

Control Flow

CSE 307 – Principles of Programming Languages

Stony Brook University

<http://www.cs.stonybrook.edu/~cse307>

Specifying Semantics of Programs

- ***Operational Semantics:***

- Give how a program would cause a machine to behave (e.g., the execution of an annotated grammar in imperative parsing with actions).
 - The machine can be abstract, but it is still operational (for example, a machine has unlimited number of registers).
- Control flow (the order of execution is very important).

- ***Denotational Semantics:***

- Each phrase in the language is interpreted as a ***denotation***: a conceptual meaning as a mathematical object in a mathematical space.
 - For example, denotational semantics of functional languages often translate the language into domain theory, or as functions from states to states.

- ***Axiomatic Semantics:*** map the language statements to some logic = their meaning is exactly what can be proven about them in the logic.

Control Flow

- *Control flow* is the *ordering* in program execution.
- Ordering mechanisms:
 - *Sequencing*: statements are executed in the order in which they appear in the program (e.g., inside a method in imperative programming),
 - *Selection / alternation*: a choice is made based on a condition (e.g., *if* and *case switch* statements),
 - *Iteration*: a fragment of code is to be executed repeatedly either a certain number of times or until a certain run time condition is true (e.g., *for*, *do while* and *repeat* loops)

Control Flow

- ***Procedural Abstraction***: a subroutine is encapsulated in a way that allows it to be treated as a single unit (usually subject to parameterization)
- ***Recursion***: an expression is defined in terms of simpler versions of itself either directly or indirectly (the computational model requires a stack on which to save information about partially evaluated instances of the expression – implemented with subroutines)
- ***Concurrency***: two or more program fragments are to be executed at the same time either in parallel on separate processors or interleaved on a single processor in a way that achieves the same effect.

Control Flow

- *Exception Handling and Speculation*: if the execution encounters a special exception, then it branches to a handler that executes in place of the remainder of the protected fragment or in place of the entire protected fragment in the case of speculation (for speculation, the language implementation must be able to roll back any visible effects of the protected code)
- *Nondeterminacy*: the choice among statements is deliberately left unspecified implying that any alternative will lead to correct results (e.g., rule selection in logic programming).

Control Flow

- Sequencing is central to imperative (von Neumann and object-oriented) languages.
- Logic programming and functional languages make heavy use of recursion.

Expression Evaluation

- An *expression* is a statement which always produces a value.
- An expression consists of:
 - simple things: literal or variable
 - functions or expressions applied to expressions
 - Function calls take variable numbers of arguments
 - *Operators* are built-in functions that use a special, simple syntax
 - *operands* are the arguments of an operator

Expression Evaluation

- *Infix* operators, e.g., $1 + 2$
- *Prefix* operators, e.g., (-1) , Polish notation
- *Postfix* operators (reverse polish), e.g., $1\ 2\ 3\ *\ +$
- Most imperative languages use infix notation for binary operators and prefix notation for unary operators.
- Lisp uses prefix notation for all functions, e.g.,
Cambridge Polish notation: $(*\ (+\ 1\ 3)\ 2)$, (append a b)
- Prolog uses the infix notation in the UI: $X\ is\ 1 + 2$ and the prefix notation internally (e.g., $is(X, +(1, 2))$)

Expression Evaluation

- ***Precedence and Associativity:*** when written in infix notation, without parentheses, the operators lead to ambiguity as to what is an operand of what.
 - E.g., $a + b * c ** d ** e / f$
 - Answer: $a + ((b * (c ** (d ** e)))) / f$
 - Neither $(((((a + b) * c) ** d) ** e) / f$ nor $a + (((b * c) ** d) ** (e / f))$
- Precedence rules specify that certain operators, in the absence of parentheses, group “more tightly” than other operators.
 - E.g., multiplication and division group more tightly than addition and subtraction: $2 + 3 * 4 = 2 + 12 = 14$ and not 20.
- Bad precedence: the *and* operator in Pascal is higher than $<$
 - $1 < 2$ and $3 < 4$ is a static compiler error

