

# Estimation of Growth Rates with Package growthrates

Thomas Petzoldt

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**Please note:** This document reflects work in progress and will be updated from time to time. The most recent copy can be downloaded from <https://tpetzoldt.github.io/growthrates/doc/Introduction.html>

## Introduction

The growth rate of a population is a direct measure of fitness. Therefore, determination of growth rates is common in many disciplines of theoretical and applied biology, e.g. physiology, ecology, eco-toxicology or pharmacology. This package aims to streamline estimation of growth rates from direct or indirect measures of population density (e.g. cell counts, optical density or fluorescence) determined in batch experiments or field observations. It should be applicable to different species of bacteria, archaea, protists, and metazoa, e.g. *E. coli*, *Cyanobacteria*, *Paramecium*, green algae or *Daphnia*.

The determination of growth rates from chemostat and semi-continuous cultures is currently not covered by the package, but we are open to include it, depending on your interest and the availability of data. The package is still under development and feedback is highly welcome.

## Methods

The package includes three types of methods:

1. Nonlinear fitting of parametric growth models like the logistic or the Gompertz growth model. Parametric model fitting is done by using package FME (Flexible Modelling Environment) of Soetaert and Petzoldt (2010). In addition to growth models given in closed form (i.e. empirical regression equations or analytical solutions of differential equations) it is also possible to use numerically integrated systems of differential equation. Such models are then solved with package 'deSolve' (Soetaert, Petzoldt, and Setzer 2010).
2. Fitting of linear models to the period of exponential growth using the "growth rates made easy method" of Hall et al. (2014) ,
3. Nonparametric growthrate estimation by using smoothers. R contains several powerful smoothing methods, that can leveraged for this purpose. The currently implemented method uses function `smooth.spline`, similar to the package `grofit` (Kahm et al. 2010).

The package contains methods to fit single data sets or complete series of data sets organized in a data frame. It contains also functions for extracting results (e.g. `coef`, `summary`, `deviance`, `obs`, `residuals`, `rsquared` and `results`) and methods for plotting (`plot`, `lines`). The implementation follows an object oriented style, so that the functions above determine automatically which method is used for a given class of objects.

## Data set

The data set for demonstrating main features of the package was provided by Claudia Seiler from one of a series of plate reader experiments carried out at the Institute of Hydrobiology of TU Dresden. It describes growth of three different strains of bacteria (D = Donor, R = Recipient, T = transconjugant) in dependence of a gradient of the antibiotics tetracycline.

After loading the package:

```
library("growthrates")
```

we load the data and inspect its structure with `str`:

```
data(bactgrowth)
str(bactgrowth)

## 'data.frame': 2232 obs. of 5 variables:
## $ strain : Factor w/ 3 levels "D","R","T": 3 3 3 3 3 3 3 3 3 ...
## $ replicate: int 2 2 2 2 2 2 2 2 2 ...
## $ conc : num 0 0 0 0 0 0 0 0 0 ...
## $ time : int 0 1 2 3 4 5 6 7 8 9 ...
## $ value : num 0.013 0.014 0.017 0.022 0.03 0.039 0.042 0.045 0.048 0.049 ...
```

And we can also inspect the full data set with `View(growthrates)` or look at the first few lines with `head`:

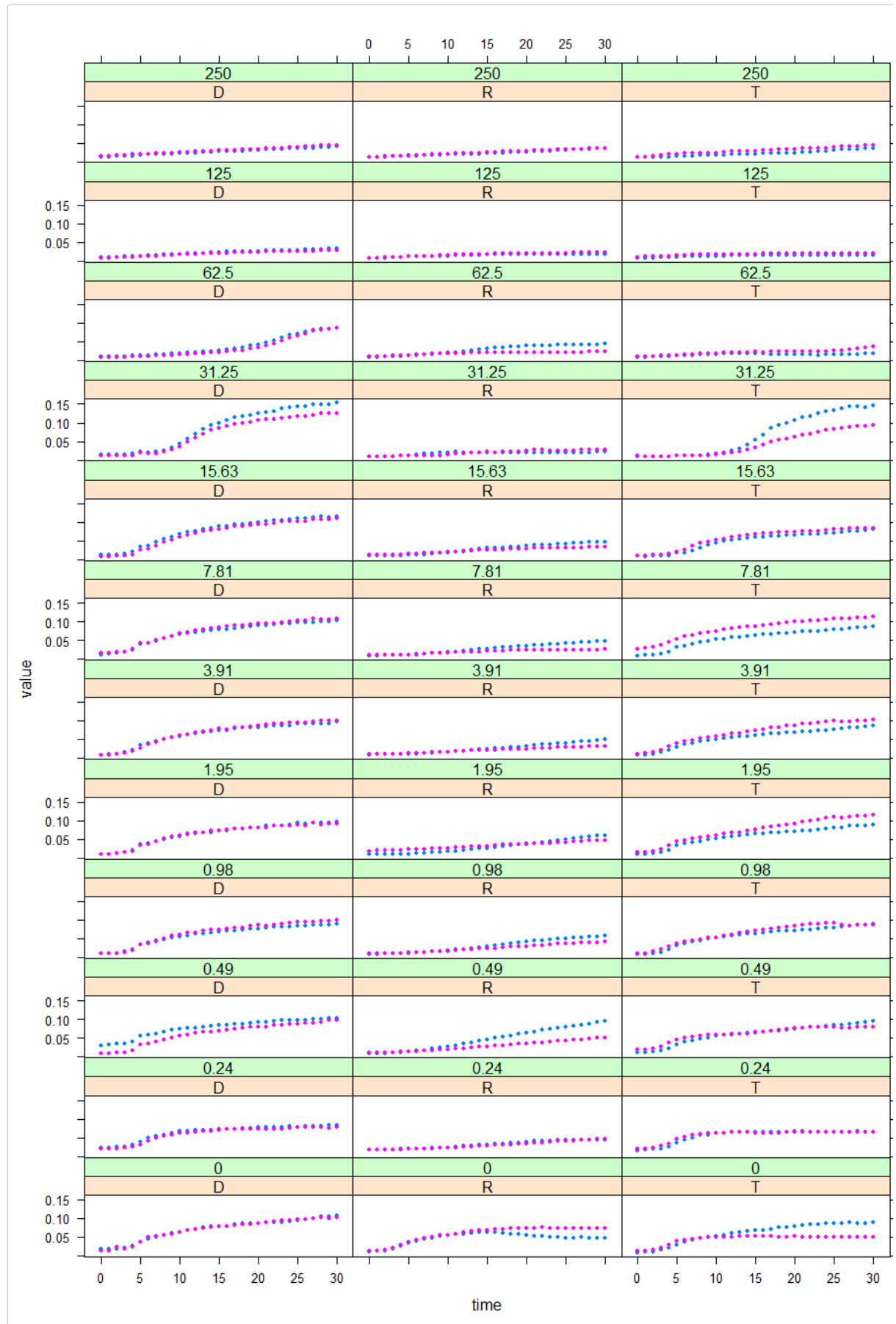
```
head(bactgrowth)

## strain replicate conc time value
## 1 T 2 0 0.013
## 2 T 2 0 1.014
```

```
## 3      T      2      0      2 0.017
## 4      T      2      0      3 0.022
## 5      T      2      0      4 0.030
## 6      T      2      0      5 0.039
```

