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# Compositional Generalization by Learning Analytical Expressions



Microsoft

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On Behalf of **MSRA DKI Team**

AI TIME @ 2020.12.11

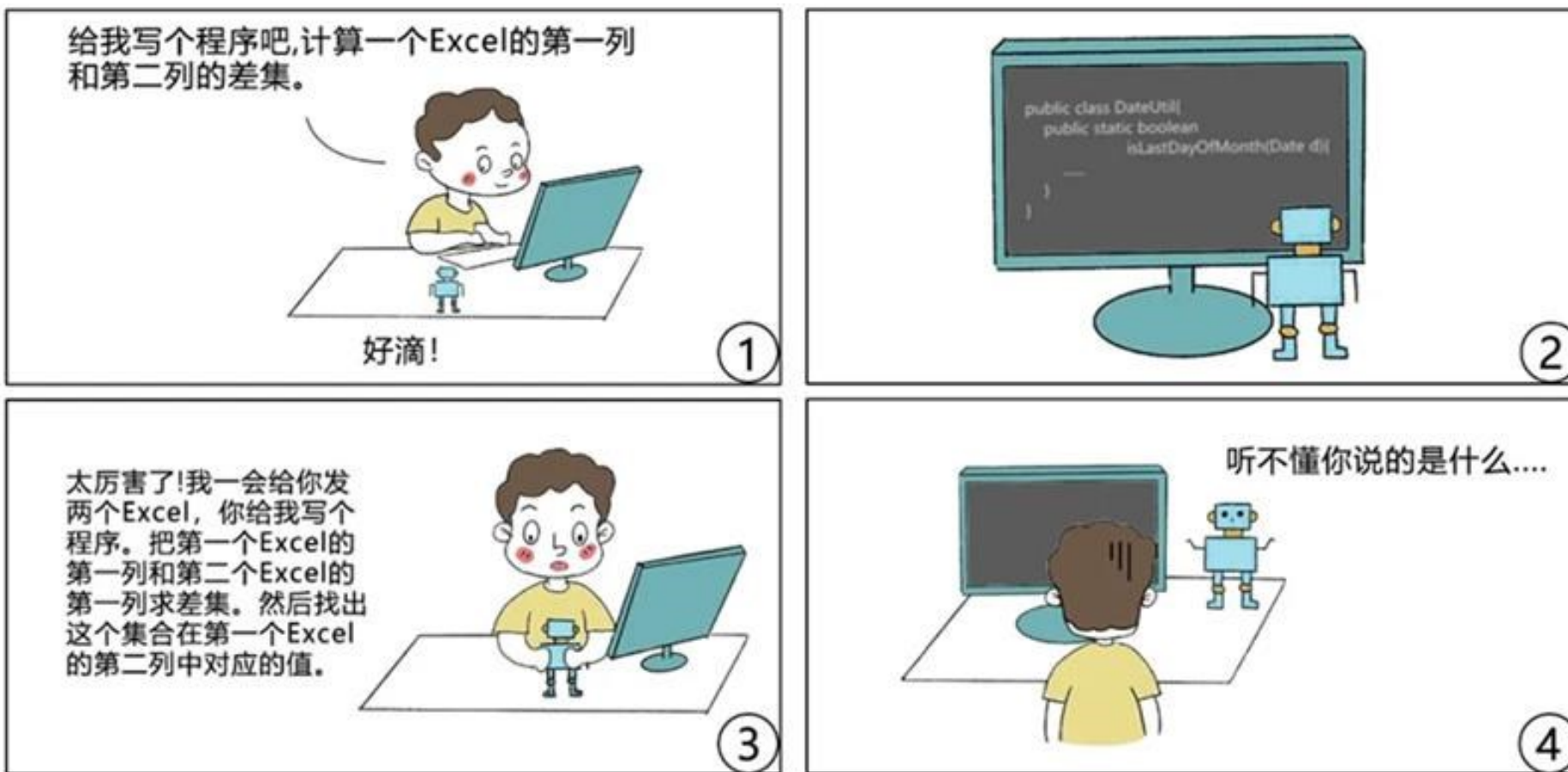


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# The current state of AI programmers



# Compositional Generalization

- The compositionality of programs  $\Rightarrow$  huge search space of programs
- Compositional Generalization: human intelligence exhibits the algebraic capacity to **dynamically recombine existing atoms**.

