

Let's Agree to Agree: Targeting Consensus for Incomplete Preferences through Majority Dynamics

Simon Rey, together with Sirin Botan and Zoi Terzopoulou

IJCAI 2022

1. Introduction



Deciding for an Online Platform



Deciding for an Online Platform



We have never used Bridge
AppEar is better than C-nnect

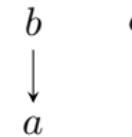
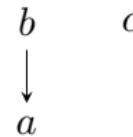
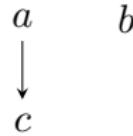
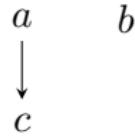
$$\begin{array}{c} a \\ \downarrow \\ c \end{array} \qquad \begin{array}{c} b \\ \downarrow \\ c \end{array}$$

Deciding for an Online Platform



We have never used Bridge
AppEar is better than C-nnect

We have never used C-nnect
Bridge is more stable than AppEar



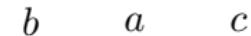
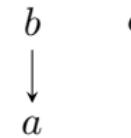
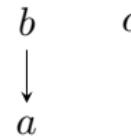
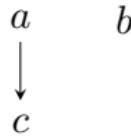
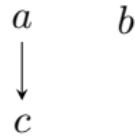
Deciding for an Online Platform



We have never used Bridge
AppEar is better than C-nnect

We have never used C-nnect
Bridge is more stable than AppEar

No opinion



Deciding for an Online Platform



The committee meets to discuss the alternatives and starts by comparing AppEar and Bridge

$$\begin{matrix} a & b \\ \downarrow & \\ c & \end{matrix}$$

$$\begin{matrix} a & b \\ \downarrow & \\ c & \end{matrix}$$

$$\begin{matrix} b & c \\ \downarrow & \\ a & \end{matrix}$$

$$\begin{matrix} b & c \\ \downarrow & \\ a & \end{matrix}$$

$$\begin{matrix} b & a & c \end{matrix}$$

Deciding for an Online Platform



The committee meets to discuss the alternatives and starts by comparing AppEar and Bridge

$$a \xleftarrow{} b \\ \downarrow \\ c$$

$$a \xleftarrow{} b \\ \downarrow \\ c$$

$$\begin{matrix} b & c \\ \downarrow & \\ a & \end{matrix}$$

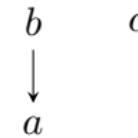
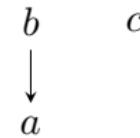
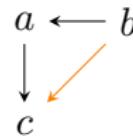
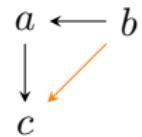
$$\begin{matrix} b & c \\ \downarrow & \\ a & \end{matrix}$$

$$b \xrightarrow{} a \quad c$$

Deciding for an Online Platform



The committee meets to discuss the alternatives and starts by comparing AppEar and Bridge

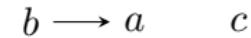
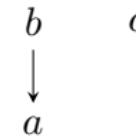
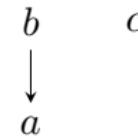
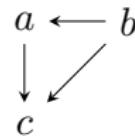
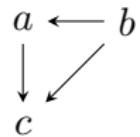


$b \rightarrow a$ c

Deciding for an Online Platform



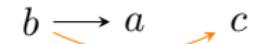
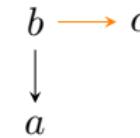
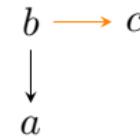
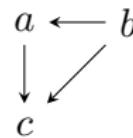
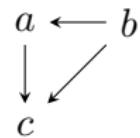
The merits of Bridge over C-nnect are then discussed



Deciding for an Online Platform



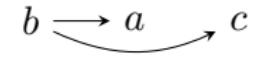
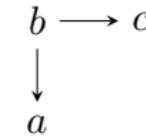
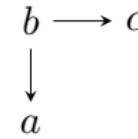
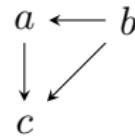
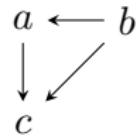
The merits of Bridge over C-nnect are then discussed



Deciding for an Online Platform



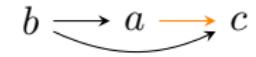
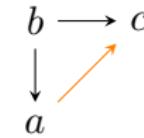
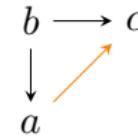
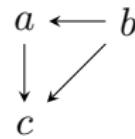
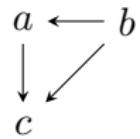
Finally, AppEar and C-nnect are compared



