

# Idee di McCarthy sull'IA

- 1958, «Programs with Commonsense»
  - In questo lavoro si riconosce che lo sviluppo di un artefatto intelligente richiede di formalizzare il ragionamento di senso comune
- Il ragionamento di senso comune è non monotono
- Anni 80-90 del secolo scorso: definizione di formalismi logici adatti a rappresentare questo tipo di ragionamento
- Agli inizi degli anni 80 nasce la DLP (Jack Minker)

# Disjunctive Logic Programming: Knowledge Representation Techniques, Systems, and Applications

Nicola Leone

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Department of Mathematics and Computer Science

University of Calabria

[leone@unical.it](mailto:leone@unical.it)

# Topics

- Context and Motivation
- Datalog
- Theoretical Foundations of DLP
- Knowledge Representation and Applications
- Computational Issues
- DLP Systems
- ASP Development tools
- Exercises
- AI implementation: project (mandatory)

## MAIN FOCUS:

- Knowledge Representation and Applications
- Examples, lot of Examples
- Lab (help of Francesco Calimeri and Simona Perri)

## GOAL:

- Getting a Powerful Tool for Solving Problems in a Fast and Declarative way

# Roots – declarative programming

- Algorithm = Logic + Control (Kowalski, 1979)
- First-order logic as a programming language
- Expectations, hopes
  - easy programming, fast prototyping
  - handle on program verification
  - advancement of software engineering

# Disjunctive Logic Programming (DLP)

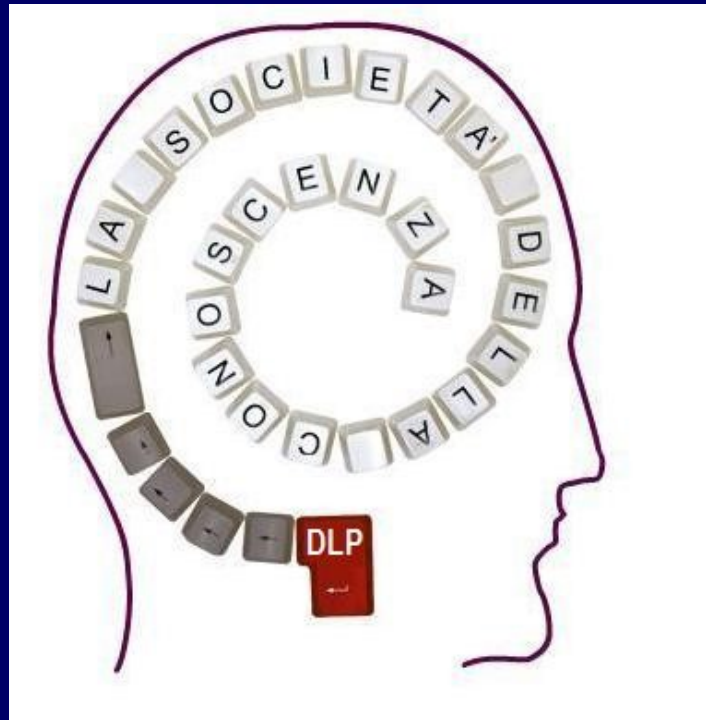
- Simple, yet powerful KR formalism
- Widely used in AI
  - Incomplete Knowledge
- Able to represent complex problems not (polynomially) translatable to SAT
- A declarative problem specification is executable

# DLP Advantages

- Sound theoretical foundation (Model Theory)
- Nice formal properties (clear semantics)
- **Real** Declarativeness
  - Rules Ordering, and Goal Orderings is Immaterial!!!
  - Termination is always guaranteed
- High expressive power ( $\Sigma^P_2$ )

# DLP Revolution

*INTELLIGENT PROBLEM SOLVING*



COMPLEX DATA / *KNOWLEDGE* MANIPULATION



# DLP Revolution

Why is DLP approach “revolutionary” ? :

## DLP Declarative Programming

### vs Traditional Procedural Programming

#### ➤ *Traditional PROGRAMMING (OLD):*

- *Implement an Algorithm to solve the problem*
- *List commands or steps that need to be carried out  
In order to achieve the results*
- *Tell the computer “HOW TO” solve the problem*

#### ➤ **DLP DECLARATIVE PROGRAMMING**

- *Specify the features of the desired solution*
- **NO ALGORITHMS**
- *Simply Provide a “Problem Specification”*



# Drawbacks

- Computing Answer Sets is rather hard ( $\Sigma^P_2$ )
- Very few solid and efficient implementations  
...but this has started to change:
  - DLV, Clasp, ...
  - Cmodels, IDP, ...

# What is DLP Good for? (Applications)

- Artificial Intelligence, Knowledge Representation & Reasoning
- Information Integration, Data cleaning, Bioinformatics, ...
- Employed for developing industrial applications

# Applications

- Planning
- Theory update/revision
- Preferences
- Diagnosis
- Learning
- Description logics and semantic web
- Probabilistic reasoning
- Data integration and question answering
- Multi-agent systems
- Multi-context systems
- Natural language processing/understanding

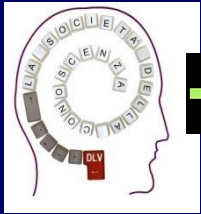
# Applications

- Argumentation
- Product configuration
- Linux package configuration
- Wire routing
- Combinatorial auctions
- Game theory
- Decision support systems
- Logic puzzles
- Bioinformatics
- Phylogenetics
- Haplotype inference

# Applications

- System biology
- Automatic music composition
- Assisted living
- Robotics
- Software engineering
- Boundend model checking
- Verification of cryptographic protocols
- E-tourism
- Team building
- Data Cleaning
- Business Games

# DLP Revolution

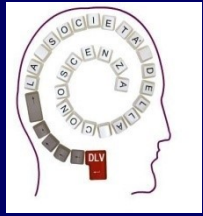


## TEAM BUILDING at Seaport of Gioia Tauro

**The Problem:** producing an optimal allocation of the available personnel at the Seaport of Gioia Tauro

- *A Computationally Complex Problem (NP-HARD)*
- The complexity is due the presence of several constraints
  - the size and the slots occupied by cargo boats,
  - the allocation of each employee (e.g. each employee might be employed in several roles of different responsibility, roles have to be played by the available units by possibly applying a round-robin policy, etc.)
  - The choice of the suitable skills
  - Contractual/ labour union constraints

# DLP Revolution



## TEAM BUILDING at Seaport of Gioia Tauro

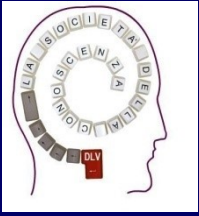
### DLP Solution:

- Informations and constraints of the domain are modeled in DLP.
- The *pure declarative nature* of DLP language allows to define reasoning modules for finding the desired allocation
- In a few seconds, the system can build new teams or complete the allocation automatically when the roles of some key employees are fixed manually.
- The port authority of Gioia Tauro is employing the system with great satisfaction
- The system has been implemented in two months with only one resource
- It is very flexible: can be modified in a few minutes, by addind/editing logic rules





# DLP Revolution



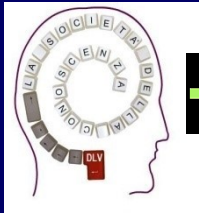
## → Automatic Itinerary Search

The Problem: automatic construction of a complete itinerary from a given place to another in the region Calabria.

### **DLP Solution:**

- Implemented by exploiting an ONTOLOGY that models all the available transportation means, their timetables, and a map with all the streets, bus stops, railways and train stations
- A set of specifically devised DLP programs are used to build the required itineraries.
- **The system allows the selection of some options:**
  - **Departure and Arrival**
  - **Preferred mean**
  - **Preferred transportation company**
  - **Minimization of travel distances**
  - **Travel times**
- The application provides a web portal integrating the whole transportation system of the Italian region Calabria, including both public and private companies.

# DLP Revolution

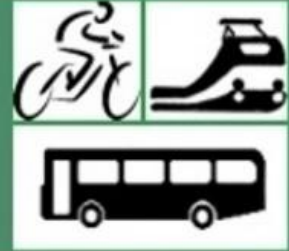


## Automatic Itinerary Search



### Trasporti

### Dipartimento Organizzazione e Personale



#### Calcola il viaggio

##### Partenza

bivio fagnano castello (cs)

##### Destinazione

cosenza - autostazione (cs)

##### Orario

06:00 - 12:00 ▼

| Ora Partenza | Ora Arrivo | Durata | Cambi | Tipo | Dettagli |
|--------------|------------|--------|-------|------|----------|
| 06:05        | 07:40      | 01:35  | 1     |      |          |
| 06:05        | 08:00      | 01:55  | 1     |      |          |
| 06:05        | 08:25      | 02:20  | 1     |      |          |
| 06:05        | 08:40      | 02:35  | 1     |      |          |
| 06:05        | 09:25      | 03:20  | 1     |      |          |
| 06:05        | 09:25      | 03:20  | 1     |      |          |
| 06:05        | 10:00      | 03:55  | 1     |      |          |
| 06:05        | 10:00      | 03:55  | 1     |      |          |
| 06:05        | 10:00      | 03:55  | 1     |      |          |
| 07:30        | 11:59      | 04:29  | 1     |      |          |
| 07:30        | 13:29      | 05:59  | 1     |      |          |
| 06:05        | 14:10      | 08:05  | 1     |      |          |
| 06:05        | 07:49      | 01:44  | 2     |      |          |

# Datalog

# Datalog Syntax: Terms

- Terms are either constants or variables
- Constants can be either symbolic constants (strings starting with some lowercase letter), string constants (quoted strings) or integers.
  - Ex.: pippo, “this is a string constant”, 123, ...
- Variables are denoted by strings starting with some uppercase letter.
  - Ex.: X, Pippo, THIS\_IS\_A\_VARIABLE, White, ...

# Datalog Syntax: Atoms and Literals

- A predicate atom has form  $p(t_1, \dots, t_n)$ , where  $p$  is a predicate name,  $t_1, \dots, t_n$  are terms, and  $n \geq 0$  is the arity of the predicate atom. A predicate atom  $p()$  of arity 0 is likewise represented by its predicate name  $p$  without parentheses.
  - Ex.:  $p(X,Y)$  -  $\text{next}(1,2)$  -  $q$  -  $\text{i\_am\_an\_atom}(1,2,a,B,X)$
- An atom can be negated by means of “not”.
  - Ex:  $\text{not } a$ ,  $\text{not } p(X)$ , ...
- A literal is an atom or a negated atom. In the first case it is said to be positive, while in the second it is said to be negative.

# What is Datalog (I)

Datalog is the *non-disjunctive* fragment of DLP.

A (*general*) Datalog program is a set of rules of the form

$$\text{Rule: } \underbrace{a}_{\text{head}} \text{ :- } \underbrace{b_1, \dots, b_k}_{\text{positive body}}, \underbrace{\text{not } b_{k+1}, \dots, \text{not } b_m}_{\text{negative body}} \quad (1)$$

body

where “a” and each “ $b_i$ ” are atoms.

Given a rule  $r$  of the form (1) above, we denote by:

- $H(r)$ : (head of  $r$ ), the atom “a”
- $B(r)$ : (body of  $r$ ), the set  $b_1, \dots, b_k, \text{not } b_{k+1}, \dots, \text{not } b_m$  of all body literals
- $B^+(r)$ : (positive body), the set  $b_1, \dots, b_k$  of positive body literals
- $B^-(r)$ : (negative body), the set  $\text{not } b_{k+1}, \dots, \text{not } b_m$  of negative body literals

# Positive Datalog

A *positive* (pure) Datalog rule has the following form:

**head :- atom1, atom2, ....., atom,...**

where all the atoms are positive (non-negated).

**Ex.: britishProduct(X) :- product(X,Y,P), company(P,“UK”,SP).**



# Facts

- A ground rule with an empty body is called a **fact**.
- A fact is therefore a rule with a True body (an empty conjunction is true by definition).
- The implication symbol is omitted for facts

parent(eugenio, peppe) :- true.

parent(mario, ciccio) :- true.

equivalently written by

parent(eugenio, peppe).

parent(mario, ciccio).

- Facts must always be true in the program answer!

# What is Datalog (II)

We usually distinguish *EDB* predicates and *IDB* predicates

- EDB: predicates appearing only in bodies or in facts. EDB's can be thought of as stored in a database.
- IDB: predicates defined (also) by rules. IDB's are *intensionally* defined, appear in both bodies and heads.

**Intuitive meaning of a Datalog program:**

- Start with the facts in the EDB and iteratively derive facts for IDBs.

# Datalog as a Query Language

Datalog has been originally conceived as a query language, in order to overcome some expressive limits of SQL and other languages.

**Exercise:** write an SQL query retrieving all the cities reachable by flight from Lamezia Terme, through a direct or undirect connection.

**Input:** A set of direct connections between some cities represented by facts for **connected**(\_,\_).

# Datalog as a Query Language

**Exercise (2):** write an SQL query retrieving all the cities indirectly reachable by flight from Lamezia Terme, with a stop/coincidence in a single city.

**Exercise (3):** write an SQL query retrieving all the cities indirectly reachable by flight from Lamezia Terme, with exactly 2 stops/coincidences in other cities.

# Datalog and RECURSION

(original) **Exercise:** write a query retrieving all the cities reachable by flight from Lamezia Terme, through a direct or undirect connection.

A possible Datalog solution.

**Input:** A set of direct connections between some cities represented by facts for **connected**(\_,\_).

```
reaches(lamezia,B) :- connected(lamezia,B).
```

```
reaches(lamezia,C) :- reaches(lamezia,B), connected(B,C).
```

# Transitive Closure

Suppose we are representing a graph by a relation  $edge(X, Y)$ .

I want to express the query: *Find all nodes reachable from the others.*

$path(X, Y) :- edge(X, Y).$

$path(X, Y) :- path(X, Z), path(Z, Y).$

# Recursion (ancestor)

If we want to define the relation of arbitrary ancestors rather than grandparents, we make use of recursion:

```
ancestor(A,B) :- parent(A,B).  
ancestor(A,C) :- ancestor(A,B), ancestor(B,C).
```

An equivalent representation is

```
ancestor(A,B) :- parent(A,B).  
ancestor(A,C) :- ancestor(A,B), parent(B,C).
```

# Note the Full Declarativeness

The order of rules and of goals is immaterial:

ancestor(A,B) :- parent(A,B).

ancestor(A,C) :- ancestor(A,B), ancestor(B,C).

is fully equivalent to

ancestor(A,C) :- ancestor(A,B), ancestor(B,C).

ancestor(A,B) :- parent(A,B).

and also to

ancestor(A,C) :- ancestor(B,C), ancestor(A,B).

ancestor(A,B) :- parent(A,B).

NO LOOP!



# Datalog Semantics

Later on, we will give the model-theoretic semantics for DLP, and obtain model-theoretic semantics of Datalog as a special case.

We next provide the operational semantics of Datalog, i.e., we specify the semantics by giving a procedural method for its computation.

