CS70 Summer 2016 - Lecture 7A

David Dinh 01 August 2016

UC Berkeley

### **Announcements**

Midterm 2 scores out.

Homework 7 is out.

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Midterm 2 scores out.

Homework 7 is out. Longer, but due next Wednesday before class, not next Monday.

There will be no homework 8.

## Agenda

#### Some basic number theory:

- Modular arithmetic
- GCD, Euclidean algorithm, and multiplicative inverses
- Exponentiation in modular arithmetic



Mathematics is the queen of the sciences and number theory is the queen of mathematics. -Gauss

If it is 1:00 now.

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What time is it in 2 hours?

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What time is it in 2 hours? 3:00!

If it is 1:00 now.

What time is it in 2 hours? 3:00!

What time is it in 5 hours?

If it is 1:00 now.

What time is it in 2 hours? 3:00!

What time is it in 5 hours? 6:00!

If it is 1:00 now.

- What time is it in 2 hours? 3:00!
- What time is it in 5 hours? 6:00!
- What time is it in 15 hours?

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16 is the "same as 4" with respect to a 12 hour clock system.

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- Clock time equivalent up to to addition/subtraction of 12.

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What time is it in 100 hours?

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What time is it in 100 hours? 101:00!

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(Almost remainder, except for 12 and 0 are equivalent.)

## Congruences

# / equiv

x is congruent to y modulo m, denoted " $x \equiv y \pmod{m}$ "...

- if and only if (x y) is divisible by m (denoted m(x y)).
- if and only if x and y have the same remainder w.r.t. m.
- x = y + km for some integer k.

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Congruence partitions the integers into equivalence classes ("congruence classes"). For instance, here are equivalence classes mod 7:  $\{\ldots, -7, 0, 7, 14, \ldots\}$   $\{\ldots, -6, 1, 8, 15, \ldots\}$ 

**Theorem:** If  $a \equiv c \pmod{m}$  and  $b \equiv d \pmod{m}$ , then  $a + b \equiv c + d \pmod{m}$  and  $a \cdot b = c \cdot d \pmod{m}$ .

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**Proof:** Addition: (a + b) - (c + d) = (a - c) + (b - d). Since  $a \equiv c \pmod{m}$  the first term is divisible by m, likewise for the second term. Therefore the entire expression is divisible by m, so  $a + b \equiv c + d \pmod{m}$ .

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Multiplication: Let  $a = k_1 m + c$  and  $b = k_2 m + d$ . Then

$$ab = (k_1m + c)(k_2m + d) = (k_1k_2m + k_1d + k_2c)m + cd$$

so  $ab \equiv cd \pmod{m}$ .

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Is there a concept of multiplicative inverse in modular arithemtic?

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Is there a concept of multiplicative inverse in modular arithemtic?

When is there a solution to the equation xy = 1 + km?

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Suppose for contradiction that they are not distinct. Then there exist a, b in  $\{0, ..., m-1\}$  such that ax, bx are in the same congruence class mod m, i.e. (a-b)x = km for some integer k.

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## Multiplicative Inverses: Existence

-3 0 3 6 9,.

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Since  $\gcd(x,m)=1$ , we must have that m|(a-b), which implies that  $a-b\geq m$ . But  $a,b\in\{0x,1x,\dots,(m-1)x\}$ , so this is impossible. Contradiction.

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I need  $\min(x,m)$  divisions. For 64-bit integers, that means up to  $2^64=18446744073709551616$  divisions - assuming one division per nanosecond (1 GHz), that's about 585 years to compute a single gcd :(

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Now suppose k divides both x and y + ax. Then again by lemma, it must divide y + ax - ax = y.

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Now suppose k divides both x and y + ax. Then again by lemma, it must divide y + ax - ax = y.

Therefore, the set of common divisors of x, y is the same as the set of divisors of x, y + ax which means that the gcd must be the same as well.

This leads to an algorithm for computing the gcd of x and y (assuming  $x \ge y \ge 0$ ):

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 $(\lfloor k \rfloor \text{ is the smallest integer less than or equal to } x)$ 

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By the theorem on the previous slide this is guaranteed to give the right result.