Deciding for an Online Platform



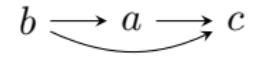
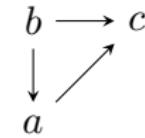
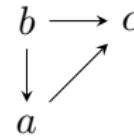
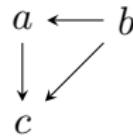
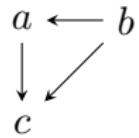
Finally, AppEar and C-nnect are compared



Deciding for an Online Platform



There is nothing more to discuss at this point

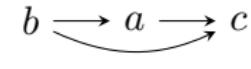
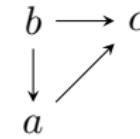
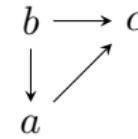
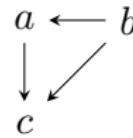
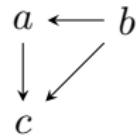


↳ Note the existence of an obvious consensual alternative now: Bridge.

Deciding for an Online Platform



There is nothing more to discuss at this point



➡ Note the existence of an obvious consensual alternative now: Bridge.

Our goal is to study this dynamical process!

The Majority Dynamic

Let $\sigma = (p_1, \dots, p_\ell)$ be an *update order* over ordered pairs of alternatives.

Starting from an incomplete profile P , pairs are discussed following σ .

The Majority Dynamic

Let $\sigma = (p_1, \dots, p_\ell)$ be an *update order* over ordered pairs of alternatives.

Starting from an incomplete profile P , pairs are discussed following σ .

When the pair ab is discussed at time t , every agent's partial order \succ_i^{t-1} is updated such that:

The Majority Dynamic

Let $\sigma = (p_1, \dots, p_\ell)$ be an *update order* over ordered pairs of alternatives.

Starting from an incomplete profile P , pairs are discussed following σ .

When the pair ab is discussed at time t , every agent's partial order \succ_i^{t-1} is updated such that:

$$\gamma_i^t = \begin{cases} \gamma_i^{t-1} & \text{if } ab \text{ or } ba \in \gamma_i^{t-1}: \text{no update if the pair is already ranked} \\ & \dots \\ & \dots \end{cases}$$

The Majority Dynamic

Let $\sigma = (p_1, \dots, p_\ell)$ be an *update order* over ordered pairs of alternatives.

Starting from an incomplete profile P , pairs are discussed following σ .

When the pair ab is discussed at time t , every agent's partial order \succ_i^{t-1} is updated such that:

$$\succ_i^t = \begin{cases} \succ_i^{t-1} & \text{if } ab \text{ or } ba \in \succ_i^{t-1}: \text{no update if the pair is already ranked} \\ [\![\succ_i^{t-1} \cup \{ab\}]\!] & \text{if } N_{ab} > N_{ba}: a \text{ preferred to } b \text{ if the majority prefers } a \text{ over } b \end{cases}$$

$[\![\succ]\!]$ denotes the *transitive closure* of the order \succ .

The Majority Dynamic

Let $\sigma = (p_1, \dots, p_\ell)$ be an *update order* over ordered pairs of alternatives.

Starting from an incomplete profile P , pairs are discussed following σ .

When the pair ab is discussed at time t , every agent's partial order \succ_i^{t-1} is updated such that:

$$\succ_i^t = \begin{cases} \succ_i^{t-1} & \text{if } ab \text{ or } ba \in \succ_i^{t-1}: \textit{no update if the pair is already ranked} \\ [\![\succ_i^{t-1} \cup \{ab\}]\!] & \text{if } N_{ab} > N_{ba}: \textit{a preferred to b if the majority prefers a over b} \\ [\![\succ_i^{t-1} \cup \{ba\}]\!] & \text{if } N_{ab} < N_{ba}: \textit{b preferred to a if the majority prefers b over a} \end{cases}$$

$[\![\succ]\!]$ denotes the *transitive closure* of the order \succ .

The Majority Dynamic

Let $\sigma = (p_1, \dots, p_\ell)$ be an *update order* over ordered pairs of alternatives.

Starting from an incomplete profile P , pairs are discussed following σ .

