

# **Theoretical particle physics**

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# 0 Organisational

Tutorials: Thursday: 8-10, 10-12 Friday: 10-12, 13-15

**Exam** consists of four problems

- first quickies
- 2nd-4th: similar in style to homework; two will be very close to homework

One needs 50% of points from homework. May hand in pairs.

## Content of the lectures

- Standard Model of particle physics
- Electroweak sector
  - gauge principle
  - Higgs mechanism
  - Yukawa interactions
  - CP-violation

## Exercises

- go through basics of computing Feynman diagrams
- not to derive the formalism
- Lagrange  $\rightarrow$  Feynman rules  $\rightarrow$  amplitudes  $\rightarrow$  cross section and decay rates (measured quantities)

## Literature

- Halzen and Martin, Quarks and Leptons (a lot of basics of QCD)
- Cheng and Li (includes also quantum field theory topics CP-violation in Standard Model )
- Mark Thomson
- QFT basics
  - Peskin and Schroeder
  - M.Schwartz
  - Ryder
- Okun, Leptons and Quarks

# 1 Introduction

## 1.1 Standard Model

It is the fundamental theory of nature. There are three interactions included

- electromagnetic
- weak
- strong
- Higgs boson exchange

Electromagnetic and weak interactions can be unified into electroweak interactions.

In the Sun all these three interactions and gravity are present

- Photons reaching us clearly indicate QED's involvement.
- Neutrinos produced in weak interaction. Four protons to two protons and two neutrons (Helium). Only weak interaction can change the colour of quarks.
- Binding of Helium via strong interaction and binding energy released as kinetic energy.
- Gravity brings protons together and at high temperature to give helium.

**Gauge theories** (Lie groups algebras)

- EM:  $U(1)_{EM}, U(1)_Y$
- weak:  $SU(2)_L$
- strong:  $SU(3)_c$

Forces in quantum theories involve exchange particles spin 1, vector bosons

- photon  $\gamma$
- weak  $W^\pm, W^0$  and  $Z^0$  (mixture of  $W^0$  and hyper charge) (discovered at CERN)
- strong  $g^a, a = 1, \dots, 8$  gluons (discovered at DESY)

**particles with spin  $\frac{1}{2}$**   
Leptons

$$\begin{pmatrix} \nu_e \\ e^- \end{pmatrix}_L, e_R^-; \quad \begin{pmatrix} \nu_\mu \\ \mu^- \end{pmatrix}_L, \mu_R^-; \quad \begin{pmatrix} \nu_\tau \\ \tau^- \end{pmatrix}_L, \tau_R^-$$

Quarks

$$\begin{pmatrix} u \\ d \end{pmatrix}_L, u_R, d_R; \quad \begin{pmatrix} c \\ s \end{pmatrix}_L, c_R, s_R; \quad \begin{pmatrix} t \\ b \end{pmatrix}_L, t_R, b_R$$

One complete generation

$$\begin{pmatrix} \nu_e \\ e^- \end{pmatrix}_L, e_R^-; \quad \begin{pmatrix} u \\ d \end{pmatrix}_L, u_R, d_R;$$

To remove any one part, then gauge theory is inconsistent. It is known as "anomaly". (AQFT)

**Higgs boson**  $h^0$ , spin 0

In Standard Model Higgs bosons are described by complex scalar fields  $\begin{pmatrix} H^+ \\ H^0 \end{pmatrix}$ .  $h^0$  is the only fundamental scalar in nature, as far as we know.

## 1.2 Energy scales

- binding energy of atoms 1 – 10eV
- binding energy of nucleons  $\approx 1\text{MeV}$
- no known binding energy in particle physics
- protons and neutrons  $\approx \Lambda_{QCD} \approx \mathcal{O}(100\text{MeV})$

Particles have masses

- electron  $m_e = 511 \text{ keV}$
- muon  $m_\mu = 105 \text{ MeV}$
- tau  $m_\tau = 1.7 \text{ GeV}$
- neutrinos  $m_\nu < 1 \text{ eV}$
- quarks\*
  - $m_u \approx 3 \text{ MeV}$
  - $m_d \approx 5 \text{ MeV}$
  - ...
- photon  $m_\gamma = 0$
- gluon  $m_g = 0$
- Higgs  $m_{Higgs} \approx 125 \text{ GeV}$

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\*mass of proton mainly comes from dynamical effect "gluon"

## Colliders

- LEP 91 GeV – 200 GeV
- Tevatron( $p\bar{p}$ ) 800 GeV – 2 TeV
- LHC 7 TeV – 13 TeV

## 1.3 Natural units

$$\hbar = c = 1 \quad (1.3.1)$$

$$k_B = 1 \quad (1.3.2)$$

Everything expressed in term of powers of energy.

$$1 \text{ fm} = 1 \times 10^{-15} \text{ m} = 5 \text{ GeV}^{-1}$$

## 2 Lorentz Transformation

### 2.1 Introduction

Metric (used for distance measuring)

$$g_{\mu\nu} = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & -1 & 0 & 0 \\ 0 & 0 & -1 & 0 \\ 0 & 0 & 0 & -1 \end{pmatrix} \quad (2.1.1)$$

In string and general relativity people tend to use  $\text{diag}(-, +, +, +)$ .

