

# **PRINCIPLES OF PROGRAMMING LANGUAGES**



## **III DATA TYPES AND TYPE SYSTEMS**

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# III DATA TYPES AND TYPE SYSTEMS

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- III.1 Types, Subtypes and Inheritance
- III.2 Algebraic Data Types and Type Classes
- III.3 Generic Types
- III.4 Type extensions

# **PRINCIPLES OF PROGRAMMING LANGUAGES**



## **III.1 TYPES, SUBTYPES AND INHERITANCE**

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# III.1 TYPES, SUBTYPES AND INHERITANCE

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- Introduction
- Subtyping
- Liskov's substitution principle
- Multiple Inheritance
- Mixin Inheritance and Scala Traits
- Summary

**"A type is a means for classification of values according to their properties, structure, and allowed operations"**

- types are **assigned to values, variables, expressions, parameters, return values, functions,**  
...
- types define **subset of values**
  - ☐ where elements in the subset own equal properties, structure and operations
- types support **type checking**
  - ☐ operations are allowed
  - ☐ assignments are safe
  - ☐ ...

# TYPES AS SETS

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- **Universal domain** of all computable/representable values of a programming language
- Types define **subsets of values** from the universal domain

## Correspondence between types and sets

### types

type  $T$

is type  $x : T$

subtype  $S \leq B$

### interpretation in set theory

$set_T$  : set of values defined by  $T$

$x \in set_T$  : value of  $x$  is element of set of values of  $T$

$set_S \subseteq set_B$  : set of subtype  $S$  is subset of set of type  $B$

# TYPE SYSTEM

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**Type systems** of programming languages define

- the **available elementary data types**
- the creation of new **data types**
- how the **allowed operations of types** are defined
- how **typing of values, expressions, and variables** is accomplished
- how the **type checking** and/or **type inference** works

# TYPE SYSTEMS OF OBJECT-ORIENTED LANGUAGES

**Type systems** of programming languages define

- the **available elementary data types**
- the creation of new **data types**
- how the **allowed operations of types** are defined
- how **typing of values, expressions, and variables** is accomplished
- how the **type checking** and/or **type inference** works

**Object-oriented type systems** do that by

- **built-in types**, e.g. int, Object, ...
- **classes, interfaces** with **inheritance** and **subtyping**
- **methods of classes** and **interfaces**
- **variable declarations** by programmer, **type inference** by compiler
- **static type checking** and **type inference** by compiler



# TYPE VS. CLASS

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- In object-oriented languages, types and classes are often used as synonyms
- We want to distinguish types and classes as follows:

## Class

- implementation
  - ☐ of objects with same structure or interface
  - ☐ with fields and methods

## Type

- set of objects
  - ☐ showing the same interface
  - ☐ having the same members

## Subclassing

- inheritance of fields and method implementations
- Goal: implementation reuse

## Subtyping

- logical relation corresponding to subset relation
- Goal: Guaranteeing compatibility

- A class introduces a type
- But types need not correspond to defined classes

# CONCEPTS AND TERMS

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## Static typing vs. dynamic typing

### ■ Static typing

- Type checking is done by **compiler at compile time**
- ➔ **Variables, expressions, functions** carry type information
- ➔ Type errors are signaled as **syntax errors at compile time**

### ■ Dynamic typing

- **Type checking** is done at **run time**
- ➔ **Values** carry type information
- ➔ Type errors are signaled as **run-time errors**

#### Examples:

- Haskell
- Java
- Scala
- C#
- C/C++
- Ada
- TypeScript
- Rust
- ...

#### Examples:

- Lisp/Scheme
- Smalltalk
- JavaScript
- Python
- Ruby
- ...

Some checks at  
run time,  
e.g. for downcasts

# CONCEPTS AND TERMS

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## Strong typing

- No type errors are possible
  - prevented either by compiler or at run time
- Type system does not allow bypass typing rules, e.g., by
  - by unchecked typecasts
  - by pointer arithmetic

## Examples:

Languages with strong typing:

- Haskell (by static checks only)
- Java, Scala, C# (by static and dynamic checks)

Languages without strong typing:

- C, C++

## Type safety

- A language is called **type-safe** if it does not allow bypassing typing rules

# CONCEPTS AND TERMS

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## Predefined vs. user-defined types

- **Predefined types** are defined in the language specification
- **User-defined types** are defined in the application program
  - Language has to have constructors for defining new types

e.g. Fortran had no means for defining new types

## Scalar vs. structured types

- Values of **scalar types** consist of a single coherent value without externally visible structure
- Values of **structured types** consists of several parts, individually accessible

# CONCEPTS AND TERMS

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## Explicit vs. implicit declaration

### ■ Explicit typing

- ☐ Types of program elements are **explicitly defined by the programmer**

### ■ Implicit typing

- ☐ Types of program elements are **inferred by the type system**

## Named vs. unnamed types

### ■ Named types

- ☐ have an explicit name
  - e.g., classes in Java, e.g. String

### ■ Unnamed types

- ☐ Are constructed without giving an explicit name
  - e.g., arrays in Java line `String[]`
  - e.g. anonymous classes in Java

# CONCEPTS AND TERMS

## Monomorphic vs. polymorphic type systems

### ■ Monomorphic type systems

- ☐ A program element can only have a single type
- ☐ A procedure/function can only work with elements of a single type

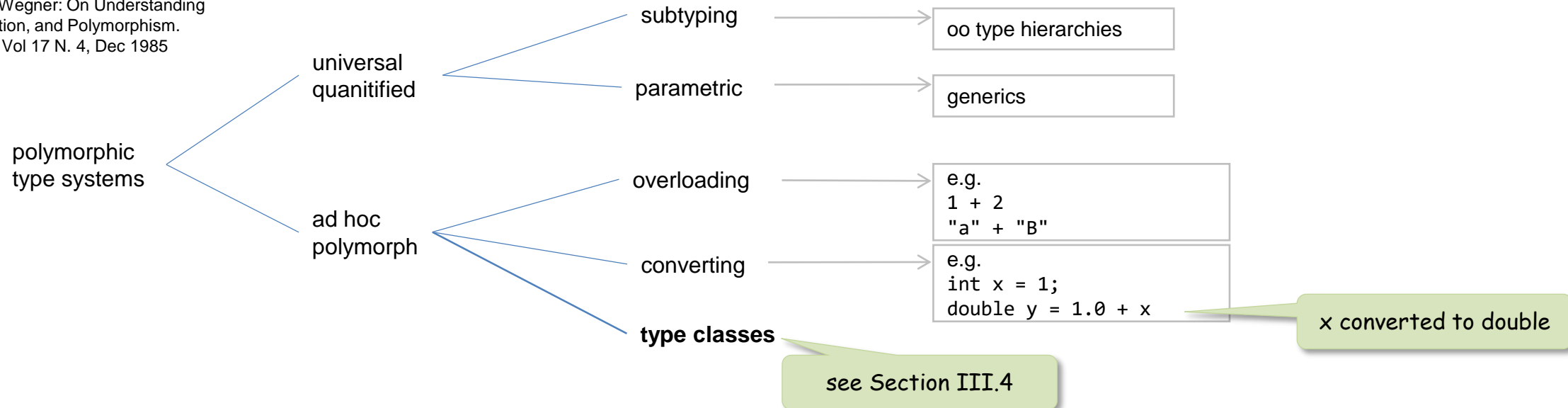
e.g. as in Algol 60 or Pascal

### ■ Polymorphic type systems

- ☐ A **program elements** can be of **different types**
- ☐ A **procedure/function** can work with **elements of different types**

from:

Luca Cardelli, Peter Wegner: On Understanding  
Types, Data Abstraction, and Polymorphism.  
*Computing Surveys*, Vol 17 N. 4, Dec 1985



# NOMINAL VS. STRUCTURAL TYPING

## ■ Nominal typing

- Types are equivalent if they have the **same name**

```
case class Person(  
  name: String;  
  age: Int;  
)
```

```
case class Pet(  
  name: String;  
  age: Int;  
)
```

```
val paul = Person("Paul", 5)
```

```
val son: Person = paul
```



```
val dog: Pet = paul
```



Person and Pet not compatible

## ■ Structural typing

- Types are equivalent if they have the **same structure**

```
class Person {  
  name: string;  
  age: number;  
}
```

```
class Pet {  
  name: string;  
  age: number;  
}
```

```
let paul : { name: "Paul", age: 5 }
```

```
let son: Person = paul
```



```
let dog : Pet = paul
```



compatible with both because  
same structure

## TypeScript is the statically-typed language layer for JavaScript

### TypeScript is

- statically typed
- structurally typed

### Structural equivalence

- on the level of objects

```
let son = { name: "Paul", age : 5 }  
  
let cat = { name: "Susi", age : 12}  
  
cat = son
```

- on the level of object types

```
type Pet = {  
  name: string;  
  age: number;  
}  
  
type Person = {  
  name: string;  
  age: number;  
}  
  
let son : Person = { name: "Paul", age : 5 }  
  
let pet : Pet = son;
```

- on the level of classes

```
class Pet {  
  constructor(name: string, age: number) {...}  
  name: string;  
  age: number;  
}  
  
class Person {  
  constructor(name: string, age: number) {...}  
  name: string;  
  age: number;  
}  
  
let son : Person = new Pet("Paul", 5)  
let dog : Pet = new Person("Paul", 5)
```

!?



# III.1 TYPES, SUBTYPES AND INHERITANCE

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- Introduction
- Subtyping
- Liskov's substitution principle
- Multiple Inheritance
- Mixin Inheritance and Scala Traits
- Summary

# SUBTYPING

$S \leq B$  ... relation meaning  $S$  is subtype or equal to  $B$

Note: **smaller-equal** relation !

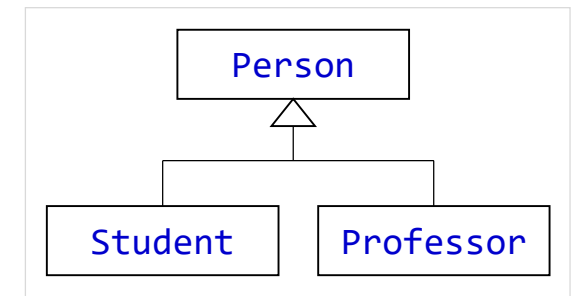
## ■ Subtyping as subset relations

$S \leq B \Leftrightarrow \text{set}_S \subseteq \text{set}_B$  ... with  $\text{set}_T$  is set of all elements of type  $T$

## ■ Type compatibility defined by subset relation

$S \leq B \Rightarrow S$  is assignment compatible with  $B$

```
var x: Person = Student("Jim", 23)
```



# SUBTYPE RELATION

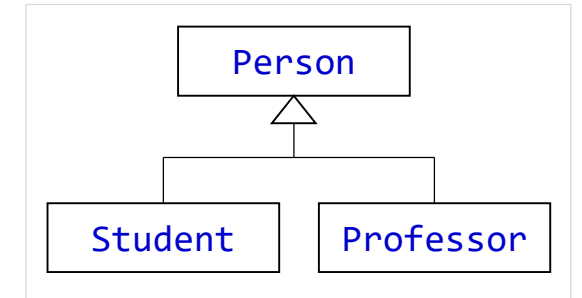
## Subtype relations are defined by

**nominal typing:** explicitly defining subtype relations between data types  
(e.g. by extends/implements relations of classes/interfaces)

```
class Person { ... }
```

```
class Student extends Person { ... }
```

```
class Professor extends Person { ... }
```



**structural typing:** structure → type is a subtype of a supertype  
if it has **at least the members** of the supertype

```
class Person {
  name: string;
  age: number;
}
```

```
class Student {
  name: string;
  age: number;
  study: string;
}
```

```
class Professor {
  name: string;
  age: number;
  salary: number;
}
```

both have **name** and **age**  
→ subtypes of **Person**

```
let student: Student = {name: "Jim", age: 26, study: "CS"}
let professor: Professor = {name: "Bill", age: 62, salary: 100_000}
```

```
let person: Person
person = student
person = professor
```

**Student** and **Professor**  
compatible with **Person** !

# TYPE LATTICE

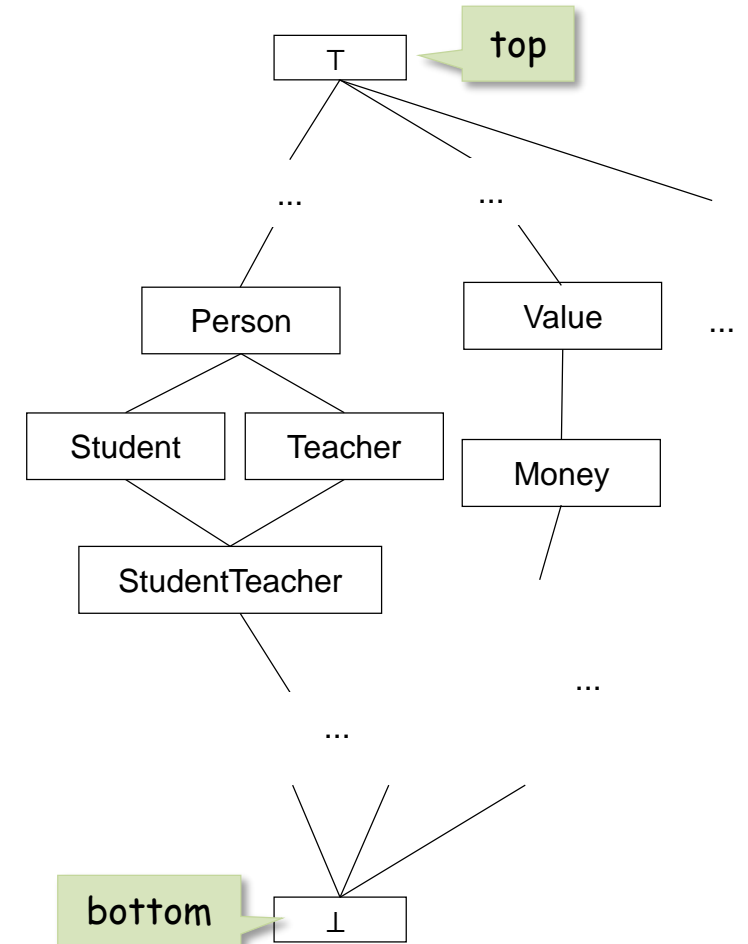
should

Types with subtype relation  $\leq$  form a bounded lattice

$$TLattice = \langle Types, \leq, \sqcup, \sqcap, \top, \perp \rangle$$

with

- $\leq$  is a reflexive, antisymmetric, transitive **partial ordering relation** on **Types**
- supremum  $T_1 \sqcup T_2$  is the **smallest common supertype** of  $T_1$  and  $T_2$
- infimum  $T_1 \sqcap T_2$  is the **largest common subtype** of  $T_1$  and  $T_2$
- $\top$  is the **top element** with  $S \leq \top$  for all  $S \in Types$
- $\perp$  is the **bottom element** with  $\perp \leq S$  for all  $S \in Types$

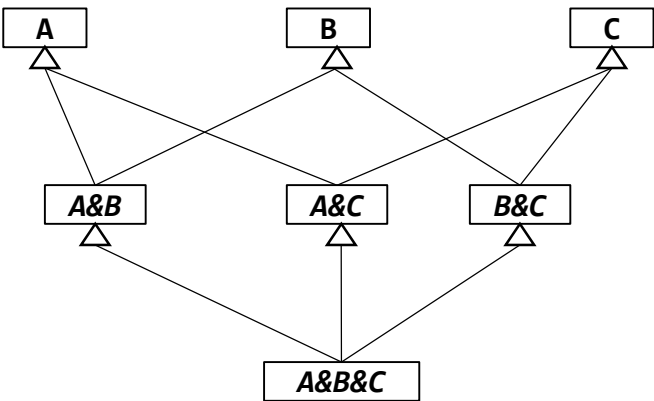
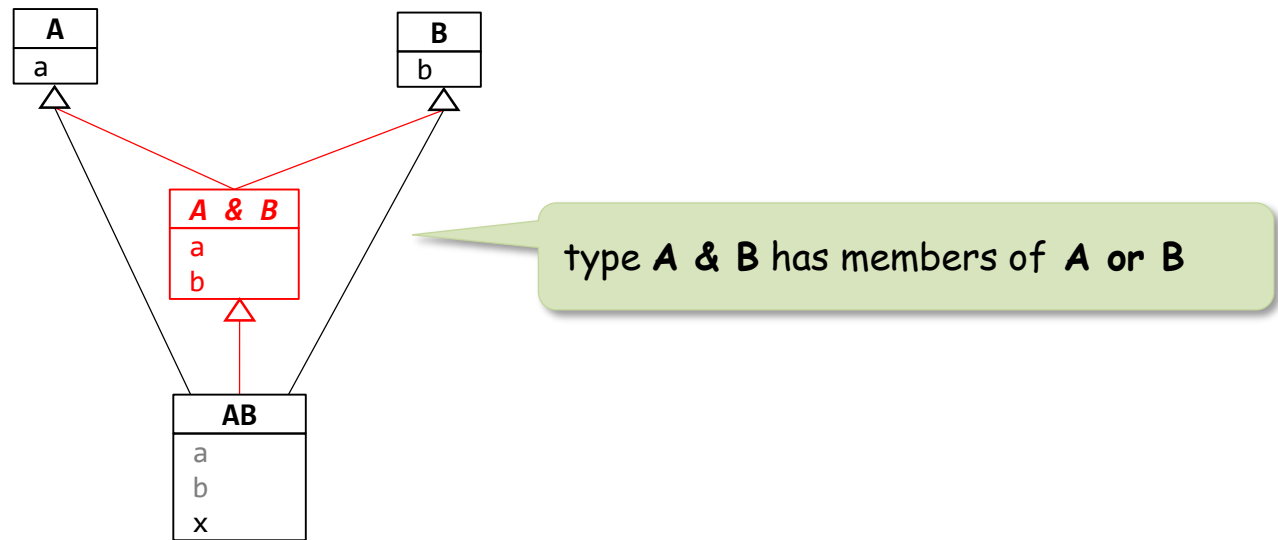