# Semantics: Interpretations and Models

Given a **Datalog** program  $P$ , an **interpretation**  $I$  for  $P$  is a set of ground atoms.

An atom “ **$a$** ” is true w.r.t.  $I$  if  **$a \in I$** ; it is false otherwise.

A negative literal “**not  $a$** ” is true w.r.t.  $I$  if  **$a \notin I$** ; it is false otherwise.

Thus, an interpretation  $I$  assigns a meaning to every atom: the atoms in  $I$  are true, while all the others are false.

An interpretation  $I$  **is a MODEL** for a ground program  $P$  if, for every rule  $r$  in  $P$ , the  $H(r)$  is True w.r.t.  $I$ , whenever  $B(r)$  is true w.r.t.  $I$

# Example: Interpretations

Given the program

$a \text{ :- } b, c.$

$c \text{ :- } d.$

$d.$

and the interpretation

$I = \{c, d\}$

the atoms  $c$  and  $d$  are true w.r.t.  $I$ , while the atoms  $a$  and  $b$  are false w.r.t.  $I$ .

# Example: Models

Given the program

$r_1:$       $a :- b, c.$

$r_2:$       $c :- d.$

$r_3:$       $d.$

and the interpretations

$I_1 = \{b, c, d\}$      $I_2 = \{a, b, c, d\}$      $I_3 = \{c, d\}$

we have that  $I_2$  and  $I_3$  are models, while  $I_1$  is not, since the body of  $r_1$  is true w.r.t. to  $I_1$  and the head is false w.r.t.  $I_1$ .

# Operational Semantics: ground programs

Given a ground positive Datalog program  $P$  and an interpretation  $I$ , the immediate consequences of  $I$  are the set of all atoms “ $a$ ” such that there exists a rule “ $r$ ” in  $P$  s.t. (1) “ $a$ ” is the head of “ $r$ ”, and (2) the body of “ $r$ ” is true w.r.t.  $I$ .

$$Tp(I) = \{ a \mid \exists r \in P \text{ s.t. } a = H(r) \text{ and } B(r) \subseteq I \}$$

where  $H(r)$  is the head atom, and  $B(r)$  is the set of body literals.

Example:

$a \text{ :- } b. \quad c \text{ :- } d. \quad e \text{ :- } a.$

$I = \{b\} \rightarrow Tp(I) = \{a\}.$

**THEOREM:** On a positive Datalog program  $P$ ,  $Tp$  always has a least fixpoint coinciding with the least model of  $P$ .

Thus: Start with  $I = \{\text{facts in the EDB}\}$  and iteratively derive facts for IDBs, applying  $Tp$  operator.

Repeat until the least fixpoint is reached.

# Operational Semantics: general case (non-ground)

What to do when dealing with a non-ground program?

Start with the EDB predicates, i.e.: “whatever the program dictates”, and with all IDB predicates empty.

Repeatedly examine the bodies of the rules, and see what new IDB facts can be discovered taking into account the EDB *plus* all IDB facts derived until the previous step.

# Operational Semantics: Seminaive Evaluation

Since the EDB never changes, on each round we get new IDB tuples only if we use at least one IDB tuple that was obtained on the previous round.

Saves work; lets us avoid rediscovering *most* known facts (a fact could still be derived in a second way...).

Resuming: a new fact can be inferred by a rule in a given round only if it uses in the body some fact discovered on the previous (last) round. But while evaluating a rule, *remember* to take into account also the rest (EDB + all derived IDB).

# Operational Semantics: Derivation

Relation can be expressed intentionally through logical rules.

```
grandParent(X,Y) :- parent (X,Z), parent(Z,Y).  
parent(a,b).    parent(b,c).
```

**Semantics:** evaluate the rules until the *fixpoint* is reached:

Iteration #0: { **parent(a,b)**, **parent(b,c)** }

Iteration #1: the body of the rule can be instantiated with  
“*parent(a,b)*”, “*parent(b,c)*”  
thus deriving { **grandParent(a,c)** }

Iteration #2: nothing new can be derived (it is easy to see that we  
derived only “grandParent(a,c)”, and no rule having “grandParent”  
in the body is present). Nothing changes → we stop.

**M = { grandParent(a,c), parent(a,b), parent(b,c) }**



# Operational Semantics: Ancestor

- (i)  $\text{ancestor}(X,Y) \text{ :- parent}(X,Z), \text{parent}(Z,Y).$
  - (ii)  $\text{ancestor}(X,Y) \text{ :- parent}(X,Z), \text{ancestor}(Z,Y).$
- $\text{parent}(a,b). \quad \text{parent}(b,c). \quad \text{parent}(c,d).$

Iteration #0:     {  $\text{parent}(a,b), \text{parent}(b,c), \text{parent}(c,d)$  }

Iteration #1:     {  $\text{ancestor}(a,c), \text{ancestor}(b,d)$  } (from rule (i))  
- useless to evaluate rule (ii): no facts for “ancestor” are true.

Iteration #2:     - useless to evaluate rule (i): body contains only “parent” facts,  
and no new were derived at last stage;  
- some “ancestor” facts were just derived, and “ancestor” appears  
in the body of rule (ii).

Thus we derive: {  $\text{ancestor}(a,d)$  } - *Note:* this is derived  
exploiting “ $\text{ancestor}(b,d)$ ” but also “ $\text{parent}(a,b)$ ”, which was  
derived before last stage.

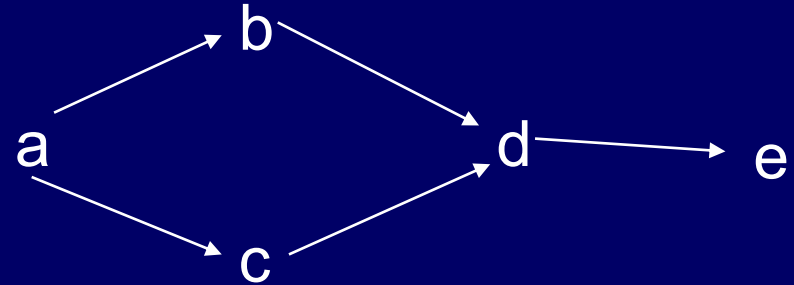
Iteration #3:     nothing changes  $\rightarrow$  we stop.

$M = \{ \text{parent}(a,b), \text{parent}(b,c), \text{parent}(c,d), \text{ancestor}(a,c),$   
 $\text{ancestor}(b,d), \text{ancestor}(a,d) \}$

# Operational Semantics: Transitive Closure

- (i)  $\text{path}(X, Y) \text{ :- } \text{edge}(X, Y).$
- (ii)  $\text{path}(X, Y) \text{ :- } \text{path}(X, Z), \text{path}(Z, Y).$

$\text{edge}(a, b).$   $\text{edge}(a, c).$   $\text{edge}(b, d).$   
 $\text{edge}(c, d).$   $\text{edge}(d, e).$



Iteration #0:    Edge: { (a,b), (a,c), (b,d), (c,d), (d,e) }  
                    Path: { }  
Iteration #1:    Path: { (a,b), (a,c), (b,d), (c,d), (d,e) }  
Iteration #2:    Path: { (a,d), (b,e), (c,e) }  
Iteration #3:    Path: { (a,e) }  
Iteration #4:    Nothing changes → We stop.

*Note: number of iterations depends on the data. Cannot be anticipated by only looking at the rules!*

# Negated Atoms

We may put “*not*” in front of an atom, to negate its meaning.

Of course, programs having at least one rule in which negation appears aren't said to be *positive* anymore.

**Example:** Think of  $\text{arc}(X,Y)$  as arcs in a graph.

$s(X,Y)$  singles out the pairs of nodes  $\langle a,b \rangle$  which are not symmetric, i.e., there is an arc from  $a$  to  $b$ , but no arc from  $b$  to  $a$ .

$s(X,Y) \text{ :- } \text{arc}(X,Y), \text{ not arc}(Y,X).$

# Safety

A rule  $r$  is *safe* if

- each variable in the head, and
- each variable in a negative literal, and
- each variable in a comparison operator ( $<$ ,  $<=$ , etc.)

also appears in a standard positive literal. In other words, all variables must appear at least once in the positive body.

Only safe rules are allowed.

Ex.: The following rules are unsafe:

- ♦  $s(X) :- a.$
- ♦  $s(Y) :- b(Y), \text{not } r(X).$
- ♦  $s(X) :- \text{not } r(X).$
- ♦  $s(Y) :- b(Y), X < Y.$

In each case, an infinity of  $x$ 's can satisfy the rule, even if " $r$ " is a finite relation.

# Problems with Negation and Recursion

Example:

IDB:  $p(X) \text{ :- } q(X), \text{ not } p(X).$

EDB:  $q(1). q(2).$

Iteration #0:  $q = \{(1), (2)\}, p = \{\}$

Iteration #1:  $q = \{(1), (2)\}, p = \{(1), (2)\}$

Iteration #2:  $q = \{(1), (2)\}, p = \{\}$

Iteration #3:  $q = \{(1), (2)\}, p = \{(1), (2)\}$

etc., etc. ...

# Recursion + Negation

“Naïve” evaluation doesn’t work when there are negative literals.

In fact, negation wrapped in a recursion makes no sense in general.

Even when recursion and negation are separate, we can have ambiguity about the correct IDB relations.

# Stratified Negation

Stratification is a constraint usually placed on Datalog with recursion and negation.

It rules out negation wrapped inside recursion.

Gives the sensible IDB relations when negation and recursion are separate.

# Stratified Negation: Definition

To formalize strata use the *labeled dependency graph*:

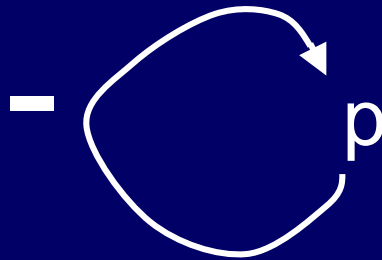
- Nodes = IDB predicates.
- Arc  $b \rightarrow a$  if predicate  $a$  depends on  $b$  (i.e.,  $b$  appears in the body of a rule where  $a$  appears in the head), but label this arc “–” if the occurrence of  $b$  is negated.

A Datalog program is *stratified* if NO CYCLE of the labeled dependency graph contains an arc labeled “–”.



# Example: unstratified program

$p(X) \text{ :- } q(X), \text{ not } p(X).$



Unstratified: there is a cycle with a “-” arc.

# Example: stratified program

EDB = `source(X)`, `target(X)`, `arc(X,Y)`.

Define “targets not reached from any source”:

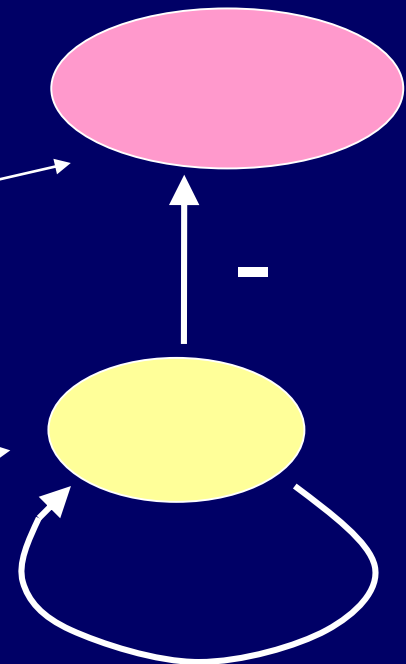
`reach(X) :- source(X).`

`reach(X) :- reach(Y), arc(Y,X).`

`noReach(X) :- target(X), not reach(X).`

Stratum 1:  
some “-” arc  
incoming from  
Stratum 0

Stratum 0:  
No “-” arcs on  
any path in



# Minimal Models

As already said, when there is no negation, a Datalog program has a unique minimal (thus minimum) model (one that does not contain any other model).

But with negation, there can be several minimal models.

# Example: Multiple Models (1)

$a \text{ :- not } b.$

Models:             $\{a\}$      $\{b\}$

Both are minimals. But stratification allows us to single out model  $\{a\}$ , which is indeed the (unique) answer set.

# Subprograms

DEFINITION: Given a strongly-connected component  $C$  of the dependency graph of a given program  $P$ , the subprogram  $\text{sub}P(C)$  is the set of rules with an head predicate belonging to  $C$ .

# Evaluation of Stratified Programs 1

When the Datalog program is stratified, we can evaluate IDB predicates of the lowest-stratum-first. Once evaluated, treat them as EDB for higher strata.

**METHOD:** Evaluate bottom-up the subprograms of the components of the dependency graph.

**NOTE:** The evaluation of a single subprogram is carried out by the (semi)NAÏVE method.

# Evaluation of Stratified Programs 2

*INPUT: EDB  $F$ , IDB  $P$*

- Compute the labeled dependency graph DG of  $P$ ;
- Build a topological ordering  $C_1, \dots, C_n$  of the components of DG;
- $M = F$ ;
- For  $i=1$  To  $n$  Do
  - $M = \text{SemiNaive}( M \cup \text{sub}P(C_i) )$
  - *% compute the least fixpoint of  $T_p$  on  $( M \cup \text{sub}P(C_i) )$*
- OUTPUT  $M$ ;

# Stratified Model: example

$a :- \text{not } b.$

$b :- d.$



Two components:  $\{a\}$  and  $\{b\}$ .

$\text{subP}(\{b\}) = \{b :- d.\}$

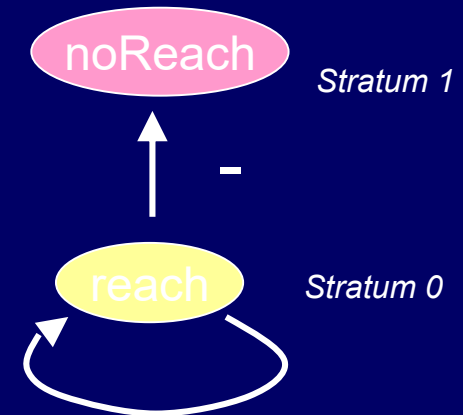
$\text{subP}(\{a\}) = \{a :- \text{not } b.\}$

- $\{b\}$  is at the lowest stratum  $\rightarrow$  start evaluating  $\text{subP}(\{b\})$ .
- The answer set of  $\text{subP}(\{b\})$  is  $\text{AS}(\text{subP}(\{b\})) = \{\}$ .
  - $\rightarrow$  “ $\{\}$ ” is the input for  $\text{subP}(\{a\})$ .
- The answer set of  $\text{subP}(\{a\}) \cup \{\}$  is  $\text{AS}(\text{subP}(\{a\})) = \{a\}$ , which is the (unique) answer set of the original program.