When the pair ab is discussed at time t , every agent's partial order \succ_i^{t-1} is updated such that:

$$\succ_i^t = \begin{cases} \succ_i^{t-1} & \text{if } ab \text{ or } ba \in \succ_i^{t-1}: \textit{no update if the pair is already ranked} \\ [\![\succ_i^{t-1} \cup \{ab\}]\!] & \text{if } N_{ab} > N_{ba}: \textit{a preferred to b if the majority prefers a over b} \\ [\![\succ_i^{t-1} \cup \{ba\}]\!] & \text{if } N_{ab} < N_{ba}: \textit{b preferred to a if the majority prefers b over a} \\ [\![\succ_i^{t-1} \cup \{ab\}]\!] & \text{if } N_{ab} = N_{ba}: \textit{tie-breaking is determined by the order of the pair (ab here)} \end{cases}$$

$[\![\succ]\!]$ denotes the *transitive closure* of the order \succ .

Question of Interest

How does the majority dynamic affect consensus?

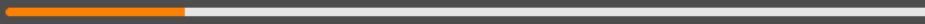
Question of Interest

How does the majority dynamic affect consensus?

What is consensus?

What kind of effects?

2. Condorcet Consensus



Condorcet Consensus

Condorcet Consensus: There exists an alternative *strictly* winning all pairwise majority contests against other alternatives.

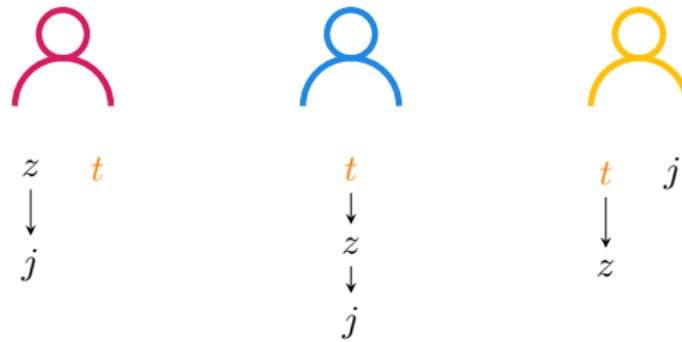
Condorcet Consensus

Condorcet Consensus: There exists an alternative *strictly* winning all pairwise majority contests against other alternatives.



Condorcet Consensus

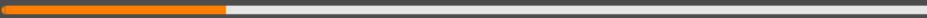
Condorcet Consensus: There exists an alternative *strictly* winning all pairwise majority contests against other alternatives.



t against z : 2 for t 0 for z
 t against j : 1 for t 0 for j

Condorcet Consensus

└ Preservation



Preserving Condorcet Consensus

Preserving Consensus: For every profile, if there exists consensus initially, then for every update order, there will be consensus afterwards.

Preserving Condorcet Consensus

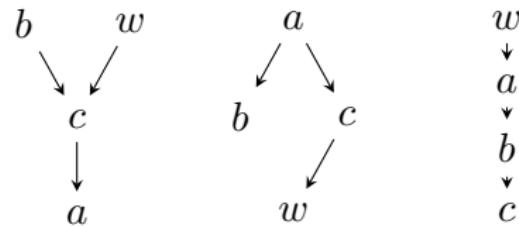
Preserving Consensus: For every profile, if there exists consensus initially, then for every update order, there will be consensus afterwards.

For more than 3 alternatives: Majority dynamic does not preserve existence of Condorcet consensus.

Preserving Condorcet Consensus

Preserving Consensus: For every profile, if there exists consensus initially, then for every update order, there will be consensus afterwards.

For more than 3 alternatives: Majority dynamic does not preserve existence of Condorcet consensus.

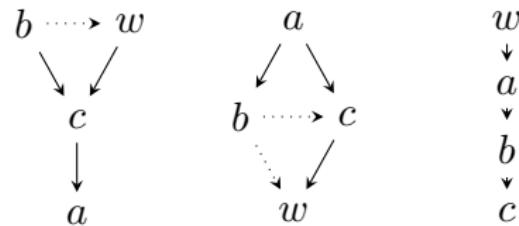


w is the Condorcet winner

Preserving Condorcet Consensus

Preserving Consensus: For every profile, if there exists consensus initially, then for every update order, there will be consensus afterwards.

For more than 3 alternatives: Majority dynamic does not preserve existence of Condorcet consensus.