$$p = (E, \mathbf{p}) \quad (2.1.2)$$

$$p^2 = E^2 - \mathbf{p}^2 = m^2 \quad (2.1.3)$$

Light is always light-like

$$t^2 - (x^2 + y^2 + z^2) = 0$$

Greek indices always go from 0 to 3

$$r^2 = g_{\mu\nu} r^\mu r^\nu = t^2 - \mathbf{r}^2 \quad (2.1.4)$$

Distance between two spacetime point is defined via

$$|r_A - r_B| = \sqrt{(r_A - r_B) \cdot (r_A - r_B)} = \sqrt{r_A^2 + r_B^2 - 2r_A \cdot r_B} \quad (2.1.5)$$

### 2.2 Lorentz Transformation

*Lorentz Transformation* is transformation between two inertial frames moving with constant velocity  $\mathbf{v}$  with respect to each other (boosts).

$$x = (x_0, x_1, x_2, x_3)$$

$$x' = (x'_0, x'_1, x'_2, x'_3)$$

$$x_0 = ct = t; \quad c = 1$$

We define

$$\beta = \frac{v}{c} \quad \text{or} \quad \boldsymbol{\beta} = \frac{\mathbf{v}}{c} \quad (2.2.1)$$

## 2 Lorentz Transformation

Coordinates in these two frames are related like

$$\begin{aligned}x'_0 &= \gamma(x_0 - \beta x_1) \\x'_1 &= \gamma(x_1 - \beta x_0) \\x'_2 &= x_2 \\x'_3 &= x_3\end{aligned}$$

Inverse transformation with  $\beta \mapsto -\beta$   
 $\gamma$ -factor is defined via

$$\gamma = \frac{1}{\sqrt{1 - \beta^2}} \quad (2.2.2)$$

Since  $|\beta| \leq 1 \Rightarrow \gamma \geq 1$

Alternative parametrization

$$\begin{aligned}\beta &= \tanh(\zeta), \quad \gamma = \cosh(\zeta) \\ \gamma\beta &= \sinh(\zeta)\end{aligned}$$

Insert this into equation (2.2.2)

$$\begin{aligned}x'_0 &= x_0 \cosh(\zeta) - x_1 \sinh(\zeta) \\ x'_1 &= x_0 \sinh(\zeta) - x_1 \cosh(\zeta)\end{aligned}$$

We can turn this into matrices

$$\begin{pmatrix} x_0 \\ x_1 \\ x_2 \\ x_3 \end{pmatrix} = \begin{pmatrix} \gamma & \gamma\beta & 0 & 0 \\ \gamma\beta & \gamma & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix} \cdot \begin{pmatrix} x'_0 \\ x'_1 \\ x'_2 \\ x'_3 \end{pmatrix}$$

$$x = \Lambda x' \quad (2.2.3)$$

## 2.3 Mathematical Properties of Lorentz Transformation

Distance is invariant under Lorentz transformation

$$s^2 = x_0^2 - x_1^2 + x_2^2 + x_3^2 = x^2 \quad (2.3.1)$$

Lorentz transformation includes

- Rotation and boosts
- Parity  $\mathbf{x} \mapsto -\mathbf{x}$
- Time reversal  $t \mapsto -t$

We can also expand it with translation. It then turns to Poincare group.



### 2.3.1 Tensors

Define a function of original coordinates ( $\alpha = 0, 1, 2, 3$ )

$$x'^\alpha = x'^\alpha(x^0, x^1, x^2, x^3) \quad (2.3.2)$$

If  $x'^\alpha$  transforms like

$$x'^\alpha = \frac{x'^\alpha}{x^\beta} x^\beta \quad (2.3.3)$$

it is called *contravariant*

Consider derivative  $\frac{\partial}{\partial x'^\alpha}$

$$\frac{\partial f(x)}{\partial x'^\alpha} = \frac{\partial f(x)}{\partial x^\beta} \frac{\partial x^\beta}{\partial x'^\alpha} \quad (2.3.4)$$

We can see the  $x'$  is now in the denominator. The objects transformed like this are called *covariant*.

Consider the following generic objects:  $A'^\alpha$  contravariant vector

$$A'^\alpha = \frac{\partial x'^\alpha}{\partial x^\beta} A^\beta \quad (2.3.5)$$

$B'_\alpha$  is covariant

$$B'_\alpha = \frac{\partial x^\beta}{\partial x'^\alpha} B_\beta \quad (2.3.6)$$

Note  $(x^0, x^1, x^2, x^3)$  is contravariant.

The field strength tensor  $F'^{\alpha\beta} = \frac{\partial x'^\alpha}{\partial x^\gamma} \frac{\partial x'^\beta}{\partial x^\delta} F^{\gamma\delta}$  is contravariant rank 2.

