

¹ Bi-objective trail-planning for a robot team ² orienteering in a hazardous environment

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¹¹ ABSTRACT

¹² Teams of mobile [aerial, ground, or aquatic] robots have applications in resource delivery, patrolling,
¹³ information-gathering, agriculture, forest fire fighting, chemical plume source localization and mapping,
¹⁴ and search-and-rescue. Robot teams traversing hazardous environments—with e.g. rough terrain or
¹⁵ seas, strong winds, or adversaries capable of attacking or capturing robots—should plan and coordinate
¹⁶ their trails in consideration of risks of disablement, destruction, or capture. Specifically, the robots
¹⁷ should take the safest trails, coordinate their trails to cooperatively achieve the team-level objective with
¹⁸ robustness to robot failures, and balance the reward from visiting locations against risks of robot losses.
¹⁹

²⁰ Herein, we consider bi-objective trail-planning for a mobile team of robots orienteering in a hazardous
²¹ environment. The hazardous environment is abstracted as a directed graph whose arcs, when traversed
²² by a robot, present known probabilities of survival. Each node of the graph offers a reward to the team
²³ if visited by a robot (which e.g. delivers a good to or images the node). We wish to search for the
²⁴ Pareto-optimal robot-team trail plans that maximize two [conflicting] team objectives: the expected (i)
²⁵ team reward and (ii) number of robots that survive the mission. A human decision-maker can then select
²⁶ trail plans that balance, according to their values, reward and robot survival. We implement ant colony
²⁷ optimization, guided by heuristics, to search for the Pareto-optimal set of robot team trail plans. As a case
²⁸ study, we illustrate with an information-gathering mission in an art museum.

29 **1 INTRODUCTION**

30 **1.1 Applications of a team of mobile robots**

31 Mobile [aerial [58], ground [20], or aquatic [19]] robots equipped with sensors, actuators, and/or cargo
32 have applications in agriculture [79, 4, 63], commerce [96], the delivery of goods [22], search-and-
33 rescue [73, 76], chemical, biological, radiological, or nuclear incident response [68, 46], environmental
34 monitoring [34, 44, 102], safety monitoring in industrial chemical plants [87, 38], forest fire monitoring
35 and fighting [64], wildlife conservation [52], patrolling [3], target tracking [74], and military surveillance
36 and reconnaissance.

37 Path-planning for mobile robots in static, dynamic, or unknown environments under various objectives
38 (e.g., take least-cost paths) and constraints (e.g., collision avoidance) [53, 77, 98, 23] is important for
39 good performance on tasks, efficiency, safety, and autonomy.

40 Often, we wish for a team of mobile robots to coordinate their paths in an environment to cooperatively
41 achieve a shared, team-level objective [70, 71]. Compared to a single robot, a team can increase spatial
42 coverage, decrease the time to achieve the objectives, and make achievement of the objectives robust to
43 the failure of robots [80, 10].

44 For example, in the (NP-hard) team orienteering [40] problem (TOP) [16, 43, 91], a team of robots
45 are mobile in an environment modeled as a graph (nodes: locations; edges: spatial connections between
46 locations), and each node gives a reward to the team if visited by a robot. The TOP is to plan the paths of
47 the robots, from a source to destination node, to gather the most rewards as a team under a travel budget
48 for each robot. Loosely, the TOP problem, which can be formulated as an integer program, combines
49 aspects of the classic knapsack problem (selecting the nodes from which to collect rewards, under the
50 travel budget) and the traveling salesman problem (finding the shortest path that visits these nodes) [91].

51 **1.2 Teams of mobile robots orienteering in hazardous environments**

52 In some applications, robots move in a hazardous environment [89] and incur risks of failure, destruction,
53 disablement, and/or capture. The hazards could originate from dangerous terrain, rough seas, strong
54 winds, heat, radiation, corrosive chemicals, or mines—or, an adversary with the capability to attack,
55 disable, destroy, or capture robots [1].

56 Robots traversing a hazardous environment should plan and coordinate their trails in consideration of
57 risks of failure. First, robots should take the safest trails to visit their destination(s). Second, the robots
58 should coordinate their trails to make achievement of the team objective resilient to robot failures [103].
59 A *resilient* team of robots [72] (i) anticipates failures and makes risk-aware plans that endow the team
60 with *robustness*—the ability to withstand failures with minimal concession of the objective, and/or (ii)
61 changes their trail plans during the mission, adapting in response to realized robot failures, to recoup the
62 otherwise anticipated loss in the objective. Third, the trail plans must balance the rewards gained from
63 visiting different locations against the risks incurred by the robots to reach those locations.

64 Models and algorithms have been developed for path-planning for robot teams orienteering in haz-
65 ardous environments abstracted as graphs [103]. In the Team Surviving Orienteers problem (TSOP)
66 [50, 49, 51], each node of the graph offers a reward to the team when visited by a robot, and each
67 edge-traversal by a robot incurs a probability of destruction. The objective in the TSOP is to plan the
68 paths of the robots (from a source to destination node) to maximize the expected team reward under the
69 constraint that each robot survives the mission with a probability above a set threshold. (In the *offline*
70 setting, the paths of the robots are set at the beginning of the mission, then followed without adaptation.
71 In the *online* setting, the paths are updated during the mission in response to realized robot failures.)
72 Relatedly, the Foraging Route with the Maximum Expected Utility problem [31] is to plan the foraging
73 route of a robot collecting rewards in a hazardous environment, but the rewards are lost if the robot is
74 destroyed before returning to the source node to deposit the goods it collected. In the Robust Multiple-path
75 Orienteering Problem (RMOP) [84], similarly, each node gives a reward to the team only if a robot visits
76 it *and* survives the mission to deposit the reward, and the paths of the K robots are planned to maximize
77 the team reward under the worst-case attacks of $\alpha < K$ of the robots by an adversary. The offline version
78 of RMOP constitutes a two-stage, sequential, one-step game with perfect information: (1) the robot team
79 chooses a set of paths then (2) the adversary, knowing these paths, chooses the subset of robots to attack
80 and destroy. The optimal path plans for the robots must trade (i) redundancy in the nodes visited, to give
81 robustness against attacks, and (ii) coverage of many nodes, to collect many rewards. In the hazardous
82 orienteering problem [78, 66], robots incur a risk of destruction when visiting a node, and the reward is

83 received only if the robot returns safely to the depot node. The time-bomb knapsack problem aims to
 84 maximize the expected profit of the items in the knapsack under a knapsack capacity when each item
 85 has a probability of exploding and causing the profit to be lost [65]. Notably, Subramanian (1997) was
 86 among the first to model stochastic hazards along a path in a graph [83]. Other work involving robot
 87 path-planning in hazardous environments includes maximizing coverage of an area containing threats
 88 to robots [57, 99], handling adversarial attacks on the sensors of the robots [60, 105, 62, 104], gathering
 89 information in an environment with unknown hazards [81], finding the optimal formation for a robot team
 90 [82], and multi-robot patrolling under adversarial attacks [45].

