cycles for fine moduli of stable E of $\mathrm{rank}(E) > 0$ under the hypothesis

$$\mathrm{Ext}^i(E, E)_0 = 0, \quad i \neq 1, 2$$

is proved in [HT] 4.3.

¹for this and the statement before see [H] 4.5.4 and 4.5.5

²together with conditions on h^0 on h^{-1} making V into what is called a "perfect obstruction theory", which we'll discuss in detail in 5

0.3. Torsion sheaves. In this article, we are interested in understanding and defining virtual cycles for a moduli space \mathcal{N} parametrising pairs (E, ϕ) on a surface S consisting of a vector bundle E and $\phi : E \rightarrow E \otimes K_S$ an endomorphism, twisted by the canonical K_S of S . Such a pair is equivalent to a compactly supported (i.e. torsion) sheaf \mathcal{E} on $X = \text{Tot}(K_S)$. As X is a Calabi-Yau threefold, we have

$$\text{ext}^1 - \text{ext}^2 = 0$$

and inherit by the rank 0 case in [HT] 4.4 a perfect obstruction complex V and a virtual cycle $[\mathcal{N}]^{vir}$ of $\dim = 0$.

If \mathcal{N} was compact, we could compute its degree

$$\int_{[\mathcal{N}]^{vir}} 1 \in \mathbf{Z}.$$

However, \mathcal{N} is non-compact: it admits a \mathbf{C}^\times -action

$$(E, \phi) \mapsto (E, \lambda\phi),$$

or equivalently for torsion sheaves, scaling the fibres $X \rightarrow S$. Due to this non-compactness, the resulting virtual cycle is uninteresting.

0.4. Vafa-Witten invariants. The interesting virtual cycle arises after passing to the \mathbf{C}^\times -fixed locus $\mathcal{N}^{\mathbf{C}^\times}$. This procedure of " \mathbf{C}^\times -localisation of virtual cycles", i.e. localising the obstruction complex V on \mathcal{N} to the fixed locus $\mathcal{N}^{\mathbf{C}^\times}$ goes back to [GP] and allows us to compute invariants in the \mathbf{C}^\times -equivariant setting via a (virtual) Bott residue formula

$$\int_{[\mathcal{N}^{\mathbf{C}^\times}]^{vir}} \frac{1}{e(N^{vir})}.$$

Here, e is the Euler or top Chern class of N^{vir} , the non-zero weight part of the \mathbf{C}^\times -equivariant perfect obstruction complex V after its restriction to $[\mathcal{N}^{\mathbf{C}^\times}]$.

The space $\mathcal{N}^{\mathbf{C}^\times}$ has two components, the "*instaton branch*" $\phi = 0$ and the "*monopol branch*" $\phi \neq 0$.

This would be a first try to define what is a Vafa-Witten invariant [VW] ³ on \mathcal{N}

$$\text{VW}_{\mathcal{N}} := \int_{[\mathcal{N}^{\mathbf{C}^\times}]^{vir}} \frac{1}{e(N^{vir})}$$

However, this invariant is zero unless $h^{0,1}(S) = 0 = h^{0,2}(S)$, which in some sense has to do with the fact that the obstruction complex V giving $[\mathcal{N}^{\mathbf{C}^\times}]^{vir}$ is not fixing $\det(E)$. The right way to go around this seems to be passing from $\mathbf{U}(r)$ -pairs (E, ϕ) to $\mathbf{SU}(r)$ -pairs, which are defined as

$$\mathcal{N}^\perp = \{(E, \phi) \in \mathcal{N} : \det(E) \cong \mathcal{O}_S, \text{tr}(\phi) = 0\}$$

³solutions of the "Vafa-Witten" equations correspond to certain stable holomorphic Higgs pairs (E, ϕ) .

before doing the \mathbf{C}^\times -localisation.

This gives a better virtual cycle $[\mathcal{N}^\perp, \mathbf{C}^\times]^{vir}$ and a more sensible Vafa-Witten invariant

$$\mathrm{VW}_{\mathcal{N}^\perp} := \int_{[\mathcal{N}^\perp, \mathbf{C}^\times]^{vir}} \frac{1}{e(N^{vir})}$$

Under the assumption that S is simply connected, we refer to [GSY] for its relation to Donaldson-Thomas invariants.

0.5. Goal. Defining a perfect obstruction theory for \mathcal{N}^\perp takes over thirty pages in [TT]. The aim of this article is to *redefine* this perfect obstruction theory for $\mathbf{SU}(2)$ -pairs by identifying them as fixed points in \mathcal{N} : Applying the ideas from [GP]'s \mathbf{C}^\times -localisation, we replace the torus action by an involution $\iota \circ \mathcal{N}$ generically defined as

$$\iota : (E, \phi) \mapsto (E^*, -\phi^*)$$

and one sees quite easily that the fixed locus \mathcal{N}^ι contains \mathcal{N}^\perp as a connected component.

Taking the perfect obstruction theory V^\bullet on \mathcal{N} and making it ι -equivariant should split, just like in the [GP] case,

$$V^\bullet = V^{\bullet, \iota} \oplus V^{\bullet, mov}$$

into fixed and moving part over \mathcal{N}^\perp . As ι has square equal to the identity, we expect that the moving part $V^{\bullet, mov}$ (i.e. the non-zero weight part in [GP]) should come up as some -1 eigensheaf of ι inside V^\bullet (this is sections 7-9).

[TT] show in their paper that the differentials on tangent complexes of

$$\begin{array}{ccc} \mathcal{N} & \xrightarrow{\mathrm{tr}} & \Gamma(K_S) \\ \downarrow \det \pi_* & & \\ \mathbf{Pic}(S) & & \end{array}$$

commute with the following maps of *virtual* tangent complexes via Atiyah classes:

$$(0.5.1) \quad \begin{array}{ccc} \mathbf{R}\mathcal{H}om_{p_X}(\mathcal{E}, \mathcal{E})[1] & \longleftrightarrow & \mathbf{R}p_{S*} K_S \\ \updownarrow & & \\ \mathbf{R}p_{S*} \mathcal{O}_S[1] & & \end{array}$$

Our approach instead is a lift of ι as

$$\theta_\iota \circ \mathbf{R}\mathcal{H}om_{p_X}(\mathcal{E}, \mathcal{E})[1]$$

whose restriction to \mathcal{N}^\perp gives

$$N^{vir} := (\mathbf{R}p_{S*} \mathcal{O}_S[1] \oplus \mathbf{R}p_{X,*} K_S)|_{\mathcal{N}^\perp}$$

as the moving part, i.e. the -1 eigensheaf.

The virtual cycle of \mathcal{N}^\perp is then constructed by taking V^\bullet over \mathcal{N}^\perp and

remove the moving part $V^{\bullet, mov}$. We'll then show that the fixed part $V^{\bullet}|_{\mathcal{N}^\perp}^\iota$ representing $\mathbf{R}\mathcal{H}om_{p_X}(\mathcal{E}, \mathcal{E})^\perp[1]|_{\mathcal{N}^\perp}$ defines a perfect obstruction theory for \mathcal{N}^\perp .

The challenges here were

- to generalise $(E, \phi) \mapsto (E^*, -\phi^*)$ to torsion free sheaves and phrase this in terms of their spectral sheaves on X
- to define the correct lift θ_ι , compatible with Atiyah classes. For the deformation of $\mathrm{tr}\phi$, we had to use one result of [TT].

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1. PRELIMINARIES

1.1. Setup. Let S be a smooth projective surface over \mathbf{C} with polarisation $\mathcal{O}_S(1)$. We denote by $X := K_S \xrightarrow{\pi} S$ the total space of the canonical bundle K_S with structure map π .

1.2. Higgs bundles and their spectral sheaves. A Higgs pairs (E, ϕ) on S is a torsion free sheaf E of rank n together with a map $\phi : E \rightarrow E \otimes K_S$. Instead of working with a pair (E, ϕ) , we consider their *spectral sheaves* \mathcal{E}_ϕ on X , which are roughly built as follows: Over a point $s \in S$, we attach the eigenspaces of ϕ_s acting on E_s to their eigenvalues on the fibre $X_s \cong \mathbf{C}$. Globally on S , we make E into a $\pi_*\mathcal{O}_X = \oplus_i K_S^{-i}$ -module via

$$E \otimes K_S^{-i} \xrightarrow{\phi^i} E.$$

This gives a torsion sheaf \mathcal{E}_ϕ on X , preserving stability.

This defines an equivalence of categories

$$\mathbf{Coh}_c(X) \leftrightarrow \mathbf{Higgs}(S)$$

between Higgs pairs on S and coherent sheaves on X of compact support, where the arrow from right to left is the spectral construction.

Conversely, starting with a compactly supported coherent sheaf \mathcal{E} on X , its push-down $E := \pi_*\mathcal{E}$ is torsion free coherent and we get $\phi := \pi_*(\tau \cdot \mathrm{id})$ from the action $\tau \cdot \mathrm{id} \circ X$ of the tautological section $\tau \in \pi^*K_S$. It can be shown that these two constructions are mutually inverse.

In this paper, we'll restrict to $\mathrm{rank}(E) = 2$

1.3. Gieseker Stability. A pair (E, ϕ) on S is *Gieseker stable* with respect to $\mathcal{O}_S(1)$ if

$$(1.3.1) \quad \frac{\chi(F(n))}{\text{rank}(F)} < \frac{\chi(E(n))}{\text{rank}(E)} \text{ for } n \gg 0,$$

and all ϕ -invariant proper non-zero subsheaves $F \subset E$.

A Gieseker stable Higgs pair (E, ϕ) with respect to $\mathcal{O}_S(1)$ is equivalent to a Gieseker stable \mathcal{E}_ϕ with respect to the polarisation defined by $\pi^*\mathcal{O}_S(1)$ on X . This is the condition

$$(1.3.2) \quad \frac{\chi(\mathcal{F}(n))}{r(\mathcal{F})} < \frac{\chi(\mathcal{E}(n))}{r(\mathcal{E})} \text{ for } n \gg 0,$$

and all proper non-zero subsheaves $\mathcal{F} \subset \mathcal{E}$.

Here, $r(\mathcal{E}) = \int_X c_1(\mathcal{E})h^2$ is the leading coefficient of the Hilbert polynomial of \mathcal{E} , which agrees with the one of E . Indeed, as $\pi_*(\mathcal{E}(n)) = \pi_*\mathcal{E} \otimes \mathcal{O}(1) = E(n)$, we have $\chi(\mathcal{E}(n)) = \chi(\pi_*\mathcal{E}(n)) = \chi(E(n))$ and we can write $r(\mathcal{E}) = \text{rank}(E) \int_S h^2 = \text{rank}(E) \deg(S)$.

1.4. Moduli spaces. Let \mathcal{N} be the moduli space of (Gieseker)-stable Higgs sheaves (E, ϕ) over S with fixed invariants (r, c_1, c_2) - equivalently, \mathcal{N} is the moduli space of spectral sheaves \mathcal{E}_ϕ over X with invariants given by a simple Grothendieck-Riemann-Roch computation for $(E, \phi = 0)$ on S , which we identify with a spectral sheaf supported on S via push-forward along the zero section $i : S \hookrightarrow X$.

We'll restrict to the case $r = 2$ in this discussion.

Then $\text{ch}(\mathcal{E}) = i_*(\text{ch}(E) \cdot \text{td}(T_i))$ where $\text{td}(T_i) = \text{td}(K_S)^{-1}$, which gives

$$c_1(\mathcal{E}) = 2[S] \text{ for the cycle class } [S] \in H^2(X, \mathbf{Z})$$

$$c_2(\mathcal{E}) = -i_*(-3c_1(S) - c_1)$$

$$c_3(\mathcal{E}) = i_*(c_1^2 - 2c_2 + 3c_1 \cdot c_1(S) + 4c_1(S)^2)$$

where $c_1(S) = -c_1(K_S)$. Furthermore, we choose the Chern classes c_i such that stability = semi-stability.

1.5. Universal sheaves. After the following sections 1 and 2, everything will be phrased entirely for spectral sheaves on X and then in terms of their universal family \mathcal{E} . As \mathcal{E} is simple, note that $\text{Aut}(\mathcal{E}) \cong \mathbf{C}^\times$.

We remark that \mathcal{N} is a quasi-projective, non compact variety and whose closed points parametrize equivalence classes of spectral sheaves $[\mathcal{E}]$ on X . We choose a twisted universal sheaf

$$\mathcal{E} \in \mathbf{D}^b(X \times \mathcal{N}),$$

for the moduli space \mathcal{N} . I.e. \mathcal{E} is locally well-defined and exists globally as a twisted family, see e.g. [HT] 17 for a precise definition.

We remark that the sheaves we are mostly interested in are $\mathcal{E}xt_{p_X}^i(\mathcal{E}, \mathcal{E})$ which always exist globally [HL] 10.2, independent of any choice.

Under the spectral construction, \mathcal{E} is equivalent to a universal Higgs sheaf

$$(E, \Phi)$$

over $S \times \mathcal{N}$.

Both objects are related via the forget map

$$\Pi : \mathcal{N} \rightarrow \mathcal{M}$$

sending $\mathcal{E} \mapsto \pi_* \mathcal{E}$.

Here, \mathcal{M} is the moduli stack of coherent sheaves on S with the above Chern classes. The fibres of this map at $E \in \mathcal{M}$ are given by $\mathrm{Hom}(E, E \otimes K_S)$. In families, let \mathcal{E} denote a universal sheaf on $X \times \mathcal{N}$ and \mathbf{E} the corresponding family over $S \times \mathcal{M}$ (or its pullback $\Pi^* \mathbf{E}$ to $S \times \mathcal{N}$).

We denote by p_S, p_X the canonical projections $S \times \mathcal{N} \rightarrow \mathcal{N}$ and $X \times \mathcal{N} \rightarrow \mathcal{N}$ respectively. π will be the structure map $X = K_S \xrightarrow{\pi} S$ as well as its base change $\pi \times \mathrm{id} : X \times \mathcal{N} \rightarrow S \times \mathcal{N}$. The derived sheaves $\mathbf{R}p_{S,*} \mathcal{O}_S$ and $\mathbf{R}p_{S,*} K_S$ denote the push-downs to \mathcal{N} of the sheaves $\mathcal{O}_{S \times \mathcal{N}}$ and $K_S \otimes \mathcal{O}_{\mathcal{N}}$ on $S \times \mathcal{N}$. $\mathbf{D}^b(X \times \mathcal{N})$ and $\mathbf{D}^b(\mathcal{N})$ are the bounded derived categories of coherent sheaves on $X \times \mathcal{N}$ and \mathcal{N} respectively.

1.6. Deformation theory. There is an exact triangle

$$\mathbf{R}\mathrm{Hom}_{p_X}(\mathcal{E}, \mathcal{E}) \xrightarrow{\pi_*} \mathbf{R}\mathrm{Hom}_{p_S}(\mathbf{E}, \mathbf{E}) \xrightarrow{[-, \Phi]} \mathbf{R}\mathrm{Hom}_{p_S}(\mathbf{E}, \mathbf{E} \otimes K_S) \xrightarrow[\mathrm{[1]}]{\partial}$$

relating (in families over \mathcal{N}) deformations of \mathcal{E} on X with the one of $\pi_* \mathcal{E}$ on S . Here, the map to the cokernel is given by the bracket $g \mapsto g \circ \phi - \phi \circ g$ which parametrises deformations of the Higgs field $\phi : \pi_* \mathcal{E} \rightarrow \pi_* \mathcal{E} \otimes K_S$. We remark that this diagram equals its own Serre dual (i.e. replacing all objects by their duals gives the same triangle, just shifted) and refer to [TT] 2.20 and 2.21 for a proof of these statements.

2. THE INVOLUTION

2.0. Summary. We'll define an involution $\iota \circlearrowleft \mathcal{N}$ extending the classial map

$$(E, \phi) \mapsto (E^*, -\phi^*)$$

to all torsion free Higgs pairs on S . This will be rephrased as

$$\mathcal{E} \mapsto \sigma_{\mathrm{tr}\phi}^* \mathcal{E} \otimes \pi^* \det(\pi_* \mathcal{E})^{-1}$$

for their corresponding spectral sheaves on X , where $\sigma_{\mathrm{tr}\phi} \circlearrowleft X$ translates the points in the fibres of $\pi : X = K_S \rightarrow S$ by $\mathrm{tr}\phi$.

2.1. Skew maps. Let $\alpha : E \rightarrow E^*$ be a map from a locally free rank 2 sheaf E to its dual. Then $\alpha \mapsto \alpha^*$ defines an involution on $\mathrm{Hom}(E, E^*)$, i.e. splits

$$\mathrm{Hom}(E, E^*) \cong \mathrm{Sym}(E^*) \oplus \wedge^2 E^*$$

into ± 1 eigenspaces: sections of the former are self-dual maps $\alpha = \alpha^*$ and sections of the latter skew maps $\alpha^* = -\alpha$.

As E is rank 2, a section $\alpha \in \wedge^2 E^*$ defines an isomorphism wherever its non-zero, which gives the natural map $E \otimes (\wedge^2 E^*) \xrightarrow{\sim} E^*$ given by evaluation. Now for $\phi \in \mathcal{E}nd(E)$, we claim for α skew:

Lemma 2.1.1. $\alpha\phi - (\alpha\phi)^* = \text{tr}(\phi)\alpha$

which we proof in the appendix 11.