Mixed is also allowed  $H'^\alpha_\beta = \frac{\partial x'^\alpha}{\partial x^\gamma} \frac{x^\delta}{\partial x'^\beta} H^\delta_\gamma$

#### Inner or scalar product

$$\begin{aligned} B' \cdot A' &= B'_\alpha A'^\alpha \\ &= \left( \frac{\partial x^\beta}{\partial x'^\alpha} B_\beta \right) \left( \frac{\partial x'^\alpha}{\partial x^\gamma} A^\gamma \right) \\ &= \frac{\partial x^\beta}{\partial x^\gamma} B_\beta A^\gamma \\ &= \delta^\beta_\gamma B_\beta A^\gamma = B \cdot A \end{aligned}$$

$$\begin{aligned} ds^2 &= (dx^0)^2 - (d\mathbf{x})^2 \\ &= (g_{\alpha\beta} dx^\alpha) dx^\beta = dx_\beta dx^\beta \end{aligned} \quad (2.3.7)$$

Thus we can use metric tensor to lower index  $dx_\beta = g_{\alpha\beta} dx^\alpha$

$$\begin{aligned} A^\alpha &= (A^0, \mathbf{A}) \\ A_\alpha &= (A^0, -\mathbf{A}) \end{aligned}$$

## 2.4 Matrix Representation of Lorentz Transformation

### 2.4.1 General Properties

We have

$$x = \begin{pmatrix} x^0 \\ x^1 \\ x^2 \\ x^3 \end{pmatrix} \quad gx = \begin{pmatrix} x^0 \\ -x^1 \\ -x^2 \\ -x^3 \end{pmatrix}$$

$$\text{Then } a \cdot b = (a, gb) = g_{\mu\nu}a^\mu b^\nu = (ga, b) = a^T gb = (ga)^T b$$

$$x'^\mu = \Lambda^\mu_\nu x^\nu \mapsto x' = \Lambda x \quad (2.4.1)$$

$$x \cdot x = x' \cdot x' = (\Lambda x)(\Lambda x) \quad (2.4.2)$$

$$\begin{aligned} g_{\mu\nu}x^\mu x^\nu &= g_{\sigma\tau}x'^\sigma x'^\tau \\ &= g_{\sigma\tau}\Lambda^\sigma_\mu x^\mu \Lambda^\tau_\nu x^\nu \\ &= g_{\sigma\tau}\Lambda^\sigma_\mu \Lambda^\tau_\nu x^\mu x^\nu \end{aligned}$$

Then we have the *defining* rule of Lorentz group

$$g_{\mu\nu} = g_{\sigma\tau}\Lambda^\sigma_\mu \Lambda^\tau_\nu \quad (2.4.3)$$

$$g = \Lambda^T g \Lambda \quad (2.4.4)$$

#### Properties

- $|\det(\Lambda)| = 1$
- $|\Lambda^0_0| \geq 1$

The orthochronous Lorentz transformations  $\Lambda$  forms a group.

Parity does not form a group

$$\Lambda_P = \text{diag}(1, -1, -1, -1) \quad (2.4.5)$$

Time reversal

$$\Lambda_T = \text{diag}(-1, +1, +1, +1) \quad (2.4.6)$$

There are four classes of Lorentz transformations depending on  $(\text{sgn}(\det(\Lambda)), \text{sgn}(\Lambda^0_0))$

- $(+, +) \Lambda$
- $(-, -) \Lambda_T \Lambda$
- $(-, +) \Lambda_P \Lambda$
- $(+, -) \Lambda_T \Lambda_P \Lambda$

Orthochronous  $\Lambda$  has 6 parameters, 3 for boosts and 3 for rotations.  $\Lambda^T g \Lambda = g$  is actually 16 equations. All matrices here are symmetric. Thus 6 of 16 are redundant. There are 10 independent equations.  $\Lambda$  has 16 entries and it has  $16 - 10 = 6$  free parameters.

### 2.4.2 Explicit Construction

We will restrict ourselves in orthochronous Lorentz transformations. The exponential function is defines via Taylor expansion. With  $L \in \mathbb{R}^{4 \times 4}$

$$\Lambda = e^L = \exp(L)$$

From linear algebra we know

$$\det(\Lambda) = \det(e^L) = e^{\text{tr}(L)} \quad (2.4.7)$$

Since  $\det(\Lambda) = 1$ ,  $\text{tr}(L) = 0$

$$\begin{aligned} \Lambda^T g \Lambda &= g \\ g \Lambda^T g \Lambda &= \mathbb{1}_4 \\ g \Lambda^T g &= \Lambda^{-1} \\ \exp(g L^T g) &= \Lambda^{-1} = \exp(-L) \\ \Leftrightarrow g L^T g &= -L \\ \Leftrightarrow (gL)^T &= -gL \end{aligned}$$

This means that  $gL$  is anti-symmetric

$$L = \begin{pmatrix} 0 & L_{01} & L_{02} & L_{03} \\ L_{01} & 0 & L_{12} & L_{13} \\ L_{02} & L_{12} & 0 & L_{23} \\ L_{03} & L_{13} & L_{23} & 0 \end{pmatrix}$$

Define six basis matrices  $S_{1,2,3}$  and  $K_{1,2,3}$

$$\begin{aligned} S_1 &= \begin{pmatrix} 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & -1 \\ 0 & 0 & 1 & 0 \end{pmatrix} & S_2 &= \begin{pmatrix} 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & 0 \\ 0 & -1 & 0 & 0 \end{pmatrix} & S_3 &= \begin{pmatrix} 0 & 0 & 0 & 0 \\ 0 & 0 & -1 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{pmatrix} \\ K_1 &= \begin{pmatrix} 0 & 1 & 0 & 0 \\ 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{pmatrix} & K_2 &= \begin{pmatrix} 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 \\ 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{pmatrix} & K_3 &= \begin{pmatrix} 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 1 & 0 & 0 & 0 \end{pmatrix} \end{aligned}$$

$S_i$  is the generator of 3-dimensional rotations and  $K_i$  is the generator of 3-dimensional boosts.

