

## UNIT 2

### 1. Pharmaceutical Calculations: Weights and Measures – Imperial & Metric System

#### 8.1 Introduction

Pharmaceutical calculations are essential for ensuring the **accurate preparation, dispensing, and administration** of medications. Two common systems of weights and measures used in pharmacy are:

1. **Imperial (Apothecary) System**
2. **Metric System (International System of Units – SI)**

Understanding both is important for interpreting prescriptions, preparing formulations, and converting units as per modern practice.

#### 8.2 The Imperial (Apothecary) System

The **Imperial System**, also known as the **Apothecary system**, was historically used in the UK and India, particularly in prescriptions and compounding.

##### 8.2.1 Units of Weight in Imperial System

Unit	Abbreviation	Equivalent (Metric)
1 grain	gr	≈ 64.8 mg
1 scruple	℥	20 grains = 1.296 g
1 dram	ʒ	3 scruples = 60 grains = 3.888 g
1 ounce (oz)	℥	8 drams = 480 grains = 28.35 g
1 pound (lb)	lb	12 ounces = 5760 grains = 373.24 g

##### 8.2.2 Units of Volume in Imperial System

Unit	Abbreviation	Equivalent (Metric)
1 minim	min	≈ 0.0616 mL
1 fluid dram	flʒ	60 minims = ≈ 3.6967 mL
1 fluid ounce	fl℥	8 flʒ = ≈ 29.57 mL
1 pint	pt	20 fl℥ = ≈ 473 mL
1 gallon	gal	8 pints = ≈ 3.785 L

### 8.3 The Metric System (SI Units)

The **Metric System** is the standard system used globally in pharmacy and science due to its **decimal-based structure**, which makes calculations easier and more accurate.

#### 8.3.1 Units of Weight in Metric System

Unit	Symbol	Equivalent
1 microgram	µg	0.000001 g
1 milligram	mg	0.001 g
1 gram	g	1000 mg
1 kilogram	kg	1000 g

#### 8.3.2 Units of Volume in Metric System

Unit	Symbol	Equivalent
1 millilitre	mL	0.001 L
1 centilitre	cL	10 mL
1 decilitre	dL	100 mL
1 litre	L	1000 mL

#### 8.3.3 Units of Length in Metric System

Unit	Symbol	Equivalent
1 millimetre	mm	0.001 m
1 centimetre	cm	10 mm
1 metre	m	100 cm

### 8.4 Conversion Between Imperial and Metric Units

Imperial Unit	Metric Equivalent
1 grain	≈ 64.8 mg
1 dram (ʒ)	≈ 3.888 g
1 ounce (oz)	≈ 28.35 g
1 minim	≈ 0.0616 mL

1 fluid dram (fl <sub>3</sub> )	≈ 3.6967 mL
1 fluid ounce (fl <sub>3</sub> )	≈ 29.57 mL
1 pint	≈ 473 mL
1 gallon	≈ 3.785 L

## 8.5 Common Abbreviations Used in Prescriptions

Abbreviation	Meaning
gr	grain
mg	milligram
mL	milliliter
fl <sub>3</sub>	fluid dram
fl <sub>3</sub>	fluid ounce
L	liter

## 2. Pharmaceutical Calculations Involving Percentage Solutions, Alligation, Proof Spirit, and Isotonic Solutions

### Percentage Solutions

Percentage solutions express the **concentration of solute** in a solution. They are expressed in three common ways:

#### 9.1.1 Types of Percentage Solutions

Type	Formula	Meaning
% w/v (Weight/Volume)	g of solute/100 mL solution	Used for solids in liquids (e.g., syrups)
% v/v (Volume/Volume)	mL of solute/100 mL solution	Used for liquids in liquids (e.g., alcohol)
% w/w (Weight/Weight)	g of solute/100 g solution	Used for ointments, creams, etc.

### Example:

Prepare 100 mL of a 5% w/v NaCl solution.

→ It means **5 g NaCl** is dissolved in **enough water to make the final volume 100 mL**.

## 9.2 Alligation Method

Used to **calculate the quantity of two or more components of different concentrations** required to prepare a mixture of a desired concentration.

