KF School of Computing and Information Sciences
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CNT 4403
Computing and Network Security

Key Management – Public Key Infrastructure

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Public Key Infrastructure

- **A system (or infrastructure) to securely distribute & manage public keys**
  - Public keys are stored in Certificates
- **Important for wide-area trust management (e.g., for Internet transactions)**
- **Ideally consists of**
  - a certification authority (CA)
  - certificate repositories
  - a certificate revocation mechanism (CRLs, etc.)
- **Many models possible: monopoly, oligarchy, anarchy, etc.**
  - Trust issues

Monopoly Model

- **Single organization is the CA for everyone**
  - Everybody gets the certificate directly from the CA
- **Shortcomings:**
  - no such universally-trusted organization
  - requires everyone to authenticate physically with the same CA – not scalable
  - once established, CA can abuse its position (excessive pricing, etc.)
  - requires perfect security at CA

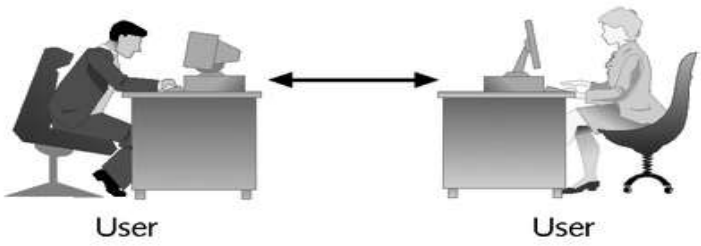
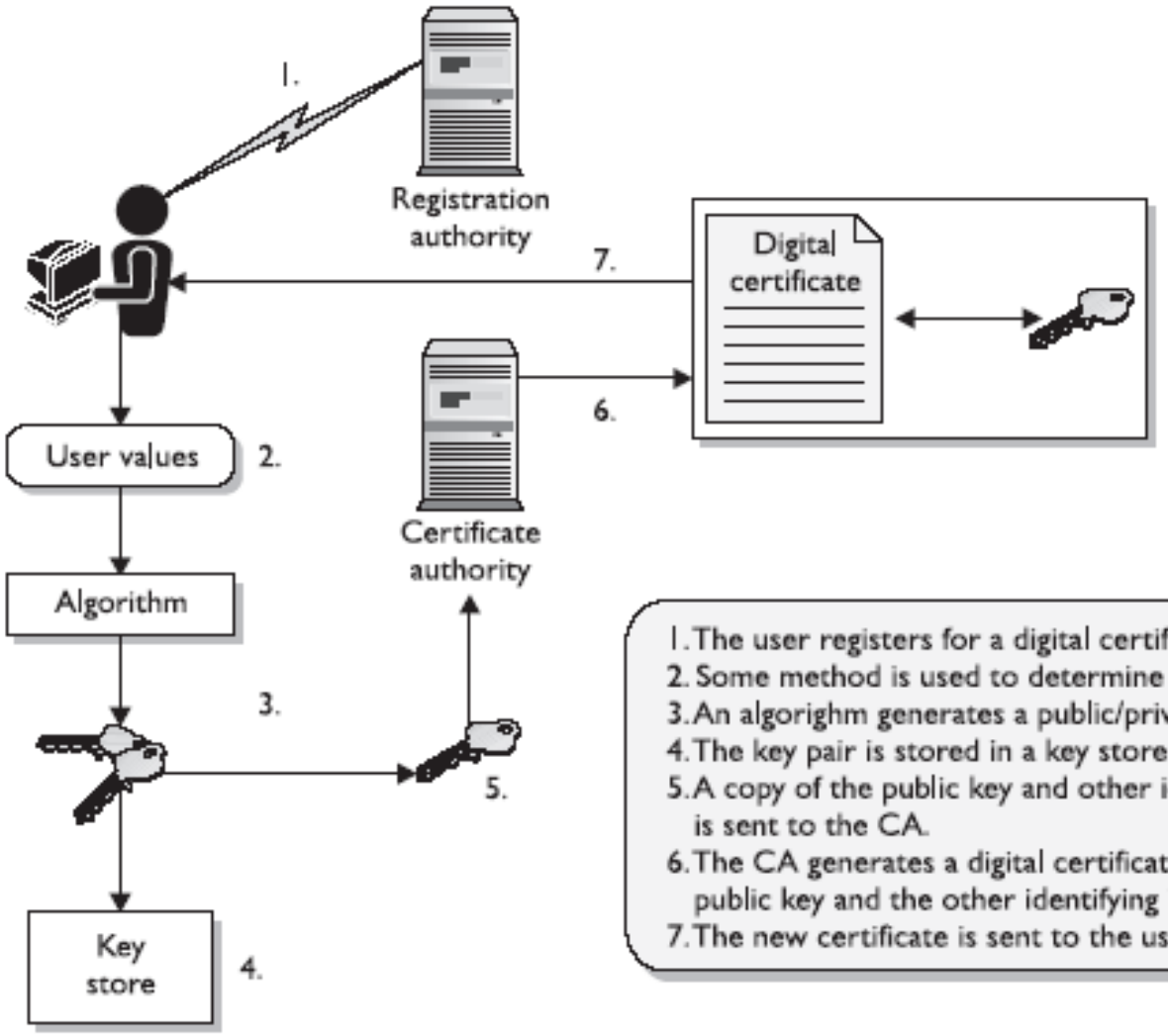