$$\begin{aligned} \hat{n} &\in \mathbb{R}^3, \quad |\hat{n}| = 1 \\ \hat{n} \cdot \mathbf{S} &= n_1 S_1 + n_2 S_2 + n_3 S_3 \\ (\hat{n} \cdot \mathbf{S})^3 &= -\hat{n} \cdot \mathbf{S} \\ (\hat{n} \cdot \mathbf{K})^3 &= +\hat{n} \cdot \mathbf{S} \end{aligned}$$

In the end

$$L = -\boldsymbol{\omega} \cdot \mathbf{S} - \boldsymbol{\zeta} \cdot \mathbf{K} \quad \text{with } \boldsymbol{\omega}, \boldsymbol{\zeta} \in \mathbb{R}^3 \quad (2.4.8)$$

$$\Lambda = \exp(-\boldsymbol{\omega} \cdot \mathbf{S} - \boldsymbol{\zeta} \cdot \mathbf{K}) \quad (2.4.9)$$

## 2 Lorentz Transformation

$\omega$  is the axis of rotation,  $|\omega|$  is then the angle of rotation.

$\tanh |\zeta| = \beta$  and  $\frac{\zeta}{|\zeta|}$  is the direction of boost.

We now will look at concrete examples

- $\zeta = 0, \omega = \omega \hat{e}_z$

$$\Lambda = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & \cos \omega & \sin \omega & 0 \\ 0 & -\sin \omega & \cos \omega & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}$$

Rotational angle is  $\omega$ .

- $\omega = 0, \zeta = \zeta \hat{e}_x$

$$\Lambda = \begin{pmatrix} \cosh \zeta & -\sinh \zeta & 0 & 0 \\ -\sinh \zeta & \cosh \zeta & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}$$

Pure general boost  $\zeta$

$$\Lambda = \exp(-\zeta \cdot \mathbf{K})$$

$$\zeta = \frac{\beta}{|\beta|} \tanh^{-1} |\beta|, \quad \hat{\beta} = \frac{\beta}{|\beta|}$$

$$\Lambda = \exp(-\hat{\beta} \cdot \mathbf{K} \tanh^{-1}(\beta))$$

### 2.4.3 Algebra of generators

Consider the commutation algebra of  $S_{i=1,2,3}$  and  $K_{i=1,2,3}$

$$[S_i, S_j] = \epsilon_{ijk} S_k \quad (2.4.10)$$

$$[S_i, K_j] = \epsilon_{ijk} K_k \quad (2.4.11)$$

$$[K_i, K_j] = -\epsilon_{ijk} S_k \quad (2.4.12)$$

The last equation causes Thomas precession in atomic physics.

Choose a different basis

$$\mathbf{S}_+ = \frac{1}{2} (\mathbf{S} + i\mathbf{K}) \quad \mathbf{S}_- = \frac{1}{2} (\mathbf{S} - i\mathbf{K}) \quad (2.4.13)$$

Then we can calculate the algebra

$$[S_{+,i}, S_{+,j}] = i\epsilon_{ijk} S_{+,k} \quad (2.4.14)$$

$$[S_{-,i}, S_{-,j}] = i\epsilon_{ijk} S_{-,k} \quad (2.4.15)$$

$$[S_{+,i}, S_{-,j}] = 0 \quad (2.4.16)$$

In other word, the algebras are decoupled. This familiar algebra is angular momentum algebra  $\mathbf{SU}(2)$ .

## 2 Lorentz Transformation

Classification by two numbers  $(j_+, j_-)$

$$j_+ = 0, \frac{1}{2}, 1, \dots$$
$$j_- = 0, \frac{1}{2}, 1, \dots$$

Dimension =  $(2j_+ + 1)(2j_- + 1)$ .

A field is scalar field if  $j_+ = j_- = 0$ . One fundamental example of scalar field is Higgs boson. Other scalar particles are just bound states.

There are two possible states with spin  $\frac{1}{2}$ :  $(j_+, j_-) = (\frac{1}{2}, 0)$  and  $(0, \frac{1}{2})$

$$\mathbf{S}_+ = \frac{1}{2}\boldsymbol{\sigma}$$

$$\mathbf{S}_- = 0$$

$$\mathbf{S} = \frac{1}{2}\boldsymbol{\sigma}$$

$$i\mathbf{K} = \frac{1}{2}\boldsymbol{\sigma}$$

for  $(0, \frac{1}{2})$  there is "-"

So two types of spin  $\frac{1}{2}$  fermions

$$\left(\frac{1}{2}, 0\right) \rightarrow e_L^-$$

$$\left(0, \frac{1}{2}\right) \rightarrow e_R^-$$

They have different transformation law. W boson only to  $e_L^-$  but photon couples to both.

Under parity transformation  $e_L^- \leftrightarrow e_R^-$ . Both particles are needed for theory to be invariant under parity transformation, like EM and strong interactions.