### 9.2.1 Alligation Alternate Formula

Quantity of stronger part =  $\frac{C_d - C_w}{C_s - C_w} \times \text{Total qty}$

Where:

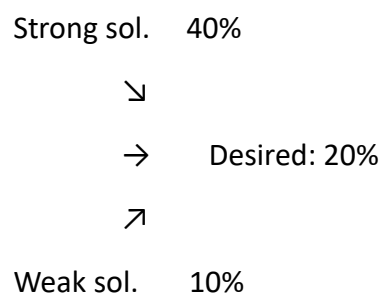
- $C_s$ : Concentration of stronger solution
- $C_w$ : Concentration of weaker solution
- $C_d$ : Desired concentration

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### 9.2.2 Alligation Method (Cross Method)

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Difference: 10 parts (20–10)

20 parts (40–20)

Mix in the ratio: Strong : Weak = 10 : 20 = 1 : 2

So, to prepare 300 mL of a 20% solution from 10% and 40%:

- Use 100 mL of 40% and 200 mL of 10%

## 9.3 Proof Spirit Calculations

Used in alcohol preparations. The strength of alcohol is expressed as "**proof spirit**".

### 9.3.1 Definitions

Term	Definition
<b>Proof Spirit</b>	Alcohol containing <b>49.5% v/v</b> of ethanol at 15.56°C
<b>Overproof (O.P.)</b>	Contains <b>more than 49.5% v/v</b> ethanol
<b>Underproof (U.P.)</b>	Contains <b>less than 49.5% v/v</b> ethanol

### 9.3.2 Conversion Formula

To convert **alcohol % v/v** to **proof strength**:

$$\text{Proof strength} = \% \text{ alcohol} / \{49.5\}$$

**Example:**

Convert 70% v/v alcohol to proof spirit:

$$= 70 \times 100 / 49.5 = 141.41^\circ \text{ proof} \rightarrow \text{Overproof (O.P.)}$$

### 9.4 Isotonic Solutions (Freezing Point & Molecular Weight Method)

**Isotonic solutions** have the same **osmotic pressure** as body fluids (like blood plasma or tears), which prevents **cell damage** due to osmotic imbalance.

#### 9.4.1 Freezing Point Depression Method

- **Normal freezing point of blood plasma:  $-0.52^\circ\text{C}$**
- To make a solution isotonic, it must **depress freezing point to  $-0.52^\circ\text{C}$**

**Steps:**

1. Find **freezing point depression of the drug** in 1% solution (given or from table).
2. Use formula:

$$\% \text{ drug required for isotonicity} = 0.52 / \text{Freezing point depression of 1\% solution}$$

**Example:**

If 1% solution of a drug lowers freezing point by  $0.08^\circ\text{C}$ :

Then, % required to make it isotonic:

$$= 0.52 / 0.08 = 6.5\%$$

#### 9.4.2 Sodium Chloride Equivalent Method (E-value method)

$$\text{NaCl required} = (0.9 - E \times \text{drug amount})$$

**0.9:** isotonic NaCl concentration (%)

- **E:** amount of NaCl equivalent to 1 g of drug
- **drug amount:** in grams

### Example:

Prepare isotonic solution with 0.5 g of drug having  $E = 0.18$ .

$\text{NaCl needed} = 0.9 - (0.18 \times 0.5) = 0.9 - 0.09 = 0.81\text{g}$

### 9.4.3 Molecular Weight Method (van't Hoff Law)

Used when **molecular weight and dissociation** of solute is known.

$$\pi = iMRT$$

Where:

- $\pi$ : osmotic pressure
- $i$ : dissociation factor
- $M$ : molar concentration
- $R$ : gas constant
- $T$ : temperature in Kelvin

For isotonicity: equate  $\pi$  of solution to plasma

Rarely used in routine pharmacy, but important for **precision dosing and formulation design**.

## 3. Powders

### 3.1 Definition

“Powders are **intimate mixtures** of dry, finely divided drugs or chemicals that may be **intended for internal or external use**.”

These are among the **simplest pharmaceutical dosage forms**, widely used in both **compounding** and **industrial formulations**.

### 3.2 Classification of Powders

Powders are classified based on **route of administration**, **composition**, and **method of preparation**.