Figure 14-5 Direct trust

Monopoly with Registration Authorities

- CA trusts other organizations called Registration Authorities (RAs) to check identities, do the initial authentication
- RAs support all or some of:
  - Identification
  - User key generation/distribution
  - Interface to CA
  - Key/certificate management
- Solves the problem of physically meeting the CA
  - Other problems remain

Steps for obtaining a digital certificate via RAs



1. The user registers for a digital certificate.
2. Some method is used to determine random values.
3. An algorighm generates a public/private key pair.
4. The key pair is stored in a key store on the workstation.
5. A copy of the public key and other identifying information is sent to the CA.
6. The CA generates a digital certificate containing the public key and the other identifying information.
7. The new certificate is sent to the user.

Delegated CAs

- Root CA certifies lower-level CAs to certify others
- All verifiers trust the root CA & verify certificate chains beginning at the root (i.e., the root CA is the *trust anchor* of all verifiers)
- E.g., a national PKI, where a root CA certifies institutions, ISPs, universities who in turn certify their members
- Limitations are similar to monopoly with RAs

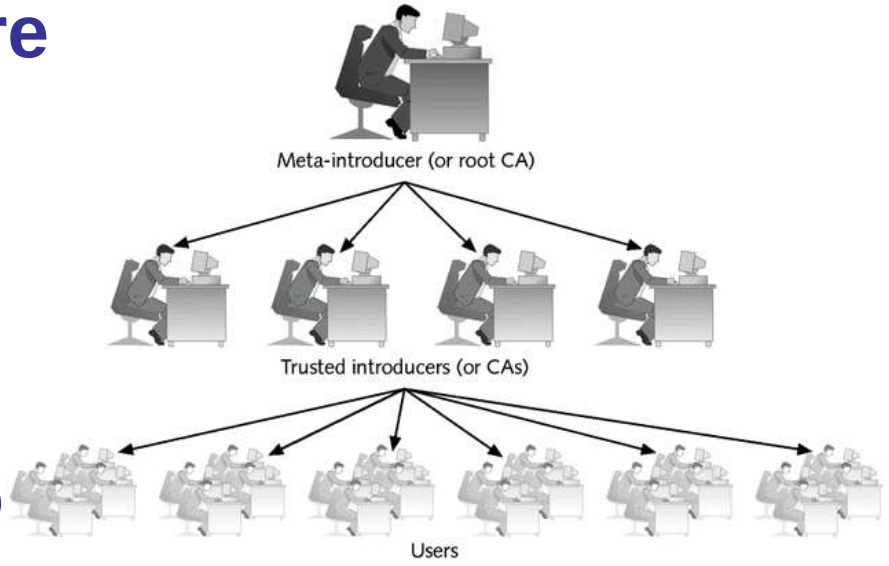


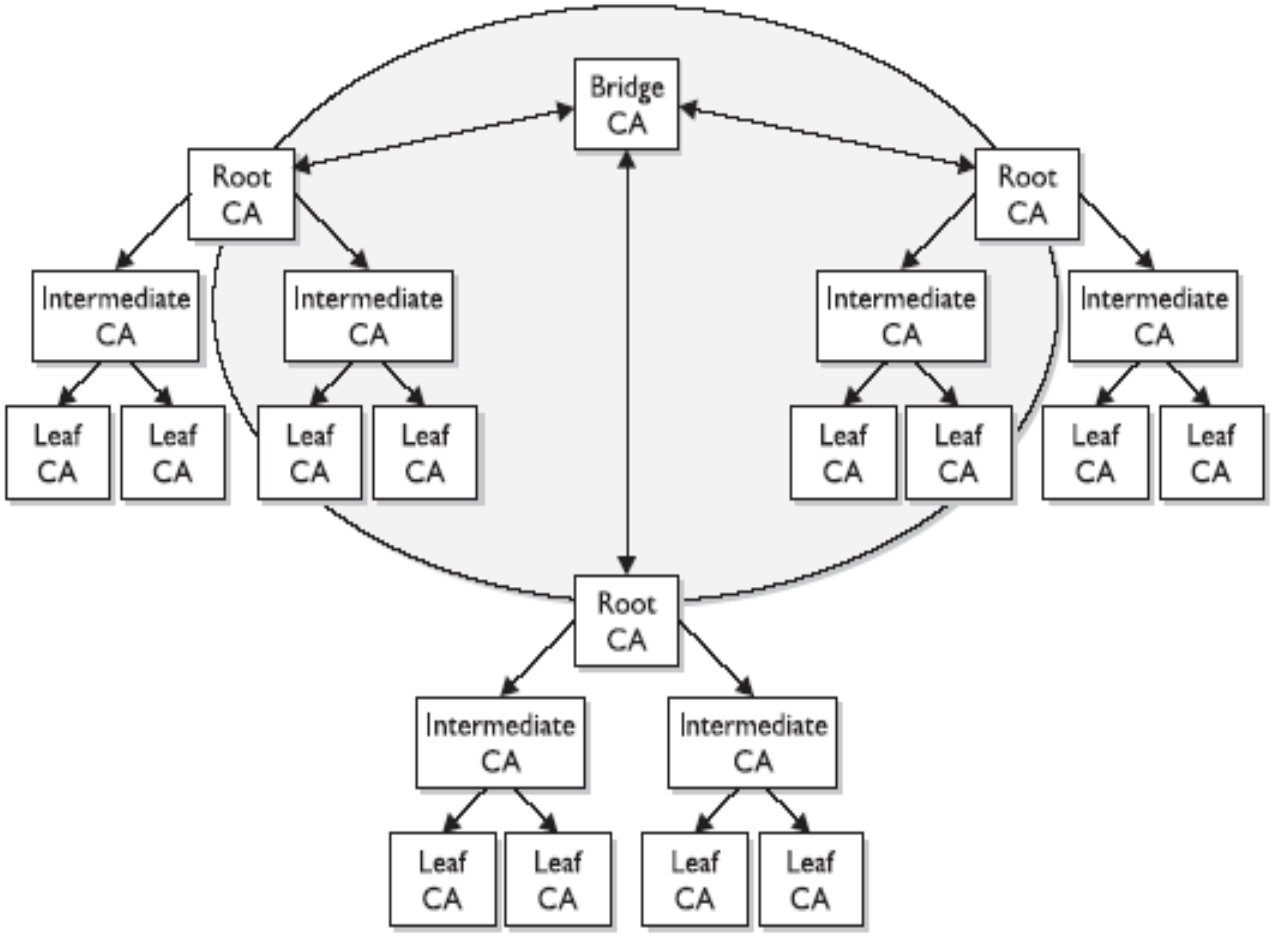
Figure 14-6 Hierarchical trust

Oligarchy

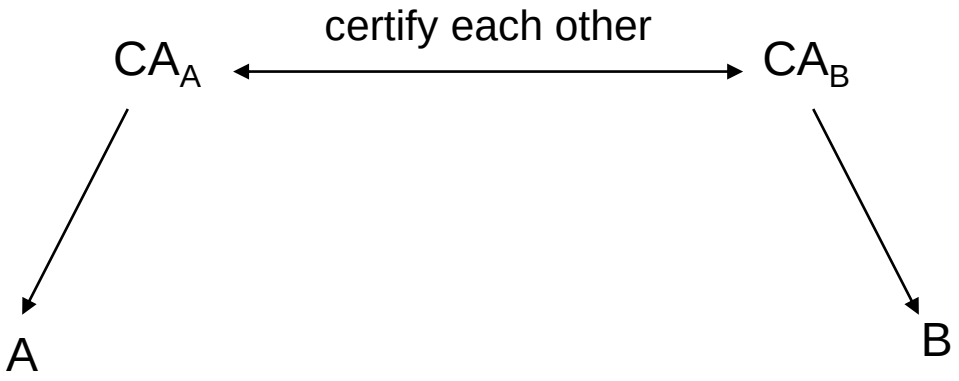
- **Many root CAs exists trusted by verifiers**
  - Verisign, Equifax, Entrust, CyberTrust, Identrus, …
