

# Unleashing the Power of Pre-trained Language Models for Semantic Parsing

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Joint Ph.D. of BUAA and MSRA

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# Self Introduction

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**Qian Liu** Joint Ph.D. of BUAA and MSRA

## ■ Education Background

- B.S. and Ph.D. in Beihang University, Computer Science
- Ph.D. Supervisor: Qinping Zhao & Jian-Guang Lou
- Internship at MSRA from July 2016 to Now

## ■ Research Interest

- Natural Language Processing
- Semantic Parsing

# Research Problem: AI V.S. Programmer?

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# Research Vision: AI + Programming

The screenshot shows a Microsoft Excel spreadsheet titled "Sales.xlsx" open on a tablet. The spreadsheet contains a table of sales data with columns: Year, Category, Product, Sales, and Rating. The data spans from 2015 to 2017, listing various items like Components, Clothing, and Accessories with their respective sales figures and ratings. The Excel interface includes a ribbon with Home, Insert, Data, Review, View, and Help tabs, along with various toolbars and a status bar at the bottom.

Year	Category	Product	Sales	Rating
2017	Components	Chains	\$ 20,000	75%
2015	Clothing	Socks	\$ 3,700	22%
2017	Clothing	Bib-Shorts	\$ 4,000	22%
2015	Clothing	Shorts	\$ 13,500	56%
2017	Clothing	Tights	\$ 36,000	100%
2015	Components	Handlebars	\$ 2,300	35%
2016	Clothing	Socks	\$ 2,300	28%
2016	Components	Brakes	\$ 3,400	36%
2016	Bikes	Mountain Bikes	\$ 6,300	40%
2016	Components	Brakes	\$ 5,400	38%
2016	Accessories	Helmets	\$ 17,000	90%
2016	Accessories	Lights	\$ 21,600	90%
2016	Accessories	Locks	\$ 29,800	90%
2015	Components	Bottom Brackets	\$ 1,000	23%
2015	Clothing	Jerseys	\$ 1,000	5%
2017	Components	Bottom Brackets	\$ 600	27%
2015	Bikes	Road Bikes	\$ 3,500	50%
2017	Clothing	Jerseys	\$ 7,500	40%
2017	Accessories	Tires and Tubes	\$ 63,700	90%
2017	Bikes	Cargo Bike	\$ 9,300	60%
2012	Bikes	Mountain Bikes	\$ 8,300	66%
2017	Accessories	Bike Racks	\$ 33,700	92%
2017	Clothing	Caps	\$ 600	15%
2015	Bikes	Mountain Bikes	\$ 3,100	35%
2017	Accessories	Pumps	\$ 30,700	95%
2016	Accessories	Pumps	\$ 16,400	80%
2016	Accessories	Bike Racks	\$ 22,100	90%
2017	Accessories	Helmets	\$ 34,000	95%

# Key Technology: Semantic Parsing

Translate a user's natural language sentences into machine-executable formal programming language (e.g., SQL) to accomplish relevant tasks.

CARS DATA	
	Id
	Weight
	Horsepower

CARS NAMES	
Makeld	
Model	
Make	



What is the car weight with the max horsepower?

```
SELECT Weight FROM CARS_DATA  
ORDER BY Horsepower DESC LIMIT 1
```

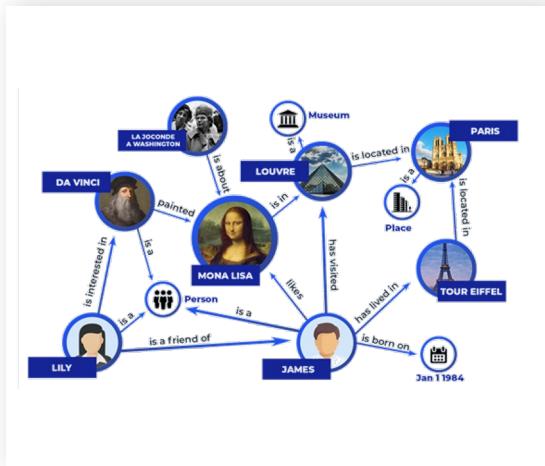


3693

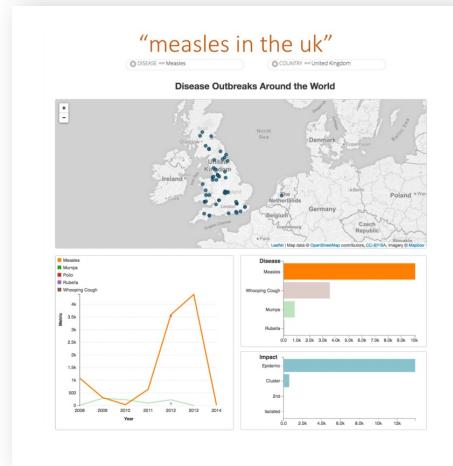


# Key Technology: Semantic Parsing

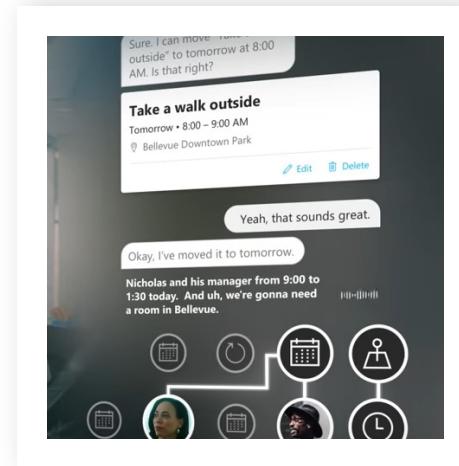
Semantic parsing techniques has been widely applied in various applications and relieve users from the burden of learning programming.



Information Retrieval



Data Analysis



Virtual Assistants

# Unleashing the Power of Pre-trained Language Models



## Part 1: Towards Interpretable Parser

Grounding power of PLMs helps build interpretable semantic parsers.



## Part 2: Towards Cost-Effective Parser

Learning latent program helps build cost-effective semantic parsers.



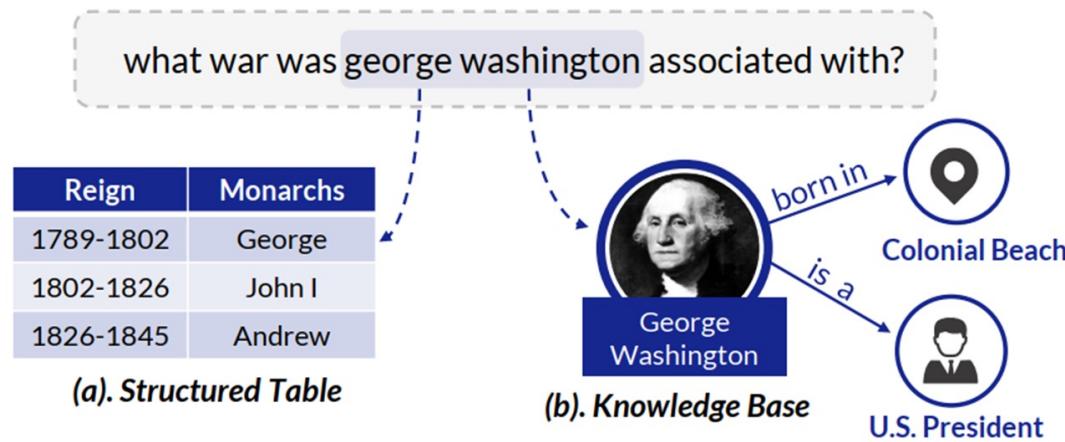
## Part 3: Towards Synthesized Parser

Generalizing power of PLMs helps build synthesized semantic parsers.

Liu Q et al, Awakening Latent Grounding from Pretrained Language Models for Semantic Parsing. **ACL 2021 Findings**.

# Background: Grounding

- Broadly speaking, Grounding means “connect varying linguistic symbols to real-world perception”. For example, **george washington** can be grounded into either a cell value in a structured table, or an entity in knowledge bases.



[Roy. 2005]

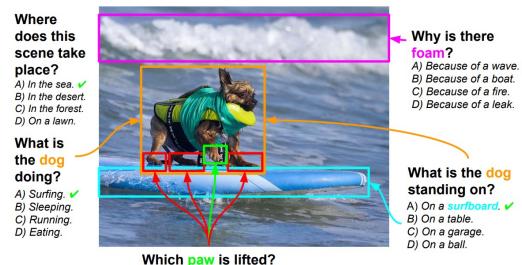
# Background: Grounding

- Grounding improves **interpretability** and shows **better performance** empirically across different downstream tasks than without grounding.

## Video Descriptions



## Visual Question Answering



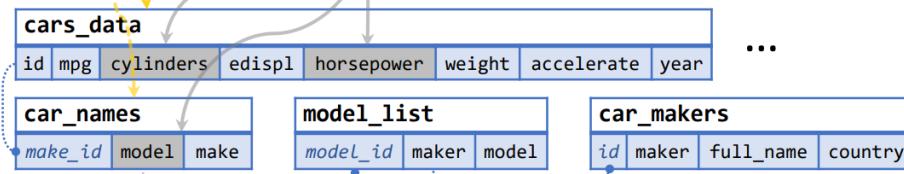
# Background: Grounding

- Grounding improves **interpretability** and shows **better performance** empirically across different downstream tasks than without grounding.

## Semantic Parsing

Natural Language Question:  
For the cars with 4 cylinders, which model has the largest horsepower?

Schema:



Desired SQL:

```
SELECT T1.model  
FROM car_names AS T1 JOIN cars_data AS T2  
ON T1.make_id = T2.id  
WHERE T2.cylinders = 4  
ORDER BY T2.horsepower DESC LIMIT 1
```

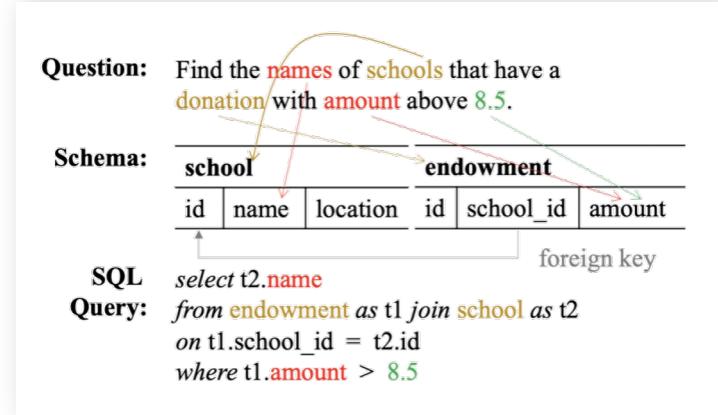
- Question → Column linking (unknown)
- Question → Table linking (unknown)
- Column → Column foreign keys (known)

[Wang et al. 2020]

# Previous Approaches

- **Heuristic** : Rely on **high-quality** lexicons or ad-hoc heuristic rules like n-gram matching. It often suffers from **poor flexibility**.

