

# **Extensions of Quantum Harmonic Analysis and Applications to Time-Frequency Analysis**

PhD Defense

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Co-supervisor: Sigrid Grepstad

Opponents: Elena Cordero and Bruno Torresani

Extensions of

... and applications to

## Quantum harmonic analysis

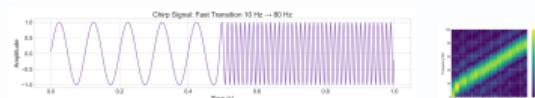
$$\alpha_z(S) = \pi(z)S\pi(z)^*$$

Operator convolutions

Operator Fourier transform

Weyl quantization

## Time-frequency analysis



Short-time Fourier transform

Localization operators

Discretization

dealt with in papers:



A



B



C



D



E



F



G

First, some basics

# Outline



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**Time-frequency analysis**

**Quantum harmonic analysis**

**Papers**

# Outline



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**Time-frequency analysis**

Quantum harmonic analysis

Papers

# Problem: Fourier transform is insufficient



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Our starting point is the **Fourier transform**

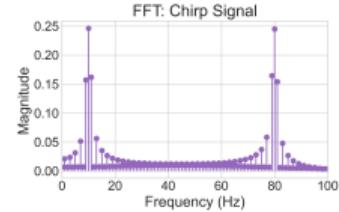
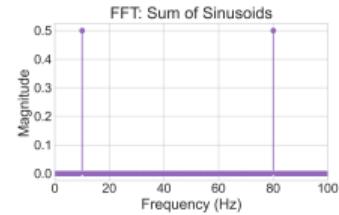
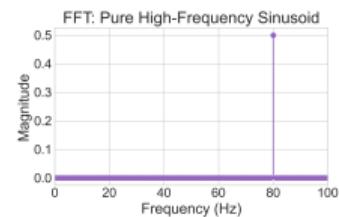
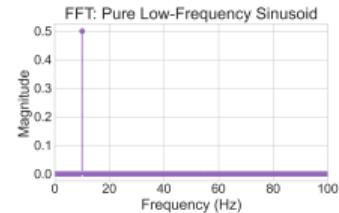
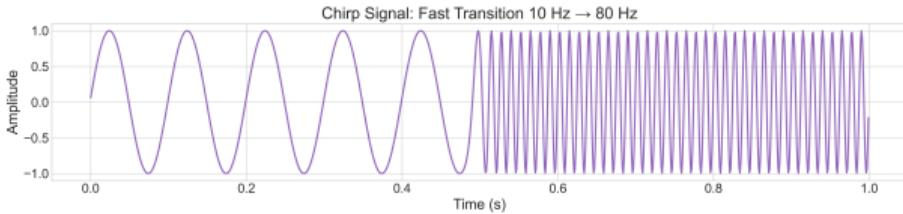
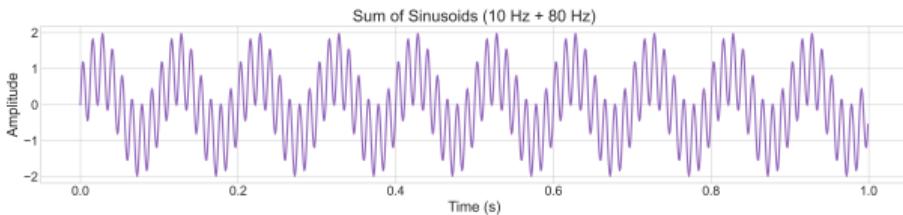
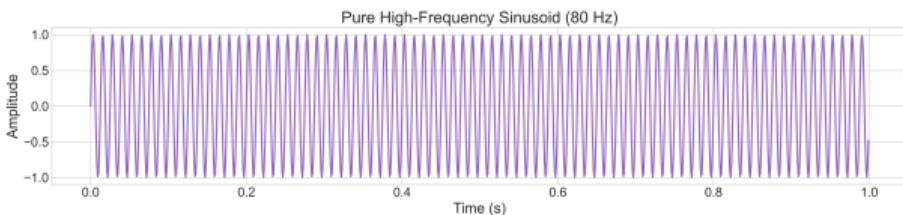
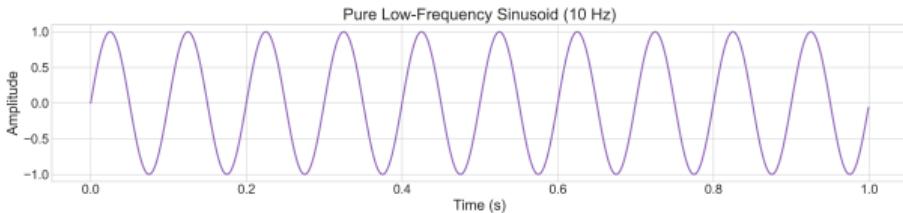
$$\mathcal{F}(f)(\omega) = \hat{f}(\omega) = \int_{\mathbb{R}} f(t) e^{-2\pi i t \omega} dt.$$

We care about signals where frequency varies over time, but

$$\mathcal{F}(f(\cdot - x))(\omega) = e^{2\pi i x \omega} \mathcal{F}(f)(\omega) \implies |\hat{f}(\omega)| = |\widehat{T_x f}(\omega)|,$$

i.e., the **spectrum**  $|\hat{f}|$  is invariant under translations  $T_x$ .

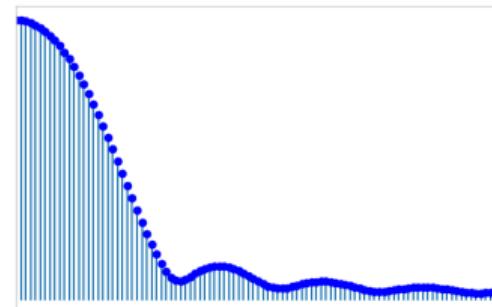
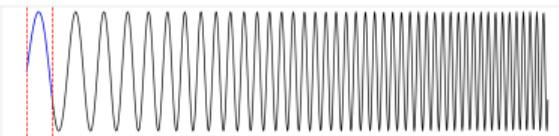
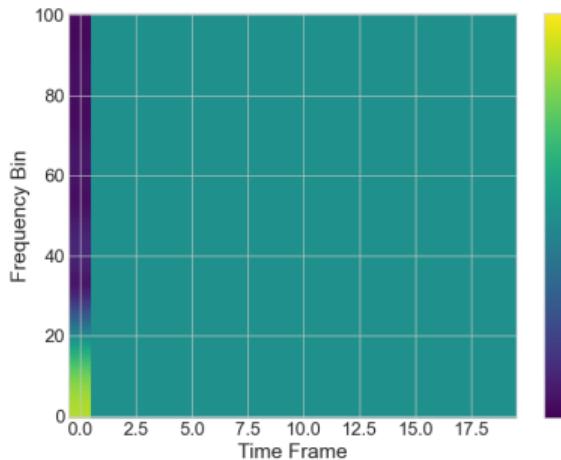
# An illustrative example