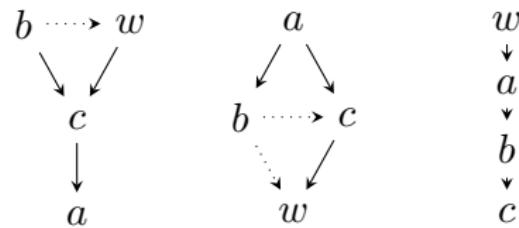


Updating on bc and bw

Preserving Condorcet Consensus

Preserving Consensus: For every profile, if there exists consensus initially, then for every update order, there will be consensus afterwards.

For more than 3 alternatives: Majority dynamic does not preserve existence of Condorcet consensus.

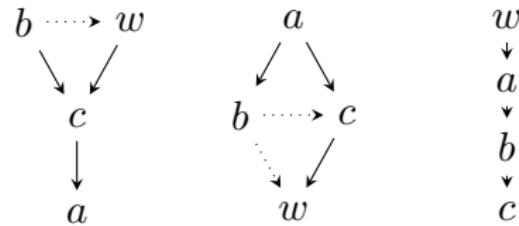


No Condorcet winner

Preserving Condorcet Consensus

Preserving Consensus: For every profile, if there exists consensus initially, then for every update order, there will be consensus afterwards.

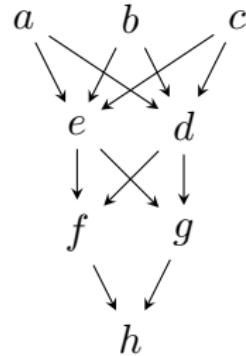
For more than 3 alternatives: Majority dynamic does not preserve existence of Condorcet consensus.



For 3 alternatives and less: Majority dynamic preserves existence of but not identity.

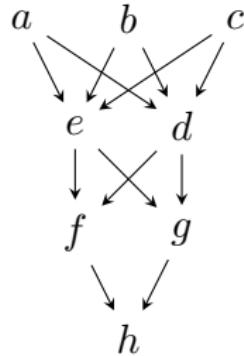
Strict Weak Orders

Strict Weak Orders: alternatives ranked in different levels, incomparabilities within levels



Strict Weak Orders

Strict Weak Orders: alternatives ranked in different levels, incomparabilities within levels



With profiles of strict weak orders, the majority dynamic is preserving Condorcet consensus identify.

Strict Weak Orders — Proof idea

With profiles of strict weak orders, the majority dynamic is preserving Condorcet consensus identify.

Strict Weak Orders — Proof idea

With profiles of strict weak orders, the majority dynamic is preserving Condorcet consensus identify.

Let w be the initial Condorcet winner. *Claim:* we have $a \succ_i^t w$ if and only if $a \succ_i^0$.

Strict Weak Orders — Proof idea

With profiles of strict weak orders, the majority dynamic is preserving Condorcet consensus identify.

Let w be the initial Condorcet winner. *Claim:* we have $a \succ_i^t w$ if and only if $a \succ_i^0$.

By induction over the update order. Note that i updates on a and w only if they are both at the same level.

Strict Weak Orders — Proof idea

With profiles of strict weak orders, the majority dynamic is preserving Condorcet consensus identify.

Let w be the initial Condorcet winner. *Claim:* we have $a \succ_i^t w$ if and only if $a \succ_i^0$.

By induction over the update order. Note that i updates on a and w only if they are both at the same level.

Consider round t :

Strict Weak Orders — Proof idea

With profiles of strict weak orders, the majority dynamic is preserving Condorcet consensus identify.

Let w be the initial Condorcet winner. *Claim:* we have $a \succ_i^t w$ if and only if $a \succ_i^0$.

By induction over the update order. Note that i updates on a and w only if they are both at the same level.

Consider round t :

- If i already has a comparison between a and w , nothing changes ✓

Strict Weak Orders — Proof idea

With profiles of strict weak orders, the majority dynamic is preserving Condorcet consensus identify.

Let w be the initial Condorcet winner. *Claim:* we have $a \succ_i^t w$ if and only if $a \succ_i^0$.

By induction over the update order. Note that i updates on a and w only if they are both at the same level.

Consider round t :

- If i already has a comparison between a and w , nothing changes ✓
- If we update on wa or aw then i will prefer w over a since w is a Condorcet winner ✓

Strict Weak Orders — Proof idea

With profiles of strict weak orders, the majority dynamic is preserving Condorcet consensus identify.