Lexicon	Semantics
Nations	nation
Borders	next_to_1
Publication date	publication_time
Who	author



[Lei et al. 2020]

# Previous Approaches

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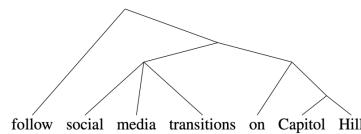
- **Supervised Learning** 💰: Collect grounding annotations as supervision. High modeling flexibility but requires **expensive annotations** of grounding.
- **Reinforcement Learning** ⏳: Leverage rewards from semantic parsing models to train a grounding model. However, it is usually **hard to train**.



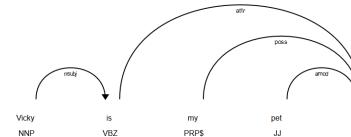
Can we learn a flexible grounding model end-to-end without grounding supervision?

# When PLMs Meet Grounding

- Considering that PLMs have been shown to perform well in inducing syntactic structures, we hypothesize PLMs also excel at grounding.



Constituency Tree



Dependency Tree

- Then the research question turns to be:

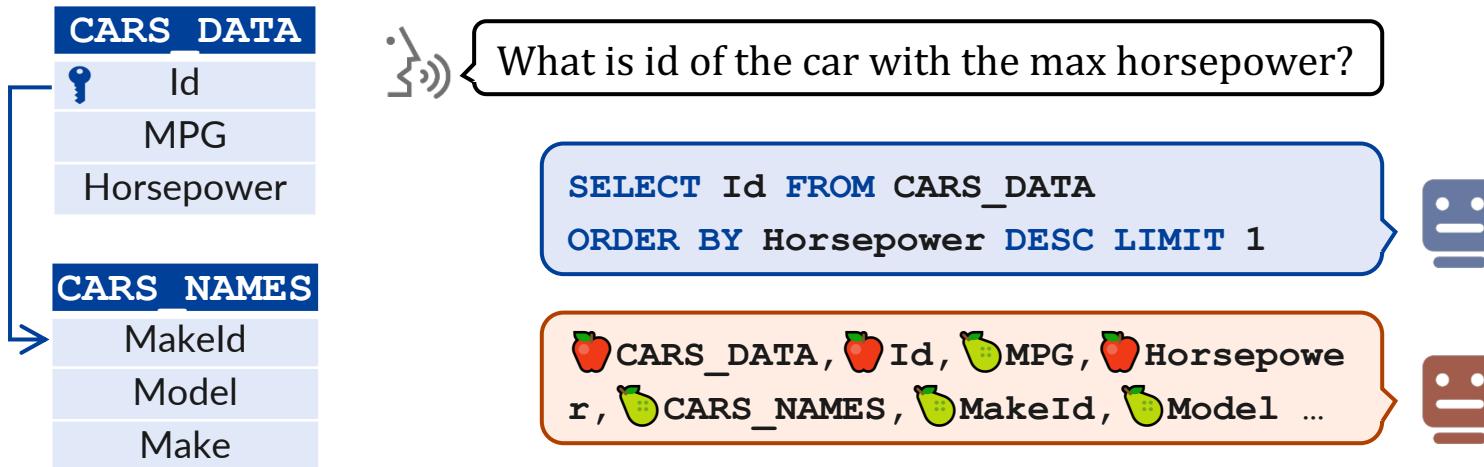


How to induce grounding from PLMs?

[Hewitt and Manning, 2019; Wu et al. 2020]

# Step 1: Train An Auxiliary Module

- Train a PLM to identify whether a schema is mentioned in a question, whose supervision can be obtained from semantic parsing datasets.



[Liu et al. 2021]

# Step 1: Train An Auxiliary Module

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- Given a question and a schema, the auxiliary module can be used to give the **model confidence** of a schema being mentioned in a question.

Question	Schema	Confidence
How many total games were at braly stadium	Venue	0.92

# Step 2: Erasing Tokens



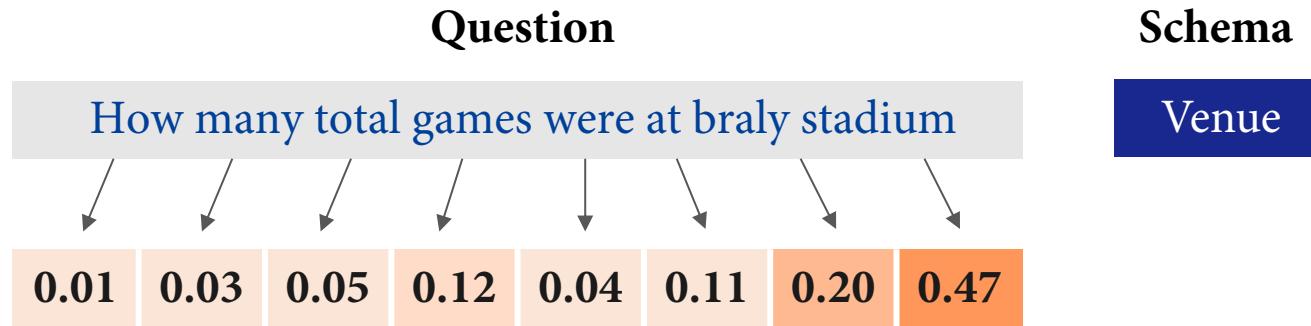
- Erasing “How” and feed the erased question into the module to obtain the confidence, and calculate the confidence difference after erasing.

Question	Schema	Confidence
How many total games were at braly stadium	Venue	0.92
Erased Question	Schema	Confidence
How many total games were at braly stadium	Venue	0.91
Difference		0.01

## Step 2: Erasing Tokens



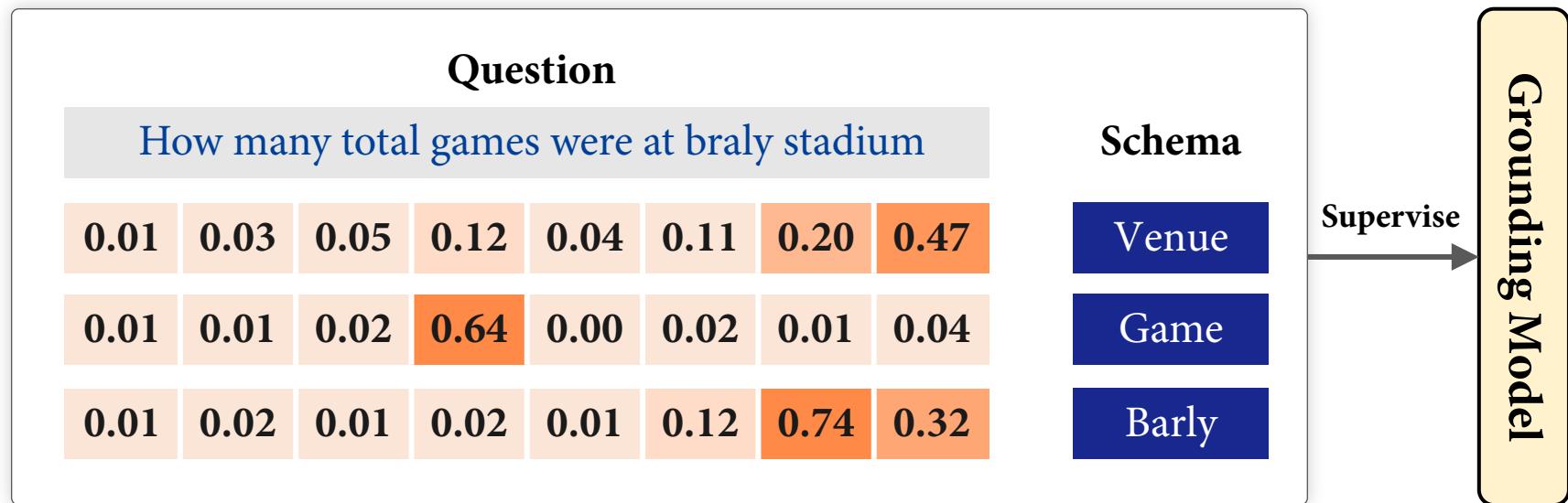
- By **erasing** tokens in the question **one by one**, we can find which tokens are important for the module when predicting the schema “Venue”.



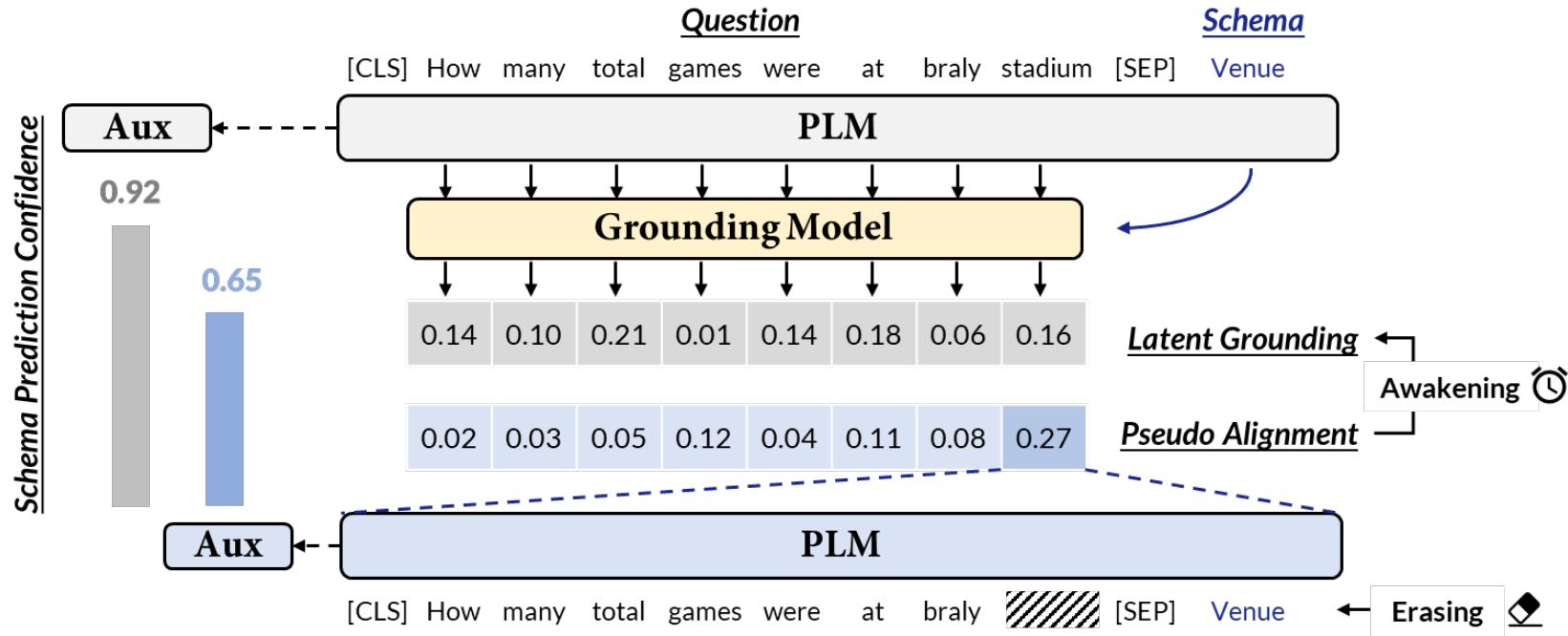
# Step 3: Awakening Latent Grounding



- We obtain a **question-to-schema matrix** after repeating the above over all schemas. Finally, the matrix can be used to train a standalone grounding model.