# Solution: Joint time-frequency representation



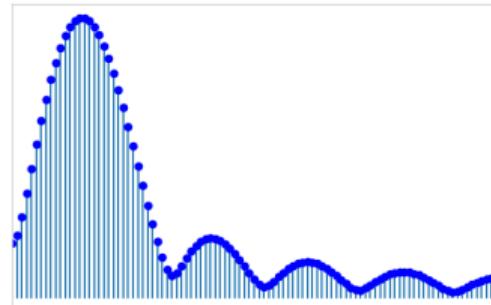
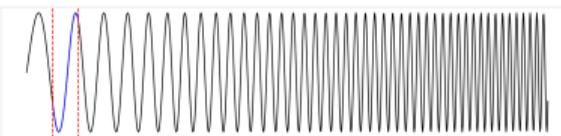
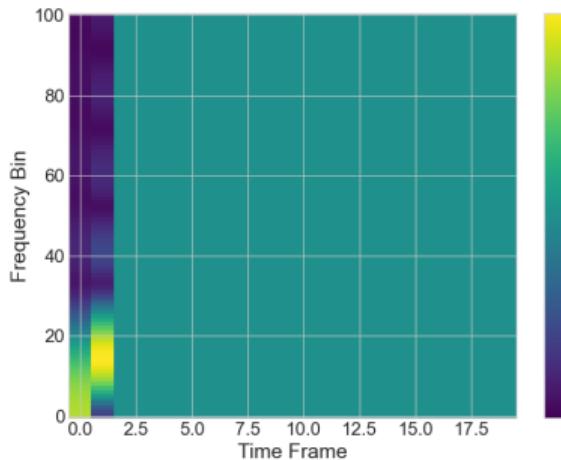
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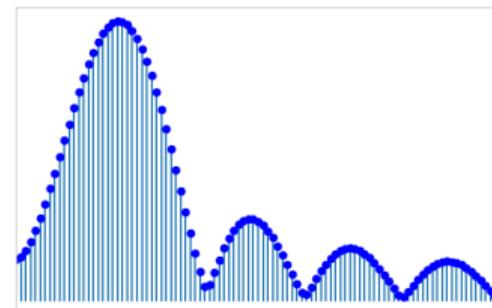
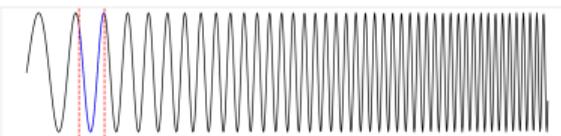
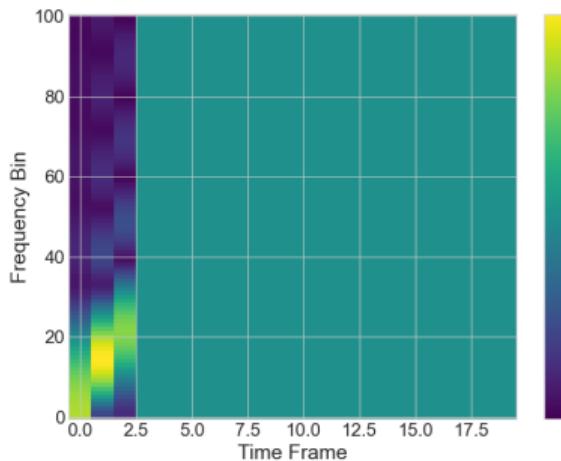
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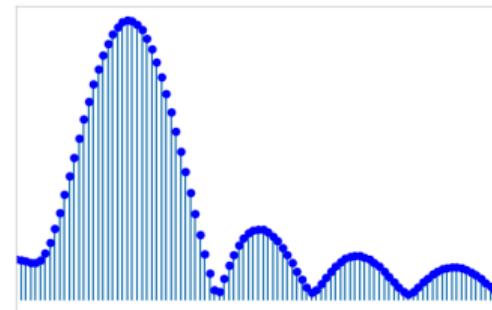
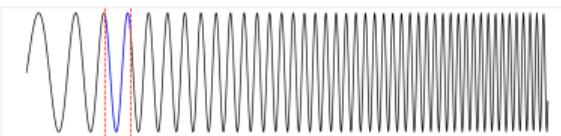
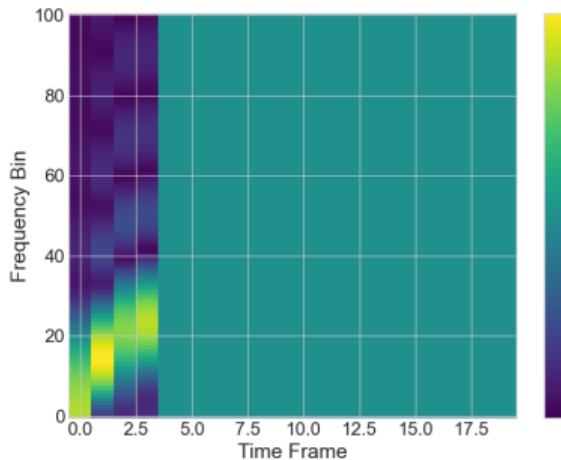
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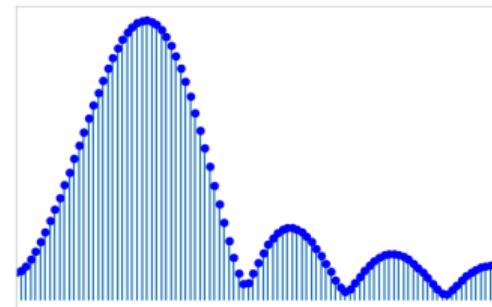
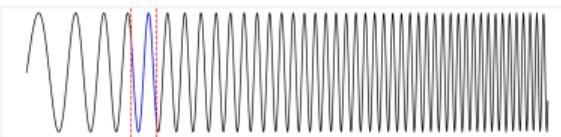
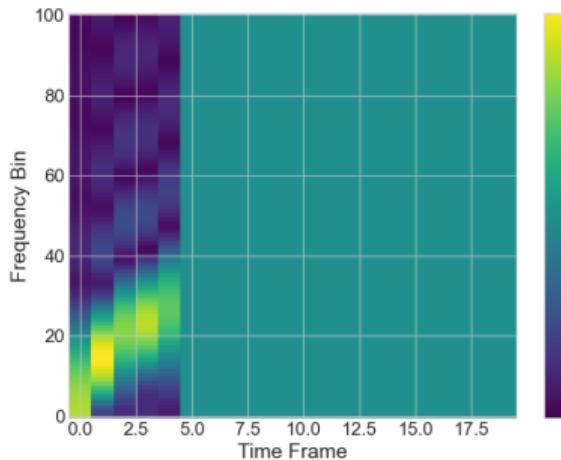
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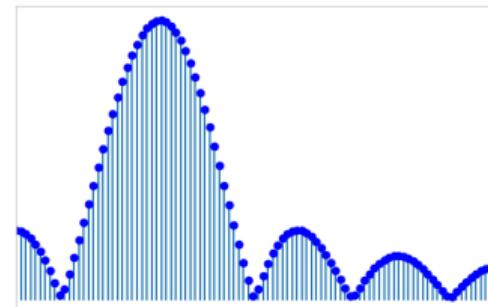
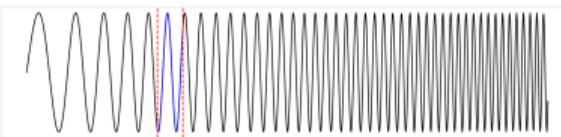
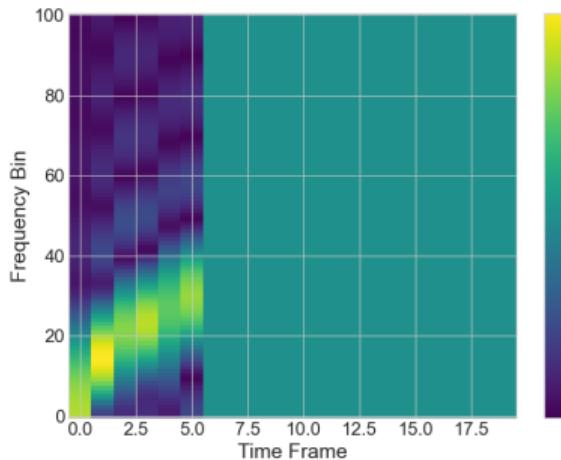
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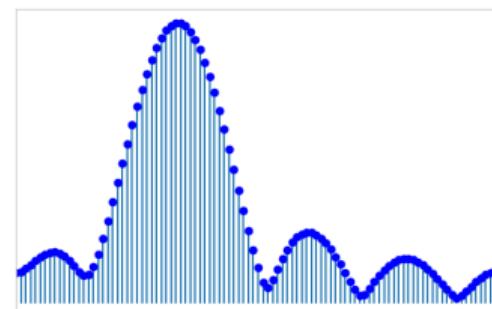
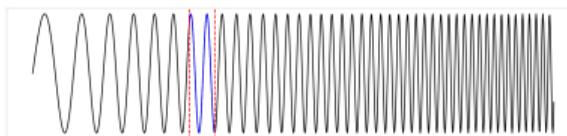
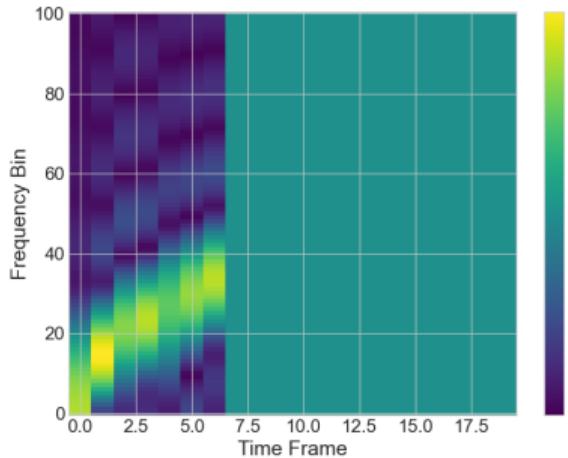
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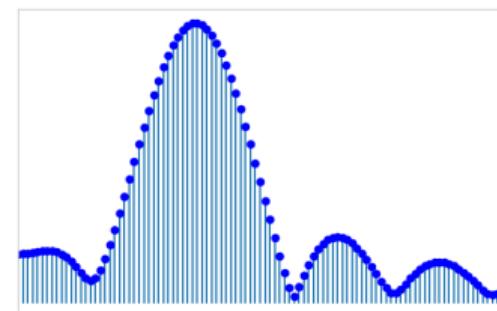
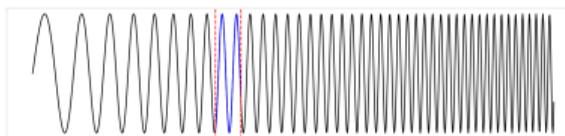
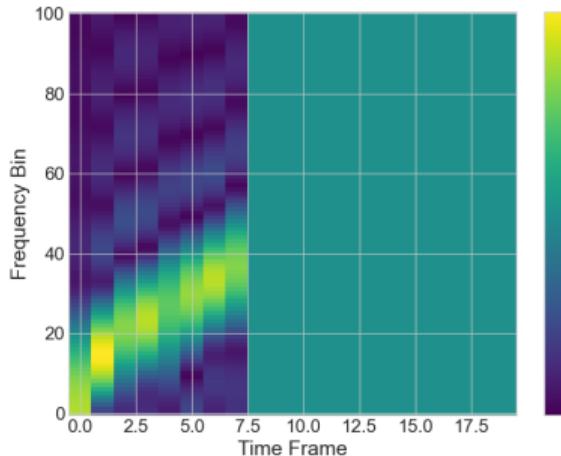
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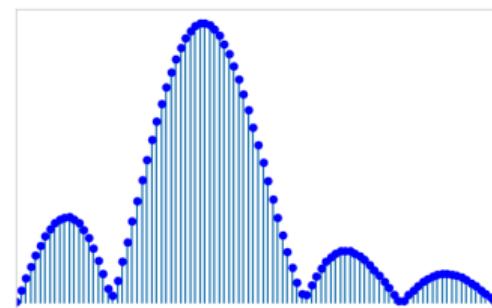
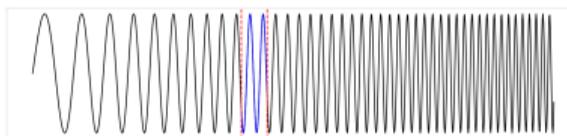
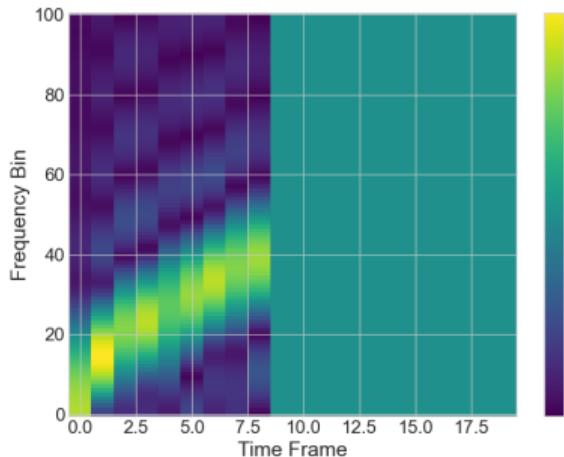
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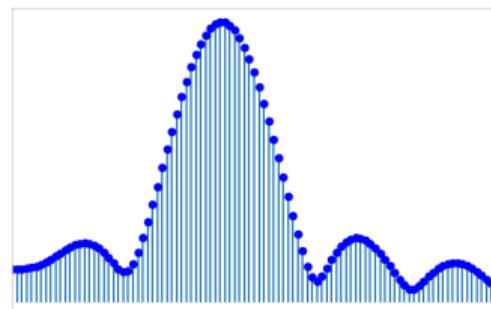
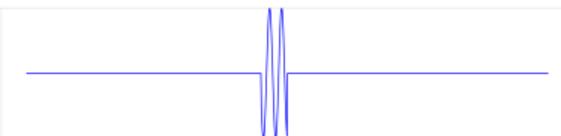
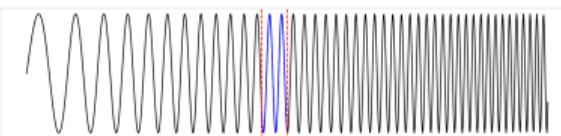
