

O. 61, r. 3(6)

ADVERTISEMENT OF APPLICATION

(Title as in action)

Notice is hereby given that an application to the Court for directions on claims for compensation in respect of the following matter was made by [party] of [address] on [date]:

[Give date and brief particulars of the subject contravention of the Securities and Futures Act and name of the contravening person, the defendant corporation, the defendant partnership or the defendant individual, as the case may be].

Notice is further given that the Court will sit on the date and time shown above to hear the application; and any person desiring to claim compensation against the contravening person, the defendant corporation, the defendant partnership or the defendant individual, as the case may be, for the same contravention must, at least 7 clear days before the date of hearing, state his or her claim in accordance with the Rules of Court 2021 and must, within 3 days of filing his or her claim, serve it on the contravening person, the defendant corporation, the defendant partnership or the defendant individual, as the case may be. A person who, after filing his or her claim, fails to appear at the hearing in person or by his or her counsel may be barred from bringing any claim against the contravening person, the defendant corporation, the defendant partnership or the defendant individual.

The Applicant's solicitor is [state name, address, email address and telephone number of solicitor].

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