91 **1.3 Our contribution**

92 Herein, our contribution is: (1) framing and intuiting a bi-objective variant of the offline TSOP [50, 49, 51],
 93 the bi-objective team orienteering in hazardous environments (BOTOHE) problem, then (2) specifying a
 94 bi-objective ant colony optimization algorithm [47], guided by heuristics, to search for the Pareto-optimal
 95 set of robot-team trail plans, then (3) finally, solving and analyzing several examples of BOTOHE problem
 96 instances for insights.

97 In the BOTOHE problem, a team of robots are mobile in a hazardous environment, modeled as a
 98 directed graph whose arcs present known probabilities of destruction to robots traversing them. Each node
 99 of the graph offers a reward to the team if a robot visits it. The BOTOHE problem is to plan the closed
 100 trails of the robots to maximize two team-level objectives: the expected (1) rewards accumulated by the
 101 team via visiting nodes and (2) number of robots that survive the mission. (We focus on the offline setting,
 102 corresponding with a lack of communication with/between robots after the mission executes.) See Fig. 1a.

103 Three interesting features of the BOTOHE are: (1) for the survival objective, robots a) risk-aversely
 104 avoid visiting dangerous subgraphs despite rewards offered by nodes therein and b) take the safest
 105 closed trails to visit the nodes assigned to them; (2) for the reward objective, the robots a) daringly visit
 106 dangerous subgraphs to attempt collection of the rewards offered by nodes therein, b) visit the lower-risk
 107 and higher-reward subgraphs earlier in the mission, and c) build node-visit redundancy into their trail
 108 plans (i.e., multiple robots visit the same node) to make the team-reward robust to the loss of robots during
 109 the mission; and (3) comparing (1a) and (2a), the two objectives are inherently conflicting, as the robots
 110 must risk their survival while taking their trails to visit nodes and collect rewards.

111 To handle the conflict between the reward and survival objectives in the BOTOHE, we search for the
 112 Pareto-optimal set [69, 11] of robot-team trail plans. By definition, a Pareto-optimal trail plan cannot be
 113 altered to give a higher expected reward without lowering the expected number of robots that survive—and
 114 vice versa. Fig. 1b illustrates. We then can present the Pareto-optimal set to a human decision-maker to
 115 select the team trail plan that strikes some tradeoff between the two objectives, based on their value of
 116 rewards vs. robot survival.

117 To search for the Pareto-optimal set of robot-team trail plans in the BOTOHE, we specify and
 118 implement a bi-objective ant colony optimization (ACO) algorithm [47], guided by heuristics. We adopt
 119 ACO [32, 7, 6] because it is naturally-suited for searching for trail sets on graphs. For illustration, we solve
 120 and analyze a BOTOHE problem instance for information-gathering in an art museum. Through ablation
 121 studies, we quantify the contribution of the greedy heuristics and pheromone to the search efficiency.

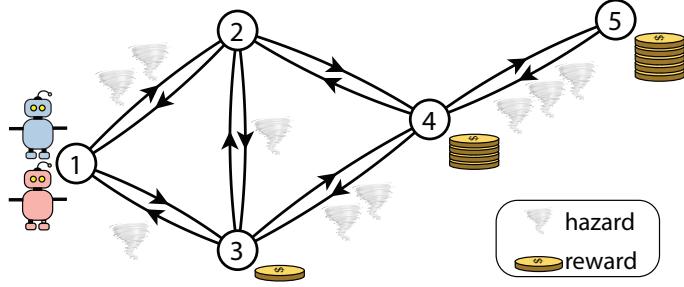
122 **2 THE BI-OBJECTIVE TEAM ORIENTEERING IN HAZARDOUS ENVIRON- 123 MENTS (BOTHE) PROBLEM**

124 **2.1 Problem setup**

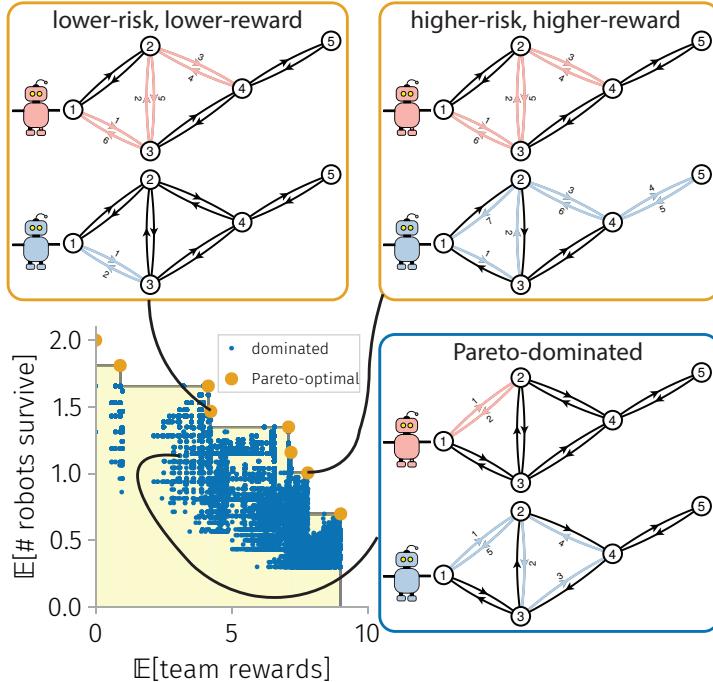
125 Here, we frame the Bi-Objective robot-Team Orienteering in Hazardous Environments (BOTOHE)
 126 problem.

127 A homogenous team of K robots are mobile in an environment modeled as a directed graph $G = (\mathcal{V}, \mathcal{E})$.
 128 Each node $v \in \mathcal{V}$ represents a location in the environment (e.g., a room in a building). Each arc $(v, v') \in \mathcal{E}$,
 129 an ordered pair of distinct nodes, represents a one-way, direct spatial connection (e.g. a door- or hall-way)
 130 to travel from node v to node v' . *Mobility* of the robots implies they may walk on the graph G , i.e.
 131 sequentially hop from a node v to another node v' via traversing arc $(v, v') \in \mathcal{E}$. All K robots begin at
 132 a base node $v_b \in \mathcal{V}$. Regarding reachability, we assume G is strongly connected—but, not necessarily
 133 complete.

134 Owing to unpredictable and/or uncertain hazards in the environment, a robot incurs a probability of
 135 destruction of $1 - \omega(v, v')$ when, beginning at node v , it attempts to traverse arc $(v, v') \in \mathcal{E}$ to visit node



(a)



(b)

Figure 1. The bi-objective team orienteering in hazardous environments (BOTHE) problem. (a) A team of robots are mobile on a directed graph whose nodes offer a reward to the team if visited by a robot and arcs present a probability of destruction to robots that traverse them (tornado: 1/10 probability of destruction). The task is to plan the trails of the robots to maximize the expected reward collected and the expected number of robots that survive. (b) Pareto-optimal and -dominated robot-team trail plans scattered in objective space, with two Pareto-optimal plans and one Pareto-dominated plan shown.

136 v' . Each outcome (survival or destruction) is an independent event. The arc survival probability map
 137 $\omega : \mathcal{E} \rightarrow (0, 1]$ is known, static over the course of the mission, and not necessarily symmetric (owing to
 138 e.g., [directional] air or water currents or bright sunlight or an adversary with limited range nearer one
 139 node than another).