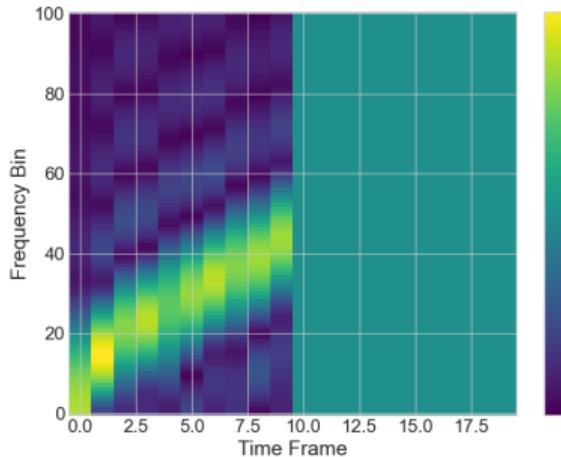
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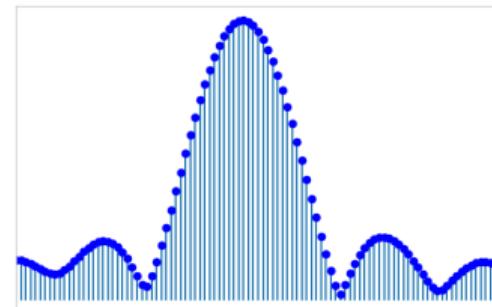
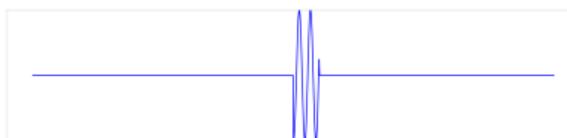
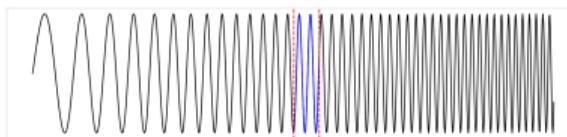
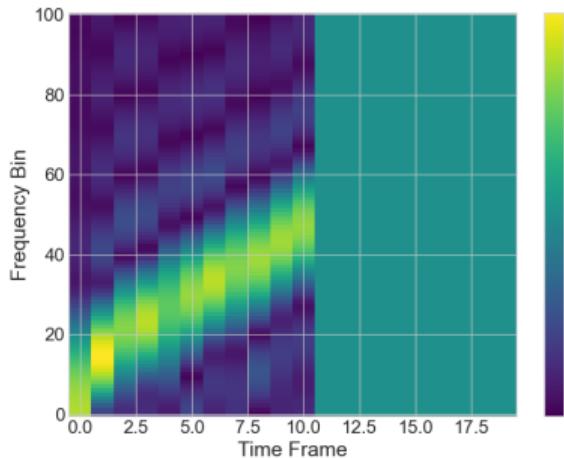
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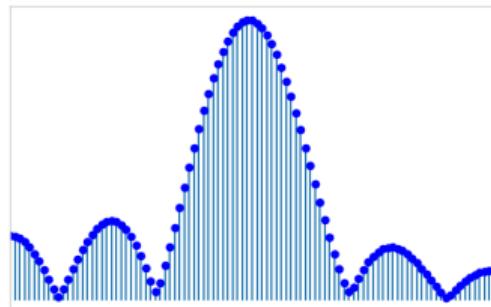
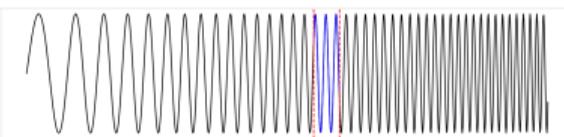
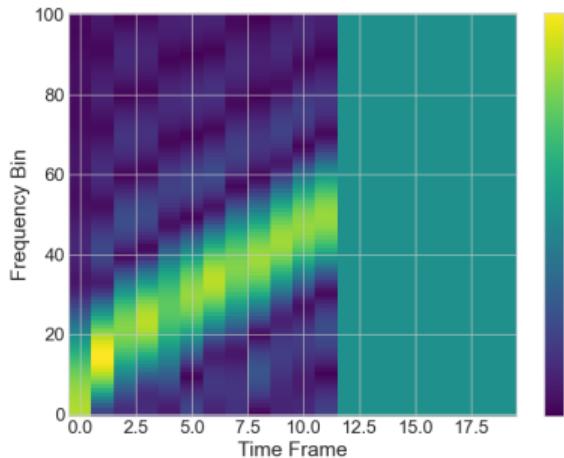
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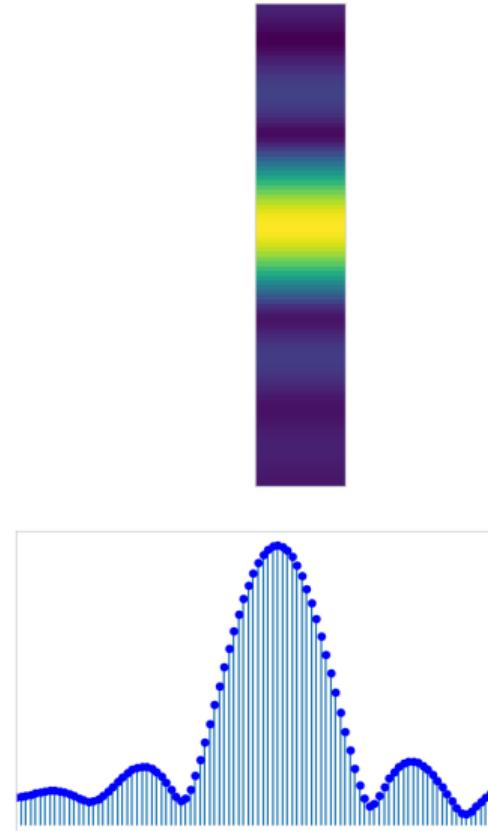
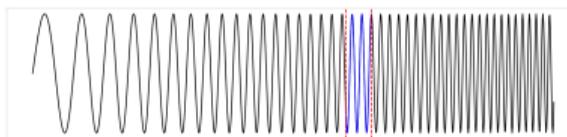
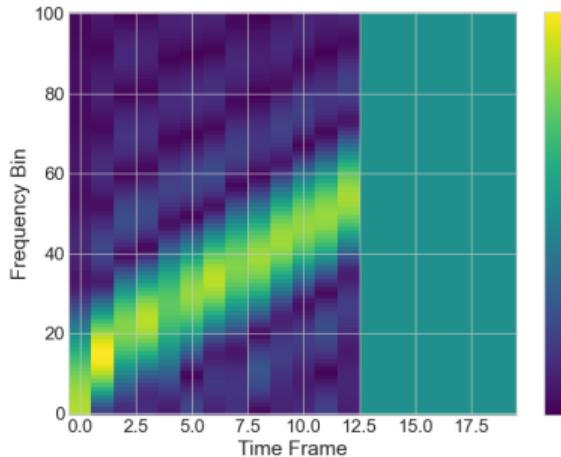
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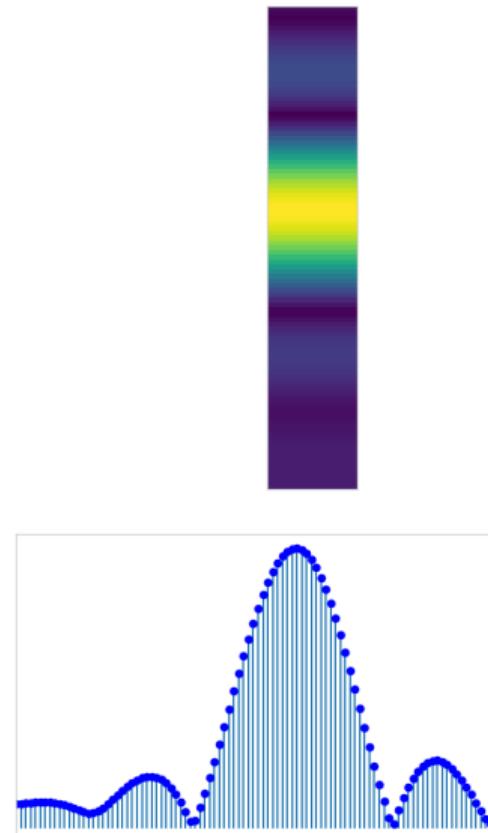
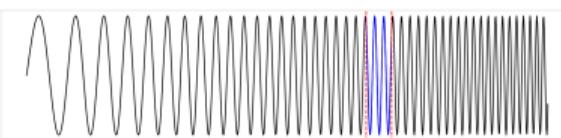
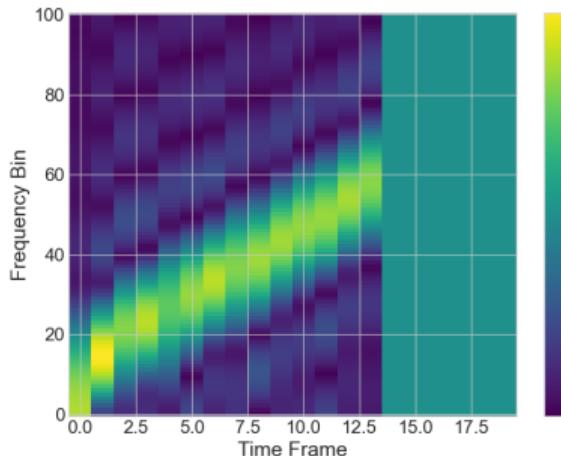
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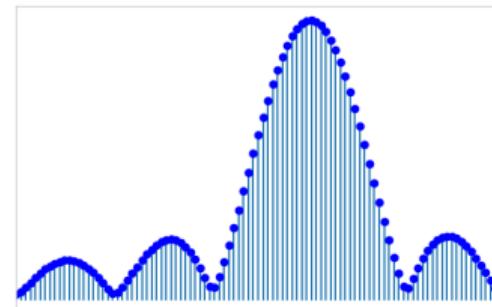
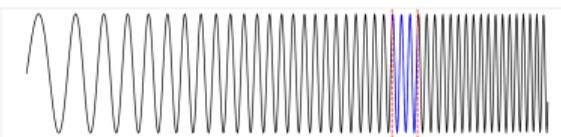
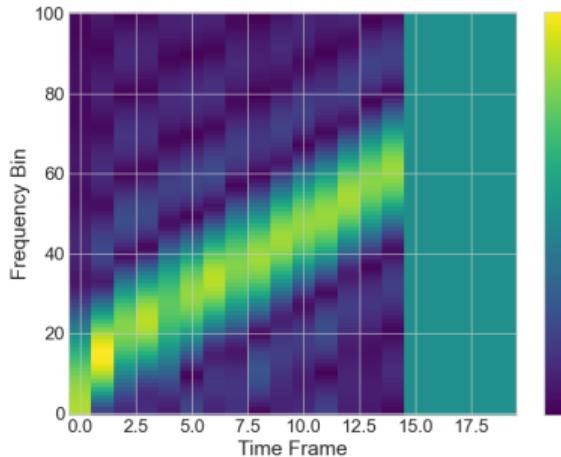
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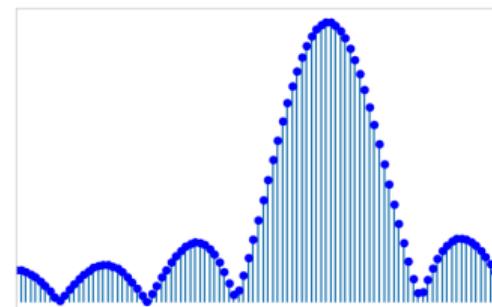
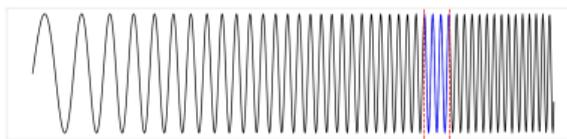
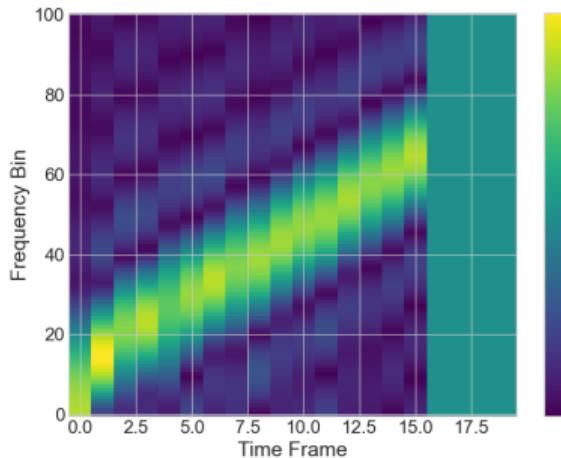
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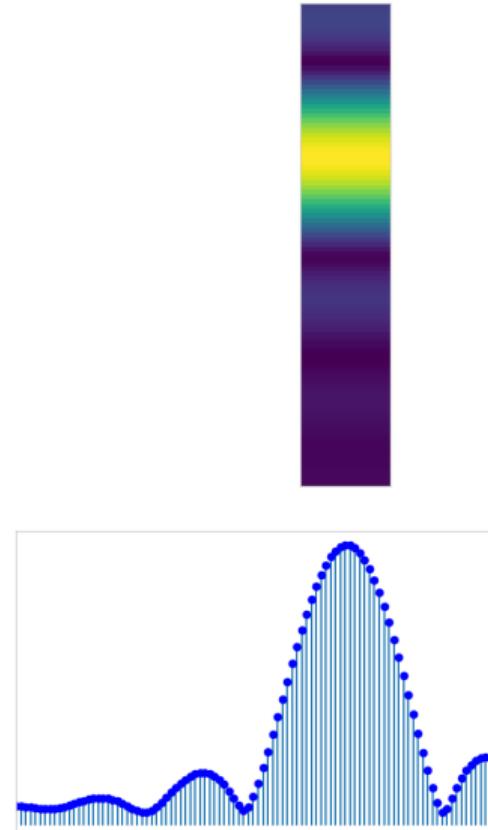
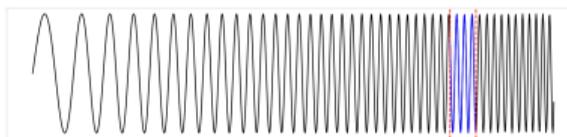
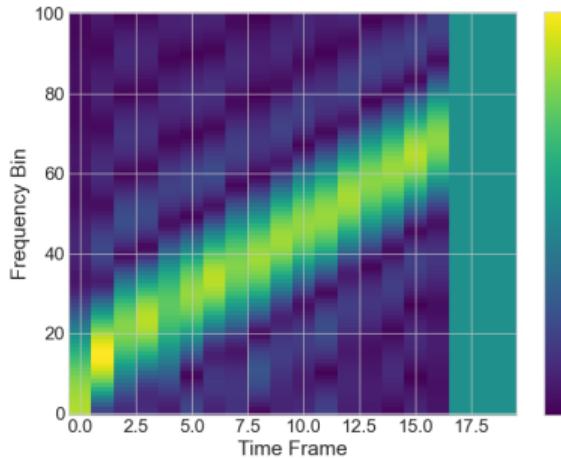
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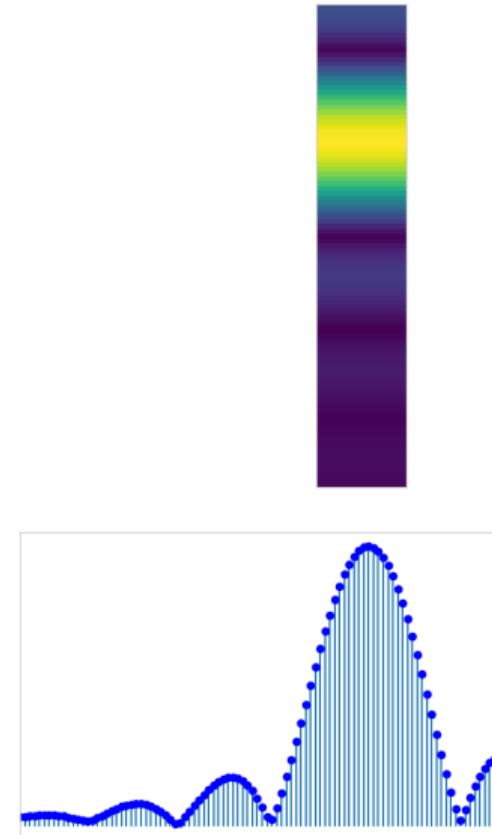
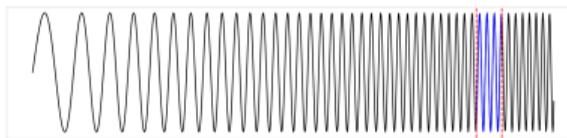
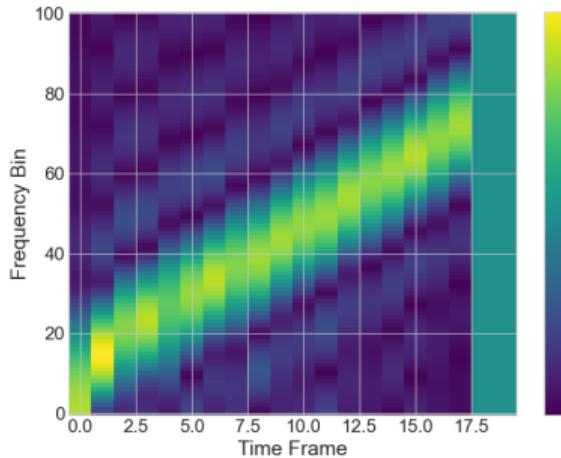
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# Solution: Joint time-frequency representation