# TYPE INTERSECTION AND TYPE UNION

In type theory **supremum** and **infimum** are named **type union** and **type intersection**

<u>Lattice</u>	<u>Type theory</u>
infimum $T_1 \sqcap T_2$	type intersection $T_1 \& T_2$ type which is subtype of $T_1$ and subtype of $T_2$ is type which has members present in $T_1$ <b>or</b> in $T_2$

## Type intersection:

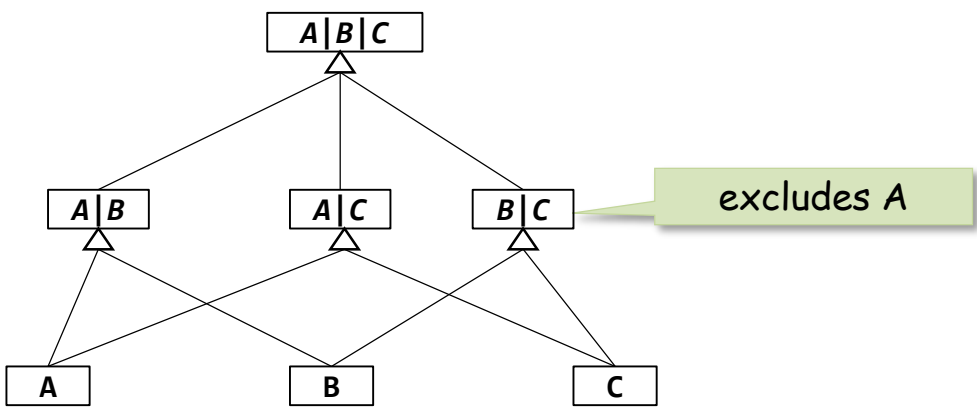
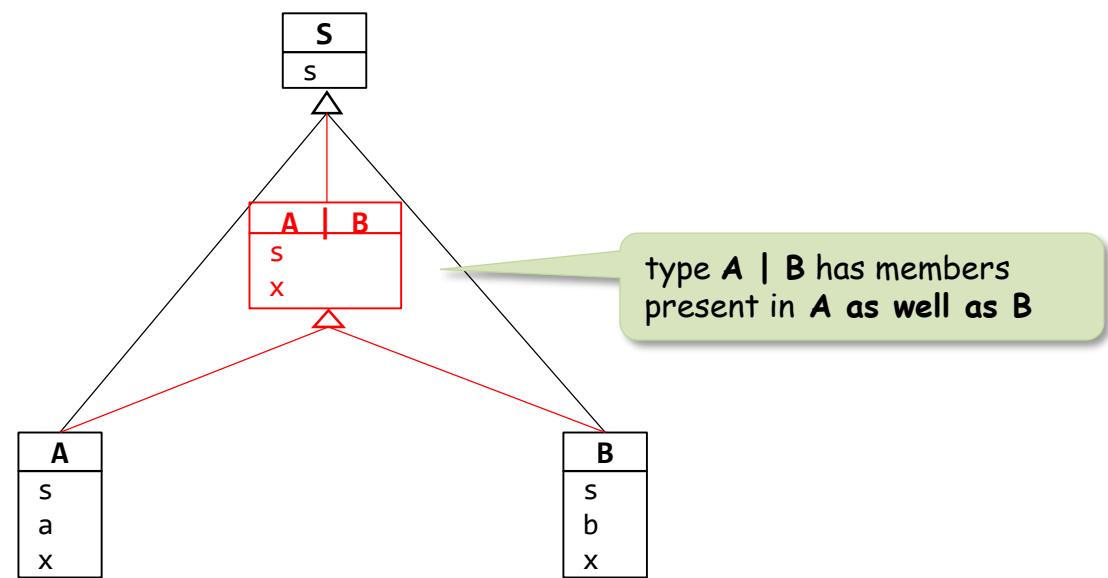


# TYPE INTERSECTION AND TYPE UNION

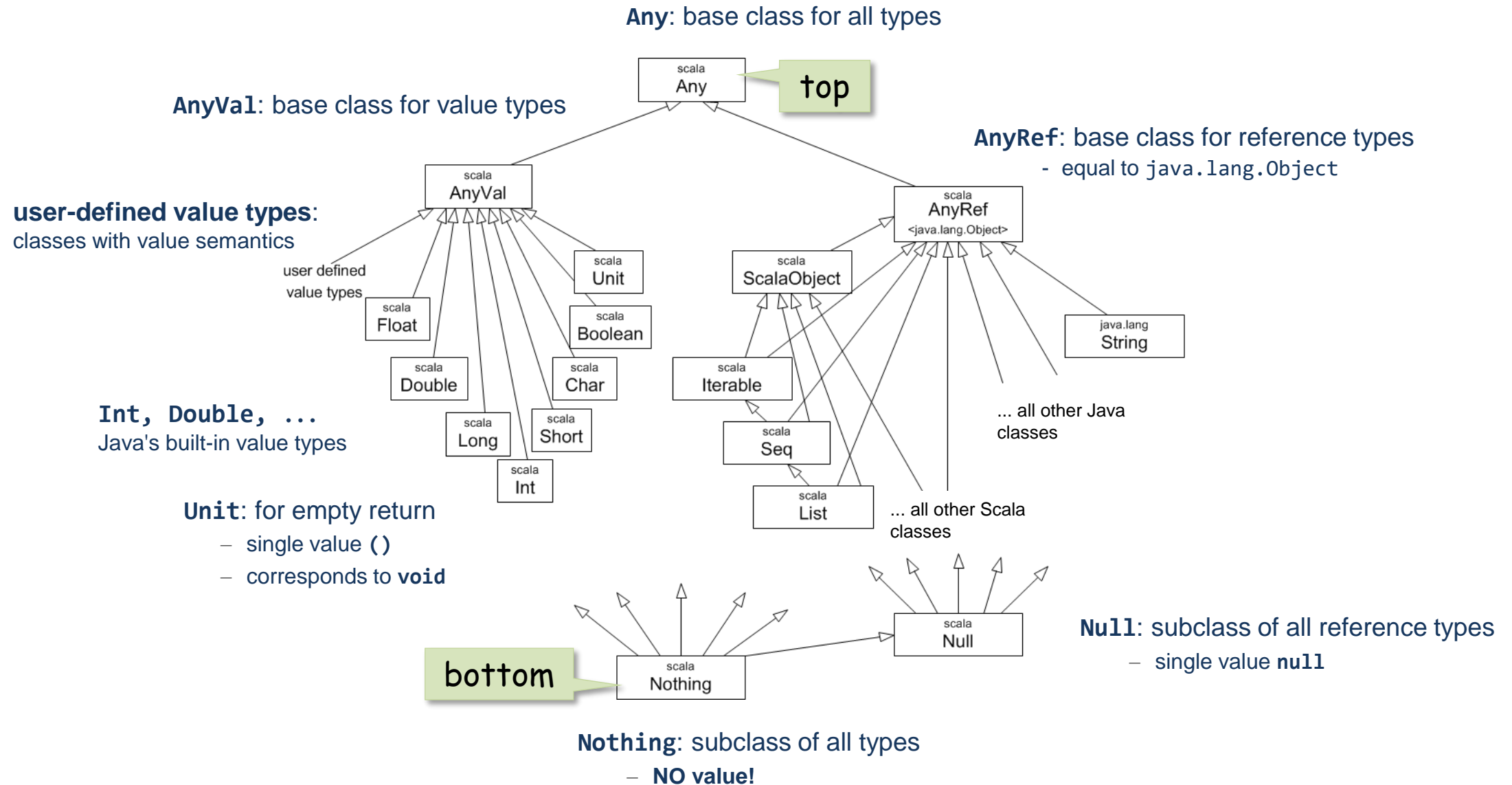
In type theory **supremum** and **infimum** are named **type union** and **type intersection**

<u>Lattice</u>		<u>Type theory</u>		
infimum	$T_1 \sqcap T_2$	type intersection	$T_1 \& T_2$	type which is subtype of $T_1$ and subtype of $T_2$ is type which has members present in $T_1$ <b>or</b> in $T_2$
supremum	$T_1 \sqcup T_2$	type union	$T_1 \mid T_2$	type which is supertype of $T_1$ and supertype of $T_2$ is type has members present in both $T_1$ <b>and</b> in $T_2$

## Type union:



# TYPE LATTICE OF SCALA



# TYPE NULL

---

Type **Null** has a single value **null**

**Null** is subtype of **all reference types**

- value **null** is compatible with all variables with reference type

```
var n : String = null
```

```
var p : Person = null
```

A value **null** has "all members all of reference types"

!?

- but throws an **NullPointerException**

```
p.toString
```

NullPointerException



# TYPE NOTHING

## Nothing is subtype of all types

- type **Nothing** is **compatible** with all types
- does **not** have a **value**
- but important for **typing** and **type inference**

### Example: Return type of methods with Exceptions

```
def error(message: String) : Nothing =  
  throw new RuntimeException(message)
```

Result type **Nothing** because throws exception

```
def divide(x: Int, y: Int) : Int =  
  if (y != 0) x / y  
  else error("can't divide by zero!")
```

Result type **Int**

result of call to **error** has to be compatible with **Int** → **Nothing** compatible with **Int**

# TYPE INFERENCE

## Type inference based on supremum (type union) operation

```
val p = if (...) Student(...) else Professor(...)
```

→ **p** is of type **Person** with **Student** ≤ **Person** and **Professor** ≤ **Person**

```
val x = if (...) 'y' else false
```

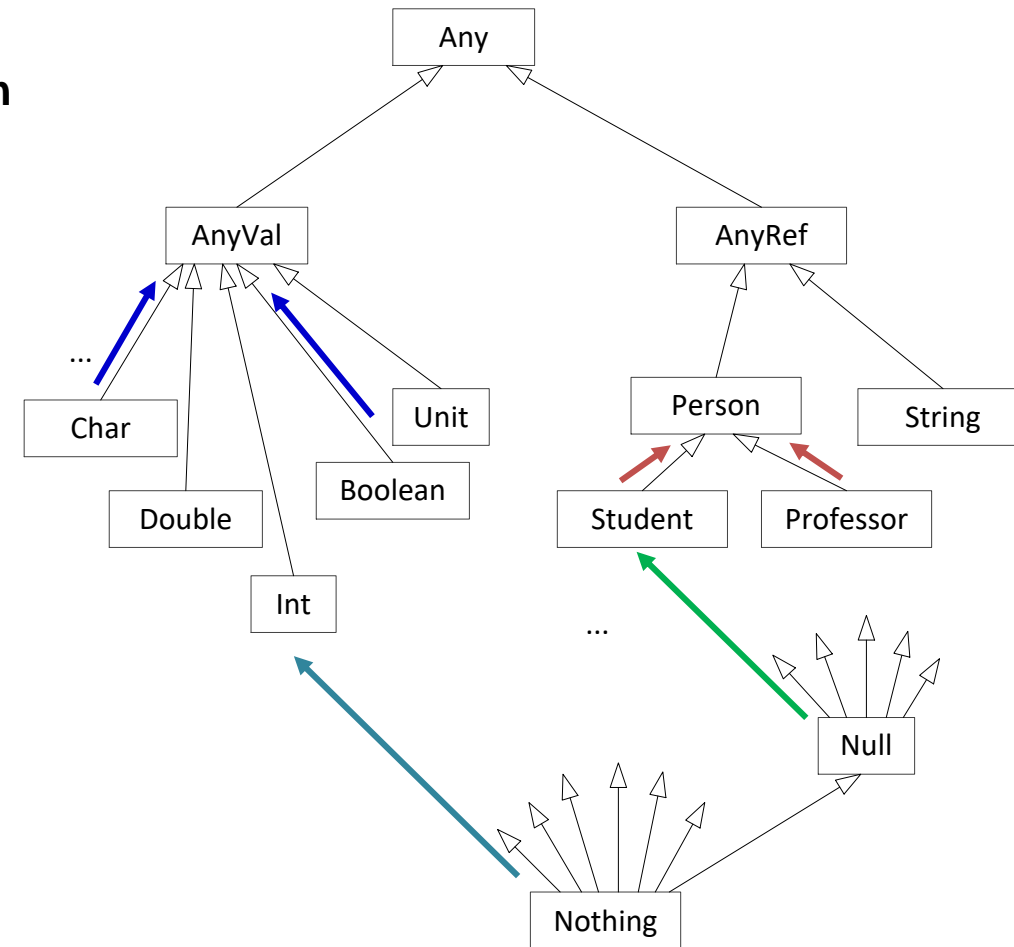
→ **x** is of type **AnyVal** with **Char** ≤ **AnyVal** and **Boolean** ≤ **AnyVal**

```
val s = if (...) Student(...) else null
```

→ **s** is of type **Student** because **Null** ≤ **Student**

```
val d = if (y != 0) x / y  
       else throw Exception("divide by zero!")
```

→ **d** is of type **Int** because **Nothing** ≤ **Int**



# INTERSECTION TYPES IN SCALA

## Type declaration with several types

→ guarantees that concrete object has members of all supertypes

```
val paula : Female & Professional = FemaleManager("Paula", ...)
```

guaranteed: describe, name, work, sex = F but not manage

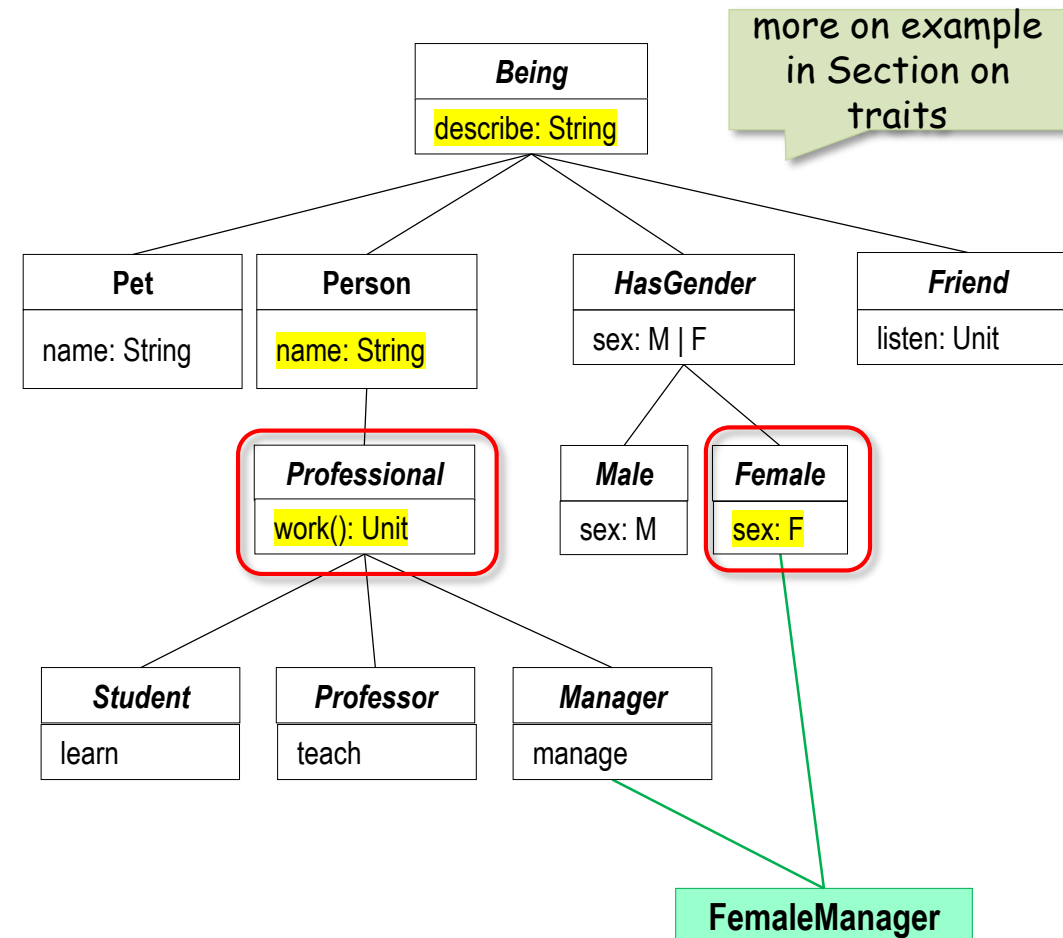
```
def writeMax[T <: Ordered[T] & Writeable](x: T, y: T): Unit = {  
  if (x >= y) then x.write else y.write  
}
```

guaranteed: <, >, >=, <=, compare and write

Java uses intersection types for type parameters

```
static <T extends Comparable<T> & Writeable> void writeMax(T x, T y) {  
  if (x.compareTo(y) >= 0) {  
    x.write();  
  } else {  
    y.write();  
  }  
}
```

guaranteed: compare and write



# UNION TYPES IN SCALA

## Declaration of elements having one of given types

➔ guarantees that concrete object has members of all supertypes

```
val personOrPet : Person | Pet = Dog("Lassy", ...)
```

```
val personOrPet : Person | Pet = FemaleManager("Paula", ...)
```

guaranteed: **describe** from superclass **Being** only

```
personOrPet.describe
```

however: using features present in both but not in common superclass not supported