140 Each node $v \in \mathcal{V}$ of the graph G offers a reward $r(v) \in \mathbb{R}_{\geq 0}$ to the robot team if visited by one or
 141 more robots over the course of the mission. The reward $r(v)$ quantifies the utility gained by the team
 142 when a robot e.g. delivers a resource to node v , takes an image of node v and transmits it back to the
 143 command center, or actuates some process (e.g. turns a valve) at node v . The total reward collected by
 144 the team is additive among nodes of the graph. Note, (1) even if a robot is destroyed after leaving a node, the
 145 harvested reward from that node is still accumulated by the team; and (2) multiple visits to a node by the
 146 same or distinct robot(s) do not give marginal reward over a single visit.

To collect rewards in this hazardous environment, the team of robots must plan a set¹ of closed, directed trails $\mathcal{P} := \{\rho_1, \dots, \rho_K\}$ on the graph G to follow. A *directed trail* [21, 97] is an ordered list of nodes $\rho = (\rho[0], \rho[1], \dots, \rho[|\rho|])$ where (i) $\rho[i] \in \mathcal{V}$ is the i th node in the trail, (ii) an arc exists from each node in ρ to the subsequent node, i.e., $(\rho[i-1], \rho[i]) \in \mathcal{E}$ for $1 \leq i \leq |\rho|$, (iii) $|\rho|$ is the number of arcs traversed in the trail, and (iv) the arcs traversed in the trail are unique, i.e. each arc in the multiset $\{(\rho[i-1], \rho[i])\}_{i=1}^{|\rho|}$ has a multiplicity of one. Note, unlike a path, the nodes in a trail are not necessarily distinct [95]. A *closed* trail begins and ends at the same node, i.e. $\rho[0] = \rho[|\rho|]$, which, here, = v_b . Each trail ρ_k belonging to the robot-team trail plan \mathcal{P} constitutes a *plan* because robot k may be destroyed in the process of following ρ_k and thus not *actually* visit all nodes in ρ_k . A robot *survives* the mission if it visits all nodes in its planned trail and returns to the base node (without getting destroyed).

We wish to design the robot-team trail plan \mathcal{P} to maximize two objectives: (1) the expected team reward, $\mathbb{E}[R]$, and (2) the expected number of robots that survive the mission, $\mathbb{E}[S]$. (Both R and S (i) are random variables owing to the stochasticity of robot survival while trail-following and (ii) depend on the robot-team trail plan \mathcal{P} owing to different rewards among nodes and dangers among arcs.) That is, the BOTHE problem is:

$$\max_{\mathcal{P}=\{\rho_1, \dots, \rho_K\}} (\mathbb{E}[R(\mathcal{P})], \mathbb{E}[S(\mathcal{P})]) \quad (1)$$

when given the directed graph G as a spatial abstraction of the environment, the homogenous team of K mobile robots starting at the base node v_b , the node reward map $r : \mathcal{V} \rightarrow \mathbb{R}_{\geq 0}$, and the arc survival probability map $\omega : \mathcal{E} \rightarrow (0, 1]$.

Because the bi-objective optimization problem in eqn. 1 presents a conflict between designing the robot-team trail plan to maximize the expected reward *and* the number of surviving robots, we seek the *Pareto-optimal set* [69, 11] of team-robot trail plans. The conflict is: (1) to maximize survival, a risk-averse robot team would not visit a dangerous region even if large rewards were contained therein, sacrificing reward for survival; (2) to maximize reward, a daring robot team would visit a dangerous region even if only small rewards were contained therein, sacrificing survival for reward. Hence, a utopian robot-team trail plan that simultaneously maximizes *both* reward and survival objectives is unlikely to exist; the ultimate team trail plan selected for the mission must strike some tradeoff between reward and survival. By definition, a *Pareto-optimal* [69, 11] robot-team trail plan \mathcal{P}^* cannot be altered to increase the survival objective $\mathbb{E}[S(\mathcal{P}^*)]$ without compromising (decreasing) the reward objective $\mathbb{E}[R(\mathcal{P}^*)]$ —and vice versa. See Fig. 1b and the formal definition below. The Pareto-optimal set of team trail plans is valuable for presenting a *portfolio* of team trail plans to a human decision-maker, who ultimately invokes their values—i.e., makes a team-reward vs. robot-survival tradeoff—by selecting a good team trail plan from the Pareto set.

Formal definition of Pareto-optimality and Pareto-front. Plan \mathcal{P}^* belongs to the Pareto-optimal set of plans if and only if no other plan \mathcal{P}' Pareto-dominates it. By definition, a plan \mathcal{P}' Pareto-dominates plan \mathcal{P}^* if and only if both:

$$(\mathbb{E}[R(\mathcal{P}')] \geq \mathbb{E}[R(\mathcal{P}^*)]) \wedge (\mathbb{E}[S(\mathcal{P}')] \geq \mathbb{E}[S(\mathcal{P}^*)]) \quad (2)$$

$$(\mathbb{E}[R(\mathcal{P}')] > \mathbb{E}[R(\mathcal{P}^*)]) \vee (\mathbb{E}[S(\mathcal{P}')] > \mathbb{E}[S(\mathcal{P}^*)]). \quad (3)$$

So, the Pareto-dominating plan \mathcal{P}' is not worse than plan \mathcal{P}^* for reward nor for survivability, and is better for one or both of them. If a plan \mathcal{P}' were to Pareto-dominate another plan \mathcal{P}^* , one would objectively never choose plan \mathcal{P}^* over plan \mathcal{P}' , regardless of how they relatively value the two objectives. The *Pareto front* is the set of objective vectors $\{(\mathbb{E}[R(\mathcal{P}^*)], \mathbb{E}[S(\mathcal{P}^*)])\}$ associated with the Pareto-optimal set of team trail plans $\{\mathcal{P}^*\}$.

Comparison with TSOP. The BOTHE problem formulation follows the offline TSOP [50] with three modifications: we (i) omit the constraints that each robot survives above a threshold probability², (ii) allow robots to follow trails instead of restricting to paths, as paths prevent robots from visiting a given node more than once and thus from e.g., harvesting reward from a leaf node having one in- and one out-degree involving the same node, and (iii) have two objectives instead of one and seek the Pareto-optimal set of team trail plans.

¹Since the robot team is homogenous, we consider the team trail plan as permutation-invariant and thus treat it as a set not a list.
²i.e., we accept if one [uncrewed] robot bears much more risk of destruction than another during the mission.

185 **2.2 Probability distributions and expectations of $R(\mathcal{P})$ and $S(\mathcal{P})$**

186 We now write formulas for the two objectives—the expectations of the team reward $R(\mathcal{P})$ and of number
 187 of robots that survive the mission $S(\mathcal{P})$ —as a function of the robot-team trail plan \mathcal{P} . These formulas
 188 follow from the directed graph G , arc survival probability map ω , and node reward map r . Fig. 2 illustrates
 189 our notation.