or we can plot raw data:

```
library(lattice)
data(bactgrowth)
xyplot(value ~ time|strain+as.factor(conc), data = bactgrowth,
        groups = replicate, pch = 16, cex = 0.5)
```



## Estimation of growth rates

Package **growthrates** can determine growth parameters from single experiments, from a complete series of experiments, or from subsets in one step. Here we start with an overview over the "single subset"-methods and

show then examples for fitting growth models to the full data set. More examples can be found on the help pages of the package.

## Fitting models to single data sets

Single data sets can be analysed with functions `fit_easylinear`, `fit_growthmodels` or `fit_splines`. As a prerequisite, single data sets containing only one treatment have to be extracted from a complete experiment, which can be done with function `multisplit`. In the following example, the full data table is first split into a list of experiments according to a vector of criteria and then the first experiment is extracted:

### Easy Linear Method

```
splitted.data <- multisplit(bactgrowth, c("strain", "conc", "replicate"))
dat <- splitted.data[[1]]
```

In the next step, model fitting is done, e.g. with the “easylinear” method:

```
fit <- fit_easylinear(dat$time, dat$value)
```

This method fits segments of linear models to the log-transformed data and tries to find the maximum growth rate. Several functions exist to inspect the outcome of the model fit, e.g.:

```
summary(fit)

##
## Call:
## lm(formula = y ~ x)
##
## Residuals:
##      1      2      3      4      5      6
## 0.02113 -0.03716 -0.03727  0.04552  0.06376 -0.05598
##
## Coefficients:
##              Estimate Std. Error t value Pr(>|t|)
## (Intercept) -4.39425     0.06429  -68.35 2.74e-07 ***
## x           0.20490     0.01336   15.34 0.000105 ***
## ---
## Signif. codes:  0 '***' 0.001 '**' 0.01 '*' 0.05 '.' 0.1 ' ' 1
##
## Residual standard error: 0.05587 on 4 degrees of freedom
## Multiple R-squared:  0.9833, Adjusted R-squared:  0.9791
## F-statistic: 235.3 on 1 and 4 DF,  p-value: 0.0001053

coef(fit)      # exponential growth parameters

##      y0      y0_lm      mumax      lag
## 0.0180000 0.0123482 0.2048985 1.8392607

rsquared(fit)  # coefficient of determination (of log-transformed data)

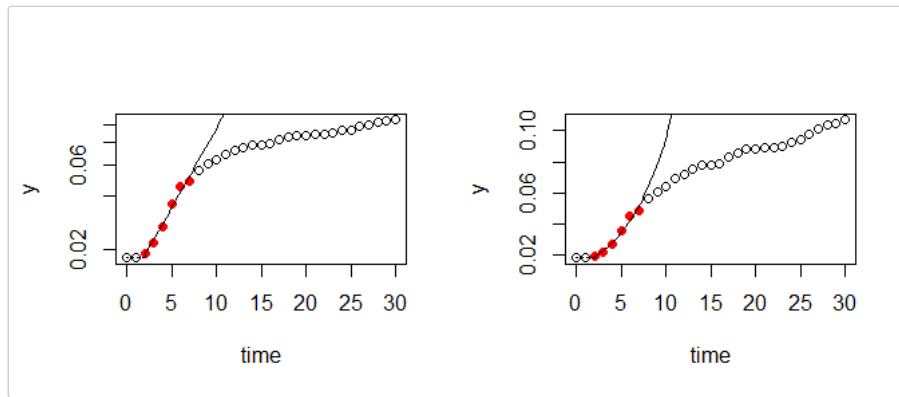
##      r2
## 0.9832876

deviance(fit)  # residual sum of squares of log-transformed data

## [1] 0.01248744
```