Pascal	C
	++, -- (post-inc., dec.)
not	++, -- (pre-inc., dec.), +, - (unary), &, * (address, contents of), !, ~ (logical, bit-wise not)
*, /, div, mod, and	* (binary), /, % (modulo division)
+, - (unary and binary), or	+, - (binary)
	<<, >> (left and right bit shift)
<, <=, >, >=, =, <>, IN	<, <=, >, >= (inequality tests)
	==, != (equality tests)
	& (bit-wise and)
	^ (bit-wise exclusive or)
	(bit-wise inclusive or)
	&& (logical and)
	(logical or)
	?: (if ... then ... else)
	=, +=, -=, *=, /=, %= >>=, <<=, &=, ^=, = (assignment)

Operator precedence levels in C and Pascal: the operator s
at the top of the figure group most tightly.

(c) Paul Fodor (CS Stony Brook) and Elsevier

Expression Evaluation

- *Associativity rules* specify whether sequences of operators of equal precedence group to the right or to the left:
 - Summation associate left-to-right, so $9 - 3 - 2$ is $(9 - 3) - 2$ is 4 and not 8.
 - The exponentiation operator ($**$) follows standard mathematical convention, and associates right-to-left, so $4**3**2$ is $4**(3**2) = 262144$ and not 4096.
- Most expressions are *left associative*
- The assignment operation is *right associative*
 - $x = y = z$ will assign the value of z to y and then also to x :
 $x = (y = z)$
- Rule 0: inviolability of parentheses!!! That is, developers put expressions into parenthesis to make sure what is the semantics.

Execution Ordering

- Execution ordering is not necessarily defined:
 - In $(1 < 2 \text{ and } 3 > 4)$, which is evaluated first?
 - Some languages define order left to right, some allow re-order:
 - E.g., query optimization in databases is re-ordering.
 - Re-order can increase speed, exploit math identities.
 - Re-order can reduce precision, have side-effects.
- Optimization by Applying Mathematical Identities:

$$a = b + c$$

$$d = c + e + b$$

Is optimized to:

$$a = b + c$$

$$d = a + e$$

$$a = b / c / d$$

$$e = f / d / c$$

Is optimized to:

$$t = c * d$$

$$a = b / t, \quad e = f / t$$

By using the *common subexpression* in the equations.

Expression Evaluation

- *Short-circuiting*:
 - Consider $(a < b) \ \&\& \ (b < c)$:
 - If $a \geq b$ there is no point evaluating whether $b < c$ because $(a < b) \ \&\& \ (b < c)$ is automatically false.
 - Most operators are *short-circuiting*
 - However, some languages have 2 operators, e.g., Java has the $\&$ operator which evaluates both expressions: $(\text{false}) \ \& \ (i++ < 0)$ will have the side-effect of incrementing i
- Short-circuiting is used in *guards*:
 - $\text{if } (b \neq 0 \ \&\& \ a/b == c) \dots$
 - $\text{if } (*p \ \&\& \ p->\text{foo}) \dots$

Expression Evaluation

- *Assignments*: in imperative languages, computation typically consists of an ordered series of changes to the values of variables in memory.
- An assignment is a statement that takes pair of arguments: a value (r-value) and a reference to a variable into which the value should be placed (l-value)

Expression Evaluation

- *References and Values:*

*$d = a;$ // the right-hand side of the assignment refers to
// the value of a , which we wish to place into d*

*$a = b + c;$ // the left-hand side refers to the location of a ,
// where we want to put the sum of b and c*

- Because of their use on the left-hand side of assignment statements, expressions that denote locations are referred to as ***l-values***.
- Expressions that denote values (possibly the value stored in a location) are referred to as ***r-values***.

Expression Evaluation

- Example:
 - Variable a contains 100
 - Variable b contains 200
- $a = b;$
- a and b are expressions
 - b - evaluated for r-value
 - a - evaluated for l-value - location
- The value is placed into the location.