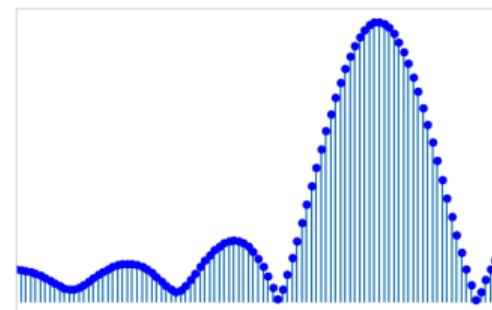
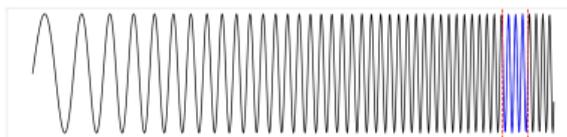
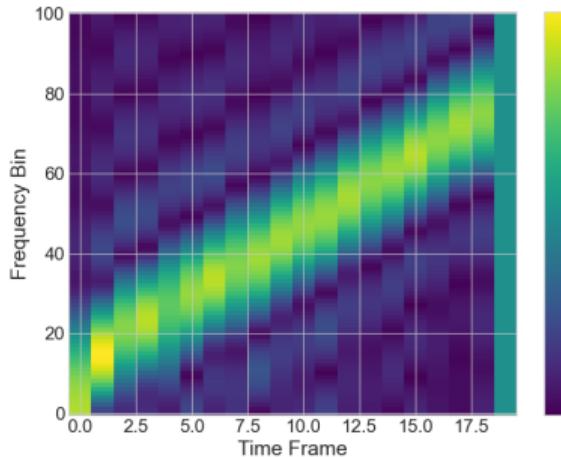
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# Solution: Joint time-frequency representation