# Example: Stratified Evaluation (2-1)

IDB:  $\text{reach}(X) \text{ :- source}(X).$   
 $\text{reach}(X) \text{ :- reach}(Y), \text{arc}(Y,X).$   
 $\text{noReach}(X) \text{ :- target}(X), \text{not reach}(X).$   
EDB:  $\text{node}(1). \text{node}(2). \text{node}(3). \text{node}(4).$   
 $\text{arc}(1,2), \text{arc}(3,4). \text{arc}(4,3)$   
 $\text{source}(1), \text{target}(2), \text{target}(3).$



We have two components:

$C1 = \{\text{reach}\}$   $C2 = \{\text{noReach}\}$

And the related subprograms are:

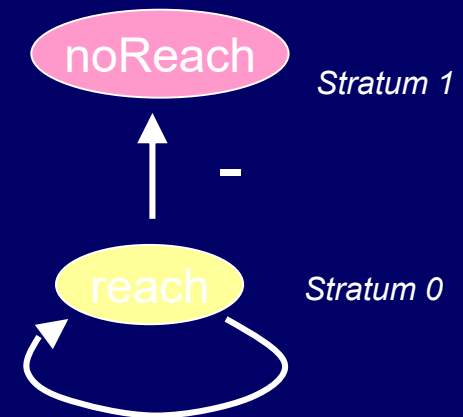
$\text{subP}(\{\text{reach}\}) = \begin{cases} \text{reach}(X) \text{ :- source}(X). \\ \text{reach}(X) \text{ :- reach}(Y), \text{arc}(Y,X). \end{cases}$

$\text{subP}(\{\text{noReach}\}) = \begin{cases} \text{noReach}(X) \text{ :- target}(X), \text{not reach}(X). \end{cases}$

$C1$  is at a lower stratum w.r.t.  $C2$ , thus the subprogram of  $C1$  has to be computed first.

# Example: Stratified Evaluation (2-2)

IDB:  $\text{reach}(X) \text{ :- source}(X).$   
 $\text{reach}(X) \text{ :- reach}(Y), \text{arc}(Y,X).$   
 $\text{noReach}(X) \text{ :- target}(X), \text{not reach}(X).$   
EDB:  $\text{node}(1).$   $\text{node}(2).$   $\text{node}(3).$   $\text{node}(4).$   
 $\text{arc}(1,2), \text{arc}(3,4).$   $\text{arc}(4,3)$   
 $\text{source}(1), \text{target}(2), \text{target}(3).$



## Answer Set of $\text{subP}(C1) \cup \text{EDB}$

Iteration #0: facts = {  $\text{source}(1), \text{target}(2), \text{target}(3), \dots$  }

Iteration #1: {  $\text{reach}(1)$  }

Iteration #2: {  $\text{reach}(2)$  }

Iteration #3: { }  $\rightarrow$  we stop.

$\longrightarrow$   $M(\text{subP}(C1)) =$   
{  $\text{reach}(1), \text{reach}(2) + \text{facts}$  }

## Answer Set of $\text{subP}(C2) \cup M(\text{subP}(C1))$

Iteration #0:  $M(\text{subP}(C1)) =$  {  $\text{reach}(1), \text{reach}(2) + \text{facts}$  }

Iteration #1: {  $\text{noReach}(3)$  }

Iteration #2: { }  $\rightarrow$  we stop.

$\longrightarrow$   $M(\text{subP}(C2)) =$   
{  $\text{noReach}(3), \text{reach}(1), \text{reach}(2) + \text{facts}$  }

Evaluating through strata  $\rightarrow$

Answer Set: {  $\text{reach}(1), \text{reach}(2), \text{noReach}(3), + \text{facts}$  }.

# Disjunctive logic programming

## Disjunctive Datalog

## Answer Set Programming

# **Foundations of DLP: Syntax and Semantics**

a bit boring, but needed....

getFunTomorrow :- resistToday.

# (Extended) Disjunctive Logic Programming

Datalog extended with

- full negation (even unstratified)
- disjunction
- integrity constraints
- weak constraints
- aggregate functions
- function symbols, sets, and lists

# Disjunctive Logic Programming

## SYNTAX

Rule:  $a_1 \mid \dots \mid a_n :- b_1, \dots, b_k, \text{not } b_{k+1}, \dots, \text{not } b_m$

Constraints:  $:- b_1, \dots, b_k, \text{not } b_{k+1}, \dots, \text{not } b_m$

Program: A finite Set ***P*** of rules and constraints.

- $a_i$   $b_i$  are atoms
- variables are allowed in atoms' arguments

$\text{mother}(P,S) \mid \text{father}(P,S) :- \text{parent}(P,S).$

# Example Disjunction

In a blood group knowledge base one may express that the genotype of a parent  $P$  of a person  $C$  is either  $T1$  or  $T2$ , if  $C$  is heterozygot with types  $T1$  and  $T2$ :

$\text{genotype}(P, T1) \mid \text{genotype}(P, T2) :-$   
 $\text{parent}(P, C), \text{heterozygot}(C, T1, T2).$

In general, programs which contain disjunction can have more than one model.

# Arithmetic Built-ins

## *Fibonacci*

fib0(1,1).

fib0(2,1).

fib(N,X) :- fib0(N,X).

fib(N,X) :- fib(N1,Y1), fib(N2,Y2),  
+(N2,2,N), +(N1,1,N), +(Y1,Y2,X).

## *Unbound builtins*

less(X,Y) :- #int(X), #int(Y), X < Y.

num(X) :- \*(X,1,X), #int(X).

Note that an upper bound for integers has to be specified.



# Informal Semantics

**Rule:**  $a_1 \mid \dots \mid a_n \text{ :- } b_1, \dots, b_k, \text{ not } b_{k+1}, \dots, \text{ not } b_m$

If all the  $b_1 \dots b_k$  are true and all the  $b_{k+1} \dots b_m$  are false, then at least one among  $a_1 \dots a_n$  is true.

$\text{isInterestedinDLP(john)} \mid \text{isCurious(john)} \text{ :- } \text{attendsDLP(john)}.$   
 $\text{attendsDLP(john)}.$

Two (minimal) models, encoding two plausible scenarios:

M1:  $\{\text{attendsDLP(john)}, \text{isInterestedinDLP(john)}\}$

M2:  $\{\text{attendsDLP(john)}, \text{isCurious(john)}\}$

# Disjunction

is *minimal*

$$a \mid b \mid c \Rightarrow \{a\}, \{b\}, \{c\}$$

actually *subset minimal*

$$\begin{array}{l} a \mid b. \\ a \mid c. \end{array} \Rightarrow \{a\}, \{b,c\}$$

but *not exclusive*

$$\begin{array}{l} a \mid b. \\ a \mid c. \\ b \mid c. \end{array} \Rightarrow \{a,b\}, \{a,c\}, \{b,c\}$$

# Informal Semantics

**Constraints:**  $\text{:- } b_1, \dots, b_k, \text{ not } b_{k+1}, \dots, \text{ not } b_m$

Discard interpretations which verify the condition

$\text{:- hatesDLP(john), isInterestedinDLP(john).}$

$\text{hatesDLP(john).}$

$\text{isInterestedinDLP(john) | isCurious(john) :- attendsDLP(john).}$

$\text{attendsDLP(john).}$

first scenario ( {attendsDLP(john), isInterested(john) } ) is discarded.

only one plausible scenario:

**M:** { attendsDLP(john), hatesDLP(john), isCurious(john) }

# Integrity Constraints

When encoding a problem, its solutions are given by the models of the resulting program. Rules usually construct these models. *Integrity constraints* can be used to discard models.

$\text{:- } L_1, \dots, L_n.$

means: discard models in which  $L_1, \dots, L_n$  are simultaneously true.

$a \mid b.$

$a \mid c.$        $\Rightarrow \{a,b\}, \{a,c\}, \{b,c\}$

$b \mid c.$

$\text{:- } a.$        $\Rightarrow \{b, c\}$

# (Formal) Semantics: Program Instantiation

**Herbrand Universe**,  $UP$  = Set of constants occurring in program  $P$

**Herbrand Base**,  $BP$  = Set of ground atoms constructible from  $UP$  and  $Pred$ .

**Ground instance of a Rule  $R$** : Replace each variable in  $R$  by a constant in  $UP$

**Instantiation ground( $P$ ) of a program  $P$** : Set of the ground instances of its rules.

Example:  $isInterestedinDLP(X) \mid isCurious(X) :- attendsDLP(X).$

$attendsDLP(john).$

$attendsDLP(mary).$

$UP = \{ john, mary \}$

$isInterestedinDLP(john) \mid isCurious(john) :- attendsDLP(john).$

$isInterestedinDLP(mary) \mid isCurious(mary) :- attendsDLP(mary).$

$attendsDLP(john).$

$attendsDLP(mary).$

A program with variables is just a shorthand for its ground instantiation!

# Interpretations and Models

Interpretation  $I$  of a program  $P$ :

set of ground atoms of  $P$ .

Atom  $q$  is true in  $I$  if  $q$  belongs to  $I$ ; otherwise it is false.

Literal  $\text{not } q$  is true in  $I$  if  $q$  is false in  $I$ ; otherwise it is false.

Interpretation  $I$  is a **MODEL** for a ground program  $P$  if, for every  $R$  in  $P$ , the head of  $R$  is True in  $I$ , whenever the body of  $R$  is true in  $I$

# Semantics for Positive Programs

We assume now that Programs are ground (just replace  $P$  by  $\text{ground}(P)$ ) and Positive (not - free)

$I$  is an **answer set** for a positive program  $P$  if it is a minimal model (w.r.t. set inclusion) for  $P$

-> Bodies of constraint must be false.

# Example (Answer set for a positive program)

isInterestedinDLP(john) | isCurious(john) :- attendsDLP(john).  
isInterestedinDLP(mary) | isCurious(mary) :- attendsDLP(mary).  
attendsDLP(john).  
attendsDLP(mary).

I1 = { attendsDLP(john) } (not a model)

I2 = { isCurious(john), attendsDLP(john), isInterestedinDLP(mary),  
isCurious(mary), attendsDLP(mary) } (model, non minimal)

I3 = { isCurious(john), attendsDLP(john), isInterestedinDLP(mary),  
attendsDLP(mary) } (answer set)

I4 = { isInterestedinDLP(john), attendsDLP(john), isInterestedinDLP(mary),  
attendsDLP(mary) } (answer set)

I5 = { isCurious(john), attendsDLP(john), isCurious(mary), attendsDLP(mary) }  
(answer set)

I6 = { isInterestedinDLP(john), attendsDLP(john), isCurious(mary),  
attendsDLP(mary) } (answer set)



# Example (Answer set for a positive program)

Let us ADD:

`:- hatesDLP(john), isInterestedinDLP(john).`

`hatesDLP(john).`

( same interpretations as before + `hatesDLP(john)` )

I1 = { `attendsDLP(john)`, `hatesDLP(john)` } (not a model)

I2 = { `isCurious(john)`, `attendsDLP(john)`, `isInterestedinDLP(mary)`, `isCurious(mary)`,  
`attendsDLP(mary)`, `hatesDLP(john)` } (model, non minimal)

I3 = { `isCurious(john)`, `attendsDLP(john)`, `isInterestedinDLP(mary)`, `attendsDLP(mary)` ,  
`hatesDLP(john)` } (answer set)

I4= { `isInterestedinDLP(john)`, `attendsDLP(john)`, `isInterestedinDLP(mary)`, `attendsDLP(mary)`,  
`hatesDLP(john)` } (not a model)!!!

I5 = { `isCurious(john)`, `attendsDLP(john)`, `isCurious(mary)`, `attendsDLP(mary)`,  
`hatesDLP(john)` } (answer set)

I6= { `isInterestedinDLP(john)`, `attendsDLP(john)`, `isCurious(mary)`, `attendsDLP(mary)`,  
`hatesDLP(john)` } (not a model)!!!

# Semantics for Programs with Negation

Consider general programs (with NOT)

The **reduct** of a program  $P$  w.r.t. an interpretation  $I$  is the positive program  $P^I$ , obtained from  $P$  by

- deleting all rules with a negative literal false in  $I$ ;
- deleting the negative literals from the bodies of the remaining rules.

An **answer set** of a program  $P$  is an interpretation  $I$  such that  $I$  is an answer set of  $P^I$ .

Answer Sets are also called **Stable Models**.

## Example (Answer set for a general program)

P:     a :- d, not b.  
       b :- not d.  
       d.

$I = \{ a, d \}$

$P^I$ :   a :- d.  
       d.

$I$  is an answer set of  $P^I$  and therefore it is an answer set of  $P$ .

# Answer sets and minimality

An answer set is always a minimal model (also with negation).

In presence of negation minimal models are not necessarily answer sets

P:  $a \text{ :- not } b$ .

Minimal Models:  $I1 = \{ a \}$   
 $I2 = \{ b \}$

Reducts:

$P^{I1} : a.$

$P^{I2} : \{ \}$

$I1$  is an answer set of  $P^{I1}$  while  $I2$  is not an answer set of  $P^{I2}$  (it is not minimal, since empty set is a model of  $P^{I2}$ ).

$P^{I1}$  is the only answer set of P.

# Some Useful Theorems

# Datalog Semantics: a special case

The semantics of Datalog is the same as for DLP (Datalog programs are DLP programs).

Since Datalog programs have a simpler form, we can have for Datalog the following characterization:

- *the answer set of a positive datalog program is the least model of  $P$*   
*(i.e. the unique minimal model of  $P$ ).*

*Why does this work?*

**THEOREM:** A positive Datalog program has always a (unique) minimal model.

**PROOF:** The intersection of two models is guaranteed to be still a model; thus, only one minimal model exists.

# Theorem 1

Let  $P$  be a logic program.

If the empty set ' $\{\}$ ' is an answer set for  $P$ , it is unique.

Example:

The logic program

$a \text{ :- } b.$

has  $\{\}$  as unique answer set.

## Theorem 2

Let  $P$  be a logic program, and  $facts(P)$  be the set containing all and only the facts in  $P$ .

If  $S$  is an answer set for  $P$ , then  $facts(P) \subseteq S$ .

Example:

The logic program

$a \mid b \text{ :- } c.$

$c.$

$d.$

has  $\{a,c,d\}$  and  $\{b,c,d\}$  as answer sets, and both contain the facts  $\{c,d\}$ .



# Theorem 3

Let  $P$  be a logic program, and  $facts(P)$  the set containing all and only the facts in  $P$ .

If  $facts(P)$  is an answer set, it is unique.

Example:

The logic program  $P$

$a \mid b \text{ :- } c.$

$d.$

$e.$

has  $\{d, e\} = facts(P)$  as unique answer set.

# Definition

Let  $P$  be a logic program, and  $I$  an interpretation for  $P$ . An atom  $a \in I$  is *supported* in  $I$  if there exists a rule  $r \in P$  such that  $body(r)$  is true w.r.t.  $I$  and  $head(r) \cap I = a$  (i.e.,  $a$  is the only true atom appearing in the head of  $r$ ).

## Example:

$P$ :       $d.$        $c:-d.$      $k :- \text{not } d.$        $a \mid b :- c.$        $e \mid c.$

$I = \{ d, c, k, a, e \}$

$d, c,$  and  $a$       are supported w.r.t.  $I$

$k$  and  $e$       are not supported w.r.t.  $I$

# Theorem 4

Let  $P$  be a logic program, and  $I$  a model for  $P$ .  
 $I$  is an answer set for  $P$  only if for each atom  $a \in I$ ,  $a$  is supported w.r.t.  $I$ .