  - Root CAs are unrelated (no cross-certification)
- **The model of web security**
  - Each root CA's public key exists in browsers
- **Advantages:**
  - Solves the problems of single authority (e.g., excessive pricing)
    - ✓ *n* security-sensitive sites instead of one.
- **Disadvantages:**
  - Compromise of any one compromises the whole system
  - users can easily be tricked into trusting fake CAs. (depending on implementation)

Cross-Trust on Oligarchy

- How do we establish trust between different CAs?
  - Bridge CAs can be used but they are rare



Example



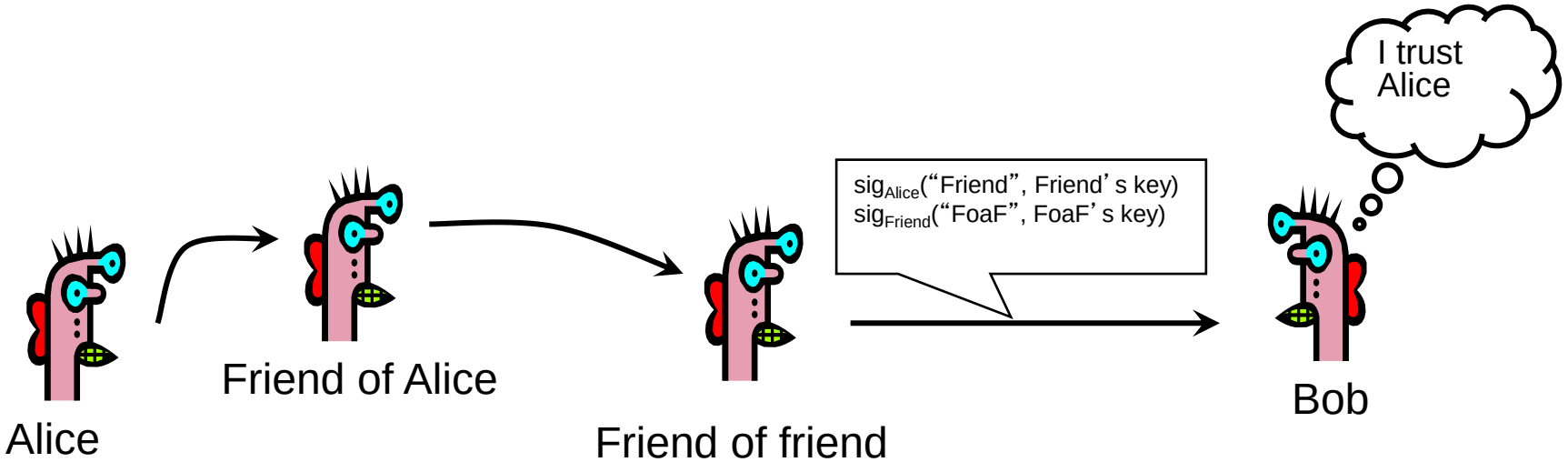
- **A, to authenticate the public key of B**
  - verifies B's certificate issued by CA_B,
  - verifies CA_B's cert. issued by CA_A,
- **B does vice versa to authenticate A's public key**