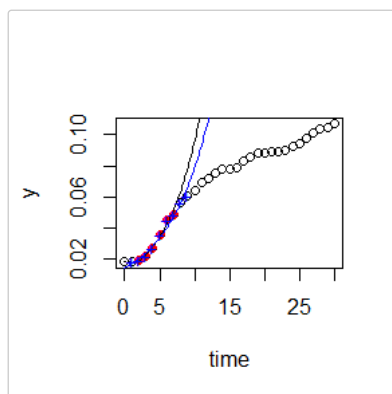
Plotting can then be done either in log-scale or after re-transformation:

```
par(mfrow = c(1, 2))
plot(fit, log = "y")
plot(fit)
```



and in addition to the original method of Hall et al. (2014) it is also possible to modify the default settings of the algorithm:

```
fitx <- fit_easylinear(dat$time, dat$value, h = 8, quota = 0.95)
plot(fit)
lines(fitx, pch = "+", col = "blue")
```



## Parametric nonlinear growth models

A **parametric growth model** consists of a mathematical formula that describes the growth of a population (e.g. `grow_logistic`) and its parameters (e.g.  $y_0$ ,  $\text{mumax}$ , and  $K$ ). **Fitting a parametric model** is the process of estimating an optimal parameter set that minimizes a given quality criterion. Here we use the *method of least squares*, also known as *ordinary least squares regression* (OLS). As most of the growth models are non-linear, we need always a good set of start parameters  $p$ . It is wise to choose values for start parameters carefully by considering the main properties of the selected growth model (e.g. that the carrying capacity  $K$  should be around the observed maximum of the data), or by experimentation, i.e. plotting the model together with the data. In order to prevent unrealistic (e.g. negative) parameter values, it is optionally possible to specify box-constraints (upper and lower). For difficult problems one may consider to change the involved model fitting algorithm from Marquardt ("Marq") to something else, e.g. to "L-BFGS-B". Details can be found on the `?modFit` help page.

```
p <- c(y0 = 0.01, mumax = 0.2, K = 0.1)
lower <- c(y0 = 1e-6, mumax = 0, K = 0)
upper <- c(y0 = 0.05, mumax = 5, K = 0.5)

fit1 <- fit_growthmodel(FUN = grow_logistic, p = p, dat$time, dat$value,
  lower = lower, upper = upper)

p <- c(yi = 0.02, ya = 0.001, kw = 0.1, mumax = 0.2, K = 0.1)
lower <- c(yi = 1e-6, ya = 1e-6, kw = 0, mumax = 0, K = 0)
upper <- c(yi = 0.05, ya = 0.05, kw = 10, mumax = 5, K = 0.5)

fit2 <- fit_growthmodel(FUN = grow_twostep, p = p, time = dat$time, y = dat$value,
  lower = lower, upper = upper)

coef(fit1)

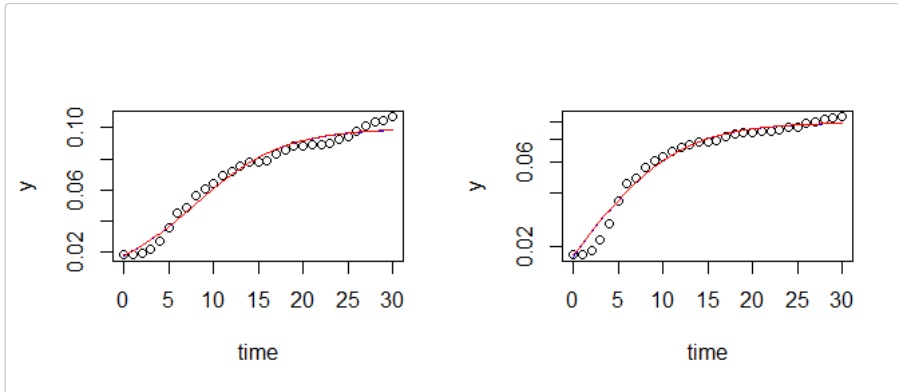
##          y0          mumax          K
## 0.01748268 0.20006908 0.09962612

coef(fit2)
```

```
##          yi          ya          kw          mumax          K
## 0.014224009 0.003910263 3.884232782 0.199236020 0.099856849
```

```
par(mfrow = c(1, 2))
plot(fit1)
lines(fit2, col = "red")

plot(fit1, log = "y")
lines(fit2, col = "red")
```



## Differential equation models

In the two-step model above, growth is described as a two-step process of adaption of inactive cells  $y_i$  and logistic growth of active cells  $y_a$ :

$$\frac{dy_i}{dt} = -k_w \cdot y_i$$

$$\frac{dy_a}{dt} = k_w \cdot y_i + \mu_{max} \cdot y_a \cdot \left(1 - \frac{y_a + y_i}{K}\right)$$

with amount of total organisms  $y = y_i + y_a$ , and an adaption rate  $k_w$ , intrinsic growth rate  $\mu_{max}$ , and carrying capacity  $K$ . The initial abundance (normally  $y_0$ ) is splitted in two separate values,  $y_{i,0}$  and  $y_{a,0}$  that are by default also fitted.