Example:

For the logic program  $P$

$a \mid b \text{ :- } c.$

$d.$

$e.$

$I = \{a, d, e\}$  is a model, but  $a$  is not supported  $\rightarrow I$  is not an answer set.

# Question

Is supportedness sufficient to guarantee that a model is an answer set?

That is, is every supported model an answer set?

The answer is NO!

Example:

P:      $a \text{ :- } a.$

$I = \{a\}$  is a supported model

$I$  is not an answer set.  $\{\}$  is a model,  $I$  is not minimal.

Supportedness is not sufficient only in presence of CYCLES

# Positive Dependency Graph

*Positive dependency graph:*

- Nodes = IDB predicates.
- Arc  $b \rightarrow a$  if predicate  $a$  depends on predicate  $b$  *positively* (i.e.,  $b$  appears in the positive body of a rule featuring  $a$  in the head).

Note that negative literals do not produce any arc.

# Example (DG) <sup>(1)</sup>

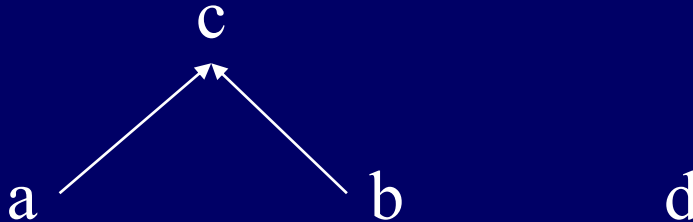
For the logic program  $P_1$  :

$a \mid b.$

$c \text{ :- } a.$

$c \text{ :- } b, \text{ not } d.$

the dependency graph is the following:

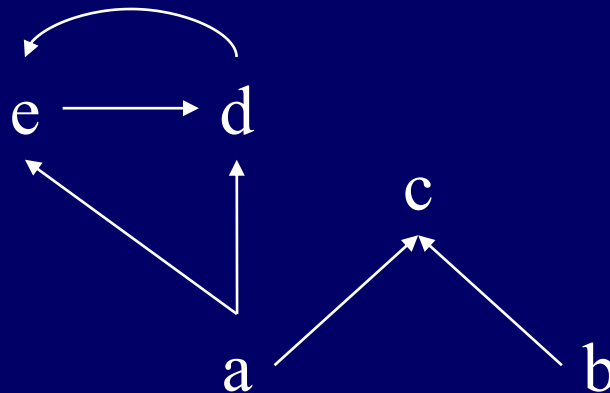


# Example (DG) <sub>(2)</sub>

For the logic program  $P_2$  :

|                           |                    |                                    |
|---------------------------|--------------------|------------------------------------|
| $a \mid b.$               | $c \text{ :- } a.$ | $c \text{ :- } b.$                 |
| $d \mid e \text{ :- } a.$ | $d \text{ :- } e.$ | $e \text{ :- } d, \text{ not } b.$ |

the dependency graph is the following:



# Definition (acyclic program)

Let  $P$  be a logic program. The program is acyclic iff its (positive) Dependency Graph is acyclic; it is cyclic otherwise.

## Example

Consider the programs  $P_1$  and  $P_2$  from the previous examples.

$P_1$  is acyclic, while  $P_2$  is not.



# Theorem 5

Let  $P$  be an *acyclic* (i.e., non-recursive) logic program, and  $I$  a model for  $P$ .  $I$  is an answer set for  $P$  *if and only if* for each atom  $a \in I$ ,  $a$  is supported w.r.t.  $I$ .

Example:

For the logic program  $P$

$a \text{ :- } b.$

$b \text{ :- } a.$

$I = \{a, b\}$  is a model, and both atoms are supported, but since  $P$  is not acyclic this is not sufficient to guarantee that  $I$  is an answer set. Indeed, it is easy to see that  $I$  is not an answer set.

For the reader: Which are the answer sets for  $P$ ?

## Part II

# A (Declarative) Methodology for Programming in DLP

# DLP – How To Program?

**Idea:** encode a search problem  $P$  by a DLP program  $LP$ .  
The answer sets of  $LP$  correspond one-to-one to the solutions of  $P$ .

## Rudiments of methodology

- Generate-and-test programming:
  - Generate (possible structures)
  - Weed out (unwanted ones)  
by adding constraints (“Killing” clauses)
- Separate data from program

# **“Guess and Check” Programming**

## **Answer Set Programming (ASP)**

A disjunctive rule “guesses” a solution candidate.

Integrity constraints check its admissibility.

**From another perspective:**

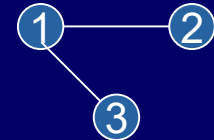
- The disjunctive rule defines the search space.
- Integrity constraints prune illegal branches.

# Vertex Cover

Given a graph, select a subset  $S$  of the vertices so that all edges are covered (i.e., every edge has at least one of the two vertices in  $S$ )

Example: node(1). node(2). node(3). edge(1,2). edge(1,3).

**Guess**:  $\text{inS}(X) \vee \text{outS}(X) \text{ :- node}(X)$ .



Eight answer sets, encoding the eight plausible scenarios:

$\text{inS} = \{1,2,3\}$ ,  $\text{outS} = \emptyset$

$\text{AS1} = \{\text{inS}(1), \text{inS}(2), \text{inS}(3)\}$

$\text{inS} = \{1,2\}$ ,  $\text{outS} = \{3\}$

$\text{AS2} = \{\text{inS}(1), \text{inS}(2), \text{outS}(3)\}$

...

...

$\text{inS} = \{1\}$ ,  $\text{outS} = \{2,3\}$

$\text{AS7} = \{\text{inS}(1), \text{outS}(2), \text{outS}(3)\}$

$\text{inS} = \emptyset$ ,  $\text{outS} = \{1,2,3\}$

$\text{AS8} = \{\text{outS}(1), \text{outS}(2), \text{outS}(3)\}$

**Guess**:  $\text{:- edge}(X,Y), \text{not inS}(X), \text{not inS}(Y)$ .

Discards:

$\{\text{outS}(1), \text{outS}(2), \text{outS}(3)\}$

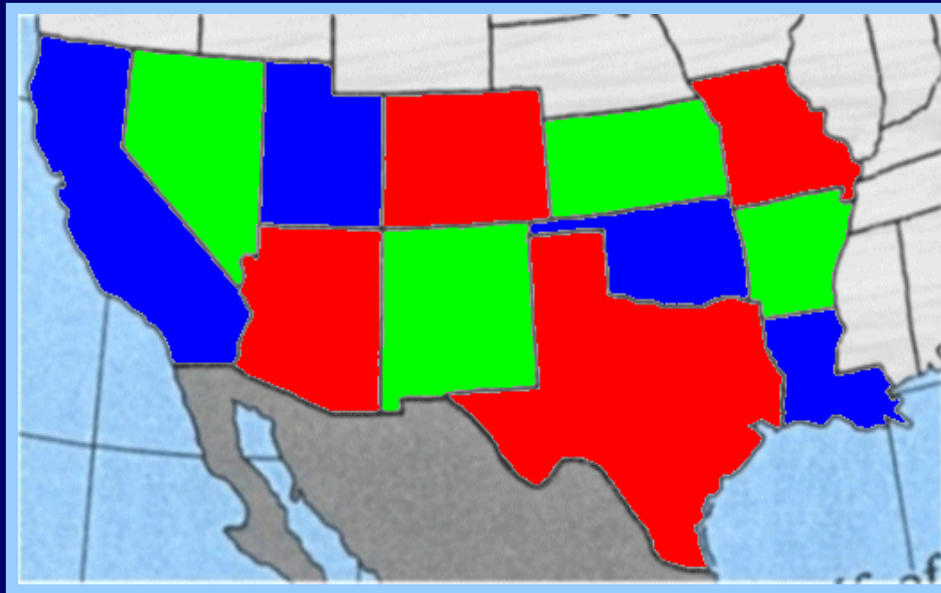
$\{\text{inS}(2), \text{outS}(1), \text{outS}(3)\}$ ,

$\{\text{inS}(3), \text{outS}(1), \text{outS}(2)\}$

# 3-colorability

**Input:** a Map represented by state(\_) and border(\_,\_).

**Problem:** assign one color out of 3 colors to each state such that two neighbouring states have always different colors.



**Solution:**

```
col(X,red) | col(X,green) | col(X,blue) :-state(X).           } Guess
:- border(X,Y), col(X,C), col(Y,C).                          } Check
```

# 3-colorability

```
col(X,red) | col(X,green) | col(X,blue) :-state(X).  
:- border(X,Y), col(X,C), col(Y,C).
```

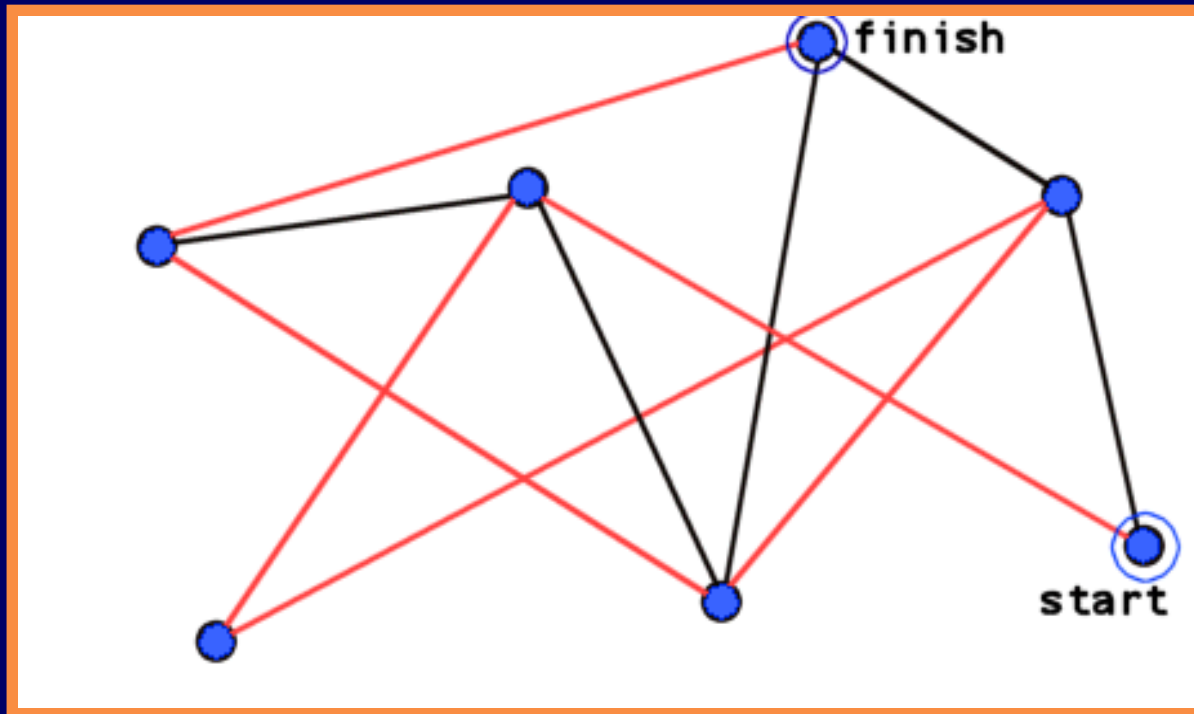
```
state(a). state(b). state(c). state(d).
```

```
border(a,b). border(b,a). border(a,c). border(c,a). border(c,d). border(d,c).
```

# Hamiltonian Path (HP) (1)

**Input:** A directed graph represented by `node(_)` and `arc(_,_)`, and a starting node `start(_)`.

**Problem:** Find a path beginning at the starting node which contains all nodes of the graph.





# Hamiltonian Path (HP) (2)

inPath(X,Y) | outPath(X,Y) :- arc(X,Y).

**Guess**

:- inPath(X,Y), inPath(X,Y1), Y <> Y1.

:- inPath(X,Y), inPath(X1,Y), X <> X1.

**Check**

:- node(X), not reached(X).

:- inPath(X,Y), start(Y). % a path, not a cycle

reached(X) :- start(X).

**Auxiliary Predicate**

reached(X) :- reached(Y), inPath(Y,X).

# Strategic Companies<sub>(1)</sub>

**Input:** There are various products, each one is produced by several companies.

**Problem:** We now have to sell some companies.  
What are the minimal sets of *strategic companies*, such that all products can still be produced?  
A company also belong to the set, if all its controlling companies belong to it.

**strategic(Y) | strategic(Z) :- produced\_by(X, Y, Z).      Guess**

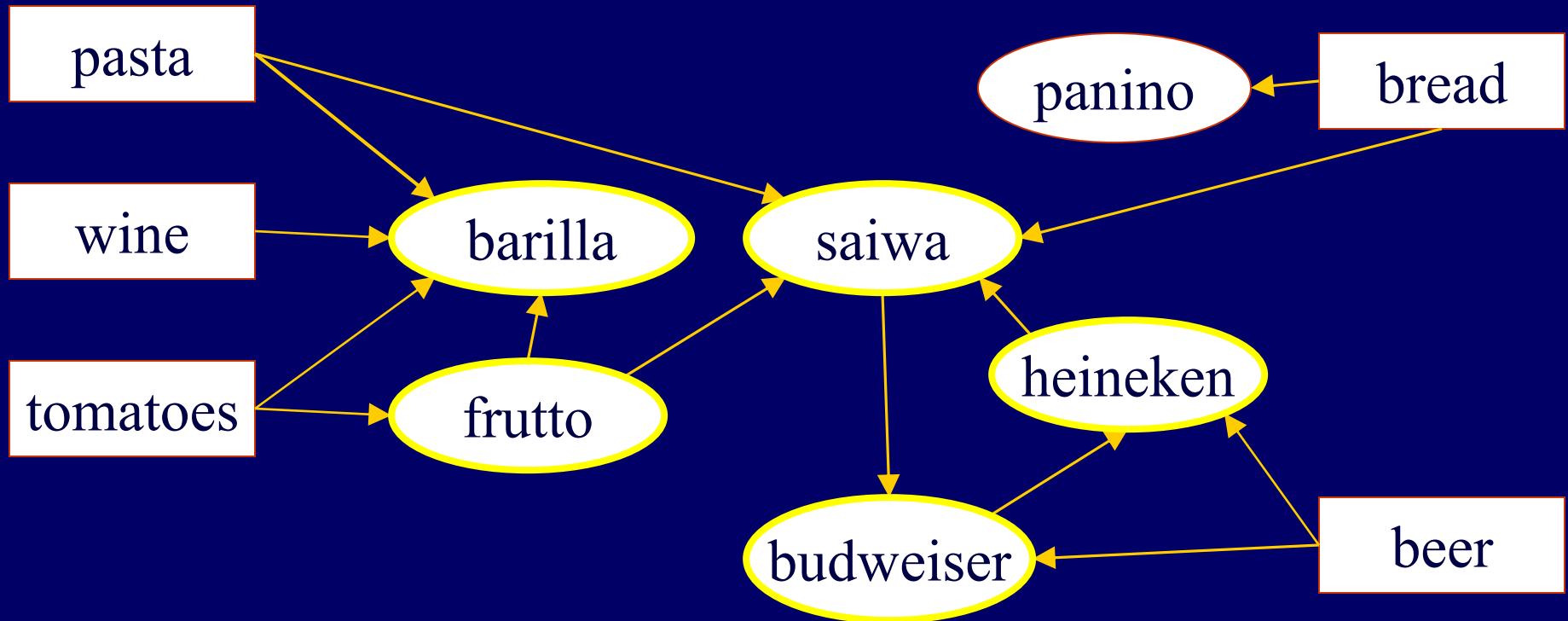
```

strategic(W) :- controlled_by(W, X, Y, Z),
                 strategic(X), strategic(Y), strategic(Z).