**Infinite use of finite means.**

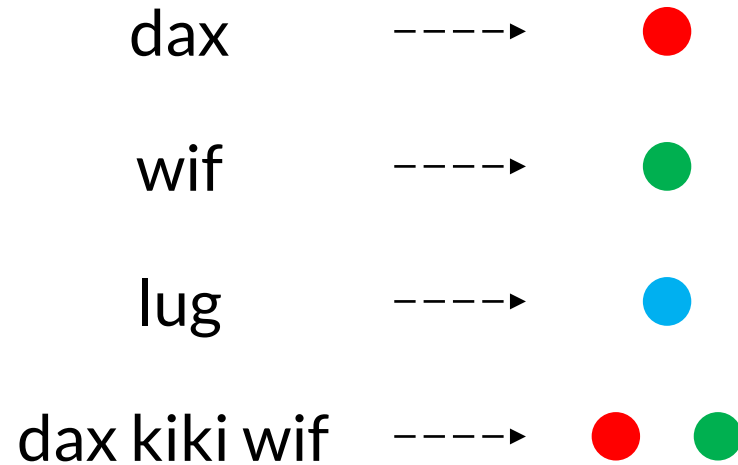
—— Noam Chomsky



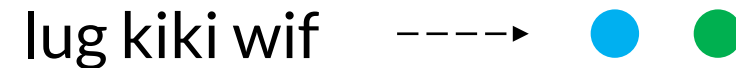
# Compositional Generalization in Cognition

Compositional generalization is an ability to recombine known parts to understand novel sentences which have never been encountered before.

## Observed Examples



## A Novel Example



Credit: Lake et al. 2019

# Compositional Generalization in NL2Code

The Simplified version of the CommAI Navigation (SCAN) is a **synthetic** benchmark (Lake & Baroni. 2018) with navigation commands and action sequences.

## Natural Language

## Programming Language

**Train**

run twice  $\Rightarrow$  RUN RUN

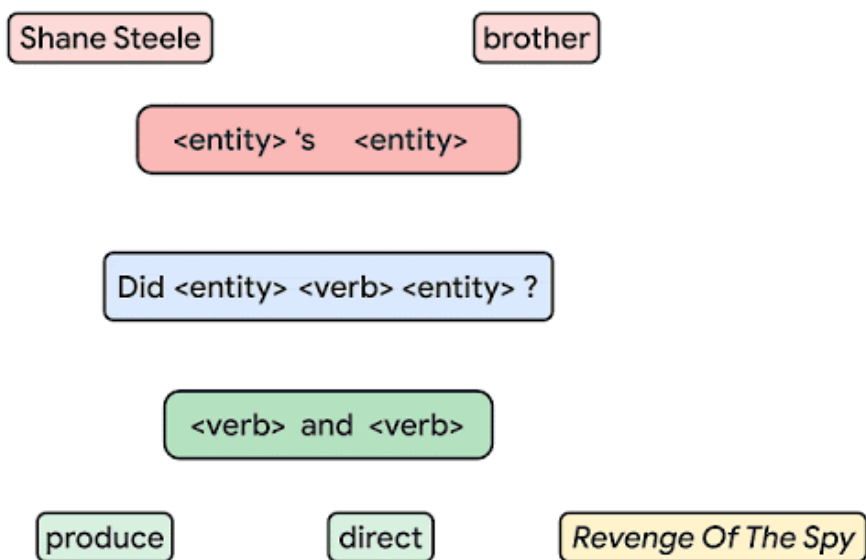
jump and walk  $\Rightarrow$  JUMP WALK

**Test**

run and jump twice  $\Rightarrow$  RUN JUMP JUMP

# Compositional Generalization in NL2Code

CFQ (Compositional Freebase Questions) is a **realistic** benchmark (Keysers et al. 2020) that comprehensively measure compositional generalization on KBQA.