### 3 Relativistic Quantum Field Theory

In non-relativistic quantum mechanics

$$\begin{aligned} E &= \frac{\mathbf{p}^2}{2m} \\ E &\mapsto i\hbar \frac{\partial}{\partial t} \\ \mathbf{p} &\rightarrow -i\hbar \nabla \end{aligned}$$

After promoting the momentum and energy into operators in dispersion relation we have the Schrödinger equation

$$i \frac{\partial}{\partial t} \psi + \frac{1}{2m} \nabla^2 \psi = 0 \quad (3.0.1)$$

Density of probability is defined via

$$\rho = |\psi|^2 = \psi \psi^* \quad (3.0.2)$$

It obeys the continuity equation

$$\begin{aligned} -\frac{\partial}{\partial t} \int_V \rho \, dV &= \int \mathbf{j} \cdot \mathbf{n} \, dS \\ &= \int_V \nabla \cdot \mathbf{j} \, dV \\ \Rightarrow \frac{\partial \rho}{\partial t} + \nabla \cdot \mathbf{j} &= 0 \end{aligned} \quad (3.0.3)$$

Writing this explicitly

$$\begin{aligned} \frac{\partial \rho}{\partial t} &= \frac{\partial}{\partial t} (\psi \psi^*) \\ &= \psi \frac{\partial \psi^*}{\partial t} + \psi^* \frac{\partial \psi}{\partial t} \\ &= \frac{i}{2m} (\psi^* \nabla^2 \psi - \psi \nabla^2 \psi^*) \\ \Rightarrow \mathbf{j} &= -\frac{i}{2m} (\psi^* \nabla \psi - \psi \nabla \psi^*) \end{aligned} \quad (3.0.4)$$

If we have a plane wave state, as an example

$$\begin{aligned} \psi &= N e^{i\mathbf{p} \cdot \mathbf{x} - iEt} \\ \mathbf{j} &= \frac{\mathbf{p}}{m} |N|^2 \end{aligned}$$

### 3.1 Relativistic wave equation

Now we enter the relativistic regime

$$\begin{aligned} E^2 &= \mathbf{p}^2 + m^2 \\ p^\mu &= (E, \mathbf{p}) \quad p_\mu = (E, -\mathbf{p}) \\ p^2 &= m^2 \end{aligned}$$

Promoting energy and momentum into operators

$$\begin{aligned} p^\mu &\mapsto i\partial^\mu \\ \partial_\mu \partial^\mu &= \frac{\partial^2}{\partial^2 t} - \nabla^2 \end{aligned}$$

We have then Klein-Gordon equation

$$(\partial_\mu \partial^\mu + m^2)\phi(\mathbf{x}, t) = 0 \quad (3.1.1)$$

The current in KG-theory is conserved as well

$$j^\mu = (\rho, \mathbf{j}) = i(\phi^* \partial^\mu \phi - \phi \partial^\mu \phi^*) \quad (3.1.2)$$

$$\partial_\mu j^\mu = 0 \quad (3.1.3)$$

An example solution

$$\begin{aligned} \phi &= N e^{-ip \cdot x} \\ j^\mu &= 2p^\mu |N|^2 \end{aligned}$$

In terms of Lorentz transformation

$$\rho \sim E$$

Energies of particles

$$\begin{aligned} E^2 &= \mathbf{p}^2 + m^2 \\ E &= \pm \sqrt{\mathbf{p}^2 + m^2} \end{aligned}$$

It also implies negative probability

$$\begin{aligned} E > 0 &\mapsto \rho > 0 \\ E < 0 &\mapsto \rho < 0 \end{aligned}$$

### 3.2 Feynman-Stückelberg Interpretation of negative energy states

"Electron" with  $E, \mathbf{p}$  and charge  $-e$

$$j_{e^-}^\mu = 2e|N|^2(E, \mathbf{p})$$

"Positron" with  $E, \mathbf{p}$  and charge  $+e$

$$j_{e^+}^\mu = 2e|N|^2(E, \mathbf{p}) = -2e|N|^2(-E, -\mathbf{p})$$

We can think of  $E < 0$  solution as particle flying backwards in time or  $E > 0$  anti-particle forwards in time.

In a relativistic systems we need to remember following points

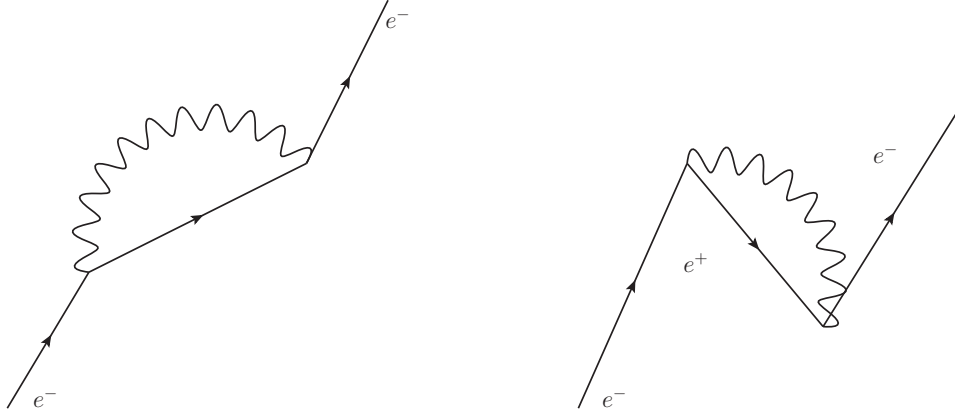


Figure 3.1: scattering process; horizontal time-axis; in the second diagram a electron positron pair is produced