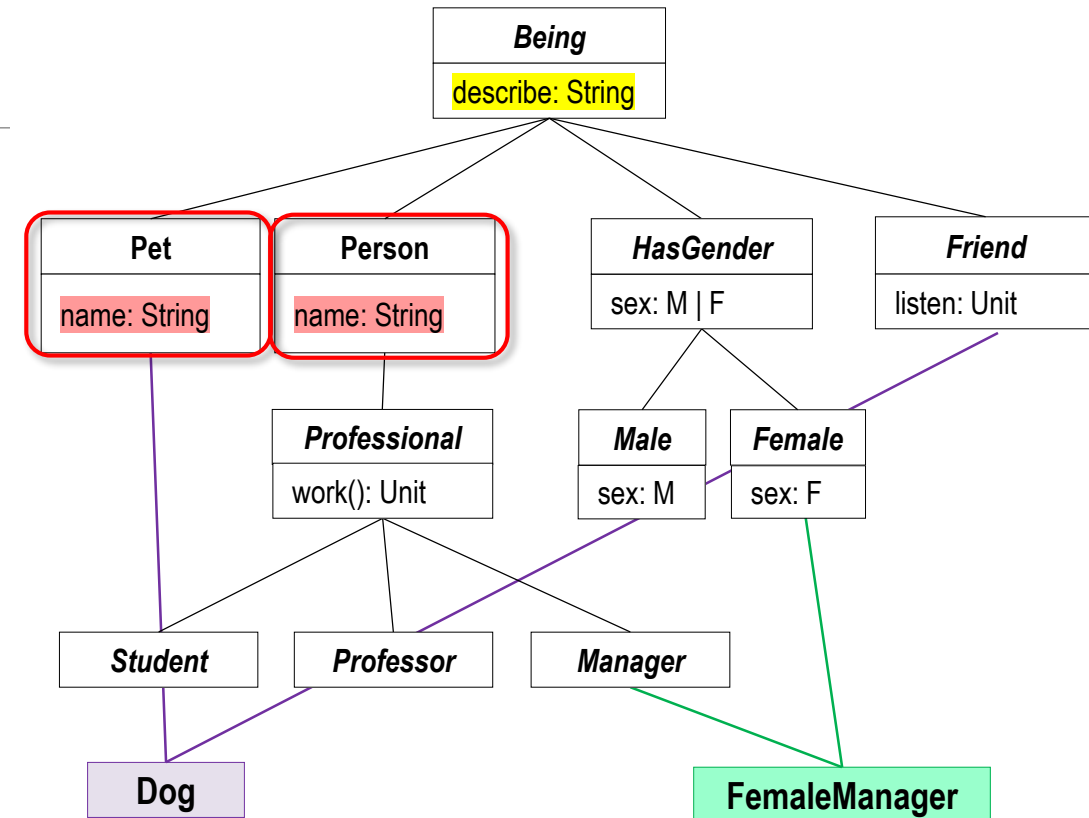
```
personOrPet.name
```

X

Would be a sort of structural typing!

but: can distinguish types in pattern match

```
personOrPet match {  
  case person : Person => println("Go to bar with " + person.name)  
  case pet : Pet => println("Go to park with " + pet.name)  
}
```



# UNION TYPES IN SCALA

---

## Example

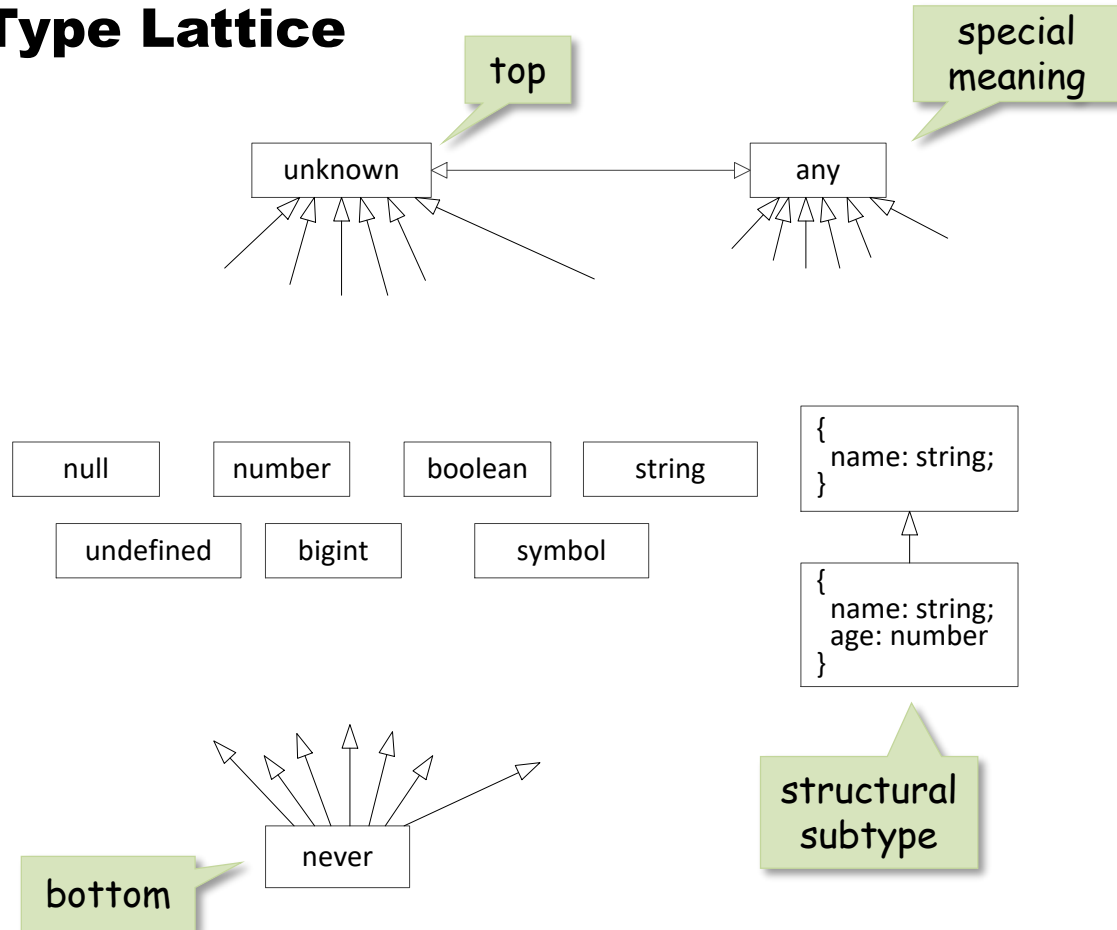
```
def errorMessage (msg : String | Int ) : String = {  
  msg match {  
    case code: Int    => "Error number: " + code  
    case expl: String => "Error: " + expl  
  }  
}
```

```
println(errorMessage(1))  
println(errorMessage("Fatal"))
```

An alternative to  
overloading!

# Typescript

## Type Lattice



### any:

- supertype of all types
- without type checks → allows all operations

## Type union

### ■ in variable declarations

```
let personOrNull : Person | null
```

```
let studentOrProfessor : Student | Professor | undefined
```

### ■ in type inference

```
let studentOrProfessor = (Math.random() > 0.5) ? student : professor
```

inferred type: **Student | Professor**

# Typescript: Structural Type Inference

## Type inference based on structure

```
let studentOrProfessor = (Math.random() > 0.5) ? student : professor
```

inferred type: **Student | Professor**

**Student | Professor** equivalent to type with members present in both

```
{  
  name: string;  
  age: number;  
}
```

which is structurally equivalent to class **Person**

```
class Person {  
  name: string;  
  age: number;  
}
```

```
class Person {  
  name: string;  
  age: number;  
}
```

```
class Student {  
  name: string;  
  age: number;  
  study: string;  
}
```

```
class Professor {  
  name: string;  
  age: number;  
  salary: number;  
}
```

# Typescript: Structural Type Inference

## Type inference based on structure

```
let studentOrNull = (Math.random() > 0.5) ? student : null
```

inferred type: **Student | null**

Cannot access members present in **Student**

```
console.log(studentOrNull.name)
```

✗

Error: **name** not member of **null**

Guaranteeing special type within scope by *narrowing*

```
if (studentOrNull !== null) {  
  console.log(studentOrNull.age)  
} else {  
  console.log("is null")  
}
```

narrowing type in then-branch by if condition:  
**studentOrNull** is type **Student**

```
class Person {  
  name: string;  
  age: number;  
}
```

```
class Student {  
  name: string;  
  age: number;  
  study: string;  
}
```

```
class Professor {  
  name: string;  
  age: number;  
  salary: number;  
}
```



# STRUCTURAL TYPING IN SCALA

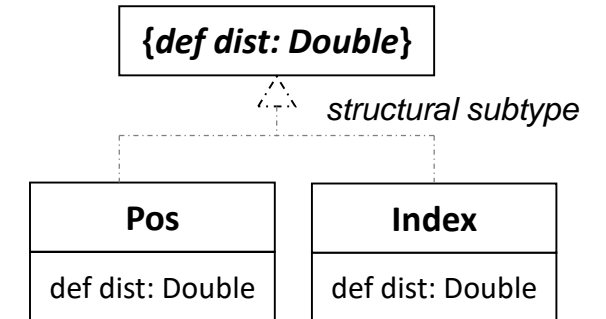
But rarely used

Scala allows **structural typing** as an alternative to nominal typing

Classes **Pos** and **Index**  
both with method **dist**

```
case class Pos(x: Double, y: Double) {  
  def dist: Double = Math.sqrt(x*x + y*y)  
}
```

```
case class Index(i: Int, j: Int) {  
  def dist: Double = i + j  
}
```



Method **printDist** with structural  
type requiring method **dist**

```
import  
reflect.Selectable.reflectiveSelectable  
  
def printDist(d : { def dist: Double }) = {  
  println (d.dist)  
}
```

import required

Structural type: Any object which  
implements function **dist()**

Calling printDist with **Pos** and **Index**  
objects

```
printDist(Pos(2, 3))  
printDist(Index(2, 3))
```

# STRUCTURAL TYPING IN GO

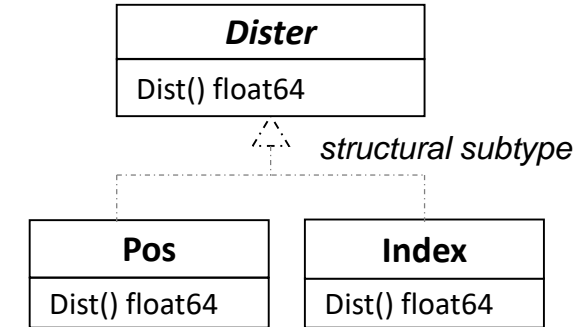
Go is Google's system programming language  
[www.go-lang.org](http://www.go-lang.org)

Type system of **Go** is based on

- **interfaces**
- **structural typing**: check if object types have declared interface functions

Interface **Dister**  
with abstract **Dist** function

```
type Dister interface {  
    Dist() float64  
}
```



Object types  
**Pos** and **Index**

```
type Pos struct {  
    X, Y float64  
}
```

```
type Index struct {  
    I, J int  
}
```

No relation to  
interface **Dister**

Functions **Dist** for  
object types **Pos**  
and **Index**

```
func (p Pos) Dist() float64 {  
    return math.Sqrt(p.X * p.X + p.Y * p.Y)  
}
```

```
func (i Index) Dist() float64 {  
    return I + J  
}
```

Function **PrintDist** with parameter  
of interface type **Dister**:

```
func PrintDist(d Dister) {  
    fmt.Println(d.Dist())  
}
```

Function call with **Pos** and  
**Index** objects

```
PrintDist( Pos(3, 4) )  
PrintDist( Index(3, 4) )
```

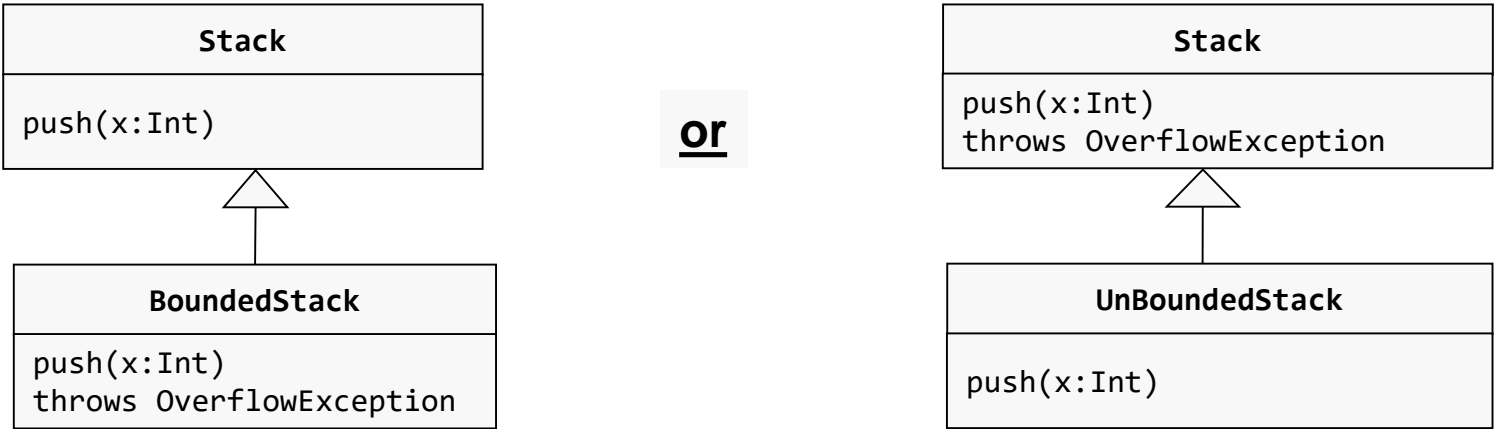
# III.1 TYPES, SUBTYPES AND INHERITANCE

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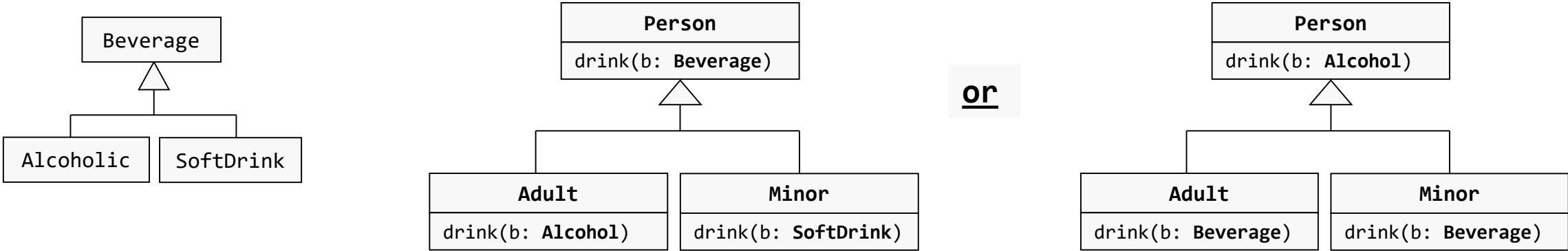
- Introduction
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# MOTIVATION

## What is type-safe?



## What is type-safe?



# LISKOV'S SUBSTITUTION PRINCIPLE

B. Liskov and J.M. Wing: **A Behavioral Notion of Subtyping.**  
*ACM Transactions on Programming Languages and Systems*, Vol. 16, No. 6, 1994.