The underlying ordinary differential equation (ODE) model has no simple analytical solution and is therefore solved numerically using a differential solver from package **deSolve**. Here both, the model and the solver are running in compiled code (C resp. Fortran), but it is of course also possible to define user-specified models in R code. Details can be found in *Part 2* of the package documentation.

## Selective parameter fitting

Despite the fact that the above model is solved as a differential equation, the relatively high number of parameters may need special care, too. In such cases, package **growthrates** allows to fit subsets of parameters while setting the others to fixed values. In the following, this is done by specifying a subset without initial abundances  $y_a$  and  $y_i$  in which:

```
fit3 <- fit_growthmodel(FUN = grow_twostep, p = p, time = dat$time, y = dat$value,
  lower = lower, upper = upper, which = c("kw", "mumax", "K"))
```

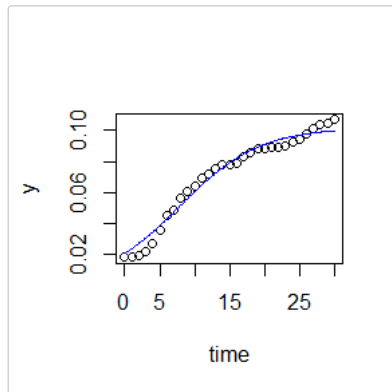
```
summary(fit3)
```

```
##
## Parameters:
##      Estimate Std. Error t value Pr(>|t|)
## kw      9.791445   66.893493   0.146   0.885
## mumax   0.174802   0.015625  11.188 7.64e-12 ***
## K       0.101923   0.002429  41.958 < 2e-16 ***
## ---
## Signif. codes:  0 '***' 0.001 '**' 0.01 '*' 0.05 '.' 0.1 ' ' 1
##
## Residual standard error: 0.00452 on 28 degrees of freedom
##
## Parameter correlation:
##      kw      mumax      K
## kw      1.0000  -0.8893  0.5405
## mumax  -0.8893  1.0000  -0.7735
## K       0.5405  -0.7735  1.0000
```

```
coef(fit3)
```

```
##          yi          ya          kw          mumax          K
## 0.0200000 0.0010000 9.7914448 0.1748016 0.1019230
```

```
plot(fit3)
```



We see that `summary` shows only the fitted parameters whereas `coef` contains the full set.

Note however, that start values need to be given in `p` for all model parameters, i.e. for both the fitted and the fixed ones, while upper and lower bounds for the fixed parameters can be omitted.

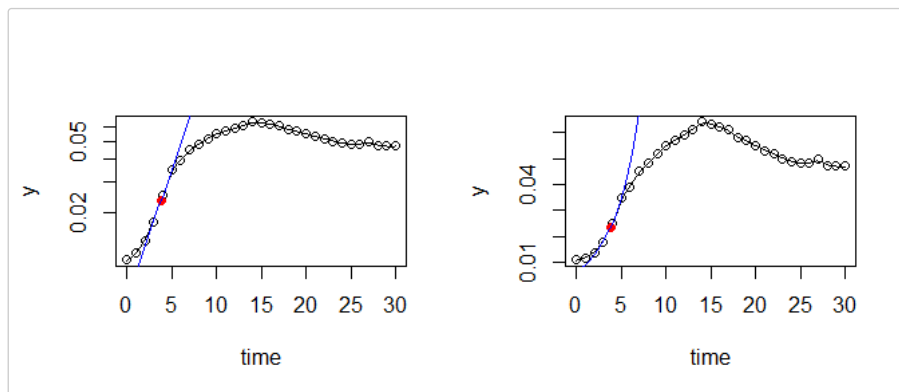
## Nonparametric smoothing splines

Smoothing splines are a quick method to estimate maximum growth. The method is called *nonparametric*, because the growth rate is directly estimated from the smoothed data without being restricted to a specific model formula.

```
dat <- splitted.data[[2]]
time <- dat$time
y <- dat$value

## automatic smoothing with cv
res <- fit_spline(time, y)
```

```
par(mfrow = c(1, 2))
plot(res, log = "y")
plot(res)
```



```
coef(res)
```

```
##          y0          mumax
## 0.006562443 0.335991063
```

## Fiting multiple data sets

Fiting multiple data sets at once is possible with functions `all_easyliner`, `all_growthmodels` and `all_splines`. Usage is similar for all methods, and the parameters are analogous to the single-fit methods. Both, the easy growth rates and the smoothing splines method are quite robust. In contrast to this, parametric fits with function `all_growthmodels` need more care and a little bit more computational power.

Again, special emphasis should be given to the selection of good starting points. In addition, it is possible to select an alternative optimization algorithm, to enable additional output (`trace`) or to fine-tune their optimization control parameters. Nevertheless, it should be noted that parametric models have more explanatory power and may therefore be advantageous for basic research.

Nonlinear optimization is done with parallelized code, so multi-core computers can speed up computation.