Credit: Keysers et al. 2020

Which Swedish founder of  
[M0] produced [M2] ?



```
SELECT DISTINCT ?x0 WHERE {  
  ?x0 ns:film.producer.film|ns:film.production_company.films [M2] .  
  ?x0 ns:organization.organization_founder.organizations_founded [M0] .  
  ?x0 ns:people.person.nationality ns:m.0d0vqn  
}
```

# Measuring Compositional Generalization

The SCAN benchmark is split in **handcraft ways** to form the challenges:

**Train**

**Test**

## Add jump

jump  
walk twice  
walk around left

jump around left

*No complex command of jump in training*

## Around Right

turn around left  
turn opposite right  
walk around left

turn around right

*“around right” is held out from the training set*

## Length Generalization

look around left  
look around left twice  
look around left twice after look

look around left twice after look around left

*Train: length of the action sequence is shorter than 24 actions; Test: all action sequences longer than or equal to 24 actions.*



# Measuring Compositional Generalization

The CFQ benchmark is split based on **automatic algorithms** which highlight properties that intuitively correlate with compositional structure:

- (1) **Similar atom distribution:** All test atoms occur in train, and Distribution of atoms is similar between train and test.
- (2) **Different compound distribution:** Distribution of compounds is different between train and test.

## Train

Who **directed** Inception?

Did Greta Gerwig **produce** Goldfinger?

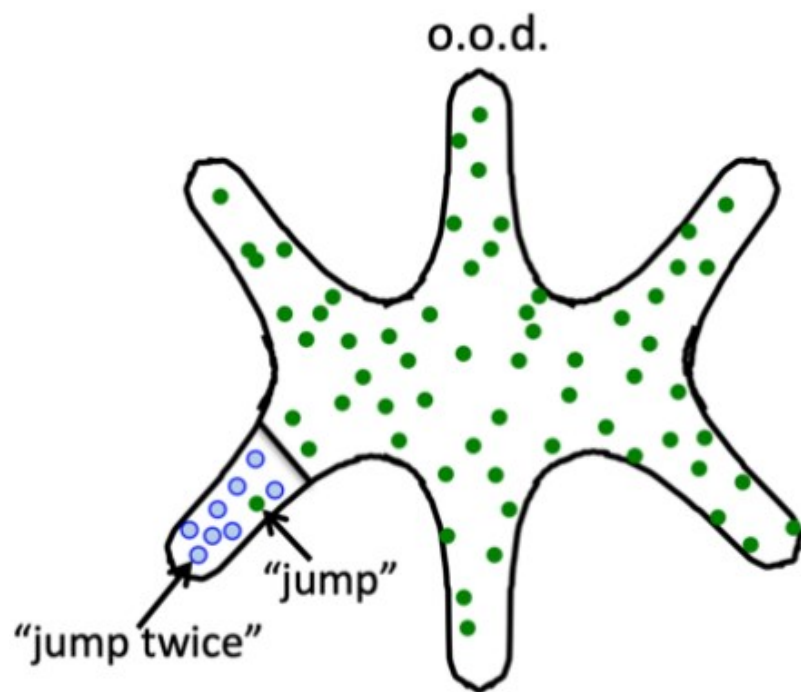
## Test

Who **produced** Inception?

Did Greta Gerwig **direct** Goldfinger?

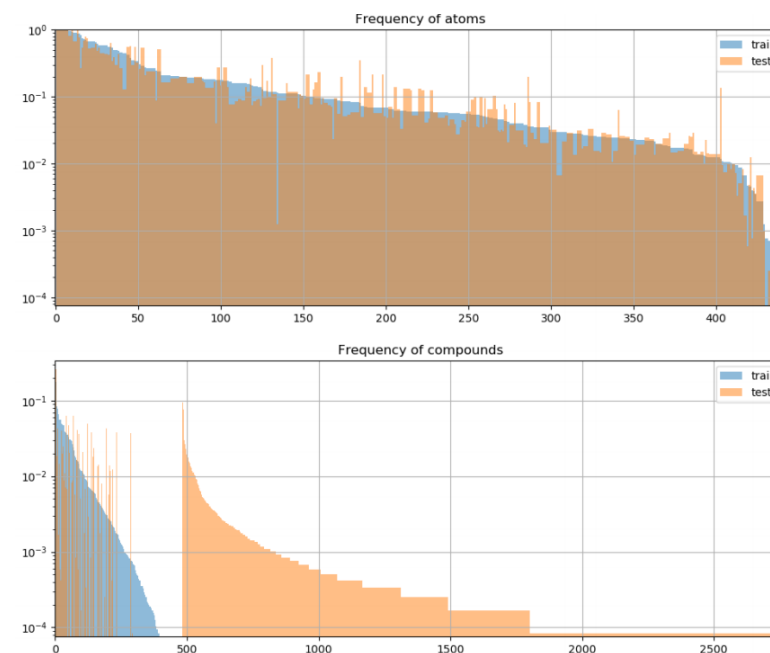
# Measuring Compositional Generalization