Replacing ϕ by a *twisted* endomorphism $\phi : E \rightarrow E \otimes K_S$, we find:

Corollary 2.1.2. The following diagram is commutative

$$\begin{array}{ccc} E \otimes \wedge^2 E^* & \xrightarrow{(\phi - \text{tr}(\phi) \cdot \text{id}) \otimes 1} & E \otimes K_S \otimes \wedge^2 E^* \\ \parallel & & \parallel \\ E^* & \xrightarrow{-\phi^*} & E^* \otimes K_S \end{array}$$

Proof. Indeed, for $e \otimes \alpha$, going down the LHS side gives $-\phi^* \alpha(e)$. On the RHS, we get $\alpha(\phi - \text{tr}(\phi) \cdot \text{id})(e) = \alpha\phi(e) - \text{tr}(\phi)\alpha(e) = -\phi^* \alpha(e)$ by 2.1.1. \square

Remark 2.1.3. This implies that

$$(E^*, -\phi^*) \cong (E \otimes \wedge^2 E^*, (\phi - \text{tr}(\phi) \cdot \text{id}) \otimes 1)$$

are isomorphic Higgs bundles.

Splitting

$$\mathcal{E}nd(E) \otimes K_S = (\mathcal{E}nd_0(E) \otimes K_S) \oplus K_S \cdot \text{id}$$

we can write $\phi = \phi_0 \oplus \frac{1}{2} \text{tr}(\phi) \cdot \text{id}$ and see that $\phi - \text{tr}(\phi) \cdot \text{id} = \phi_0 \oplus -\frac{1}{2} \text{tr}(\phi) \cdot \text{id}$.

Definition 2.1.4. In view of the above, we may redefine the involution

$$\iota : (E, \phi) \mapsto (E^*, -\phi^*)$$

on Higgs bundles (locally frees are reflexive) as

$$\iota : (E, \phi) \mapsto (E \otimes \wedge^2 E^*, (\phi - \text{tr}(\phi) \cdot \text{id}) \otimes 1)$$

under the isomorphism stated in 2.1.2.

This allows an easy extension to torsion free sheaves, which we'll establish in the next step:

2.2. Torsion free sheaves. Let E be a torsion free sheaf on S . Then we have ⁴

$$\text{dh}(E) \leq \dim(S) - 1.$$

As S is a surface, we have $\text{dh}(E) = \max\{\text{dh}(E_s) : s \in S\} \leq 1$ where $\text{dh}(E_s)$ denotes the minimal length of a projective resolution of the local \mathcal{O}_s -module E_s . Further note that as S is smooth, such a resolution can be chosen to consist of locally frees.

Thus, either E itself is locally free (which brings us back to 2.1) or $\text{dh}(E) = 1$, so there is 2-step resolution $E_{-1} \hookrightarrow E_0 \twoheadrightarrow E$ of locally frees E_i .

⁴see see [HL] 4-6 for this section

Definition 2.2.1. We define the determinant bundle of E to be

$$\det(E) := \det(E_0) \otimes \det(E_{-1})^{-1}$$

which is independent of the choice of resolution and agrees with $\wedge^2(E)$ whenever E is locally free, see e.g. [K] 149-152.

2.3. The general involution.

Definition 2.3.1. Denoting $\phi^t := \phi - \text{tr}(\phi) \cdot \text{id}$, we can extend the involution

$$\iota : (E, \phi) \mapsto (E^*, -\phi^*)$$

of **Higgs bundles** to all torsion free **Higgs sheaves** on S by the formula

$$\iota : (E, \phi) \mapsto (E \otimes \det(E)^{-1}, \phi^t \otimes 1),$$

which now makes sense for all rank 2 torsion frees and extends the original involution defined for Higgs bundles by the diagram stated in 2.1.2.

Remark 2.3.2. We remark that we actually have $\iota^2 = \text{id}$ as

$$\begin{aligned} \iota^2(E, \phi) &= \iota(E \otimes \det(E)^{-1}, \phi^t \otimes 1) \\ &= (E \otimes \det(E)^{-1} \otimes \det(E \otimes \det(E)^{-1})^{-1}, (\phi^t + \text{tr}(\phi) \cdot \text{id}) \otimes 1) = (E, \phi) \end{aligned}$$

2.4. For spectral sheaves. For the rest of this discussion, we need to define ι in terms of spectral sheaves \mathcal{E}_ϕ on X . We start with the trace shift:

Definition 2.4.1. Write $x \in X$ as $x = (s, t)$ with $s \in S$, $t \in K_S$. Define the **trace shift**

$$\sigma_{\text{tr}\phi} : X \rightarrow X$$

as

$$(s, t) \mapsto (s, t - \text{tr}(\phi_s))$$

We see that $\sigma_{\text{tr}\phi}$ preserves the fibres of $X \xrightarrow{\pi} S$, i.e. $\pi \circ \sigma_{\text{tr}\phi} = \pi$. It is an invertible map on X with inverse $\sigma_{-\text{tr}\phi}$, acting on spectral sheaves \mathcal{E}_ϕ as

$$\mathcal{E}_\phi \mapsto \sigma_{\text{tr}\phi}^* \mathcal{E}_\phi.$$

In particular, we have $\mathcal{E}_\phi = \sigma_{\text{tr}\phi,*} \sigma_{\text{tr}\phi}^* \mathcal{E}_\phi$. We see now how this map keeps track of the trace shift of Higgs fields on S , namely:

Lemma 2.4.2. We have $\sigma_{\text{tr}\phi}^* \mathcal{E}_\phi = \mathcal{E}_{\phi^t}$

Proof. Let τ be the tautological section of $\pi^* K_S$ on X and choose local coordinates (s, t) . Recall $\tau_{(s,t)} = t$ and $\pi_*(\tau \cdot \text{id})_s = \phi_s$. So $(\sigma_{\text{tr}\phi}^* \tau)_{(s,t)} = \tau_{(s,t-\text{tr}(\phi_s))} = t - \text{tr}(\phi_s)$. Thus $\sigma_{\text{tr}\phi}^*(\tau \cdot \text{id})$ acting on $\sigma_{\text{tr}\phi}^* \mathcal{E}_\phi$ gives

$$\pi_*(\sigma_{\text{tr}\phi}^*(\tau \cdot \text{id})) = \phi - \text{tr}(\phi) \cdot \text{id} = \phi^t.$$

In addition we compute $\pi_*(\sigma_{\text{tr}\phi}^* \mathcal{E}_\phi) = \pi_* \sigma_{\text{tr}\phi,*} (\sigma_{\text{tr}\phi}^* \mathcal{E}_\phi) = \pi_*(\sigma_{\text{tr}\phi,*} \sigma_{\text{tr}\phi}^*) \mathcal{E}_\phi = \pi_* \mathcal{E}_\phi = E$.

This shows that $\sigma_{\text{tr}\phi}^* \mathcal{E}_\phi$ is the spectral sheaf for the Higgs pair (E, ϕ^t) . \square

Definition 2.4.3. We define ι for spectral sheaves \mathcal{E}_ϕ on X as

$$\iota : \mathcal{E}_\phi \mapsto \sigma_{\text{tr}\phi}^* \mathcal{E}_\phi \otimes \pi^* \det(\pi_* \mathcal{E}_\phi)^{-1} = \mathcal{E}_{\phi^t} \otimes \pi^* \det(\pi_* \mathcal{E}_\phi)^{-1}$$

2.5. Action on the moduli. After having found the right definition of ι , we discuss how this map acts on \mathcal{N} . Namely, let $\mathcal{N}(2, c_1, c_2)$ be the moduli space of torsion frees (E, ϕ) on S . We see that ι defines a map

$$\iota : \mathcal{N}(2, c_1, c_2) \rightarrow \mathcal{N}(2, -c_1, c_2)$$

as we compute

$$\begin{aligned} c_1(E \otimes \det(E)^{-1}) &= c_1(E) + 2c_1(\det(E)) = c_1(E) - 2c_1(E) = -c_1(E) \text{ and} \\ c_2(E \otimes \det(E)^{-1}) &= c_1(\det(E))^2 - c_1(\det(E))c_1(E) + c_2(E) = c_2(E) \end{aligned}$$

Using 1.4, we get a similar involution on the Chern classes of \mathcal{E} .

Remark 2.5.1. We remark that $\iota = \lambda \circ \sigma_{\text{tr}\Phi}$ and further $\lambda \circ \sigma_{\text{tr}\Phi} = \sigma_{\text{tr}\Phi} \circ \lambda$, thus $\iota^2 = \text{id}$.

Theorem 2.5.2. *The action ι on \mathcal{N} respects the spectral correspondence $\mathcal{E}_\phi \leftrightarrow (E, \phi)$. That is, there is a commutative square*

$$(2.5.3) \quad \begin{array}{ccc} X \times \mathcal{N} & \xrightarrow{\text{id} \times \iota} & X \times \mathcal{N} \\ \downarrow \pi \times \text{id} & & \downarrow \pi \times \text{id} \\ S \times \mathcal{N} & \xrightarrow{\text{id} \times \iota} & S \times \mathcal{N} \end{array}$$

Remark 2.5.4. We omitted from notation that the horizontal arrows do not preserve the chern classes.

Proof. We've already seen that $\pi_* \mathcal{E}_{\phi^t} = \pi_* \mathcal{E}_\phi = E$.

Then $\pi_*(\iota \mathcal{E}_\phi) = \pi_*(\mathcal{E}_{\phi^t} \otimes \pi^* \det(\pi_* \mathcal{E}_{\phi^t})^{-1}) = E \otimes \det(E)^{-1}$. Now the action $\tau \cdot \text{id} \circ \mathcal{E}_\phi$ induces $\tau \cdot \text{id} \otimes 1$ acting on $\mathcal{E}_\phi \otimes \pi^* \det(\pi_* \mathcal{E}_\phi)^{-1}$, so $\pi_* \sigma_{\text{tr}\phi}^*(\tau \cdot \text{id} \otimes 1) = \phi^t \otimes 1$. This shows that $\mathcal{E}_{\phi^t} \otimes \pi^* \det(\pi_* \mathcal{E}_\phi)^{-1}$ on X corresponds to the Higgs pair $(E \otimes \det(E)^{-1}, \phi^t \otimes 1)$ on S . \square

Remark 2.5.5. Although the c_i on \mathcal{N} are not fixed under ι , replacing \mathcal{N} by $\mathcal{N}(c_1) \sqcup \mathcal{N}(-c_1)$ on S (similar for \mathcal{E} on X , using 1.4) defines a genuine involution $\iota \circ \mathcal{N}$.

Definition 2.5.6. We may rewrite ι for \mathcal{E} in simpler terms by composing the two involutions $\lambda, \sigma_{\text{tr}\Phi}$ defined as

$$\lambda : \mathcal{E} \mapsto \mathcal{E} \otimes \pi^* \det(\pi_* \mathcal{E})^{-1}$$

and

$$\sigma_{\text{tr}\Phi} : \mathcal{E} \mapsto \sigma_{\text{tr}\phi}^* \mathcal{E}$$

3. DEFORMATIONS OF ι & THE FIXED LOCUS

3.0. Summary. We'll see how $d\iota$ acts on first order deformations $\text{Ext}^1(\mathcal{E}, \mathcal{E})$: We'll then identify one of the components of \mathcal{N}^ι as

$$\mathcal{N}^\perp = \{(E, \phi) : \det(E) \cong \mathcal{O}_S, \text{tr}(\phi) = 0\}.$$

Equivalently, \mathcal{N}^\perp consists of those \mathcal{E} that have "centre of mass zero" on each fibre $X \rightarrow S$ and $\det(\pi_*\mathcal{E}) \cong \mathcal{O}_S$.

After that, we study the fixed locus \mathcal{N}^ι and identify one of its components with \mathcal{N}^\perp .

3.1. Artinian deformations. We'll observe now how ι acts on Artinian families $f : \mathbf{Spec}(A) \rightarrow \mathcal{N}$ for A an Artinian local ring.

Let $X_A \xrightarrow{\pi_A} S_A$ be the corresponding base change of $\pi \times \mathrm{id}_{\mathcal{N}}$ along $\mathrm{id}_S \times f$. Let \mathcal{E}_A be an Artinian family over X_A corresponding to such f , which is in turn the same as a family of Higgs pairs (E_A, ϕ_A) over S_A . Now ϕ_A defines an invertible map $\sigma_{\mathrm{tr}\phi_A} : X_A \rightarrow X_A$ shifting the trace on the fibres of $\pi_A : X_A \rightarrow S_A$ and we define the line bundle $L_A := \det(E_A)^{-1}$ on S_A . We identify \mathcal{E} on X with its push-forward $i_{A,*}\mathcal{E}$ on X_A for $i_A : X = X \times 0 \hookrightarrow X_A$ the inclusion of the closed point of $\mathbf{Spec}(A)$, analogously for $\iota\mathcal{E}$. Note that by base change along i_A , we have $T_{\phi_A}i_A = i_A T_\phi$ and $\pi_A i_A = i_A \pi$.

Remark 3.1.1. We see that ι acts on Artinian families as

$$\mathcal{E}_A \mapsto \sigma_{\mathrm{tr}\phi_A}^* \mathcal{E}_A \otimes \pi_A^* L_A$$

Furthermore, for $A = \mathbf{C}[t]/(t^2)$ this is the differential ι_* of ι , given by $\iota_* : \mathrm{Ext}^1(\mathcal{E}, \mathcal{E}) \rightarrow \mathrm{Ext}^1(\iota\mathcal{E}, \iota\mathcal{E})$

Proof. Let $A = \mathbf{C}[t]/(t^2)$. Indeed, take an exact sequence $0 \rightarrow \mathcal{E} \rightarrow \mathcal{E}_A \rightarrow \mathcal{E} \rightarrow 0$ on X_A which stays exact after applying $\sigma_{\mathrm{tr}\phi_A}^*$ and $-\otimes \pi_A^* L_A$. Furthermore, we compute for $\mathcal{E} = i_{A,*}\mathcal{E}$

$$\begin{aligned} \sigma_{\mathrm{tr}\phi_A}^* i_{A,*}\mathcal{E} \otimes \pi_A^* L_A &= i_{A,*}\sigma_{\mathrm{tr}\phi}^* \mathcal{E} \otimes \pi_A^* L_A \\ &= i_{A,*}(\sigma_{\mathrm{tr}\phi}^* \mathcal{E} \otimes i_A^* \pi_A^* L_A) = i_{A,*}(\sigma_{\mathrm{tr}\phi}^* \mathcal{E} \otimes \pi^* L) = i_{A,*}(\iota\mathcal{E}) \end{aligned}$$

This gives the differential map $d\iota : \mathrm{Ext}^1(\mathcal{E}, \mathcal{E}) \rightarrow \mathrm{Ext}^1(\iota\mathcal{E}, \iota\mathcal{E})$ acting as

$$\begin{array}{ccccccc} 0 & \rightarrow & \mathcal{E} & \rightarrow & \mathcal{E}_A & \rightarrow & \mathcal{E} \rightarrow 0 \\ & & & & \downarrow d\iota & & \\ 0 & \rightarrow & \iota\mathcal{E} & \rightarrow & \sigma_{\mathrm{tr}\phi_A}^* \mathcal{E}_A \otimes \pi_A^* L_A & \rightarrow & \iota\mathcal{E} \rightarrow 0 \end{array}$$

□

3.2. $\mathbf{SU}(2)$ -Higgs pairs. The following part will be phrased in terms of Higgs sheaves, where we investigate the scheme-theoretic fixed locus \mathcal{N}^ι and its relation to $\mathbf{SU}(2)$ -Higgs pairs, which are defined as

$$\mathcal{N}^\perp := \{(E, \phi) : \det(E) \cong \mathcal{O}_S \text{ and } \mathrm{tr}(\phi) = 0\} \subset \mathcal{N}$$

Now let (E, ϕ) be ι -fixed: Then $E \cong E \otimes \det(E)^{-2}$, hence taking determinants gives $\det(E) \cong \det(E \otimes \det(E)^{-1}) = \det(E) \otimes \det(E)^{-2}$, so we see that $\det(E)$ is a 2-torsion line bundle. We also find $\phi = \phi^t$, so $\mathrm{tr}(\phi) = 0$. If in addition $\det(E) \cong \mathcal{O}_S$ holds, we conclude that $(E, \phi) \in \mathcal{N}^\perp$. We can state the following:

Proposition 3.2.1. The ι -fixed locus \mathcal{N}^ι consists of trace-free Higgs pairs (E, ϕ) where $\det(E)$ is 2-torsion. Conversely, if $(E, \phi) \in \mathcal{N}^\perp$, then there exists an isomorphism $\iota(E, \phi) \cong (E, \phi)$, so $(E, \phi) \in \mathcal{N}^\iota$.

Proof. We've already described \mathcal{N}^ι and divide the proof for $\mathcal{N}^\perp \subset \mathcal{N}^\iota$ into two steps.

We start with locally free sheaves, as this case is more illusive: i.e. we show first that a pair (E, ϕ) with $\det(E) \cong \mathcal{O}_S$ and $\text{tr}(\phi) = 0$ is contained in \mathcal{N}^ι . Recall that for locally free E , ι can be written as $(E, \phi) \mapsto (E^*, -\phi^*)$.