#### 3.2.1 Based on Route of Administration

Type	Example
Oral powders	Antacids, Oral rehydration salts
Topical powders	Dusting powders, Medicated talc
Inhalation powders	Dry powder inhalers (DPI)
Dental powders	Tooth powders

### 3.2.2 Based on Number of Doses

Type	Description
<b>Bulk powders</b>	Intended to be measured before use (e.g. ORS)
<b>Divided powders</b>	Pre-divided into individual doses (e.g. sachets)

### 3.2.3 Based on Composition or Ingredients

Type	Example
<b>Simple powders</b>	Contain one drug (e.g., Paracetamol powder)
<b>Compound powders</b>	Contain two or more ingredients
<b>Effervescent powders</b>	Release CO <sub>2</sub> when mixed with water (e.g., ENO)
<b>Hygroscopic powders</b>	Absorb moisture from air (e.g., Ammonium chloride)
<b>Deliquescent powders</b>	Absorb moisture and liquefy (e.g., Calcium chloride)
<b>Eutectic mixtures</b>	Form a liquid when mixed (e.g., camphor + menthol)

### 3.3 Advantages of Powders

1. **Flexibility in Compounding**
  - Easy to formulate for specific dose needs.
2. **Stability**
  - More stable than liquid forms (especially for antibiotics, probiotics).
3. **Rapid Onset of Action**
  - No disintegration step—acts faster when taken with water.
4. **Better Palatability**
  - Bitter drugs can be masked with sweeteners or flavors.
5. **Suitable for Large Doses**
  - Large doses (e.g., ORS) are more easily taken as powder.
6. **Useful for Children or Elderly**
  - Can be mixed with food or water.
7. **Cost-effective**
  - Simple to prepare, pack, and store—reduces production costs.

### 3.4 Disadvantages of Powders

#### 1. Less Accurate Dosing in Bulk Form

- Patient must measure the dose; risk of under/overdosing.

#### 2. Unpleasant Taste

- Some drugs (e.g., metronidazole) have poor palatability.

#### 3. Not Suitable for Moisture-sensitive Drugs

- May require special packaging (e.g., desiccants).

#### 4. Risk of Incompatibility

- Powders containing multiple actives may react (e.g., eutectics).

#### 5. Irritation Potential

- Dust may irritate respiratory tract (especially fine powders).

#### 6. Not Ideal for Unstable or Volatile Substances

- Volatile oils or oxidation-sensitive drugs degrade easily.

#### 7. Difficult to Store Hygroscopic Substances

- Absorb moisture and deteriorate.

## 4. Simple and Compound Powders – Official Preparations

### 4.1 Simple Powders

#### Definition:

A **simple powder** is a pharmaceutical powder that contains only **one medicinal substance**, sometimes with an inert diluent.

#### Characteristics:

- Usually dispensed in **bulk** or **divided doses**.
- Easy to prepare and dispense.
- Suitable when a **single drug** is prescribed.



#### Examples of Official Simple Powders (IP/BP/USP):

Official Name	Use	Details
Paracetamol Powder IP	Analgesic and antipyretic	May be dispensed in divided doses
Magnesium Sulphate Powder IP	Laxative, also used externally	Hygroscopic; must be stored in airtight container
Light Kaolin Powder BP	Antidiarrheal	Used as adsorbent in diarrhea
Sulphanilamide Powder USP	Antibacterial (topical)	Used for infected wounds

#### 4.2 Compound Powders

##### Definition:

**Compound powders** are pharmaceutical powders that contain **two or more ingredients**, which may be active or inert.

##### Characteristics:

- Used to achieve **synergistic action**, mask taste, or combine therapeutic effects.
- Require careful weighing and **mixing to ensure uniformity**.
- Often used in **bulk or divided** forms.