The SCAN split distribution



Credit: Russin et al. 2020

The CFQ split distribution



Credit: Keyzers et al. 2020

# A Promising Direction

- Datasets

- ✓ **SCAN** (Lake & Baroni, ICML'18)
- ✓ **CFQ** (Keysers et al, ICLR'20)
- ✓ **COGS** (Kim & Linzen, EMNLP'20)
- ✓ **Grounded SCAN** (Ruis et al, NeurIPS'20)

- Methods

- ✓ CGPS (Li et al, EMNLP'19)
- ✓ Meta Seq2Seq (Brenden M. Lake, NeurIPS'19)
- ✓ Permutation Equivariant Seq2Seq (Gordon et al, ICLR'20)
- ✓ GECA (Jacob Andreas, ACL'20)

...



# Far From Compositional Generalization

No model can successfully solve compositional challenges on SCAN!

Model	Add Jump	Around Right	Length
<i>Seq2Seq</i>	1.2	2.5	13.8
<i>CNN</i>	69.2	56.7	0.0
<i>Syntactic Attention (Russin et al. 2019)</i>	91.0	28.9	15.2
<i>CGPS (Li et al. 2019)</i>	98.8	83.2	20.3
<i>GECA (Jacob Andreas. 2020)</i>	86.0	82.0	-
<i>Meta Seq2Seq (Brenden M. Lake. 2019)</i>	99.9	99.9	16.6
<i>Equivariant Seq2Seq (Gordon et al. 2020)</i>	99.1	92.0	15.9

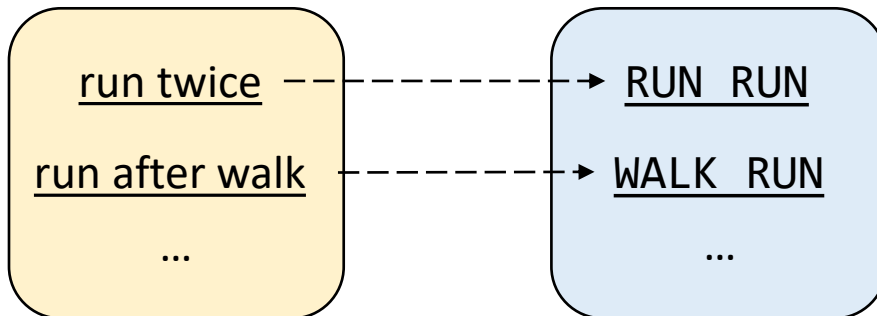
\*green models trained w/o extra resources

\*blue models trained with extra resource

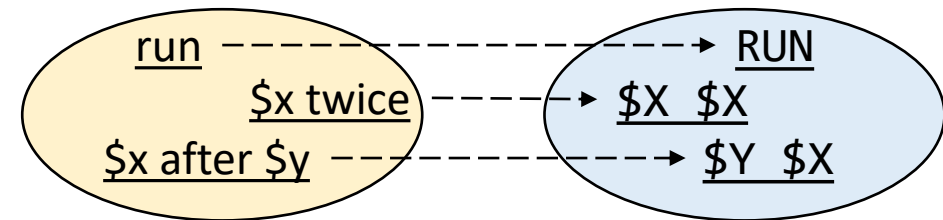
# Model on Compositionality

The compositionality of language constitutes an **algebraic system**, of the sort that can be captured by symbolic functions with variable slots (M. Baroni, 2019).

## Current Neural based Models




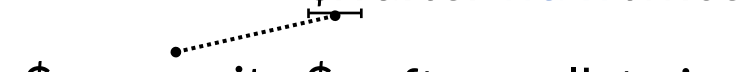
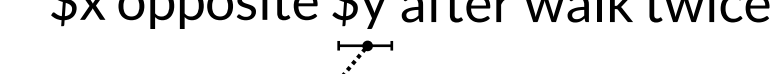