In the second step, we'll generalise to torsion frees and show that an Artinian family (E_A, ϕ_A) , fixed under ι is contained in \mathcal{N}^ι .

Now let $(E, \phi) \in \mathcal{N}^\perp$ with E a vector bundle. Recalling the splitting $\mathcal{H}om(E, E^*) = \mathcal{S}ym^2(E^*) \oplus \det(E)^{-1}$, $\det(E)$ being trivial implies it admits a nowhere vanishing section α , that is, a skew isomorphism $\alpha : E \xrightarrow{\sim} E^*$ endowing E with a symplectic structure.

As $\text{tr}(\phi) = 0$, the key lemma 2.1.1 reads as $\alpha\phi = \alpha(-\phi^*)$, so

$$\alpha : (E, \phi) \xrightarrow{\sim} (E^*, -\phi^*)$$

defines an isomorphism of Higgs bundles.⁵

Now let (E_A, ϕ_A) be an Artinian family of torsion frees corresponding to a map $\mathbf{Spec}(A) \rightarrow \mathcal{N}^\perp$. We need to show this family is ι -fixed: As $\text{tr}(\phi_A) = 0$, we have $\phi_A = \phi_A^t$. Furthermore, there exists a trivialisation $\alpha : \det(E_A)^{-1} \xrightarrow{\sim} \mathcal{O}_{S_A}$ and we claim that

$$\begin{array}{ccc} E_A \otimes \det(E_A)^{-1} & \xrightarrow{\text{id} \otimes \alpha} & E_A \\ \downarrow \phi_A^t & & \downarrow \phi_A \\ E_A \otimes \det(E_A)^{-1} \otimes K_{S_A} & \xrightarrow{\text{id} \otimes f \otimes 1} & E_A \otimes K_{S_A} \end{array}$$

commutes. As $\alpha, \alpha^{-1} \in \mathcal{O}_{S_A}$ and ϕ_A is \mathcal{O}_{S_A} -linear, we see that $\alpha\phi_A^t\alpha^{-1} = \phi_A^t = \phi_A$, so α defines an isomorphism

$$(E_A \otimes \det(E_A)^{-1}, \phi_A^t) \xrightarrow{\sim} (E_A, \phi_A)$$

thus $(E_A, \phi_A) \in \mathcal{N}^\iota$. □

Corollary 3.2.2. We observe that this identifies \mathcal{N}^\perp with a component of \mathcal{N}^ι , as it is open therein: It is also closed:

Proof. Indeed, restricting the map $\det : \mathcal{N} \rightarrow \mathbf{Pic}(S)$ which sends $(E, \phi) \mapsto \det(E)$ to \mathcal{N}^ι has image in the discrete set of 2-torsion line bundles $\mathbf{Pic}(S)[2]$ and thus decomposes \mathcal{N}^ι into different components. In particular, $\mathcal{N}^\perp = \det^{-1}([\mathcal{O}_S]) \cap \mathcal{N}^\iota$ is closed. Note that $\mathcal{N}^\iota \subset \mathcal{N}$ itself is closed being the fixed locus of a finite group action. □

⁵We remark that over \mathcal{N}^\perp , the map $\alpha : (E, \phi) \xrightarrow{\sim} (E^*, -\phi^*)$ linearises the functor $(E, \phi) \mapsto \iota(E, \phi)$. ι -linearised functors will be discussed in detail later.

Remark 3.2.3. Equivalently, $\mathcal{N}^\perp \subset \mathcal{N}$ are those spectral sheaves \mathcal{E} that have *center of mass* (i.e. the sum over the points in each fibre $X \xrightarrow{\pi} S$, weighted by multiplicity) equal to zero and $\det(\pi_*\mathcal{E}) \cong \mathcal{O}_S$.

4. EQUIVARIANT SHEAVES & THE ATIYAH CLASS

4.0. Summary. We want to generalise $\iota \circ \mathcal{N}$ to families over \mathcal{N} , i.e. we want to lift ι -equivariantly to the universal sheaf \mathcal{E} on $X \times \mathcal{N}$ and later to the obstruction complex $\mathbf{R}\mathcal{H}om_{p_X}(\mathcal{E}, \mathcal{E})$ on \mathcal{N} .

This section introduces the right notion of ι -equivariance and after that, the objects for defining the obstruction theory. As a first consequence, we'll apply this to our problem by making \mathcal{E} equivariant with respect to $\sigma_{\text{tr}\Phi} \circ \mathcal{N}$.

4.1. Equivariant sheaves. Let G be an algebraic group acting on \mathcal{N} . Its elements $g \in G$ act via pull-back $\mathcal{E} \mapsto g^*\mathcal{E}$ on $\mathbf{Coh}(\mathcal{N})$.

Now let $G \cong \mathbf{Z}/2\mathbf{Z}$, i.e. $G = \langle \iota \rangle$ for an involution $\iota \circ \mathcal{N}$.

Definition 4.1.1. We call \mathcal{E} a ι -equivariant sheaf or ι -linearised sheaf if there is an isomorphism $\theta_\iota : \mathcal{E} \rightarrow \iota^*\mathcal{E}$ such that

$$(4.1.2) \quad \begin{array}{ccc} \mathcal{E} & \xrightarrow{\theta_\iota} & \iota^*\mathcal{E} \\ & \searrow & \downarrow \iota^*\theta_\iota \\ & & (\iota^*)^2\mathcal{E} \end{array}$$

commutes

Definition 4.1.3. We call a morphism $f : \mathcal{E} \rightarrow \mathcal{E}'$ a morphism of ι -equivariant sheaves, if for $\mathcal{E}, \mathcal{E}'$ are linearised as above, the triangles induced by $\theta_\iota : \mathcal{E} \rightarrow \iota^*\mathcal{E}$ and $\theta'_\iota : \mathcal{E}' \rightarrow \iota^*\mathcal{E}'$ map to each other via

$$(4.1.4) \quad \begin{array}{ccc} \mathcal{E} & \xrightarrow{\theta_\iota} & \iota^*\mathcal{E} \\ \downarrow f & & \downarrow \iota^*f \\ \mathcal{E}' & \xrightarrow{\theta'_\iota} & \iota^*\mathcal{E}' \end{array}$$

and its pullback by ι .

Remark 4.1.5. We note that above definitions generalise to $\mathbf{D}^b(\mathcal{N})$, such that pairs $(\mathcal{E}, \theta_\iota)$ with their compatible maps f form a category denoted by $\mathbf{D}^b(\mathcal{N})^{(\iota)}$. For a thorough introduction, we refer the reader to [R] 3-6.

4.2. Equivariant embeddings. A ι -equivariant invertible sheaf \mathcal{L} is called ι -linearised: If \mathcal{L} is very ample on \mathcal{N} , ι -linearised $\theta_\iota : \mathcal{L} \xrightarrow{\sim} \iota^*\mathcal{L}$, then it satisfies 4.1.2: this makes $H^0(\mathcal{L})$ into a $\langle \iota \rangle$ -vector space, defined by the action

$$H^0(\mathcal{L}) \xrightarrow{\theta_\iota} H^0(\iota^*\mathcal{L}) \xrightarrow{\sim} H^0(\mathcal{L})$$

where the second arrow is the natural pullback. The induced embedding $\mathcal{N} \hookrightarrow \mathbf{P}(H^0(\mathcal{L})^\vee)$ lifts ι to a projective ambient space \mathbf{P} of \mathcal{N} , extending by construction the $\mathbf{Z}/(2\mathbf{Z})$ -action ι .

As an application we construct an equivariant embedding $\mathcal{N} \hookrightarrow \mathcal{A}$ into a smooth ambient space. By 4.2, this follows if there exists a ι -equivariant very ample line bundle on \mathcal{N} . We recall that \mathcal{N} is quasi-projective and fix a very ample line bundle \mathcal{L} on \mathcal{N} .

Lemma 4.2.1. There exists a ι -linearised line bundle on \mathcal{N} .

Proof. Indeed, the line bundle $\mathcal{L} \otimes \iota^*\mathcal{L}$ is again very ample and now ι^* linearised by swapping the factors.

As explained in 4.2, this gives a projective embedding $\mathcal{N} \hookrightarrow \mathcal{A}$ into a smooth ambient space \mathcal{A} , lifting the action of ι . \square

4.3. Illusie's cotangent complex. We denote by $\mathbf{L}_{\mathcal{N}}$ Illusie's truncated cotangent complex. As $\mathcal{N} \subset \mathcal{A}$ admits an embedding into a smooth \mathcal{A} , it is represented by the two term

$$\mathbf{L}_{\mathcal{N}} := [\mathcal{I}/\mathcal{I}^2 \xrightarrow{d} \Omega_{\mathcal{A}|\mathcal{N}}] \in \mathbf{D}^{[-1,0]}(\mathcal{N})$$

for $\mathcal{I} \subset \mathcal{O}_{\mathcal{A}}$ the ideal sheaf of this embedding; we note that $h^0(\mathbf{L}_{\mathcal{N}}) \cong \Omega_{\mathcal{N}}$. Similarly, we may define $\mathbf{L}_{X \times \mathcal{N}}$.

It is a well known fact that up to quasi-isomorphism, $\mathbf{L}_{\mathcal{N}}$ is independent of the choice of \mathcal{A} , see eg. [R] 17.

Furthermore, $\mathbf{L}_{\mathcal{N}}$ is functorial, i.e. for morphisms $f : \mathcal{N} \rightarrow \mathcal{N}'$ there are differentials

$$f_* : f^*\mathbf{L}_{\mathcal{N}'} \rightarrow \mathbf{L}_{\mathcal{N}}.$$

For later computations, we denote by $\mathbf{T} := \mathbf{L}^\vee$ the tangent complex, dual to \mathbf{L} and refer to [I] 160-172 for more details. Similar to above, we have natural maps $f_* : \mathbf{T}_{\mathcal{N}} \rightarrow f^*\mathbf{T}_{\mathcal{N}'}$. We cite the following lemma from [R] 19:

Remark 4.3.1. If $\mathcal{N} \subset \mathcal{A}$ is a smooth embedding extending any involution ι as in 4.2.1, then $\mathbf{L}_{\mathcal{N}}$ is canonically ι -equivariant.

4.4. Definition. Let $i_{\Delta_{X \times \mathcal{N}}} : X \times \mathcal{N} \rightarrow \Delta_{X \times \mathcal{N}} \subset (X \times \mathcal{N})^2$ be the diagonal map with canonical projections p_1, p_2 to $X \times \mathcal{N}$.

The *universal Atiyah class* is given by a morphism

$$\alpha_{X \times \mathcal{N}} : \mathcal{O}_{\Delta_{X \times \mathcal{N}}} \rightarrow i_{\Delta_{X \times \mathcal{N},*}} \mathbf{L}_{X \times \mathcal{N}}[1],$$

see [HT] chapter 5 for details.

The *full Atiyah class* $\text{At}_{\mathcal{E}}$ of \mathcal{E} is

$$\text{At}_{\mathcal{E}} := p_{2,*}(p_1^*\mathcal{E} \otimes \alpha_{X \times \mathcal{N}}) : \mathcal{E} \rightarrow \mathcal{E} \otimes \mathbf{L}_{X \times \mathcal{N}}[1]$$

which can be seen as a morphism

$$\mathbf{R}\mathcal{H}om(\mathcal{E}, \mathcal{E}) \rightarrow \mathbf{L}_{X \times \mathcal{N}}[1].$$

4.5. Naturality. A simple but important observation is the fact that the Atiyah class is natural: If $g : \mathcal{F} \rightarrow \mathcal{E}$ is a morphism, then

$$(4.5.1) \quad \begin{array}{ccc} \mathcal{F} & \xrightarrow{g} & \mathcal{E} \\ \downarrow \text{At}_{\mathcal{F}} & & \downarrow \text{At}_{\mathcal{E}} \\ \mathcal{F} \otimes \mathbf{L}_{X \times \mathcal{N}}[1] & \xrightarrow{g \otimes 1} & \mathcal{E} \otimes \mathbf{L}_{X \times \mathcal{N}}[1] \end{array}$$

commutes, by functoriality of $p_{2,*}, p_1^*$ and tensor product.

4.6. Functoriality. Let $f \circ X \times \mathcal{N}$ be a map. By functoriality of the Atiyah class we mean that the pullback of $\mathbf{R}\mathcal{H}om(\mathcal{E}, \mathcal{E}) \rightarrow \mathbf{L}_{X \times \mathcal{N}}[1]$ by f composed with the natural differential $f^* \mathbf{L}_{X \times \mathcal{N}} \xrightarrow{f^*} \mathbf{L}_{X \times \mathcal{N}}$ equals the Atiyah class of the pullback, i.e.

$$\text{At}_{f^* \mathcal{E}} = f_* \circ f^* \text{At}_{\mathcal{E}}.$$

4.7. The partial Atiyah class. Composing the natural $\mathbf{L}_{X \times \mathcal{N}} \rightarrow p_X^* \mathbf{L}_{\mathcal{N}}$ with $\text{At}_{\mathcal{E}}$ gives

$$\mathbf{R}\mathcal{H}om_{p_X}(\mathcal{E}, \mathcal{E}) \rightarrow p_X^* \mathbf{L}_{\mathcal{N}}[1]$$

By Grothendieck-Verdier duality⁶ along the projective morphism p_X which is of dimension 3 gives

$$\mathbf{R}p_{X,*}(\mathbf{R}\mathcal{H}om(\mathcal{E}, \mathcal{E}) \otimes \omega_{p_X})[2] \rightarrow \mathbf{L}_{\mathcal{N}}.$$

Now ω_{p_X} is trivial, as X is Calabi-Yau and we call the resulting morphism

$$\text{At}_{\mathcal{E}, \mathcal{N}} : \mathbf{R}\mathcal{H}om_{p_X}(\mathcal{E}, \mathcal{E})[2] \rightarrow \mathbf{L}_{\mathcal{N}}$$

the *partial* Atiyah class on \mathcal{N} .

Remark 4.7.1. Whenever possible, we omit the subscript \mathcal{N} from notation.

To close this section, we make the trace shift $\sigma_{\text{tr}\Phi} \circ \mathcal{N}$ of 2.5.6 into an equivariant action on the universal sheaf \mathcal{E} .

Definition 4.7.2. We recall from 2.5.6 that $\sigma_{\text{tr}\Phi} \circ X$ acts on local coordinates as $(s, t) \mapsto (s, t - \text{tr}(\phi_s))$. This defines on \mathcal{N} the involution

$$\sigma_{\text{tr}\Phi} : \mathcal{E}_{\phi} \mapsto \sigma_{\text{tr}\Phi}^* \mathcal{E}_{\phi} = \mathcal{E}_{\phi^t}$$

We lift this to an action $\sigma \circ X \times \mathcal{N}$ where we define $\sigma := (\sigma_{-\text{tr}\phi}, \sigma_{\text{tr}\phi}^*)$. Here, $\sigma_{-\text{tr}(\phi)} \circ X$ is the inverse to $\sigma_{\text{tr}\phi}$.

Lemma 4.7.3. There exists a linearisation $\mathcal{E} \cong \sigma^* \mathcal{E}$ satisfying 4.1.2.

Proof. Write $n = [\mathcal{E}] \in \mathcal{N}$ for the class of a sheaf.

Let $(\sigma_{\text{tr}\phi} \times \text{id}) \circ X \times \mathcal{N}$ be the map that sends $(x, n) \mapsto (\sigma_{\text{tr}\phi}(x), n)$. Pulling back gives the family $(\sigma_{\text{tr}\phi} \times \text{id})^* \mathcal{E}$ over $X \times \mathcal{N}$ which corresponds by the universal property of \mathcal{N} to a unique morphism

$$f : \mathcal{N} \rightarrow \mathcal{N}$$

⁶for a precise statement of Grothendieck Verdier duality we refer to [H] 86-90

such that

$$(\mathrm{id} \times f)^* \mathcal{E} \cong (\sigma_{\mathrm{tr}\phi} \times \mathrm{id})^* \mathcal{E} \otimes p_{\mathcal{N}}^* \mathcal{P}$$

for some $\mathcal{P} \in \mathbf{Pic}(\mathcal{N})$.

By comparing stalks, we see that f is the map $n \mapsto \sigma_{\mathrm{tr}\Phi}^* n$ and \mathcal{P} is in fact trivial. Applying $(\sigma_{-\mathrm{tr}\phi} \times \mathrm{id})^*$ on both sides yields

$$(\sigma_{-\mathrm{tr}\phi} \times \sigma_{\mathrm{tr}\Phi}^*)^* \mathcal{E} \cong \mathcal{E},$$

where the LHS agrees now with $\sigma^* \mathcal{E}$. Thus, we can pick an isomorphism $\Psi : \mathcal{E} \cong \sigma^* \mathcal{E}$. By construction, its inverse is $\sigma^* \Psi$, so there is a commutative square

$$(4.7.4) \quad \begin{array}{ccc} \mathcal{E} & \xrightarrow{\Psi} & \sigma^* \mathcal{E} \\ & \searrow & \downarrow \sigma^* \Psi \\ & & (\sigma^*)^2 \mathcal{E} \end{array}$$

proving the equivariance □

Lemma 4.7.5. There exists a smooth ambient space for $X \times \mathcal{N} \subset \mathcal{A}$ extending σ

Proof. As X is smooth, it is enough by 4.2.1 to find a σ -linearised very ample line bundle on \mathcal{N} . As in 4.2.1, we may consider $\mathcal{L} \otimes \sigma^* \mathcal{L}$, σ -linearised by swapping the factors. □

By 4.3.1, this makes $\mathbf{L}_{\mathcal{N}}$ σ -linearised. We can show:

Corollary 4.7.6. The partial Atiyah class $\mathrm{At}_{\mathcal{E}}$ on \mathcal{N} admits a σ -linearisation:

Proof. Together with a σ -equivariant smooth embedding $X \times \mathcal{N} \subset \mathcal{A}$, the linearisation $\Psi : \mathcal{E} \cong \sigma^* \mathcal{E}$, makes the full Atiyah class $\mathrm{At}_{\mathcal{E}}$ on $X \times \mathcal{N}$ is compatible with σ ([R] Cor. 4.4.).