**Liskov's Substitution Principle** defines **necessary conditions** so that a **type  $S$**  is a **valid subtype** of a **type  $B$**

w.r.t. type safety

$B$   
↑  
 $S$

A **type  $S$**  is **subtype** of a **type  $B$**   
if an **object of type  $S$**  can be used wherever an **object of type  $B$**  can be used.

`b : B = new S()`

location for type  $B$

allows subtype  $S$

This can be guaranteed when

- all **assumptions** of  $S$  are **weaker** than those of  $B$
- and all **guaranties** of  $S$  are **stronger** than those of  $B$

$B$   
↑  
 $S$

weaker  
assumptions

stronger  
guaranties

# WEAKER ASSUMPTIONS – STRONGER GUARANTIES

## Type declaration represents a contract

- **assumptions** for **application** by client
- **guaranties** for the **results** returned to client

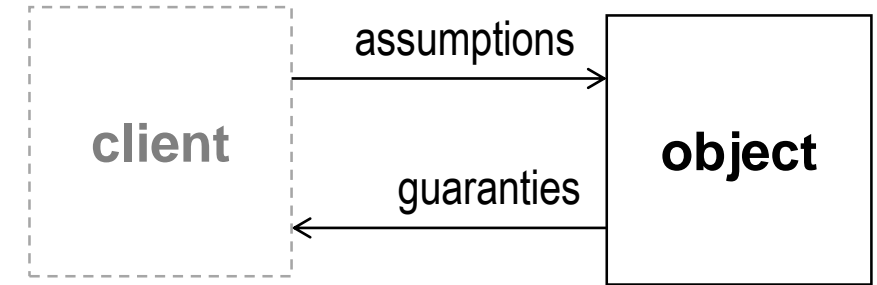
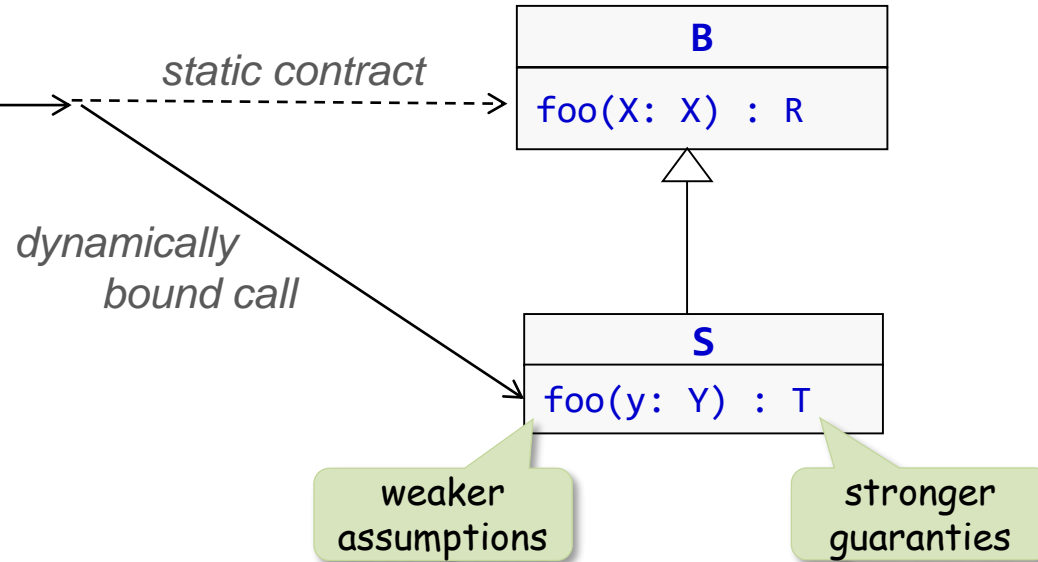
Static variable type  
defines contract

```
b : B
```

Concrete object must  
fulfill contract

```
b = new S()
```

```
val x: X = ...  
val r: R = b.foo(x)
```



***assumptions of B  $\Rightarrow$  assumptions of S***

- stronger assumptions of **B** guarantee that assumptions of **S** are *fulfilled*

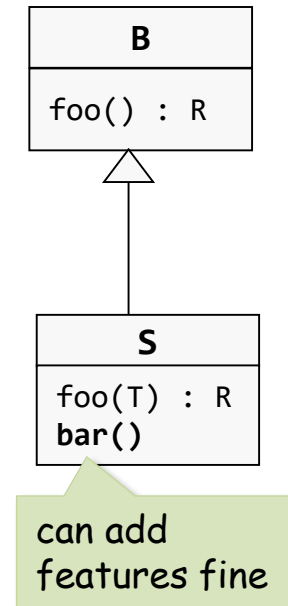
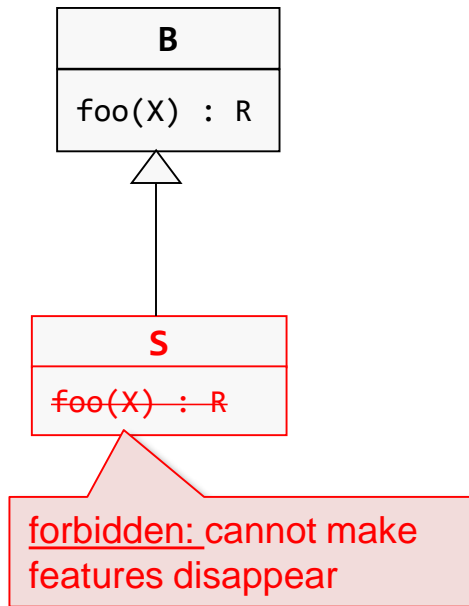
***guaranties of S  $\Rightarrow$  guaranties of B***

- stronger guaranties of **S** guarantee that result of **S** fulfill guaranties of **B**

# No Features Can Be Deleted In Subclass

Features  $B \subseteq$  Features  $S$

- No features from  $B$  can be deleted in  $S$
  - $S$  can add additional features
- Assumptions of subclass are weaker

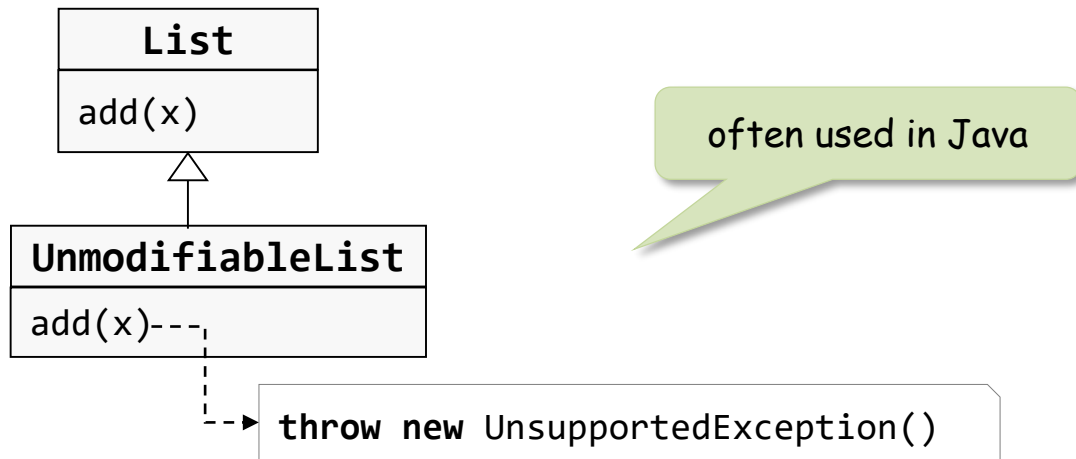


# No Features Can Be Deleted in Subclass

Features  $B \subseteq$  Features  $S$

- No features from  $B$  can be deleted in  $S$
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- Assumptions of subclass are weaker

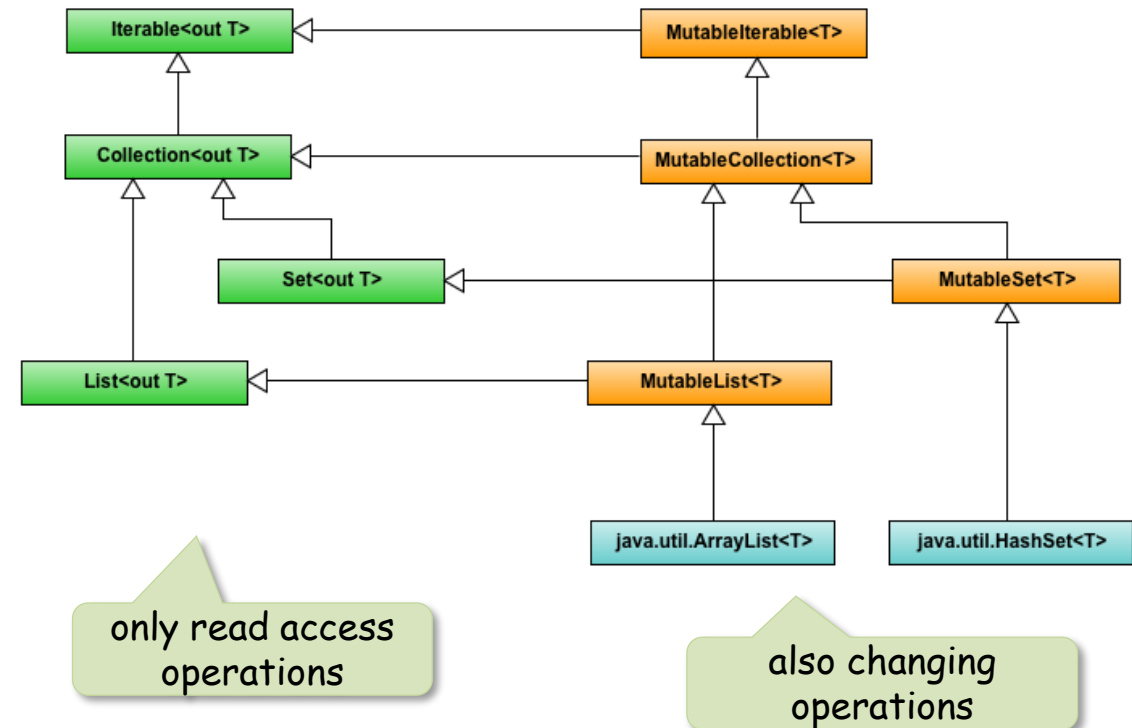
Workaround: throw unchecked exception in subclass



Approach rather questionable

- base contract promises to provide **add**!
- subclass refuses to implement **add** properly!?

Kotlin's approach: interfaces for read-only and mutable collections





# ACCESS MODIFIERS CAN BE RELAXED

## Access modifiers can be more relaxed in subtypes

→ more is visible in subclass

→ **Assumptions are weaker**

## For example in Java

package visibility

```
class A {  
    void foo() = {...}  
}
```

protected visibility

```
class B extends A {  
    @Override  
    protected void foo() = {...}  
}
```

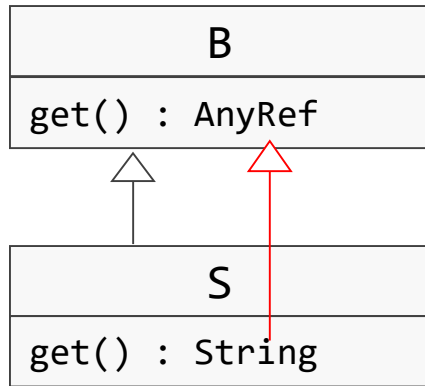
public visibility

```
class C extends B {  
    @Override  
    public void foo() = {...}  
}
```

# VARIANCE OF INPUTS AND OUTPUTS

**Co-variance of output parameters and return values** (outputs can be more special in subclass)

→ **Guaranties of outputs are stronger**



```
var b : B
b = new S()

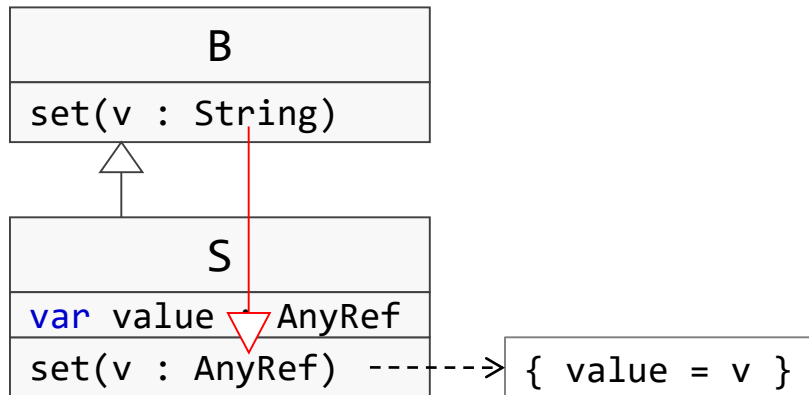
val x : AnyRef = b.get()
```

**B** only guarantees **AnyRef** as result

Concrete object of subtype **S** returns **String** compatible with **AnyRef**

**Contra-variance of input parameters** (inputs can be more general in subclass)

→ **Assumptions of inputs are weaker**



```
var b : B
b = new S()

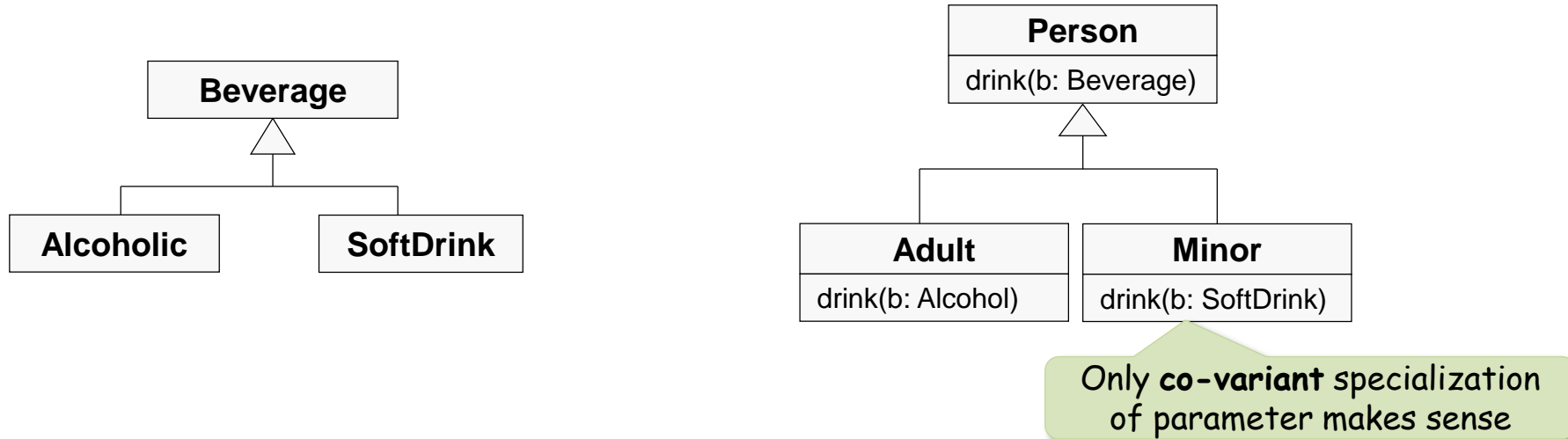
b.set("String")
```

Type-safe because **B** only allows **Strings** but **S** accepts **AnyRef**

# Co-VARIANCE VS. CONTRA-VARIANCE OF INPUTS

- **Contra-variance** of inputs is **type-safe**
- however, is sometimes **counter-intuitive**

Example: **Co-variant** parameter in method **drink** in classes **Adult** and **Minor**



- ➔ For that reason, most languages including Java, Scala and C# **do not allow contra-variant** but only **invariant** input parameters
- ➔ **Eiffel** is a language with **co-variant parameters** and thus is **not type-safe**

Eiffel is an object-oriented language developed in the mid 1980s by Bertrand Meyer

## ■ Design-by-contract

- ☐ **pre-conditions** and **post-conditions** for methods and **class invariants**

## ■ Uniform-access-principle

- ☐ Function with **no argument** same as **field access operations**
- ☐ **Fields** are **dynamically bound** and can be **overridden**

same in Scala

## ■ Command-query separation

- ☐ either **function returning value**
- ☐ or **command changing state**



Bertrand Meyer

# Co-VARIANT PARAMETERS IN EIFFEL

## Example: MINOR drinking ALCOHOL

```
class BEVERAGE ... end
class SOFT_DRINK inherit BEVERAGE ... end
class ALCOHOL inherit BEVERAGE ... end
```

```
class PERSON
feature
  beverage: BEVERAGE
  drink(b: BEVERAGE) do
    beverage := b
  end
end
```

method drink

```
class MINOR inherit PERSON
  redefine drink end
feature
  drink(soft: SOFT_DRINK) do
    beverage := soft
  end
end
```

redefine ~ override annotation

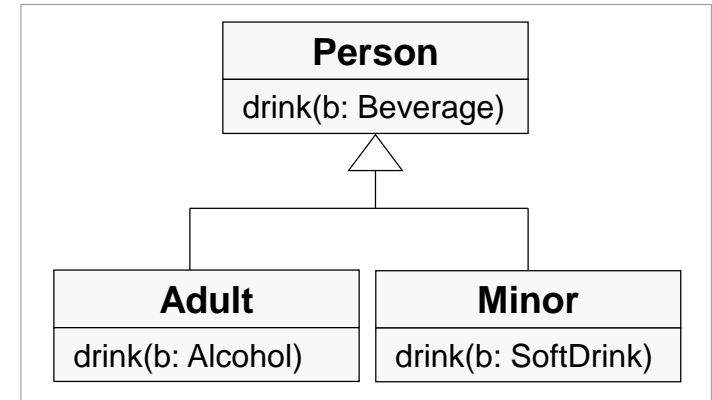
co-variant override

```
-- main program --
little_willy: MINOR
beer: ALCOHOL
c: PERSON
c := little_willy

c.drink(beer)
```

polymorphic assignment

type error: little\_willy is  
not allowed to drink beer!!