Let w be the initial Condorcet winner. *Claim:* we have $a \succ_i^t w$ if and only if $a \succ_i^0$.

By induction over the update order. Note that i updates on a and w only if they are both at the same level.

Consider round t :

- If i already has a comparison between a and w , nothing changes ✓
- If we update on wa or aw then i will prefer w over a since w is a Condorcet winner ✓
- If we update on another pair leading to $a \succ_i^t w$. Then:

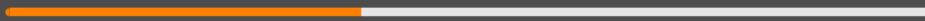
$$a \longrightarrow b \longrightarrow c \longrightarrow \dots \longrightarrow z \longrightarrow w$$

This is impossible with strict weak preferences if i does not have opinion on a and w . ✓

Condorcet Consensus

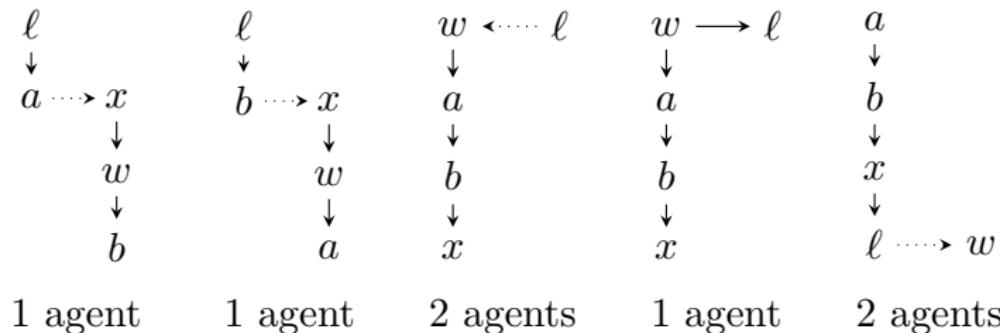


Quality



Quality of the Consensus

A Condorcet loser can be turned into a Condorcet winner.



- ➡ Condorcet consensus is preserved (w initially and ℓ eventually) but the consensual alternative at the end used to be a Condorcet looser.

Condorcet Consensus

└ Controlling



From Preservation to Control

So far we focused on preserving consensus, i.e., universal guarantees that the majority dynamic does not harm consensus.

From Preservation to Control

So far we focused on preserving consensus, i.e., universal guarantees that the majority dynamic does not harm consensus.

What's next? Exploring what the decision maker can achieve by selecting a specific update order.

Positive and Negative Control

Positive Control: The majority dynamics enables positive control if for all profile *with* initial consensus, there exists an update order preserving the consensus.

Positive and Negative Control

Positive Control: The majority dynamics enables positive control if for all profile *with* initial consensus, there exists an update order preserving the consensus.

- The decision maker can control the update order to preserve consensus.
-

Positive and Negative Control

Positive Control: The majority dynamics enables positive control if for all profile *with* initial consensus, there exists an update order preserving the consensus.

- ➡ The decision maker can control the update order to preserve consensus.
-

Negative Control: The majority dynamics enables negative control if for all profile *without* initial consensus:

- there exists an update order preserving the *absence* consensus; or,
- two *distinct* consensual alternatives can be reached for different update orders.

Positive and Negative Control

Positive Control: The majority dynamics enables positive control if for all profile *with* initial consensus, there exists an update order preserving the consensus.

- ➡ The decision maker can control the update order to preserve consensus.
-

Negative Control: The majority dynamics enables negative control if for all profile *without* initial consensus:

- there exists an update order preserving the *absence* consensus; or,
 - two *distinct* consensual alternatives can be reached for different update orders.
- ➡ The decision maker can control the update order to prevent consensus from happening.

Positively Controlling Condorcet Consensus

Positive Control: The majority dynamics *enables* positive Condorcet consensus control.

Positively Controlling Condorcet Consensus

Positive Control: The majority dynamics *enables* positive Condorcet consensus control.

- For a profile with a as initial Condorcet consensus, update according to $ab, ac, ad, ae \dots$

Negatively Controlling Condorcet Consensus

Negative Control: The majority dynamics *enables* negative Condorcet consensus control.