## Fitting smoothing splines to multiple data

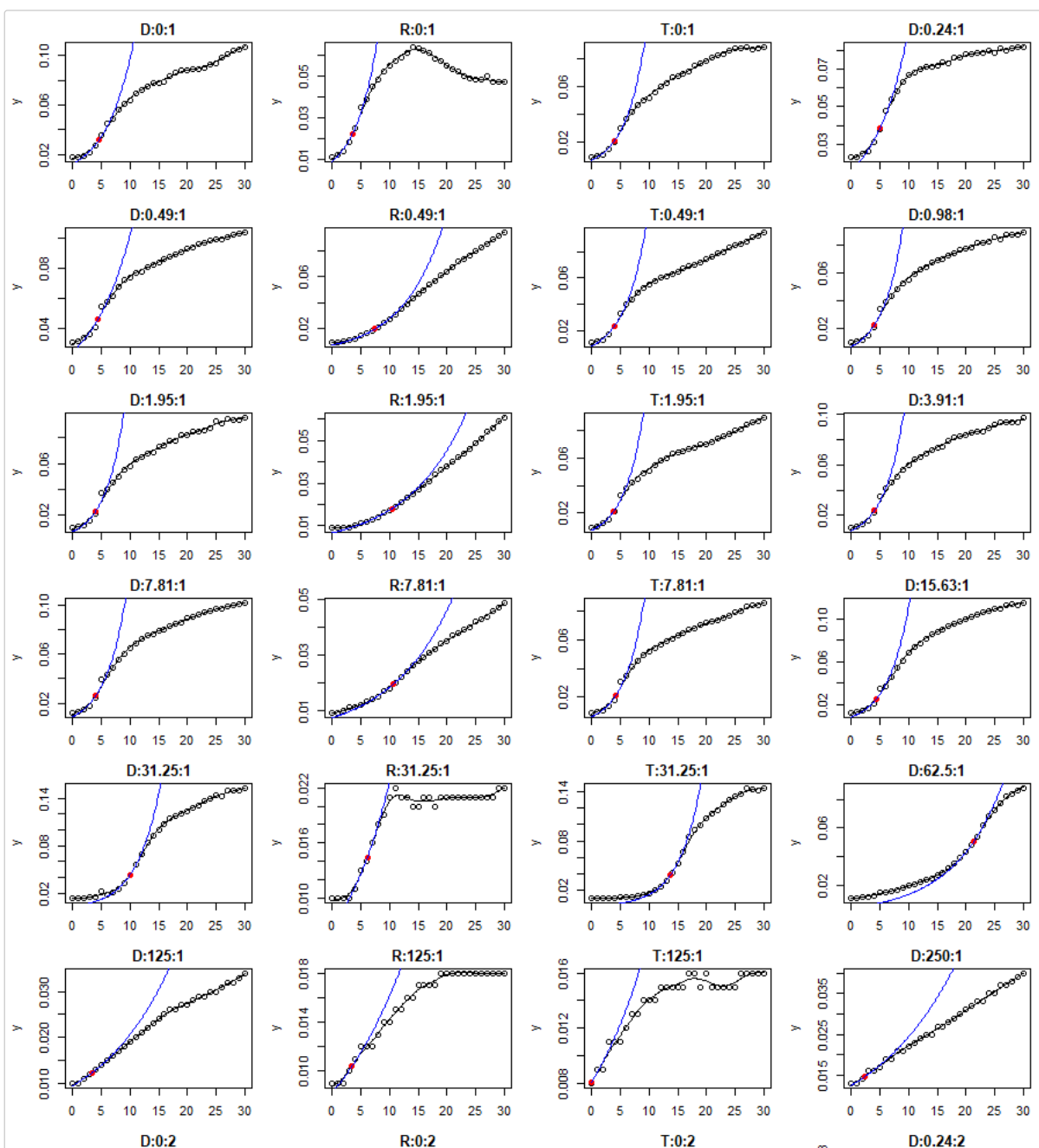
It can be a good idea, to start with a nonparametric approach like the smoothing spline method to get a first impression and, potentially, to derive start parameters for a parametric model. In the following, we show an example with the smoothing spline method. The function uses a formula interface with the syntax:

```
dependent_variable ~ independent_variable | group1 + group 2 + ...:
```