## Our Model



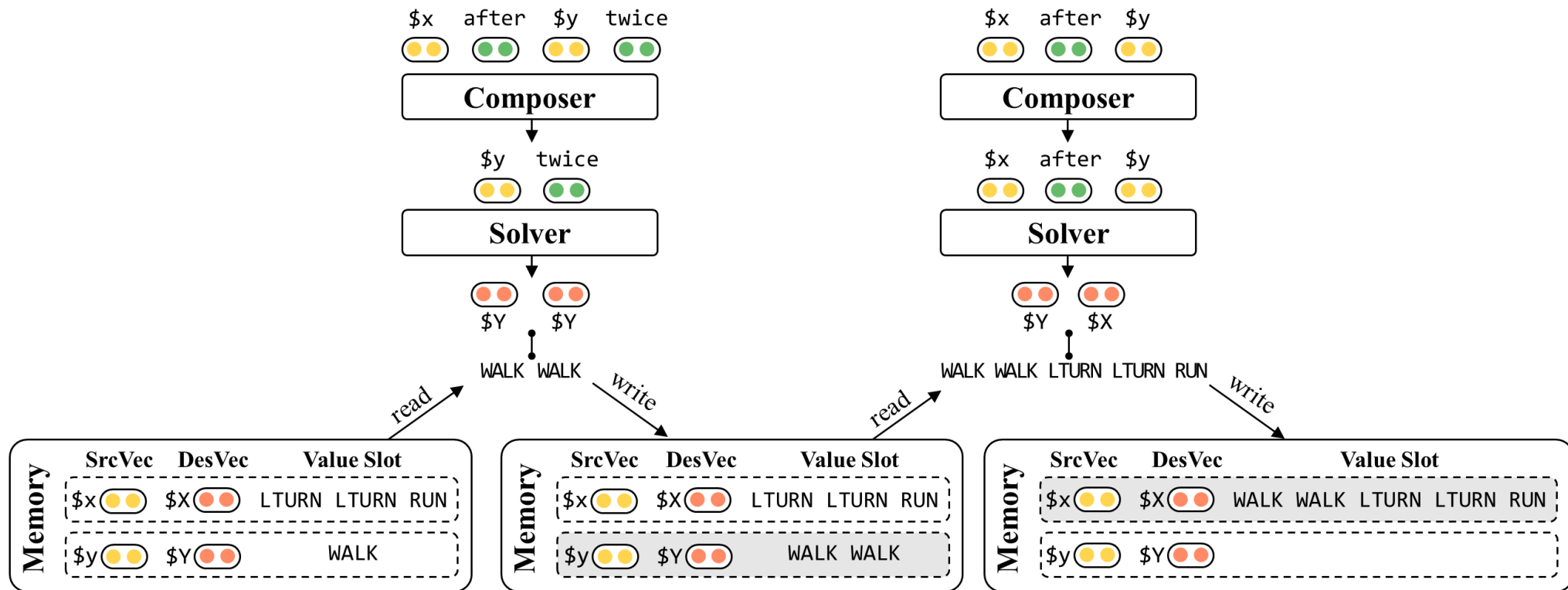
# Learn Analytical Expressions

The understanding of “run opposite left after walk twice” can be regarded as a hierarchical application of symbolic functions.

		Symbolic Function
6		$\$x \text{ after } \$y \longrightarrow \$Y \$X$
5		$\$y \text{ twice} \longrightarrow \$Y \$Y$
4		$\text{walk} \longrightarrow \text{WALK}$
3		$\$x \text{ opposite } \$y \longrightarrow \$Y \$Y \$X$
2		$\text{left} \longrightarrow \text{LTURN}$
1	run opposite left after walk twice	$\text{run} \longrightarrow \text{RUN}$