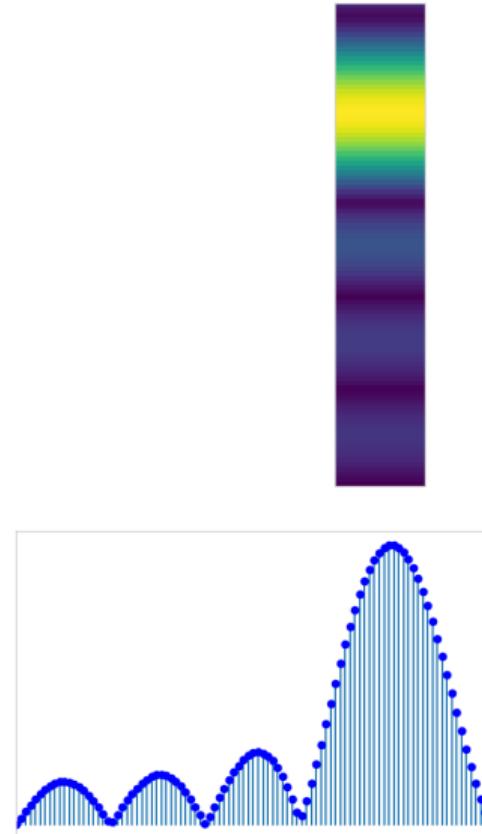
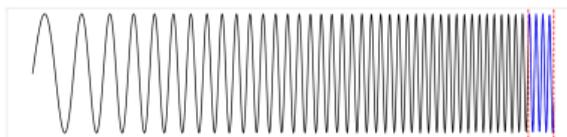
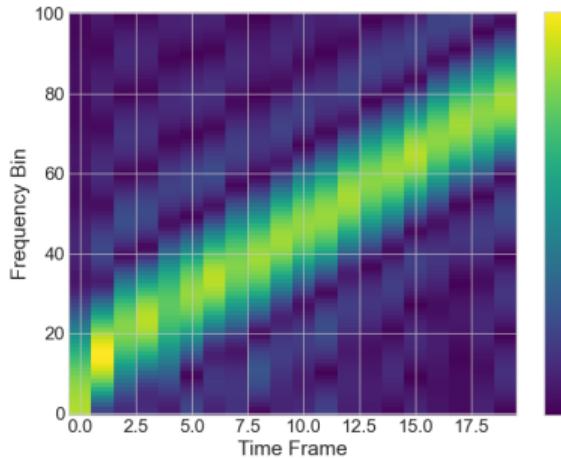
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# Solution: Joint time-frequency representation



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# Detailing the STFT

We call this the **short-time Fourier transform (STFT)**

$$V_g f(x, \omega) = \mathcal{F}(f(\cdot) \overline{g(\cdot - x)})(\omega) = \int_{\mathbb{R}} f(t) \overline{g(t - x)} e^{-2\pi i t \omega} dt.$$

$V_g : L^2(\mathbb{R}) \rightarrow L^2(\mathbb{R}^2)$  is a linear **time-frequency representation** and

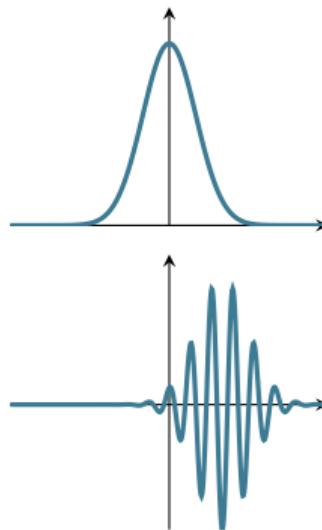
$$\langle V_g f_1, V_g f_2 \rangle_{L^2(\mathbb{R}^2)} = \langle f_1, f_2 \rangle_{L^2(\mathbb{R})}$$

when  $g$  is chosen appropriately.

Using

- ▶ Translation  $T_x f(t) = f(t - x)$
- ▶ Modulation  $M_\omega f(t) = e^{2\pi i \omega t} f(t)$
- ▶ Time-frequency shift  $\pi(x, \omega) f = M_\omega T_x f$

we can write  $V_g f(x, \omega) = \langle f, \pi(x, \omega) g \rangle_{L^2}$ . We will write  $z = (x, \omega) \in \mathbb{R}^2$  as a shorthand.





# Reconstruction

The adjoint of the STFT mapping,  $V_g^* : L^2(\mathbb{R}^2) \rightarrow L^2(\mathbb{R})$

$$V_g^* = \int_{\mathbb{R}^2} F(z)\pi(z)g dz,$$

is a right inverse of the STFT, but not a left inverse:

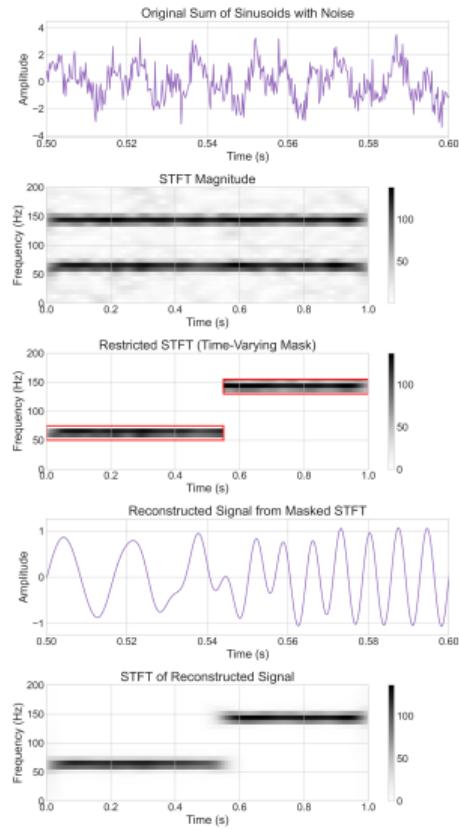
$$\underbrace{V_g^* V_g = I_{L^2(\mathbb{R})}}_{\Rightarrow f = \int_{\mathbb{R}^2} V_g f(z)\pi(z)g dz} \quad \underbrace{V_g V_g^* = P_{V_g(L^2(\mathbb{R}))}}_{\text{orthogonal projection}}.$$

Not every  $F \in L^2(\mathbb{R}^2)$  can be written as  $F = V_g f$  for some  $f \in L^2(\mathbb{R})$

# Restricting the reconstruction

By multiplying  $V_g f$  by a function  $m : \mathbb{R}^2 \rightarrow \mathbb{C}$  prior to reconstruction, we get a **localization operator**:

$$A_m^g f = \int_{\mathbb{R}^2} m(z) V_g f(z) \pi(z) g \, dz$$



# Time-frequency distributions

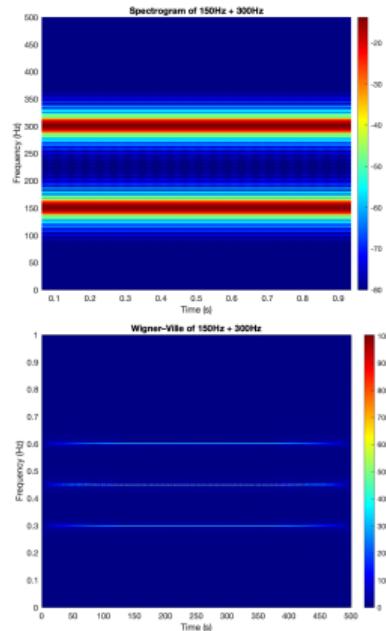


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- ▶ Spectrogram, squared modulus of STFT  $|V_g f|^2$
- ▶ Wigner distribution

$$W(f, g)(x, \omega) = \int_{\mathbb{R}} f(t - x/2) \overline{g(t + x/2)} e^{-2\pi i \omega t} dt$$

- ▶ Smoothed versions of the Wigner distributions (Cohen's class)