This makes $\mathrm{At}_{\mathcal{E}}$ into an element of $\mathrm{Ext}^1(\mathcal{E}, \mathcal{E} \otimes \mathbf{L}_{X \times \mathcal{N}})^{(\sigma)}$. The rest is similar to 4.7, but now equivariant: Composing with the (naturally σ -equivariant) projection $\mathbf{L}_{X \times \mathcal{N}} \rightarrow p_{\mathcal{N}}^* \mathbf{L}_{\mathcal{N}}$ gives

$$\mathbf{R}\mathcal{H}om(\mathcal{E}, \mathcal{E}) \rightarrow p_{\mathcal{N}}^* \mathbf{L}_{\mathcal{N}}[1].$$

Applying equivariant Grothendieck duality to p_X ⁷, which is a morphism of dim 3 and noting again that the relative canonical ω_{p_X} is trivial gives a σ -equivariant partial Atiyah class

$$\mathrm{At}_{\mathcal{E}, \mathcal{N}} : \mathbf{R}\mathcal{H}om_{p_X}(\mathcal{E}, \mathcal{E})[2] \rightarrow \mathbf{L}_{\mathcal{N}}$$

□

⁷for a statement of this duality, see [R] Thm. 2.27

Remark 4.7.7. After taking duals, the σ -linearisation can now be written as

$$(4.7.8) \quad \begin{array}{ccc} \mathbf{R}\mathcal{H}om_{p_X}(\mathcal{E}, \mathcal{E})[1] & \xrightarrow{\sigma_*} & \mathbf{R}\mathcal{H}om_{p_X}(\sigma^*\mathcal{E}, \sigma^*\mathcal{E})[1] \\ \text{At}_{\mathcal{E}, \mathcal{N}} \uparrow & & \sigma^* \text{At}_{\mathcal{E}, \mathcal{N}} \uparrow \\ \mathbf{T}_{\mathcal{N}} & \xrightarrow{\sigma_*} & \sigma^* \mathbf{T}_{\mathcal{N}} \end{array}$$

Here, we remark that the upper horizontal arrow is the push-down via p_X of the adjoint action of Ψ ,

$$\mathbf{R}\mathcal{H}om(\mathcal{E}, \mathcal{E}) \rightarrow \mathbf{R}\mathcal{H}om(\sigma^*\mathcal{E}, \sigma^*\mathcal{E}),$$

given by $g \mapsto \Psi g \Psi^{-1}$ for $g \in \mathbf{R}\mathcal{H}om(\mathcal{E}, \mathcal{E})$.

5. PERFECT OBSTRUCTION THEORIES

5.0. Summary. Let $n = [\mathcal{E}] \in \mathcal{N}$ be a closed point. It has deformations given by $\text{Ext}^1(\mathcal{E}, \mathcal{E})$ and obstructions by $\text{Ext}^2(\mathcal{E}, \mathcal{E})$. We call the integer

$$\text{vd} := \text{ext}^1(\mathcal{E}, \mathcal{E}) - \text{ext}^2(\mathcal{E}, \mathcal{E}) = 1 - h^3(\mathcal{O}_X) - \int \text{ch}(\mathcal{E}^\vee) \text{ch}(\mathcal{E}) \text{Td}_X$$

the *virtual* or *expected* dimension of \mathcal{N} and remark that this is a (topological) constant on \mathcal{N} .

Globally, we want to find a 2-term complex of vector bundles on \mathcal{N}

$$V = [V^{-1} \rightarrow V^0]$$

such that $h^0(V^\vee(n)) = \text{Ext}^1(\mathcal{E}, \mathcal{E})$ and $h^{-1}(V^\vee(n)) = \text{Ext}^2(\mathcal{E}, \mathcal{E})$. Here, $V^\vee(n) = j_n^* V$ for $j : \{n\} \hookrightarrow \mathcal{N}$ the class of a sheaf $[\mathcal{E}] = n$.

5.1. Virtual cycles. The right data relating extrinsic obstructions (those coming from V) with intrinsic ones (coming from $\mathbf{L}_{\mathcal{N}}$) is a *perfect obstruction theory*⁸:

Definition 5.1.1. This is a pair (V, ψ) on \mathcal{N} consisting of a

- a 2-term complex $V = [V^{-1} \rightarrow V^0]$ of vector bundles in $\mathbf{D}^{[-1,0]}(\mathcal{N})$
- a morphism $\psi : V \rightarrow \mathbf{L}_{\mathcal{N}}$ to the truncated cotangent complex, such that $h^0(\psi)$ is an isomorphism and $h^{-1}(\psi)$ onto.

The moduli space \mathcal{N} then inherits a *virtual cycle*

$$[\mathcal{N}]^{\text{vir}} := 0_{V_1}^! [C] \in A_{\text{vd}}(\mathcal{N}),$$

in the Chow group $A_*(\mathcal{N})$, where $0_{V_1}^!$ is the Gysin map for $V_1 = V^{-1, \vee}$ and $C = C(V^\bullet)$ is the Behrend-Fantechi cone, which is a closed subcone of V_1 ⁹. $[\mathcal{N}]^{\text{vir}}$ is often called the *virtual fundamental class* $[\mathcal{N}]_V^{\text{vir}}$ of \mathcal{N} with respect to V .

⁸we omit the definition of a more general *obstruction theory* which is stated in [BF] Def. 4.4

⁹see [BF] Def. 5.2

Remark 5.1.2. The important observation here is that V (if it exists with the desired properties stated in 5.0) equips \mathcal{N} with a virtual cycle of dimension 0, since on the Calabi-Yau threefold X we have $\text{vd} = 0$ as

$$\text{Ext}^1(\mathcal{E}, \mathcal{E}) \cong \text{Ext}^2(\mathcal{E}, \mathcal{E})^*.$$

Furthermore, if \mathcal{N} was compact, this would give an actual sheaf count

$$\int_{[\mathcal{N}]^{vir}} 1 \in \mathbf{Z}$$

Remark 5.1.3. The complex V is often called the virtual cotangent bundle $\mathbf{T}_{\mathcal{N}}^{vir}$. A scheme with a perfect obstruction theory V is called *virtually smooth*. Note that this depends on the choice of V .

Furthermore, we remark that if \mathcal{N} is smooth, it admits a natural perfect obstruction theory given by $\Omega_{\mathcal{N}}$ and $[\mathcal{N}]^{vir} = [\mathcal{N}]$ agrees with the usual fundamental class. If \mathcal{N} is l.c.i., $\mathbf{L}_{\mathcal{N}}$ is perfect and can taken to be the obstruction complex with ψ being the identity.

Proposition 5.1.4. The obstruction theory given by the truncated partial Atiyah class

$$\text{At}_{\mathcal{E}, \mathcal{N}} : \tau^{[-1, 0]} \mathbf{R}\mathcal{H}om_{p_X}(\mathcal{E}, \mathcal{E})[2] \rightarrow \mathbf{L}_{\mathcal{N}}$$

admits a 2-term representation of vector bundles.

Proof. As X is non-compact, we embed $j : X \subset \overline{X} = \mathbf{P}(K_S^* \oplus \mathcal{O}_S)$ into its projective completion and identify the spectral sheaves \mathcal{E} with their push-forward $j_*\mathcal{E}$. Then $\bar{\pi} : \overline{X} \rightarrow S$ is a \mathbf{P}^1 -bundle containing X as an open. Let $\mathcal{O}(1)$ be a polarisation¹⁰ on \overline{X} or its pull-back to $\overline{X} \times \mathcal{N}$.

Although \overline{X} is not of Calabi-Yau type, we see that

$$\mathcal{E} \otimes \omega_{\overline{X}} \cong j_*(\mathcal{E} \otimes j^*\omega_{\overline{X}}) = j_*(\mathcal{E} \otimes \omega_X) = j_*\mathcal{E} = \mathcal{E},$$

so its canonical $\omega_{\overline{X}}$ is trivial when restricted to $\text{supp}(\mathcal{E})$.

Fix a universal family \mathcal{E} on $\overline{X} \times \mathcal{N}$ for spectral sheaves $n = [\mathcal{E}] \in \mathcal{N}$, which is by definition supported on $X \times \mathcal{N} \subset \overline{X} \times \mathcal{N}$. Similar to the computation above, we remark that

$$\mathcal{E} \otimes \omega_{p_{\overline{X}}} \cong \mathcal{E}$$

for the *relative* dualising sheaf $\omega_{p_{\overline{X}}} = p_{\overline{X}}^*\omega_{\overline{X}}$ on $\overline{X} \times \mathcal{N}$, again because

$$(j \times \text{id})^* p_{\overline{X}}^*\omega_{\overline{X}} \cong p_X^* j^*\omega_{\overline{X}} \cong p_X^*\omega_X \cong \mathcal{O}$$

\mathcal{N} admits a closed embedding into a smooth \mathcal{A} with ideal sheaf \mathcal{I} , so the *truncated* cotangent complex admits an explicit 2-term representation

$$\mathbf{L}_{\mathcal{N}} = [\mathcal{I}/\mathcal{I}^2 \rightarrow \Omega_{\mathcal{A}}|_{\mathcal{N}}]$$

in degrees $-1, 0$.

We choose a sufficiently negative finite resolution F^\bullet on $\overline{X} \times \mathcal{N}$ of locally frees representing $\mathbf{R}\mathcal{H}om(\mathcal{E}, \mathcal{E})$ such that

¹⁰this could be $\pi^*\mathcal{O}_S(k) \otimes H^l$ for suitable $k, l > 0$ and H the relative hyperplane bundle for $X \rightarrow S$

- the full Atiyah class $\mathrm{At}_{\mathcal{E}} : \mathbf{R}\mathcal{H}om(\mathcal{E}, \mathcal{E}) \rightarrow \mathbf{L}_{\mathcal{N}}[1]$ is represented by complexes.
- the push-downs $p_{\overline{X},*}F^k$ to \mathcal{N} are again locally free for all k .

The latter can be achieved e.g. by making sure $F^{\bullet, \vee}$ has no fibre-wise cohomology $H^i(\overline{X}_n, F^k|_{\overline{X}_n}) = 0$ for $i > 0$ and all k . This forces $p_{\overline{X},*}(F^{\bullet, \vee})$ to consist of locally frees, hence the same holds for $p_{\overline{X},*}F^{\bullet}$. Applying Verdier duality to $p_{\overline{X}}$, this is isomorphic to

$$(5.1.5) \quad \mathbf{R}\mathcal{H}om_{p_{\overline{X}}}(\mathcal{E}, \mathcal{E}) \otimes \omega_{p_{\overline{X}}}[2] \rightarrow \mathbf{L}_{\mathcal{N}}$$

By the above computation we have

$$\mathbf{R}\mathcal{H}om_{p_{\overline{X}}}(\mathcal{E}, \mathcal{E}) \otimes \omega_{p_{\overline{X}}} \cong \mathbf{R}\mathcal{H}om_{p_X}(\mathcal{E}, \mathcal{E})$$

so the LHS of 5.1.5 is represented by a finite complex of locally frees $p_{\overline{X},*}F^{\bullet}[2]$. Thus, truncation to degrees $-1, 0$ gives the truncated partial Atiyah class on \mathcal{N}

$$\mathrm{At}_{\mathcal{E}, \mathcal{N}} : \tau^{[-1, 0]} \mathbf{R}\mathcal{H}om_{p_X}(\mathcal{E}, \mathcal{E})[2] \rightarrow \mathbf{L}_{\mathcal{N}}$$

where the LHS admits a 2-term representation of vector bundles, as we made sure that $p_{\overline{X},*}F^{\bullet}[2]$ consist of locally frees.

□

Remark 5.1.6. First observe that the $\mathrm{At}_{\mathcal{E}, \mathcal{N}}$ is by [HT] Lemma 4.2 an obstruction theory and now perfect.

We denote by V^{\bullet} the resulting 2-term representation of

$$\tau^{[-1, 0]} \mathbf{R}\mathcal{H}om_{p_X}(\mathcal{E}, \mathcal{E})[2]$$

and remark that the proof gives a map

$$[V^{-1} \rightarrow V^0] \xrightarrow{\psi} [\mathcal{I}/\mathcal{I}^2 \rightarrow \Omega_{\mathcal{A}}|_{\mathcal{N}}] \text{ in } \mathbf{D}^{[-1, 0]}(\mathcal{N})$$

We'll work in the next section with the non-truncated partial Atiyah class only and will often use the dual

$$\mathrm{At}_{\mathcal{E}, \mathcal{N}} : \mathbf{T}_{\mathcal{N}} \rightarrow \mathbf{R}\mathcal{H}om_{p_X}(\mathcal{E}, \mathcal{E})[1]$$

The 2-term representation of the virtual tangent bundle is going to be important the last section only.

Again, we drop the subscript \mathcal{N} whenever possible.

6. THE TRACE-IDENTITY SPLITTING

6.0. Summary. The following section sets up the right notation for a ι -equivariant Atiyah class for \mathcal{E} , in order to make the obstruction theory from 5.1.4 compatible ι .

In order to do so, we'll split $\iota = \sigma \circ \lambda$ into the line bundle twist λ and the trace shift σ defined in 2.5.6 and observe there is a natural lift of these maps

to $\mathbf{R}\mathcal{H}om_{p_X}(\mathcal{E}, \mathcal{E})$.

Furthermore, we'll have a closer look at the trace-identity split maps

$$(6.0.1) \quad \begin{array}{c} \mathbf{R}\mathcal{H}om_{p_X}(\mathcal{E}, \mathcal{E}) \longleftrightarrow \mathbf{R}p_{S*}K_S[-1] \\ \updownarrow \\ \mathbf{R}p_{S*}\mathcal{O}_S. \end{array}$$

and observe that the *natural* lifts of σ , λ act as the identity on these two summands.

We conclude with the observation that the right choice of an equivariant lift of λ and σ to $\mathbf{R}\mathcal{H}om_{p_X}(\mathcal{E}, \mathcal{E})$ should deform \mathcal{O}_S and K_S respectively. The "correction" terms to the natural lift will be introduced in the next two sections for λ, σ separately.

6.1. Setup. Let $\iota \curvearrowright \mathcal{N}$, now seen as a $\mathbf{Z}/(2\mathbf{Z})$ action $\langle \iota \rangle$.

Definition 6.1.1. As in 4.1.1, we call $\text{At}_{\mathcal{E}}$ ι -equivariant if there is a commutative diagram

$$(6.1.2) \quad \begin{array}{ccc} \mathbf{R}\mathcal{H}om_{p_X}(\mathcal{E}, \mathcal{E})[1] & \xrightarrow{\theta_{\iota}} & \mathbf{R}\mathcal{H}om_{p_X}(\iota^*\mathcal{E}, \iota^*\mathcal{E})[1] \\ \text{At}_{\mathcal{E}} \uparrow & & \uparrow \iota^*\text{At}_{\mathcal{E}} \\ \mathbf{T}_{\mathcal{N}} & \xrightarrow{\iota_*} & \iota^*\mathbf{T}_{\mathcal{N}} \end{array}$$

such that the two commuting triangles

$$\begin{array}{ccc} \mathbf{T}_{\mathcal{N}} & \xrightarrow{\iota_*} & \iota^*\mathbf{T}_{\mathcal{N}} \\ & \searrow & \downarrow \iota^*(\iota_*) \\ & & (\iota^{*,2})\mathbf{T}_{\mathcal{N}} \end{array}$$

$$\begin{array}{ccc} \mathbf{R}\mathcal{H}om_{p_X}(\mathcal{E}, \mathcal{E}) & \xrightarrow{\theta_{\iota}} & \mathbf{R}\mathcal{H}om_{p_X}(\iota^*\mathcal{E}, \iota^*\mathcal{E}) \\ & \searrow & \downarrow \iota^*\theta_{\iota} \\ & & \mathbf{R}\mathcal{H}om_{p_X}(\iota^{2,*}\mathcal{E}, \iota^{2,*}\mathcal{E}) \end{array}$$

map to each other vial $\text{At}_{\mathcal{E}}$ and $\iota^*\text{At}_{\mathcal{E}}$.