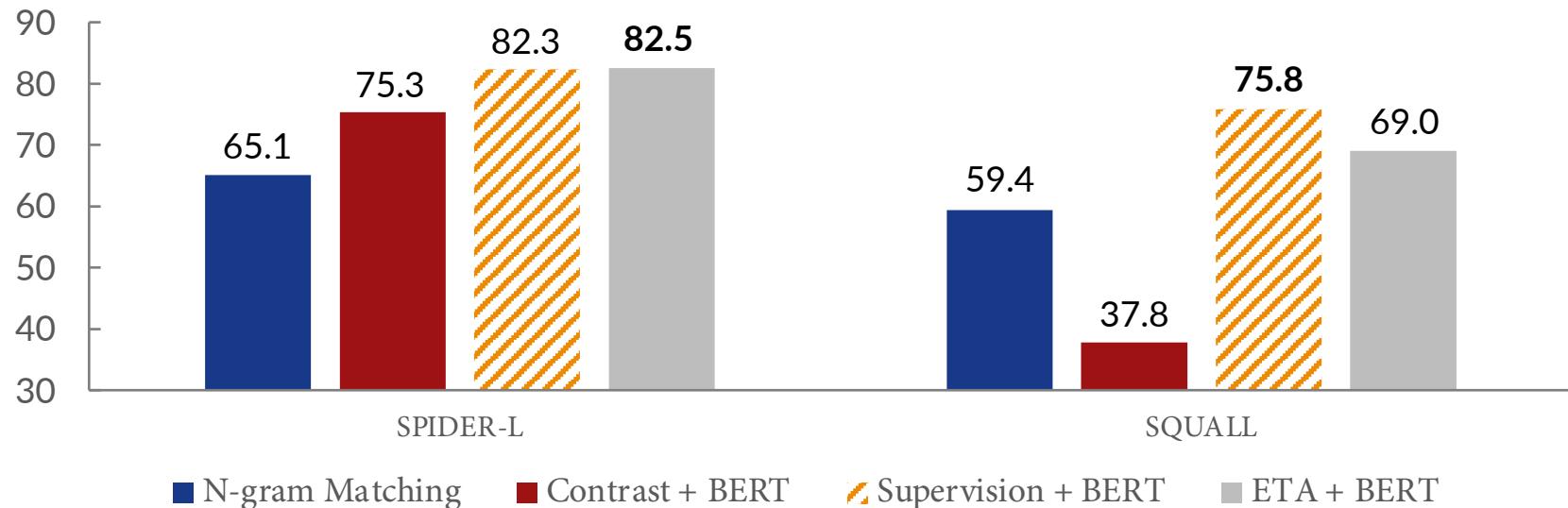


# Overview: Erasing-then-Awakening (ETA)



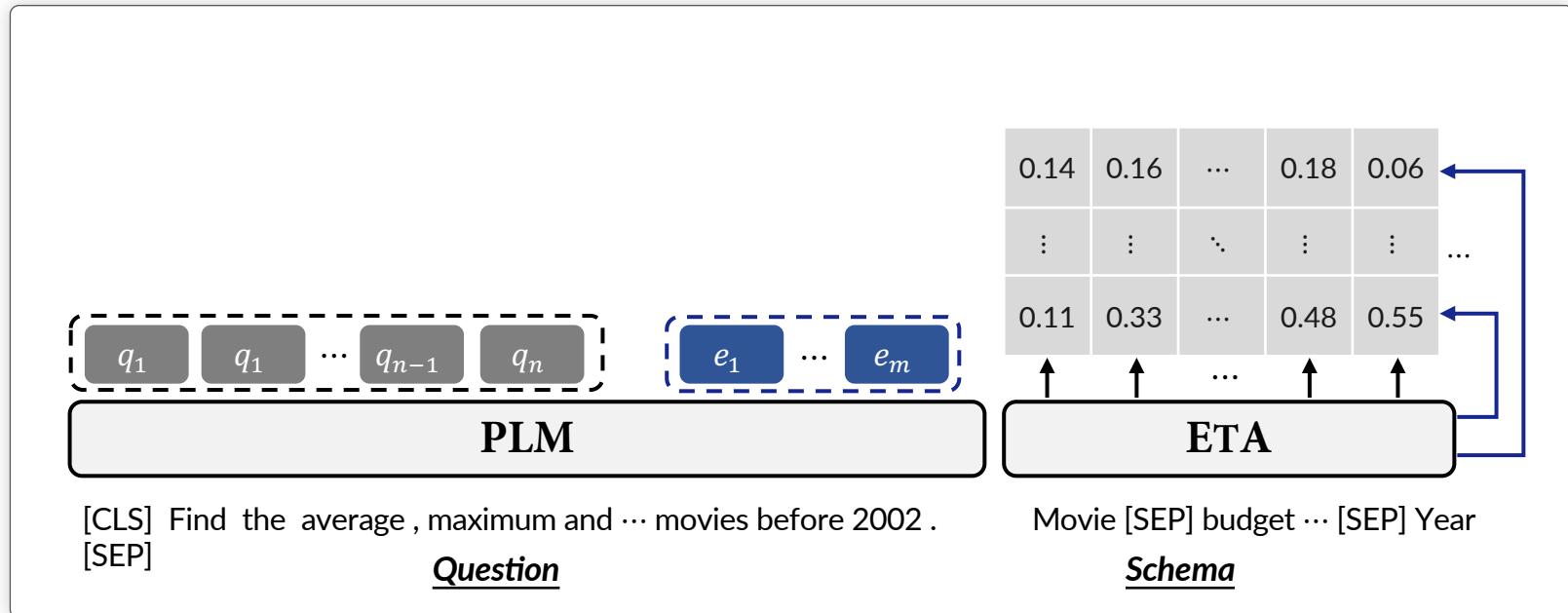
# Results: Entity Grounding

- Although not trained under fine-grained grounding supervision, our model ( ) is comparable with or slightly worse than the supervised model ( ).



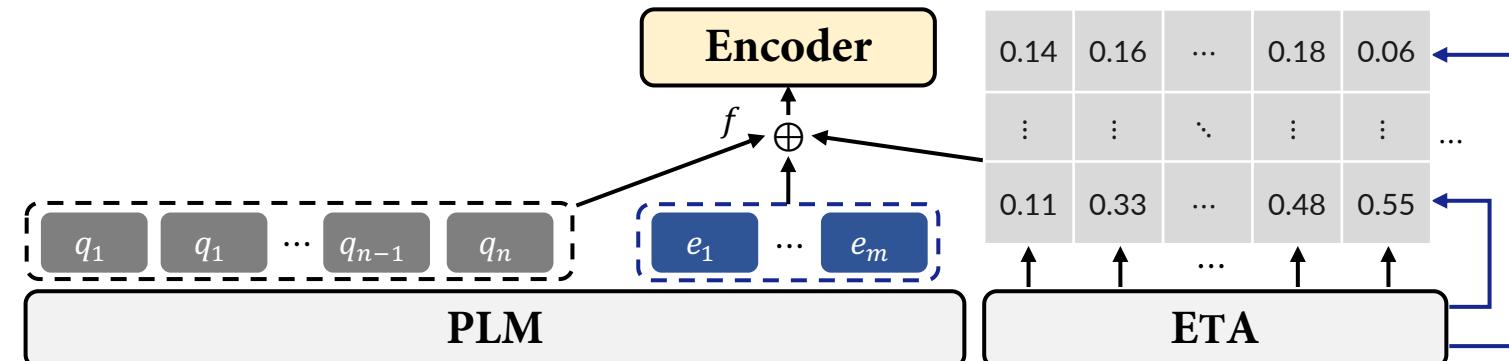
# Couple with Semantic Parser

- The grounding model could couple with semantic parsers as **the schema prior**.



# Couple with Semantic Parser

- Concretely, the parser leverages grounding to produce schema-enhanced question representations.



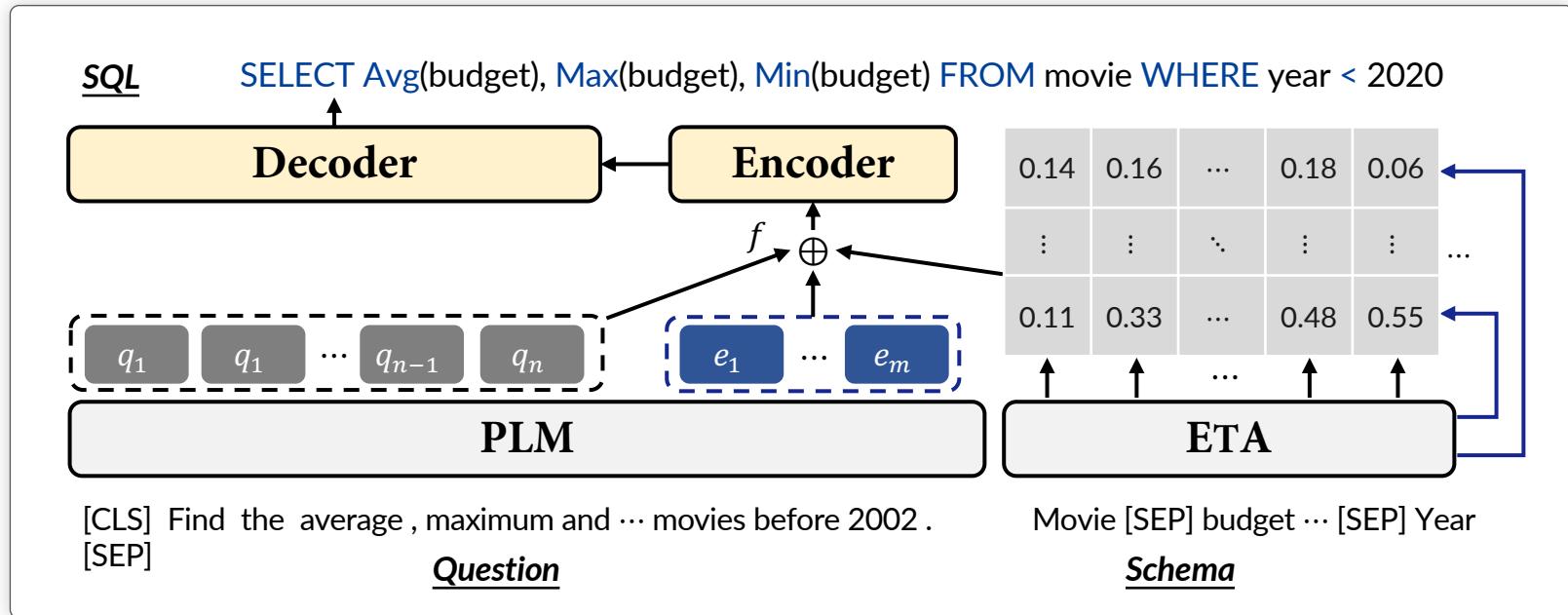
[CLS] Find the average , maximum and ... movies before 2002 .  
[SEP]