This leads to an algorithm for computing the gcd of x and y (assuming  $x \ge y \ge 0$ ):

- 1. If y is zero, just return x.
- 2. Otherwise, let  $x' = x y \left\lfloor \frac{x}{y} \right\rfloor$ , and apply the algorithm recursively to find the gcd(y, x'); this is also gcd(x, y).

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By the theorem on the previous slide this is guaranteed to give the right result.

How long does it take to run?  $O(\log y)$  iterations. Proof: not today.

A lot faster than brute force!

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How do we find the multiplicative inverse  $\mod m$ ? If  $\gcd(x, m) = 1$ , then we can find a, b such that ax + bm = 1. Equivalently:

$$a = 1 - bm \equiv 1 \pmod{m}$$
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How do we find a, b?

Example: For x = 12 and y = 35, gcd(12, 35) = 1.

$$(3)12 + (-1)35 = 1.$$

$$a = 3$$
 and  $b = -1$ .

The multiplicative inverse of 12 (mod 35) is 3.

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How do we get there using Euclid?

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How did we get 11 from 35 and 12?  $35 - \sqrt{\frac{35}{12} \cdot 12} = 35 - (2)12 = 11$ .

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What if we work backwards?

$$1 = 12 - 1(11) = 12 - 1(35 - 2(12)) = 3(12) - 1(35)$$
.

Just keep back-substituting.

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Extended GCD algorithm.

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Extended GCD algorithm.

1. If 
$$y = 0$$
, return  $(x, 1, 0)$ :  $x = 1x + 0y$ .

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Extended GCD algorithm.

- 1. If x = 0, return (x, 1, 0): x = 1x + 0y.
- 2. Otherwise, let (d, a, b) be the return value of the extended GCD algorithm on  $(y, x y \lfloor x/y \rfloor)$ .

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- 3. Return  $(d, b, a b \lfloor x/y \rfloor)$ .

How do we turn this into an algorithm?

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Extended GCD algorithm.

Inputs:  $x \ge y \ge 0$  with x > 0. Outputs: integers (d, a, b) where  $d = \gcd(x, y) = ax + by$ .

- 1. If y = 0, return (x, 1, 0): x = 1x + 0y.
- 2. Otherwise, let (d, a, b) be the return value of the extended GCD algorithm on  $(y, x y \lfloor x/y \rfloor)$ .
- 3. Return  $(d, b, a b \lfloor x/y \rfloor)$ .

Since this is just GCD (except we track some more numbers), d = gcd(x, y).

Need to show that d = ax + by.

#### **EGCD: Proof of Correctness**

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Return value:  $(0,b)(a-b\lfloor x/y\rfloor)$  where (d,a,b) is return value of the extended GCD algorithm on  $(y,x-y\lfloor x/y\rfloor)$ . By inductive hypothesis, (d,a,b) is the correct return value for the recursive call, i.e.  $(ay + b(x-y\lfloor x/y\rfloor) = d$ .

Proof: by induction on y.

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Return value:  $(d,b)a - b\lfloor x/y \rfloor$  where (d,a,b) is return value of the extended GCD algorithm on  $(y,x-y\lfloor x/y \rfloor)$ . By inductive hypothesis, (d,a,b) is the correct return value for the recursive call, i.e.

$$(ay + b(x - y \lfloor x/y \rfloor)) = d.$$

Therefore:

$$(d) = ay + b(x - y \lfloor x/y \rfloor) = ay + bx - by \lfloor x/y \rfloor = bx + (a - \lfloor x/y \rfloor b)y$$

as desired.

#### More Arithmetic...

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# Break!

## **Exponentiation: Motivation**

Can we just simplify exponentiation under congruence the same way we did with addition and multiplication?

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$$2^6 \equiv 64 \equiv 4 \not\equiv 2^1 \pmod 5 \ .$$

Guess not.

One way to do this efficiently: repeated squaring. Keep squaring the base and simplifying (since multiplication can easily be simplified under congruence).

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 $51^2 = (51) * (51) = 2601 \equiv 60 \pmod{77}$ 

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 $51^4 = (51^2) * (51^2) = 60 * 60 = 3600 \equiv 58 \pmod{77}$ 

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Example: compute 51<sup>4</sup>3 (mod 77).

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Example: compute 5143 (mod 77).