Remark 6.1.3. For an involution, the composition of the differential maps $\mathbf{T}_{\mathcal{N}} \rightarrow \iota^*\mathbf{T}_{\mathcal{N}} \rightarrow \iota^{*,2}\mathbf{T}_{\mathcal{N}}$ gives naturally the identity, so we get the first triangle for free. Thus, we are left to construct a map

$$\theta_{\iota} : \mathbf{R}\mathcal{H}om_{p_X}(\mathcal{E}, \mathcal{E}) \rightarrow \mathbf{R}\mathcal{H}om_{p_X}(\iota^*\mathcal{E}, \iota^*\mathcal{E})$$

compatible with $\text{At}_{\mathcal{E}}$ in the above sense.

6.2. Strategy. We'll decompose $\iota = \sigma \circ \lambda$ into two maps (from the definition 2.4.3) and construct $\theta_\lambda, \theta_\sigma$ separately.

The determinant twist $\lambda \circ \mathcal{N}$ was the map

$$\mathcal{E} \mapsto \mathcal{E} \otimes \pi^* \det(\pi_* \mathcal{E})^{-1}.$$

The trace shift $\sigma \circ \mathcal{N}$ is

$$\mathcal{E} \mapsto \sigma_{\mathrm{tr}\phi}^* \mathcal{E}.$$

We remark that both λ and σ have square equal to id .

Furthermore, a similar computation to the one done in 2.5.2 shows that

Lemma 6.2.1. $\lambda\sigma = \sigma\lambda$ holds.

6.3. Trace and determinant. We start with the global trace and determinant maps

$$\begin{array}{ccc} \mathcal{N} & \xrightarrow{\mathrm{tr}} & \Gamma(K_S) \\ \downarrow \det \pi_* & & \\ \mathbf{Pic}(S) & & \end{array}$$

defined by $\mathcal{E} \mapsto \det(\pi_* \mathcal{E})$ and $\mathcal{E} \mapsto \mathrm{tr}(\pi_*(\tau \cdot \mathrm{id}))$ for $\tau \cdot \mathrm{id}$ the tautological endomorphism on X .

At the level of tangent spaces at a fixed sheaf $\mathcal{E} \in \mathcal{N}$, this is given by

$$\begin{array}{ccc} \mathrm{Ext}^1(\mathcal{E}, \mathcal{E}) & \longrightarrow & H^0(K_S) \\ \downarrow & & \\ H^1(\mathcal{O}_S) & & \end{array}$$

Globally on \mathcal{N} , the cohomology at each point $[\mathcal{E}] \in \mathcal{N}$ of a representation V^\bullet of the virtual tangent bundle $\mathbf{R}\mathcal{H}om_{p_X}(\mathcal{E}, \mathcal{E})[1]$ computes $\mathrm{Ext}^*(\mathcal{E}, \mathcal{E})$. Thus the maps

$$(6.3.1) \quad \begin{array}{ccc} \mathbf{R}\mathcal{H}om_{p_X}(\mathcal{E}, \mathcal{E}) & \longleftrightarrow & \mathbf{R}p_{S*} K_S[-1] \\ \updownarrow & & \\ \mathbf{R}p_{S*} \mathcal{O}_S & & \end{array}$$

can be seen as the *virtual* differential of the trace and determinant maps.¹¹ The arrow

$$\mathbf{R}\mathcal{H}om_{p_X}(\mathcal{E}, \mathcal{E}) \xrightarrow{\mathrm{tr}\pi_*} \mathbf{R}p_{S*} \mathcal{O}_S$$

is split with right-inverse $\frac{1}{\mathrm{rank}(\pi_* \mathcal{E})}(\pi^* \cdot \mathrm{id}) = \frac{1}{2}\pi^*(\cdot \mathrm{id})$.

Replacing the arrows by their duals gives after applying Grothendieck-Verdier duality the splitting

$$\mathbf{R}\mathcal{H}om_{p_X}(\mathcal{E}, \mathcal{E}) \leftrightarrow \mathbf{R}p_{S*} K_S[-1]$$

¹¹although this might be clear from an intuitive point of view, showing that above split maps are indeed the *virtual* tangent maps of trace and determinant is very difficult. A partial answer (for \det) can be found in [STV] and the entire discussion on over 30 pages in [TT]

Note that this shifts the RHS by -1 as p_X is of dimension 3 and p_S of dimension 2. We denote the resulting arrows by a and b respectively, now being Serre dual to $\pi^*(\cdot \text{id})$ and $\text{tr}\pi_*$, i.e. $a \circ b = 2 \cdot \text{id}$.

Lemma 6.3.2. The composition

$$\mathbf{R}p_{S,*}K_S[-1] \xrightarrow{b} \mathbf{R}\mathcal{H}om_{p_X}(\mathcal{E}, \mathcal{E}) \xrightarrow{\text{tr}(\pi_*)} \mathbf{R}p_{S,*}\mathcal{O}_S$$

is zero.

Proof. We observe that this map factors over

$$\mathbf{R}\mathcal{H}om_{p_S}(\mathbf{E}, \mathbf{E} \otimes K_S)[-1] \xrightarrow{\pi_* \circ \partial} \mathbf{R}\mathcal{H}om_{p_S}(\mathbf{E}, \mathbf{E}) \xrightarrow{\text{tr}} \mathbf{R}p_{S,*}\mathcal{O}_S$$

which is zero, following from [TT] 2.21 as explained in 1.6. \square

Definition 6.3.3. This gives a splitting denoted as

$$\mathbf{R}\mathcal{H}om_{p_X}(\mathcal{E}, \mathcal{E}) = \mathbf{R}\mathcal{H}om_{p_X}(\mathcal{E}, \mathcal{E})^\perp \oplus \mathbf{R}p_{S,*}K_S[-1] \oplus \mathbf{R}p_{S,*}\mathcal{O}_S$$

The following paragraph relates this splitting to σ and λ .

6.4. The line bundle twist. On $X \times \mathcal{N}$, define the line bundle

$$\mathcal{L} := \pi^* \det(\pi_* \mathcal{E})^{-1}.$$

and observe that $(\text{id} \times \lambda)^* \mathcal{E} \cong \mathcal{E} \otimes \mathcal{L}$. Therefore there are canonical maps

$$\mathbf{R}\mathcal{H}om_{p_X}(\mathcal{E}, \mathcal{E}) \leftrightarrow \mathbf{R}\mathcal{H}om_{p_X}(\mathcal{E} \otimes \mathcal{L}, \mathcal{E} \otimes \mathcal{L})$$

where the arrow from left to right is $\lambda_* : g \mapsto g \otimes 1$ with inverse λ^* given by cancelling $\mathcal{L}^\vee \otimes \mathcal{L} \cong \mathcal{O}$.

Claim 6.4.1. In view of above splitting 6.3.3, λ_* is diagonal and acts as $+1$ on the second two factors.

Proof. This is clear for the $\mathbf{R}p_{S,*}\mathcal{O}_S$ term. Now we claim that the maps λ_*, λ^* fix $\mathbf{R}p_{S,*}K_S$ because the inclusions

$$\begin{array}{ccc} \mathbf{R}\mathcal{H}om_{p_X}(\mathcal{E}, \mathcal{E}) & \xleftarrow{\lambda^*} & \mathbf{R}\mathcal{H}om_{p_X}(\mathcal{E} \otimes \mathcal{L}, \mathcal{E} \otimes \mathcal{L}) \\ & \nwarrow b & \nearrow b \\ & \mathbf{R}p_{S,*}K_S[-1] & \end{array}$$

are dual to the trace maps

$$\begin{array}{ccc} \mathbf{R}\mathcal{H}om_{p_X}(\mathcal{E}, \mathcal{E}) & \xrightarrow{\lambda_*} & \mathbf{R}\mathcal{H}om_{p_X}(\mathcal{E} \otimes \mathcal{L}, \mathcal{E} \otimes \mathcal{L}) \\ & \searrow \text{tr}\pi_* & \swarrow \text{tr}\pi_* \\ & \mathbf{R}p_{S,*}\mathcal{O}_S & \end{array}$$

and the latter commutes because taking traces factors over the natural evaluation $\mathcal{L}^\vee \otimes \mathcal{L} \cong \mathcal{O}$. \square

6.5. The trace shift. The map $\sigma \circ X \times \mathcal{N}$ with linearisation map $\Psi : \mathcal{E} \xrightarrow{\sim} \sigma^* \mathcal{E}$ induces natural isomorphisms

$$\mathbf{R}\mathcal{H}om_{p_X}(\mathcal{E}, \mathcal{E}) \leftrightarrow \mathbf{R}\mathcal{H}om_{p_X}(\sigma^* \mathcal{E}, \sigma^* \mathcal{E})$$

where σ_* , the arrow from left to right, is induced by (the pushdown of) $g \mapsto \Psi g \Psi^{-1}$. As before, we claim

Claim 6.5.1. $\sigma_* : \mathbf{R}\mathcal{H}om_{p_X}(\mathcal{E}, \mathcal{E}) \rightarrow \mathbf{R}\mathcal{H}om_{p_X}(\sigma^* \mathcal{E}, \sigma^* \mathcal{E})$ is diagonal with respect to the splitting 6.3.1 and acts again trivially on the second two summands.

Proof. This is similar to the previous one and reduces to the fact that

$$\begin{array}{ccc} \mathbf{R}\mathcal{H}om_{p_X}(\mathcal{E}, \mathcal{E}) & \xleftarrow{\sigma_*} & \mathbf{R}\mathcal{H}om_{p_X}(\sigma^* \mathcal{E}, \sigma^* \mathcal{E}) \\ & \searrow \text{tr} \pi_* & \swarrow \text{tr} \pi_* \\ & \mathbf{R}p_{S,*} \mathcal{O}_S & \end{array}$$

commutes because $\text{tr}(\pi_*(\Psi^{-1} g \Psi)) = \text{tr}(\pi_* g)$.

Dually, this is

$$\begin{array}{ccc} \mathbf{R}\mathcal{H}om_{p_X}(\mathcal{E}, \mathcal{E}) & \xrightarrow{\sigma_*} & \mathbf{R}\mathcal{H}om_{p_X}(\sigma^* \mathcal{E}, \sigma^* \mathcal{E}) \\ & \nwarrow b & \nearrow b \\ & \mathbf{R}p_{S,*} K_S[-1] & \end{array}$$

Then replace again the arrows by their duals. \square

Remark 6.5.2. We end the section with the remark that we can write the natural maps λ_* and σ_* as diagonal maps $(\lambda_* \oplus 1 \oplus 1)$ and $(\sigma_* \oplus 1 \oplus 1)$ on

$$\mathbf{R}\mathcal{H}om_{p_X}(\mathcal{E}, \mathcal{E}) = \mathbf{R}\mathcal{H}om_{p_X}(\mathcal{E}, \mathcal{E})^\perp \oplus \mathbf{R}p_{S,*} K_S[-1] \oplus \mathbf{R}p_{S,*} \mathcal{O}_S$$

6.6. Goal. The goal of the next two sections is to see that the virtual differential of ι , i.e. the correct ι -linearisation of

$$\mathbf{R}\mathcal{H}om_{p_X}(\mathcal{E}, \mathcal{E})$$

acts as -1 on

$$\mathbf{R}p_{S,*} K_S[-1] \oplus \mathbf{R}p_{S,*} \mathcal{O}_S$$

7. THE DETERMINANT

7.0. Summary. We'll define the correct notion of equivariance for $\lambda \circ \mathcal{N}$, i.e. we construct a lift $\theta_\lambda : \mathbf{R}\mathcal{H}om_{p_X}(\mathcal{E}, \mathcal{E}) \rightarrow \mathbf{R}\mathcal{H}om_{p_X}(\lambda^*\mathcal{E}, \lambda^*\mathcal{E})$ replacing the natural lift λ_* of the previous section as follows:

As $\lambda(\mathcal{E}) = \mathcal{E} \otimes \pi^* \det(\pi_*\mathcal{E})^{-1}$, we observe

$$\begin{array}{ccc} \mathcal{N} & \xrightarrow{\det \pi_*} & \mathbf{Pic}(S) \\ \downarrow \lambda & & \downarrow -1 \\ \mathcal{N} & \xrightarrow{\det \pi_*} & \mathbf{Pic}(S) \end{array}$$

which is at tangent spaces for a fixed point $[\mathcal{E}] \in \mathcal{N}$ given by

$$\begin{array}{ccc} \mathrm{Ext}^1(\mathcal{E}, \mathcal{E}) & \xrightarrow{\mathrm{tr} \pi_*} & H^1(\mathcal{O}_S) \\ \downarrow (d\lambda)_{[\mathcal{E}]} & & \downarrow -1 \\ \mathrm{Ext}^1(\lambda\mathcal{E}, \lambda\mathcal{E}) & \xrightarrow{\mathrm{tr} \pi_*} & H^1(\mathcal{O}_S) \end{array}$$

As $\mathrm{Ext}^1(\mathcal{E}, \mathcal{E}) \leftrightarrow H^1(\mathcal{O}_S)$ is split, $d\lambda$ should act as -1 on $H^1(\mathcal{O}_S)$ on *all* points \mathcal{E} of \mathcal{N} . However, the natural map

$$\lambda_* : \mathrm{Ext}^1(\mathcal{E}, \mathcal{E}) \rightarrow \mathrm{Ext}^1(\lambda\mathcal{E}, \lambda\mathcal{E})$$

is just the identity for all \mathcal{E} where $\det(\pi_*\mathcal{E}) \cong \mathcal{O}_S$ holds.

For families \mathcal{E} of \mathcal{E} , we want to replace λ_* of 6.4 by the correct map θ_λ , whose action on $\mathbf{R}\mathcal{H}om_{p_X}(\mathcal{E}, \mathcal{E})[1]$ is now -1 on $\mathbf{R}p_{S,*}\mathcal{O}_S[1]$. We'll need the following ingredient:

7.1. Deformations of the determinant. By [STV] Proposition 3.2, there is a commutative square

$$(7.1.1) \quad \begin{array}{ccc} \mathbf{R}\mathcal{H}om_{p_X}(\mathcal{E}, \mathcal{E})[1] & \xrightarrow{\mathrm{tr} \pi_*} & \mathbf{R}p_{S,*}\mathcal{O}_S[1] \\ \mathrm{At}_{\mathcal{E}} \uparrow & & \uparrow \det^* \mathrm{At}_{\det(\pi_*\mathcal{E})} \\ \mathbf{T}_{\mathcal{N}} & \xrightarrow{\det_*} & \det^* \mathbf{T}_{\mathbf{Pic}(S)} \end{array}$$

relating deformations of $\mathcal{E} \in \mathcal{N}$ with the one of $\det(\pi_*\mathcal{E}) \in \mathbf{Pic}(S)$.

7.2. The differential of λ .

Definition 7.2.1. We define

$$\theta_\lambda : \mathbf{R}\mathcal{H}om_{p_X}(\mathcal{E}, \mathcal{E}) \rightarrow \mathbf{R}\mathcal{H}om_{p_X}(\lambda^*\mathcal{E}, \lambda^*\mathcal{E})$$

as

$$f \mapsto \lambda_* f \otimes 1 - \pi^*(\mathrm{tr}(\pi_* f) \cdot \mathrm{id}) \otimes 1$$

i.e. it is the natural map λ_* of the previous section minus the differential of \det , according to 7.1.1.

Remark 7.2.2. Corresponding to $\mathbf{R}\mathcal{H}om_{p_X}(\mathcal{E}, \mathcal{E}) \leftrightarrow \mathbf{R}p_{S,*}\mathcal{O}_S$, write $f \in \mathbf{R}\mathcal{H}om_{p_X}(\mathcal{E}, \mathcal{E})[1]$ as $f = f_0 \oplus \frac{1}{2}\pi^*(\mathrm{tr}(\pi_*f)) \cdot \mathrm{id}$. We observe

$$\theta_\lambda : f_0 \oplus \frac{1}{2}\pi^*(\mathrm{tr}(\pi_*f)) \cdot \mathrm{id} \mapsto \lambda_*f_0 \oplus -\frac{1}{2}\pi^*(\mathrm{tr}(\pi_*f)) \cdot \mathrm{id},$$

so $\theta_\lambda = \lambda_* \oplus (-1)$ is diagonal.

