

FANDa: A Novel Approach to Perform Follow-up Query Analysis

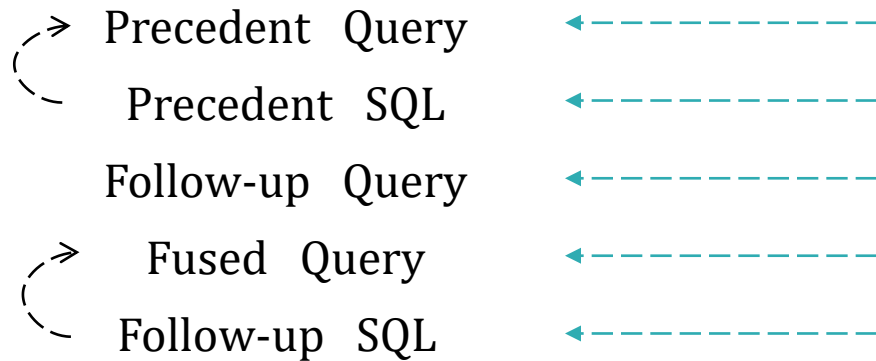
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/01 | Introduction



Brand	Sales	Profit	Year
BMW	31020	5000	2009
Ford	25220	3000	2009
Benz	47060	6000	2009

74.58% queries follow immediately after the question they are related to. (Bertomeu et al., 2006)

Interaction

User [1] : show the sales of BMW in 2009.
System : `SELECT Sales WHERE Brand = BMW and Year = 2009`

User [2] : what about profit?
show the profit of BMW in 2009.
System : `SELECT Profit WHERE Brand = BMW and Year = 2009`

User [3] : of Benz?
show the profit of Benz in 2009.
System : `SELECT profit WHERE Brand = Benz and Year = 2009`

User [4] : Compare it to Ford.
Compare the profit of Benz in 2009 to Ford.
System : `SELECT profit WHERE (Brand = Benz or Brand = Ford) and Year = 2009`

/01 | Introduction



ATIS3

- Dahl D A, Bates M, Brown M, et al. Expanding the scope of the ATIS task: The ATIS-3 corpus[C]//HLT-ACL 1994
- Miller S, Stallard D, Bobrow R, et al. A fully statistical approach to natural language interfaces[C]//ACL 1996
- Zettlemoyer L S, Collins M. Learning context-dependent mappings from sentences to logical form[C]//ACL 2009
- Suhr A, Iyer S, Artzi Y. Learning to Map Context-Dependent Sentences to Executable Formal Queries[C]//NAACL 2018



SequentialQA

- Iyer M, Yih W, Chang M W. Search-based neural structured learning for sequential question answering[C]//ACL 2017



Non-sentential Question Resolution

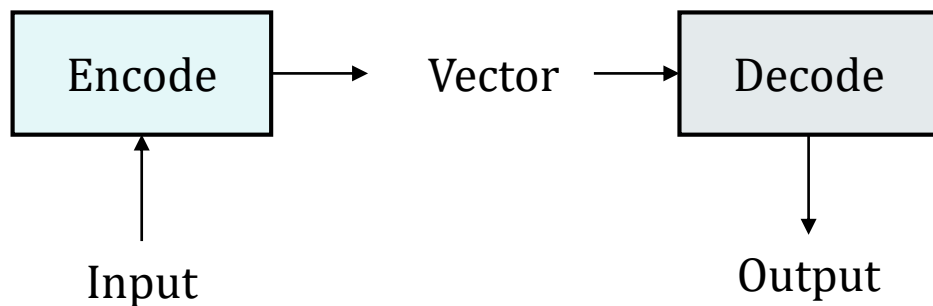
- Kumar V, Joshi S. Non-sentential Question Resolution using Sequence to Sequence Learning[C]//COLING 2016
- Kumar V, Joshi S. Incomplete Follow-up Question Resolution using Retrieval based Sequence to Sequence Learning[C]//SIGIR 2017

/01 | Introduction

- Prior work in context-dependent parsing focuses on specific domain or simple scenarios.
- **Our goal:** language understanding in complex scenarios covering diverse domains in NLIDB.
- **Dataset:** A new dataset FollowUp is presented for research and evaluation.
- **Method:** A novel approach is presented for taking account interaction history information into current sentence.