- anti-particles
- particle numbers are not conserved

### 3.3 Electrodynamics (spin 1)

Maxwell equations are

$$\mathbf{E} = -\vec{\nabla}\phi - \frac{d}{dt}\mathbf{A} \quad (3.3.1)$$

$$\mathbf{B} = \vec{\nabla} \times \mathbf{A} \quad (3.3.2)$$

$$\vec{\nabla} \times \mathbf{E} = -\frac{d}{dt}\mathbf{B} \quad (3.3.3)$$

$$\vec{\nabla} \cdot \mathbf{B} = 0 \quad (3.3.4)$$

Field strength tensor and four-potential

$$F_{\mu\nu} = \partial_\mu A_\nu - \partial_\nu A_\mu \quad (3.3.5)$$

$$A^\mu(x) = (\phi, \mathbf{A}) \quad (3.3.6)$$

The fields can be calculated from it

$$E^i = F^{0i} = \partial^i A^0 - \partial^0 A^i \quad (3.3.7)$$

$$B_i = -\epsilon_{ijk} \partial^j A^k = -\epsilon_{0ijk} F^{jk} \quad (3.3.8)$$

Often it is useful to use the dual tensor

$$\tilde{F}_{\mu\nu} = \epsilon_{\mu\nu\sigma\tau} F^{\sigma\tau} \quad (3.3.9)$$

$$\partial^\mu \tilde{F}_{\mu\nu} = 0 \quad (3.3.10)$$

is the second set of maxwell equations.



The other set of two equations is

$$\partial_\nu F^{\mu\nu} = 4\pi j^\mu \quad (3.3.11)$$

$\mathbf{E}, \mathbf{B}$  are observable,  $\mathbf{A}$  is not.  $A^\mu$  is not uniquely fixed by  $\mathbf{E}$  and  $\mathbf{B}$ . It has the following gauge symmetry

$$\tilde{A}_\mu = A_\mu + \partial_\mu \Lambda(\mathbf{x}, t) \quad (3.3.12)$$

Use this transformation to get

$$\partial_\mu A^\mu = 0 \quad (3.3.13)$$

Plugging it back then we have the relativistic wave equation

$$\partial_\mu \partial^\mu A^\nu = 0 \quad (3.3.14)$$

it essentially is Klein-Gordon equation with mass  $m = 0$

$A^\mu$  is a vector with spin 1

$$(j_+, j_-) = \left(\frac{1}{2}, \frac{1}{2}\right)$$

It implied it has two transverse degrees of freedom. It has spin 1 properties:  $+1, 0, -1$ , in which 0 mode does not exist.

### 3.4 Description of Fermions

Original motivation for Dirac. He wants a linear equation in  $E$  or  $\frac{\partial}{\partial t}$

$$p^\mu \mapsto i\partial^\mu$$

Take the ansatz

$$\begin{aligned} i\hbar \frac{\partial}{\partial t} \psi &= H\psi \\ &= (\vec{\alpha} \cdot \mathbf{p} + \beta m)\psi \end{aligned}$$

but  $\alpha$  and  $\beta$  unknown. It still has to obey the relativistic energy relation

$$\begin{aligned} A &= (\alpha_i p_i + \beta m)(\alpha_i p_i + \beta m) \\ &\stackrel{!}{=} \mathbf{p}^2 + m^2 \\ &= \alpha_i \alpha_j p_i p_j + \beta^2 m^2 + \alpha_i \beta p_i m + \beta \alpha_j p_j m \end{aligned}$$

From this we demand

$$\beta^2 = 1 \quad (3.4.1)$$

$$\alpha_i^2 = 1 \quad (3.4.2)$$

$$\alpha_i \alpha_j + \alpha_j \alpha_i = 0 \quad (3.4.3)$$

$$\alpha_i \beta + \beta \alpha_i = 0 \quad (3.4.4)$$

So  $\alpha$  and  $\beta$  are not just numbers, but (can be proven to be) hermitian traceless matrices with eigenvalue  $\pm 1$ . In addition, it only exists in even dimensions. Since  $\alpha_i$  and  $\beta$  are  $4 \times 4$  matrices.  $\psi$  has to be a 4-component spinor.

For parity conservation need  $(\frac{1}{2}, 0) \oplus (0, \frac{1}{2})$  Thus

$$\left( \begin{pmatrix} & \\ & \end{pmatrix}_{2 \times 2} \quad \begin{pmatrix} & \\ & \end{pmatrix}_{2 \times 2} \right)$$

There are different sets of  $\alpha_i, \beta$  which satisfy the conditions. They are called representations. Dirac-Pauli representation

$$\alpha_i = \begin{pmatrix} 0 & \sigma^i \\ \sigma^i & 0 \end{pmatrix} \quad (3.4.5)$$

$$\beta = \begin{pmatrix} \mathbb{1}_2 & 0 \\ 0 & -\mathbb{1}_2 \end{pmatrix} \quad (3.4.6)$$

with  $\sigma^i$  the Pauli matrices.

Weyl (chiral) representation

$$\alpha^i = \begin{pmatrix} -\sigma^i & 0 \\ 0 & \sigma^i \end{pmatrix} \quad (3.4.7)$$

$$\beta = \begin{pmatrix} 0 & \mathbb{1}_2 \\ \mathbb{1}_2 & 0 \end{pmatrix} \quad (3.4.8)$$

they are mainly used in high energy physics ( $E \gg m$ ).

### 3.4.1 Gamma Matrices

We now define 4 gamma matrices  $\gamma^\mu, \mu = 0, 1, 2, 3$

$$\gamma^\mu = (\beta, \beta \alpha) \quad (3.4.9)$$

Note that having an index does not make it Lorentz vector.