Question

Movie [SEP] budget ... [SEP] Year  
Schema

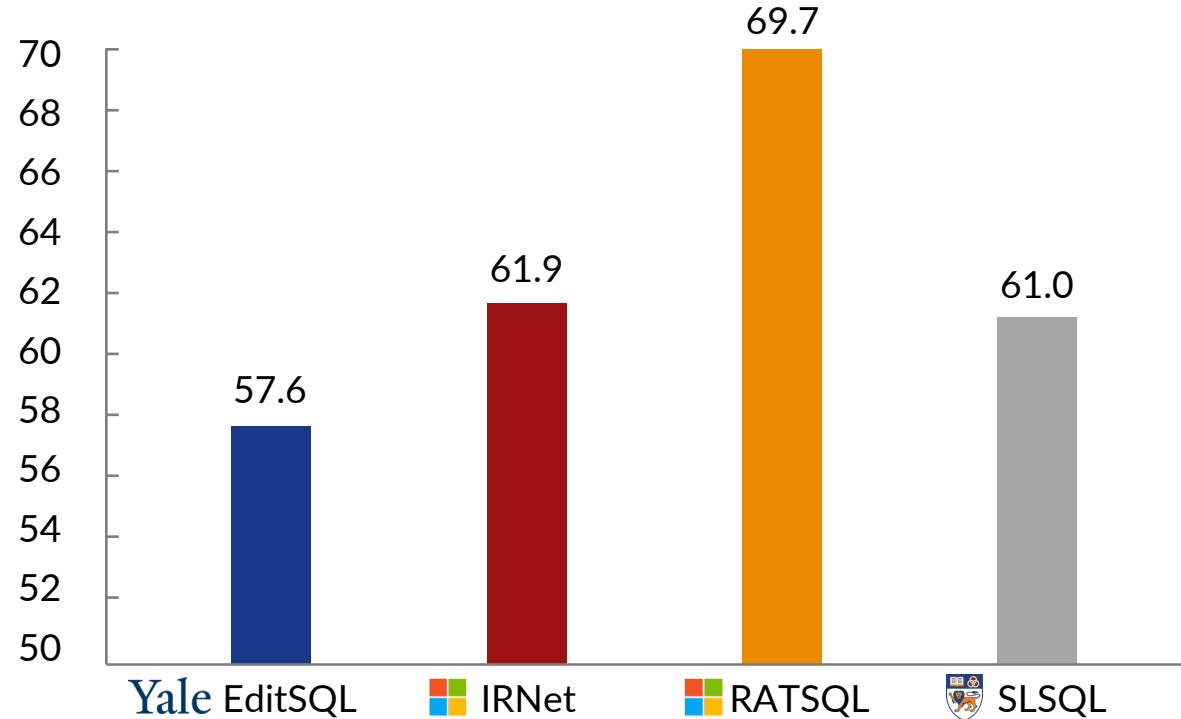
# Couple with Semantic Parser

- The decoder predicts the SQL by attending on the schema-enhanced states.



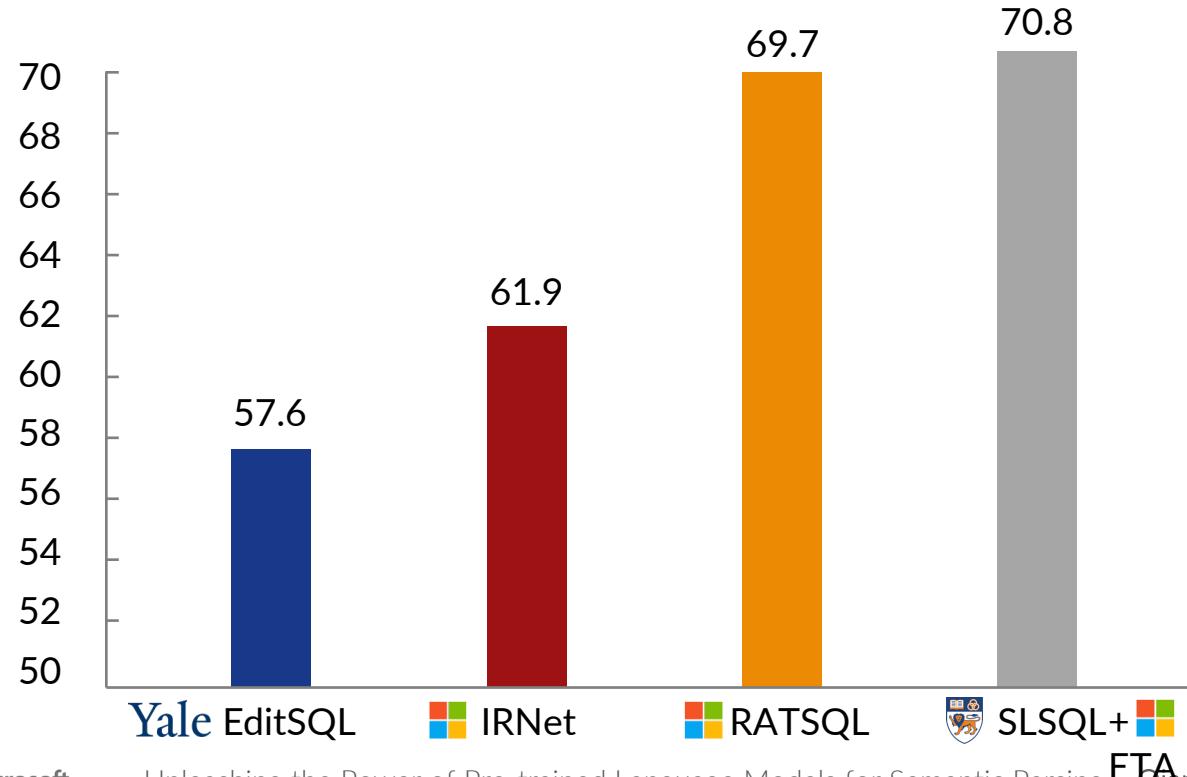
# Result: Semantic Parsing

- ETA boosts existing parsers **significantly** (Spider, WTQ) with good interpretability.



# Result: Semantic Parsing

- ETA boosts existing parsers **significantly** (Spider, WTQ) with good interpretability.



# Towards Interpretable Parser

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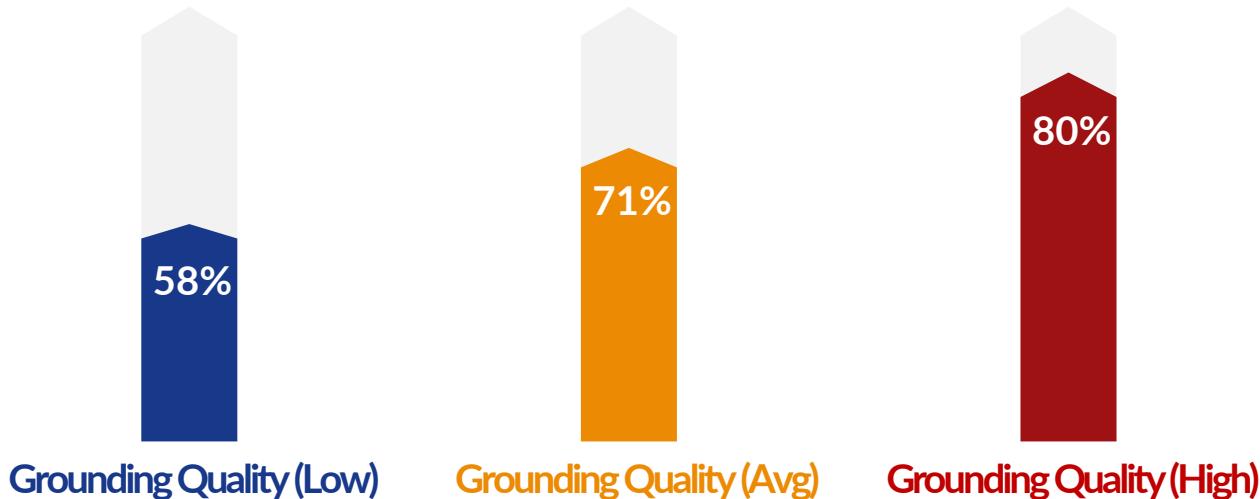
- Grounding provide us a useful guide to understand a semantic parser.

Question with Alignment	SQL with Alignment
1. Show <code>name</code> <sub>1</sub> , <code>country</code> <sub>2</sub> , <code>age</code> <sub>3</sub> for all <code>singers</code> <sub>4</sub> ordered by <code>age</code> <sub>5</sub> from the <code>oldest</code> <sub>5</sub> to the <code>youngest</code> <sub>5</sub> .	<code>SELECT name</code> <sub>1</sub> , <code>country</code> <sub>2</sub> , <code>age</code> <sub>3</sub> FROM <code>singer</code> <sub>4</sub> <code>ORDER BY age</code> <sub>5</sub> DESC
2. <code>Where</code> <sub>1</sub> is the <code>youngest</code> <sub>2</sub> <code>teacher</code> <sub>3</sub> from?	<code>SELECT hometown</code> <sub>1</sub> FROM <code>teacher</code> <sub>3</sub> ORDER BY <code>age</code> <sub>2</sub> ASC LIMIT 1
3. For each <code>semester</code> <sub>1</sub> , what is the <code>name</code> <sub>2</sub> and <code>id</code> <sub>3</sub> of the one with the most students <code>registered</code> <sub>4</sub> ?	<code>SELECT semester_name</code> <sub>2</sub> , <code>semester_id</code> <sub>3</sub> FROM <code>semesters</code> <sub>1</sub> JOIN <code>student_enrolment</code> <sub>4</sub> ON <code>semesters.semester_id</code> = <code>student_enrolment.semester_id</code> GROUP BY <code>semester_id</code> <sub>3</sub> <code>ORDER BY COUNT(*) DESC LIMIT 1</code>

# Towards Interpretable Parser

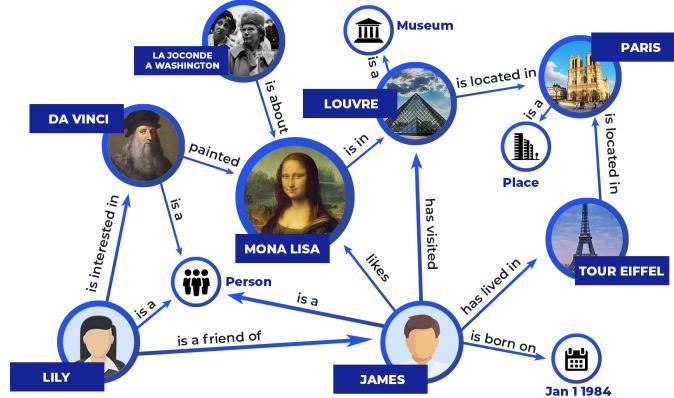
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- The parsing performance highly depends on the grounding. When the grounding quality is high, the predicted SQL correctness can be up to 80.17%.
- It opens a way to perform hot fixes on semantic parsers via lexicons.