# Gabor frames / discretization

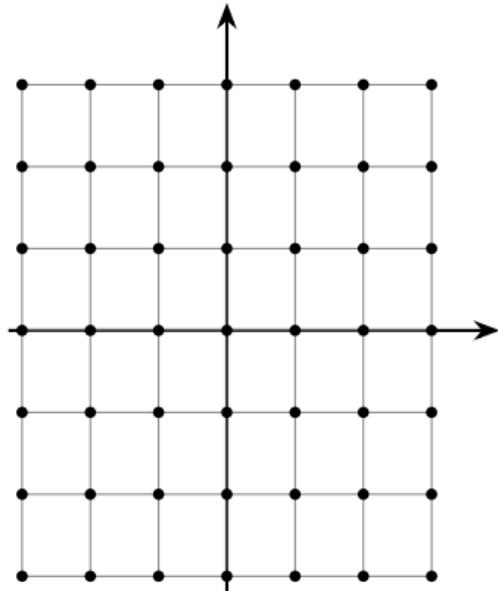
We can only sample  $V_g f$  at discrete points

- ▶  $\int_{\mathbb{R}^2} |V_g f(z)|^2 dz = \|f\|_{L^2}^2$  (continuous)
- ▶  $\sum_{\lambda \in \Lambda} |V_g f(\lambda)|^2 \sim \|f\|_{L^2}^2$  (discrete)

We say  $\Lambda \subset \mathbb{R}^2$  induces a **Gabor frame** if

$$A\|f\|_{L^2}^2 \leq \sum_{\lambda \in \Lambda} |V_g f(\lambda)|^2 \leq B\|f\|_{L^2}^2,$$

for some  $A, B > 0$ .



**Figure:** Example of a subset  $\Lambda$  of  $\mathbb{R}^2$  which can be used for sampling.

# Outline



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Time-frequency analysis

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# Generalizing harmonic analysis to operators

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In harmonic analysis we deal with functions  $f$  and their:

- ▶ Translations  $T_x$
- ▶ Integrals  $\int$
- ▶ Fourier transform  $\mathcal{F}$
- ▶ Convolutions  $*$
- ▶  $L^p$  spaces

We want to set up similar notions for operators  $S : L^2(\mathbb{R}) \rightarrow L^2(\mathbb{R})$ :

- ▶ Translations  $\alpha_z(S) = \pi(z)S\pi(z)^*$
- ▶ Traces  $\text{tr}(S) = \sum_n \langle Se_n, e_n \rangle$
- ▶ Fourier transform  $\mathcal{F}_W$
- ▶ Convolutions  $\star$
- ▶  $\|S\|_{\mathcal{S}^p} = \text{tr}(|S|^p)^{1/p}$



# Operator convolutions

**QHA meta statement:** Replace

- ▶ Functions → Operators  $g \rightarrow S$
- ▶ Translations → Operator translations  $T_z \rightarrow \alpha_z$
- ▶ Integrals → Traces  $\int \rightarrow \text{tr}$

$$f * g(z) = \int_{\mathbb{R}^{2d}} f(y) T_z g(y) dy$$

$$f \star S = \int_{\mathbb{R}^{2d}} f(z) \alpha_z(S) dz$$

(Function-operator convolution)

$$S \star T(z) = \text{tr}(S \alpha_z(\check{T}))$$

(Operator-operator convolution)



# An operator Fourier transform

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There is already a well-known Fourier transform for operators, the **Fourier-Wigner** transform

$$\mathcal{F}_W : \mathcal{S}^1 \xrightarrow{\text{Riemann-Lebesgue}} C_0(\mathbb{R}^2), \quad \mathcal{F}_W(S)(z) = \operatorname{tr}(S\pi(-z)).$$

For functions on  $\mathbb{R}^{2d}$  we will use the **symplectic** Fourier transform

$$\mathcal{F}_\sigma(f)(z) = \int_{\mathbb{R}^2} f(z') e^{-2\pi i \sigma(z, z')} dz'.$$

# Standard properties hold



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## Harmonic analysis

$$\|f * g\|_{L^p} \leq \|f\|_{L^1} \|g\|_{L^p}$$

## Quantum harmonic analysis

$$\begin{aligned}\|f \star S\|_{\mathcal{S}^p} &\leq \|f\|_{L^1} \|S\|_{\mathcal{S}^p} \\ \|T \star S\|_{L^p} &\leq \|T\|_{S^1} \|S\|_{\mathcal{S}^p}\end{aligned}$$

$$(f * g) * h = f * (g * h)$$

$$\begin{aligned}f * (S \star T) &= (f \star S) \star T \\ f \star (g \star T) &= (f * g) \star T\end{aligned}$$

$$\mathcal{F}(f * g) = \mathcal{F}(f) \cdot \mathcal{F}(g)$$

$$\begin{aligned}\mathcal{F}_W(f \star S) &= \mathcal{F}_\sigma(f) \cdot \mathcal{F}_W(S) \\ \mathcal{F}_\sigma(T \star S) &= \mathcal{F}_W(T) \cdot \mathcal{F}_W(S)\end{aligned}$$

# Weyl quantization

**Weyl quantization** is a map from functions on phase space to operators on  $L^2(\mathbb{R})$ ,  $f \mapsto A_f$

$$\begin{array}{ccc} (\mathcal{S}^2(L^2(\mathbb{R})), \circ, *) & & \\ \downarrow \mathcal{F}_W & \nearrow a & \\ (L^2(\mathbb{R}^2), \natural, \bar{\phantom{x}}) & \xrightarrow{\mathcal{F}_\sigma} & (L^2(\mathbb{R}^2), \sharp, -) \end{array}$$

It is an isometric bijection from  $L^2(\mathbb{R}^2)$  to  $\mathcal{S}^2(L^2(\mathbb{R}))$ .

*Operator convolutions = convolutions of Weyl symbols:*

$$A_{f*g} = f \star A_g, \quad A_f \star A_g = f * \check{g}.$$

# Outline



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Time-frequency analysis

Quantum harmonic analysis

Papers

# Paper A: Quantum harmonic analysis on locally compact groups

Published in Journal of Functional Analysis

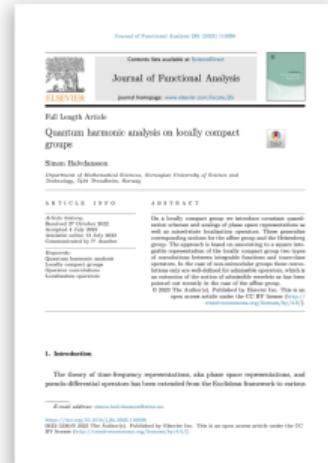
Like in abstract harmonic analysis, we replace

- ▶  $\mathbb{R}^{2d} \longrightarrow G$
- ▶  $L^2(\mathbb{R}^d) \longrightarrow \mathcal{H}$
- ▶  $\pi : \mathbb{R}^{2d} \rightarrow \mathcal{U}(L^2(\mathbb{R}^d)) \longrightarrow \sigma : G \rightarrow \mathcal{U}(\mathcal{H})$

**Functions  $f \in L^1(G)$ , operators  $S \in \mathcal{S}^1(\mathcal{H})$**

In abstract time-frequency analysis, we deal with **admissibility** of wavelets. For us,  $T \star S \in L^1(G)$  is dependent on

$$\mathcal{D}^{-1} S \mathcal{D}^{-1} \in \mathcal{S}^1 \iff S \text{ is an admissible operator.}$$



# Paper B: Measure-operator convolutions and applications to mixed-state Gabor multipliers

Published in Sampling Theory, Signal Processing, and Data Analysis,  
joint work with Franz Luef and Hans Feichtinger

Function-operator convolution:

$$f \star S = \int_{\mathbb{R}^{2d}} f(z) \alpha_z(S) dz.$$

Perhaps measure-operator convolution is

$$\mu \star S = \int_{\mathbb{R}^{2d}} \alpha_z(S) d\mu(z)?$$

Goals:

- ▶ Motivate definition from first principles
- ▶ Use results to study Gabor multipliers which can be realized as measure-operator convolutions



**Sampling Theory, Signal Processing, and Data Analysis (2024) 23(2)**  
https://doi.org/10.1007/s40505-024-00695-z  
**GENERAL ARTICLE**

**Measure-operator convolutions and applications to mixed-state Gabor multipliers**

Hans G. Feichtinger<sup>1,2</sup> · Simon Heindlmaier<sup>3</sup> · Franz Luef<sup>2</sup>

Received 1 September 2023 / Accepted 6 June 2024 / Published online 3 July 2024  
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**Abstract**  
The so-called Heisenberg group convolution between functions and operators was defined by Werner as a part of a framework called quantum harmonic analysis. We show how recent results by Feichtinger can be used to extend the definition to include convolution with measures. This leads to a more general framework where measure convolutions carry over in this setting and allow us to prove new results on the distribution of eigenvalues of mixed-state Gabor multipliers underlie a version of the Beurling-Schuster theorem. Furthermore, we study the properties of measure convolutions with respect to lattice parameters, masks and windows as well as their ability to approximate localization operators are also derived using this framework.