The Clifford algebra is defined as following

$$\{\gamma^\mu, \gamma^\nu\} = \gamma^\mu \gamma^\nu + \gamma^\nu \gamma^\mu = 2g^{\mu\nu} \quad (3.4.10)$$

In Dirac-Pauli representation

$$\gamma^0 = \begin{pmatrix} \mathbb{1} & 0 \\ 0 & -\mathbb{1} \end{pmatrix} \quad (3.4.11)$$

$$\gamma^i = \begin{pmatrix} 0 & \sigma^i \\ -\sigma^i & 0 \end{pmatrix} \quad (3.4.12)$$

$$\gamma^5 = i\gamma^0\gamma^1\gamma^2\gamma^3 = \begin{pmatrix} 0 & \mathbb{1} \\ \mathbb{1} & 0 \end{pmatrix} \quad (3.4.13)$$

In Weyl representation

$$\gamma^0 \leftrightarrow \gamma^5$$

Rewriting the Dirac equation using  $\gamma$ s

$$\begin{aligned}
 i\partial_t\psi &= (\boldsymbol{\alpha} \cdot \mathbf{p} + \beta m)\psi \\
 i\partial_t\psi &= -i\boldsymbol{\alpha} \cdot \vec{\nabla}\psi + m\beta\psi \\
 i\beta\partial_t\psi &= -i\beta\boldsymbol{\alpha} \cdot \vec{\nabla}\psi + m\psi \\
 (i\gamma^\mu\partial_\mu - m)\psi &= 0
 \end{aligned} \tag{3.4.14}$$

Conventionally we use  $\phi$  for spin 0 particle and  $A_\mu$  for spin 1.

It is convenient to also have an equation for  $\psi^\dagger$ . First one can show  $\gamma^{\mu\dagger} = \gamma^0\gamma^\mu\gamma^0$ .

- $\mu = 0$ :  $\gamma^0 = \beta$  and  $\gamma^{0\dagger} = \gamma^0\gamma^0\gamma^0 \Rightarrow \beta^2 = \mathbb{1}_4$
- $\gamma^{\mu\dagger} = (\beta\alpha^k)^\dagger = (\alpha^k)^\dagger\beta^\dagger = \alpha^k\beta = \beta^2\alpha^k\beta = \beta\gamma^k\beta = \gamma^0\gamma^k\gamma^0$

$$\begin{aligned}
 i\gamma^0\partial_0\psi + i\gamma^k\partial_k\psi - m\psi &= 0 \\
 -i\partial_0\psi^\dagger(\gamma^0)^\dagger - i(\partial_k\psi^\dagger)\gamma^{k\dagger} - m\psi^\dagger &= 0 \\
 -i\partial_0\psi^\dagger\gamma^0 - i(\partial_k\psi^\dagger)\gamma^0\gamma^k\gamma^0 - m\psi^\dagger &= 0
 \end{aligned}$$

define  $\bar{\psi} = \psi^\dagger\gamma^0$

$$\begin{aligned}
 -i\partial_0\bar{\psi}\gamma^0 - i\partial_\mu\bar{\psi}\gamma^\mu - m\bar{\psi} &= 0 \\
 i(\partial_\mu\bar{\psi})\gamma^\mu + m\bar{\psi} &= 0
 \end{aligned} \tag{3.4.15}$$

### 3.4.2 Free Particle Solution to Dirac Equation

$$(i\gamma^\mu\partial_\mu - m)\psi = 0$$

multiplying  $\gamma^\nu\partial_\nu$  from left

$$\begin{aligned}
 i\gamma^\mu\gamma^\nu\partial_\mu\partial_\nu\psi - m\gamma^\nu\partial_\nu\psi &= 0 \\
 i\gamma^\mu\gamma^\nu\partial_\mu\partial_\nu\psi + im^2\psi &= 0
 \end{aligned}$$

$$\begin{aligned}
 \gamma^\mu\gamma^\nu &= \frac{1}{2}(\gamma^\mu\gamma^\nu + \gamma^\nu\gamma^\mu) \\
 &= \frac{1}{2}(\gamma^\mu\gamma^\nu - \gamma^\nu\gamma^\mu + 2g^{\mu\nu}) \\
 &= \frac{1}{2}[\gamma^\mu, \gamma^\nu] + g^{\mu\nu}
 \end{aligned}$$

The commutator is anti-symmetric and multiplying to symmetric tensor (derivatives) the term must vanish.

Each component of spinor satisfies the Klein-Gordon equation.

$$(\partial_\mu\partial^\mu + m^2)\psi_i = 0 \tag{3.4.16}$$

Thus we can write the solution as plane-wave

$$\psi = u(\mathbf{p})e^{-ipx} \tag{3.4.17}$$

$u(\mathbf{p})$  is also a 4-component object but as function  $\mathbf{p}$  not  $\mathbf{x}$

Insert in back into Dirac equation, then we have Dirac equation in momentum space

$$(\gamma^\mu p_\mu - m)u(\mathbf{p}) = 0 \quad (3.4.18)$$

Solution by considering Dirac-Pauli representation

$$(\not{p} - m)u(\mathbf{p}) = \begin{pmatrix} (E - m)\mathbb{1} & -\mathbf{p} \cdot \boldsymbol{\sigma} \\ \mathbf{p} \cdot \boldsymbol{\sigma} & -(E + m)\mathbb{1} \end{pmatrix} \begin{pmatrix} u_A \\ u_B \end{pmatrix}$$