Anarchy

- Each user decides whom to trust & how to authenticate their public keys
- Certificates issued by arbitrary parties can be stored in public databases, which can be searched to find a path of trust to a desired party
- Works well for informal, non-sensitive applications (e.g., PGP)

Example: Pretty Good Privacy

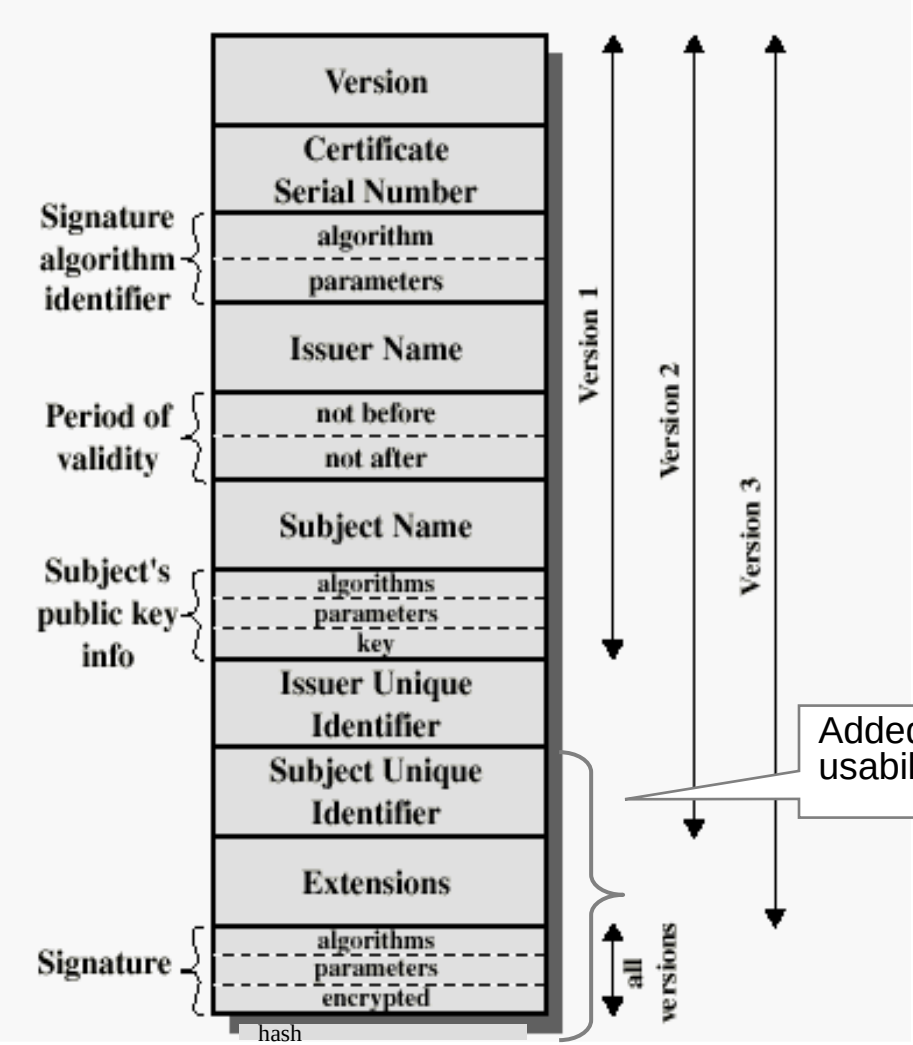
- **Instead of a single root certificate authority, each person has a set of keys they "trust"**
  - If public-key certificate is signed by one of the "trusted" keys, the public key contained in it will be deemed valid
- **Trust can be transitive**
  - Can use certified keys for further certification