Lemma 7.2.3. We have $\lambda^*\theta_\lambda \circ \theta_\lambda = \mathrm{id}$, i.e.

$$\begin{array}{ccc} \mathbf{R}\mathcal{H}om_{p_X}(\mathcal{E}, \mathcal{E})[1] & \xrightarrow{\theta_\lambda} & \mathbf{R}\mathcal{H}om_{p_X}(\lambda^*\mathcal{E}, \lambda^*\mathcal{E})[1] \\ & \searrow & \downarrow \lambda^*\theta_\lambda \\ & & \mathbf{R}\mathcal{H}om_{p_X}(\lambda^{*,2}\mathcal{E}, \lambda^{*,2}\mathcal{E})[1] \end{array}$$

commutes

Proof. As $\lambda^2 = \mathrm{id}$, the vertical arrow maps indeed to $\mathbf{R}\mathcal{H}om_{p_X}(\mathcal{E}, \mathcal{E})[1]$. As $\theta_\lambda = \lambda_* \oplus (-1)$, we get $\theta_\lambda^2 = \mathrm{id}$. \square

7.3. The Atiyah class. Via $\mathrm{At}_\mathcal{E}$, θ_λ relates to the natural differential map $\lambda_* : \mathbf{T}_\mathcal{N} \rightarrow \lambda^*\mathbf{T}_\mathcal{N}$:

Lemma 7.3.1. There is a commutative square

$$(7.3.2) \quad \begin{array}{ccc} \mathbf{R}\mathcal{H}om_{p_X}(\mathcal{E}, \mathcal{E})[1] & \xrightarrow{\theta_\lambda} & \mathbf{R}\mathcal{H}om_{p_X}(\lambda^*\mathcal{E}, \lambda^*\mathcal{E})[1] \\ \mathrm{At}_\mathcal{E} \uparrow & & \uparrow \lambda^*\mathrm{At}_\mathcal{E} \\ \mathbf{T}_\mathcal{N} & \xrightarrow{\lambda_*} & \lambda^*\mathbf{T}_\mathcal{N} \end{array}$$

Proof. By functoriality of the Atiyah class, following the RHS up gives $\lambda^*\mathrm{At}_\mathcal{E} \circ \lambda_* = \mathrm{At}_{\lambda^*\mathcal{E}} = \mathrm{At}_{\mathcal{E} \otimes \mathcal{L}}$, so we are left to prove that $\theta_\lambda \circ \mathrm{At}_\mathcal{E} = \mathrm{At}_{\mathcal{E} \otimes \mathcal{L}}$. We compute

$$\theta_\lambda \circ \mathrm{At}_\mathcal{E} = \mathrm{At}_\mathcal{E} \otimes 1 - \pi^*(\mathrm{tr}(\mathrm{At}_{\pi_*\mathcal{E}}) \cdot \mathrm{id}) \otimes 1$$

and

$$\mathrm{At}_{\mathcal{E} \otimes \mathcal{L}} = \mathrm{At}_\mathcal{E} \otimes 1 + \mathrm{At}_\mathcal{L} \cdot \mathrm{id} \otimes 1.$$

Now by 7.1.1, relating \det and tr we have

$$-\pi^*(\mathrm{tr}(\mathrm{At}_{\pi_*\mathcal{E}})) = \pi^*\mathrm{At}_{\det(\pi_*\mathcal{E})^{-1}} = \mathrm{At}_{\pi^*\det(\pi_*\mathcal{E})^{-1}} = \mathrm{At}_\mathcal{L}$$

and the claim follows. \square

7.4. The equivariance. We are now ready to prove that

Corollary 7.4.1. $\mathrm{At}_\mathcal{E}$ is λ -equivariant in the sense of definition 6.1.1.

We see that the commutative triangle

$$\begin{array}{ccc}
\mathbf{T}_{\mathcal{N}} & \xrightarrow{\lambda_*} & \lambda^* \mathbf{T}_{\mathcal{N}} \\
& \searrow & \downarrow \lambda^*(\lambda_*) \\
& & (\lambda^{*,2}) \mathbf{T}_{\mathcal{N}}
\end{array}$$

maps via $\text{At}_{\mathcal{E}}$ to the triangle

$$\begin{array}{ccc}
\mathbf{R}\mathcal{H}om_{p_X}(\mathcal{E}, \mathcal{E})[1] & \xrightarrow{\theta_\lambda} & \mathbf{R}\mathcal{H}om_{p_X}(\lambda^* \mathcal{E}, \lambda^* \mathcal{E})[1] \\
& \searrow & \downarrow \lambda^* \theta_\lambda \\
& & \mathbf{R}\mathcal{H}om_{p_X}(\lambda^{*2} \mathcal{E}, \lambda^{*2} \mathcal{E})[1]
\end{array}$$

Indeed, the second triangle commutes by 7.2.3 and the compatibility between both triangles via $\text{At}_{\mathcal{E}}$ follows from 7.3.1. This makes $\text{At}_{\mathcal{E}}$ λ -equivariant in the sense of 6.1.1.

Remark 7.4.2. Restricting to \mathcal{N}^\perp , we see that $\lambda(\mathcal{E}) = \mathcal{E}$ as $\det(\pi_* \mathcal{E}) \cong \mathcal{O}_S$. By 7.2.3, $\theta_\lambda = 1 \oplus (-1)$ acts as an endomorphism

$$\theta_\lambda \circ \mathbf{R}\mathcal{H}om_{p_X}(\mathcal{E}, \mathcal{E})[1]|_{\mathcal{N}^\perp}$$

giving a splitting into ± 1 eigensheaves

$$\mathbf{R}\mathcal{H}om_{p_X}(\mathcal{E}, \mathcal{E})[1]^0|_{\mathcal{N}^\perp} \oplus \mathbf{R}p_{S,*} \mathcal{O}_S[1]|_{\mathcal{N}^\perp}.$$

8. THE TRACE

8.0. Summary. Having dealt with the determinant, lifting $\sigma_{\text{tr}\Phi} \circ \mathcal{N}$ to the tangent-obstruction complex is of similar nature:

We recall from 2.4.3 that $\sigma_{\text{tr}\Phi} \circ \mathcal{N}$ is $\sigma_{\text{tr}\Phi}(\mathcal{E}_\phi) = \sigma_{\text{tr}\phi}^* \mathcal{E}_\phi = \mathcal{E}_{\phi - \text{tr}(\phi) \cdot \text{id}}$. Thus

$$\begin{array}{ccc}
\mathcal{N} & \xrightarrow{\text{tr}} & \Gamma(K_S) \\
\downarrow \sigma_{\text{tr}\Phi} & & \downarrow -1 \\
\mathcal{N} & \xrightarrow{\text{tr}} & \Gamma(K_S)
\end{array}$$

commutes as $\text{tr}(\phi - \text{tr}(\phi) \cdot \text{id}) = -\text{tr}(\phi)$. Identifying $\mathbf{T}_{\Gamma(S), \text{tr}\phi} \cong H^0(K_S)$ at each point $\text{tr}\phi \in \Gamma(K_S)$, this is

$$\begin{array}{ccc}
\text{Ext}^1(\mathcal{E}_\phi, \mathcal{E}_\phi) & \xrightarrow{d\text{tr}} & H^0(K_S) \\
\downarrow (d\sigma_{\text{tr}\Phi})|_{[\mathcal{E}]} & & \downarrow -1 \\
\text{Ext}^1(\sigma_{\text{tr}\phi}^* \mathcal{E}, \sigma_{\text{tr}\phi}^* \mathcal{E}) & \xrightarrow{d\text{tr}} & H^0(K_S)
\end{array}$$

on tangent spaces.

As $\text{Ext}^1(\mathcal{E}_\phi, \mathcal{E}_\phi) \leftrightarrow H^0(K_S)$, $d\sigma_{\text{tr}\Phi}$ should act as -1 on $H^0(K_S)$ for all points

\mathcal{E}_ϕ of \mathcal{N} . But as in the previous section, we remark that the canonical map σ_* of 6.5 at single points

$$\sigma_{\mathrm{tr}\phi,*} : \mathrm{Ext}^1(\mathcal{E}, \mathcal{E}) \rightarrow \mathrm{Ext}^1(\sigma_{\mathrm{tr}\phi}^* \mathcal{E}, \sigma_{\mathrm{tr}\phi}^* \mathcal{E})$$

is simply the pullback $\sigma_{\mathrm{tr}\phi}^*$, which acts trivially whenever \mathcal{E}_ϕ has centre of mass zero (i.e. $\mathrm{tr}\phi = 0$).

In terms of families \mathcal{E} , we replace σ_* by the correct map θ_σ , whose action on $\mathbf{R}\mathcal{H}om_{p_X}(\mathcal{E}, \mathcal{E})[-1]$ is now -1 on $\mathbf{R}p_{S,*}K_S$.

8.1. First step. We recall the equivariant lift for $\sigma_{\mathrm{tr}\Phi} \circ \mathcal{N}$ to $\mathbf{R}\mathcal{H}om_{p_X}(\mathcal{E}, \mathcal{E})[1]$ stated in 4.7.8

$$(8.1.1) \quad \begin{array}{ccc} \mathbf{R}\mathcal{H}om_{p_X}(\mathcal{E}, \mathcal{E})[1] & \xrightarrow{\sigma_*} & \mathbf{R}\mathcal{H}om_{p_X}(\sigma^* \mathcal{E}, \sigma^* \mathcal{E})[1] \\ \mathrm{At}_{\mathcal{E}} \uparrow & & \sigma^* \mathrm{At}_{\mathcal{E}} \uparrow \\ \mathbf{T}_{\mathcal{N}} & \xrightarrow{\sigma_*} & \sigma^* \mathbf{T}_{\mathcal{N}} \end{array}$$

Remark 8.1.2. The right fix to above stated problem is using the same map $\sigma_{\mathrm{tr}\Phi} \circ \mathcal{N}$, but writing it as a composition

$$\mathcal{N} \xrightarrow{\mathrm{tr} \oplus \mathrm{id}} \Gamma(K_S) \oplus \mathcal{N} \xrightarrow{\text{translation}} \mathcal{N}$$

such that its differential is

$$\tilde{\sigma}_*(v) = \sigma_{\mathrm{tr}\Phi,*}(v) + \mathrm{tr}_*(v) \cdot \mathrm{id}.$$

This will be established in the next step. In the third step, we connect this map to the (virtual) differential of the trace $\mathbf{R}\mathcal{H}om_{p_X}(\mathcal{E}, \mathcal{E})[1] \rightarrow \mathbf{R}p_{S,*}K_S$ and find a lift θ_σ to $\mathbf{R}\mathcal{H}om_{p_X}(\mathcal{E}, \mathcal{E})[1]$ that acts as -1 on $\mathbf{R}p_{S,*}K_S$

8.2. Second Step. We'll use a single result of [TT] at this point.

Claim 8.2.1. $\mathrm{tr} : \mathcal{N} \rightarrow \Gamma(K_S)$ induces split maps $\mathbf{T}_{\mathcal{N}} \xleftarrow[\mathrm{id}_*]{\frac{1}{2}\mathrm{tr}_*} (\mathrm{tr}\Phi)^* \mathbf{T}_{\Gamma(K_S)}$ compatible with $\mathbf{R}\mathcal{H}om_{p_X}(\mathcal{E}, \mathcal{E})[1] \leftrightarrow \mathbf{R}p_{S,*}K_S$ via At , i.e.

$$(8.2.2) \quad \begin{array}{ccccc} \mathbf{R}\mathcal{H}om_{p_X}(\mathcal{E}, \mathcal{E})[1] & \xrightarrow{a} & \mathbf{R}p_{S,*}K_S & \xrightarrow{b} & \mathbf{R}\mathcal{H}om_{p_X}(\mathcal{E}, \mathcal{E})[1] \\ \mathrm{At}_{\mathcal{E}} \uparrow & & \mathrm{At}_{\Gamma(K_S)} \uparrow & & \mathrm{At}_{\mathcal{E}} \uparrow \\ \mathbf{T}_{\mathcal{N}} & \xrightarrow{\mathrm{tr}_*} & (\mathrm{tr}\Phi)^* \mathbf{T}_{\Gamma(K_S)} & \xrightarrow{\mathrm{id}_*} & \mathbf{T}_{\mathcal{N}} \end{array}$$

commutes, following from [TT] 5.29 and 5.30.

Corollary 8.2.3. The trace-identity maps $\mathbf{T}_{\mathcal{N}} \leftrightarrow (\mathrm{tr}\Phi)^*\mathbf{T}_{\Gamma(K_S)}$ are compatible with λ_* in the following sense

$$(8.2.4) \quad \begin{array}{ccccc} & & \mathbf{T}_{\mathcal{N}} & & \\ & \mathrm{id}_* \nearrow & \downarrow \lambda_* & \nwarrow \mathrm{tr}_* & \\ (\mathrm{tr}\Phi)^*\mathbf{T}_{\Gamma(K_S)} & & & & (\mathrm{tr}\Phi)^*\mathbf{T}_{\Gamma(K_S)} \\ & \searrow & \downarrow \lambda_* & \nearrow & \\ & & \lambda^*\mathbf{T}_{\mathcal{N}} & & \end{array}$$

Here, the lower composition agrees with the upper one and is equal to $2 \cdot \mathrm{id}$.

Proof. Assuming the splitting, this follows from the simple fact that $\mathrm{tr} \circ \lambda = \mathrm{tr}$ i.e.

$$\begin{array}{ccc} \mathcal{N} & \xrightarrow{\lambda} & \mathcal{N} \\ & \searrow \mathrm{tr} & \downarrow \mathrm{tr} \\ & & \mathcal{N} \end{array}$$

commutes as $\mathrm{tr}(\phi) = \mathrm{tr}(\phi \otimes 1)$. \square

8.3. Third step. We review the construction of the trace shift $\sigma_{\mathrm{tr}\Phi}$ on spectral sheaves from 2.3.1: We'll make more explicit that this map factors over $\Gamma(K_S)$. More precisely, deformations of $\mathrm{tr}\phi \in \Gamma(K_S)$ induce deformations of $\mathcal{E}_\phi \in \mathcal{N}$ in the following sense:

We recall that a global section $\alpha \in \Gamma(K_S)$ acts on a Higgs pair (E, ϕ) via translating ϕ , i.e.

$$\alpha : (E, \phi) \mapsto (E, \phi - \alpha \cdot \mathrm{id})$$

induces a global map

$$\sigma : \Gamma(K_S) \times \mathcal{N} \rightarrow \mathcal{N}.$$

In terms of their corresponding spectral sheaves \mathcal{E}_ϕ on X , this is expressed via the pullback

$$(\alpha, \mathcal{E}_\phi) \mapsto \sigma_\alpha^* \mathcal{E}_\phi = \mathcal{E}_{\phi - \alpha \cdot \mathrm{id}}$$

where $\sigma_\alpha \circ X$ is translation on the fibres by α ,

$$(s, t) \mapsto (s, t - \alpha_s)$$

for local coordinates (s, t) of X .

Again, we'll phrase the rest of this discussion in terms of spectral sheaves entirely.

We rewrite the trace shift as

$$\sigma_{\mathrm{tr}\Phi} : \mathcal{N} \xrightarrow{\mathrm{tr}\Phi \times \mathrm{id}} \Gamma(K_S) \times \mathcal{N} \xrightarrow{\sigma} \mathcal{N}$$

sending

$$\mathcal{E}_\phi \mapsto (\mathrm{tr}\phi, \mathcal{E}_\phi) \mapsto \sigma_{\mathrm{tr}\phi}^* \mathcal{E}_\phi = \mathcal{E}_{\phi - \mathrm{tr}\phi \cdot \mathrm{id}}$$

This agrees with the previous definition on points, but now factors explicitly over $\Gamma(K_S)$. This induces a map on cotangent complexes

$$(8.3.1) \quad \tilde{\sigma} : \mathbf{T}_{\mathcal{N}} \rightarrow (\mathrm{tr}\Phi \times \mathrm{id})^*[\mathbf{T}_{\Gamma(K_S)} \oplus \mathbf{T}_{\mathcal{N}}] \rightarrow \sigma_{\mathrm{tr}\Phi}^* \mathbf{T}_{\mathcal{N}}$$

and we claim that

Claim 8.3.2. $\tilde{\sigma}_*(v) = \sigma_{\mathrm{tr}\Phi,*}(v) + \mathrm{tr}_*(v) \cdot \mathrm{id}$

Proof. Identifying the vector space $\Gamma(K_S)$ with its own tangent space we may write over $\Gamma(K_S) \times \mathcal{N}$ the differential of σ as

$$\Gamma(K_S) \oplus \mathbf{T}_{\mathcal{N}} \rightarrow \sigma^* \mathbf{T}_{\mathcal{N}}; (\alpha, v) \mapsto \sigma_*(v - \alpha \cdot \mathrm{id}).$$

As $(\mathrm{tr}\Phi)^* \mathbf{T}_{\Gamma(K_S)} \cong \Gamma(K_S) \otimes \mathcal{O}$ pulling back by $(\mathrm{tr}\Phi \times \mathrm{id})$ gives the second arrow in 8.3.1, as $(\mathrm{tr}\Phi \times \mathrm{id})^* \sigma^* = \sigma_{\mathrm{tr}\Phi}^*$. So precomposing with

$$\mathbf{T}_{\mathcal{N}} \rightarrow (\mathrm{tr}\Phi \times \mathrm{id})^* [\mathbf{T}_{\Gamma(K_S)} \oplus \mathbf{T}_{\mathcal{N}}]; v \mapsto (\mathrm{tr}_* v, v)$$

gives

$$v \mapsto \sigma_{\mathrm{tr}\Phi,*}(v - \mathrm{tr}_*(v) \cdot \mathrm{id}) = \sigma_{\mathrm{tr}\Phi,*}(v) + \mathrm{tr}_*(v) \cdot \mathrm{id}$$

as $\sigma_{\mathrm{tr}\Phi,*} \mathrm{tr}_* = -\mathrm{tr}_*$. \square

Remark 8.3.3. We'll leave out the index $\mathrm{tr}\Phi$ in the notation from now on and rewrite the differential simpler as

$$\tilde{\sigma} : \mathbf{T}_{\mathcal{N}} \rightarrow \sigma^* \mathbf{T}_{\mathcal{N}}$$

sending

$$v \mapsto \tilde{\sigma}_*(v) = \sigma_*(v) + \mathrm{tr}_*(v) \cdot \mathrm{id}.$$

It is easy to see that $\tilde{\sigma}_*$ again has square equal to the identity on $\mathbf{T}_{\mathcal{N}}$ ¹². Now, $\tilde{\sigma}_*$ contains the "correction" term $\mathrm{tr}_*(v) \cdot \mathrm{id}$, the differential of the trace.