#### 4.3 Official Compound Powder Preparations

Official Name	Ingredients	Uses
Compound Sodium Bicarbonate Powder IP	Sodium bicarbonate, Citric acid, Sodium carbonate	As antacid; effervescent preparation
Oral Rehydration Salts IP (ORS)	Glucose, NaCl, KCl, NaHCO <sub>3</sub> or citrate	Treats dehydration due to diarrhea
Compound Rhubarb Powder BP	Rhubarb, Light Magnesium Carbonate, Ginger, etc.	Mild laxative
Compound Sulphur Powder IP	Sublimed sulphur, Precipitated sulphur	Skin conditions (scabies, acne)

#### 4.4 Key Points in Preparation :

- **Weighing:** Each ingredient must be accurately weighed.
- **Comminution:** If any material is coarse, it must be finely powdered.

- **Mixing:** Done using geometric dilution to ensure uniform distribution.
- **Packaging:** Divided powders are wrapped in cachets or sachets; bulk powders in wide-mouthed containers.
- **Labeling:** Should mention dose, route, storage, and auxiliary instructions.

## 5. Dusting Powders and Effervescent Powders

### 5.1 Dusting Powders

#### 5.1.1 Definition

Dusting powders are **finely divided, non-irritating powders** meant for **external application** on the skin to provide a **soothing, protective, antiseptic, or absorbent effect**.

They are **not intended for application on open wounds** unless they are **sterile**.

#### 5.1.2 Classification

Type	Use
<b>Medical (Therapeutic)</b>	Antiseptic, antifungal, or protective functions
<b>Surgical</b>	Used during surgery, must be <b>sterile</b>
<b>Cosmetic</b>	Talcum powder, deodorants for personal care

#### 5.1.3 Desirable Properties

- **Free-flowing** and fine
- **Non-irritating**
- Chemically and physically **stable**
- **Sterile** (especially surgical types)
- Free from gritty materials

#### 5.1.4 Common Ingredients

Substance	Function
<b>Talc</b>	Lubricant, absorbent
<b>Zinc oxide</b>	Protective, mild antiseptic
<b>Starch</b>	Absorbent
<b>Kaolin</b>	Adsorbent, soothing
<b>Salicylic acid</b>	Antifungal
<b>Boric acid</b>	Mild antiseptic

### 5.1.5 Official Examples

Preparation	Use
Dusting Powder IP	Antiseptic or antifungal external use
Zinc Oxide Dusting Powder	Protective for diaper rash, eczema
Surgical Dusting Powder	Applied to surgical gloves or instruments

## 5.2 Effervescent Powders

Effervescent powders are **granular or powdered mixtures** containing **acid and carbonate or bicarbonate salts** which **release carbon dioxide** when dissolved in water. This results in **effervescence**.

### 5.2.2 Composition

Ingredient	Function
Citric acid	Acid component
Tartaric acid	Acid component
Sodium bicarbonate	Alkali (effervescent base)
Active drug	Therapeutic agent
Sweetener/flavor	Palatability

### 5.2.3 Ideal Properties

- Quick and complete **effervescence**
- Pleasant **taste** (due to carbon dioxide release)
- Stable in **dry form**
- Easily **soluble** in water
- Releases **CO<sub>2</sub>** which can aid **gastric emptying** and reduce bloating

### 5.2.4 Method of Preparation

#### Dry/fusion method:

- Citric acid is used in crystalline form.
- The ingredients are **blended and heated gently** (not exceeding 60°C).
- Water in citric acid aids granule formation.

#### Wet method:

- A small amount of alcohol or water is added to prepare a **dough-like mass**, which is passed through a sieve and dried.

#### 5.2.5 Advantages

- Improves **taste and palatability**
- Increases **drug solubility**
- Enhances **absorption** due to fast dissolution
- Easy to carry and administer

#### 5.2.6 Disadvantages

- **Moisture sensitive** – requires tight packaging
- May cause **gastric distension** in some individuals
- Not suitable for **patients on sodium-restricted diets**

#### 5.2.7 Official Examples

Preparation	Use
<b>Effervescent Granules of Aspirin</b>	Analgesic, antipyretic
<b>Citric Acid + NaHCO<sub>3</sub> + Drug</b>	Antacids (e.g., Eno)
<b>ORS IP (Effervescent Type)</b>	Oral rehydration, faster fluid replacement

#### 5.3 Packaging and Storage

Type	Requirement
<b>Dusting powders</b>	Stored in <b>sifter-top containers</b>
<b>Effervescent powders</b>	Packed in <b>airtight, moisture-proof containers</b> (e.g., glass jars or aluminium tubes with desiccants)

### 6.1 Efflorescent Powders

#### 6.1.1 Definition:

**Efflorescent powders** are **crystalline substances** that contain **water of crystallization** and may **lose this water when exposed to air**, becoming **damp or liquefied**.