Expression Evaluation

- *Reference model*: variables can contain directly a value or may have an address where the value is on the heap:
- The *value semantics* versus *reference semantics*:
 - the variables refer to values
 - the variables refer to objects
 - **Java has both**:
 - built-in types are values in variables,
 - user-defined types are objects and variables are references.
- When a variable appears in a context that expects an r-value, it must be *dereferenced* to obtain the value to which it refers.
 - In most languages, the dereference is implicit and automatic.

Expression Evaluation

- Early versions of Java(2) required the programmer to “wrap” objects of built-in types:

```
import java.util.Hashtable;
...
Hashtable ht = new Hashtable();
...
Integer N = new Integer(13);           // Integer is a "wrapper" class
ht.put(N, new Integer(31));
Integer M = (Integer) ht.get(N);
int m = M.intValue();
```

- The wrapper class was needed here because Hashtable expects a parameter of a class derived from Object, and an int is not an Object.
- Recent versions of Java perform automatic boxing and unboxing operations that avoid the need for wrappers: the compiler creates hidden Integer objects to hold the values:

```
ht.put(13, 31);
int m = (Integer) ht.get(13);
```

Stony Brook) and Elsevier

Expression Evaluation

- Expression-oriented vs. statement-oriented languages:
 - expression-oriented (all statements are evaluated to a value):
 - functional languages (Lisp, Scheme, ML)
 - logic programming (everything is evaluated to a boolean value: true, false or undefined/unknown in XSB Prolog).
 - statement-oriented:
 - most imperative languages
 - C is halfway in-between (distinguishes)
 - allows expressions to appear instead of statements and vice-versa:

```
if (a == b) {  
    /* do the following if a equals b */  
  
if (a = b) {  
    /* assign b into a and then do  
       the following if the result is nonzero */
```

Expression Evaluation

- Combination Assignment Operators:

- $a = a + 1$ has the effect to increment the value of a
- However, $A[index_fn(i)] = A[index_fn(i)] + 1$ is not safe because the function may have a side effect and different values can be returned by $index_fn(i)$
 - It is safer to write :

$j = index_fn(i);$ OR $A[index_fn(i)]++;$
 $A[j] = A[j] + 1;$

- Assignment operators ($+=$, $-=$):

- Handy, avoid redundant work (or need for optimization) and perform side effects exactly once.
- $--$, $++$ in Java or C:
 - Prefix or postfix form
 - The assignment also returns values

Expression Evaluation

- Side Effects:
 - often discussed in the context of functions
 - a *side effect* is some permanent state change caused by execution of function
 - some noticeable effect of call other than return value
 - in a more general sense, assignment statements provide the ultimate example of side effects
 - they change the value of a variable

Expression Evaluation

- SIDE EFFECTS ARE FUNDAMENTAL TO THE WHOLE VON NEUMANN MODEL OF COMPUTING
- In (pure) functional, logic, and dataflow languages, there are no such changes
 - These languages are called SINGLE-ASSIGNMENT languages
- Several languages outlaw side effects for functions
 - easier to prove things about programs
 - closer to Mathematical intuition
 - easier to optimize
 - (often) easier to understand

Expression Evaluation

- *Multiway Assignments*: in ML, Perl, Python, and Ruby:

`a, b = c, d;`

- Tuples consisting of multiple r-values
- The effect is: `a = c; b = d;`
- The comma operator on the left-hand side produces a tuple of l-values, while the comma operator on the right hand side produces a tuple of r-values.
- The multiway (tuple) assignment allows us to write things like: `a, b = b, a;` # that swap a and b which would otherwise require auxiliary variables.
- Multiway assignment also allows functions to return tuples:
`a, b, c = foo(d, e, f);`

Expression Evaluation

- *Definite Assignment*: the fact that variables used as r-values are initialized can be statically checked by the compiler.
- Every possible control path to an expression must assign a value to every variable in that expression

```
int i;  
int j = 3;  
...  
if (j > 0) {  
    i = 2;  
}  
... // no assignments to j in here  
if (j > 0) {  
    System.out.println(i); // error: "i might not have been initialized"  
}
```


Structured and Unstructured Flow

- Control flow in assembly languages is achieved by means of conditional and unconditional jumps.