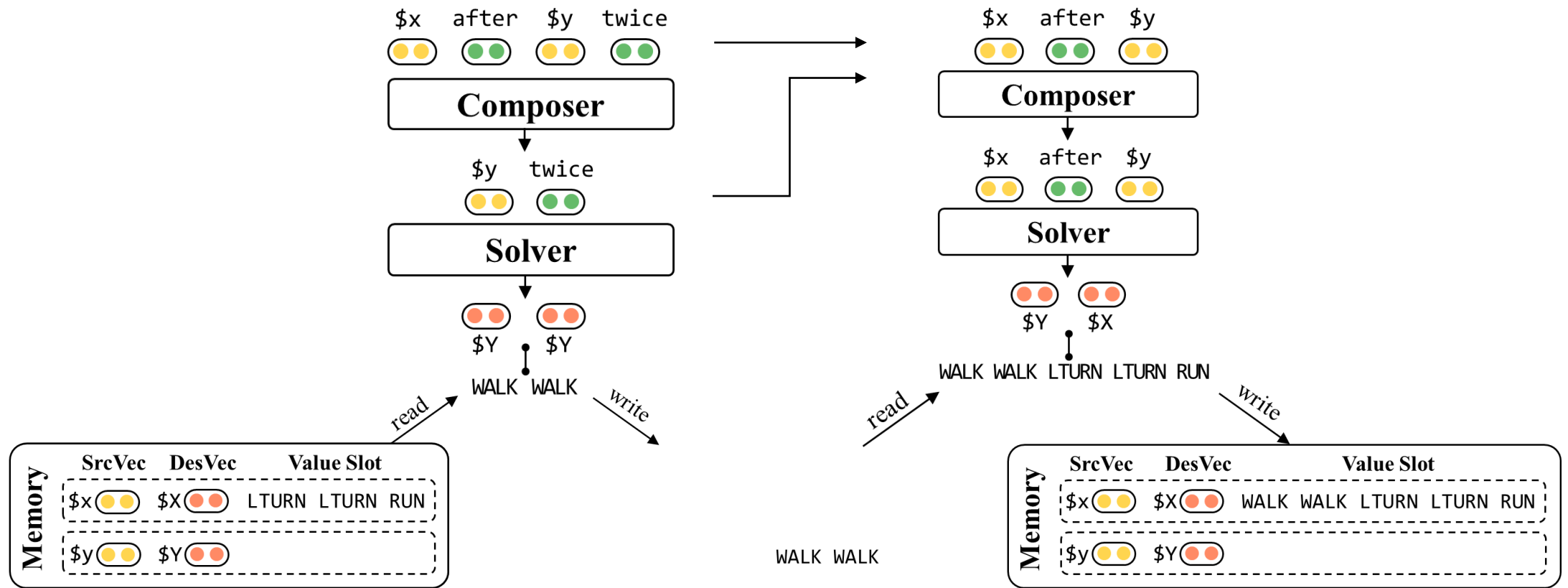
# LANE: Memory-Augmented Model

We propose a memory-augmented neural model to achieve compositional generalization by automatically learning the above analytical expressions.



# LANE: Memory-Augmented Model

Our model understands via interaction between **Composer**, **Solver** and **Memory**.

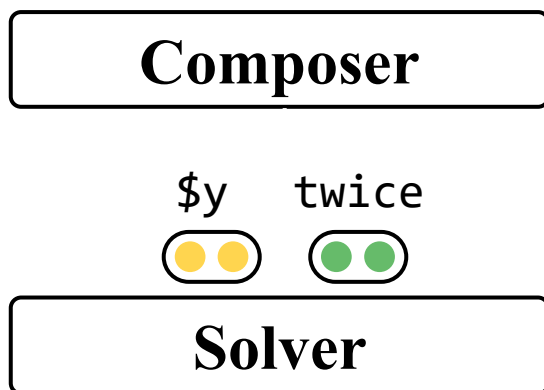




# The Training is Challenging!

## Challenges

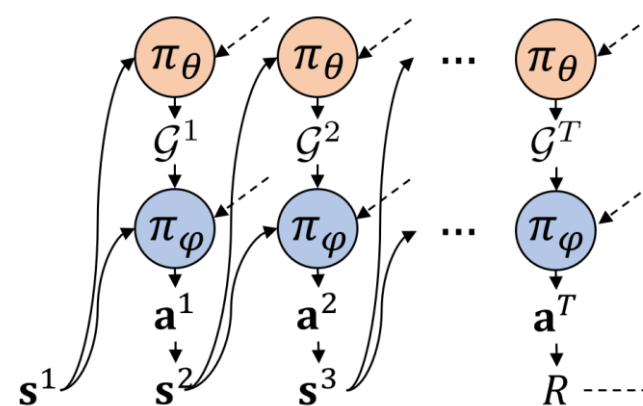
(i) Discrete Action, Non-differentiable.



(ii) Sparse Reward, Hard to Train.