Negatively Controlling Condorcet Consensus

Negative Control: The majority dynamics *enables* negative Condorcet consensus control.

- ➡ Consider a profile without Condorcet consensus. For any a , there is b such that if we first update on ba , a cannot become a Condorcet winner.

Negatively Controlling Condorcet Consensus

Negative Control: The majority dynamics *enables* negative Condorcet consensus control.

→ Consider a profile without Condorcet consensus. For any a , there is b such that if we first update on ba , a cannot become a Condorcet winner.

- If there are no Condorcet winner at the end, we are done ✓

Negatively Controlling Condorcet Consensus

Negative Control: The majority dynamics *enables* negative Condorcet consensus control.

→ Consider a profile without Condorcet consensus. For any a , there is b such that if we first update on ba , a cannot become a Condorcet winner.

- If there are no Condorcet winner at the end, we are done ✓
- If w is a Condorcet winner at the end, apply the reasoning again: find c such that after updating on cw , w cannot become a Condorcet winner.

Negatively Controlling Condorcet Consensus

Negative Control: The majority dynamics *enables* negative Condorcet consensus control.

→ Consider a profile without Condorcet consensus. For any a , there is b such that if we first update on ba , a cannot become a Condorcet winner.

- If there are no Condorcet winner at the end, we are done ✓
- If w is a Condorcet winner at the end, apply the reasoning again: find c such that after updating on cw , w cannot become a Condorcet winner.
 - If there are no Condorcet winner at the end, we are done ✓

Negatively Controlling Condorcet Consensus

Negative Control: The majority dynamics *enables* negative Condorcet consensus control.

→ Consider a profile without Condorcet consensus. For any a , there is b such that if we first update on ba , a cannot become a Condorcet winner.

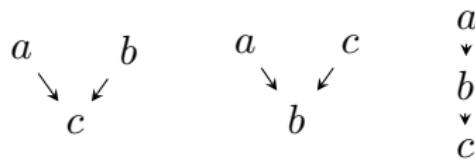
- If there are no Condorcet winner at the end, we are done ✓
- If w is a Condorcet winner at the end, apply the reasoning again: find c such that after updating on cw , w cannot become a Condorcet winner.
 - If there are no Condorcet winner at the end, we are done ✓
 - If $x \neq w$ is a Condorcet winner at the end, we have two update orders leading to two different Condorcet winners ✓