These powders **liberate water** upon standing in **dry or warm environments**, which can cause:

- Clumping
- Caking
- Inaccurate dosing

### 6.1.2 Examples of Efflorescent Substances

Substance	Water of crystallization	Comment
<b>Citric acid monohydrate</b>	1 molecule	Used in effervescent preparations
<b>Caffeine</b> (some forms)	Variable	May lose water during storage
<b>Atropine sulphate</b>	Crystalline form	Can liquefy in dry atmosphere
<b>Codeine phosphate</b>	Crystalline form	Tends to lose water on storage

### 6.1.3 Problems with Efflorescent Powders

- Loss of water leads to **inaccurate dosing**.
- Resultant moisture may **cause clumping** or affect **other ingredients** in a powder mixture.

### 6.1.4 Pharmaceutical Handling

- Mix with a **suitable absorbent** (e.g., light kaolin, starch).
- Use **anhydrous form** of the drug if possible.
- Store in **tightly closed, moisture-resistant containers**.
- Avoid unnecessary heating during drying.

## 6.2 Hygroscopic Powders

### 6.2.1 Definition:

**Hygroscopic powders** are substances that **absorb moisture from the air** but **do not liquefy** (unlike deliquescent substances).

The absorbed moisture can cause:

- Caking
- Clumping
- Degradation of the active ingredient

### 6.2.2 Examples of Hygroscopic Substances

Substance	Comment
<b>Magnesium oxide</b>	Common antacid and laxative
<b>Zinc chloride</b>	Strongly hygroscopic
<b>Calcium chloride</b>	Highly hygroscopic and deliquescent
<b>Ferric chloride</b>	Very moisture-sensitive

Note: **Some substances** may be both **hygroscopic and deliquescent**, depending on storage conditions.

### 6.2.3 Problems with Hygroscopic Powders

- **Loss of flowability**
- Affects **uniformity of dose**
- May lead to **chemical instability**

### 6.2.4 Pharmaceutical Handling

- Store in **airtight containers with desiccants**.
- Use **anhydrous or less hygroscopic substitutes** if available.
- Avoid exposure to **humid environment**.
- **Rapid dispensing and minimal handling** recommended.

### 6.3 Comparison Table: Efflorescent vs. Hygroscopic Powders

Parameter	Efflorescent Powders	Hygroscopic Powders
<b>Moisture Behavior</b>	<b>Lose water</b> of crystallization	<b>Absorb moisture</b> from air
<b>Effect</b>	Becomes <b>damp</b> , may cause other powders to clump	Becomes <b>clumpy</b> , sticky
<b>Action</b>	<b>Release</b> water	<b>Gain</b> water
<b>Examples</b>	Citric acid, Atropine sulphate	Magnesium oxide, Zinc chloride
<b>Handling</b>	Mix with absorbents; use anhydrous forms	Use desiccants; store in airtight containers

## 7.1 Eutectic Mixtures

A **eutectic mixture** is a combination of two or more solid substances that, when mixed together, form a **liquid or soft mass** due to **reduction in their melting points** below room temperature.

This happens even though each component is **solid at room temperature** on its own.

### 7.1.2 Mechanism

- Substances **interact physically** when mixed in powdered form.
- They **lower each other's melting point**.
- Result: A **semi-solid, oily, or liquefied mass** is formed.

### 7.1.3 Common Eutectic Substances

Substance	Melts when mixed with
Camphor	Menthol, thymol, phenol, salol
Menthol	Camphor, thymol, chloral hydrate
Thymol	Menthol, camphor
Phenol	Camphor
Chloral hydrate	Menthol

### 7.1.4 Pharmaceutical Problems

- Uncontrolled eutectic reaction leads to **liquefaction**, making the powder **unsuitable for packaging or dosing**.
- Creates **non-uniform mixtures**, affecting drug delivery.