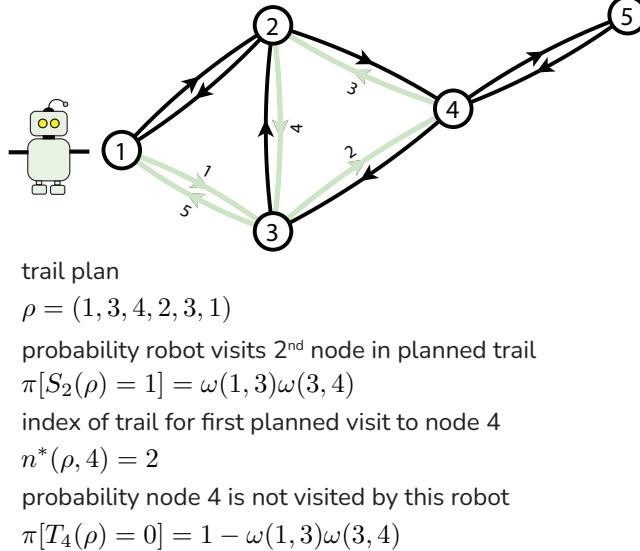


Figure 2. Illustrating notation for a particular robot’s trail plan.

190 **2.2.1 The survival of a single robot along its followed trail**

Central to computing both $\mathbb{E}[S(\mathcal{P})]$ and $\mathbb{E}[R(\mathcal{P})]$ is the probability that a robot survives to reach a given node in its planned trail. Let $S_n(\rho)$ for $0 \leq n \leq |\rho|$ be the Bernoulli random variable that is one if a robot following trail ρ survives to visit the n th node in the trail and zero otherwise. For the event of survival, the robot must survive traversal of *all* of the first n arcs in its trail to visit node $\rho[n]$. So, since the survival of a robot over each arc traversal attempt is an independent event, the probability that a robot following trail ρ successfully visits node $\rho[n]$ is the product of the survival probabilities of the first n arc-hops in the trail:

$$\pi[S_n(\rho) = 1] = \prod_{i=1}^n \omega(\rho[i-1], \rho[i]) = 1 - \pi[S_n(\rho) = 0]. \quad (4)$$

191 The second equality follows because the complement of the event of survival is destruction.

192 **2.2.2 Expected number of robots that survive**

Now, we write a formula for the expected number of robots that survive the mission, $\mathbb{E}[S(\mathcal{P})]$. The event of survival of each robot is independent of the other robots. Consequently, the number of robots that survive the mission, S , is the sum of the Bernoulli random variables indicating the survival of each robot over its planned trail:

$$S(\mathcal{P} = \{\rho_1, \dots, \rho_K\}) = \sum_{k=1}^K S_{|\rho_k|}(\rho_k). \quad (5)$$

Thus, S follows the Poisson-Binomial distribution [88]. Seen from eqn. 5 and the linearity of the expectation operator, the expected number of robots that survive the mission is:

$$\mathbb{E}[S(\mathcal{P} = \{\rho_1, \dots, \rho_K\})] = \sum_{k=1}^K \mathbb{E}[S_{|\rho_k|}(\rho_k)] = \sum_{k=1}^K \pi[S_{|\rho_k|}(\rho_k) = 1] \quad (6)$$

193 with $\pi[S_{|\rho_k|}(\rho_k) = 1]$ given in eqn. 4.

¹⁹⁴ **2.2.3 Expected team reward**

¹⁹⁵ Now, we write a formula for the expected team reward, $\mathbb{E}[R(\mathcal{P})]$.

First, we calculate the probability that a robot following a given trail ρ does not visit a given node $v \in \mathcal{V}$. Let the Bernoulli random variable $T_v(\rho)$ be one if a robot following trail ρ visits node v and zero if it does not. If node v is not in the planned trail ρ , $T_v(\rho) = 0$ with certainty. Now, suppose node v is in the planned trail ρ . Let n^* be the index in the trail where the robot plans its first visit to node v :

$$n^*(\rho, v) = \min_{\substack{n \in \{0, \dots, |\rho|\} \\ \rho[n] = v}} n. \quad (7)$$

Then, $T_v(\rho)$ is equal to the random variable $S_{n^*}(\rho)$ because the robot visits node v if and only if it survives its first n^* arc-hops to first land on node v . So, the probability node v is not visited by a robot following trail ρ is:

$$\pi[T_v(\rho) = 0] = \begin{cases} 1 & v \notin \rho \\ \pi[S_{n^*}(\rho, v)(\rho) = 0] & v \in \rho \end{cases} \quad (8)$$

¹⁹⁶ with $\pi[S_{n^*}(\rho) = 0]$ calculated using eqn. 4.

Second, we calculate the probability that a given node $v \in \mathcal{V}$ is visited by one or more robots on a team following trail plans $\mathcal{P} = \{\rho_1, \dots, \rho_K\}$; only then is the reward offered by that node, $r(v)$, accumulated by the team. Let $T_v(\mathcal{P})$ be the Bernoulli random variable that is one if one or more robots on the team with trail plans \mathcal{P} visit node v and zero otherwise (i.e. if and only if zero of the robots visit v). The complement of the event $T_v(\mathcal{P}) = 1$ is that all K robots do not visit node v (independent events), so:

$$\pi[T_v(\{\rho_1, \dots, \rho_K\}) = 1] = 1 - \prod_{k=1}^K \pi[T_v(\rho_k) = 0]. \quad (9)$$

¹⁹⁷ with $\pi[T_v(\rho) = 0]$ in eqn. 8.

Finally, the total team reward collected by the robot-team following trail plan $\{\rho_1, \dots, \rho_K\}$ is the sum of the rewards given to the team by each node:

$$R(\{\rho_1, \dots, \rho_K\}) = \sum_{v \in \mathcal{V}} r(v) T_v(\{\rho_1, \dots, \rho_K\}), \quad (10)$$

where the reward from node v , $r(v)$, is accumulated if and only if node v is visited by one or more robots (i.e. iff $T_v(\mathcal{P}) = 1$). The expected reward accumulated over the mission by a robot-team following trail plans $\{\rho_1, \dots, \rho_K\}$ is:

$$\mathbb{E}[R(\{\rho_1, \dots, \rho_K\})] = \sum_{v \in \mathcal{V}} r(v) \pi[T_v(\{\rho_1, \dots, \rho_K\}) = 1] \quad (11)$$

¹⁹⁸ with $\pi[T_v(\{\rho_1, \dots, \rho_K\}) = 1]$ given in eqn. 9.