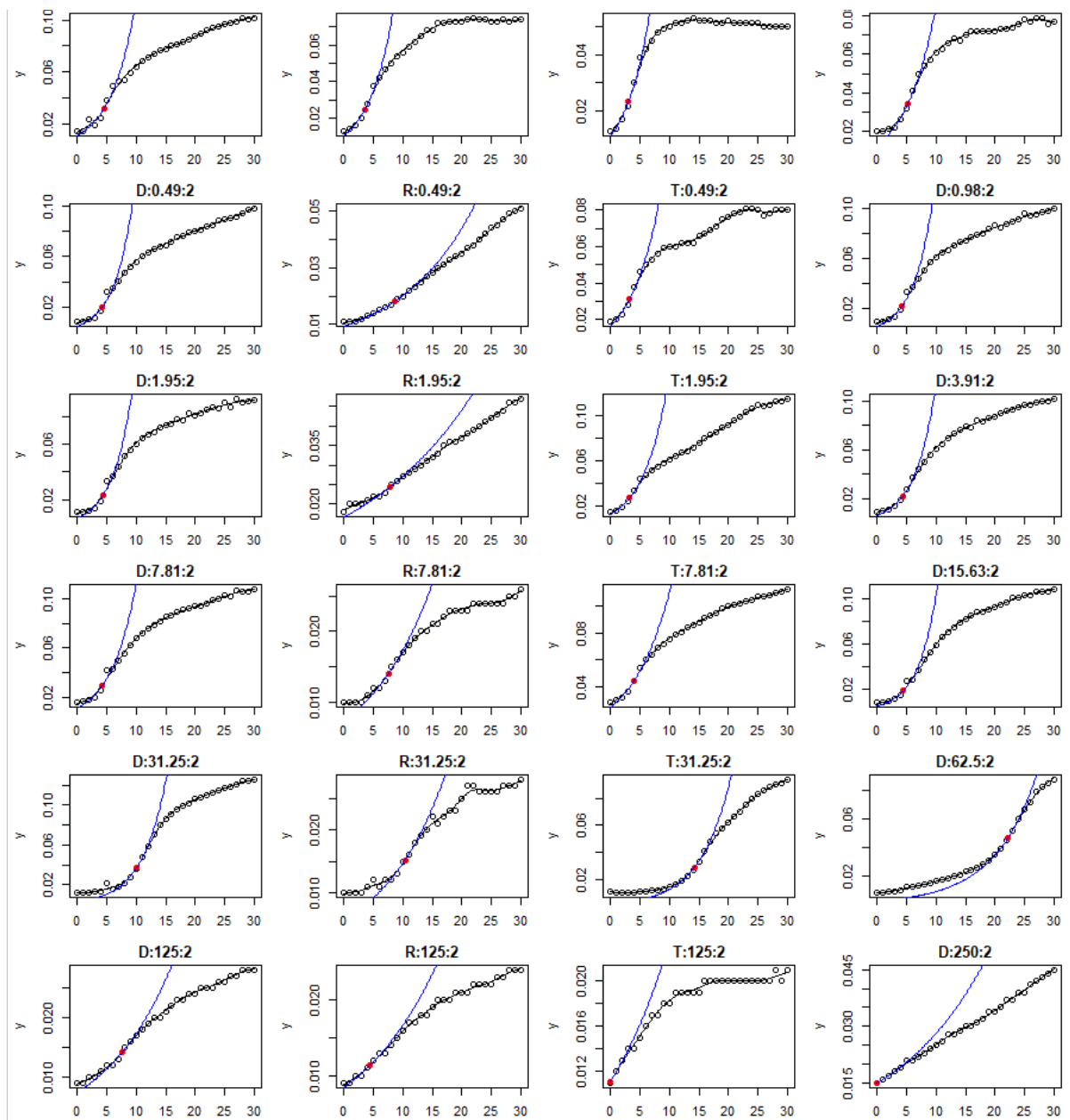
In this example, smoothness is set to a moderate value (`spar = 0.5`). Other values between zero and one will result in different degrees of smoothing. If `spar` is omitted, leave-one-out cross-validation is used to determine smoothness automatically. This works best if the samples over time are true replicates from independent experimental units, instead of pseudo-replicates with potential autocorrelation.

```
many_spline_fits <- all_splines(value ~ time | strain + conc + replicate,
                               data = bactgrowth, spar = 0.5)
```

```
par(mfrow = c(12, 6))
par(mar = c(2.5, 4, 2, 1))
plot(many_spline_fits)
```







## Fitting parametric models to multiple data

Package **growthrates** allows to fit parametric models to a series of grouped data. The formula interface of function `all_growthmodels` allows to include the name of the nonlinear model (the `grow_...`-function) and the name of the independent variable (e.g. time) as its first argument, for example as `grow_logistic(time, parms)`. The second argument `parms` is a dummy argument; its name does currently not (yet) matter. Model fitting can make use of multiple CPU cores to speed up computation. If the `ncores` argument is omitted, the number of cores is automatically detected while setting `ncores = 1` can be useful for debugging.

In the following, let's fit a Baranyi growth model (Baranyi and Roberts 1995) to the data, a model that considers the lag phase as a period to build up "critical substances" needed for growth. The model is based on a system of two differential equations for which under some simplifying assumptions an analytical solution was presented.

In a first attempt, we fit all parameters of the model:

```
## initial parameters and box constraints
p <- c(y0 = 0.03, mumax = .1, K = 0.1, h0 = 1)

lower <- c(y0 = 0.001, mumax = 1e-2, K = 0.005, h0 = 0)
upper <- c(y0 = 0.1, mumax = 1, K = 0.5, h0 = 10)

## fit growth models to all data using log transformed residuals
many_baranyi1 <- all_growthmodels(
  value ~ grow_baranyi(time, parms) | strain + conc + replicate,
  data = bactgrowth,
  p = p, lower = lower, upper = upper,
```