### 7.1.5 Handling Techniques (as per RM Mehta)

- Mix eutectic substances **separately** with inert absorbent powders like:
  - Light kaolin
  - Talc
  - Starch
  - Magnesium carbonate
- Then **combine all mixtures** using **geometric dilution**.
- **Double-wrapping** may be required when dispensing in paper sachets.

### 7.1.6 Example Formulation Approach

To mix **camphor and menthol** (both eutectic):

1. Mix camphor with starch.
2. Mix menthol with light kaolin.
3. Combine both mixtures carefully.

## 7.2 Geometric Dilution

### Definition

**Geometric dilution** is a **pharmaceutical mixing method** used to ensure **uniform distribution** of a **small quantity of potent drug** with a large amount of diluent or excipient.

### 7.2.2 Principle

- Equal quantity of **drug and diluent** are mixed first.
- Then, **equal quantity of this mixture** is further mixed with diluent.
- Repeated until all the diluent is mixed.

### 7.2.3 Importance

- Ensures **homogeneous blending** of low-dose drugs (e.g., potent corticosteroids, antibiotics).
- Prevents **hot spots** or **uneven dosing**.
- Particularly used in **compounding of powders and granules**.

### 7.2.4 Step-by-Step Process

**Example:** Mix 1 g of potent drug with 15 g of lactose.

Step	Mixture
1	Mix 1 g drug + 1 g lactose → 2 g mixture
2	Add 2 g mixture + 2 g lactose → 4 g mixture
3	Add 4 g mixture + 4 g lactose → 8 g mixture
4	Add final 7 g lactose (if needed) and mix

This method uses **doubling technique** to maintain proportional blending.

### 7.2.5 Applications

- Compounding of **bulk powders** and **divided powders**.
- Preparation of **tablets** and **capsules** containing small doses.
- Used when mixing **eutectic powders** with absorbents.



## 8. Liquid Dosage Forms: Advantages and Disadvantages

**Liquid dosage forms** are **preparations containing one or more active ingredients** dissolved or suspended in a suitable liquid vehicle. They may be intended for **oral, parenteral, external, or mucosal administration**.

### 8.2 Types of Liquid Dosage Forms (Brief Overview)

Type	Example
<b>Solutions</b>	Syrups, elixirs, oral drops
<b>Suspensions</b>	Antacid suspensions
<b>Emulsions</b>	Cod liver oil, lotions
<b>Injectables</b>	IV fluids, vaccines
<b>Ear/Nasal Drops</b>	Nasal decongestants
<b>Gargles/Mouthwashes</b>	Chlorhexidine gargle
<b>Lotions/Liniments</b>	Calamine lotion

### 8.3 Advantages of Liquid Dosage Forms

#### 8.3.1 Accurate Dosing (Flexible Doses)

- Especially useful in **pediatrics** and **geriatrics**.
- Dose can be **easily adjusted** using a measuring cup, spoon, or dropper.

#### 8.3.2 Rapid Onset of Action

- No **disintegration** needed as in tablets.
- Drugs are **already dissolved or dispersed**, promoting faster absorption.

#### 8.3.3 Suitable for Certain Routes

- Ideal for **oral, topical, parenteral, rectal, and mucosal** administration.
- Useful for **local effects** (e.g., throat pain, skin infection).

#### 8.3.4 Ease of Swallowing

- Preferred in **patients who have difficulty swallowing** tablets or capsules, such as:
  - Infants
  - Elderly
  - Post-operative patients

### 8.3.5 Taste Masking

- Sweeteners and flavors can be added to **mask bitterness**.

### 8.3.6 Uniform Distribution

- For **multi-dose therapy**, suspensions/emulsions can be easily **shaken to redisperse**.

### 8.3.7 Better Bioavailability

- Especially in case of **solutions**, as drug is already in dissolved form.

## 8.4 Disadvantages of Liquid Dosage Forms

### 8.4.1 Poor Stability

- More prone to **chemical degradation**, hydrolysis, oxidation.
- Suspensions and emulsions may suffer **physical instability** (settling, creaming).

### 8.4.2 Shorter Shelf Life

- Due to microbial growth risk, they often require **preservatives**.
- Have **lower shelf stability** compared to solids.