We remark that restricting the second arrow in 8.3.1 to the first factor gives a map

$$\sigma_* \circ \mathrm{id}_* : (\mathrm{tr}\Phi)^* \mathbf{T}_{\Gamma(K_S)} \rightarrow \sigma^* \mathbf{T}_{\mathcal{N}}.$$

We can relate this to the previous result:

8.4. Atiyah classes. Composing 8.2.2 with 4.7.8 gives

(8.4.1)

$$\begin{array}{ccccc} \mathbf{R}\mathcal{H}om_{p_X}(\mathcal{E}, \mathcal{E})[1] & \xrightarrow{a} & \mathbf{R}p_{S,*} K_S & \xrightarrow{b} & \mathbf{R}\mathcal{H}om_{p_X}(\sigma^* \mathcal{E}, \sigma^* \mathcal{E})[1] \\ \mathrm{At}_{\mathcal{E}} \uparrow & & \mathrm{At}_{\Gamma(K_S)} \uparrow & & \sigma^* \mathrm{At}_{\mathcal{E}} \uparrow \\ \mathbf{T}_{\mathcal{N}} & \xrightarrow{\mathrm{tr}_*} & (\mathrm{tr}\Phi)^* \mathbf{T}_{\Gamma(K_S)} & \xrightarrow{\sigma_* \circ \mathrm{id}_*} & \sigma^* \mathbf{T}_{\mathcal{N}} \end{array}$$

as $\sigma_* b = b$ by 6.5.

Remark 8.4.2. We remark that the lower horizontal composition is

$$v \mapsto \sigma_*(\mathrm{tr}_*(v) \cdot \mathrm{id}) = -\mathrm{tr}_*(v) \cdot \mathrm{id}$$

¹²in fact, we have $\sigma_*^2 = \mathrm{id}$. Then note $\sigma_{\mathrm{tr}\Phi,*} \circ \mathrm{tr}_* = \mathrm{tr}_* \circ \sigma_{\mathrm{tr}\Phi,*} = -\mathrm{tr}_*$

8.5. The differential of σ .

Definition 8.5.1. We define

$$\begin{aligned}\theta_\sigma : \mathbf{R}\mathcal{H}om_{p_X}(\mathcal{E}, \mathcal{E})[1] &\rightarrow \mathbf{R}\mathcal{H}om_{p_X}(\sigma^*\mathcal{E}, \sigma^*\mathcal{E})[1] \\ f &\mapsto \sigma_*f - ba(f)\end{aligned}$$

Remark 8.5.2. Corresponding to $\mathbf{R}\mathcal{H}om_{p_X}(\mathcal{E}, \mathcal{E})[1] \leftrightarrow \mathbf{R}p_{S,*}K_S$, write $f \in \mathbf{R}\mathcal{H}om_{p_X}(\mathcal{E}, \mathcal{E})[1]$ as $f = f_0 \oplus \frac{1}{2}ba(f)$. We observe

$$\theta_\sigma : f_0 \oplus \frac{1}{2}ba(f) \mapsto \sigma_*f_0 \oplus -\frac{1}{2}ba(f),$$

i.e. its -1 on second summand.

Proposition 8.5.3. We have $\sigma^*\theta_\sigma \circ \theta_\sigma = \text{id}$, i.e.

$$\begin{array}{ccc}\mathbf{R}\mathcal{H}om_{p_X}(\mathcal{E}, \mathcal{E})[1] & \xrightarrow{\theta_\sigma} & \mathbf{R}\mathcal{H}om_{p_X}(\sigma^*\mathcal{E}, \sigma^*\mathcal{E})[1] \\ & \searrow & \downarrow \sigma^*\theta_\sigma \\ & & \mathbf{R}\mathcal{H}om_{p_X}(\sigma^{*2}\mathcal{E}, \sigma^{*2}\mathcal{E})[1]\end{array}$$

commutes

Proof. This is similar to the case of λ : As $\sigma^2 = \text{id}$, the vertical arrow maps indeed to $\mathbf{R}\mathcal{H}om_{p_X}(\mathcal{E}, \mathcal{E})[1]$.

Now $\theta_\sigma = \sigma_* \oplus (-1)$ for the above splitting by the remark, thus $\theta_\sigma^2 = \text{id}$. \square

8.6. The Atiyah class. Again, we relate θ_σ to the differential action on $\mathbf{T}_{\mathcal{N}}$.

Lemma 8.6.1. $\theta_\sigma = \sigma_* - ba$ commutes with $\tilde{\sigma}_* : \mathbf{T}_{\mathcal{N}} \rightarrow \sigma^*\mathbf{T}_{\mathcal{N}}$ constructed in 8.3, i.e. the following diagram commutes:

$$(8.6.2) \quad \begin{array}{ccc}\mathbf{R}\mathcal{H}om_{p_X}(\mathcal{E}, \mathcal{E})[1] & \xrightarrow{\theta_\sigma} & \mathbf{R}\mathcal{H}om_{p_X}(\sigma^*\mathcal{E}, \sigma^*\mathcal{E})[1] \\ \text{At}_{\mathcal{E}} \uparrow & & \uparrow \sigma^*\text{At}_{\mathcal{E}} \\ \mathbf{T}_{\mathcal{N}} & \xrightarrow{\tilde{\sigma}_*} & \sigma^*\mathbf{T}_{\mathcal{N}}\end{array}$$

Proof. We recall the diagram discussed in 4.7.8:

$$(8.6.3) \quad \begin{array}{ccc}\mathbf{R}\mathcal{H}om_{p_X}(\mathcal{E}, \mathcal{E})[1] & \xrightarrow{\sigma_*} & \mathbf{R}\mathcal{H}om_{p_X}(\sigma^*\mathcal{E}, \sigma^*\mathcal{E})[1] \\ \text{At}_{\mathcal{E}} \uparrow & & \uparrow \sigma^*\text{At}_{\mathcal{E}} \\ \mathbf{T}_{\mathcal{N}} & \xrightarrow{\sigma_*} & \sigma^*\mathbf{T}_{\mathcal{N}}\end{array}$$

The claim follows by subtracting the rows of 8.4.1, as we then get that

$$\tilde{\sigma}_* = \sigma_* + \text{tr}_{*-} \cdot \text{id} = \sigma_* - \sigma_* \circ \text{tr}_{*-} \cdot \text{id} \text{ maps to } \theta_\sigma = \sigma_* - ba$$

via $\text{At}_{\mathcal{E}}$ and its pullback by σ^* . \square

8.7. The equivariance. As $\tilde{\sigma}_*$ again has square equal to id ,

$$\begin{array}{ccc} \mathbf{T}_{\mathcal{N}} & \xrightarrow{\tilde{\sigma}_*} & \sigma^* \mathbf{T}_{\mathcal{N}} \\ & \searrow & \downarrow \sigma^* \tilde{\sigma}_* \\ & & (\sigma^{*,2}) \mathbf{T}_{\mathcal{N}} \end{array}$$

maps via $\text{At}_{\mathcal{E}}$ to

$$\begin{array}{ccc} \mathbf{R}\mathcal{H}om_{p_X}(\mathcal{E}, \mathcal{E})[1] & \xrightarrow{\theta_\sigma} & \mathbf{R}\mathcal{H}om_{p_X}(\sigma^* \mathcal{E}, \sigma^* \mathcal{E})[1] \\ & \searrow & \downarrow \sigma^* \theta_\sigma \\ & & \mathbf{R}\mathcal{H}om_{p_X}(\sigma^{*2} \mathcal{E}, \sigma^{*2} \mathcal{E})[1] \end{array}$$

with everything commutative thanks to 8.5.3 and 8.6.1, which proves the equivariance.

Remark 8.7.1. Restricting θ_σ to \mathcal{N}^\perp , we see that the translation by $\text{tr}(\phi)$ of spectral sheaves $\sigma_{\text{tr}\phi} : \mathcal{E} \mapsto \sigma_{\text{tr}\phi}^* \mathcal{E}$ is trivial as $\text{tr}(\phi) = 0$ on \mathcal{N}^\perp . Thus $\theta_\sigma = 1 \oplus (-1)$ acts as an endomorphism

$$\theta_\sigma \circ \mathbf{R}\mathcal{H}om_{p_X}(\mathcal{E}, \mathcal{E})[1]|_{\mathcal{N}^\perp}$$

giving a splitting into ± 1 eigensheaves

$$\mathbf{R}\mathcal{H}om_{p_X}(\mathcal{E}, \mathcal{E})[1]|_{\mathcal{N}^\perp} \oplus \mathbf{R}p_{S,*} K_S|_{\mathcal{N}^\perp}.$$

9. THE EQUIVARIANCE OF ι

9.1. Summary. We combine the results of the previous two sections.

We've seen that there are linearisation maps $\theta_\lambda, \theta_\sigma$ lifting the actions of λ, σ on \mathcal{N} to the virtual tangent complex $\mathbf{R}\mathcal{H}om_{p_X}(\mathcal{E}, \mathcal{E})[1]$. This was done in such a way that $\theta_\lambda, \theta_\sigma$ are compatible with the actions $\lambda_* : \mathbf{T}_{\mathcal{N}} \rightarrow \lambda^* \mathbf{T}_{\mathcal{N}}$ and $\tilde{\sigma}_* : \mathbf{T}_{\mathcal{N}} \rightarrow \sigma^* \mathbf{T}_{\mathcal{N}}$ via $\text{At}_{\mathcal{E}}$.

9.2. The equivariance of ι .

Definition 9.2.1. Recalling that $\iota = \lambda \circ \sigma$, we define

$$\theta_\iota := \theta_\lambda \circ \theta_\sigma$$

The equivariant lift of ι is now an easy corollary:

Corollary 9.2.2. This gives a linearisation

$$\theta_\iota : \mathbf{R}\mathcal{H}om_{p_X}(\mathcal{E}, \mathcal{E})[1] \rightarrow \mathbf{R}\mathcal{H}om_{p_X}(\iota^* \mathcal{E}, \iota^* \mathcal{E})[1]$$

for $\iota \circ \mathcal{N}$ that acts as -1 on $\mathbf{R}p_{S,*} K_S \oplus \mathbf{R}p_{S,*} \mathcal{O}_S[1]$

Proof. Note that $\lambda \circ \sigma = \sigma \circ \lambda$. Again split

$$\mathbf{R}\mathcal{H}om_{p_X}(\mathcal{E}, \mathcal{E})[1] = \mathbf{R}\mathcal{H}om_{p_X}(\mathcal{E}, \mathcal{E})[1]^\perp \oplus \mathbf{R}p_{S,*} K_S \oplus \mathbf{R}p_{S,*} \mathcal{O}_S[1],$$

then we've seen that we can write λ_*, σ_* as $\lambda_* \oplus 1 \oplus 1$ and $\sigma_* \oplus 1 \oplus 1$. By the previous results of 7.2.3 and 8.5.3, we have

$$\theta_\lambda = \lambda_* \oplus 1 \oplus (-1), \theta_\sigma = \sigma_* \oplus (-1) \oplus 1$$

Thus,

$$\theta_\iota = \theta_\sigma \circ \theta_\lambda = (\sigma_* \lambda_*) \oplus (-1) \oplus (-1) = \iota_* \oplus (-1) \oplus (-1)$$

which also shows that $\iota^* \theta_\iota \circ \theta_\iota = \text{id}$. \square

Definition 9.2.3. Furthermore, we define $\iota_* = (\tilde{\sigma}_* \circ \lambda_*) : \mathbf{T}_{\mathcal{N}} \rightarrow \iota^* \mathbf{T}_{\mathcal{N}}$

and remark

Corollary 9.2.4. $\tilde{\sigma}_* \circ \lambda_* = \lambda_* \circ \tilde{\sigma}_*$ holds. Thus $\iota_*^2 = \text{id}$.

Proof. Follows from $\sigma_* \circ \lambda_* = \lambda_* \circ \sigma_*$ and corollary 8.2.3. As $\lambda_*^2 = \text{id}$ and $\tilde{\sigma}_*^2 = \text{id}$ by 8.3.3, we conclude $\iota_*^2 = \lambda_*^2 \tilde{\sigma}_*^2 = \text{id}$. \square

Proposition 9.2.5. $\text{At}_{\mathcal{E}} : \mathbf{T}_{\mathcal{N}} \rightarrow \mathbf{R}\mathcal{H}om_{p_X}(\mathcal{E}, \mathcal{E})[1]$ is ι equivariant in the sense of 6.1.1.

Proof. Indeed, composing the squares in 7.3.1 and 8.6.2 gives

$$(9.2.6) \quad \begin{array}{ccc} \mathbf{R}\mathcal{H}om_{p_X}(\mathcal{E}, \mathcal{E})[1] & \xrightarrow{\theta_\iota} & \mathbf{R}\mathcal{H}om_{p_X}(\iota^* \mathcal{E}, \iota^* \mathcal{E})[1] \\ \text{At}_{\mathcal{E}} \uparrow & & \uparrow \iota^* \text{At}_{\mathcal{E}} \\ \mathbf{T}_{\mathcal{N}} & \xrightarrow{\iota_*} & \iota^* \mathbf{T}_{\mathcal{N}} \end{array}$$

such that

$$\begin{array}{ccc} \mathbf{T}_{\mathcal{N}} & \xrightarrow{\iota_*} & \iota^* \mathbf{T}_{\mathcal{N}} \\ & \searrow & \downarrow \iota^*(\iota_*) \\ & & (\iota^*, 2) \mathbf{T}_{\mathcal{N}} \end{array}$$

maps to the triangle

$$\begin{array}{ccc} \mathbf{R}\mathcal{H}om_{p_X}(\mathcal{E}, \mathcal{E})[1] & \xrightarrow{\theta_\iota} & \mathbf{R}\mathcal{H}om_{p_X}(\iota^* \mathcal{E}, \iota^* \mathcal{E})[1] \\ & \searrow & \downarrow \iota^* \theta_\iota \\ & & \mathbf{R}\mathcal{H}om_{p_X}(\iota^{*2} \mathcal{E}, \iota^{*2} \mathcal{E})[1] \end{array}$$

via $\text{At}_{\mathcal{E}}$ with everything commutative. \square

Remark 9.2.7. Restricting to \mathcal{N}^\perp gives $\theta_\iota = 1 \oplus (-1) \oplus (-1)$ an endomorphism

$$\theta_\iota \circ \mathbf{R}\mathcal{H}om_{p_X}(\mathcal{E}, \mathcal{E})[1]|_{\mathcal{N}^\perp}$$

splitting

$$\mathbf{R}\mathcal{H}om_{p_X}(\mathcal{E}, \mathcal{E})[1]|_{\mathcal{N}^\perp} \cong \mathbf{R}\mathcal{H}om_{p_X}(\mathcal{E}, \mathcal{E})[1]^\perp|_{\mathcal{N}^\perp} \oplus N^{\text{vir}}$$

where

$$N^{vir} := (\mathbf{R}p_{S,*}\mathcal{O}_S[1] \oplus \mathbf{R}p_{X,*}K_S)|_{\mathcal{N}^\perp}$$

is the virtual normal sheaf, i.e. the -1 -eigensheaf for the action of θ_ι .

Remark 9.2.8. For the final chapter we phrase everything again in terms of their duals. Let $\mathbf{R}\mathcal{H}om_{p_X}(\mathcal{E}, \mathcal{E})[2]$ denote the virtual ι -equivariant cotangent bundle and $\mathbf{L}_{\mathcal{N}}$ the truncated cotangent complex of \mathcal{N} .

10. APPLICATION TO THE LOCALISATION FORMULA

In this chapter we find a perfect obstruction theory for \mathcal{N}^\perp . The proof is an adaption of [GP] Prop.1 replacing the \mathbf{C}^\times -action by ι .

For the \mathbf{C}^\times -action, the idea is to split the obstruction bundle V over the fixed locus into weight zero and non-zero part. Then remove the non-zero part, the (virtual) conormal bundle to the fixed locus.

We do the same for ι , where we take away the -1 part of $\mathbf{R}\mathcal{H}om_{p_X}(\mathcal{E}, \mathcal{E})[2]|_{\mathcal{N}^\perp}$, which are the deformations of trace and determinant, according to remark 9.2.7 above.

We recall the definition of a perfect obstruction theory again. It consists of

- (1) A two-term complex of vector bundles $V^\bullet = [V^{-1} \rightarrow V^0] \in \mathbf{D}^{[-1,0]}(\mathcal{N})$.
- (2) A morphism $\psi : V^\bullet \rightarrow \mathbf{L}_{\mathcal{N}}$ in $\mathbf{D}^b(\mathcal{N})$ to the truncated cotangent complex $\mathbf{L}_{\mathcal{N}}$ inducing an isomorphism on h^0 and a surjection on h^{-1} .

In order to take ι -invariants and apply [GP], everything needs to be equivariant and represented by complexes.