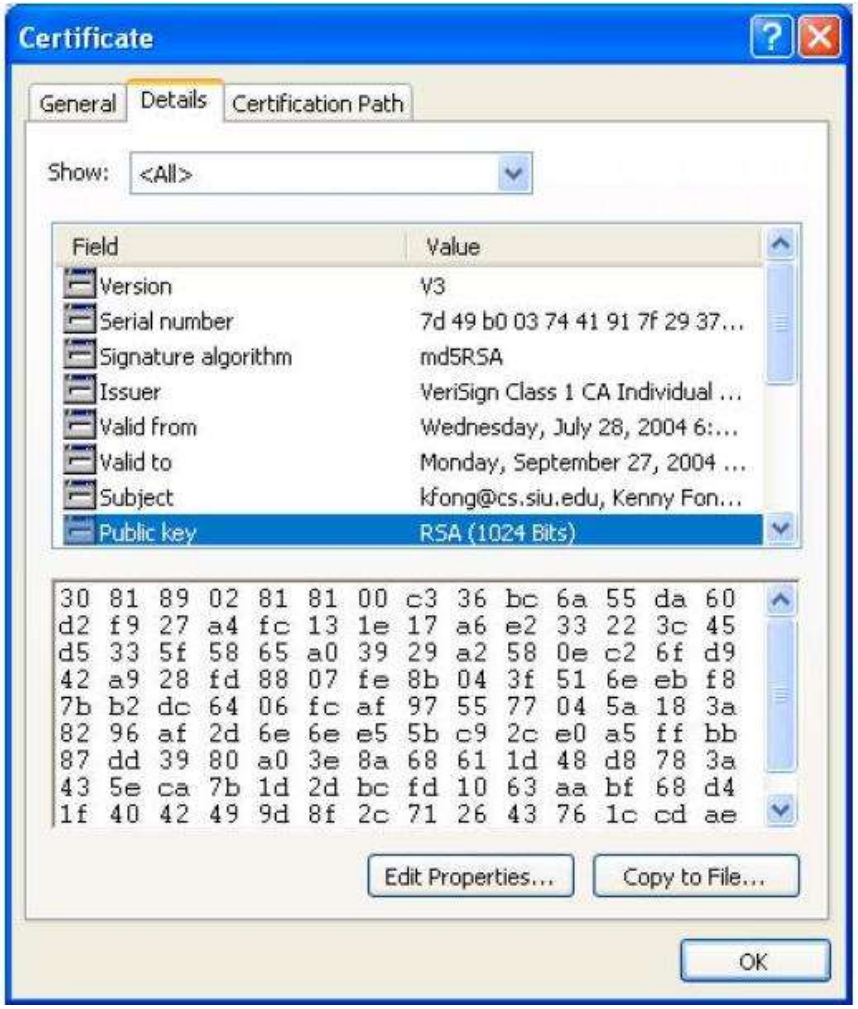
X.509 Certificates

- **Common standard for certificate format**
- **PKIX: Internet standard for X.509-based PKI**
- **Fields (X.509 v3):**
  - version
  - serial number
  - signature algorithm identifier
  - issuer
  - validity period
  - subject
  - subject public key information
  - signature
  - standard extensions (key usage limitation, etc.)
  - other extensions (application & CA specific)