```

**Constraints**

# Strategic Companies - Example



## Complexity Remark

The complexity is in **NP**, if the checking part does not “interfere” with the guess.

“Interference” is needed to represent  $\Sigma_2^P$  problems.

# Testing and Debugging with GC

Develop DLP programs incrementally:

- Design the Data Model
  - The way the data are represented (i.e., design predicates and facts representing the input)
- Design the Guess module G first
  - test that the answer sets of G (+the input facts) correctly define the search space
- Then the Check module C
  - verify that the answer sets of  $G \cup C$  are the admissible problem solutions

Use small but meaningful problem test-instances!

# Satisfiability

- Boolean, or propositional, satisfiability (abbreviated SAT) is the problem of determining if there exists an interpretation that satisfies a given Boolean formula.
- Conjunctive Normal form (CNF): a formula is a conjunction of clauses, where a clause is a disjunction of boolean variables.

$$\Phi = \bigwedge_{i=1}^n (d_{i1} \vee \dots \vee d_{ic_i})$$

- 3-SAT: only 3-CNF formulas (i.e. exactly three variables for each clause)

$$\Phi = \bigwedge_{i=1}^n (d_{i1} \vee d_{i2} \vee d_{i3})$$

- **Problem:** Find satisfying truth assignments of  $\Phi$  (if any).

# SAT: example

$$(d_1 \vee -d_2 \vee -d_3) \wedge (-d_1 \vee d_2 \vee d_3)$$

- Satisfying assignments:
  - $\{d_1, d_2, d_3\}$
  - $\{d_1, -d_2, d_3\}$
  - $\{d_1, d_2, -d_3\}$
  - $\{-d_1, -d_2, d_3\}$
  - $\{-d_1, -d_2, -d_3\}$
  - $\{-d_1, d_2, -d_3\}$
- Non Satisfying assignments:
  - $\{d_1, -d_2, -d_3\}$
  - $\{-d_1, d_2, d_3\}$

# Exercise

Design a uniform (non-ground) encoding for SAT.

Input: a fact for each propositional clause

Example:  $(d_1 \vee -d_2 \vee -d_3) \wedge (-d_1 \vee d_2 \vee d_3)$   
→ `clause(d1,nd2, nd3).`      `clause(nd1,d2 ,nd3).`

Design a program such that the answer sets of the program are in a one-to-one correspondence with the satisfying assignments of the input formula.



# SAT: ASP encoding

Add a guessing rule for each propositional variable

$$\forall d_i \rightarrow d_i \mid \text{nd}_i.$$

Add a constraint for each clause, complementing the variables

$$\forall d_{i1} \vee d_{i2} \vee d_{i3} \rightarrow \text{:- } L_{i1}, L_{i2}, L_{i3}$$

where  $L_{ij} = a$  if  $d_{ij} = -a$ , and  $L_{ij} = \text{not } a$  if  $d_{ij} = a$

# Example: SAT $\rightarrow$ ASP

Formula

$$(d_1 \vee \neg d_2 \vee \neg d_3) \wedge (\neg d_1 \vee d_2 \vee d_3)$$

ASP encoding:

- $d_1 \mid \neg d_1.$          $\text{:- not } d_1, d_2, d_3.$
- $d_2 \mid \neg d_2.$          $\text{:- } d_1, \text{ not } d_2, \text{ not } d_3.$
- $d_3 \mid \neg d_3.$

Answer Sets

|                                 |                                      |
|---------------------------------|--------------------------------------|
| $\{ d_1, d_2, \neg d_3 \}$      | $\{ \neg d_1, \neg d_2, \neg d_3 \}$ |
| $\{ \neg d_1, d_2, \neg d_3 \}$ | $\{ \neg d_1, \neg d_2, d_3 \}$      |
| $\{ d_1, \neg d_2, d_3 \}$      | $\{ d_1, d_2, d_3 \}$                |

# Planning - Blocksworld

- **Objects:** Some blocks and a table.
- **Fluent:**  $\text{on}(B, L, T)$ : Exactly one block may be on another block, and arbitrary many block may be on the table. Every block must be on something.
- **Action:**  $\text{move}(B, L, T)$ : A block is moved from one location to another one. The moved block must be clear and the goal location must be clear, if it is a block.
- **Time:** There is a finite number of timeslots. An action is carried out between two timeslots. Only one action can be carried out at a time.

# Blockworld<sub>(1)</sub>

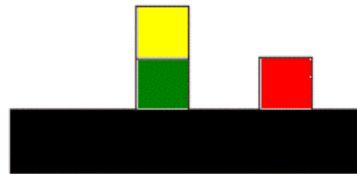
**Initial Situation**



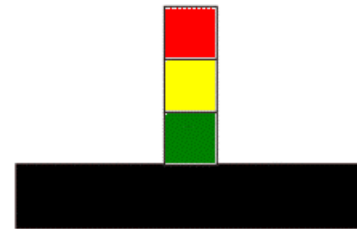
1.



2.



3.



**Final Situation**

# Blocksworld<sub>(2)</sub>

Describe the move action and its effects:

```
move(B,L,T) | no_move(B,L,T) :- block(B), location(L), time(T).  
on(B,L,T1) :- move(B, L, T), next(T,T1).
```

Enforce the preconditions for the move:

```
:- move(B,L,T), on(B1,B,T).  
:- block(B1), move(B,B1,T), on(B2,B1,T).  
:- move(B,L,T), lasttime(T).
```

No concurrency:

```
:- move(B,L,T), move(B1,L1,T), B<>B1.  
:- move(B,L,T), move(B1,L1,T), L<>L1.
```

# Blocksworld<sub>(3)</sub>

Inertia:

`on(B,L,T1) :- on(B,L,T), next(T,T1), not no_on(B,L,T1).`

`no_on(B,L,T1) :- no_on(B,L,T), next(T,T1), not on(B,L,T1).`

A block cannot be and not be on a location at the same time:

`:- on(B,L,T), no_on(B,L,T).`

A block cannot be at two locations or on itself:

`:- on(B,L1,T), on(B,L,T), L<>L1.`

`:- on(B,B,T).`

Specification of time and objects:

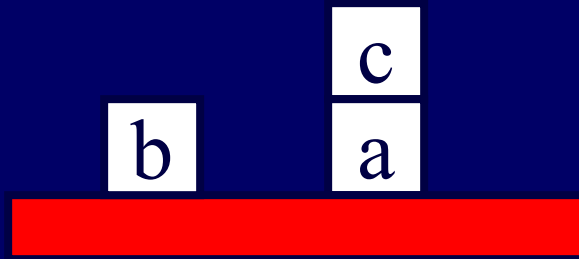
`time(T) :- #int(T). lasttime(#maxint).`

`next(T,T1) :- #succ(T,T1).`

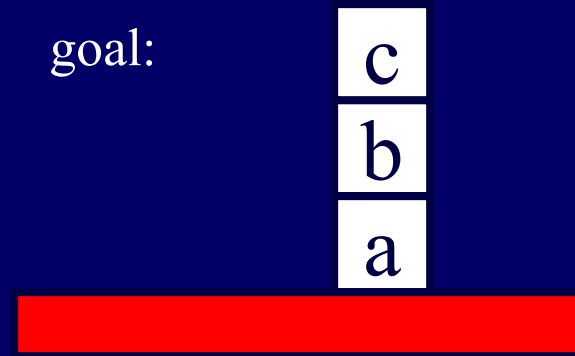
`location(table). location(L) :- block(L).`

# Blocksworld Instance - Sussman anomaly

initial:



goal:



Define the involved blocks and the initial and goal situations:  
block(a). block(b). block(c).

on(a,table,0). on(b,table,0). on(c,a,0).

on(a,table,#maxint), on(b,a,#maxint), on(c,b,#maxint) ?

The number of available timeslots is given when invoking DLV:

```
$ dlv blocksworld sussman -N=3 -pfilter=move
```

```
{move(c,table,0), move(b,a,1), move(c,b,2)}
```

# **Some programming tricks (efficiency)**

---



# Example: Clique

Given an undirected Graph compute a clique: a subset of the nodes such that no pair of nodes in the clique are not connected by an arc.

Input: `node(_)` and `edge(_; _)` (symmetric).

Natural Encoding:

`inClique(X) | outClique(X) :- node(X).`

`:- inClique(X) | inClique(Y), not edge(X, Y),  $X \neq Y$ .`

Optimized Encoding:

`inClique(X) | outClique(X) :- node(X).`

`:- inClique(X), inClique(Y), not edge(X, Y),  $X < Y$ .`

Avoid redundant constraints

# Example: 3-col – encoding 1

Encoding 1:

**R1**  $\text{col}(X, \text{red}) \mid \text{col}(X, \text{blue}) \mid \text{col}(X, \text{green}) \text{ :- node}(X).$

**R2**  $\text{:- edge}(X, Y), \text{col}(X, C), \text{col}(Y, C).$

Keep the guess as small as possible

Example instance:

$\text{node}(1). \text{node}(2). \text{node}(3).$

$\text{edge}(1,2). \text{edge}(1,3).$

Grounding produces (*in addition to facts*) :

$\text{col}(1, \text{red}) \vee \text{col}(1, \text{blue}) \vee \text{col}(1, \text{green}).$

$\text{col}(2, \text{red}) \vee \text{col}(2, \text{blue}) \vee \text{col}(2, \text{green}).$

$\text{col}(3, \text{red}) \vee \text{col}(3, \text{blue}) \vee \text{col}(3, \text{green}).$

$\text{:- col}(1, \text{red}), \text{col}(2, \text{red}). \quad \text{:- col}(1, \text{green}), \text{col}(2, \text{green}).$

$\text{:- col}(1, \text{blue}), \text{col}(2, \text{blue}). \quad \text{:- col}(1, \text{red}), \text{col}(3, \text{red}).$

$\text{:- col}(1, \text{green}), \text{col}(3, \text{green}). \quad \text{:- col}(1, \text{blue}), \text{col}(3, \text{blue}).$

**R1**  $\rightarrow$  3 ground rules

**R2**  $\rightarrow$  6 ground rules

# Example: 3-col - encoding 2

% given a node X and a color C, color X with C or not

R1  $\text{col}(X, C) \mid \text{ncol}(X, C) \text{ :- node}(X), \text{color}(C).$

% no adjacent nodes with the same color

R2  $\text{:- edge}(X, Y), \text{col}(X, C), \text{col}(Y, C).$

% all nodes must be colored

R3  $\text{colored}(X) \text{ :- col}(X, \_).$

R4  $\text{:- node}(X), \text{not colored}(X).$

% only one color per node

R5  $\text{:- col}(X, C1), \text{col}(X, C2), C1 \neq C2.$

Grounding produces:

R1  $\rightarrow 9$  ground rules

R2  $\rightarrow 6$  ground rules

R3  $\rightarrow 9$  ground rules

R4  $\rightarrow 3$  ground rules

R5  $\rightarrow 18$  ground rules

Example instance:

$\text{node}(1). \text{node}(2). \text{node}(3).$

$\text{edge}(1,2). \text{edge}(1,3).$

$\text{color}(\text{red}). \text{color}(\text{blue}). \text{color}(\text{green}).$

- Additional ground atoms (ncol)
- Additional ground rules
- $\rightarrow$  Larger grounding, Larger Search space

# Example: 3-col - encoding 3

An alternative encoding can be obtained from encoding 2 by replacing the guessing rule R1 with the three following rules:

**R1A** `col(X, red) | ncol(X, red) :- node(X).`

**R1B** `col(X, yellow) | ncol(X, yellow) :- node(X).`

**R1C** `col(X, green) | ncol(X, green) :- node(X).`

and leaving the remaining rules unchanged.

**Grounding produces** exactly the same results of encoding 2

**R1A + R1B + R1C**  $\rightarrow$  9 ground rules

# Aggregate Functions

---

# Aggregate functions

emp(EmpId, Salary)

Compute the sum of salaries of the employees

- Easily expressed in SQL
- Representation in DLP rather unnatural
  - recursion needed to express Sum

# Sum (DLP vs DLPA)

% Order employees by id

precedes(X,Y) :- emp(X,\_), emp(Y,\_), X<Y.

% Define successor, first and last

succ(X,Y) :- precedes(X,Y), not elementInMiddle(X,Y).

elementInMiddle(X,Y) :- precedes(X,Z), precedes(Z,Y).

first(X) :- emp(X,\_), not hasPredecessor(X).

last(X) :- emp(X,\_), not hasSuccessor(X).

hasPredecessor(X) :- succ(Y,X).

hasSuccessor(Y) :- succ(Y,X).

% sum salaries recursively

partialSum(X,Sx) :- first(X), emp(X,Sx).

partialSum(Y,S) :- succ(X,Y), partialSum(X,PSx), emp(Y,Sy), S=PSx+Sy.

% select the total

sum(S) :- last(L), partialSum(L,S).

$$\mathbf{DLP}^{\mathcal{A}} = \mathbf{DLP} + \text{aggregates}$$

Symbolic set:     $\{ \text{Vars} : \text{Conj} \}$

$\{ \text{EmpId} : \text{emp}( \text{EmpId}, \text{Sex}, \text{Skill}, \text{Salary} ) \}$

The set of ids of all employees.

$\{ \text{EmpId} : \text{emp}( \text{EmpId}, \text{male}, \text{Skill}, \text{Salary} ) \}$

The set of ids of male employees.



# Aggregate function

$f\{S\}$

S : symbolic set

f : function name among

{ #count, #sum, #times, #min, #max }

#count { EmpId : emp( EmpId, Sex, Skill, Salary ) }

The number of all employees

#count { EmpId : emp( EmpId, male, Skill, Salary ) }

The number of male employees

# Aggregate atom

$$Lg <_1 f\{S\} <_2 Ug$$

$5 < \#count \{ EmpId : emp( EmpId, male, Skill, Salary ) \} \leq 10$

The atom is true if the number of male employees is greater than 5 and does not exceed 10.