¹⁹⁹ **3 BI-OBJECTIVE ANT COLONY OPTIMIZATION TO SEARCH FOR THE
PARETO-OPTIMAL ROBOT-TEAM TRAIL PLANS**

²⁰⁰ To efficiently search for the Pareto-optimal set of robot-team trail plans defined by eqn. 1, we employ bi-objective (BO) ant colony optimization (ACO) [47]. ACO [32, 7, 6] is a meta-heuristic for combinatorial optimization problems framed as a search for an optimal path (or trail) on a graph. As a swarm intelligence method [7], ACO is inspired by the behavior of ants efficiently foraging for food [8]. See Box 1. As ²⁰¹ precedent, variants of the single-robot- and team-orienteeering problem have been efficiently and effectively ²⁰² solved by ACO [54, 18, 92, 86, 17, 67] (as well as other [meta-]heuristics [39, 26, 15, 13]), but not the ²⁰³ TSOP. ²⁰⁴ ²⁰⁵ ²⁰⁶ ²⁰⁷

Box 1: Pheromone trail laying and following by foraging ants

Largely (but not exclusively [37, 25, 75]) via laying and following pheromone trails [25], some species of foraging ant colonies advantageously [30] can collectively select (i) the shortest path from the nest to a food source [41] and (ii) the highest quality food source among multiple options equidistant from the nest [5].

Pheromone, a relatively volatile chemical [27], serves as an olfactory cue for ants [56], allowing for *indirect* communication between ants in the colony. On the way back to the nest from a food source, ants deposit pheromone on the ground—an amount modulated by the quality of the food source [5]. Shorter paths to higher quality food sources accumulate pheromone more quickly. Since ants are recruited to and follow pheromone [5, 25], these paths recruit more ants and get reinforced. Via this positive feedback, the colony can collectively select the shortest path or highest-quality food source [48, 25, 7]. The pheromone trails laid by the colony form a *collective memory*—a local guide for ants to high-quality food sources and short paths to them [48]. Some species of ants can deposit multiple species of pheromone (from different glands) with e.g. different longevities [25], allowing for more complex indirect communication [48, 75].

As negative feedback mechanisms, (i) pheromone evaporates over time [48, 27, 90], allowing the ant colony to “forget” trails to exhausted food sources, and (ii) ants deposit less pheromone on trails a) with already-high pheromone concentrations [24] or b) leading to food sources already occupied by their nestmates [94].

Ants select among pheromone trails with some degree of stochasticity [29], which is beneficial for (i) continual exploration to find even shorter paths and even higher-quality food sources, (ii) exploiting multiple food sources in parallel, and (iii) plasticity in a dynamic environment [30, 85, 28, 35, 36].

The combination of positive feedback, negative feedback, and randomness in ants’ pheromone-laying and -following can give rise to complex collective behavior despite simple interactions among decentralized individuals [9, 7, 41, 48, 36, 93].

208

In bi-objective ACO, we simulate a heterogenous colony of artificial ants walking on the graph G —under a loose analogy, foraging for food—over many iterations. At each iteration, each worker ant stochastically constructs a robot-team trail plan $\{\rho_1, \dots, \rho_K\}$ robot-by-robot, arc-by-arc, biased by (i) the amounts of two species of pheromone on the arcs encoding the colony’s past experiences and (ii) two heuristics that score the greedy, *a priori* appeal of each arc for each objective. As a division of labor, each worker ant specializes by searching for team trail plans belonging to a different region of the Pareto-front. Then, ants that found the Pareto-optimal team trail plans over that iteration deposit pheromone of each species on the arcs involved, proportionally to the value of the objective achieved by that plan. An elitist ant [33] maintains a set of global (i.e., over all iterations) Pareto-optimal team trail plans and also deposits pheromone on arcs. Finally, to prevent stagnation and promote continual exploration, a fraction of the pheromone evaporates. After many iterations, the ACO algorithm returns the [approximate³] Pareto-optimal set of robot-team trail plans maintained by the elitist ant.

221 3.1 The heterogenous artificial colony of ants, pheromone, and heuristics

222 Our heterogenous artificial ant colony consists of (i) N_{ants} worker ants and (ii) an elitist ant. Worker ant
223 $i \in \{1, \dots, N_{\text{ants}}\}$ in the colony is assigned a parameter $\lambda_i := (i-1)/(N_{\text{ants}}-1)$ dictating its balance of the
224 two objectives when searching for Pareto-optimal team trail plans. A λ closer to zero (one) implies the ant
225 prioritizes maximizing the expected reward $\mathbb{E}[R]$ (robot survivals $\mathbb{E}[S]$). So, different ants seek team trail
226 plans belonging to different regions of the Pareto front. The elitist ant maintains the global-Pareto-optimal
227 set of team trail plans.

228 Each arc $(v, v') \in \mathcal{E}$ of the graph is associated with (i) amounts of two distinct species of pheromone,
229 $\tau_R(v, v')$ and $\tau_S(v, v')$, and (ii) heuristic scores $\eta_R(v, v')$ and $\eta_S(v, v')$. Both $\tau_{R,S}(v, v') > 0$ and $\eta_{R,S}(v, v') > 0$
230 score the promise of arc (v, v') for belonging to Pareto-optimal team trail plans that maximize $\mathbb{E}[R]$ and
231 $\mathbb{E}[S]$, respectively, and guide worker ants’ construction of robot-team trail plans. The pheromone is
232 learned, reflecting the past collective experience/memory of the ant colony. Due to deposition and

³The ACO meta-heuristic is not guaranteed to find all Pareto-optimal solutions nor neglect to include a Pareto-dominated solution.

233 evaporation, the pheromone is dynamic over iterations. By contrast, the heuristic is static and scores the *a*
 234 *priori*, greedy/myopic appeal of each arc to accelerate the convergence of ACO.

235 3.2 Constructing robot-team trail plans

236 Each iteration, every worker ant stochastically constructs a team trail plan $\mathcal{P} = \{\rho_1, \dots, \rho_K\}$ by se-
 237 quentially allocating trails to the robots and [conceptually] following the closed trail the ant designs
 238 for each robot. Computing the objectives achieved under each plan via eqns. 6 and 11 gives data
 239 $\{(\mathcal{P}_i, \mathbb{E}[R(\mathcal{P}_i)], \mathbb{E}[S(\mathcal{P}_i)])\}_{i=1}^{N_{\text{ants}}}$ used to deposit pheromone (worker ant) and update the global Pareto-
 240 optimal set (elitist ant).

A robot trail is constructed by iteratively applying a stochastic, partial-trail extension rule until the closed trail is complete. Suppose an ant with objective-balancing parameter λ is constructing the closed trail for robot k , ρ_k , and currently resides at node $v = \rho_k[i]$. Namely, the ant has selected (i) the trails for the previous $k - 1$ robots, $(\rho_1, \dots, \rho_{k-1})$, and (ii) an incomplete/partial trail for robot k , $\tilde{\rho}_k = (v_b, \rho_k[1], \dots, \rho_k[i] = v)$, giving its first i arc-hops. The probability of next hopping to node v' across arc $(v, v') \in \mathcal{E}$ not yet traversed in the partial trail $\tilde{\rho}_k$ is:

$$\pi(v' | \rho_1, \dots, \rho_{k-1}, \tilde{\rho}_k) \propto [\tau_R(v, v') \eta_R(v, v'; \rho_1, \dots, \rho_{k-1}, \tilde{\rho}_k)]^{1-\lambda} [\tau_S(v, v') \eta_S(v, v')]^\lambda. \quad (12)$$

241 The partial trail is more likely to be extended with $v' := \rho_k[i+1]$ if arc (v, v') has more pheromone $\tau_{R,S}(v, v')$
 242 and/or greedy heuristic appeal $\eta_{R,S}(v, v')$ —with more or less emphasis on the reward or survivability
 243 pheromone/heuristic depending on the ant’s λ . Iteratively extending the partial trail using eqn. 12, the
 244 ant completes the trail for robot k after it traverses the self-loop of the base node, (v_b, v_b) . Then, the ant
 245 begins trail construction for robot $k + 1$ if $k < K$ or completes its team trail plan \mathcal{P} if $k = K$.