➔ Methods calls in Eiffel  
are **not type-safe**

# Typescript: BIVARIANCE OF PARAMETERS

Parameters in TypeScript are **bivariant**

→ can be more general or more special

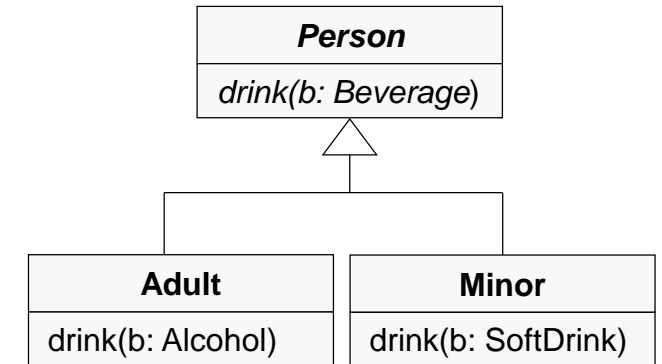
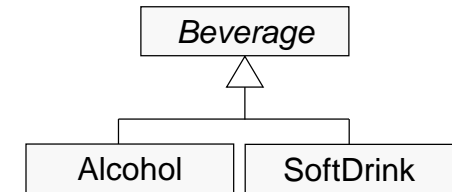
```
class Beverage {...}
class Alcohol extends Beverage {...}
class SoftDrink extends Beverage {...}
```

```
abstract class Person {
  abstract drink(b : Beverage) : void
}
class Adult extends Person {
  drink(b : Alcohol) : void {
    console.log("Drinking " + b)
  }
}
class Minor extends Person {
  drink(b : SoftDrink) : void {
    console.log("Drinking " + b)
  }
}
```

parameter more special  
→ not type-safe

```
let person : Person = new Minor()
person.drink(new Alcohol("beer", 5))
```

Drinker drink allows Beverage  
→ Minor can drink beer



# TYPESCRIPT: BIVARIANCE OF PARAMETERS

Parameters in TypeScript are **bivariant**

→ can be more general or more special

```
interface Checker {  
    check(x : string | number): boolean  
}
```

```
class NullChecker implements Checker {  
    check(x: string | number | null): boolean {  
        return x == null ? true : ....  
    }  
}
```

parameter more general → **type-safe**

```
class StringChecker implements Checker {  
    check(x: string): boolean {  
        return x.length > 0  
    }  
}
```

parameter more special → **erroneous**

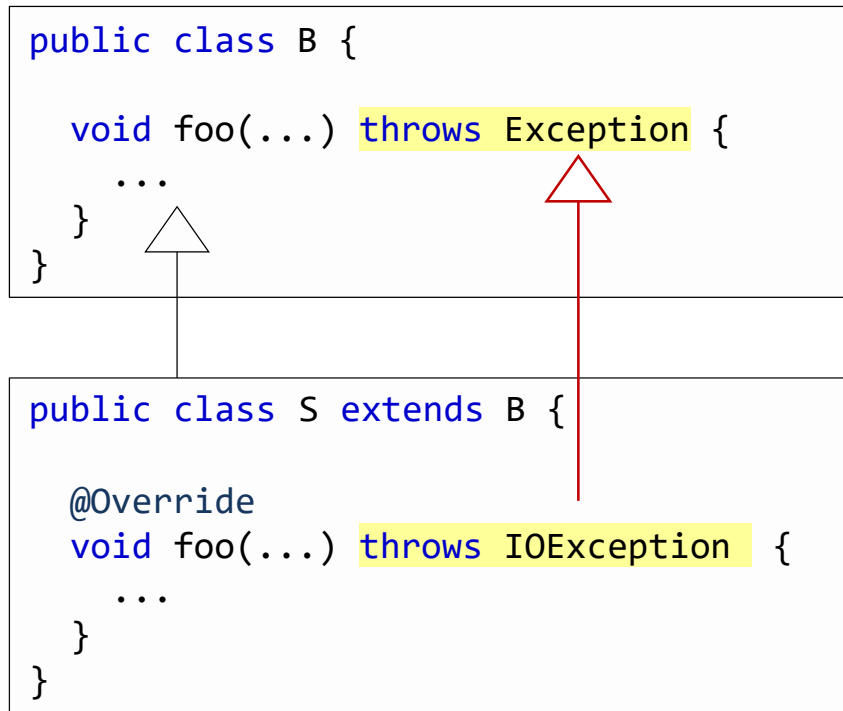
```
let checker : Checker = new StringChecker()  
checker.check(3)
```

Error: **Checker** allows **number** but **StringChecker** only works for have **string** !!

# Co-Variance of Exceptions

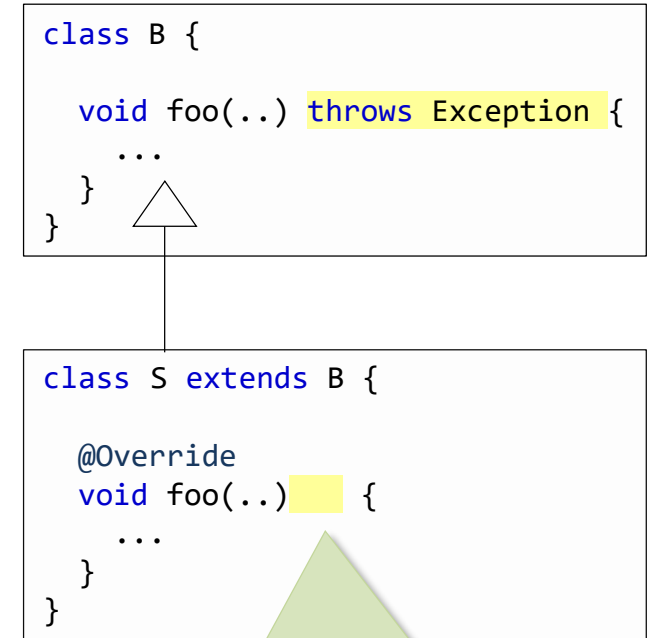
**Exception** thrown by method of **subtype S** must be a **subset** of the exceptions thrown by method of **supertype B**

→ **Guaranties are stronger**



```
B b = new S();  
try {  
    b.foo(...);  
    ...  
} catch (Exception e) {  
    ...  
}
```

must care for **Exception** with **IOException** possibly thrown by **S** being compatible



Subclass can decide to throw **no exception**



# Co-VARIANCE OF EXCEPTIONS

## Example: OverflowException in Stack classes

```
class StackException extends Exception {...}
class OverflowException extends StackException {...}
class UnderflowException extends StackException {...}
```

```
public class Stack {
    int limit = 16;

    void push(int x) throws OverflowException {
        ...
    }
    ...
}
```

```
public class UnboundedStack extends Stack {

    @Override
    void push(int x) {
        ...
    }
    ...
}
```

UnboundedStack decides not to  
throw **OverflowException**

```
Stack stack = new UnboundedStack();

try {
    stack.push(1);
    ...
} catch (OverflowException ofe) {
    System.out.println(ofe)
}
```

must handle **OverflowException** but  
**UnboundedStack** will never throw one

# CO-VARIANT OVERRIDES OF MEMBERS IN SCALA

## Overrides between variables and methods without parameters

- a mutable field can override a method
- an immutable field can override a mutable field
- an immutable field can override a method

Recall: also fields are dynamically bound in Scala

## Specialization hierarchy of member types

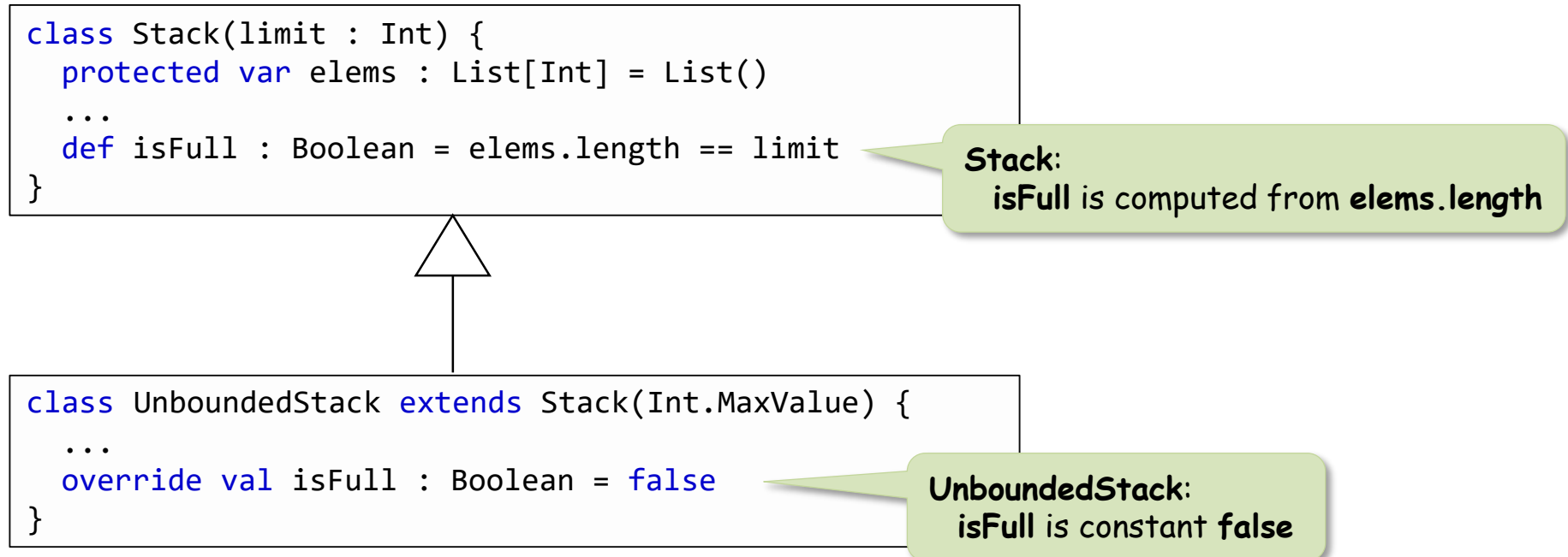
- methods with no parameter → return value dependent on object state
- getter of mutable **var** fields → return value dependent on mutable variable
- immutable **val** fields → return value is constant

*more special*

→ **Guarantees are stronger**

# CO-VARIANT OVERRIDES OF MEMBERS IN SCALA

**Example:** Method `isFull` in `Stack` overridden by `val` in `UnboundedStack`



# Co-VARIANT OVERRIDES OF MEMBERS IN SCALA

**Example:** co-variant overrides of **width** and **height** in **Shape** classes

```
abstract class Shape {  
  abstract def width : Int  
  abstract def height : Int  
  ...  
}
```

in **Shape** width and height are **abstract methods** !

```
class Group extends Shape {  
  var elems : List[Shape] = List()  
  override def width : Int = computeWidth(elems)  
  override def height : Int = computeHeight(elems)  
  ...  
}
```

in **Group** width and height are **computed** from mutable elements!

```
class Rect(w : Int, h : Int) extends Shape {  
  override var width = w  
  override var height = h  
  ...  
}
```

in **Rect** width and height are defined by **mutable fields** !

```
class Circle(r : Int) extends Shape {  
  override val width = 2 * r * PI  
  override val height = 2 * r * PI  
  ...  
}
```

in **Circle** width and height are **constant vals**!

# VARIANCE OF ASSERTIONS

---

## Preconditions have to be weaker

- Preconditions of **B** must imply precondition of **S**

$$pre_B \Rightarrow pre_S$$



## Postconditions have to be stronger

- Postconditions of **S** must imply postconditions of **B**

$$post_S \Rightarrow post_B$$

## Invariants have to be stronger

- Invariants of **S** must imply invariants of **B**

$$inv_S \Rightarrow inv_B$$

# VARIANCE OF ASSERTIONS

## Example: Postcondition

```
class Collection {  
  ...  
  def add(x : Int) = {  
    ...  
  }  
}
```

Postcondition: `this.contains(x)`

```
class Stack extends Collection {  
  ...  
  def add(x : Int) = {  
    push(x)  
  }  
  def push(x : Int) = {  
    ...  
  }  
}
```

Postcondition: `this.top == x`

$post_S \Rightarrow post_B$ : `this.top == x  $\Rightarrow$  this.contains(x)`

# EIFFEL: DESIGN-BY-CONTRACT

**Eiffel** supports **preconditions**, **postconditions of methods** and **class invariants**

```
class Collection
feature
  count: integer;
  capacity: integer is 100;
  items : array[string]
```

```
add (x: string) is
```

```
  require
```

```
    count < capacity
```

```
  do
```

```
    --some add operation
```

```
  ensure
```

```
    contains(x)
```

```
    count = old count + 1
```

```
  end -- add
```

```
contains(x: string) is ...
```

```
...
```

```
invariant
```

```
  0 <= count
```

```
  count <= capacity
```

```
end -- Collection
```

## *Precondition*

- Condition on parameter and fields
- must be assured by caller

## *Postcondition*

- Condition of parameters and fields (incl. **old** field values)
- must be guaranteed by method

## *Class invariants*

- Condition on field values
- must hold between method calls

# EIFFEL: DESIGN-BY-CONTRACT

```
class Collection
feature
  ...
  add (x: integer) is
    require
      count <= capacity
    do
      --some add operation
    ensure
      contains (x)
      count = old count + 1
    end -- add
  invariant
    0 <= count
    count <= capacity
end -- Collection
```



```
class Stack inherit Collection
  redefine add end
feature
  top : integer
  add (x: integer) is
    do
      push(x)
    ensure
      item[top] = x
    end; -- add
  ...
end; -- Stack
```

`item[top] = x    ⇒    contains(x)`



# EIFFEL: INHERITANCE OF ASSERTIONS

```
class B
feature
  m(...) is
    require preB
    do ...
    ensure postB
  end;
end;
```



```
class S inherit B
  redefine M end
feature
  m(...) is
    require else preS
    do ...
    ensure then postS
  end;
end;
```

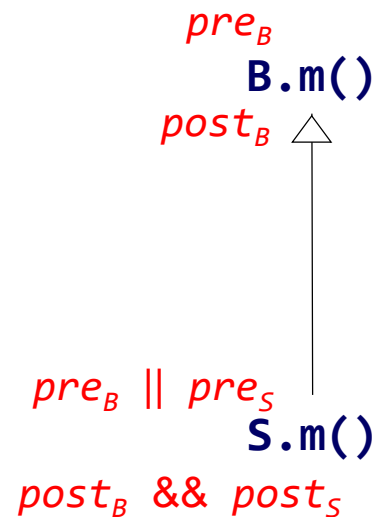
**Logical combination of assertions** from superclass and subclass with

**require else** : Preconditions combined by **or** (**||**)

→ **Preconditions get weaker**

**ensure then**: Postconditions combined by **and** (**&&**)

→ **Postcondition get stronger**



$$pre_B \Rightarrow pre_B || pre_S$$

Precondition of **B** implies precondition of **S**

$$post_B \&\& post_S \Rightarrow post_B$$

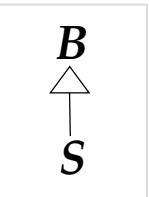
Postcondition of **S** implies postcondition of **B**

# LISKOV'S SUBSTITUTION PRINCIPLE (FORMAL)

B. Liskov and J.M. Wing: A Behavioral Notion of Subtyping. *ACM Transactions on Programming Languages and Systems*, Vol. 16, No 6, 1994

Liskov's substitution principle defines necessary conditions for subtyping

Let  $S, B \in \text{Types}$  and let  $m_B$  be method defined in  $B$  and method  $m_S$  in  $S$  overrides  $m_B$  then  $S$  is a proper subtype of  $B$ , i.e.,  $S \leq B$ , if the following conditions hold:



**Overriding subtype methods  $m_S$  preserve supertype methods'  $m_B$  behavior:**

- 1) **Contra-variance of arguments:** for all input parameters  $p : TI_B$  of method  $m_B$  and corresponding input parameters  $p_S : TI_S$  of method  $m_S$  it follows  $TI_B \leq TI_S$
- 2) **Co-variance of results:** for all results and output parameters of type  $TO_B$  of methods  $m_B$  and corresponding results and output parameters of type  $TO_S$  of methods  $m_S$  it follows  $TO_S \leq TO_B$
- 3) **Exceptions:** exceptions  $except_S$  thrown by method  $m_S$  must be a subset in exceptions  $except_B$  thrown by method  $m_B$
- 4) **Preconditions:** a precondition  $pre_B$  for method  $m_B$  must imply the precondition  $pre_S$  for method  $m_S$
- 5) **Postconditions:** a postcondition  $post_S$  for method  $m_S$  must imply the postcondition  $post_B$  for method  $m_B$

**Subtype constraints ensure supertype constraints:**

- 6) **Preservation of invariants:** Let  $Inv_B$  be an invariant defined for  $B$  and  $Inv_S$  be an invariant defined for  $S$  then  $Inv_S$  must imply  $Inv_B$
- 7) **History constraint**  $HistConstr_S$  for subtype  $S$  must imply history constraints  $HistConstr_B$  of supertype  $B$

history constraints are about state changes

# LISKOV'S SUBSTITUTION PRINCIPLE (SHORT FORM)

$S \leq B$  :

Overriding subtype methods  $m_S$  preserve supertype methods  $m_B$  behavior:

- 1) Contra-variance of input parameter types  $TI_B$  and  $TI_S$ :  $TI_B \leq TI_S$
- 2) Co-variance of output types  $TO_B$  and  $TO_S$ :  $TO_S \leq TO_B$
- 3) Co-variance of Exceptions  $Excpt_B$  and  $Excpt_S$ :  $Excpt_S \subseteq Except_B$
- 4) Contravariance of Preconditions  $Pre_B$  and  $Pre_S$ :  $Pre_B \Rightarrow Pre_S$
- 5) Co-variance of Postconditions  $Post_B$  and  $Post_S$ :  $Post_S \Rightarrow Post_B$

Subtype constraints ensure supertype constraints:

- 6) Preservation of invariants:  $Inv_S \Rightarrow Inv_B$
- 7) Subtype history constraints ensure supertypes history constraints:  $HistConstr_S \Rightarrow HistConstr_B$



# III.1 TYPES, SUBTYPES AND INHERITANCE

---

- Introduction
- Subtyping
- Liskov's substitution principle
- Multiple Inheritance
- Mixin Inheritance and Scala Traits
- Summary

# MULTIPLE INHERITANCE

---

## Class derived from more than one superclass

### Motivation

- factor out common behavior
- improve code reuse

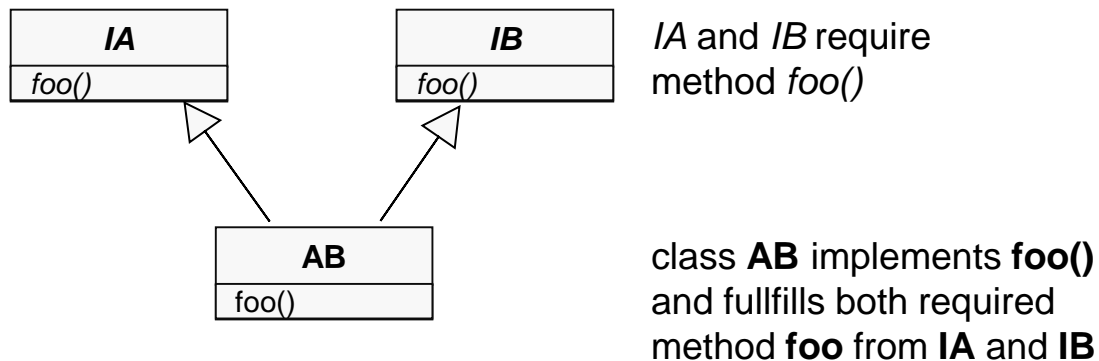
### Approaches

- multiple class inheritance
- multiple interface inheritance
- mixin inheritance
- Scala traits

# MULTIPLE INTERFACE VS. IMPLEMENTATION INHERITANCE

## Interface inheritance

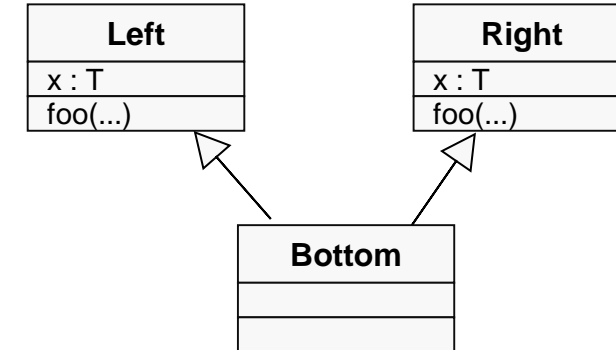
- abstract methods represent requirement that method has to be implemented



➔ No conflicts

## Implementation inheritance

- inheritance of concrete method implementations and data fields

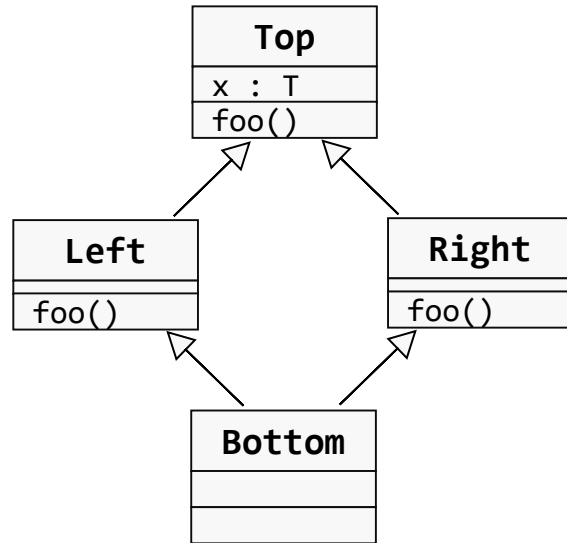


➔ Conflicts:

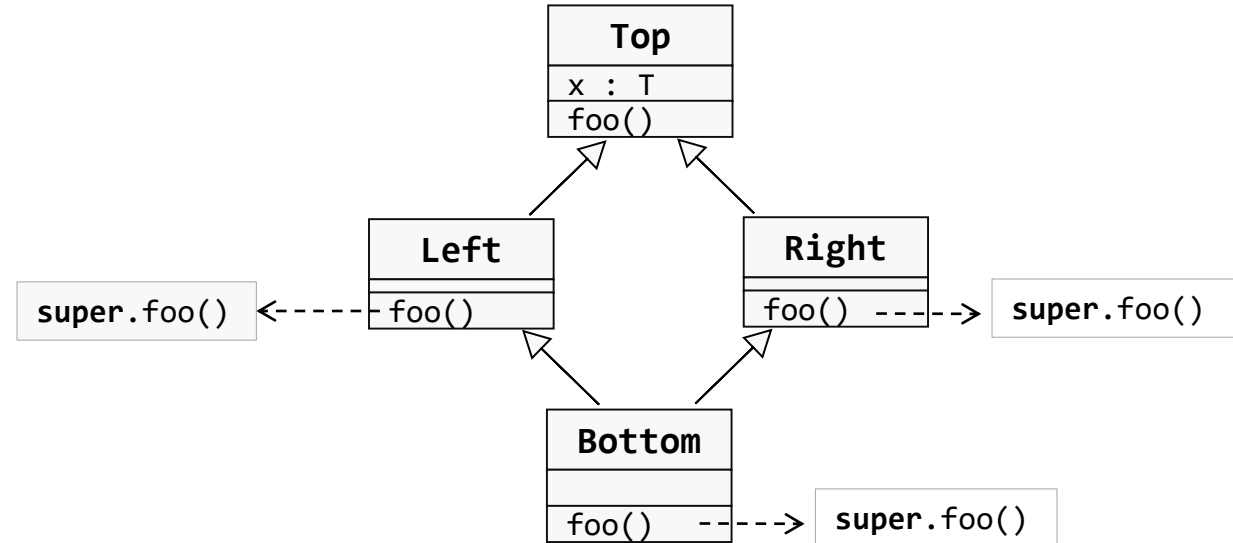
- Inheritance of instance variables? Once? Twice?
- Which method to inherit ?
- Order of super calls ?

# DIAMOND PROBLEM

## Inherit from different paths



```
Bottom b = new Bottom();  
b.x  
b.foo()
```

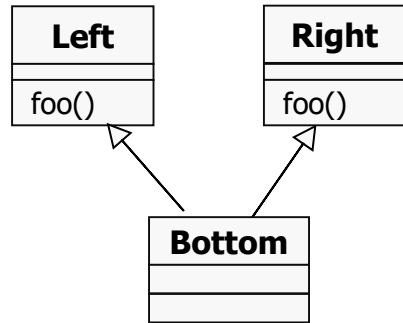


## Questions:

- Does Bottom have one x or two?
- Which method foo is inherited? from Left, Right, or both?
- Which method foo() is called?
- In which order are super calls resolved?

# CONFLICT RESOLUTION IN C++

- C++ supports multiple implementation inheritance
- Conflict resolution by developer



```
Bottom* bottom = new Bottom();
```

```
bottom.foo();
```

```
bottom.Left::foo();
```

```
bottom.Right::foo();
```

**ambiguous → forbidden**

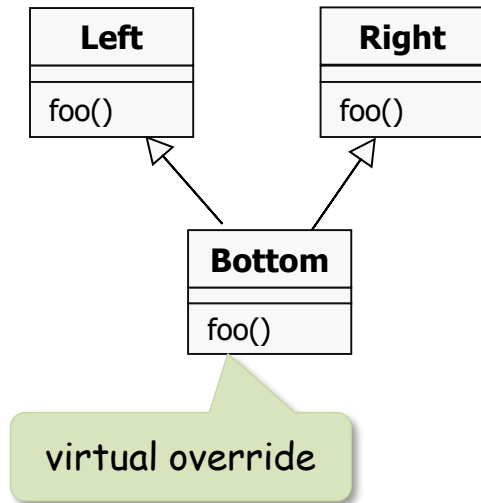
**Left's implementation**

**Right's implementation**



# CONFLICT RESOLUTION IN C++

## ■ Overriding method `foo()` in **Bottom**



```
Bottom* bottom = new Bottom();
```

```
bottom.foo();
```

```
bottom.Left::foo();
```

```
bottom.Right::foo();
```

**Bottom's implementation**

**Left's implementation**

**Right's implementation**

But:

```
Left* left = bottom;
left.foo();
```

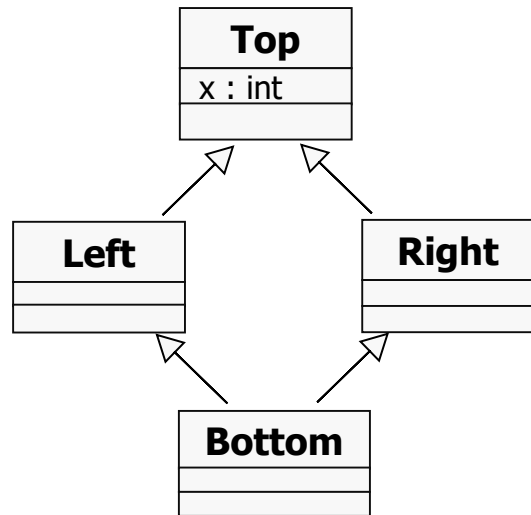
**Bottom's implementation**

```
Right* right = bottom;
right.foo();
```

**Bottom's implementation**

# DIAMOND PROBLEM IN C++

## Inheriting fields: Two versions



- virtual inheritance  
→ inherit once

```
class Top {  
    int x;  
};  
  
class Left: virtual Top {...};  
class Right: virtual Top {...};  
class Bottom: Left, Right {...};
```

Memory layout:



x only once

- normal inheritance  
→ inherit twice

```
class Top {  
    int x;  
};  
  
class Left: Top {...};  
class Right: Top {...};  
class Bottom: Left, Right {...};
```



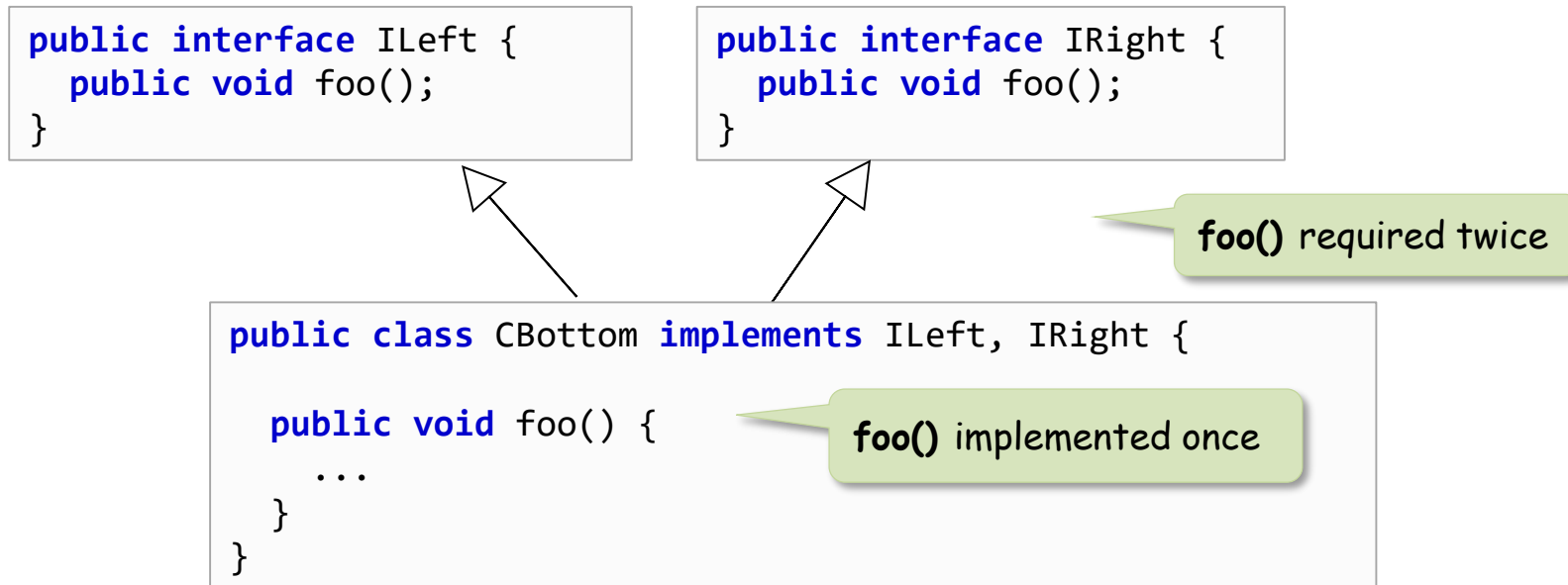
x twice

# INHERITANCE OF ABSTRACT MEMBERS

## Multiple inheritance of abstract members does not represent conflict

- abstract declarations only state that **methods must be present**
- no inheritance but **subtyping**