Formal semantics: extension of the notion of answer set.

# Aggregate atoms: example (pure sets)

Aggregate sets are PURE (mathematical) sets → NO duplicates

Ex.: Count the number of different skills among employees

differentSkills(S) :- S = #count{Skill: emp( \_\_, \_\_, Skill, \_\_)}

- emp(1, male, s1, 1000)
- emp(2, female, s3, 1000)
- emp(3, female, s2, 2000)
- emp(4, male, s3, 1500)

#count{<s1>, <s2>, <s3>}

# Aggregate atoms: Dealing with Multisets

Sometimes we do want to consider duplicates.

Ex.: sum the salaries of all employees

$\text{sum}(S) :- S = \# \text{sum}\{Y : \text{emp}(\text{Id}, \_, \_, Y)\}$

- $\text{emp}(1, \text{male}, s1, 1000)$
- $\text{emp}(2, \text{female}, s3, 1000)$
- $\text{emp}(3, \text{female}, s2, 2000)$
- $\text{emp}(4, \text{male}, s3, 1500)$

$\# \text{sum}\{<1000>, <\cancel{1000}>, <2000>, <1500>\} \rightarrow 4500$  instead of the (intended) 5500!!!

# Aggregate atoms: Dealing with Multisets

Duplicates can be simulated by using a key as aggregation variable

`sum(S) :- S = #sum{Y,Id: emp(Id,_,_,Y)}`

- `emp(1, male, s1, 1000)`
- `emp(2, female, s3, 1000)`
- `emp(3, female, s2, 2000)`
- `emp(4, male, s3, 1500)`

`#sum{<1000,1>, <1000,2>, <2000,3>, <1500,4>} → 5500`, as expected

# Aggregate Semantics

The *reduct* or **Gelfond-Lifschitz transform** of a ground program  $P$  w.r.t. a set  $X \subseteq BP$  is the positive ground program  $P^X$  obtained from  $P$  by

1. deleting all rules  $r \in P$  for which a negative literal in  $B(r)$  is false w.r.t.  $X$  or an aggregate literal is false w.r.t.  $X$ ;
2. deleting the aggregate literals and the negative literals from the remaining rules.

An **answer set** of a program  $P$  is a set  $X \subseteq BP$  such that  $X$  is an answer set of  $P^X$ .

# Team Building

An organization needs to create a proper team for an important task, according to the following requirements:

- A team consists of a certain number of employees
- At least a given number of different skills must be present in the team
- The sum of the salaries of the employees working in the team must not exceed the given budget
- The salary of each individual employee is within a specified limit
- The number of women working in the team must be greater than a given number

# Example: Team Building

*% An employee is either included in the team or not*  
*inTeam(I) | outTeam(I) :- emp(I, Sx, Sk, Sa).*

*% The team consists of a certain number of employees*  
*:- nEmp(N), not #count{I: inTeam(I)} = N.*

*% At least a given number of different skills must be present in*  
*% the team*  
*:- nSkill(M), #count{Sk : emp(I, Sx, Sk, Sa), inTeam(I)} < M.*

*% The sum of the salaries of the employees working in the team*  
*% must not exceed the given budget*  
*:- budget(B). not #sum{Sa, I: emp(I, Sx, Sk, Sa), inTeam(I)} ≤ B.*

*% The salary of each individual employee is within a specified limit*  
*:- maxSal(M), not #max{Sa: emp(I, Sx, Sk, Sa), inTeam(I)} ≤ M.*



# Team Building: Homework

*% The salary of each individual employee is within a specified limit*  
*:- maxSal(M), not #max{Sa: emp(I, Sx, Sk, Sa), inTeam(I)} ≤ M.*

**Efficiency issue:** Rule above, from previous example, might actually be removed, by means of more sophisticated guess.

How?

# Team Building: Homework Solution

Imposing that the max salary is below a given threshold can be enforced by guessing only among salaries that are under that threshold → “Push” the requirement on salary in the body of the guessing rule.

*% An employee is either included in the team or not*  
*inTeam(I) | outTeam(I) :- emp(I, Sx, Sk, Sa), maxSal(M), Sa ≤ M.*

*% The team consists of a certain number of employees*  
*:- nEmp(N), not #count{I: inTeam(I)} = N.*

*% At least a given number of different skills must be present in*  
*% the team*

*:- nSkill(M), #count{Sk : emp(I, Sx, Sk, Sa), inTeam(I)} < M.*

*% The sum of the salaries of the employees working in the team*  
*% must not exceed the given budget*

*:- budget(B). not #sum{Sa, I: emp(I, Sx, Sk, Sa), inTeam(I)} ≤ B.*

# Seating

A gala dinner has to be organized and table composition must satisfy a number of requirements:

- Each table has 8 chairs.
- Each guest must be assigned one and only one table.
- People liking each other should sit at the same table.
- People disliking each other should not sit at the same table.

# Example: Seating

*% Given some tables of nc chairs each, generate a sitting arrangement for a number of given guests.*

*at(P,T) | not\_at(P,T) :- guest(P), table(T).*

*% Each table must not host more than nc guests.*

*:- table(T), not #count{P : at(P,T)} <= 8.*

*% Each guest must be assigned one and only one table.*

*:- guest(P), not #count {T : at(P,T) }=1.*

*% People liking each other should sit at the same table.*

*:- like(P1,P2), at(P1,T), not at(P2,T).*

*% People disliking each other should not sit at the same table.*

*:- dislike(P1,P2), at(P1,T), at(P2,T).*

# Products control (unstratification)

*bought(C,N) | notBought(C,N) :- company(C), forSale(C,N, Price).*

*% A product A is produced by us if it is produced by a company under our control.*

*produced(A) :- producedBy(A,C), controlled(C).*

*% A company C is under our direct control, if we bought more than 50% of its shares.*

*controlled(C) :- bought(C,N), N > 50.*

*% A company C is under our (indirect) control, if companies under our control*

*% (together) own more than 50% of C.*

*controlled(C) :- company(C), #sum{ N, C1 : shares(C,C1,N), controlled(C1) } > 50.*

*% The majority of the shares of C can be reached by summing up the C shares we  
bought directly with the shares owned by the companies under our control.*

*controlled(C) :- bought(C,N), N ≤ 50,*

*#sum{ N, C1 : shares(C,C1,N), controlled(C1) } > K, 50 = K + N.*

*% Each desired product has to be produced.*

*:- desired(P), not produced(P).*

*% The budget must not be exceeded.*

*:- budget(B), #sum{ Price, C : forSale(C,N,Price), bought(C,N) } > B.*

# **Weak Constraints: a Linguistic Extension to Encode Wishes**

# Weak Constraints

Express desiderata - constraints which should possibly be satisfied, like **Soft Constraints** in CSP

**Syntax**  $:\sim B [W@P, T_1 \dots T_n].$

Satisfy  $B$  if possible; if not, pay  $W$  at priority  $P$  for each distinct tuple of terms  $T_1 \dots T_n$ . Writing the part “ $@P$ ” can optionally be omitted if  $P = 0$ ; that is, a weak constraint has priority 0 unless specified otherwise.

higher weights/priorities  $\rightarrow$  higher importance

A useful tool to encode optimization problems.

# Weak Constraints (old DLV syntax)

The old DLV system does not support new syntax for weak constraints; however, it is very similar.

**Syntax**      $:\sim B.$

Weak constraints can be weighted and prioritized:

$:\sim B. [w:p]$

higher weights/priorities  $\rightarrow$  higher importance

A useful tool to encode optimization problems.



# Semantics of Weak Constraints

**Rules(P)**: set of the rules (including facts and strong constraints) of P

**WC(P)**: weak constraints of P

**Semantics of programs without Priorities (in weak constraints):**

Answer sets of Rules(P) minimizing the sum of the weights of the violated constraints in WC(P)

**Semantics of programs with Priorities:**

- minimize the sum of the weights of the violated constraints in the highest priority level;
- then minimize the sum of the weights of the violated constraints in the next lower level, etc.

# Exams Scheduling

1. Assign course exams to time slots avoiding overlapping of exams of courses with common students

$r_1$ :  $\text{assign}(X,s1) \mid \text{assign}(X,s2) \mid \text{assign}(X,s3) \text{ :- course}(X).$

$s_1$ :  $\text{:- assign}(X,S), \text{assign}(Y,S), \text{commonStudents}(X,Y,N), N>0.$

2. If overlapping is unavoidable, then reduce it “As Much As Possible” – Find an approximate solution

$r_2$ :  $\text{assign}(X,s1) \mid \text{assign}(X,s2) \mid \text{assign}(X,s3) \text{ :- course}(X).$

$w_2$ :  $\text{:- } \sim \text{assign}(X,S), \text{assign}(Y,S), \text{commonStudents}(X,Y,N), N>0.$   
[N@1, X,Y]

Scenarios (models) that minimizes the total number of “lost” exams are preferred.

# Team Building

Divide employees in two project groups  $p_1$  and  $p_2$ .

A. Skills of group members should be different.

**B. Persons in the same group should not be married each other.**

C. Members of a group should possibly know each other.

Requirement A) is more important than B) and C)

```
assign(X,p1) | assign(X,p2) :- employee(X).
```

```

:~ assign(X,P), assign(Y,P), same_skill(X,Y).      [1@2, X,Y]

```

```

:~ assign(X,P), assign(Y,P), married(X,Y).           [1@1, X,Y]

```

```
:~ assign(X,P), assign(Y,P), X<>Y, not know(X,Y). [1@1, X,Y]
```

# **The GCO (Guess/Check/Optimize) Programming Technique**

Generalization of the Guess and Check method  
to express optimization problems

A program is made of 3 Modules:

[Guessing Part] defines the search space

[Checking Part] checks solution admissibility

[Optimizing Part] specifies a preference criterion  
(by means of weak constraints)

# Exams Scheduling (with GCO)

%Guess:

```
assign(X,s1) | assign(X,s2) | assign(X,s3) :- course(X).
```

%Optimize:

```
:~ assign(X,S), assign(Y,S),  
    commonStudents(X,Y,N), N>0.    [N@1, X,Y]
```

# Team Building (with GCO)

% Guess

assign(X,p1) | assign(X,p2) :- employee(X).

% Optimize

:~ assign(X,P), assign(Y,P), same\_skill(X,Y). [1@2, X,Y]

:~ assign(X,P), assign(Y,P), married(X,Y). [1@1, X,Y]

:~ assign(X,P), assign(Y,P), X<>Y, not know(X,Y). [1@1, X,Y]

# The Traveling Salesman Person (TSP)\*

inPath(X,Y) | outPath(X,Y) :- arc(X,Y,\_).      **Guess**

|  |  |              |
|--|--|--------------|
| :- inPath(X,Y), inPath(X,Y1), Y <> Y1. |  | <b>Check</b> |
| :- inPath(X,Y), inPath(X1,Y), X <> X1. |  |              |
| :- node(X), not reached(X).            |  |              |

:~ inPath(X,Y), arc(X,Y,C).      [C@1, X,Y,C]      **Optimize**

reached(X) :- start(X).      **Auxiliary Predicate**  
reached(X) :- reached(Y), inPath(Y,X).

\* “Path version” of TSP.

# Minimum Spanning Tree

Given a weighted graph by means of `edge(Node1,Node2,Cost)`, and `node(N)`, compute a tree that starts at a `root` node, spans that graph, and has minimum cost

%Guess the edges that are part of the tree:

`inTree(X,Y) | outTree(X,Y) :- edge(X,Y,C).`

Guess

%Check that we are really dealing with a tree!

`:- root(X), inTree(_,X).`

`:- inTree(X,Y), inTree(X1,Y), X <> X1.`

%and the tree is connected

`:- node(X), not reached(X).`

Check

%Minimize the cost of the tree

`:-~ inTree(X,Y), edge(X,Y,C). [C@1, X,Y,C]`

Optimize

`reached(X) :- root(X).`

`reached(X) :- reached(Y), inTree(Y,X).`

Auxiliary Predicate



# Testing and Debugging with GCO

Develop DLP programs incrementally:

- Design the Guess module G first
  - test that the answer sets of G (+the input facts) correctly define the search space
- Then the Check module C
  - verify that the answer sets of  $G \cup C$  are the admissible problem solutions
- Finally the Optimize module O
  - test that  $G \cup C \cup O$  generates the optimal solutions of the problem at hand.

Use small but meaningful problem test-instances!

# **Part III**

## **Computational Issues**

# Computational Issues

**Problem:** The complexity of DLP is very high ( $\Sigma^P_2$  and even  $\Delta^P_3$ ), how to deal with that?

Tackle high complexity by isolating simpler sub-tasks

**Tool:** An in-depth Complexity Analysis

# Main Decision Problems

## [Brave Reasoning]

Given a DLP program  $P$ , and a ground atom  $A$ ,  
is  $A$  true in SOME answer sets of  $P$ ?

## [Cautious Reasoning]

Given a DLP program  $P$ , and a ground atom  $A$ ,  
is  $A$  true in ALL answer sets of  $P$ ?

# A relevant subproblem

[Answer Set Checking]

Given a DLP program  $P$  and an interpretation  $M$ ,  
is  $M$  an answer set of  $\text{Rules}(P)$ ?

# Syntactic restrictions on DLP programs

- Head-Cycle Free Property

[Ben-Eliyahu, Dechter]

- Stratification

[Apt, Blair, Walker]

**Level Mapping:** a function  $|| \cdot ||$  from ground (classical) literals of the Herbrand Base  $B_P$  of  $P$  to positive integers.

# Stratified Programs

Forbid recursion through negation.

- $P$  is (locally) **stratified** if there is a level mapping  $\| \cdot \|_s$  of  $P$  such that for every rule  $r$  of  $P$
- For any  $I$  in  $\text{Body}^+(r)$ , and for any  $I'$  in  $\text{Head}(r)$ ,  
 $\| I \|_s \leq \| I' \|_s$ ;
  - For any  $I$  in  $\text{Body}^-(r)$ , and for any  $I'$  in  $\text{Head}(r)$ ,  
 $\| I \|_s < \| I' \|_s$

## Example: A stratified program

P1:  $p(a) \mid p(c) \text{ :- not } q(a).$   
 $p(b) \text{ :- not } q(b).$

P1 is stratified:

$$\|p(a)\|_s = 2, \quad \|p(b)\|_s = 2, \quad \|p(c)\|_s = 2$$
$$\|q(a)\|_s = 1, \quad \|q(b)\|_s = 1$$



## Example: An unstratified program

P2:  $p(a) \mid p(c) \text{ :- not } q(b).$   
 $q(b) \text{ :- not } p(a)$

P2 is not stratified,  
No stratified level mapping exists,  
as there is recursion through negation!

# Stratification Theorem

- If a program  $P$  is stratified and V-free, then  $P$  has at most one answer set.
- If, in addition,  $P$  does not contain strong negation and integrity constraint, then  $P$  has precisely one answer set.
- Under the above conditions, the answer set of  $P$  is polynomial-time computable.