Heuristics. For the survivability objective, we score the desirability of arc (v, v') with the probability of the robot surviving traversal of that arc, $\eta_S(v, v') := \omega(v, v')$ —myopic because it does not consider survivability of arcs later in the trail. For the reward objective, we greedily score the desirability of arc (v, v') with the expected marginal reward the team receives by robot k visiting node v' next, which is $r(v')$ if (i) none of the previous $k - 1$ robots visit node v' , (ii) node v' is not planned to be visited earlier in the trail of robot k , and (iii) robot k survives its hop to node v' and zero otherwise:

$$\eta_R(v, v'; \rho_1, \dots, \rho_{k-1}, \tilde{\rho}_k) := \pi[T_{v'}(\{\rho_1, \dots, \rho_{k-1}\}) = 0] \mathcal{I}[v' \notin \tilde{\rho}_k] \omega(v, v') r(v'), \quad (13)$$

246 with $\mathcal{I}(\cdot)$ the indicator function—myopic because it does not account for rewards robot k could collect
 247 further along the trail. Note, to prevent either heuristic from being exactly zero (resulting in *never* selecting
 248 that arc), we add a small number ϵ to each heuristic.

249 3.3 Pheromone update

250 At the end of each iteration, we update the pheromone maps τ_R and τ_S to capture the experience of the ants
 251 in finding Pareto-optimal robot-team trail plans, better-guide the ants’ trail-building in the next iteration,
 252 and prevent stagnation (premature convergence).

The pheromone update rule is:

$$\tau_{R,S}(v, v') \leftarrow (1 - \rho) \tau_{R,S}(v, v') + \Delta \tau_{R,S}(v, v') \text{ for } (v, v') \in \mathcal{E}, \quad (14)$$

253 with $\rho \in (0, 1)$ the evaporation rate (a hyperparameter) and $\Delta \tau_{R,S}(v, v')$ the amount of new pheromone
 254 deposited on arc (v, v') by the ants.

255 Evaporation, accomplished by the first term in eqn. 14, removes a fraction of the pheromone on
 256 every arc. This negative feedback mechanism prevents premature convergence to suboptimal trails and
 257 encourages continual exploration.

Deposition, accomplished by the second term in eqn. 14, constitutes indirect communication to ants in future iterations about which arcs tend to belong to Pareto-optimal team trail plans and the objectives achieved under those plans. First, the ants *collaborate* by (i) among the worker ants, comparing the solutions constructed *this* iteration to obtain the *iteration*-Pareto-optimal set of plans and (ii) worker ants sharing the solutions constructed this iteration with the elitist ant, who then updates the *global*-Pareto-optimal set. Second, the worker ants with an iteration-Pareto-optimal team trail plan execute their constructed plan while depositing pheromone on the arcs. Third, the elitist ant executes all global-Pareto-optimal team trail plans while depositing pheromone on the arcs. Each ant deposits both reward and

survival pheromone in proportion to the reward and survival objectives, respectively, achieved under the plan they are following. (The objective is analogous with food quality). Specifically, amalgamating the iteration- and global-Pareto-optimal team trail plans into a multiset $\{(\mathcal{P}_p^*, \mathbb{E}[R(\mathcal{P}_p^*)], \mathbb{E}[S(\mathcal{P}_p^*)])\}_{p=1}^P$, arc (v, v') receives pheromone:

$$\Delta\tau_{R,S}(v, v') := \frac{1}{P} \sum_{p=1}^P \mathbb{E}[R(\mathcal{P}_p^*), S(\mathcal{P}_p^*)] \sum_{k=1}^K \sum_{i=1}^{|\rho_k^{(p)}|} \mathcal{I}[(v, v') = (\rho_k^{(p)}[i-1], \rho_k^{(p)}[i])]. \quad (15)$$

with $\mathcal{I}(\cdot)$ the indicator function. The sums are over, left-to-right, Pareto-optimal plans, robot trails in those plans, and arcs in those robot trails. The latter two sums count the number of times the arc (v, v') appears in \mathcal{P}_p^* . By construction, arcs that receive the most reward (survival) pheromone frequently belong to trails in the Pareto-optimal team trail plans with high reward (survival).

We initialize the pheromone on all arcs with $\tau_{R,S}(v, v') = 1$, to allow the heuristic to completely guide the first iteration.

3.4 Area indicator for Pareto-set quality

From iteration-to-iteration, we measure the quality of the global [approximate] set of Pareto-optimal robot-team trail plans tracked by the elitist ant using an area indicator [14, 42]—loosely, the area in objective space enclosed between the origin and the [approximated] Pareto-front. Formally, the quality q of a Pareto set $\{\mathcal{P}_1^*, \dots, \mathcal{P}_P^*\}$ is the area of the union of rectangles in 2D objective space:

$$q(\{\mathcal{P}_1^*, \dots, \mathcal{P}_P^*\}) := \left| \bigcup_{p=1}^P \{o \in \mathbb{R}^2 : o \geq 0 \wedge o \leq (\mathbb{E}[R(\mathcal{P}_p^*)], \mathbb{E}[S(\mathcal{P}_p^*)])\} \right| \quad (16)$$

illustrated with the shaded yellow area in Fig. 1b.

4 RESULTS

We now implement bi-objective ant colony optimization to search for Pareto-optimal robot-team trail plans for an instance of bi-objective team orienteering in a hazardous environment. We visualize the pheromone trail and some of the Pareto-optimal solutions to gain intuition. We also conduct an ablation study to quantify the importance of the pheromone and heuristics for guiding the search. Our Julia code to reproduce our results and modify and build upon with more complexity is available at github.com/SimonEnsemble/BO_ACO_TOHE.

4.1 Problem setup

A team of $K = 3$ mobile robots are assigned an information-gathering mission in the San Diego Museum of Art. We selected this art museum for (i) its rich connectivity between galleries, giving an interesting example, and (ii) its small size, allowing us to visualize, interpret, and intuit the solution to a BOTOHE problem on it. We spatially model the art museum as a directed graph $G = (\mathcal{V}, \mathcal{E})$. The set of 27 nodes \mathcal{V} represents the 23 art galleries (rooms), the outside entrance to the building (base node v_b), the main entrance rooms on the first and second floors, and the stairway. The set of arcs \mathcal{E} represents direct passages/doorways between the rooms.

Suppose traversing the art museum is hazardous for the robots, owing to (i) adversarial security guards that (a) seek to prevent the robots from imaging the art and (b) possess the ability attack and/or capture the robots, and (ii) obstacles that the robots could (a) crash into or (b) become entangled in. To model risks of destruction or capture, we assign survival probabilities $\omega(i, j)$ for the arc(s) (i) traversing the staircase of 0.8, (ii) inside and in/out of the main entrance room of 0.9, (iii) on the right side of the first floor of 0.97, (iv) on the left side of the first floor of 0.95, and (v) on the second floor of 0.9.