# Insight: Knowledge from Pre-trained Language Model

Pre-trained language models may be good knowledge bases, and we can try to exploit **these knowledge** in a more explicit way.



# Unleashing the Power of Pre-trained Language Models(PLMs)



## Part 1: Towards Interpretable Parser

Grounding power of PLMs helps build interpretable semantic parsers.



## Part 2: Towards Cost-Effective Parser

Learning latent program helps build cost-effective semantic parsers.



## Part 3: Towards Synthesized Parser

Generalizing power of PLMs helps build synthesized semantic parsers.

Liu Q et al, TAPEX: Table Pre-training via Learning a Neural SQL Executor. **Under Review**.

# Strong Supervision ✕ Weak Supervision

## Strong Supervision



What is id of the car with the max horsepower?



```
SELECT Id FROM CARS_
DATA ORDER BY Horsepower DESC LIMIT 1
```

## Weak Supervision



Greece held its last Summer Olympics in which year?



```
SELECT Year FROM Olympics ORDER BY Year DESC LIMIT 1
```



2004

[Pasupat and Liang et al. 2015]

# Strong Supervision ✕ Weak Supervision

## Strong Supervision

- **Annotation 📄**: domain experts who can write programs.  

- **Cost of Data 💸**: 1700 USD for <3K python code [Yin et al. 2018].
- **Performance 🔥**: programs as prior for understanding questions.

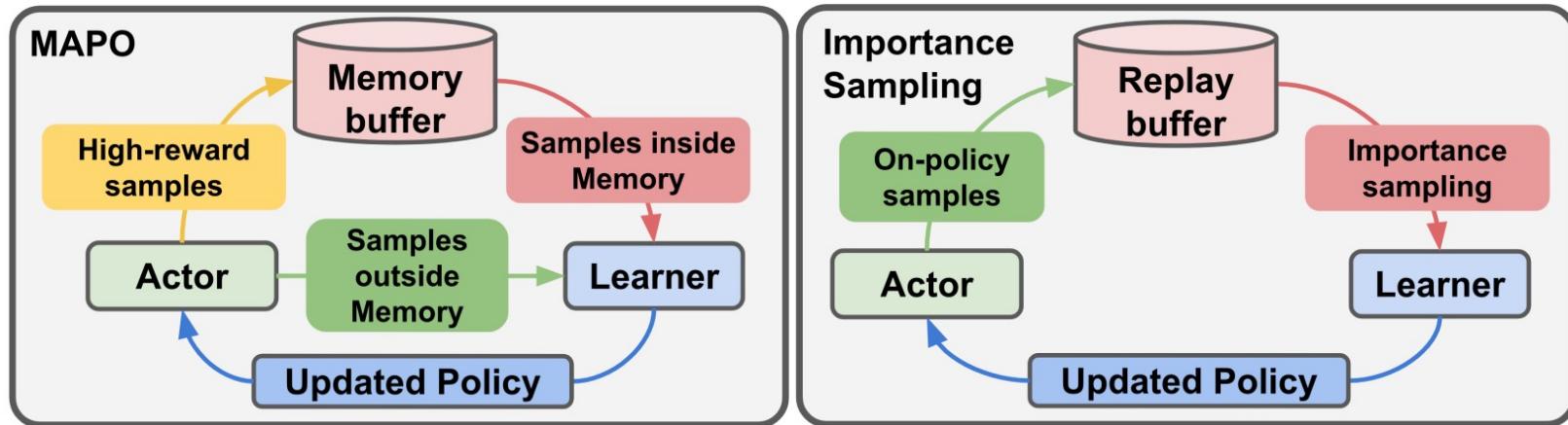
## Weak Supervision

- **Annotation 🔥**: users who want to seek information.  

- **Cost of Data 🔥**: <0.1 USD per answer [Reddy et al. 2018].
- **Performance 💸**: suffer from the issue of spurious programs.

# Previous Approaches

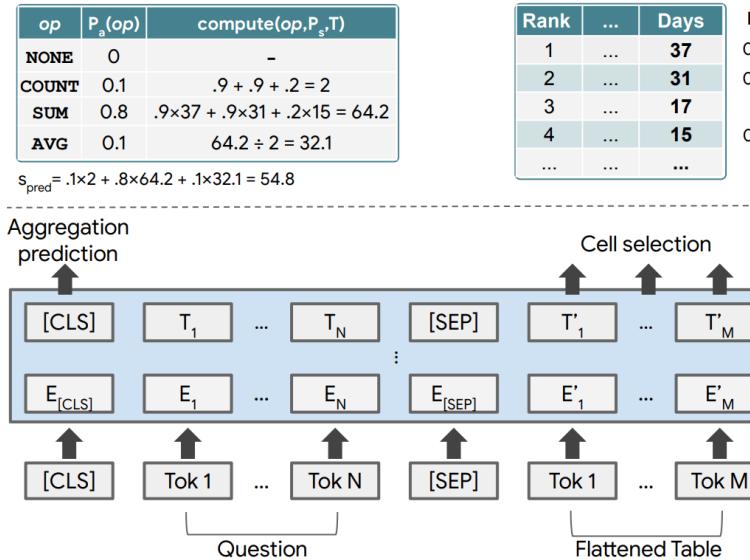
- **Reinforcement Learning:** Obtain rewards by comparing execution results with golden answers to train a semantic parser. **Hard to scale** to complex scenarios.



[Chen et al. 2018]

# Previous Approaches

- **Table Parsing:** Predict answer by selecting table cell values and optionally applying an aggregation operator to the selected region. **Flexibility is limited.**

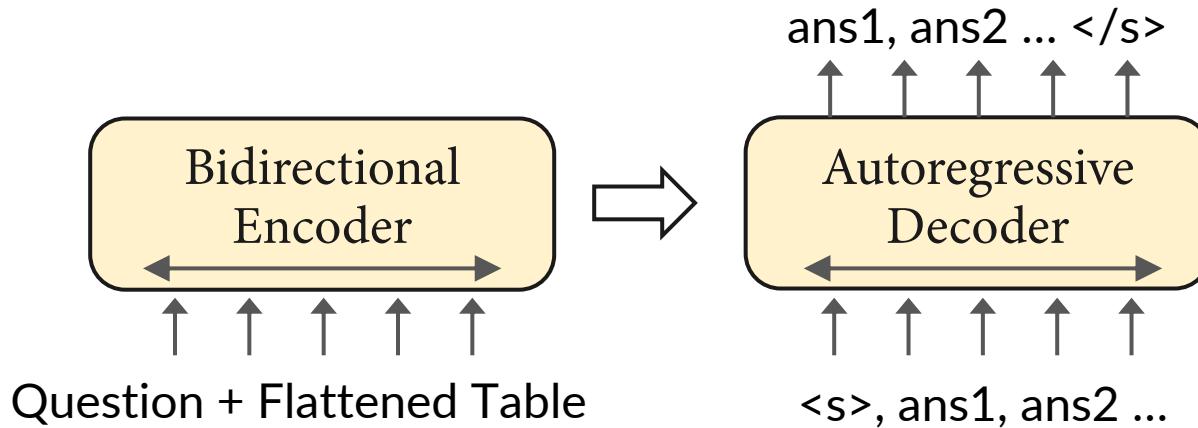


[Herzig et al. 2020]

# Method: Generative Weakly Semantic Parsing

We formulate the task of weakly-supervised semantic parsing as [answer generation](#), and leverages generative PLMs (e.g., BART) to output autoregressively.

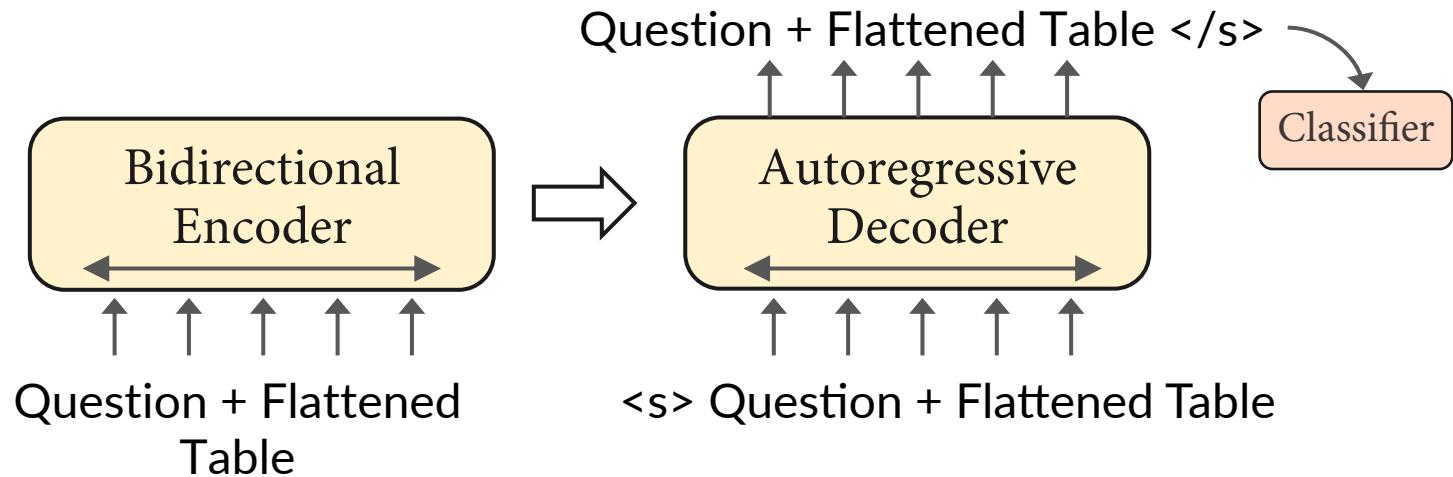
## *Table-based Question Answering*



# Method: Generative Weakly Semantic Parsing

We formulate the task of weakly-supervised semantic parsing as [answer generation](#), and leverages generative PLMs (e.g., BART) to output autoregressively.