3. Other Consensus Notions



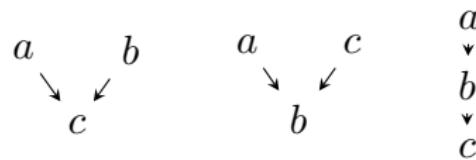
Unanimity-Based Consensus

Unanimity Undominated



Unanimity-Based Consensus

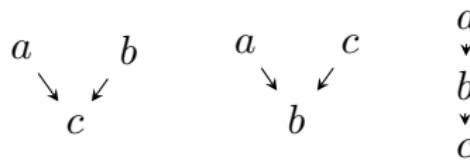
Unanimity Undominated



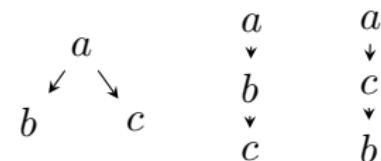
Consensus requires *unicity*

Unanimity-Based Consensus

Unanimity Undominated



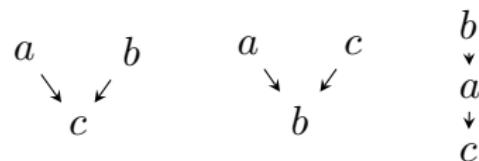
Unanimity Dominant



Consensus requires *unicity*

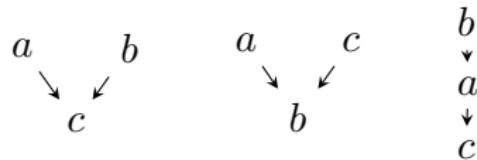
Majority-Based Consensus

Majority Undominated



Majority-Based Consensus

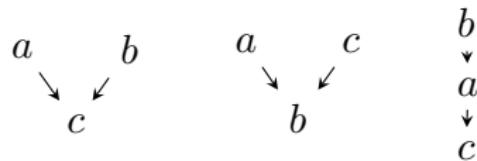
Majority Undominated



Majority should be *strict*
Consensus requires *unicity*

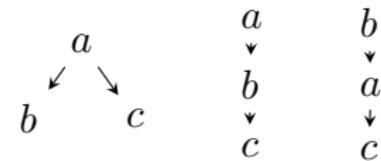
Majority-Based Consensus

Majority Undominated



Majority should be *strict*
Consensus requires *unicity*

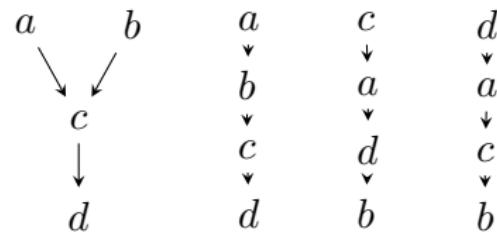
Majority Dominant



Majority should be *strict*

Plurality-Based Consensus

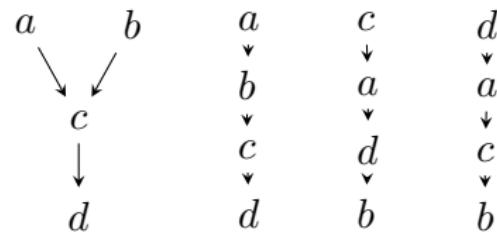
Plurality Undominated



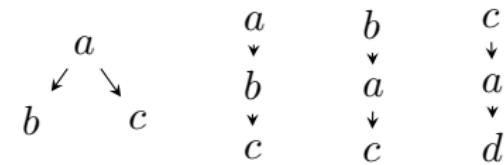
Consensus requires *unicity*

Plurality-Based Consensus

Plurality Undominated



Plurality Dominant



Consensus requires *unicity*

Consensus requires *unicity*

Our Results

	Preserving consensus	Positive control	Negative control
Condorcet	X (✓)	✓	✓

Our Results

	Preserving consensus	Positive control	Negative control
Condorcet	X (✓)	✓	✓
Plurality Undominated	X	X	X

Our Results

	Preserving consensus	Positive control	Negative control
Condorcet	X (✓)	✓	✓
Plurality Undominated	X	X	X
Plurality Dominant	X	X	X

Our Results

	Preserving consensus	Positive control	Negative control
Condorcet	X (✓)	✓	✓
Plurality Undominated	X	X	X
Plurality Dominant	X	X	X
Majority Undominated	X	✓	X

Our Results

	Preserving consensus	Positive control	Negative control
Condorcet	X (✓)	✓	✓
Plurality Undominated	X	X	X
Plurality Dominant	X	X	X
Majority Undominated	X	✓	X
Majority Dominant	✓	✓	X

Our Results

	Preserving consensus	Positive control	Negative control
Condorcet	X (✓)	✓	✓
Plurality Undominated	X	X	X
Plurality Dominant	X	X	X
Majority Undominated	X	✓	X
Majority Dominant	✓	✓	X
Unanimity Undominated	X (✓)	✓	✓