Suppose, when a robot visits an art gallery in the museum, it images the art there and transmits this image back to the command center, providing utility to the command center. The utility of each image to the command center is scored by the node reward map r assigning rewards of (i) 2/3 for large galleries, (ii) 1/3 for medium-sized galleries, (iii) 1 for small galleries that we suppose contain the most valuable art, and (iv) 1/10 for five galleries that are in corners of the museum or behind the stairway.

292 The two objectives of the command center are to plan the trails of the robots in the art museum to
 293 maximize the (1) expected reward, via robots visiting art galleries, imaging them, then transmitting the
 294 images back to the command center, and (2) expected number of robots that return from the mission.

295 We visualize this BOTOHE problem instance in Fig. 3. The topology of the directed graph is shown,
 296 with a layout reflecting the spatial location of the rooms in the San Diego Museum of Art. The nodes on
 297 the first and second floor are grouped together. The base node is marked by the three robots near it. The
 298 arc survival probability map ω is visualized by the color assigned to each arc. The stairway is the most
 299 dangerous arc. The node reward map r is visualized by the color assigned to each node.

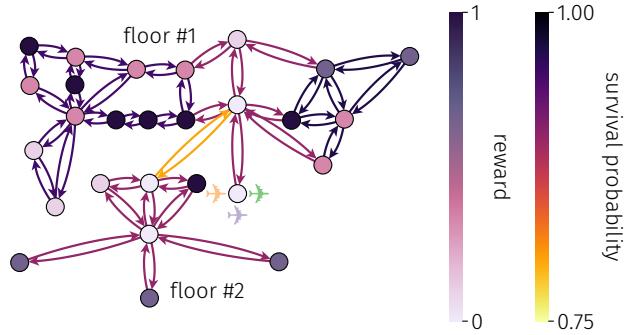


Figure 3. Our TOHE problem instance. The directed graph represents the two-floor San Diego art museum with distinct rooms (nodes) connected by doorways or a stairway (arcs). The arcs are colored according to robot survival probabilities. The nodes are colored according to rewards offered to the team when a robot visits. The three robots (planes) initially reside at the base node.

300 4.2 Computational results

301 We now employ our bi-objective ant optimization algorithm to search for the Pareto-optimal robot-
 302 team trail plans for our problem instance. We use a colony of $N_{ants} = 100$ artificial ants, a pheromone
 303 evaporation rate of $\rho = 0.04$, and 10 000 iterations. We initialize the pheromone maps with one unit of
 304 pheromone on each arc. The runtime is ~ 5 min on an Apple M1 machine.

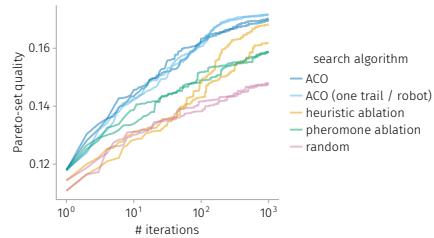


Figure 4. Progress of BO-ACO on our TOHE problem instance and comparison with baselines. The quality of the Pareto-set of robot team trail plans, measured via the area indicator, is shown as a function of the number of iterations of ACO—for ordinary ACO, ACO without heuristics, and ACO without pheromone.

305 Fig. 4 shows the quality (area indicator) of the Pareto-set (q in eqn. 16) over iterations. As the ACO
 306 algorithm progresses, the quality of the Pareto-set improves, but with diminishing returns. The saturation
 307 of the progress over iterations indicates satisfactory convergence.

308 ACO searches for Pareto-optimal robot-team trail plans, guided by (i) the static heuristics $\eta_{R,S}$ that
 309 greedily score the appeal of traversing a given arc and (ii) the dynamic pheromone maps $\tau_{R,S}$ that
 310 encapsulate the memory of the ant colony over previous iterations. Next, we quantify how each of these
 311 components are contributing to the effectiveness of ACO by ablating each. First, we run ACO where
 312 heuristics are not used to bias the ants towards *a priori* promising arcs by setting $\eta_{R,S}(v, v') = 1$ for all arcs
 313 $(v, v') \in \mathcal{E}$. Second, we run ACO where pheromone is not used to bias the ants towards promising arcs
 314 based on the history of the colony's search by setting $\tau_{R,S}(v, v') = 1$ for all arcs $(v, v') \in \mathcal{E}$. Fig. 4 compares
 315 the search efficiency of these ablated runs with ordinary ACO (where both heuristics and pheromone are
 316 used). Compared to ordinary ACO, the search efficiency diminishes for each ablation study. Thus, both
 317 heuristic and pheromone contribute to the search efficiency. However, the search efficiency drops more
 318 dramatically when the heuristic is ablated. Thus, the heuristic is more helpful than the pheromone in
 319 terms of finding a quality Pareto-set in few iterations.