- *Unstructured Flow*: GOTO statements

```
10 PRINT "HELLO"
```

```
20 GOTO 10
```

Edsger Dijkstra (ACM Turing Award in 1972):

"Goto considered harmful".

- Problem: Goto are not limited to nested scopes, so they are very hard to limit behavior.
- Modern languages hardly allow it.
- *Structured programming*: top-down design (progressive refinement), modularization of code, structured types, imperative algorithm elegantly expressed with only sequencing, selection, iteration or recursion.

Structured and Unstructured Flow

- Alternatives to GOTO:
 - Multi-level return/continue/break
 - Exceptions
 - Continuations (used in Ruby and Scheme) wrap current scope in an object (requires scopes to be on heap). Calling objects restores scope and location.

Structured and Unstructured Flow

- Continuation in Scheme:

```
(define the-continuation #f)
```

```
(define (test)
```

```
  (let ((i 0))
```

```
    ; call/cc calls its first function argument, passing a continuation variable the-continuation
```

```
    (call/cc (lambda (k) (set! the-continuation k)))
```

```
    ; The next time the-continuation is called, we start here.
```

```
    (set! i (+ i 1))
```

```
  i))
```

```
    > (test)
```

```
    1
```

```
    > (the-continuation)
```

```
    2
```

```
    > (the-continuation)
```

```
    3
```

```
    ; stores the current continuation (which will print 4 next) away
```

```
    > (define another-continuation the-continuation)
```

```
    > (test) ; resets the-continuation
```

```
    1
```

```
    > (the-continuation)
```

```
    2
```

```
    > (another-continuation) ; uses the previously stored continuation
```

```
    4
```

Sequencing

- In a block, statements execute in order.
- Some languages might waive this for optimization.

```
a = foo()
```

```
b = bar()
```

```
return a + b
```

- The first two instructions can be executed sequentially, OR in reverse order OR even concurrently if foo and bar do not have side-effects.

Selection

- Selection statement types (in increasing convenience):
 - If
 - If/Else - no repeat negating condition.
 - If/Elif/Else - don't require nesting (keep terminators from piling up at the end of nested if statements)
 - Switch-Case statement.
 - Can use array/hash table to look up where to go to,
 - Can be more efficient than having to execute lots of conditions.
- Short-circuit evaluation:
if foo() or bar() : ...
 - we can short-circuit evaluation: if foo() is true, bar() is not called.

if ((A > B) and (C > D)) or (E <> F) : ...

Selection

if ((A > B) and (C > D)) or (E <> F) :

... No short-circuit

r1 := A

r2 := B

r1 := r1 > r2

r2 := C

r3 := D

r2 := r2 > r3

r1 := r1 & r2

r2 := E

r3 := F

r2 := r2 != r3

r1 := r1 / r2

if r1 = 0 goto L2

L1: *then clause* (label not actually used)

goto L3

L2: *else clause*

L3:

Short-circuit

r1 := A

r2 := B

if r1 <= r2 goto L4

r1 := C

r2 := D

if r1 > r2 goto L1

L4: r1 := E

r2 := F

if r1 = r2 goto L2

L1: *then clause*

goto L3

L2: *else clause*

L3:

Java Boolean operators

if ((A <= B || C > D) & (E > F | G < H):

I

```

r1 := A
r2 := B
if r1 <= r2 goto L1
r1 := C
r2 := D
if r1 <= r2 goto L2
L1: r1 := 1
    goto L3
L2: r1 := 0
L3: r2 := E
    r3 := F
    r2 := r2 > r3
    r3 := G
    r4 := H
    r3 := r3 < r4
    r2 := r2 | r3
    r1 := r1 & r2
    if r1=0 goto L4
    (I)
L2:
```

Java: The unconditional & and | Operators

If `x` is 1, what is `x` after this expression?

`(x > 1) & (x++ < 10)` **2**

If `x` is 1, what is `x` after this expression?