## Solutions

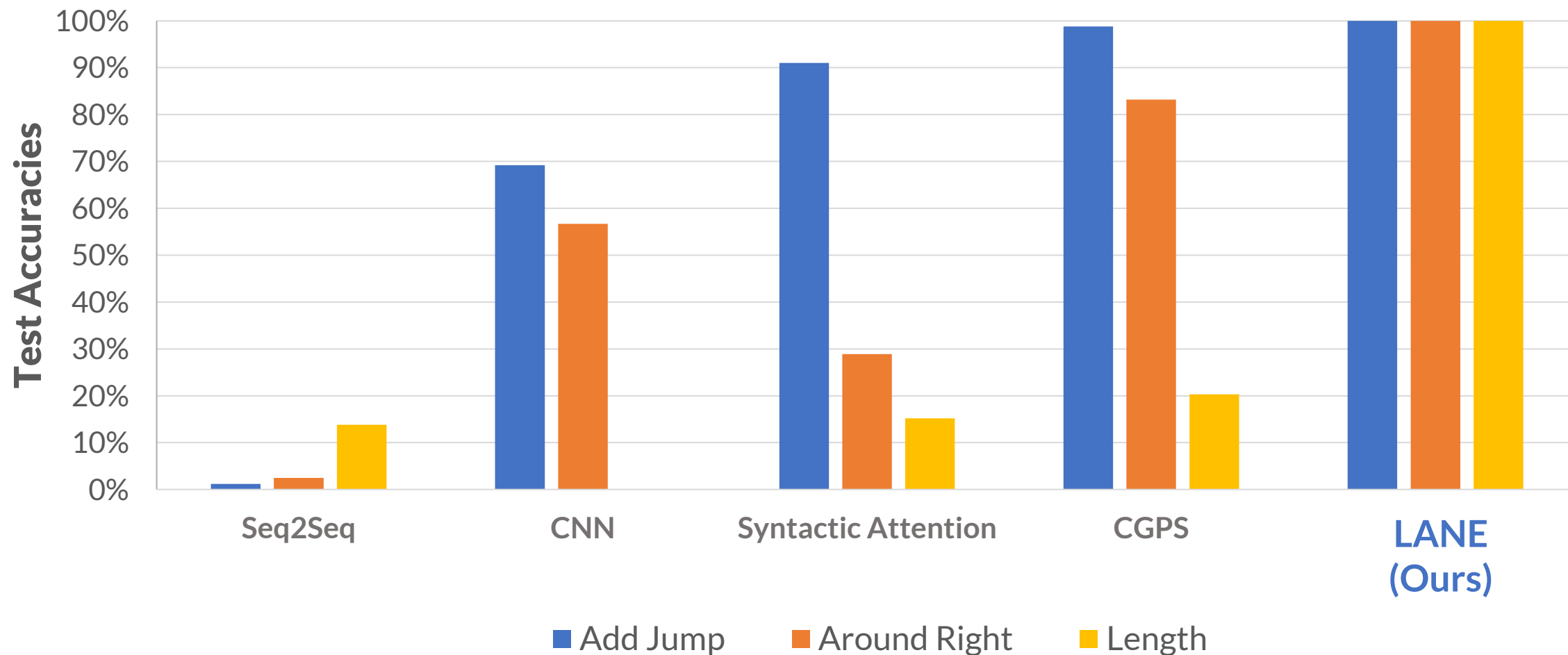
(i) Hierarchical Reinforcement Learning.



(ii) Curriculum Learning.