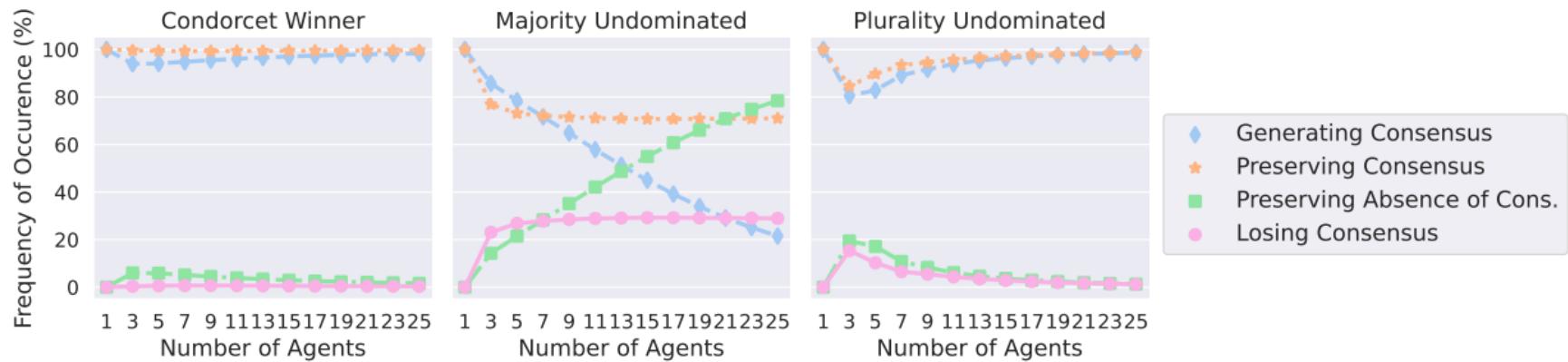
Our Results

	Preserving consensus	Positive control	Negative control
Condorcet	X (✓)	✓	✓
Plurality Undominated	X	X	X
Plurality Dominant	X	X	X
Majority Undominated	X	✓	X
Majority Dominant	✓	✓	X
Unanimity Undominated	X (✓)	✓	✓
Unanimity Dominant	✓	✓	X

4. Experimental Analysis

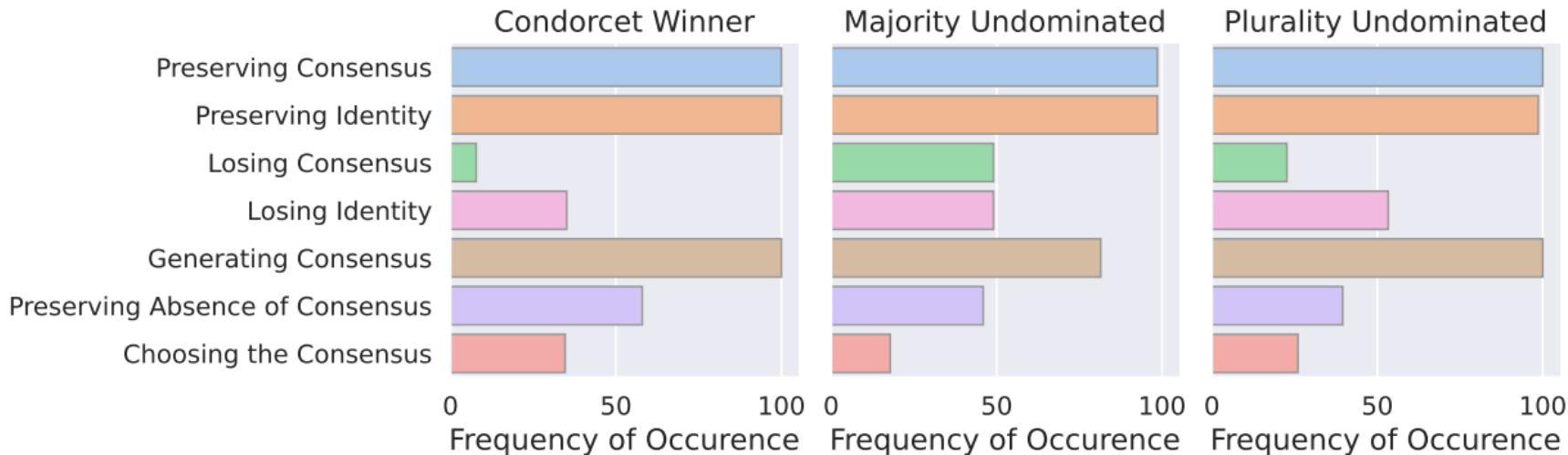


Quantifying the Effects on Consensus



- Numbers of agents varying in 1, 3, 5, ..., 25
- 5 000 000 random profiles each time (uniform distribution over $a \succ b$, $b \succ a$ and $a \sim b$, repeat until transitive)

Quantifying the Opportunities for Control



- 11 agents and 4 alternatives
- 20 000 random profiles
- All of the 46 080 possible update orders on each profile

5. Conclusion



Wrapping Up

What have we done? Studied the majority dynamic and the effects it can have on consensus for several consensus notions.

Wrapping Up

What have we done? Studied the majority dynamic and the effects it can have on consensus for several consensus notions.

Wrapping Up

What have we done? Studied the majority dynamic and the effects it can have on consensus for several consensus notions.

What is still to be done? Several ideas:

- Computational complexity of control problems (selecting the update order to achieve some goal)
- Computational complexity of good update orders (minimising number of updates, etc...)
- Guarantees about distance to consensus when it is not achieved
- And so many others...

Thanks



Sirin



Simon



Zoi

Do you still have questions?