`(1 > x) && (1 > x++)` **1**

How about `(1 == x) | (10 > x++)`? **2**

`(1 == x) || (10 > x++)`? **1**

Selection

```
CASE ... (* potentially complicated expression *) OF
  1: clause A
  | 2, 7: clause B
  | 3..5: clause C
  | 10: clause D
ELSE clause E
END
```

- Less verbose,
- More efficient than:

```
IF (* potentially complicated expression *) == 1 THEN
  clause A
```

```
ELSIF (* potentially complicated expression *) IN 2, 7 THEN
  clause B
```

```
ELSIF ...
```

Iteration

- Simplest: variants of while, controlled by condition.

```
i = 0
while (i <= 100) {
    ...
    i += 10;
}
```

- Do...while have condition executed after the block
- For-variations: move number through range:

```
FOR i := 0 to 100 by 10 DO ... END    // Pascal
```

OR

```
do i = 1, 10, 2                      // Fortran
```

...

```
enddo
```

Iteration

- Modern for-loop is a variant of while:

for(i=first;i <=last;i+=step)...

C defines this to be precisely equivalent to

```
i = first;  
while (i <= last) {  
    ...  
    i += step;  
}
```

- Enumeration-controlled
 - scope of control variable
 - changes to bounds within loop
 - changes to loop variable within loop
 - value after the loop

Iteration

- *Code Generation for for-Loops:*

```
    r1 := first
    r2 := step
    r3 := last
L1:  if r1 > r3 goto L2
    . . . - - loop body; use r1 for i
    r1 := r1 + r2
    goto L1
L2:
```

Iteration

- *Code Generation for for-Loops:*

```
    r1 := first
    r2 := step
    r3 := last
    goto L2
L1: . . . - - loop body; use r1 for i
    r1 := r1 + r2
L2: if r1 ≤ r3 goto L1
```

- Faster implementation because each of the iteration's contains a single conditional branch, rather than a conditional branch at the top and an unconditional branch at the bottom.

Iteration

- Iterator: pull values from the iterator object

```
for i in range(0, 101, 10): # Python
```

...

- User can usefully define his own **iterator** object which makes it possible to iterate over other things:

```
for (Iterator<Integer> it =  
    myTree.iterator(); it.hasNext();) {  
    Integer i = it.next();  
    System.out.println(i);  
}
```

Iteration

- *Post-test Loops:*

repeat

readln(line)

until line[1] = '\$';

instead of

readln(line);

while line[1] <> '\$' do

readln(line);

- Post-test loop whose condition works “the other direction”:

do {

line = read_line(stdin);

} while (line[0] != '\$');

Iteration

- *Midtest Loops:*

```
for (;;) {  
    line = read_line(stdin);  
    if (all_blanks(line)) break;  
    consume_line(line);  
}
```

- Iteration often allows us to escape the block:
 - Continue
 - Break

Recursion

- Recursion:
 - equally powerful to iteration
 - mechanical transformations back and forth
 - often more intuitive (sometimes less)
 - naive implementation is less efficient:
 - Stack frame allocations at every step: copying values is slower than updates in iterations
 - advantages:
 - no special syntax required
 - fundamental to functional languages like Scheme

Recursion

- Example:

```
def gcd(a, b):  
    if a == b:  
        return a  
    if a > b:  
        return gcd(a-b, b)  
    else:  
        return gcd(a, b - a)
```

- Instead of iteration:

```
int gcd(int a, int b) {  
    /* assume a, b > 0 */  
    while (a != b) {  
        if (a > b) a = a-b;  
        else b = b-a;  
    }  
    return a;  
}
```

Recursion

- *Tail recursion:*

- No computation follows recursive call:

```
def gcd(a, b):  
    if a == b:  
        return a  
    if a > b:  
        return gcd(a-b, b)  
    else:  
        return gcd(a, b - a)
```

- When the result is a call to same function, can reuse space.

```
def gcd(a, b):  
    start:  
    if a == b:  
        return a  
    if a > b:  
        a = a - b  
        goto start  
    else:  
        b = b - a  
        goto start
```

Recursion

- Tail-recursion:
- Dynamically allocated stack space is unnecessary: the compiler can *reuse* the space belonging to the current iteration when it makes the recursive call