## Table-based Fact Verification



# Preliminary: Models Are Still Data-Hungry

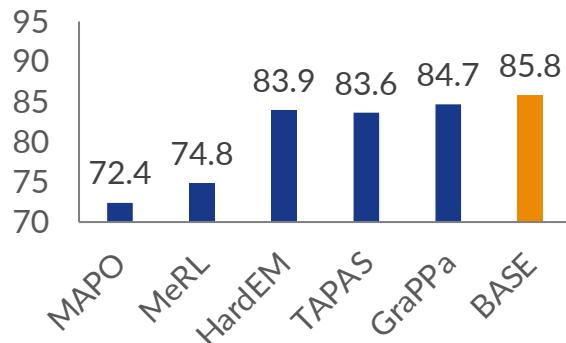
## Flexibility

Flexible to adapt to different tasks, including simple QA, complex QA, dialogue QA, table fact verification.

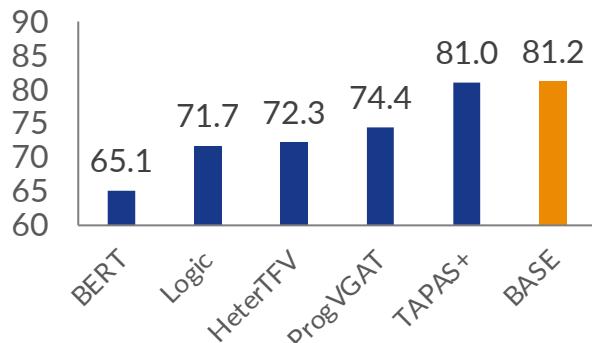
## Data-Hungry

Relatively small data amount (SQA and WikiTableQuestions) result in large performance degradations.

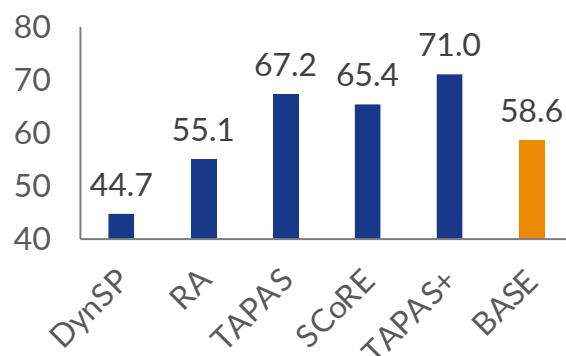
WikiSQL (Weak)



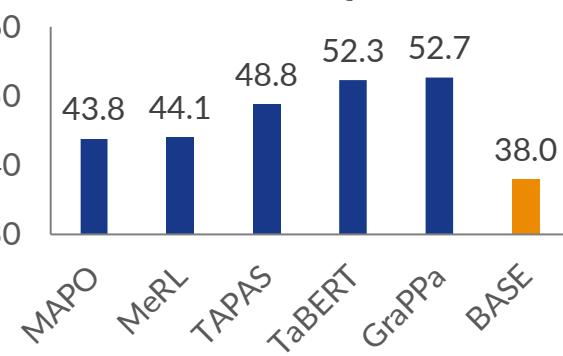
TabFact



SQA

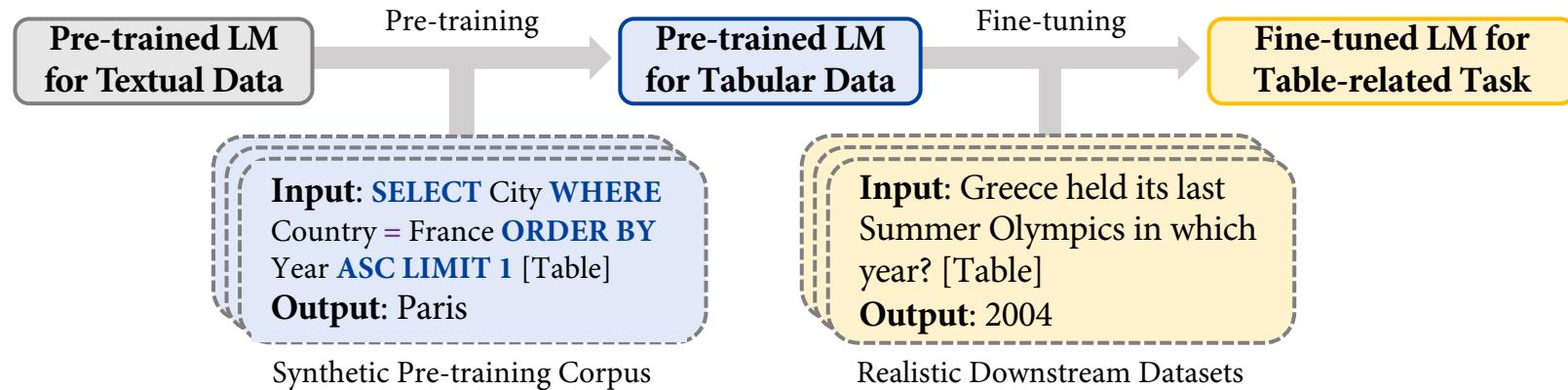


WikiTableQuestions



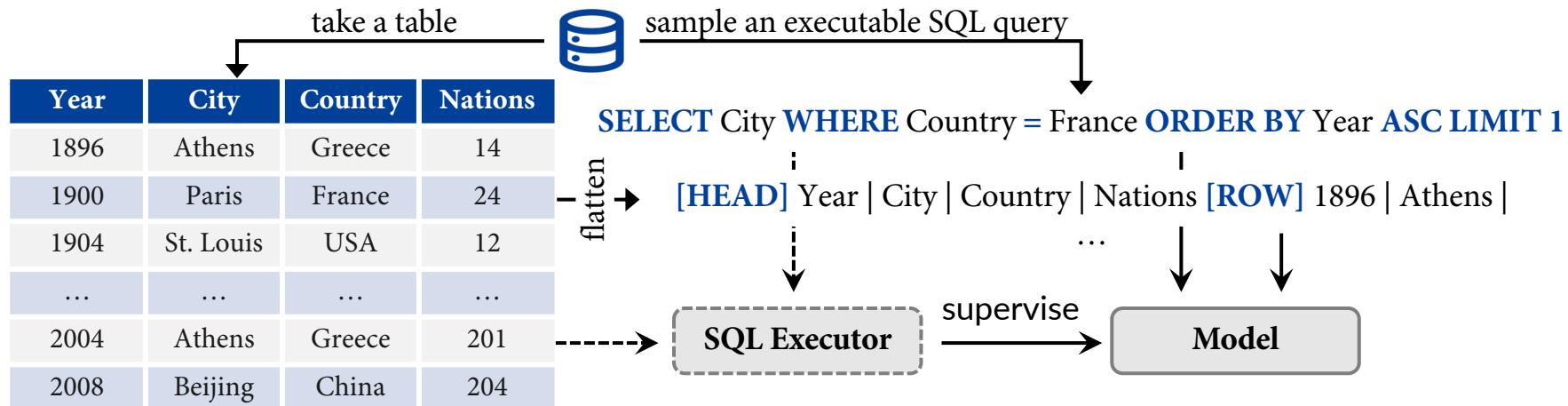
# TAPEX: Execution 🚀 Continual Pre-training

Continually pre-train a model to mimic the behavior of a symbolic execution engine.



# Method: Latent Program Learning via Execution

If we train a model to mimic the SQL query execution procedure over databases, we believe it **learns latent program** from the execution engine.

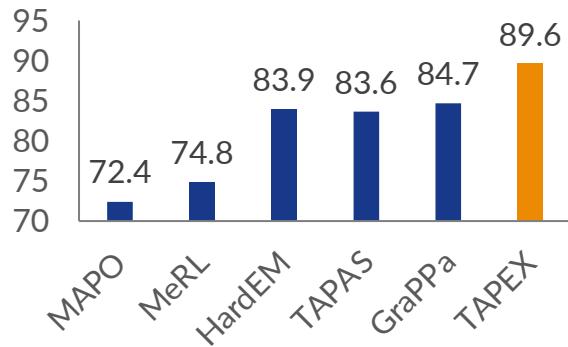


# Result: Execution Unleashes the Power of Task Data

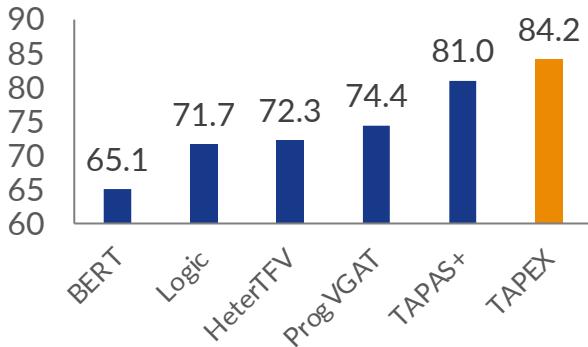
## Generality

TAPEX broadly improves the model under the only task supervision.

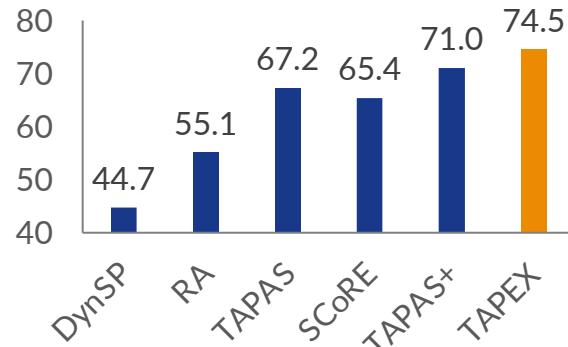
WikiSQL (Weak)