### Example: Old Java interfaces (without default methods)



# OVERRIDE-EQUIVALENT METHODS SIGNATURES

**Override-equivalent methods** are

- methods where **one would override** the other
- override-equivalent methods **have to be implemented once**

```
public interface ILeft {  
    public Object get();  
}
```

```
public interface IRight {  
    public String get();  
}
```

override-equivalent  
methods get!

```
public class CBottom implements ILeft, IRight {  
    public String get() { ... }  
}
```

must implement more specific

# III.1 TYPES, SUBTYPES AND INHERITANCE

---

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# MIXINS

■ **Mixin** = independent piece of behavior

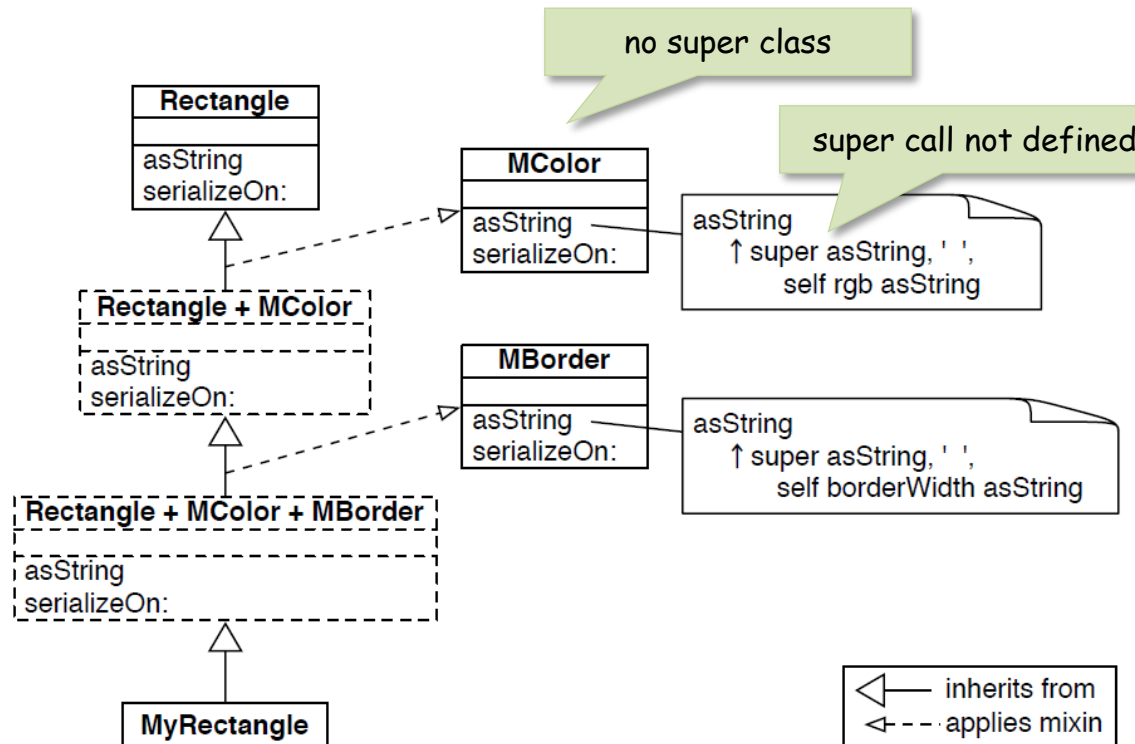
- for **extending** other classes
- at **composition time**

time when mixin is mixed to other class

→ **mixin class** has no **defined superclass**

→ **super calls not determined in class definition**

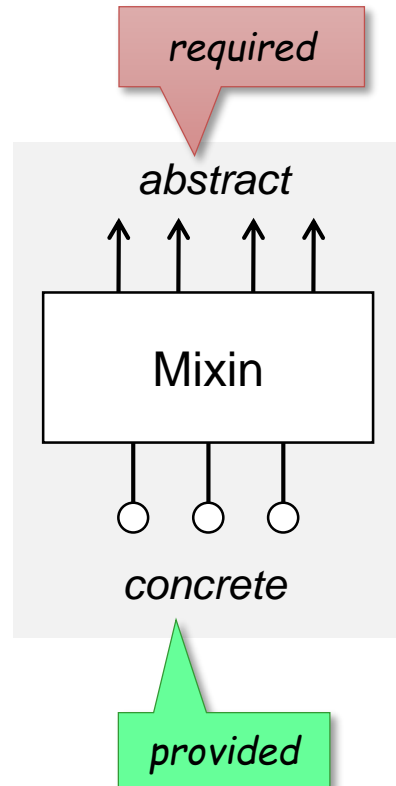
Example: Smalltalk with Mixins



# MIXIN CLASSES

## Mixins with concrete and abstract features

- abstract features → *required by mixin class*
- concrete features → *provided by mixin class*



*"When somebody provides my required features  
I can provide my concrete features"*

# JAVA INTERFACES WITH DEFAULT METHODS

**Java interfaces** with **default methods** are **mixins**

- **provided** (concrete) and **required** (abstract) methods
- **composition by inheritance**

```
public interface Collection<E> extends Iterable<E> {  
    ...  
    boolean remove(Object o);  
    ...  
    default boolean removeIf(Predicate<? super E> filter) {  
        Objects.requireNonNull(filter);  
        boolean removed = false;  
        final Iterator<E> each = iterator();  
        while (each.hasNext()) {  
            if (filter.test(each.next())) {  
                remove(each.next());  
                removed = true;  
            }  
        }  
        return removed;  
    }  
    ...  
}
```

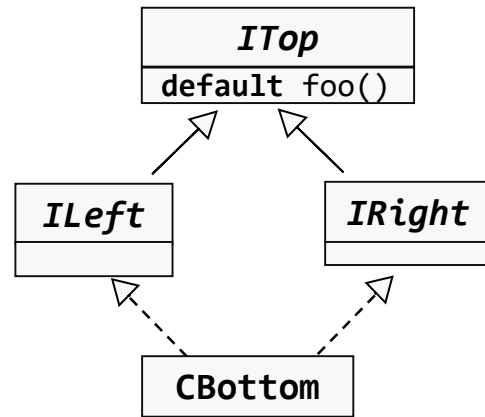
*required*

*provided*

call to abstract  
method **remove**!

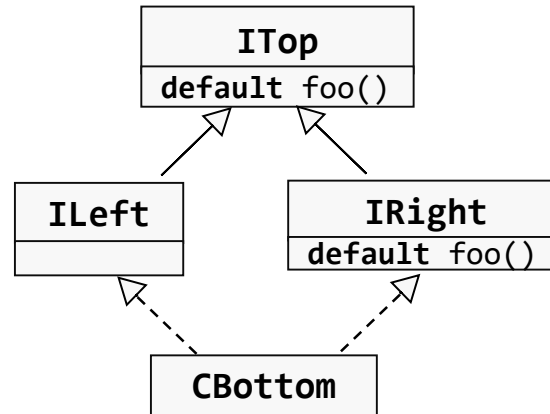


# DEFAULT METHODS: CONFLICT RESOLUTION

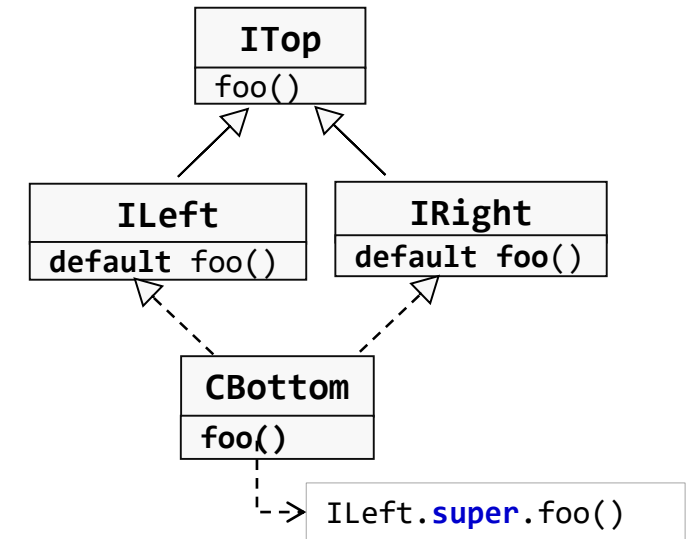


```
CBottom c = new CBottom();
c.foo();
```

**no conflict:**  
`ITop.foo()` called



**no conflict:**  
`IRight.foo()` called



**Conflict:**  
must be resolved by  
implementing method in class

```
class CBottom implements ILeft, IRight {
    void foo() {
        ILeft.super.foo();
    }
}
```

## Traits in Scala are a sort of **mixins**

### ■ Definition of **required features**

- **abstract members**: must be implemented by concrete class
- **deriving from classes**: concrete class must be derived from that class
- **Self-type annotations**: requires itself to be of that type (→ see later)

### ■ Implementation of **concrete features**

- **concrete methods and variables**

required class

```
trait SomeTrait extends SomeClass with SomeOtherTrait {  
  
  => name : SomeType  
  
  val abstractValField : Type  
  
  def abstractMethod(...) : ReturnType  
  
  val concreteValField = ...  
  
  def concreteMethod(...) = {  
    ... abstractMethod ... abstractValField ..  
  }  
}
```

Self-type annotation =  
requires itself to be of that type

abstract = required

concrete = provided

# PROTOTYPICAL USAGE SCENARIOS OF TRAITS

---

## Rich interfaces

- Implementation of abstract trait with broad interface
- Class has to implement only some elementary operations
- broad interface mixed in

## Orthogonal features

- Type system with traits providing orthogonal features
- Combination in class by mixing together different traits

## Stackable modifications

- Auxiliary features implemented in traits
- Additions by traits
- Building chain of calls by super calls

not shown in the following!

# EXAMPLE RICH INTERFACES: ORDERED

## ■ Ordered is trait extending `java.lang.Comparable`

- with one abstract method **compare**
- Implementation of **relational operators** based on **compare**

```
trait Ordered[A] extends Any with java.lang.Comparable[A] {  
  def compare(that: A): Int  
  def < (that: A): Boolean = (this compare that) < 0  
  def > (that: A): Boolean = (this compare that) > 0  
  def <= (that: A): Boolean = (this compare that) <= 0  
  def >= (that: A): Boolean = (this compare that) >= 0  
  def compareTo(that: A): Int = compare(that)  
}
```

single abstract

several concrete

```
case class Fract(nom: Int, denom: Int) extends Ordered[Fract] {  
  ...  
  def compare(that: Fract): Int = ...  
}
```

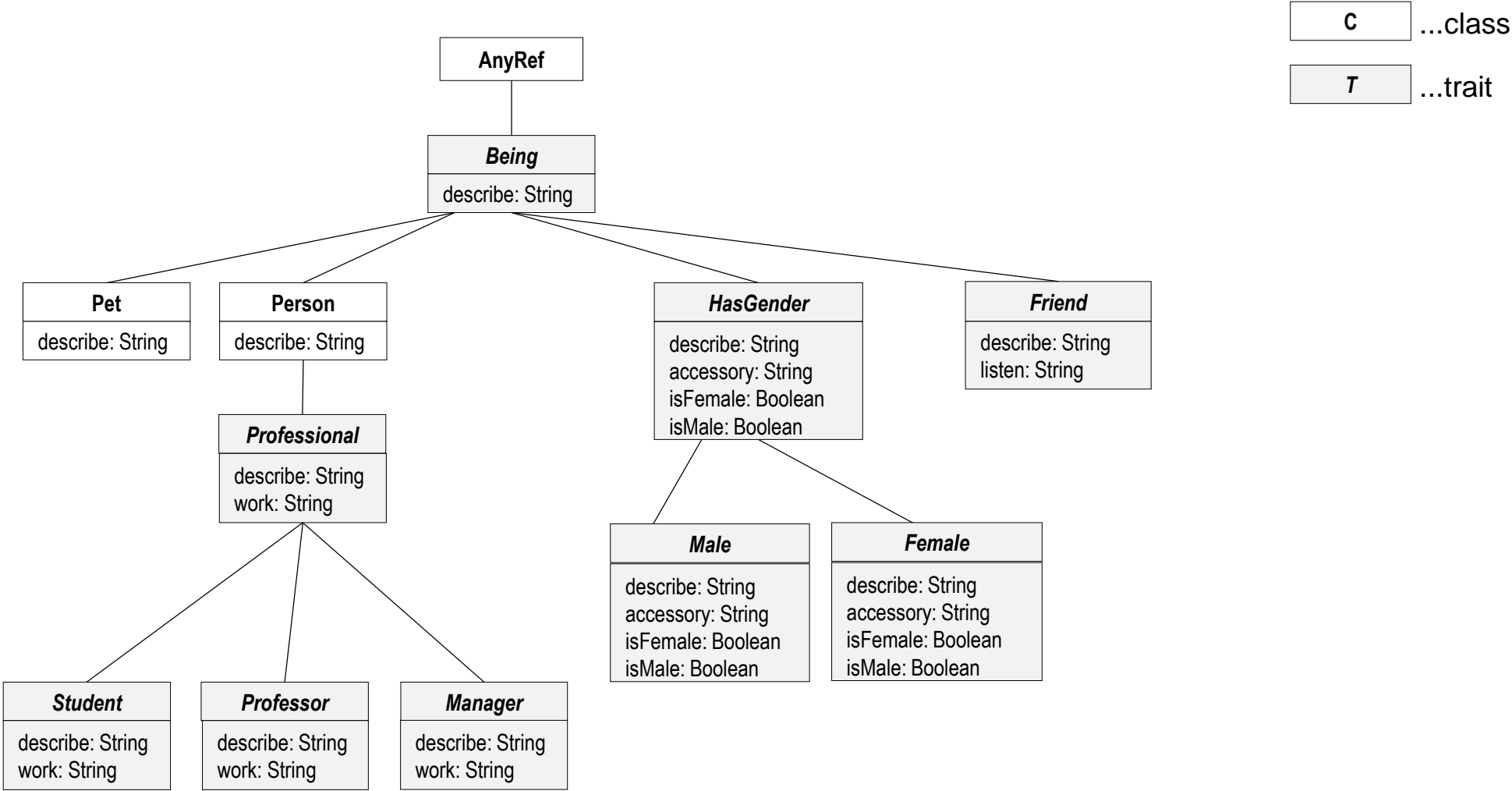
implement  
abstract method

```
val f1 = Fract(4, 3)  
val f2 = Fract(3, 2)  
  
if (f1 < f2) "smaller"  
else if (f1 > f2) "greater"  
else "equal"
```

inherit concrete

# EXAMPLE ORTHOGONAL FEATURES: BEINGS

■ Implementing orthogonal features by traits



# EXAMPLE ORTHOGONAL FEATURES: BEINGS

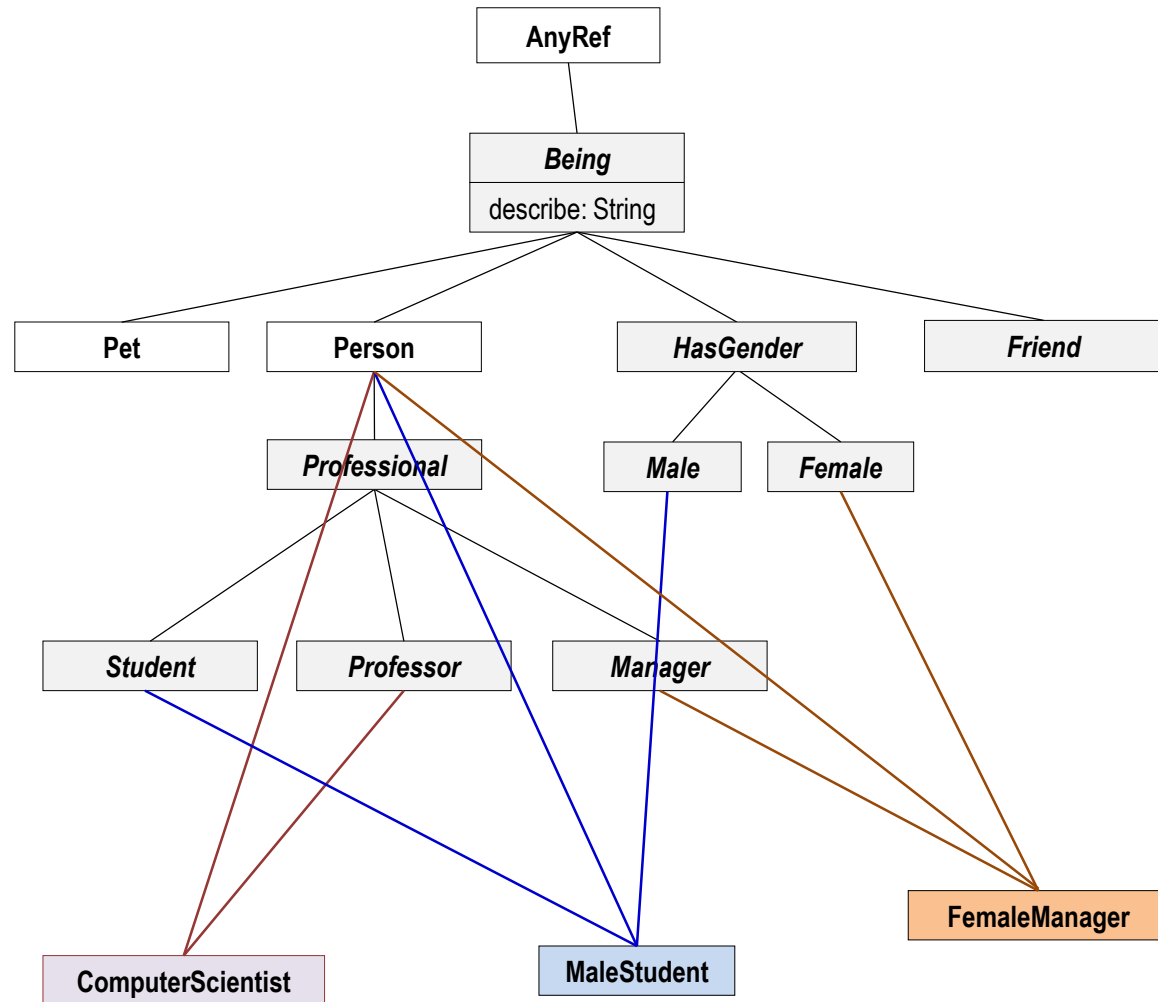
## ■ Implementing orthogonal features by traits

```
trait Being :  
  def describe: String  
  
class Person(val name: String) extends Being :  
  def describe = s"Person(name = $name)"  
  
class Pet(val species: String, val name: String) extends Being :  
  def describe = s"$species(name = $name)"  
  
trait HasGender extends Being :  
  val accessory: String  
  def isFemale: Boolean  
  def isMale: Boolean  
  
trait Female extends HasGender :  
  override val isFemale = true  
  override val isMale = false  
  abstract override def describe = super.describe + s"is female, has $accessory"  
  
trait Male extends HasGender :  
  override val isFemale = false  
  override val isMale = true  
  abstract override def describe = super.describe + s"is male, has $accessory"
```

```
trait Friend(val friendOf: Person) extends Being :  
  abstract override def describe: String = super.describe + listen  
  def listen: String = " listens to " + friendOf.name  
  
trait Professional extends Person :  
  def works: String  
  
trait Professor(val research: String) extends Professional :  
  def works = " thinks about " + research  
  
trait Manager extends Professional :  
  val works = " makes money "  
  
trait Student(val study: String) extends Professional :  
  def works = " learns " + study
```

# EXAMPLE ORTHOGONAL FEATURES: BEINGS

- Implementing orthogonal features by traits



...

