Closest Pair .....

**input:** 2D points  $P = (p_1, p_2, \dots, p_n)$  where each  $p_i = (x_i, y_i) \in \mathbb{R}^2$ 

**output:** the minimum Euclidean distance  $\delta = \min\{d(p_i, p_j) : 1 \le i < j \le n\}$  between any two of the points. Here  $d((x_i, y_i), (x_j, y_j)) = \sqrt{(x_i - x_j)^2 + (y_i - y_j)^2}$ . For  $n \le 1$ , the answer is  $\infty$ .

The obvious algorithm (compare all points) takes time  $O(n^2)$ . We want time  $O(n \log n)$ .

We'll assume the points are sorted by x-coordinate. If not, we can sort them in  $O(n \log n)$  time in a pre-processing step. For simplicity, for now, we also assume that the x-coordinates are distinct. We use a divide-and-conquer approach, something like this:

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closest-pair-1(P=(p_1,p_2,\ldots,p_n)) (p_i=(x_i,y_i)\in\mathbb{R}^2,\ with\ x_1< x_2<\cdots< x_n)
1. if n\leq 1: return \infty
2. if n=2: return d(p_1,p_2)
3. let m=\lfloor n/2\rfloor
4. let L=(p_1,p_2,\ldots,p_m); let R=(p_{m+1},\ldots,p_n) (partition P into left and right halves by x-coordinate)
5. let \delta_L= closest-pair-1(L); let \delta_R= closest-pair-1(R)
6. let \delta=\min(\delta_L,\delta_R)
7. return \delta (WRONG! See below)
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The running time of this algorithm satisfies T(n) = 2T(n/2) + O(n), so is  $O(n \log n)$ , as desired. But the algorithm is wrong, because it doesn't consider the pairs  $(p_i, p_j)$  such that  $i \leq m < j$ . That is,  $(p_i, p_j) \in L \times R$ . To fix it, we need to consider those pairs. But among those pairs, it is enough to consider only those with  $d(p_i, p_j) < \delta$  (as  $\delta$  is defined in Step 6). (Why?)

Let  $X = x_m$  be the median x-coordinate (separating L from R) and let  $M = \{p_i : |x_i - X| \le \delta\}$  contain the points lying in a vertical strip of width  $2\delta$  centered at X. Any  $p_i \in L \setminus M$  has distance at least  $\delta$  from any point in R. Likewise any  $p_i \in R \setminus M$  has distance at least  $\delta$  from any point in L. So we only need to consider pairs  $(p_i, p_j) \in M \times M$ .

Further, within M, it is enough to compare each point  $p_i$  in M only to those points  $p_j$  above  $p_i$  in M such that  $y_i \leq y_j < y_i + \delta$ . (Any other points above  $p_i$  in M has distance at least  $\delta$  to  $p_i$ .) And there are at most eight such points:

**Lemma 1.** Assume  $\delta_L$  and  $\delta_R$  are calculated correctly. For any point  $p_i \in M$ , there are at most 8 points  $p_j$  above  $p_i$  in M such that  $y_i \leq y_j \leq y_i + \delta$ .

Proof. We use that any  $\delta \times \delta$  square contains at most 4 points in L and at most 4 points in R. To prove this observation, imagine partitioning the square into its four  $(\delta/2) \times (\delta/2)$  quadrants. Within each quadrant, every pair of points has distance at most  $\sqrt{(\delta/2)^2 + (\delta/2)^2} = \delta/\sqrt{2} < \delta$ . So R has at most one point in each quadrant (otherwise R would have a pair with distance less than  $\delta \leq \delta_R$ , contradicting the correctness of  $\delta_R$ ). Likewise for L.

Now, consider any  $p_i \in M$ . Consider the two  $\delta \times \delta$  squares  $S_L = [X - \delta, X] \times [y_i, y_i + \delta]$  and  $S_R = [X, X + \delta] \times [y_i, y_i + \delta]$ . Any point  $p_j$  in M with  $y_i \leq y_j \leq y_i + \delta$  must lie in either  $S_L$  or  $S_R$ .  $S_L$  contains no points from R, and (by the observation above) contains at most four points from L. So  $S_L$  contains at most four points from P. Likewise  $S_R$  contains at most four points from P. The lemma follows.

Summarizing, it is enough to compare each point  $p_i$  in M with the points  $p_j$  in M such that  $y_i \leq y_j \leq y_i + \delta$ , and there are at most eight such points (per  $p_i$ ). To fix the algorithm, we have it

sort the points in M by y-coordinate, then have it compare each point  $p_i$  in M to the points  $p_j$  in M such that  $y_i \leq y_j \leq y_i + \delta$ :

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closest-pair(P=(p_1,p_2,\ldots,p_n)) (p_i=(x_i,y_i)\in\mathbb{R}^2,\ with\ x_1< x_2<\cdots< x_n)
1. if n\leq 1: return \infty
2. if n=2: return d(p_1,p_2)
3. let m=\lfloor n/2\rfloor
4. let L=(p_1,p_2,\ldots,p_m); let R=(p_{m+1},\ldots,p_n) (partition P into left and right halves by x-coordinate)
5. let \delta_L=\operatorname{closest-pair}(L); let \delta_R=\operatorname{closest-pair}(R)
6. let \delta=\min(\delta_L,\delta_R)
7. let M=\{p_i:|x_i-x_m|\leq\delta\} (compute this in O(n) time)
8. let \delta_M=\min\{d(p_i,p_j):p_i,p_j\in M,\ y_i\leq y_j\leq y_j+\delta\}. (compute this as described below)
9. return \min(\delta,\delta_M)
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Compute  $\delta_M$  efficiently as follows. Sort the points in M by y-coordinate, then enumerate the points  $p_i$  in M in order of increasing y-coordinate. For each such  $p_i$ , consider the points  $p_j$  in the list after  $p_i$ , such that  $y_j \leq y_i + \delta$ . There will be at most eight of these for each  $p_i$ , so the total time (after sorting) is O(n).

As described, this would give time  $T(n) = 2T(n/2) + \Theta(n \log n)$ , which gives  $T(n) = \Theta(n \log^2 n)$ .

To save a log n factor, instead of sorting the points in M by y-coordinate within each recursive call, do the following. Along with P, pass in a second list P' of the points in P, this one sorted by y-coordinate. From P', the sorted list of points in M can be computed in O(n) time. For the recursive calls on L and R, let L' and R' denote the lists L and R sorted by y-coordinate. Compute each of these (from P' again) in time O(n), then pass L' with L, and pass R' with R, to each recursive call.

The time to preprocess all the points to sort them by x and y coordinate (before any recursion) is  $O(n \log n)$ . The remaining time then satisfies T(n) = 2T(n/2) + O(n), which gives  $T(n) = O(n \log n)$ .

## External resources on Closest Pair

• CLRS Chapter 9.2; Dasgupta et al. Problem 2.32 (draft). Kleinberg & Tardos Chapter 5.4.