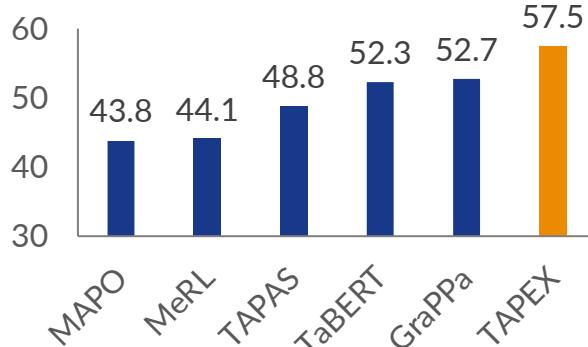
TabFact



SQA

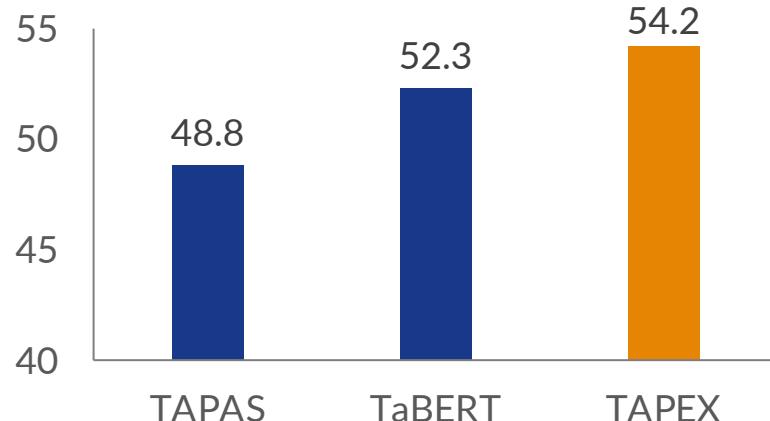


WikiTableQuestions

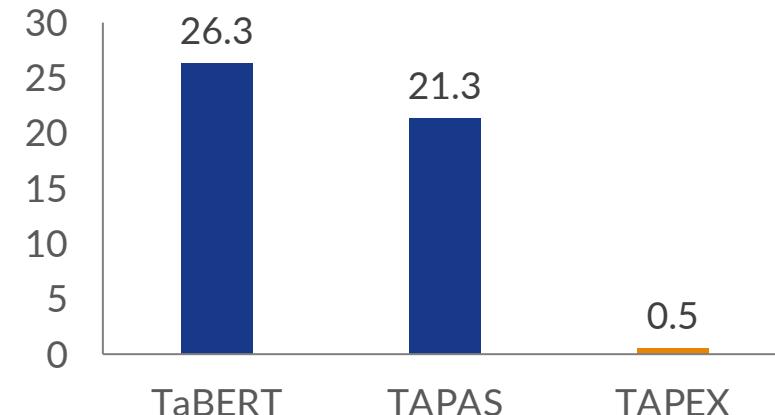


# Result: Cost-Effective Semantic Parsing

Fine-tuning Performance



Pre-training Corpus (Million)

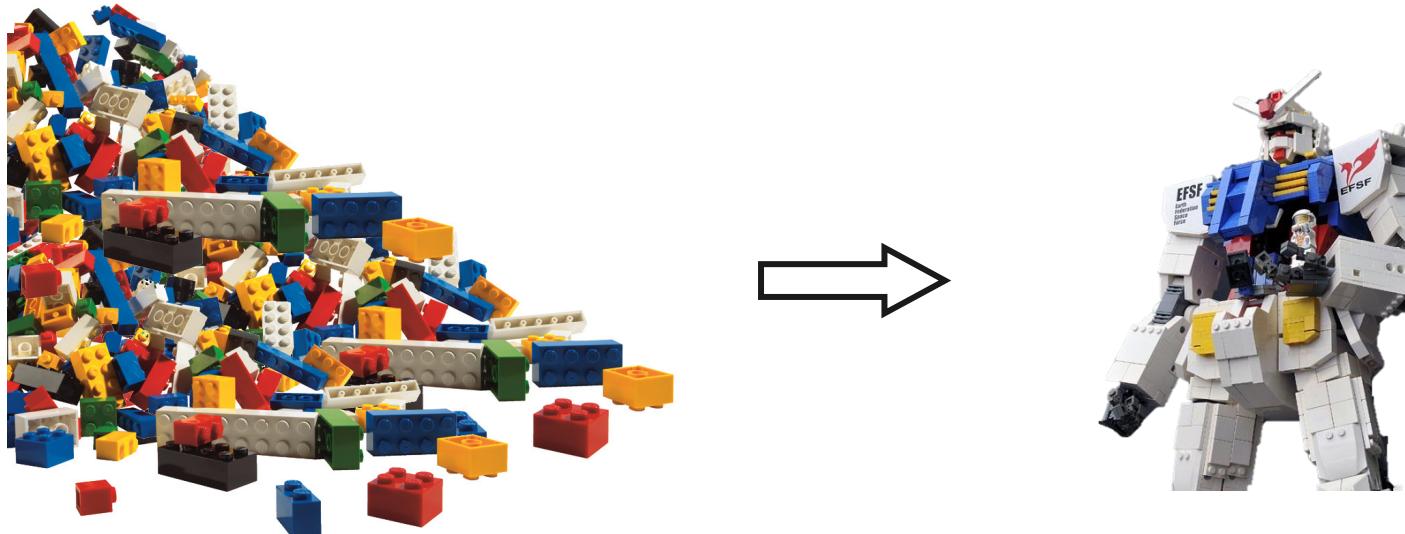


Compared with TaBERT, **2%** of corpus yields **2%** improvement!

# Insight: The Choice of Pre-training Corpus

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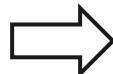
When performing continual pre-training, instead of **mining a large noisy corpus**, we can also try to **synthesize an accurate and small corpus**.



# Insight: The Choice of Pre-training Corpus

When performing continual pre-training for NLP tasks, instead of natural language, we can also try to leverage programs.

We introduce a new language representation model called **BERT**, which stands for Bidirectional Encoder Representations from Transformers. Unlike recent language representation models (Peters et al., 2018a; Radford et al., 2018), BERT is designed to pre-train deep bidirectional representations from unlabeled text by jointly conditioning on both left and right context in all layers. As a result, the pre-trained BERT model can be fine-tuned with just one additional output layer to create state-of-the-art models for a wide range of tasks, such as question answering and language inference, without substantial task-specific architecture modifications.



```
30 def start_link(name, opts \\ []) do
31   GenServer.start_link(__MODULE__, {name}, opts)
32 end
33
34 def init({name}) do
35   require Logger
36   Logger.log :debug, "Started channel #{name}!"
37   :pg2.join(:channels, self())
38   :ets.insert(:channels, {name, self()})
39   users = :ets.new(:users, [:set, :protected])
40   {:ok, {name, users, []}}
41 end
42
43 def handle_call({:send, message}, _from, {name, _users, _buffer} = state)
44   Kaguya.Util.sendPM(name, message)
45   {:reply, :ok, state}
46 end
47
48 def handle_call({:rename_user, {old_nick, new_nick}}, _from, {name, users})
49   case :ets.lookup(users, old_nick) do
50     [{^old_nick, user}] ->
51       new_user = %User{nick: new_nick}
52       :ets.delete(users, old_nick)
53       :ets.insert(users, {new_nick, new_user})
54     [] -> :ok
55   end
56   {:reply, :ok, state}
57 end
```

# Unleashing the Power of Pre-trained Language Models(PLMs)



## Part 1: Towards Interpretable Parser

Grounding power of PLMs helps build interpretable semantic parsers.



## Part 2: Towards Cost-Effective Parser

Reasoning power of PLMs helps build cost-effective semantic parsers.



## Part 3: Towards Synthesized Parser

Generalizing power of PLMs helps build synthesized semantic parsers.

Power Apps Ideas: AI-Powered Assistance Now helps Anyone Create Apps Using Natural Language. **Microsoft Build 2021.**

# Background: Task-specific Datasets

- For popular tasks (e.g., text-to-SQL), there are a lot of public datasets.
- For specific tasks (e.g., text-to-Formula), data collection is difficult 😱 and costly 💰.

The screenshot shows a formula editor interface. At the top, there's a dropdown menu labeled "Text" and an equals sign followed by an "fx" button. Below this, the formula is displayed in a code-like syntax:

```
Right(Input.Text,Len(Input.Text)-
Find("|",
Substitute(Input.Text," ","|",
Len(Input.Text)-Len(Substitute(Input.Text," ","")))))
```

Below the formula, there are two buttons: "Format text" and "Remove formatting".

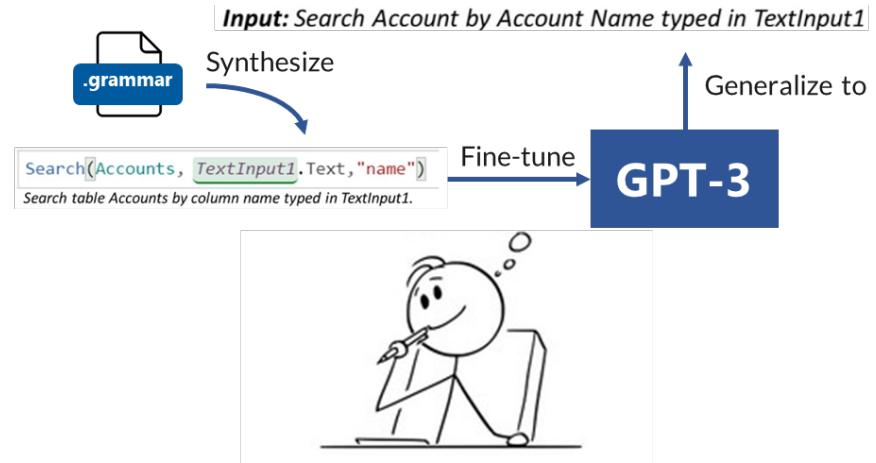
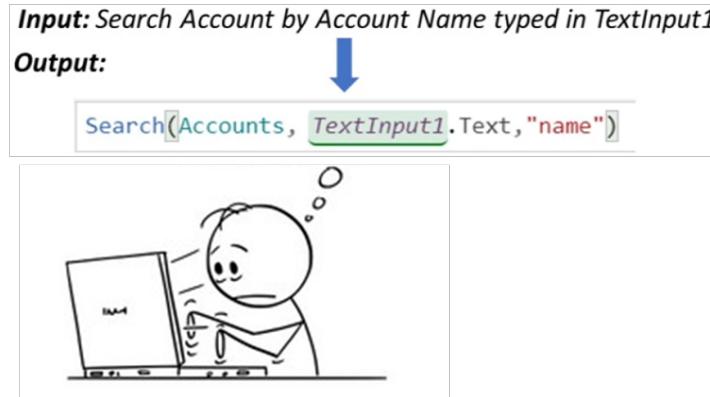
On the left side of the editor, there are several icons: a plus sign, a minus sign, a clipboard icon, and a copy/paste icon.

At the bottom, there are two input fields:

- "Input:" followed by an empty rectangular input box.
- "Label:" followed by a diagram consisting of four blue circles connected by blue lines to form a rectangle.