10.1. Equivariant representation. We sum up the results of the previous sections: In 5.1.4 we've found a 2-term representation of vector bundles for

$$\mathrm{At}_{\mathcal{E}} : \tau^{[-1,0]} \mathbf{R}\mathcal{H}om_{p_X}(\mathcal{E}, \mathcal{E})[2] \rightarrow \mathbf{L}_{\mathcal{N}}$$

making it into a perfect obstruction theory on \mathcal{N} , represented by

$$(10.1.1) \quad [V^{-1} \rightarrow V^0] \xrightarrow{\psi} [\mathcal{I}/\mathcal{I}^2 \rightarrow \Omega_{\mathcal{A}}|_{\mathcal{N}}] \in \mathbf{D}^{[-1,0]}(\mathcal{N}).$$

We've studied the involution $\iota = \sigma \circ \lambda \circ \mathcal{N}$ and identified one component of \mathcal{N}^ι with the $\mathbf{SU}(2)$ -locus

$$\mathcal{N}^\perp = \{(E, \phi) | \det(E) \cong \mathcal{O}_S \text{ and } \mathrm{tr}(\phi) = 0\} \subset \mathcal{N}$$

We constructed a lift θ_ι of ι to $\mathbf{R}\mathcal{H}om_{p_X}(\mathcal{E}, \mathcal{E})[1]$, compatible with the differential map $\iota_* : \iota^* \mathbf{L}_{\mathcal{N}} \rightarrow \mathbf{L}_{\mathcal{N}}$, lifting $\mathrm{At}_{\mathcal{E}}$ to $\mathbf{D}^b(\mathcal{N})^{(\iota)}$.

Finally, we've seen in the last chapter that the restriction θ_ι to \mathcal{N}^\perp is $1 \oplus (-1)$ for

$$\mathbf{R}\mathcal{H}om_{p_X}(\mathcal{E}, \mathcal{E})[2]|_{\mathcal{N}^\perp} = \mathbf{R}\mathcal{H}om_{p_X}(\mathcal{E}, \mathcal{E})[2]^\perp|_{\mathcal{N}^\perp} \oplus N^{vir, \vee}$$

Remark 10.1.2. The above presentation ψ of the obstruction theory can be chosen to be ι -equivariant: Indeed, we've seen this for $\mathbf{L}_{\mathcal{N}}$ by finding an ι -equivariant smooth embedding $\mathcal{N} \subset \mathcal{A}$.

Concerning the obstruction bundle V^\bullet in 10.1.1, going back to the proof 5.1.4, we may choose a very negative ι -equivariant resolution $F^\bullet \rightarrow \mathbf{R}\mathcal{H}om(\mathcal{E}, \mathcal{E})$ which makes

$$\begin{array}{ccc} \mathbf{R}\mathcal{H}om_{p_X}(\iota^*\mathcal{E}, \iota^*\mathcal{E}) & \xrightarrow{\iota^*\theta_\iota} & \mathbf{R}\mathcal{H}om_{p_X}(\mathcal{E}, \mathcal{E}) \\ \uparrow & & \uparrow \\ p_{\overline{X},*}(\iota^*F^\bullet) & \dashrightarrow & p_{\overline{X},*}F^\bullet \end{array}$$

commutative, after replacing the polarisation $\mathcal{O}(1)$ with a ι -linearised one.
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Then following the proof of 5.1.4, we end up with a genuine map of complexes

$$\iota^*[V^{-1} \rightarrow V^0] \rightarrow [V^{-1} \rightarrow V^0] \in \mathbf{D}^{[-1,0]}(\mathcal{N})$$

representing the lift of ι to the obstruction complex

$$\iota^*\theta_\iota : \tau^{[-1,0]}\mathbf{R}\mathcal{H}om_{p_X}(\iota^*\mathcal{E}, \iota^*\mathcal{E})[2] \rightarrow \tau^{[-1,0]}\mathbf{R}\mathcal{H}om_{p_X}(\mathcal{E}, \mathcal{E})[2].$$

By the previous section 9, this maps to

$$\iota^*[\mathcal{I}/\mathcal{I}^2 \rightarrow \Omega_{\mathcal{A}|\mathcal{N}}] \rightarrow [\mathcal{I}/\mathcal{I}^2 \rightarrow \Omega_{\mathcal{A}|\mathcal{N}}] \in \mathbf{D}^{[-1,0]}(\mathcal{N})$$

representing

$$\iota^*\mathbf{L}_{\mathcal{N}} \rightarrow \mathbf{L}_{\mathcal{N}}$$

equivariantly, via the ι -linearised truncated relative Atiyah class $\text{At}_{\mathcal{E}}$ of 9.2.5.

Corollary 10.1.3. To sum up, we remark that this together with the ι -equivariance shown in 9 lifts

$$[V^{-1} \rightarrow V^0] \xrightarrow{\psi} [\mathcal{I}/\mathcal{I}^2 \rightarrow \Omega_{\mathcal{A}|\mathcal{N}}]$$

to $\mathbf{D}^{[-1,0]}(\mathcal{N})^{(\iota)}$, i.e. is a morphism of ι -linearised complexes.

10.2. G -sheaves. Let G be a finite group and V a coherent $\mathcal{O}[G]$ -module.

Lemma 10.2.1. The functor of taking fixed parts $V \mapsto V^G$ is exact.

Proof. We remark that $V \mapsto V^G$ is naturally isomorphic to $\mathcal{H}om_{\mathcal{O}[G]}(\mathcal{O}, -)$, where \mathcal{O} is endowed with the trivial G -action. Thus, taking fixed parts is exact if and only if \mathcal{O} is projective as an $\mathcal{O}[G]$ -module. The natural projection $\mathcal{O}[G] \twoheadrightarrow \mathcal{O}$ sending $g \mapsto 1$ has a section given by $1 \mapsto \frac{1}{|G|} \sum_{g \in G} g$, thus \mathcal{O} is projective, being direct summand of the free module $\mathcal{O}[G]$. \square

¹³fixing such a line bundle $\mathcal{O}(1)$ as in 4.2.1 on $X \times \mathcal{N}$, we can resolve equivariantly as

$$\cdots \rightarrow F^0 = H^0(\mathcal{E}^\vee \otimes \mathcal{E}(l)) \otimes \mathcal{O}(-l) \rightarrow \mathcal{E}^\vee \otimes \mathcal{E}$$

Corollary 10.2.2. We see this easily extends to a 2-term complex V^\bullet of $\mathcal{O}[G]$ -modules $[V^{-1} \xrightarrow{d} V^0]$ for any $\mathcal{O}[G]$ -linear map d .

10.2.1. *Application to ι -linearisation.* Let $V^\bullet \in \mathbf{D}^b(\mathcal{N})^{(\iota)}$ on \mathcal{N} as described above in 10.1.3. By definition, the linearisation $\theta_\iota \circ V^\bullet|_{\mathcal{N}^\perp}$ makes $V^\bullet|_{\mathcal{N}^\perp}$ into a 2-term complex of $\mathcal{O}_{\mathcal{N}^\perp}[\langle \iota \rangle]$ -modules¹⁴. Thus by lemma 10.2.1, taking fixed parts

$$V^\bullet|_{\mathcal{N}^\perp} \mapsto V^\bullet|_{\mathcal{N}^\perp}^\iota$$

is exact, thus $V^\bullet|_{\mathcal{N}^\perp}^\iota$ is a 2-term complex of vector bundles on \mathcal{N}^\perp .

To end this section, let us remark that the sheaves $h^{-1}(V^\bullet|_{\mathcal{N}^\perp}^\iota)$, $h^0(V^\bullet|_{\mathcal{N}^\perp}^\iota)$ are independent of the choice $V^\bullet \sim W^\bullet \in \mathbf{D}^b(\mathcal{N})^{(\iota)}$.

10.3. The localisation formula. We'll now adapt the construction of [GP] mentioned at the beginning, which defines obstruction theories of \mathbf{C}^\times -fixed loci. It is a general fact that taking fixed part of a \mathbf{C}^\times -equivariant map is exact. Based on what we've said above, this also applies to $\langle \iota \rangle$. To sum up:

Corollary 10.3.1. The 2-term complex of locally frees

$$V^\bullet|_{\mathcal{N}^\perp}^\iota$$

represents the θ_ι -fixed part

$$\tau^{[-1,0]} \mathbf{R}\mathcal{H}om_{p_X}(\mathcal{E}, \mathcal{E})^\perp[2]|_{\mathcal{N}^\perp}$$

computing the cohomology sheaves $\mathcal{E}xt_{p_X}^i(\mathcal{E}|_{\mathcal{N}^\perp}, \mathcal{E}|_{\mathcal{N}^\perp})^\perp$ for $i = 1, 2$.

Theorem 10.3.2. *There is a map $V^\bullet|_{\mathcal{N}^\perp}^\iota \rightarrow \mathbf{L}_{\mathcal{N}^\perp}$ defining a perfect obstruction theory on \mathcal{N}^\perp .*

Proof. The desired map will be constructed along the way, as well as the explicit representation of $\mathbf{L}_{\mathcal{N}^\perp}$. We start with choosing a ι -equivariant embedding $\mathcal{N} \subset \mathcal{A}$ as in 4.2.1, making

$$\mathbf{L}_{\mathcal{N}} = [\mathcal{I}/\mathcal{I}^2 \rightarrow \Omega_{\mathcal{A}}|_{\mathcal{N}}]$$

equivariant.

As ι lifts to \mathcal{A} , let $\mathcal{A}^\iota = \cup_i \mathcal{A}_i$ be the decomposition into irreducible components of the fixed locus and let $\mathcal{N}_i := \mathcal{A}_i \cap \mathcal{N}$, such that $\mathcal{N}_i \subset \mathcal{A}_i$ is defined by the ideal sheaf $\mathcal{I}_{\mathcal{N}_i}$. We choose the i corresponding to the relevant component $\mathcal{N}^\perp := \mathcal{N}_i \subset \mathcal{A}_i$ as in 3.2.2. We get the representation

$$\mathbf{L}_{\mathcal{N}^\perp} = [\mathcal{I}_{\mathcal{N}^\perp}/\mathcal{I}_{\mathcal{N}^\perp}^2 \rightarrow \Omega_{\mathcal{A}_i}|_{\mathcal{N}^\perp}]$$

As $\Omega_{\mathcal{A}}$ is locally free, there is a natural isomorphism $\Omega_{\mathcal{A}}|_{\mathcal{A}_i}^\iota \cong \Omega_{\mathcal{A}_i}$. Indeed, over \mathcal{N}^\perp the differential ι_* acts as an involution $\iota_* \circ \Omega_{\mathcal{A}}|_{\mathcal{A}_i}$ with fixed part

¹⁴we remark that over \mathcal{N}^\perp , ι acts via $\theta_\iota|_{\mathcal{N}^\perp}$

$\Omega_{\mathcal{A}_i}$.

This gives $\Omega_{\mathcal{A}}|_{\mathcal{N}^\perp}^\iota \cong \Omega_{\mathcal{A}_i}|_{\mathcal{N}^\perp}$ over \mathcal{N}^\perp . We have the following square

$$\begin{array}{ccc} \Omega_{\mathcal{A}_i}|_{\mathcal{N}^\perp} & \longrightarrow & \Omega_{\mathcal{N}^\perp} \\ \sim \uparrow & & \uparrow \\ \Omega_{\mathcal{A}}|_{\mathcal{N}^\perp}^\iota & \longrightarrow & \Omega_{\mathcal{N}}|_{\mathcal{N}^\perp}^\iota \end{array}$$

where the horizontal arrows are induced by the natural projections. Tus, we observe that the RHS arrow is onto.

Let $V^\bullet|_{\mathcal{N}^\perp}$ be the restriction of the obstruction complex and $\psi_\perp : V^\bullet|_{\mathcal{N}^\perp} \rightarrow \mathbf{L}_{\mathcal{N}}|_{\mathcal{N}^\perp}$ the pulled back map. As ψ is ι -equivariant, we can take its fixed part

$$\psi_\perp^\iota : V^\bullet|_{\mathcal{N}^\perp}^\iota \rightarrow \mathbf{L}_{\mathcal{N}}|_{\mathcal{N}^\perp}^\iota.$$

Furthermore, denote by $\delta : \mathbf{L}_{\mathcal{N}}|_{\mathcal{N}^\perp} \rightarrow \mathbf{L}_{\mathcal{N}^\perp}$ the (naturally ι -equivariant) canonical map. Then we claim that the composition

$$V^\bullet|_{\mathcal{N}^\perp} \xrightarrow{\psi_\perp} \mathbf{L}_{\mathcal{N}}|_{\mathcal{N}^\perp} \xrightarrow{\delta} \mathbf{L}_{\mathcal{N}^\perp}$$

defines a perfect obstruction theory on \mathcal{N}^\perp :

We remark again that the LHS is given by the two-term complex of vector bundles $V^\bullet|_{\mathcal{N}^\perp}$ by the last lemma 10.3.1, showing (1).

We need to check the upper conditions on cohomology in (2), which we check for the two maps separately: This is obvious for the restricted map ψ_\perp and thus for ψ_\perp^ι , as taking ι -invariants is exact by 10.2.1.

The morphism $\mathbf{L}_{\mathcal{N}}|_{\mathcal{N}^\perp} \xrightarrow{\delta} \mathbf{L}_{\mathcal{N}^\perp}$ can be represented by the following diagram with exact rows.

$$\begin{array}{ccccccccc} 0 & \longrightarrow & \ker(a) & \longrightarrow & \mathcal{I}_{\mathcal{N}}/\mathcal{I}_{\mathcal{N}}^2|_{\mathcal{N}^\perp} & \xrightarrow{a} & \Omega_{\mathcal{A}}|_{\mathcal{N}^\perp}^\iota & \longrightarrow & \Omega_{\mathcal{N}}|_{\mathcal{N}^\perp}^\iota & \longrightarrow & 0 \\ & & \downarrow & & \downarrow d^{-1} & & \downarrow \sim & & \downarrow & & \\ 0 & \longrightarrow & \ker(b) & \longrightarrow & \mathcal{I}_{\mathcal{N}^\perp}/\mathcal{I}_{\mathcal{N}^\perp}^2 & \xrightarrow{b} & \Omega_{\mathcal{A}_i}|_{\mathcal{N}^\perp} & \longrightarrow & \Omega_{\mathcal{N}^\perp} & \longrightarrow & 0 \end{array}$$

By what we've already discussed, the right most vertical arrow is onto. As the rows are exact and d^{-1} is onto, we actually get $\Omega_{\mathcal{N}}|_{\mathcal{N}^\perp}^\iota \cong \Omega_{\mathcal{N}^\perp}$. This gives the required property on h^0 . Again as d^{-1} is surjective, so is the induced map $\ker(a) \rightarrow \ker(b)$, i.e. there is an epimorphism on h^{-1} . This finishes the proof of the theorem. \square

Remark 10.3.3. As explained in section 5, this endows \mathcal{N}^\perp with a virtual cycle of dimension 0 by [BF] 5.2.

11. APPENDIX

Let E be a rank 2 vector bundle and E^* its dual. Writing

$$\mathcal{H}om(E, E^*) \cong \mathrm{Sym}(E^*) \oplus \wedge^2 E^*,$$

we'll explain sections of $\wedge^2 E^*$: an element $\alpha_1 \wedge \alpha_2$ becomes a skew map $E \rightarrow E^*$ via

$$[\alpha_1 \wedge \alpha_2](e) := \alpha_1(e)\alpha_2 - \alpha_2(e)\alpha_1 \in E^*$$

for $e \in E$. We'll call this map $\alpha := [\alpha_1 \wedge \alpha_2] : E \rightarrow E^*$. We've already seen that $\alpha^* = -\alpha$. We need the following fact:

Lemma 11.0.1. Let E be a vector bundle of dim n and $\phi : E \rightarrow E \otimes K_S$. Then $\sum_i a_1 \wedge \cdots \wedge \phi(a_i) \wedge \cdots \wedge a_n = \mathrm{tr}(\phi)a_1 \wedge \cdots \wedge a_n$ for $a_i \in V$.

We refer the reader to [FH] 111-112 for a proof.

Now let $\alpha : E \rightarrow E^*$ be skew-map corresponding to $\alpha_1 \wedge \alpha_2 \in \wedge^2(E^*)$ and $\phi : E \rightarrow E \otimes K_S$

Lemma 11.0.2. We have

$$\alpha\phi - (\alpha\phi)^* = \mathrm{tr}(\phi)\alpha$$

as elements of $E^* \otimes E^* \otimes K_S$.

Proof. As α is skew, we only need to show $\alpha\phi - (-\phi^*\alpha) = \mathrm{tr}(\phi)\alpha$. Let $e \in E$, the LHS is given by

$$\begin{aligned} (\alpha\phi + \phi^*\alpha)(e) &= ((\phi^*\alpha_1)(e)\alpha_2 - \alpha_2(e)\phi^*\alpha_1) + (\alpha_1(e)\phi^*\alpha_2 - (\phi^*\alpha_2)(e)\alpha_1) \\ &= [\phi^*\alpha_1 \wedge \alpha_2 + \alpha_1 \wedge \phi^*\alpha_2](e) \\ &= [\mathrm{tr}(\phi^*)\alpha_1 \wedge \alpha_2](e) = \mathrm{tr}(\phi)[\alpha_1 \wedge \alpha_2](e) = \mathrm{tr}(\phi)\alpha(e) \end{aligned}$$

□

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