$\mathbf{p} = 0$  then  $E = \pm m$

- $E = +m$  Two solutions

$$u_B = \begin{pmatrix} 1 \\ 0 \end{pmatrix}, \begin{pmatrix} 0 \\ 1 \end{pmatrix}$$

- $E = -m$

$$u_A = \begin{pmatrix} 1 \\ 0 \end{pmatrix}, \begin{pmatrix} 0 \\ 1 \end{pmatrix}$$

$\mathbf{p} = 0$

$$\boldsymbol{\sigma} \cdot \mathbf{p} u_B = (E - m)u_A \quad (3.4.19)$$

$$\boldsymbol{\sigma} \cdot \mathbf{p} u_A = (E + m)u_B \quad (3.4.20)$$

- $E > 0$

$$\chi^{(1)} = \begin{pmatrix} 1 \\ 0 \end{pmatrix}$$

$$\chi^{(2)} = \begin{pmatrix} 0 \\ 1 \end{pmatrix}$$

Ansatz  $u_A^{(s)} = \chi^{(s)}$

$$u_B^{(s)} = \frac{\boldsymbol{\sigma} \cdot \mathbf{p}}{E + m} u_A^{(s)} = \frac{\boldsymbol{\sigma} \cdot \mathbf{p}}{E + m} \chi^{(s)}$$

$$u(\mathbf{p}) = N \begin{pmatrix} \chi^{(s)} \\ \frac{\boldsymbol{\sigma} \cdot \mathbf{p}}{E + m} \chi^{(s)} \end{pmatrix} \quad (3.4.21)$$

$E < 0$  and  $u_B^{(s)} = \chi^{(s)}$

$$u(\mathbf{p}) = N \begin{pmatrix} -\frac{\boldsymbol{\sigma} \cdot \mathbf{p}}{E + m} \chi^{(s)} \\ \chi^{(s)} \end{pmatrix} \quad (3.4.22)$$

One can show  $u^{(r)\dagger} u^{(s)} = N^2 \delta^{rs}$

Two fold degeneracy in each case.  $\begin{pmatrix} 1 \\ 0 \end{pmatrix}$  and  $\begin{pmatrix} 0 \\ 1 \end{pmatrix}$  for  $E > 0$  and  $E < 0$ . There must be another observable which commutes with  $H$  and  $\mathbf{p}$ .

$$H = \gamma^i p_i + \gamma^0 m$$

$$\mathbf{S} \cdot \hat{\mathbf{P}} = \frac{1}{2} \begin{pmatrix} \boldsymbol{\sigma} \cdot \mathbf{p} & 0 \\ 0 & \boldsymbol{\sigma} \cdot \hat{\mathbf{p}} \end{pmatrix} \quad (3.4.23)$$

Helicity

$$\frac{1}{2} \boldsymbol{\sigma} \cdot \hat{\mathbf{p}} = \frac{1}{2} \begin{pmatrix} \hat{p}_z & \hat{p}_x + i\hat{p}_y \\ \hat{p}_x - i\hat{p}_y & -\hat{p}_z \end{pmatrix} \quad (3.4.24)$$

$$\det(\boldsymbol{\sigma} \cdot \hat{\mathbf{p}}) = -\hat{p}^2 = -1 \quad (3.4.25)$$

Determinant is the product of two eigenvalues, then

$$\lambda_1 + \lambda_2 = 0$$

$$\lambda_1 \cdot \lambda_2 = 1$$

$$\lambda_{1,2} = \pm 1$$

Antiparticle solution  $u^{(3,4)}(-\mathbf{p})e^{-i(-p)x} = v^{(2,1)}$

$$(\not{p} + m)v(\mathbf{p}) = 0 \quad (3.4.26)$$

Normalization is

$$\int \rho dV = 2E \quad (3.4.27)$$

$$N = \sqrt{E + m} \quad (3.4.28)$$

Completeness relation (spin sums)

$$\sum u^{(s)}(p)\bar{u}^{(s)}(p) = (\not{p} + m) \quad (3.4.29)$$

$$\sum v^{(s)}(p)\bar{v}^{(s)}(p) = (\not{p} - m) \quad (3.4.30)$$

Define a projector projecting out positive and negative energy states

$$\Lambda_{\pm} = \frac{\pm \not{p} + m}{2m} \quad (3.4.31)$$

In Chiral (Weyl) representation

$$(\not{p} - m)u(\mathbf{p}) = \begin{pmatrix} m & p \cdot \boldsymbol{\sigma} \\ \mathbf{k} \cdot \bar{\boldsymbol{\sigma}} & -m \end{pmatrix} \begin{pmatrix} u_L \\ u_R \end{pmatrix} \quad (3.4.32)$$

$\bar{\boldsymbol{\sigma}} = (\sigma^0, -\boldsymbol{\sigma})$  and  $\sigma^0 = \mathbb{1}_2$

Weyl equation

$$-mu_L + p \cdot \boldsymbol{\sigma} u_R = 0 \quad (3.4.33)$$

$$p \cdot \bar{\boldsymbol{\sigma}} u_L - mu_R = 0 \quad (3.4.34)$$

if  $m = 0$ , the equations decouple.