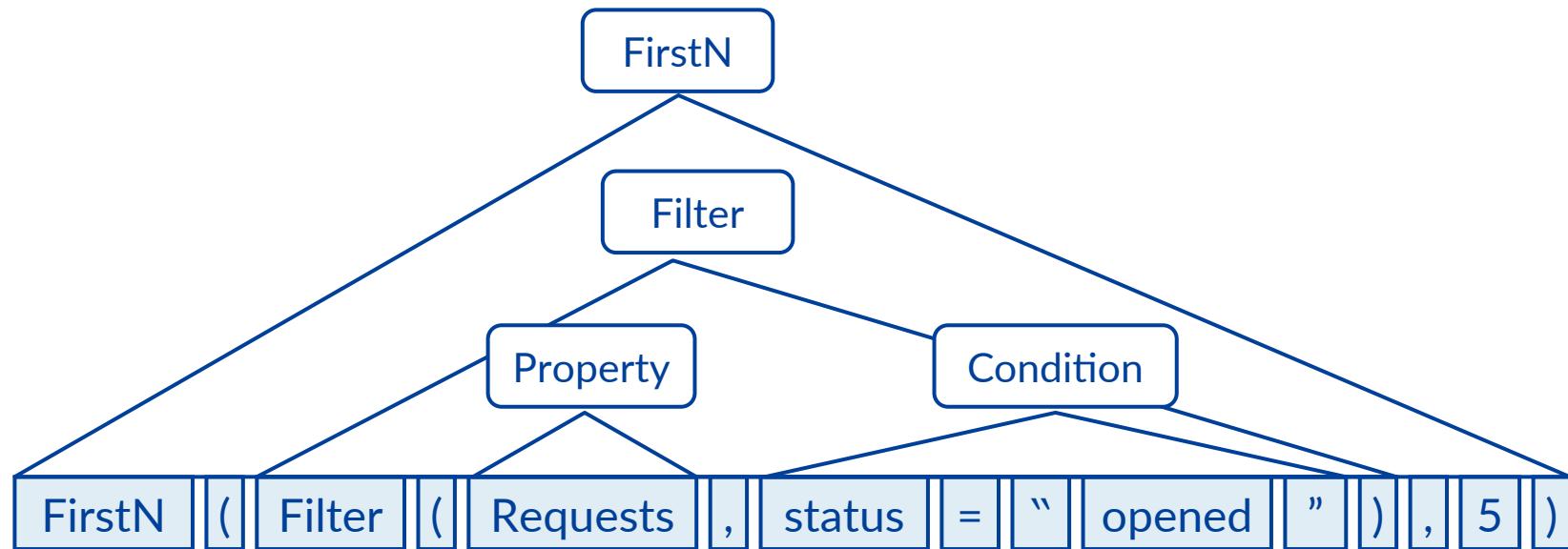
# Background: Task-specific Datasets

- How about fine-tuning PLMs with only **synthetic** data?



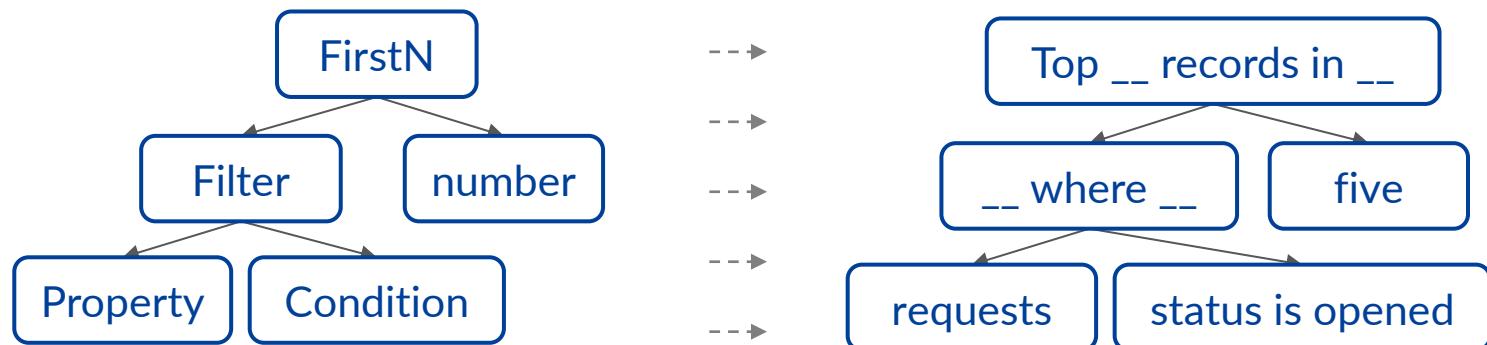
# Motivation: Program Modularity

**Program:** FirstN (Filter ( Requests, status="opened"), 5)



# Motivation: Program Modularity

*Program:* FirstN (Filter ( Requests, status="opened"), 5)



*Sentence:* Top five records in requests where status is opened

# Towards Synthesized Parser

## Compositional SCFG

- ❑ Filter ({0:DataSource},{1:Condition})  
↔ {0} where {1}
- ❑ FirstN ({0:DataSource},{1:Number})  
↔ first {1} records in {0}
- ❑ ...

## Synthetic MRs

- ❑ Filter(IceCream, OnOrder > 0)
- ❑ FirstN(Filter(Requests, status = “opened”), 5)  
❑ ...

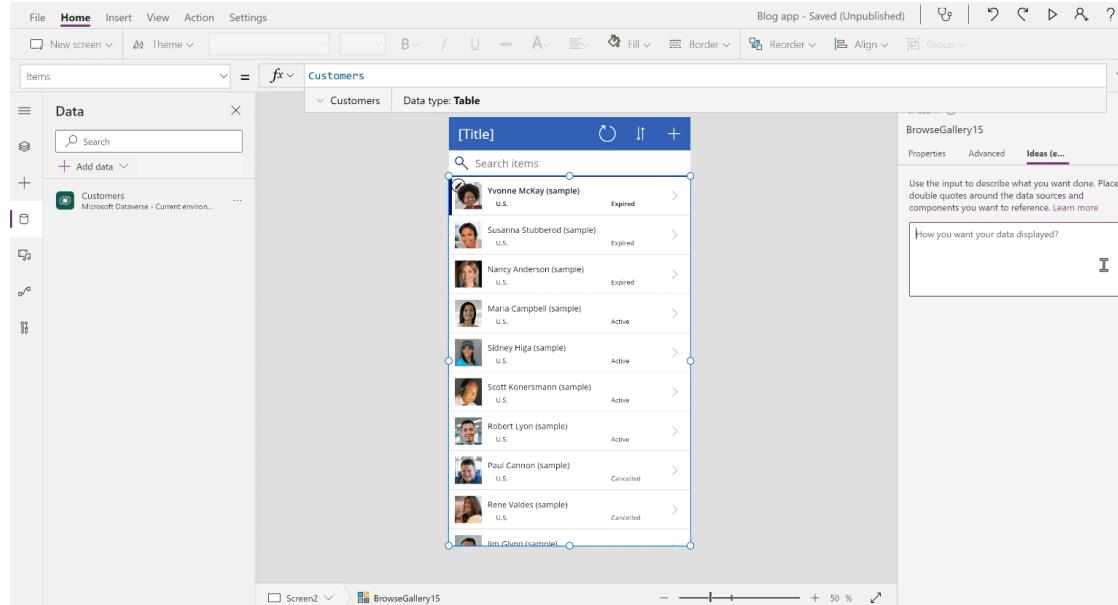
## Synthetic Data

Filter(IceCream, OnOrder > 0)  
=> IceCream where OnOrder is  
larger than 0

FirstN(Filter(Requests, status =  
“opened”), 5)  
=> First 5 records in Requests  
where status is “opened”

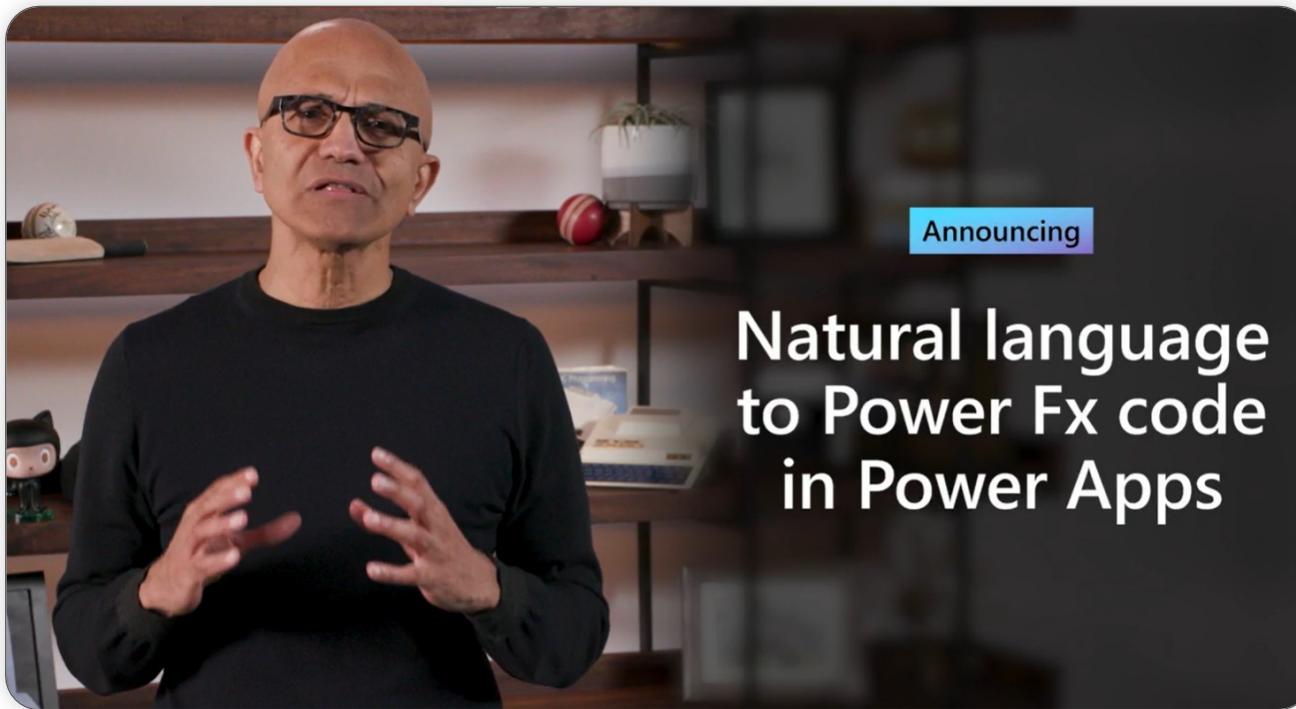
# Result: Synthetic Data Proxy for Real Data

XY.z% test accuracy on natural queries in 1 week



# Result: Integration Into PowerApps

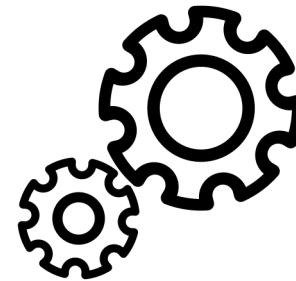
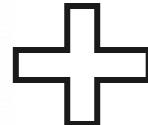
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# Insight: Injecting Rules into BIG Models

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Rules can be injected into BIG models in a data-driven way, with (probably) not hurting its linguistic generalization capability.



**Rules Engine**

# Take Away

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## Part 1: Towards Interpretable Parser

We can awaken grounding from PLMs to build interpretable semantic parsers.



## Part 2: Towards Cost-Effective Parser

We can learn latent program inside models via execution pre-training.



## Part 3: Towards Synthesized Parser

We can leverage PLMs to perform unsupervised semantic parsing.

# Thanks & QA

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