**Keywords** Quantum harmonic analysis · Operator convolutions · Homogeneous Banach spaces · Gabor multipliers · Gabor frames

**1 Introduction**  
In recent years the framework of quantum harmonic analysis, which was introduced by Werner [30] in 1992, has been successfully applied to analysis problems in quantum optics, quantum mechanics, and quantum theory [18, 30, 36, 37]. The most central operations of the framework are the function operator and operator-operator convolutions which generalize

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T. Röhrlsperger



# Extending actions

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- ▶ Standard convolutions can be defined by extending the action  $\mathbb{R} \times L^1(\mathbb{R}) \ni (x, f) \mapsto T_x f$  to  $M(\mathbb{R}) \times L^1(\mathbb{R})$
- ▶ We do the same for  $\mathbb{R}^2 \times \mathcal{S}^1 \ni (z, S) \mapsto \alpha_z(S)$  to get a form of weighted translation

## Theorem

*The map  $\bullet_\rho : \mathbb{R}^{2d} \times \mathcal{S}^1 \rightarrow \mathcal{S}^1, (z, S) \mapsto \pi(z)S\pi(z)^*$  has a unique bounded essential extension to  $M(\mathbb{R}^{2d}) \times \mathcal{S}^1 \rightarrow \mathcal{S}^1$ . That extension satisfies*

$$\langle (\mu \star S)f, g \rangle = \int \langle \pi(z)S\pi(z)^*f, g \rangle d\mu(z).$$



# Application: Approximating localization operators

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The Gabor multiplier  $G_{m,\alpha,\beta}^g$  associated to the lattice  $\Lambda_{\alpha,\beta} = \alpha\mathbb{Z}^d \times \beta\mathbb{Z}^d$  can be written as

$$G_{m,\alpha,\beta}^g = \mu_{\alpha,\beta}^m \star (g \otimes g)$$

where  $\mu_{\alpha,\beta}^m$  is a discrete measure.

## Theorem

Let  $(\mu_\alpha)_\alpha$  be a bounded and tight net which converges weak-\* to  $\mu_0$  and  $S \in \mathcal{S}^1$ . Then

$$\lim_{\alpha \rightarrow \infty} \|\mu_\alpha \star S - \mu_0 \star S\|_{\mathcal{S}^1} = 0.$$

## Theorem

Let  $m \in W(L^\infty, \ell^1)(\mathbb{R}^{2d})$  be Riemann-integrable and  $S \in \mathcal{S}^1$ . Then

$$\lim_{\alpha,\beta \rightarrow 0} \|\mu_{\alpha,\beta}^m \star S - m \star S\|_{\mathcal{S}^1} = 0.$$

In particular,  $\|G_{m,\alpha,\beta}^g - A_m^g\|_{\mathcal{S}^1} \rightarrow 0$  as  $\alpha, \beta \rightarrow 0$ .



# Paper C: Weyl Quantization of Exponential Lie Groups for Square Integrable Representations

Preprint, joint work with Stine Marie Berge

## Goal:

Set up quantization beyond Weyl-Heisenberg and affine groups.

- ▶ Need connected exponential Lie group and square integrable representation
- ▶ Replace symplectic Fourier transform by *Fourier-Kirillov* transform

$$\begin{array}{ccc} (\mathcal{S}^2(\mathcal{H}), \circ, *) & & \\ \downarrow \mathcal{F}_W & \nearrow a & \\ (\mathcal{F}_W(\mathcal{S}^2), \natural, \sqrt{\Delta(\cdot)^\top}) & \xrightarrow{\mathcal{F}_{KO}} & (L_r^2(G), \sharp, \neg) \end{array}$$





# Quantization properties

- ▶ **Translation and conjugation** are respected

$$\alpha_x(A_f) = A_{f(\cdot x^{-1})}, \quad A_f^* = A_{\bar{f}}.$$

- ▶ **The map** is a unitary  $H^*$ -algebra isomorphism

$$A : L_r^2(G) \rightarrow \mathcal{S}^2(\mathcal{H}).$$

- ▶ **Wigner distribution** can be realized as dequantization of rank-one operator

$$W(\psi, \phi)(x) = a_{\psi \otimes \phi}(x) = \mathcal{F}_{\text{KO}}(\mathcal{F}_W(\psi \otimes \phi))(x),$$

not the object which induces the quantization.



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# Paper D: Five ways to recover the symbol of a non-binary localization operator

Published in Journal of Pseudo-Differential Operators and Applications

**Standard problem:** Find  $\Omega$  from information about  $A_\Omega^g$

- ▶ Previously studied by Abreu, Dörfler, Gröchenig, Romero, Luef, Skrettingland, Speckbacher
- ▶ Used eigenfunctions and image of white noise

**Goal:**

- ▶ Adapt old methods to work for  $A_m^g$  where  $m \in L^1(\mathbb{R}^2)$
- ▶ Develop new methods
- ▶ Implement all methods in MATLAB

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**Five ways to recover the symbol of a non-binary localization operator**

**Stéfan Dahlqvist<sup>a</sup>\***

Received: 6 October 2023 / Revised: 6 October 2024 / Accepted: 6 January 2024 /  
Published online: 20 January 2024

**Abstract**

Five constructive methods for recovering the symbol of a time-frequency localization operator with non-binary symbol are presented, two based on earlier work and three novel ones. The first method is based on the short-time Fourier transform and binary symbols, we propose a changed symbol estimator and provide additional estimates that show how we can recover non-binary symbols. The three novel methods make use of the Wigner distribution and its variants, the Gabor transform and the Choi-Jamison representation. All these methods are based on the same principle. None of them rely on processing the input of the localization operator and examining the output, allowing for targeting of the part of the symbol one wishes to recover while the full symbol is not available. The code for all five methods is provided and all five methods are also implemented numerically and evaluated with the code available.

**Keywords** Localization operator · inverse problem · Operator identification · Symbol recovery

**1 Introduction and main results**

Arguably the main tool of time-frequency analysis is the short-time Fourier transform, defined for a signal  $\varphi \in L^2(\mathbb{R}^n)$  and window  $g \in L^2(\mathbb{R}^n)$  as

$$V_g \varphi(x, \omega) = \int_{\mathbb{R}^n} \varphi(t) g(\omega - x)e^{-2\pi i \omega t} dt$$

where the variables  $x, \omega \in \mathbb{R}^n$  are referred to as the time and frequency, respectively. A seminal result [25] states that this mapping can be inverted so that the signal  $\varphi$  can

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TJ Dahlqvist

# Formulations



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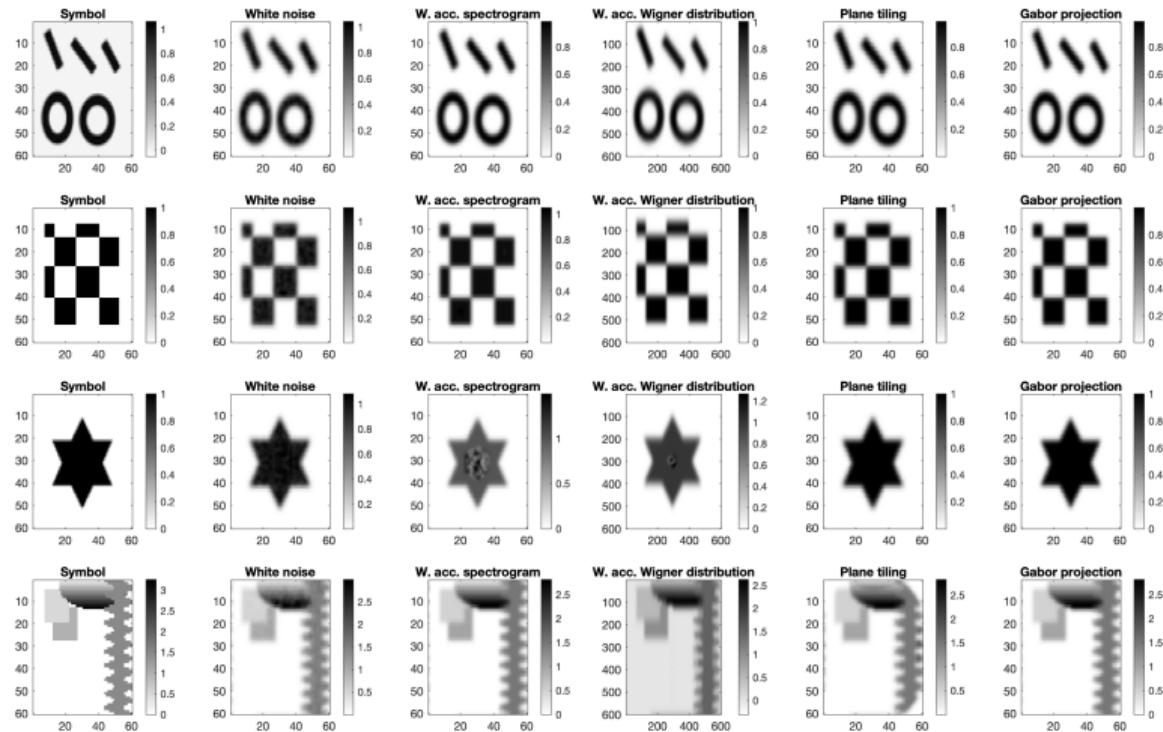
$$A_m^g = \sum_k \lambda_k (h_k \otimes h_k)$$

- ▶  $\sum_k \lambda_k |V_g h_k(z)|^2 \leftarrow$  Weighted accumulated spectrogram
- ▶  $\sum_k \lambda_k W(h_k)(z) \leftarrow$  Weighted accumulated Wigner distribution
- ▶  $\frac{1}{K} \sum_{k=1}^K |V_g(A_m^g \mathcal{N})(z)|^2 \leftarrow$  White noise estimator
- ▶  $\sum_n |V_g(A_m^g e_n)(z)|^2 \leftarrow$  Plane tiling estimator
- ▶  $V_g(A_m^g(\pi(z)g))(z) \leftarrow$  Gabor projection

# Examples



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# Paper E: On a time-frequency blurring operator with applications in data augmentation

Published in Journal of Fourier Analysis and Applications

What if instead of multiplying the STFT (localization operator) we convolve it (blurring operator)?