# Head-Cycle Free Programs

P is **head-cycle free** if there is a level mapping  $\|\cdot\|_h$  of P such that for every rule r of P:

- For any atom I in  $\text{Body}^+(r)$ , and for any I' in  $\text{Head}(r)$ ,  $\|I\|_h \leq \|I'\|_h$ ;
- For any pair of atoms, I, I' in  $\text{Head}(r)$ ,  $\|I\|_h \neq \|I'\|_h$

# Example: an head-cycle free program

P3:             $a \mid b.$   
               $a \text{ :- } b.$

P3 is head-cycle free:

$$\| a \|_h = 2; \quad \| b \|_h = 1$$

# Example: a non head-cycle free program

P4:         $a \mid b.$   
           $a \text{ :- } b.$   
           $b \text{ :- } a.$

P4 is not head-cycle free

No head-cycle free level mapping exists,  
as there is recursion through disjunction!

# Head-Cycle Free Theorem

Every head-cycle free program  $P$  is equivalent to an  $V$ -free program  $\text{shift}(P)$  where disjunction is “shifted” to the body.

$P: a \mid b \text{ :- } c.$

$\text{shift}(P):$      $a \text{ :- } c, \text{ not } b.$   
                   $b \text{ :- } c, \text{ not } a.$

# Complexity of Answer-Set Checking

|        | $\{\}$ | $\text{not}_s$ | $\text{not}$ |
|--------|--------|----------------|--------------|
| $\{\}$ | P      | P              | P            |
| $V_h$  | P      | P              | P            |
| $V$    | coNP   | coNP           | coNP         |

# Complexity of Brave Reasoning

|        | $\{\}$       | $\text{not}_s$ | $w$          | $w, \text{not}_s$ | $\text{not}$ | $w, \text{not}$ |
|--------|--------------|----------------|--------------|-------------------|--------------|-----------------|
| $\{\}$ | P            | P              | P            | P                 | NP           | $\Delta^P_2$    |
| $V_h$  | NP           | NP             | $\Delta^P_2$ | $\Delta^P_2$      | NP           | $\Delta^P_2$    |
| $V$    | $\Sigma^P_2$ | $\Sigma^P_2$   | $\Delta^P_3$ | $\Delta^P_3$      | $\Sigma^P_2$ | $\Delta^P_3$    |

Completeness under Logspace reductions



# Intuitive Explanation

Three main sources of complexity:

1. the exponential number of answer set “candidates”
2. the difficulty of checking whether a candidate  $M$  is an answer set of  $\text{Rules}(P)$  (the minimality of  $M$  can be disproved by exponentially many subsets of  $M$ )
3. the difficulty of determining the optimality of the answer set w.r.t. the violation of the weak constraints

The absence of source 1 eliminates both source 2 and source 3

# Complexity of Cautious Reasoning

|        | $\{\}$ | $\text{not}_s$ | $w$          | $w, \text{not}_s$ | $\text{not}$ | $w, \text{not}$ |
|--------|--------|----------------|--------------|-------------------|--------------|-----------------|
| $\{\}$ | P      | P              | P            | P                 | coNP         | $\Delta^P_2$    |
| $V_h$  | coNP   | coNP           | $\Delta^P_2$ | $\Delta^P_2$      | coNP         | $\Delta^P_2$    |
| $V$    | coNP   | $\Pi^P_2$      | $\Delta^P_3$ | $\Delta^P_3$      | $\Pi^P_2$    | $\Delta^P_3$    |

Note that  $\langle V, \{\} \rangle$  is “only” coNP-complete!

# Complexity of aggregates

(**Brave Reasoning**) Given a  $DLP^{\mathcal{A}}$  program  $P$  and a ground atom  $L$ , is  $L$  true in SOME answer set of  $P$ ?

(**Cautious Reasoning**) Given a  $DLP^{\mathcal{A}}$  program  $P$  and ground atom  $L$ , is  $L$  true in ALL answer sets of  $P$ ?

**Theorem** Brave Reasoning on ground  $DLP^{\mathcal{A}}$  programs is  $\Sigma_2^p$ -complete

**Theorem** Cautious Reasoning on ground  $DLP^{\mathcal{A}}$  programs is coNP-complete

## Part IV

DLV:

The state-of-the-art implementation of DLP

# DLV: a KR System based on DLP

- Advanced knowledge modelling features
  - Extended DLP
  - Declarative “Guess/Check/Optimize” Programming Paradigm
  - Front-ends for specific AI Applications
- Solid Implementation
  - Implementation of DDB optimization techniques
  - Implementation of NMR optimization techniques
- Interfaced to Relational and Object-Oriented Databases

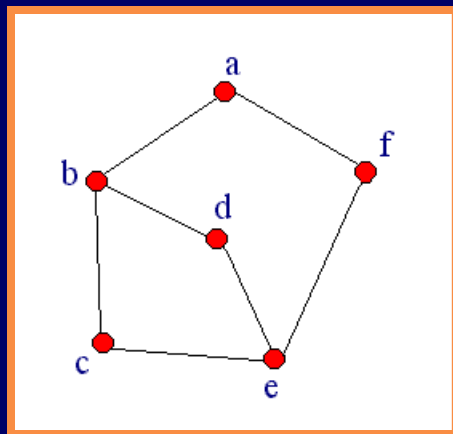
# Frontends

- Diagnosis
- Planning
- Inheritance
- Meta-Interpreter
- SQL3
- External Frontends

# Diagnosis Frontend

The frontend can handle Abductive Diagnosis and Consistency - Based Diagnosis.

Example - Diagnosing a computer network:



We work at computer **a** and cannot reach computer **e**.

# Diagnosis Frontend II

## Theory

reaches(X,X) :- node(X), not offline(X).

reaches(X,Z) :- reaches(X,Y), connected(Y,Z), not offline(Z).

## Hypotheses

offline(a). offline(b). offline(c).

offline(d). offline(e). offline(f).

## Observations

not offline(a). not reaches(a,e).



# Inheritance Frontend

**Object:** Set of **DLP** rules.

**Program:** Hierarchy of Objects.

|                  |              |
|------------------|--------------|
| bird             | { flies }    |
| penguin : bird   | { - flies. } |
| tweety : penguin | { }          |

Objects: bird, penguin, and tweety.  $\text{tweety} < \text{penguin} < \text{bird}$ .  
Contradictions are solved according with the inheritance hierarchy:

-flies overrides flies.

The only model is { -flies }.

# SQL3 Frontend - Bill of materials

The forthcoming ANSI SQL3 will include support for computing transitive closures.

SCHEMA consists\_of(major,minor);

```
WITH RECURSIVE listofmaterials(major,minor) AS
(
  SELECT c.major, c.minor FROM consists_of AS c
  UNION
  SELECT c1.major, c2.minor
  FROM consists_of AS c1, listofmaterials AS c2
  WHERE c1.minor = c2.major
)
SELECT major, minor FROM listofmaterials;
```

# Planning Frontend

fluents :  $\text{on}(B,L)$  requires  $\text{block}(B)$ ,  $\text{location}(L)$ .

$\text{occupied}(B)$  requires  $\text{location}(B)$ .

actions :  $\text{move}(B,L)$  requires  $\text{block}(B)$ ,  $\text{location}(L)$ .

always : executable  $\text{move}(B,L)$  if not  $\text{occupied}(B)$ ,  
not  $\text{occupied}(L)$ ,  $B \neq L$ .

inertial  $\text{on}(B,L)$ .

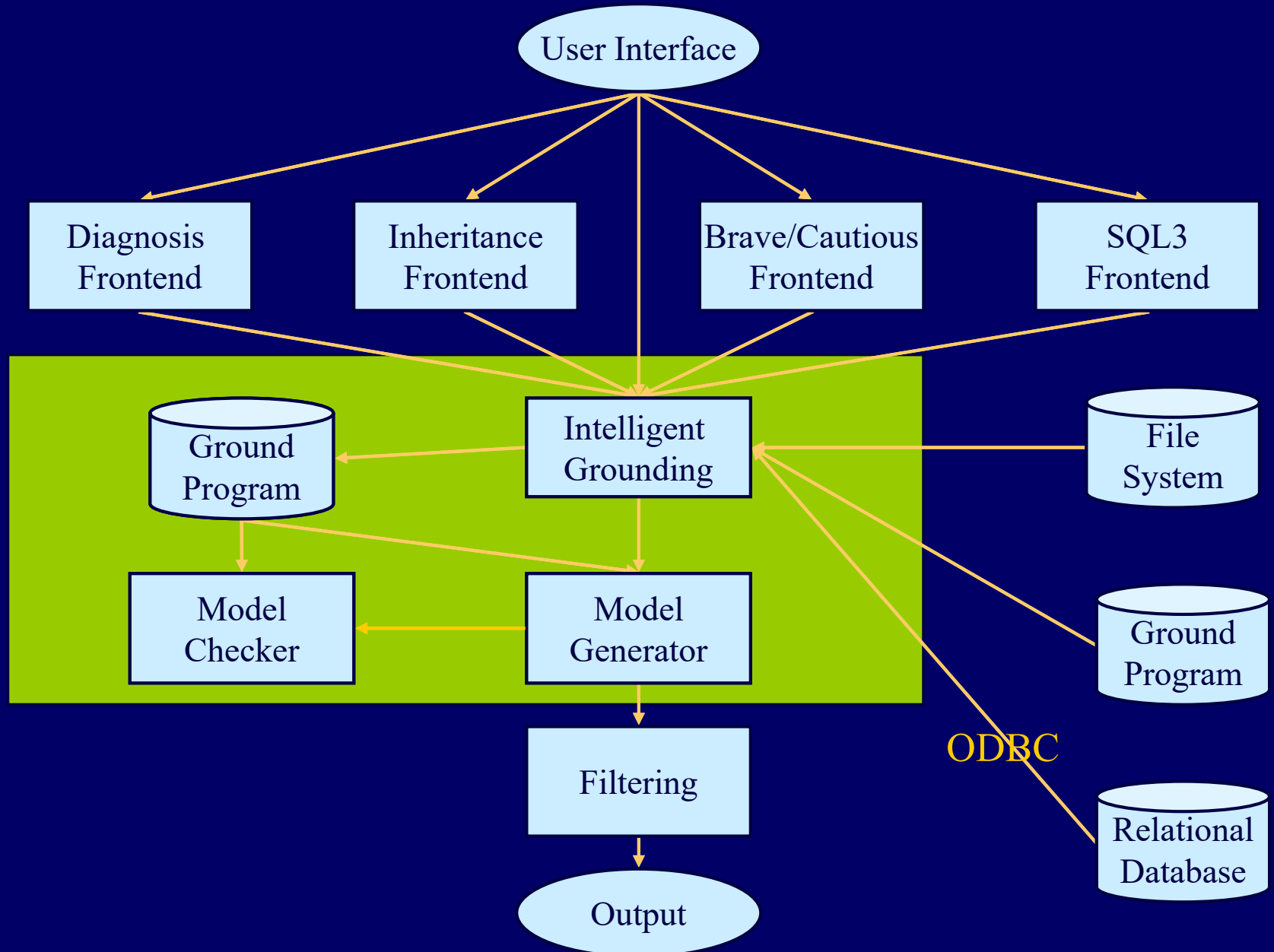
caused  $\text{occupied}(B)$  if  $\text{on}(B_1,B)$ ,  $\text{block}(B)$ .

caused  $\text{on}(B,L)$  after  $\text{move}(B,L)$ .

caused  $\neg \text{on}(B,L_1)$  after  $\text{move}(B,L)$ ,  $\text{on}(B,L_1)$ ,  $L \neq L_1$ .

noConcurrency.

# System Architecture



# Some Exemplary Benchmarks (june 2001)

| Problem instance                               | Time   |
|--|--------|
| Strategic Companies (770 C; 770 P)             | 1.29 s |
| 2QBF (1000 $\forall$ ; 20 $\exists$ ; 10000 C) | 25.94s |
| 3COL (3000 E; 2000 N)                          | 22.15s |
| Hamcycle (60 N)                                | 2.09s  |
| Blocksworld ( 10 blocks, 11 steps)             | 8.56s  |
| Hanoi (3 stacks, 4 disks, 15 steps)            | 6.39s  |
| Ramsey(3,6) $\neq$ 17                          | 13.87s |
| Cristal  | 15.26s |
| Timetabling                                    | 26.11s |

# Other DLP Systems

Most systems accept only the V-free fragment of DLP

- **Smodels/GnT**
- **ASSAT** (V-free programs, rewriting to SAT)
- **NoMoRe** (V-free programs, graph-colorings)
- **Dislop** (Model elimination, hyper-tableau calculi)
- **DeReS** (Default Logic)
- **CCALC** (Acyclic V-free programs, rewriting to SAT)
- **XSB** (Well-Founded Semantics)
- **ASPPS** (logic of propositional schemes)
- **QUIP** (based on QBF evaluators)
- **SLG** (Meta-Interpreter over prolog systems)
- **DCS**
- **DisLog**

# Smodels/GnT

- **Smodels** is the most widely used system for V-free programs.
- Disjunction is not supported in Smodels.
- **GnT** extends Smodels by disjunction.
  - Rewriting + Nested calls to Smodels for answer set checking.
- Smodels supports a powerful construct for representing cardinality and weight constraints

# Summary of Benchmarks

maximum size where **all** out of 50 random instances where solved  
in 2 hours of cpu time and 256MB of memory - August 2002

| Problem   | DLV  | Smodels | Smodels2 | ASSAT |
|-----------|------|---------|----------|-------|
| REACH     | 8500 | 450     | n/a      | 450   |
| SAMEGEN   | 9025 | 676     | n/a      | 676   |
| STRATCOMP | 170  | 115     | n/a      | n/a   |
| QBF       | 48   | 40      | n/a      | n/a   |
| HAMPATH   | 105  | 50      | 40       | 50    |
| TSP       | 26   | 24      | 28       | n/a   |
| SOKOBAN   | 95%  | 47%     | 47%      | n/a   |
| RAMSEY    | 100% | 29%     | 29%      | 39%   |

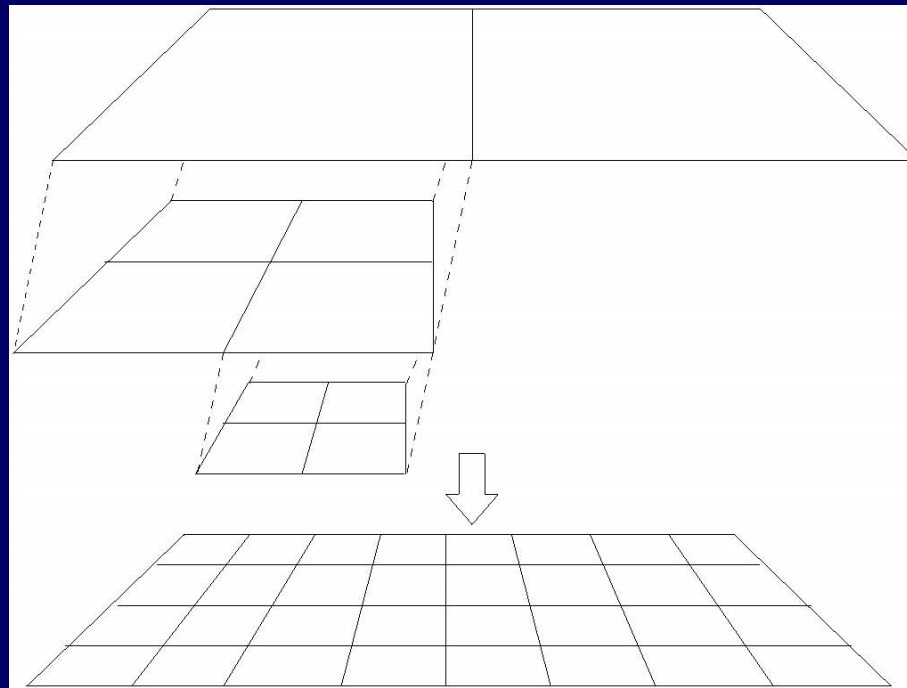


# Applications

- The CMS project at CERN: An advanced deductive Database Application Check and Automatic Repair of Census Data
- Timetabling
- Education: Courses on Databases AI in European and American Universities
- Authorization Database Model
- “Implementation Engine” for KR purposes, experiments with new semantics and KR languages.