### 8.4.3 Inconvenient Packaging and Transport

- Require **bulkier, leak-proof containers** (glass/plastic bottles).
- **Breakable**, heavier, and more expensive to ship.

### 8.4.4 Dosage Inaccuracy

- Patient-administered doses can vary due to **improper measuring** (especially without calibrated devices).

### 8.4.5 Unpleasant Taste

- If not properly flavored or sweetened, some drugs (e.g., metronidazole) may still taste bitter.

### 8.4.6 Storage Conditions

- Sensitive to **light, air, temperature**, and **microbial contamination**.
- Require **airtight, often amber-colored containers**.

### 8.4.7 Preservative Issues

- Preservatives like **parabens** or **benzalkonium chloride** may cause **allergic reactions** or instability in sensitive formulations.

## 9. Excipients Used in Formulation of Liquid Dosage Forms

## Introduction

Excipients are **pharmaceutically inactive substances** added to a formulation alongside the active pharmaceutical ingredient (API) to improve **stability, palatability, solubility, viscosity, appearance, and patient compliance**. In liquid dosage forms, excipients perform **functional roles** such as solubilizing the drug, enhancing taste, preventing microbial growth, or improving viscosity and pourability.

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## Types of Excipients and Their Functions

### Vehicles (Solvents)

Vehicles are the **major component** in liquid dosage forms. They act as carriers for active ingredients.

- **Aqueous Vehicles:** Purified water, aromatic water, syrup, water for injection
- **Non-aqueous Vehicles:** Alcohol, glycerin, propylene glycol, oils (e.g., castor oil, sesame oil)  
These solvents dissolve or suspend the drug and provide volume to the preparation.

### Preservatives

Preservatives are added to prevent **microbial contamination** in multi-dose liquid products. They are essential for maintaining product safety and shelf life.

Examples include:

- Parabens (methylparaben, propylparaben)
- Benzalkonium chloride
- Sodium benzoate
- Sorbic acid  
Selection depends on the pH and nature of the formulation.

### Sweetening Agents

These are added to improve **taste and palatability**, especially important in pediatric and oral preparations.

Examples include:

- Sucrose (most common)
- Sorbitol
- Saccharin sodium
- Aspartame
- Stevia (natural alternative)

### Flavoring Agents

Used to **mask unpleasant taste or odor** of drugs. Choice of flavor depends on the drug's characteristics and patient preference.

- For bitter drugs: Chocolate, mint, raspberry
- For sour drugs: Orange, lemon
- For salty drugs: Butterscotch, vanilla

### Coloring Agents

Color improves **aesthetic appeal** and helps in product identification.

Examples:

- Tartrazine, sunset yellow, amaranth (synthetic)
  - Natural colors like caramel or beetroot extract
- Colors used must be **non-toxic and approved by regulatory bodies**.

### Buffers

Buffers maintain the **pH of the preparation** to ensure stability and solubility of the drug.

Examples:

- Citrate buffer
  - Phosphate buffer
  - Acetate buffer
- Proper pH also enhances preservative efficacy.

### Antioxidants

Antioxidants prevent **oxidative degradation** of the drug, especially in vitamins and oils.

Examples:

- Sodium metabisulfite
- Ascorbic acid
- Butylated hydroxyanisole (BHA)
- Butylated hydroxytoluene (BHT)

### Chelating Agents (Sequestering Agents)

These bind **metal ions** that catalyze oxidation, enhancing antioxidant effectiveness.

Examples:

- EDTA (ethylenediaminetetraacetic acid)
- Citric acid

### Viscosity Enhancers / Thickening Agents

Used to **improve mouthfeel**, suspend particles in suspensions, and enhance stability.

Examples:

- Methylcellulose
- Hydroxypropyl methylcellulose (HPMC)
- Acacia
- Xanthan gum
- Sodium carboxymethylcellulose (NaCMC)

### **Emulsifying Agents**

Used in **emulsions** to stabilize the mixture of immiscible liquids like oil and water.

Examples:

- Tween 80 (polysorbate 80)
- Span 20
- Lecithin
- Gum acacia

### **Suspending Agents**

Used in **suspensions** to keep solid particles uniformly distributed throughout the liquid.