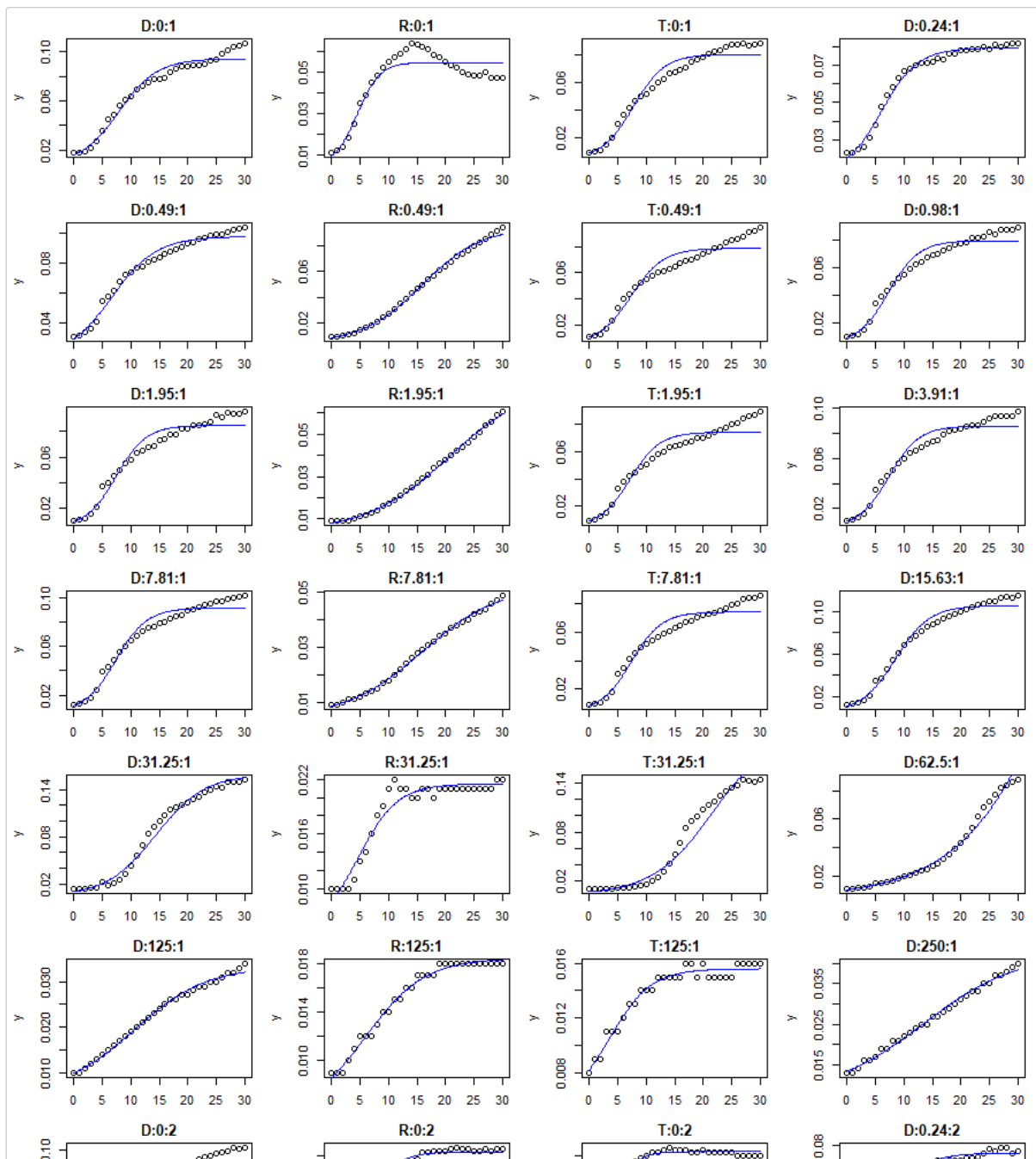
```
transform = "log", ncores = 2)
```

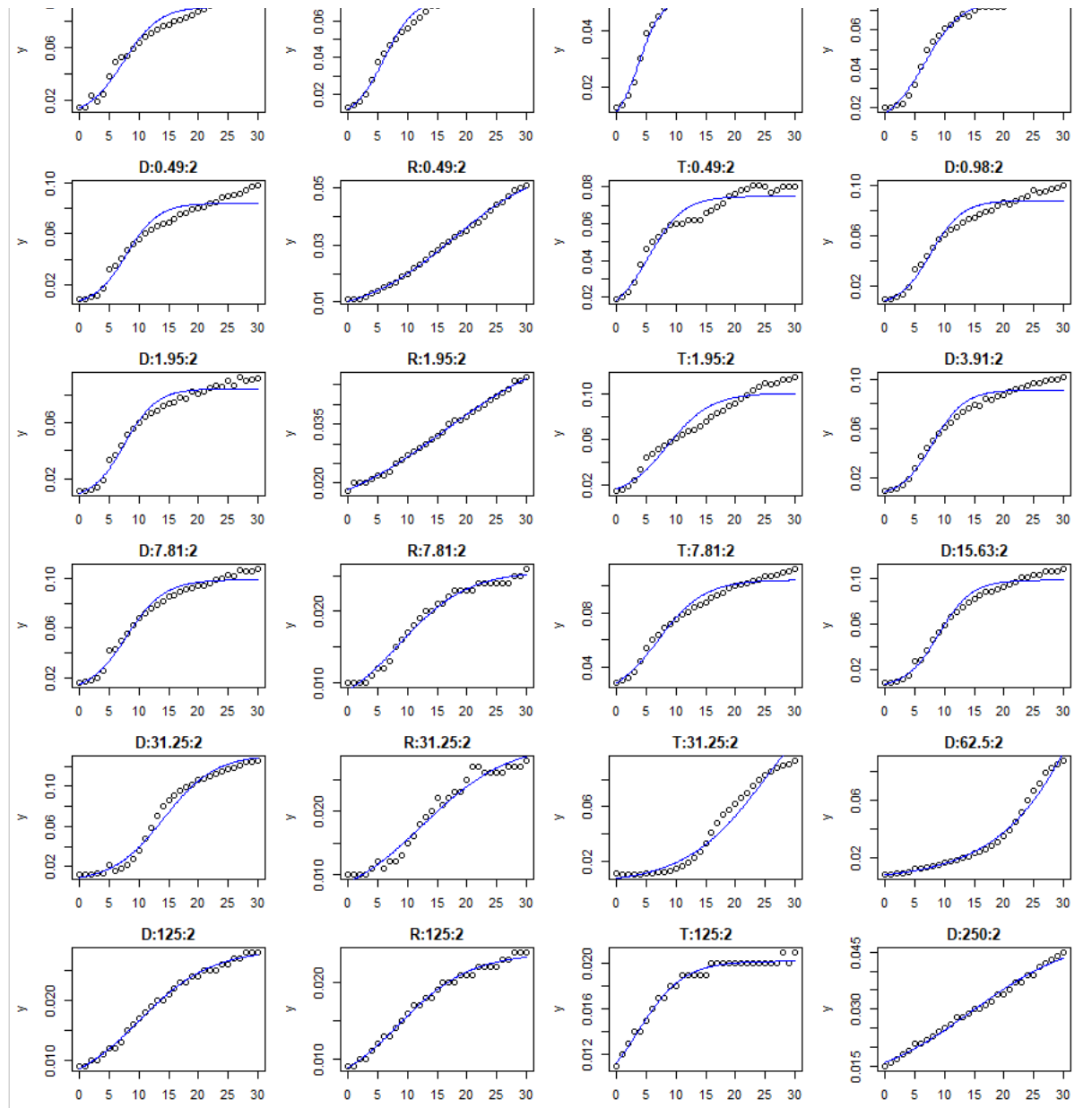
whereas in a second trial,  $h_0$  is fixed to a common value to avoid that  $h_0$  consumes parts of the effect because of interdependency between  $h_0$  and  $\mu_{\max}$ :

```
## use coefficients of first fit as new initial parameters
pp <- coef(many_baranyi1)
## but set h0 to a fixed value
pp[, "h0"] <- 0.65
## re-fit models
many_baranyi2 <- all_growthmodels(
  value ~ grow_baranyi(time, parms) | strain + conc + replicate,
  data = bactgrowth,
  p = pp, lower = lower, upper = upper,
  which = c("y0", "mumax", "K"), transform = "log", ncores = 2)
```