# CERN DLV Example<sub>(1)</sub>

Projection of product data (assembly tree and part characteristics provided by PDMS) onto matrix of detector readout channels



EDB: product data & part composition members (description of relative location of immediate constituent parts of a composite part)

## CERN DLV Example<sub>(2)</sub>

- Depth of assembly trees: 5 to 15
- $\exists$  integrity constraints (assembly finished?, manually inserted data feasible?)
- 85\*20 (1700) readout channels out of totally 80000.

The goal of this projection is to assign product data to particle detector readout channels, which will collect observation data that will be used for the diagnosis of the correct functioning of the particle detector.

# Timetabling

Structural constraints give rise to integrity constraints.

Weak Constraints express desiderata.

The teacher of Geometry doesn't like teaching in the afternoon.

```
:~ timetable(Day,Hour,geometry),  
    Hour >12. [1@1, Day, Hour]
```

The teacher of French doesn't like teaching on Saturday.

```
:~ timetable(saturday,Hour,french). [1@1, Hour]
```

# New Applications

## (Under Investigation)

- Information Integration
  - Complex (CONP-hard) reasoning tasks arise in this context
- Knowledge Management
  - Powerful Tool to Represent Complex Knowledge (Support for Decisions Taking, Planning)
  - Ontologies Specification and Reasoning
  - Advanced Querying/Reasoning on Top of the Result of Data/Text Mining (Combination of Induction with Deduction)
- Maneuvers Generation for the Space Shuttle
  - Weak Constraints improve the quality of plans

# Ongoing EU Projects

- INFOMIX: Boosting Information Integration  
IST-2001-33570  
3 Years (start: April 2002)  
Main Contractor: University of Calabria
- ICONS: Intelligent CONtent Management System  
IST 2001-32429  
2 Years (start: January 2002)
- Enhancing Disjunctive Logic Programming  
for Knowledge Management Applications  
Marie Curie Grant  
2 Years (start November 2002)

# Conclusion

- Easy representation of hard problems
- Possibility to solve problems unsolvable by SAT Checker or by other LP System (e.g., subset-minimal diagnosis, planning under incomplete knowledge)
- Front-end for other non-monotonic formalisms
- Interface to relational and object-oriented databases
- Used by researchers around the world
- Fully operational prototype available from <http://www.dlvsystem.com/>

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## Foundations of DLP:

- M. Gelfond and V. Lifschitz, Classical Negation in Logic Programs and Disjunctive Databases. *New Generation Computing*, 9:365-385, 1991.
- J. Minker. On Indefinite Data Bases and the Closed World Assumption. In Proceedings 6th Conference on Automated Deduction (CADE '82), D.~Loveland, Ed. Number 138 in Lecture Notes in Computer Science. Springer, New York, 1982, pp. 292--308.
- N. Leone, P. Rullo, F. Scarcello, Disjunctive Stable Models: Unfounded Sets, Fixpoint Semantics and Computation, *Information and Computation*, Academic Press, New York, Vol. 135, N. 2, 15 June 1997, pp. 69-112.



# Bibliography (2)

## Knowledge Representation:

M. Gelfond, N. Leone, Logic Programming and Knowledge Representation --- the A-Prolog perspective. *Artificial Intelligence*, Elsevier, 138(1&2), June, 2002.

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# Bibliography (3)

## DLV System (Overviews):

T. Eiter, N. Leone, C. Mateis, G. Pfeifer, F. Scarcello, The Knowledge Representation System dlv: Progress Report, Comparisons, and Benchmarks, in *Proc. of KR'98*, pp. 406-417, Morgan Kaufman, 1998.

T. Eiter, W. Faber, N. Leone, G. Pfeifer, Declarative Problem-Solving Using the DLV System in *Logic in Artificial Intelligence*. J. Minker editor, Kluwer Academic Publisher, 2000, pp 79-103.

DLV Manual and Tutorial at <http://www.dlvsystem.com>

# Bibliography (4)

## DLV System (Algorithms and Optimizations):

W. Faber, N. Leone, G. Pfeifer, "Experimenting with Heuristics for Answer Set Programming". Proceedings of the 17th International Joint Conference on Artificial Intelligence -- IJCAI '01, Morgan Kaufmann Publishers, Seattle, USA, August 2001, pp. 635--640.

C. Koch, N. Leone, "Stable Model Checking Made Easy". Proceedings of the 16th International Joint Conference on Artificial Intelligence -- IJCAI '99, Morgan Kaufmann Publishers, pp. 70--75, Stockholm, August 1999.

N. Leone, S. Perri, F. Scarcello. "Improving ASP Instantiators by Join-Ordering Methods". Proceedings of the 6th International Conference on Logic Programming and Non-Monotonic Reasoning -- LPNMR'01, Lecture Notes in Artificial Intelligence (LNAI) 2173, Springer-Verlag, Vienna, Austria, 17--19 September 2001.

# Exercises and DLV Lab

# Homework (1)

Consider program

$a :- b.$

$b.$

and Interpretations:

$I_1 = \{ a \}, I_2 = \{ b \}, I_3 = \{ a, b \}$

which interpretations are models?

which interpretations are answer sets?

# Homework (2)

Consider program

$a :- b.$

$b :- \text{not } c.$

and Interpretations:

$I_1 = \{ a \}, I_2 = \{ c \}, I_3 = \{ a, b \}$

which interpretations are models?

which interpretations are answer sets?

# Homework (3)

Consider program

$a :- b.$

$a \mid b.$

and Interpretations:

$I_1 = \{ a \}, I_2 = \{ b \}, I_3 = \{ a, b \}$

which interpretations are models?

which interpretations are answer sets?

# Homework (4)

Consider program

$a :- b.$

$a \mid b.$

$:- \text{not } a.$

what are the answer sets?



# Homework (5)

Consider program

$a :- b.$

$a \mid b.$

$:- a.$

is there any answer set?

# Homework (6)

Consider program

$a :- b.$

$b :- a.$

$a \mid b.$

and Interpretations:

$I1 = \{ a \}, I2 = \{ b \}, I3 = \{ a, b \}$

which interpretations are models?

which interpretations are answer sets?

# Homework (7)

Compute the ground instantiation of

$p(X) \text{ :- } q(X), \text{ not } r(X).$

$q(a).$

$q(b).$

$r(a).$

and determine the answer sets of the program.

# Answer to Homework (7)

Instantiation:

$p(a) :- q(a), \text{ not } r(a).$

$p(b) :- q(b), \text{ not } r(b).$

$q(a).$

$q(b).$

$r(a).$

Answer sets:  $I = \{ p(b), q(a), q(b), r(a) \}$

# Homework (8)

`inPath(X,Y) | outPath(X,Y) :- arc(X,Y).`

`:- inPath(X,Y), inPath(X,Y1), Y <> Y1.`

`:- inPath(X,Y), inPath(X1,Y), X <> X1.`

`:- node(X), not reached(X).`

`reached(X) :- start(X).`

`reached(X) :- reached(Y), inPath(Y,X).`

Transform the Hamiltonian Path program, to make sure that the start arc is not reached again (i.e., is not the endpoint of some arc in the path).

# Answer to Homework (8)

inPath(X,Y) | outPath(X,Y) :- arc(X,Y).

:- inPath(X,Y), inPath(X,Y1), Y <> Y1.

:- inPath(X,Y), inPath(X1,Y), X <> X1.

:- node(X), not reached(X).

reached(X) :- start(X).

reached(X) :- reached(Y), inPath(Y,X).

:- start(X), inPath(Y,X).

# Homework (9)

`inPath(X,Y) | outPath(X,Y) :- arc(X,Y).`

`:- inPath(X,Y), inPath(X,Y1), Y <> Y1.`

`:- inPath(X,Y), inPath(X1,Y), X <> X1.`

`:- node(X), not reached(X).`

`reached(X) :- start(X).`

`reached(X) :- reached(Y), inPath(Y,X).`

Transform the Hamiltonian Path program, in a program for Hamiltonian Cycle (make sure that the start arc is reached again, i.e., it is the end point of some arc in the path).

- Use the program to get the “tour version” of TSP.

# Answer to Homework (9) (a safety problem)

## WARNING:

`:- start(X), not inPath(Y,X).`

does not work.

It would require each node to be connected to the start!

Suppose that the graph has 3 nodes: a, b, c.

The above constraint then has 3 instances (disregarding those with `start(b)` or `start(c)`):

`:- start(a), not inPath(b,a).`

`:- start(a), not inPath(c,a).`

`:- start(a), not inPath(a,a).`



# Answer to Homework (9)

## (safety)

LESSON: To avoid any problem, always use safe negation!

SAFETY: A rule R is safe if each variable appearing in R occurs also in a positive body literal of R.

|  |                        |
|--|------------------------|
| $p(X) \quad :- \quad a(X,Y), \text{ not } q(Y,Z).$ | $(\text{unsafe } Z)$   |
| $p(X,Y) \quad :- \quad \text{not } a(X).$          | $(\text{unsafe } X,Y)$ |
| $p(X) \quad :- \quad a(X,Y), \text{ not } q(\_).$  | $(\text{unsafe } \_)$  |

DLP systems anyway require safety.

# Answer to Homework (9)

## first solution

```
endPoint(X) :- inPath(_,X).  
:- start(X), not endPoint(X).
```

```
inPath(X,Y) | outPath(X,Y) :- arc(X,Y).  
:- inPath(X,Y), inPath(X,Y1), Y <> Y1.  
:- inPath(X,Y), inPath(X1,Y), X <> X1.  
:- node(X), not reached(X).
```

```
reached(X) :- start(X).  
reached(X) :- reached(Y), inPath(Y,X).
```

# Answer to Homework (9)

## a better solution

`inPath(X,Y) | outPath(X,Y) :- arc(X,Y).`

`:- inPath(X,Y), inPath(X,Y1), Y <> Y1.`

`:- inPath(X,Y), inPath(X1,Y), X <> X1.`

`:- node(X), not reached(X).`

`reached(X) :- start(Y), inPath(Y,X).`

`reached(X) :- reached(Y), inPath(Y,X).`

# Homework (10)

## (Node Cover)

Design a DLP program to represent the following problem.

Given a graph  $\langle V, E \rangle$  by means of `edge(Node1, Node2)`, and `node(N)`, find a **node cover**, that is, a subset  $V'$  of  $V$  such that for each edge  $\langle u, v \rangle$  in  $E$  at least one of  $u$  and  $v$  belongs to  $V'$ .

# **Answer to Homework (10)**

## **(Node Cover)**

%Guess a set of nodes

inCover(X) | outCover(X) :- node(X).

%Check that all arcs are covered.

:- edge(X,Y), not inCover(X), not inCover(Y) .

# Homework (11)

## (Minimum Node Cover)

Design a DLP program to represent the following problem.

Given a graph  $\langle V, E \rangle$  by means of `edge(Node1, Node2)`, and `node(N)`, find a **minimum node cover**, that is, a subset  $V'$  of  $V$  of minimum cardinality such that for each edge  $\langle u, v \rangle$  in  $E$  at least one of  $u$  and  $v$  belongs to  $V'$ .

# Answer to Homework (11)

## (Minimum Node Cover)

%Guess a set of nodes

inCover(X) | outCover(X) :- node(X).

%Check that all arcs are covered.

:- edge(X,Y), not inCover(X), not inCover(Y) .

% Prefer smaller covering

% Minimize the cardinality of the coverings

:-~ inCover(X). [1@1, X]

# Running DLV

- Copy DLV to a dir which is in your PATH
- Or make “alias dlv DLV-DIR/dlv” in your .cshrc (or equivalent file).
- edit two files **3col** and **graph**:

```
3col:  col(X,green) | col(X,blue) | col(X,red) :- node(X).  
      :- edge(X,Y), col(X,C), col(Y,C), X<>Y.
```

```
graph:  node(a).  node(b).  node(c).  node(d).  
        edge(a,b).  
        edge(b,c).  
        edge(c,a).  
        edge(a,d).  
        edge(d,c).
```



# Running DLV

```
> dlv 3col graph -filter=col -n=1
```

```
DLV [build DEV/Aug 1 2002 gcc 2.95.2 1999 1024 (release)]
```

```
{col(a,green), col(b,blue), col(c,red), col(d,blue)}
```

```
>
```

# Combinatorial Auctions

In combinatorial auctions, bidders can bid for portfolios of several goods at once. Each bidder can place as many bids as s/he wants. The goal is to maximize the auctioneers revenue.

The input can be represented as follows, where **requires** states that some item is part of a bid, and **bid** represents the price associated with a bid:

**requires**(bid1, item1).

**requires**(bid1, item2).

**requires**(bid2, item1).

**requires**(bid2, item3).

:

**bid**(bid1, 21).

**bid**(bid2, 37).

Write a GCO program for DLV that solves this problem and also develop two test cases, where you see that your program works correctly.

# Hints

**Hint 1:** The output should list the bids which are accepted by the auctioneer with the respective prices. It should be of the form `{accept(bid1,p1), accept(bid3,p3),... }`.

**Hint 2:** By means of weak constraints one can more easily perform minimization than maximization. However, if you minimize the cost of those items **not accepted**, then the cost of those that **are accepted** is maximized in consequence!

# More on Combinatorial Auctions

The auctioneer, while preserving the maximization of the revenue as the first criterion, wants to be parsimonious w.r.t. the given items, that is, (s)he wants to keep how many items as possible.

Refine the previous program to prefer, if two solutions give the same revenue, the solution where a smaller number of items is given away by the auctioneer.

**Hint 1:** use an auxiliary predicate defining the givenItems in a solution.

**Hint 2:** Priorities in weak constraints are needed.