

O. 63, r. 3(4)

ADVERTISEMENT OF APPLICATION  
FOR DIRECTIONS ON CLAIMS  
FOR COMPENSATION UNDER ORDER  
FOR DISGORGEMENT

(Title as in action)

Notice is hereby given that an order for disgorgement under section 236L of the Securities and Futures Act has been made against [name of third party] in respect of the following matter:

[Give date and brief particulars of the subject contravention of the Securities and Futures Act, name of the contravening person and circumstances leading to the third party receiving the whole or any part of the benefit of the relevant contravention].

Notice is further given that an application to the Court for directions on claims for compensation to be paid out of the sum under the order for disgorgement was made by [state name of applicant and date of application].

Notice is further given that the Court will sit on the date and time shown above to hear the application; and any person desiring to claim compensation against [the third party] in respect of the same contravention must, at least 7 clear days before the date of hearing, state his or her claim in accordance with the Rules of Court 2021 and must, within 3 days of filing his or her claim, serve it on [the third party]. A person who, after filing his or her claim, fails to appear at the hearing in person or by his or her counsel may be barred from bringing any claim against [the third party].

The Applicant's solicitor is [state name, address, email address and telephone number of solicitor].

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