The result of the second fit is shown in the following figure. It may be noted that even better fits are possible with models with more parameters, e.g. Huang (2011), again at the cost that the effect of antibiotics is distributed over several correlated parameters instead of an effect of the maximum growth rate.

```
par(mfrow = c(12, 6))
par(mar = c(2.5, 4, 2, 1))
plot(many_baranyi2)
```

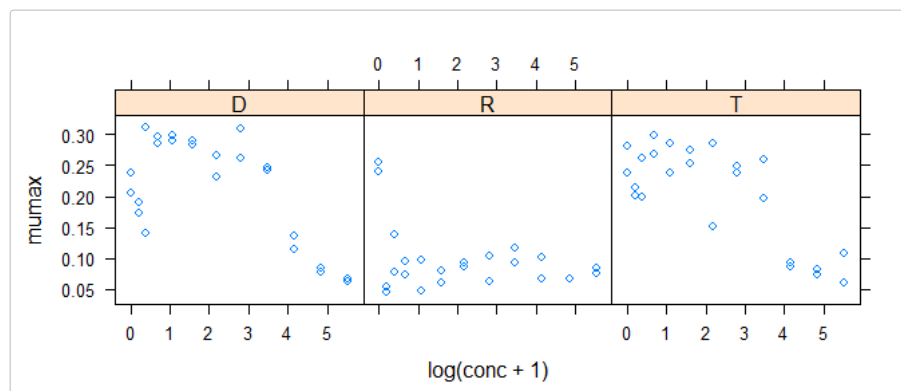




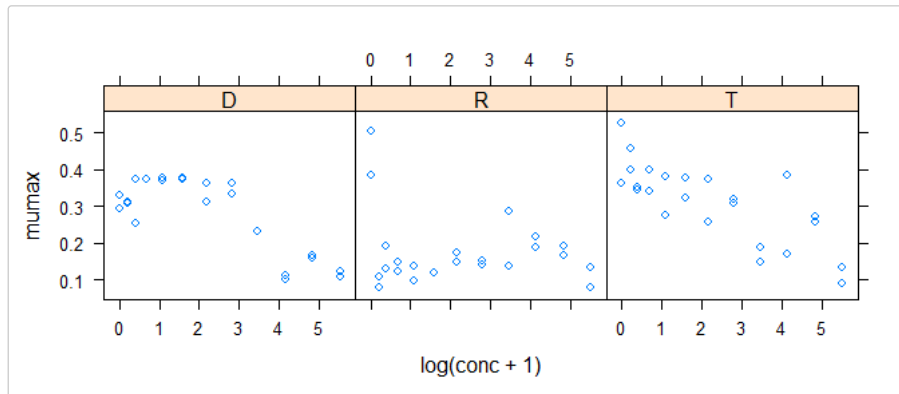
## Finally

Dependency of growth rate on antibiotic concentration for the three strains with the spline fit and the Baranyi model:

```
many_spline_res <- results(many_spline_fits)
many_baranyi2_res <- results(many_baranyi2)
xyplot(mumax ~ log(conc+1)|strain, data = many_spline_res, layout = c(3, 1))
```



```
xyplot(mumax ~ log(conc+1)|strain, data = many_baranyi2_res, layout = c(3, 1))
```



Describing the observed dependency can again be approached with nonparametric methods or parametric functional response curves, which may be done by using a specialized package for dose-response curves, for example package **drc** (Ritz and Streibig 2005).

## Acknowledgments

Many thanks to Claudia Seiler for the data set, and to the R Core Team (R Core Team 2015) for developing and maintaining **R**. This documentation was written using **knitr** (Xie 2014) and **rmarkdown** (Allaire et al. 2015).

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