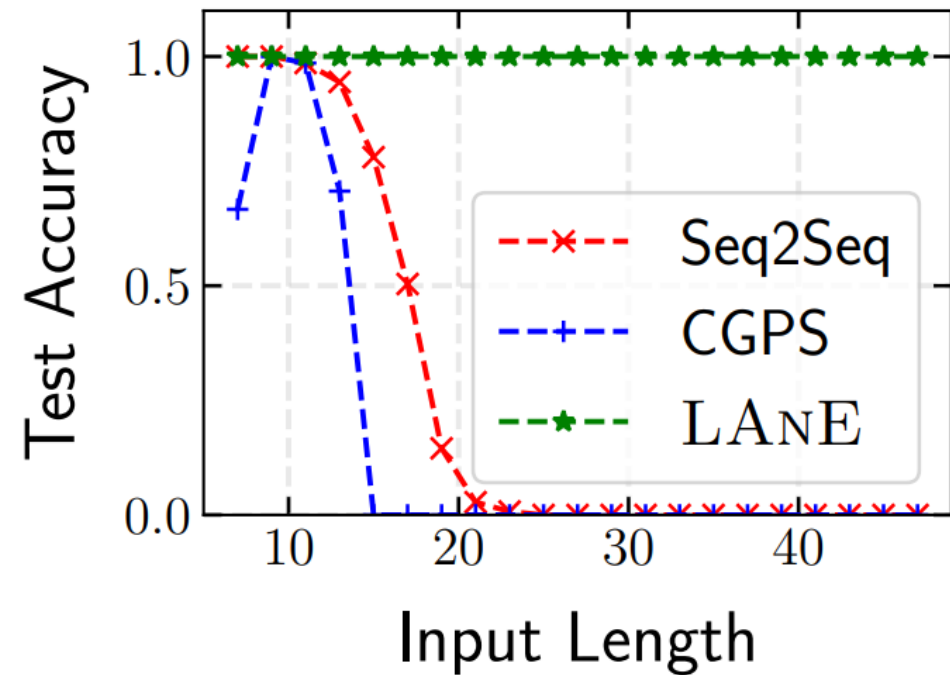
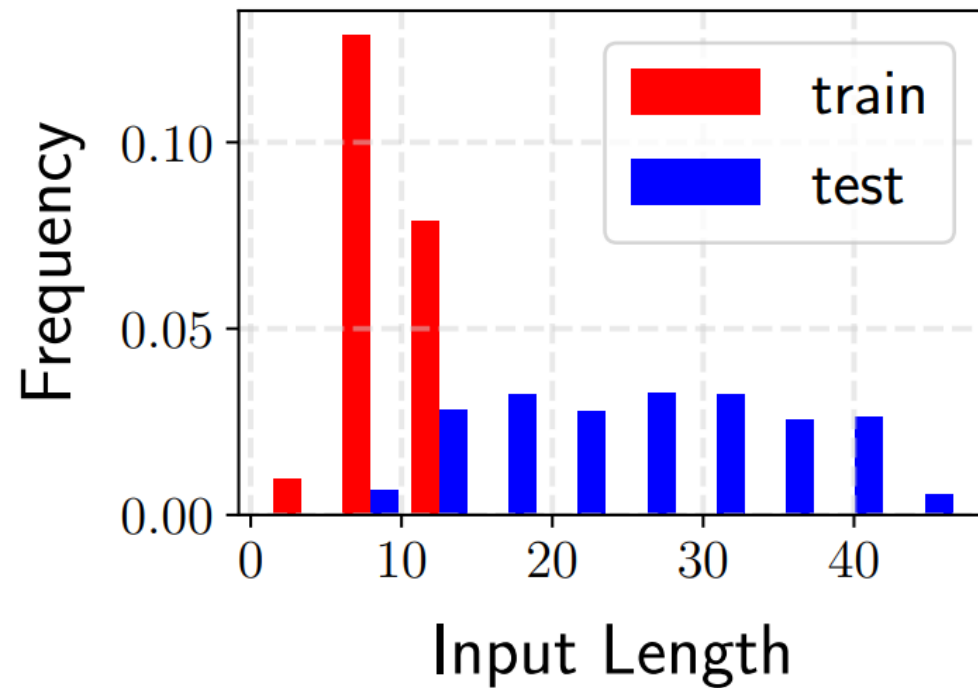


# Evaluate on SCAN



# Evaluate on Longer Inputs

Languages license a theoretically infinite set of sentences due to compositionality, and our model maintains **a perfect trend** as the input length increases.



# Take Away

*Compositional Generalization by Learning Analytical Expressions [NeurIPS'20]*

- The key for compositionality is to regard language as an **algebraic system**, which be captured by **analytical expressions**.
- Learning analytical expressions can be modeled as the joint optimization of three **cooperative modules** Composer, Solver and Memory.
- Latent discrete actions between modules can be tackled by the combination of **hierarchical reinforcement learning** and **curriculum learning**.

# Reference

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- [11]. M. Baroni. Linguistic generalization and compositionality in modern artificial neural networks. In Phil. Trans. R. Soc. B. 2019.

# Thanks & QA

- Code: <https://github.com/microsoft/ContextualSP>
- Related papers from our team (MSRA DKI):
  - Hierarchical Poset Decoding for Compositional Generalization in Language
  - Revisiting Iterative Back-Translation from the Perspective of Compositional Generalization
  - Iterating Utterance Segmentation for Neural Semantic Parsing