# EXAMPLE ORTHOGONAL FEATURES: BEINGS

---

## ■ Mixing together multiple traits

```
class ComputerScientist(name: String, research: String) extends Person(name) with Professor(research)

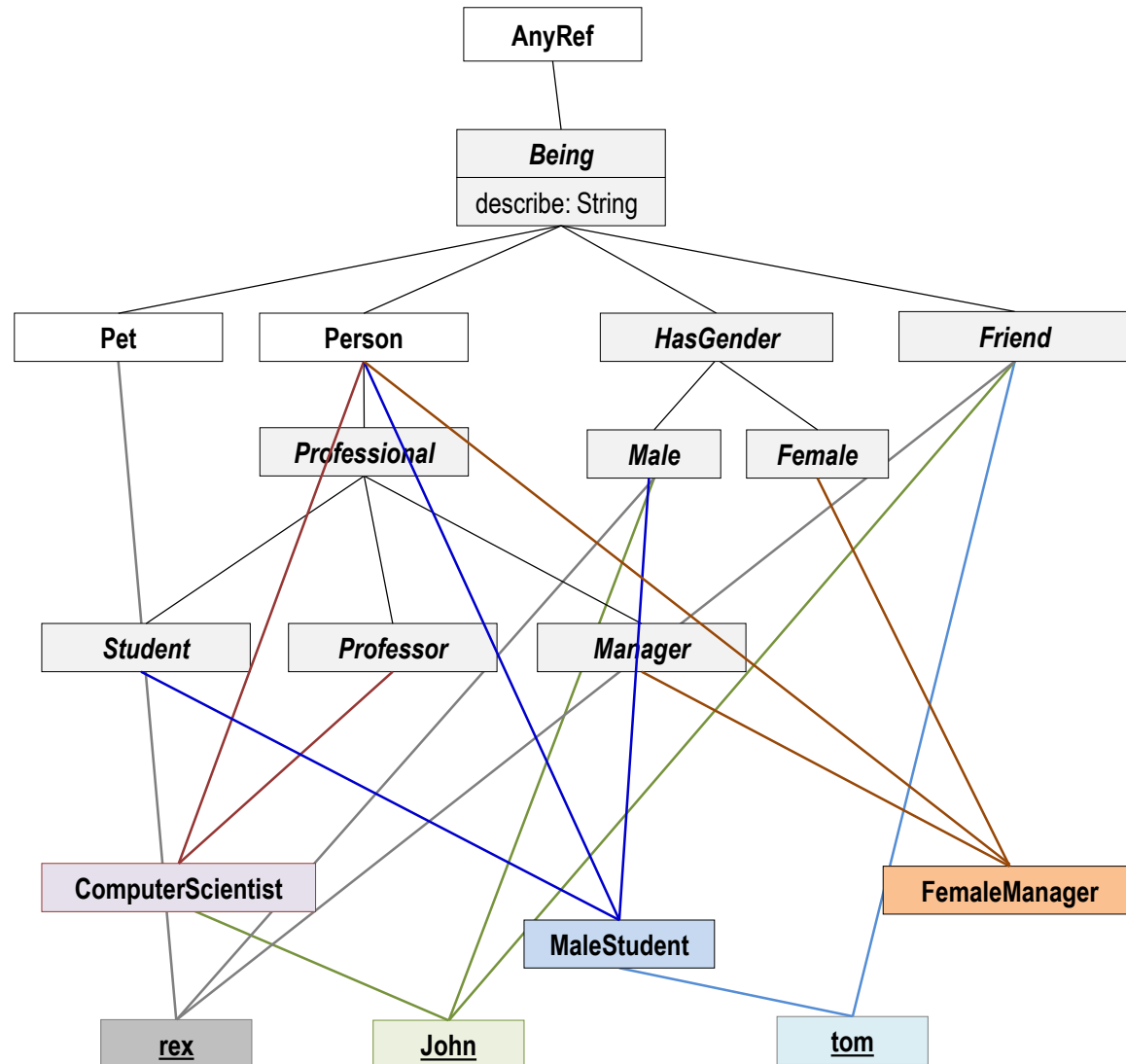
class MaleStudent(name: String, acc: String, study: String) extends Person(name) with Male with Student(study) :
  override val accessory = acc

class FemaleManager(name : String, acc: String) extends Person(name) with Female with Manager :
  override val accessory = acc
```



# EXAMPLE ORTHOGONAL FEATURES: BEINGS

- Implementing orthogonal features by traits



...

# EXAMPLE ORTHOGONAL FEATURES: BEINGS

## ■ Mixing together multiple traits

```
class ComputerScientist(name: String, research: String) extends Person(name) with Professor(research)

class MaleStudent(name: String, acc: String, study: String) extends Person(name) with Male with Student(study) :
  override val accessory = acc

class FemaleManager(name : String, acc: String) extends Person(name) with Female with Manager :
  override val accessory = acc
```

```
val tom = new MaleStudent("Tom", "mp3 player", "philosophy") with Friend(John)

object John extends ComputerScientist("John", "languages") with Male with Friend(tom) :
  override val accessory = "laptop"

val rex = new Pet("Dog", "Rex") with Male with Friend(John) :
  val accessory = "neckband"

val rita = new FemaleManager("Rita", "iPhone") with Friend(John)

...
```

# EXAMPLE ORTHOGONAL FEATURES: BEINGS

## Typing

### ■ Intersection types

petPrint requires Pet and Friend

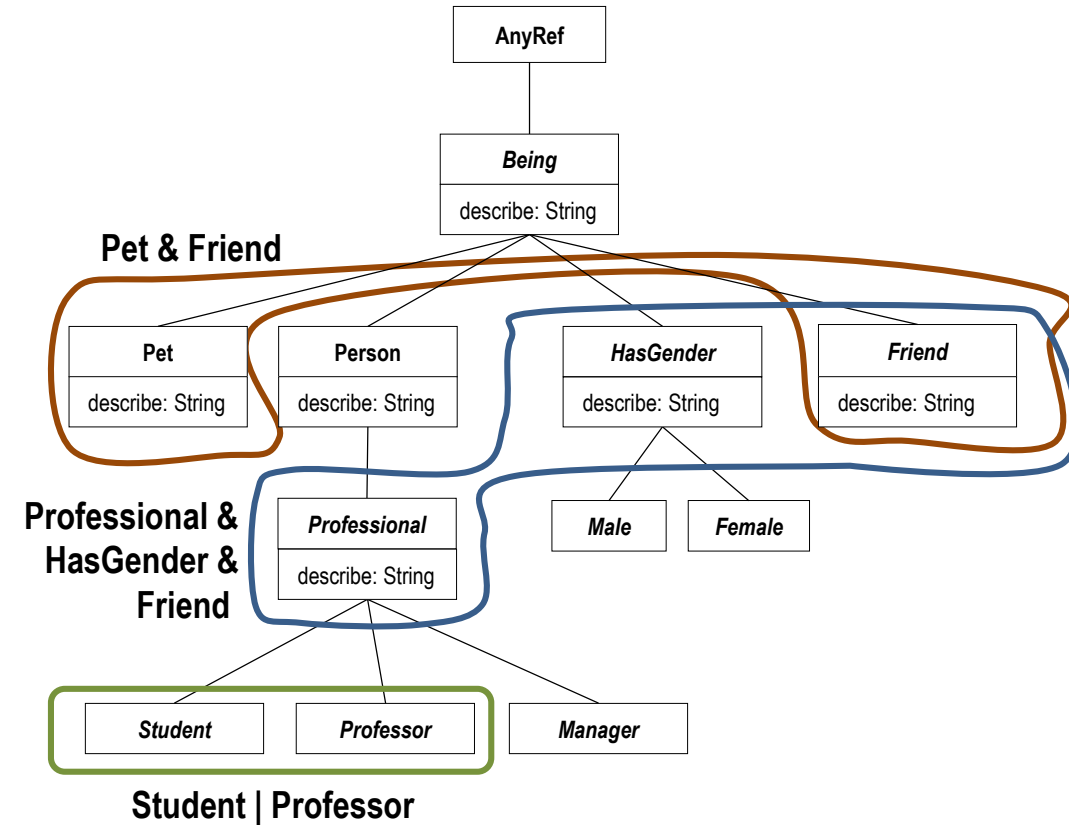
```
def petFriend(p: Pet & Friend) = {  
  println("Pet " + p.name + " " + p.listen)  
}
```

```
def profFriendPrint(p: Professional & Friend & HasGender) = {  
  if (p.isMale) then print("Mr ")  
  else print("Mrs ")  
  println(p.name + " " + p.works + "and" + p.listen)  
}
```

doWork allows Student or Professor  
but excludes Manager

### ■ Union types

```
def doWork(p : Student | Professor) = {  
  println(p.name + p.works)  
}
```



# EXAMPLE ORTHOGONAL FEATURES: COLLECTIONS

(too)

- Concrete classes as mixture of many traits

List is composed of many traits providing orthogonal features to the implementation

```
sealed abstract class List[+A]
  extends AbstractSeq[A]
  with LinearSeq[A]
  with LinearSeqOps[A, List, List[A]]
  with StrictOptimizedLinearSeqOps[A, List, List[A]]
  with StrictOptimizedSeqOps[A, List, List[A]]
  with IterableFactoryDefaults[A, List]
  with DefaultSerializable {

abstract class AbstractSeq[+A] extends scala.collection.AbstractSeq[A] with Seq[A]

trait LinearSeq[+A]
  extends Seq[A]
  with collection.LinearSeq[A]
  with LinearSeqOps[A, LinearSeq, LinearSeq[A]]
  with IterableFactoryDefaults[A, LinearSeq] {

trait LinearSeqOps[+A, +CC[X] <: LinearSeq[X], +C <: LinearSeq[A] with LinearSeqOps[A, CC, C]]
  extends Any with SeqOps[A, CC, C]
  with collection.LinearSeqOps[A, CC, C]
```

...

# LINEARIZATION OF INHERITANCE HIERARCHY

---

For **resolving conflicts** and **determining the order of super calls**

Scala builds a **linear sequence of classes/traits** for an **inheritance hierarchy** as follows

- ☐ **class** itself is **most special**
- ☐ **right** superclass/supertrait **more special than left** superclass/supertrait
- ☐ a **class/trait** always **before** its declared **superclass/supertrait**

The approach is a variant of the **C3 superclass linearization** algorithm also used e.g. by

- ☐ Dylan (<https://opendylan.org/>)
- ☐ Python
- ☐ and others

Kim Barrett, Bob Cassels, Paul Haahr, David A. Moon, Keith Playford, and P. Tucker Withington. 1996. A monotonic superclass linearization for Dylan. In *Proceedings of the 11th ACM SIGPLAN conference on Object-oriented programming, systems, languages, and applications* (OOPSLA '96). ACM, New York, NY, USA, 69–82.  
DOI:<https://doi.org/10.1145/236337.236343>

# LINEARIZATION OF INHERITANCE HIERARCHY

---

## Specification

**Definition 5.1.2** Let  $C$  be a class with template  $C_1$  **with** ... **with**  $C_n$  { stats }. The *linearization* of  $C$ ,  $\mathcal{L}(C)$  is defined as follows:

$$\mathcal{L}(C) = C, \mathcal{L}(C_n) \vec{+} \dots \vec{+} \mathcal{L}(C_1)$$

Here  $\vec{+}$  denotes concatenation where elements of the right operand replace identical elements of the left operand:

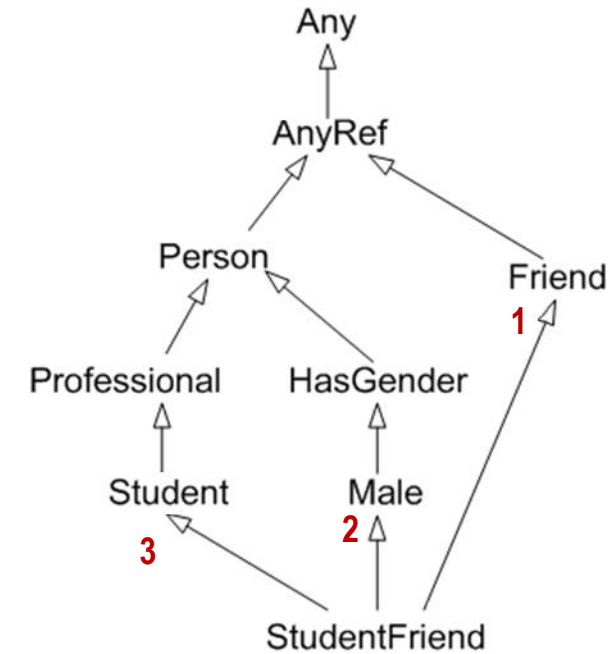
$$\begin{aligned} \{a, A\} \vec{+} B &= a, (A \vec{+} B) && \text{if } a \notin B \\ &= A \vec{+} B && \text{if } a \in B \end{aligned}$$

when class **a** occurs multiple times  
the last occurrence is taken

# LINEARIZATION OF INHERITANCE HIERARCHY

## Example:

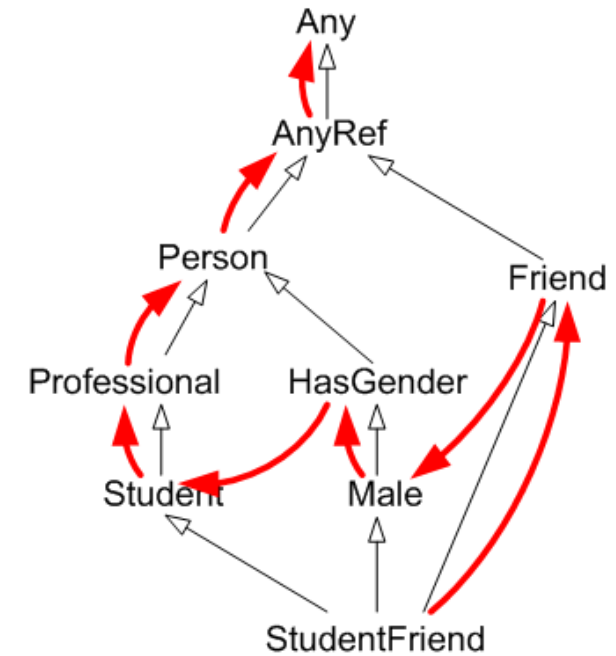
```
trait Person extends AnyRef
trait Friend extends AnyRef
trait Professional extends Person
trait Student extends Professional
trait HasGender extends Person
trait Male extends HasGender
class StudentFriend extends Student with Male with Friend
```



# LINEARIZATION OF INHERITANCE HIERARCHY

## Example:

```
trait Person extends AnyRef
trait Friend extends AnyRef
trait Professional extends Person
trait Student extends Professional
trait HasGender extends Person
trait Male extends HasGender
class StudentFriend extends Student with Male with Friend
```



$\mathcal{L}(\text{StudentFriend}) =$   
StudentFriend,  
Friend,  
Male,  
HasGender,  
Student,  
Professional,  
Person,  
AnyRef,  
Any



# III.1 TYPES, SUBTYPES AND INHERITANCE

---

- Introduction
- Subtyping
- Liskov's substitution principle
- Multiple Inheritance
- Mixin Inheritance and Scala Traits
- Summary

# SUMMARY

---

## ■ Types

- Types define subsets of values which share same properties and members

## ■ Subtyping

- types with subtype relation form a lattice
- supremum and infimum in lattice correspond to type union and type intersection operation

## ■ Liskov's Substitution Principle states necessary conditions for subtyping to be type-safe

- assumptions in subtypes must be weaker
- guarantees of subtypes must be stronger

## ■ Different forms of multiple inheritance

- multiple implementation inheritance (like in C++)
- multiple interface inheritance (like in Java)
- mixins

## ■ Traits in Scala

- a form of mixin inheritance
- with linearization of inheritance hierarchy (a variant of the C3 superclass linearization algorithm)