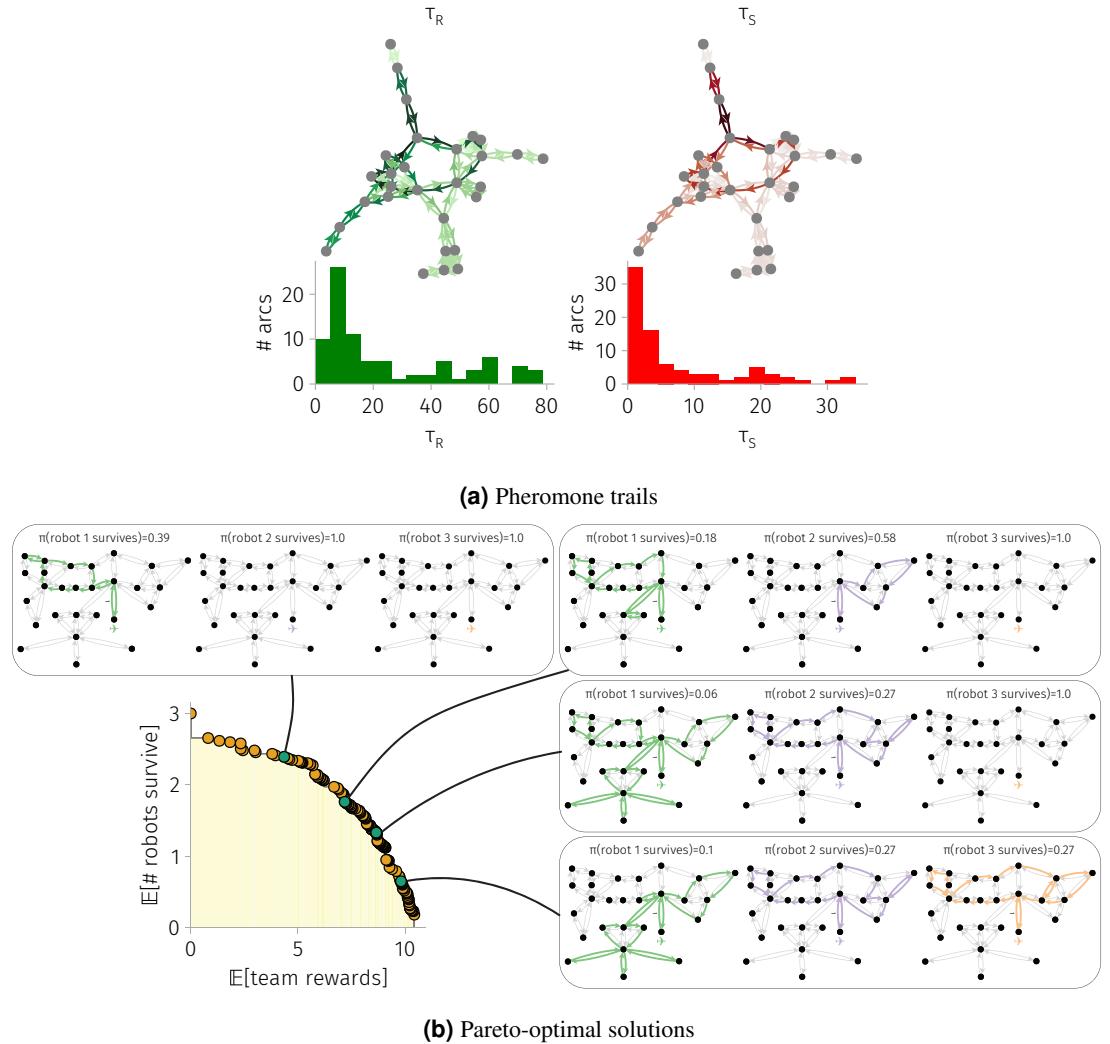


Figure 5. Analysis of the BO-ACO solution to our TOHE problem instance. (a) The pheromone maps τ_R and τ_S at the end of the ACO algorithm. (b) The [approximate] Pareto-front of robot-team team trail plans at the end of the ACO algorithm with four select plans shown. The indicator of the Pareto-set quality is the area of the highlighted, yellow region.

320 At the end of the ACO algorithm, Fig. 5a visualizes the pheromone maps τ_R and τ_S . Note the clock-
 321 wise vs. counter-clock-wise preferences in some cycles of high pheromone to pursue high-reward nodes

322 earlier in the trails to maximize expected reward.

323 Fig. 5b shows the [approximate] Pareto-front of 167 solutions found by BO-ACO. Walking down the
324 Pareto-front trades larger expected team reward for a smaller expected number of robots that return from
325 the mission. The key motivation for treating the *bi-objective* TOHE problem is to present this Pareto-front
326 to a human decision-maker who ultimately chooses a robot-team trail plan that balances reward with robot
327 survival.

328 Finally, Fig. 5b shows four Pareto-optimal trail plans belonging to different regions of the Pareto-front.
329 As we move down the front, plans offer larger expected reward but lower robot survival. In the first plan,
330 only a single robot enters the museum to image galleries offering the highest rewards on the left side
331 of the first floor—avoiding the more dangerous right side of the second floor and the very dangerous
332 staircase to the second floor. In the second plan, two robots are utilized: the first plans to cover much of
333 the left side of the first floor, while the second plans to cover much of the right side of the first floor. In the
334 third plan, also utilizing two robots, the first robot plans to traverse most of the first floor and the second
335 floor later. The second robot traverses much of the first floor. This redundancy in first-floor coverage
336 builds robustness into the plans: even if the first robot gets destroyed early on, the second robot can still
337 image most of the galleries on the first floor. Finally, the fourth plan uses all three robots with much
338 redundancy to achieve high expected reward. Still, the robots avoid taking the risk to enter the bottom left
339 corner of the first floor, whose galleries offer only a small reward.

340 5 DISCUSSION

341 Many applications for mobile robot teams—ranging from information-gathering, resource-delivery, chemi-
342 cal plume source localization, forest fire fighting, to resource delivery—involve traversing environments
343 with hazards e.g., corrosive chemicals, attacking adversaries, obstacles, or rough terrain/seas. For each
344 application, the robots must coordinate their trails for the team-level objective in a risk-aware manner:
345 select the subset of locations to visit, assign the safest trails to the robots, balance reward and risk to the
346 robots, and build redundancy into the plans to make the objective robust to the failure of robots on the
347 team.

348 Heavily inspired by the Team Surviving Orienteers Problem [50, 49, 51], we posed the bi-objective
349 team orienteering in a hazardous environment (BOTHE) problem. By finding the Pareto-optimal set of
350 robot-team trail plans, we can present them to a human decision-maker who ultimately chooses the robot
351 trail plans for the mission according to how he or she values the expected reward collected by the robot
352 team compared with the expected number of robots that survive the dangerous mission.

353 We employed bi-objective ant colony optimization to search for the Pareto-optimal team trail plans.
354 Despite lacking theoretical guarantees to find the Pareto-optimal set, ACO was effective and can be readily
355 adapted to handle extensions to the TOHE problem.

356 **Future work.** For the BOTOHE we have posed, we wish to (i) tackle the online version with ACO,
357 where the robots adapt their planned trails during the mission, in response to observed failures of robots,
358 (ii) devise local search methods to improve the robot trails the ants found, accelerating the convergence of
359 ACO [32], (iii) benchmark non-sequential methods to allocate trails to robots with ACO [54], and (iv)
360 employ multi-colony ant optimization [47].

361 Interesting and practical extensions of robot-team orienteering in adversarial/hazardous environments
362 abstracted a graphs include treating (some of these ideas from Ref. [50]): (i) a heterogenous team of robots
363 with different (a) capabilities to harvest rewards from nodes and (b) survival probabilities for each arc
364 traversal owing to e.g. stealth; (ii) more complicated reward structures, e.g., time-dependent, stochastic,
365 non-additive (correlated [100]), multi-category, or multi-visit rewards; (iii) fuel/battery constraints of the
366 robots and nodes representing refueling, recharging, or battery-switching stations [2, 55, 59, 101]; (iv)
367 constraints on the rewards a robot can harvest e.g. for resource delivery applications where each robot
368 holds limited cargo capacity [22]; (v) non-binary surviving states of the robots due to various levels of
369 damage; (vi) non-independent events of robots surviving arc-traversals; (vii) risk metrics different from
370 the expected value [61].

371 Another interesting direction is to learn/modify the survival probabilities associated with the edges
372 of the graph from data over repeated missions (an inverse problem [12]). Specifically, suppose we are
373 uncertain about the survival probability $\omega(i, j)$ of each arc (i, j) . Within a Bayesian inference framework,
374 we may impose a prior distribution on each $\omega(i, j)$. Then, when a robot survives or gets destroyed during

375 a mission, we update the prior distribution. The trail-planning of the robots over sequential missions may
376 then balance (a) exploitation to harvest the most reward and take what appear to be, under uncertainty, the
377 safest trails and (b) exploration to find even safer trails.

378 Finally, instead of abstracting the environment as a graph, one could path-plan for robots in a
379 continuous space with obstacles.

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