X.509 Certificate



Sample Personal Certificate



Certificate Classes

- **Different type of certs available, the higher the class the more id required**
- **Class 1:**
  - Usage: Encrypting and digitally signing email messages
  - Identity Checks: Automated enrollment (e.g., entering your name and email in a web form).
- **Class 2:**
  - Usage: Software Signing
  - Identity Checks: address, company information
- **Class 3:**
  - Usage: Setting up new CAs
  - Identity Checks: Face-to-face meeting

Certificate Repositories

- Once the certificate is registered, identity proven, and a key pair generated, they are placed in a public repository.
- All of the certificates can be in one, large distributed database (LDAP)
- Each CA can maintain its own repository and have a means of querying the other repositories for information for its users
- Business communities and governments are starting the process of creating their CAs
  - They are linking them by signing or cross-certifying and publishing all of their information in business-class repositories.

Certificate Revocation

- **Revocation is very important**
- **Many valid reasons to revoke a certificate**
  - Private key corresponding to the certified public key has been compromised
  - User stopped paying his certification fee to this CA and CA no longer wishes to certify him
  - CA's certificate has been compromised!
- **Expiration is a form of revocation, too**
  - But it is not considered a reason to revoke the certificate
    - ✓Certificate becomes invalid when it expires
    - ✓Carries no threat

Certificate Revocation Mechanisms

Online Certificate Status Protocol (OCSP)

- When a certificate is presented, recipient goes to a special online service (OCSP Server of the CA) to verify whether it is still valid
  - ✓ Like a merchant dialing up the credit card processor

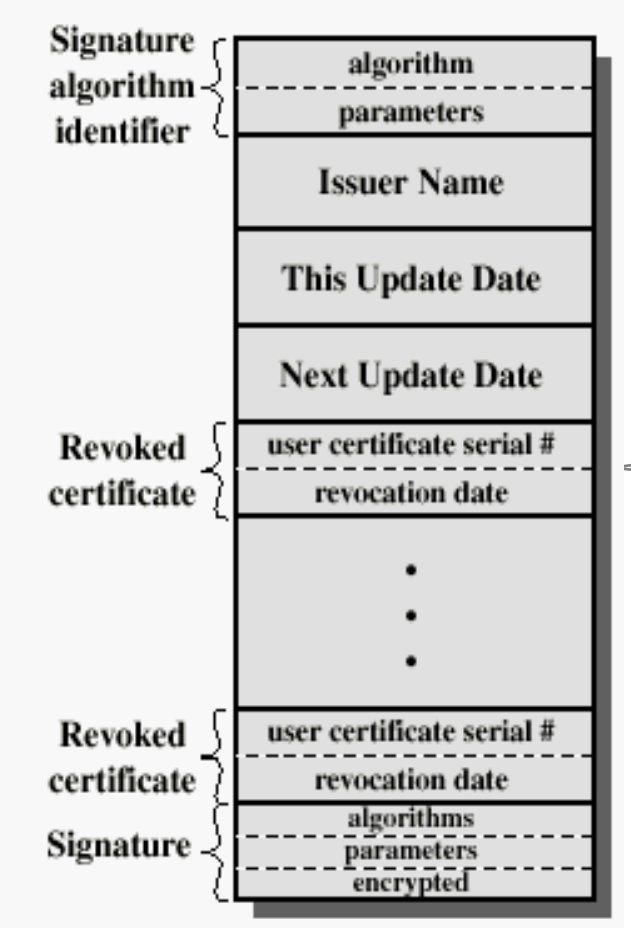
Certificate revocation list (CRL)

- CA periodically issues a signed list of revoked certificates
  - ✓ Credit card companies used to issue thick books of canceled credit card numbers
- Can issue a "delta CRL" containing only updates
- Or local cached CRLs

Does revocation protect against forged certs?

- If the certificate is known to be forged, yes

X.509 Certificate Revocation List



Because certificate serial numbers must be unique within each CA, this is enough to identify the certificate