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$$51^{32} \cdot 51^{8} \cdot 51^{2} \cdot 51^{1} = (60) * (53) * (60) * (51) \equiv 2 \pmod{77}$$

17

To compute  $x^y \pmod{n}$ :

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To compute  $x^y \pmod{n}$ :

- 1.  $x^{y}$ : Compute  $x^{1}, x^{2}, x^{4}, \dots, x^{\log y}$
- 2. Multiply together  $x^i$  where the  $(\log(i))$ th bit of y (in binary) is 1. Example: 43 = 101011 in binary.

$$x^{43} = x^{32} * x^8 * x^2 * x^1$$

.

How many multiplications required?  $O(\log y)$ . Much faster than multiplying y times!

# Algebraic simplification?

Repeated squaring is less useful when you're dealing with symbolic expressions... what else do we have in our toolbox?

#### Reduced Residue Systems

Remember that we can divide up the integers into congruence classes mod *n* for any *n*.

Any set of *n* integers, one from each congruence class, is known a **complete residue system** mod *n*.

One complete residue system mod n:  $\{0, 1, 2, ..., n-1\}$ .

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One complete residue system mod n:  $\{0, 1, 2, ..., n-1\}$ .

A subset of a complete residue system only consisting of numbers relatively prime to *n* is called a **reduced residue system**.

One reduced residue system mod n: list of all nonnegative numbers smaller than n that are relatively prime to it (i.e. numbers whose gcd with n is 1).

#### **Euler's Totient Function**

For  $n \ge 1$ , the totient function  $\phi(n)$  denotes the number of elements in any reduced residue system mod n. Equivalently: the number of nonnegative numbers smaller than n that are relatively prime to n.

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**Lemma 1:** Suppose gcd(a, n) = 1, and  $\{a_1, ..., a_n\}$  is a complete residue system mod n. Then for all b,  $\{aa_1 + b, ..., aa_n + b\}$  forms a complete residue system mod n.

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**Proof of Lemma 1:** Since gcd(a, n) = 1, we know that there must exist some c such that ac = n.

Now suppose  $\{a_1, ..., a_n\}$  is a complete residue system mod n. Then for any integer d, there is a unique k such that  $c(d-b) \equiv a_k \pmod{n}$ .

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Therefore:  $(d - b) \equiv a(d - b) \equiv a(a + b) \equiv a(a + b)$  (mod n). So each integer is congruent with at least one element in set.

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Now suppose  $\{a_1, ..., a_n\}$  is a complete residue system mod n. Then for any integer d, there is a unique k such that  $c(d-b) \equiv a_k \pmod{n}$ .

Therefore:  $(d-b) \equiv aa_k \pmod{n}$  so  $d \equiv aa_k + b \pmod{n}$ . So each integer is congruent with at least one element in set.

Now suppose  $d \equiv aa_j + b \pmod{n}$  and  $d \equiv aa_k + b \pmod{n}$ . Then  $c(d-b) = aca_j = a_j = aca_k = a_k \pmod{n}$ . So each integer is congruent with **exactly** one element in set. So set is a CRS.

**Lemma 2:** Suppose gcd(a, n) = 1, and  $\{a_1, ..., a_{\phi(n)}\}$  is a reduced residue system mod n. Then  $\{aa_1, ..., aa_{\phi(n)}\}$  is also a reduced resude system mod n.

**Proof of Lemma 2:** Each of  $\{aa_1,...,aa_{\phi(n)}\}$  must be a distinct element in a complete residue system mod n by Lemma 1. Since a reduced residue system has  $\phi(n)$  elements, it suffices to show that each of  $\{aa_1,...,aa_{\phi(n)}\}$  is relatively prime to n. But this follows immediately from the fact that both a and  $a_k$  are relatively prime to n for all k.

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Multiply all the elements of the sets together. They have to be the same.

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So:

$$a^{\phi(n)} \equiv 1 \pmod{n}$$
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Fermat's little theorem follows immediately from Euler's theorem.

**Theorem:** Suppose p is prime. Then  $a^p \equiv a \pmod{p}$ . Furthermore, if  $p \nmid a$ , then  $a^{p-1} \equiv 1 \pmod{p}$ .

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On the other hand, suppose  $p \not| a$ . How many nonnegative numbers smaller than p are relatively prime to it? p-1 (all except 0). So by Euler's theorem:  $a^{p-1}=a^{\phi(p)}=1$ .

Gig(ish): A Combinatorial Look at Fermat's Little Theorem