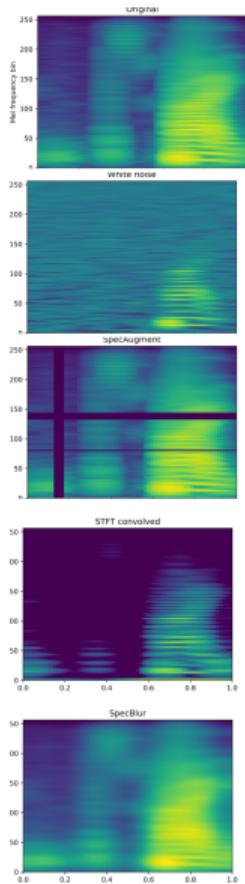
$$B_\mu^g f = V_g^*(\mu * V_g f)$$

Mathematically, we look at:

- ▶ Boundedness of operator between  $L^p, M^p$  and Schwartz spaces
- ▶ (Non)-compactness
- ▶ Positivity condition



# Application



The operator shows promise as a **data augmentation** tool.

**Table:** Average ViT test accuracies with standard errors (%) for different augmentation setups.

Augmentation	Accuracy
None	$89.17 \pm 0.20$
White noise	$90.72 \pm 0.09$
SpecAugment	$90.61 \pm 0.14$
STFT-blur	$90.40 \pm 0.15$
SpecBlur	$91.29 \pm 0.13$
White noise + SpecAug	$91.80 \pm 0.15$
STFT-blur + SpecBlur	$91.72 \pm 0.12$
All	$92.70 \pm 0.08$

# Paper F: On accumulated spectrograms for Gabor frames

Published in Journal of Mathematical Analysis and Applications

**Classical result:**

If  $A_\Omega^g = \sum_k \lambda_k (h_k \otimes h_k)$ , then

$$\left\| \sum_{k=1}^{\lceil |\Omega| \rceil} |V_g h_k|^2 - \chi_\Omega \right\|_{L^1} \leq C_g |\partial\Omega|.$$

accumulated spectrogram



**Goal:**

Show corresponding results for the **Gabor multiplier**  $G_{\Omega, \Lambda}^g$  associated to the lattice  $\Lambda$ .



# Results

We only observe  $\Omega \cap \Lambda$ , consequently

- ▶ Errors are in  $\ell^1(\Lambda)$  instead of  $L^1(\mathbb{R}^{2d})$
- ▶ We measure the perimeter by  $\partial_{\Lambda}^r \Omega = \Lambda \cap (\partial \Omega + B(0, r))$ .

## Theorem

Let  $g \in M_{\Lambda}^*(\mathbb{R}^d)$  and  $\Lambda$  be such that  $(g, \Lambda)$  induces a frame with frame constants  $A, B > 0$ ,  $r > 0$  and  $\Omega \subset \mathbb{R}^{2d}$  be compact. Then there exists a constant  $C$  depending only on  $r$  and  $d$  such that

$$\|\rho_{\Omega} - \chi_{\Omega}\|_{\ell^1(\Lambda)} \leq C_g \# \partial_{\Lambda}^{r_{\Lambda}} \Omega + 2 \frac{B - A}{B} \#(\Omega \cap \Lambda) + \frac{B}{\|g\|_{L^2}^2}$$

where  $r_{\Lambda} = r + l_M$  and  $l_M$  is the diameter of the fundamental domain of  $\Lambda$ .

- ▶  $A = B \implies \|\rho_{\Omega} - \chi_{\Omega}\|_{\ell^1(\Lambda)} \leq C_g \# \partial_{\Lambda}^{r_{\Lambda}} + D$
- ▶ Estimate is tight:

$$C_1 \# \partial_{\Lambda}^{r_{\Lambda}} B(0, R) \leq \|\rho_{B(0, R)} - \chi_{B(0, R)}\|_{\ell^1(\Lambda)} \leq C_2 \# \partial_{\Lambda}^{r_{\Lambda}} B(0, R)$$



# Paper G: Empirical plunge profiles of time-frequency localization operators

Preprint

For localization operators  $A_\Omega^g$ :

- ▶ First  $\sim |\Omega|$  eigenvalues  $\approx 1$
- ▶ Then  $\lesssim |\partial\Omega|$  eigenvalues not 1 nor 0 (plunge region)
- ▶ Remaining eigenvalues  $\approx 0$

Lots of related results but no progress since late 1980s.

**Goal:** Describe eigenvalue behavior in more detail

**Approach:**

- ▶ Only eigenvalues for  $\Omega = B(0, R)$  known
- ▶ Extend this result to more  $\Omega$
- ▶ Conjecture universality
- ▶ Test numerically

arXiv:2002.28024 [math.FA] 17 Feb 2025

EMPIRICAL PLUNGE PROFILES OF TIME-FREQUENCY LOCALIZATION OPERATORS  
SERGIU PETRUȘEANU

**Abstract.** We study time-frequency localization operators with general shift, we work out the theory for them and we prove that they have a finite number of eigenvalues and moreover that the same statement holds for all scaled symbols  $h(t)$  as long as the symbol is bounded away from zero. We also prove that the spectrum of the localization operator  $A_\Omega^g$  is the localization operator with scaled  $h(t)$  measures on  $[0, h(\sqrt{2}R)]$  as  $S = \infty$ . To make our results more concrete we consider the short-time Fourier transform with window and its inverse modulo using STFT and find that they agree with the behavior of the spectrum in a local region.

I. INTRODUCTION AND BACKGROUND

When restricted to a bounded set  $\Omega$  in the time-frequency plane, there are two main approaches. The simpler is to consider a spatial cutoff, followed by a Fourier transform, and then to invert the transform. For sets  $E, F \subset \mathbb{R}^d$  and  $T$  the Fourier transform, we can write each operation as

$$Sf = \chi_E F^{-1} \chi_F f \chi_E$$

where  $\chi_E$  is the indicator function of the set  $E$ . While such an approach does not cost us too much computationally, it is not very useful, as this is prohibited by the uncertainty principle. It approximately does as provided  $E, F$  are large enough. The second approach is to use the Wigner distribution, see e.g. [1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12], who showed many of the classical properties of these operations which we will use here. In particular, we will use the Wigner distribution to obtain the eigenvalues of  $A_\Omega^g$ .

Another more general way to restrict a signal to a subset  $\Omega \subset \mathbb{R}^d$  of the time-frequency plane is to use the short-time Fourier transform (STFT) [13, 14, 15, 16]. Specifically, using the short-time Fourier transform (STFT), defined with a window  $\psi$ ,

$$A_\Omega^g f(x,t) = \int_{\mathbb{R}^d} f(y) \overline{\psi(y-x)} e^{2\pi i t \langle y-x, \omega \rangle} dy,$$

where  $\omega, \psi \in \mathcal{F}$ ,  $\mathcal{F} = L^2(\mathbb{R}^d)$ ,  $e^{2\pi i t \langle y-x, \omega \rangle}$  is a time-frequency shift, we can define the localization operator  $A_\Omega^g$  as

$$A_\Omega^g f = \int_{\mathbb{R}^d} A_\Omega^g f(x, \omega) \psi(x) dx.$$

Data Privacy Policy  
Report - Time-frequency localization operators: empirically plunge regions

# Rotationally-invariant symbol + conjecture



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## Theorem

Let  $\Omega \subset \mathbb{R}^2$  be a **compact, regular closed and rotationally invariant set with a finite number of connected components**, and let  $\lambda_k^{R\Omega}$  the  $k$ -th eigenvalue of  $A_{\Omega}^{g_0}$ . Then

$$\left| \lambda_k^{R\Omega} - \frac{1}{2} \operatorname{erfc} \left( \sqrt{2\pi} \frac{k - |R\Omega|}{|\partial R\Omega|} \right) \right| = O \left( \frac{1}{R} \right).$$

## Conjecture

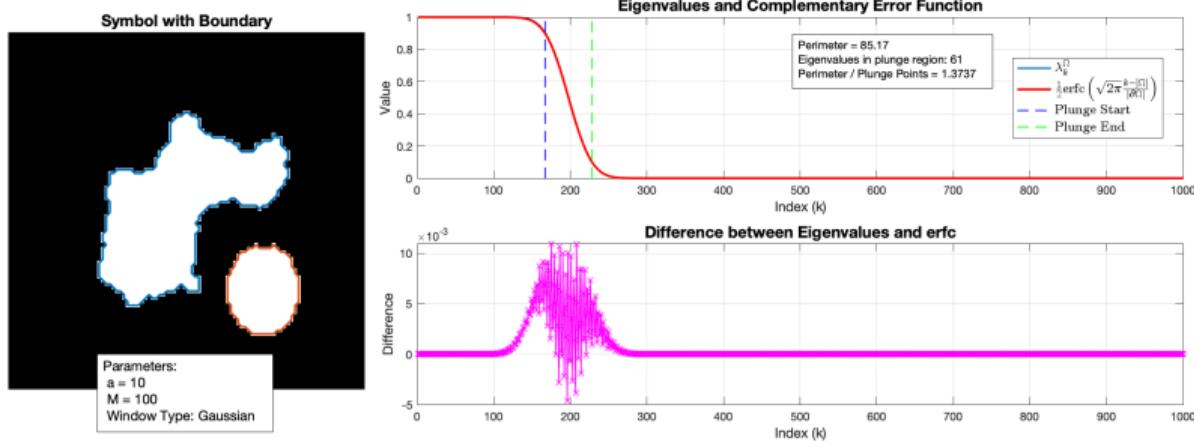
Let  $\Omega \subset \mathbb{R}^2$  be **compact and regular closed**, and let  $\lambda_k^{\Omega}$  be the  $k$ -th eigenvalue of  $A_{\Omega}^{g_0}$ . Then

$$\left| \lambda_k^{R\Omega} - \frac{1}{2} \operatorname{erfc} \left( \sqrt{2\pi} \frac{k - |R\Omega|}{|\partial R\Omega|} \right) \right| = O \left( \frac{1}{R} \right).$$

# Numerics



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**Figure:** Symbol, eigenvalues and discrepancy to erf



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**Thank you!**