Examples:

- Bentonite
- Tragacanth
- Sodium alginate
- Carbopol

### **Solubilizing Agents / Co-solvents**

Used when the drug is **poorly soluble in water**. They help to increase solubility.

Examples:

- Alcohol
- Glycerin
- Propylene glycol
- Polyethylene glycol (PEG)

### **Surface-Active Agents (Surfactants)**

Reduce surface tension and aid in **wetting, solubilization, and emulsification**.

Examples:

- Sodium lauryl sulfate
- Polysorbates
- Sorbitan esters

## 10. Solubility Enhancement Techniques

### Introduction

Many drugs have **poor aqueous solubility**, which limits their **bioavailability**, especially in oral dosage forms. Therefore, **enhancing solubility** is a major challenge in formulation development. Solubility enhancement techniques are strategies used to **increase the solubility and dissolution rate** of poorly soluble drugs, thereby improving their **therapeutic effectiveness**.

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### Classification of Solubility Enhancement Techniques

Solubility can be enhanced by using **physical, chemical, and miscellaneous methods**. Each method is selected based on **drug characteristics** and **intended dosage form**.

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#### A. Physical Methods

##### Particle Size Reduction

Reducing particle size increases **surface area**, enhancing the rate of dissolution.

Techniques:

- Micronization
- Nanosuspension
- Milling or grinding

##### Modification of the Crystal Habit

Converting the drug from **crystalline to amorphous form** (which has higher energy) improves solubility.

- Amorphous solids are more soluble than crystalline solids.

##### Use of Surfactants

Surfactants reduce **interfacial tension** and increase **wetting of drug particles**, leading to improved solubility.

Examples:

- Sodium lauryl sulfate
- Polysorbate 80 (Tween 80)
- Cremophor RH

##### Use of Co-solvents

Addition of a **water-miscible organic solvent** increases drug solubility in aqueous systems.

Common co-solvents:

- Ethanol

- Glycerin
- Propylene glycol
- PEG 400

### **Solid Dispersion Technique**

The drug is dispersed in an inert, hydrophilic carrier in the **solid state**, enhancing solubility and dissolution.

Carriers used:

- Polyvinylpyrrolidone (PVP)
- PEG
- Urea

Methods:

- Melting method
- Solvent evaporation method

### **Complexation**

The drug is complexed with another substance that improves its solubility.

Most common:

- Cyclodextrin complexes ( $\beta$ -cyclodextrin)  
These form inclusion complexes where the drug molecule is trapped in the hydrophobic cavity.

### **Hydrotrophy**

A large amount of a **hydrotropic agent** is added to increase the solubility of a poorly soluble drug.

Examples:

- Sodium benzoate
- Sodium salicylate
- Urea

## **B. Chemical Methods**

### **Salt Formation**

Converting the drug into its **salt form** enhances aqueous solubility.

Example:

- Aspirin (acid)  $\rightarrow$  Sodium salicylate (salt)  
This is suitable for drugs with **ionizable functional groups**.

### **pH Adjustment**

Altering the **pH of the solvent system** can improve solubility of **weak acids and bases**.

- Weak acids dissolve better in alkaline pH.
- Weak bases dissolve better in acidic pH.

### **Prodrug Approach**

The drug is chemically modified into a **more soluble derivative** (prodrug), which is converted into the active drug in the body.

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## **C. Miscellaneous Techniques**

### **Use of Superdisintegrants**

Used in solid dosage forms like tablets to **promote rapid disintegration** and improve dissolution.

Examples:

- Cross-linked PVP
- Sodium starch glycolate

### **Micellar Solubilization**

Use of surfactants above their **critical micelle concentration (CMC)** to form **micelles**, which solubilize the drug inside their hydrophobic core.

### **Self-Emulsifying Drug Delivery Systems (SEDSS)**

A mixture of oil, surfactant, and drug that **spontaneously emulsifies** in the GIT to improve drug solubility and absorption.

### **Nanotechnology-Based Methods**

Nanoparticles and nanosuspensions provide **high surface area**, increasing dissolution and solubility.