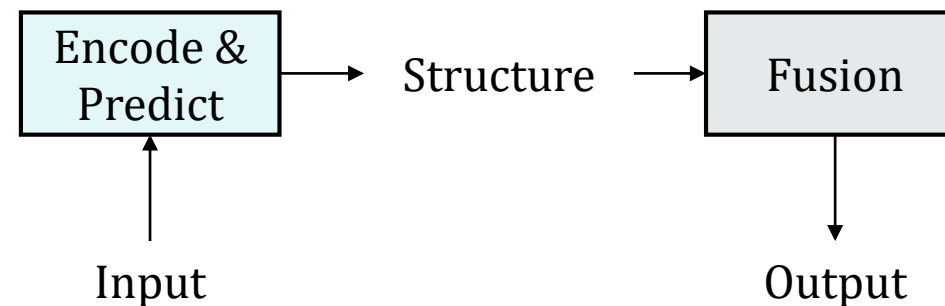
Scenario	Example
Analytics	Precedent : In 1995, is there any network named CBC?
	Follow-up : Any TSN?
	Fused : In 1995, is there any network named TSN?
Compare	Precedent : How much money has Smith earned?
	Follow-up : Compare it with Bill Collins.
	Fused : Compare money Smith earned with Bill Collins.
Calc & Stats	Precedent : List all universities founded before 1855.
	Follow-up : Show their number.
	Fused : Show the number of all universities founded before 1855.
Extremum	Precedent : Which stadium has the most capacity?
	Follow-up : Which get the highest attendance?
	Fused : Which stadium get the highest attendance?
Filter	Precedent : How many roles are from studio paramount?
	Follow-up : List all titles produced by that studio.
	Fused : List all titles produced by studio paramount.
Group	Precedent : Show the industry which has the most companies?
	Follow-up : Show in different countries.
	Fused : Show the industry which has the most companies in different countries.
Sort	Precedent : Show all chassis produced after the year 1990.
	Follow-up : Sort them by year.
	Fused : Show all chassis produced after the year 1990 and sort by year.
Search	Precedent : What position did Sid O'Neill play?
	Follow-up : Which players else are in the same position?
	Fused : Which players play in the position of Sid O'Neill excluding Sid O'Neill?

- 1000 queries in 120 different Tables inherited from [WikiSQL](#)
- Annotation with Query Triple : (Precedent, Followup, Fused)
- Train/Dev/Test : 640/160/200



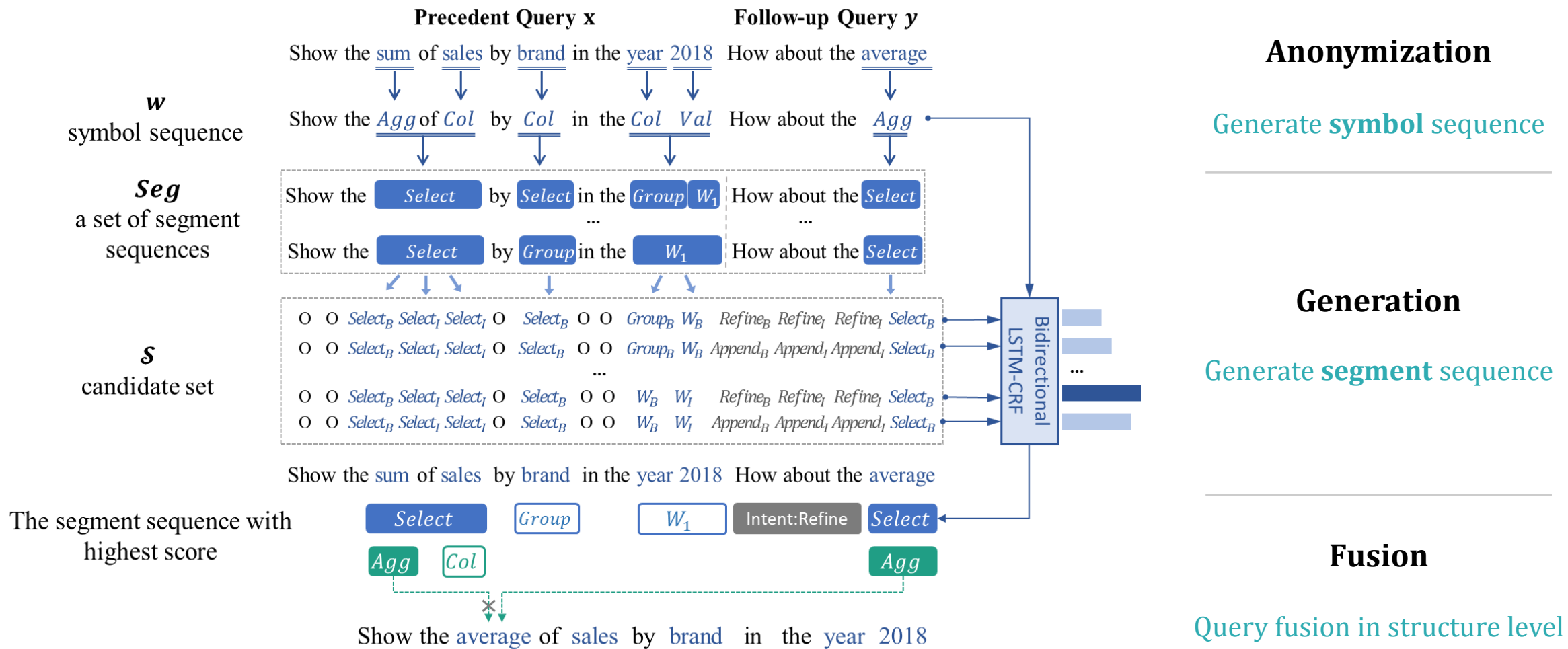
Sequence to Sequence

- Learning to encode and decode
- Non-interpretable
- Require lots of training data

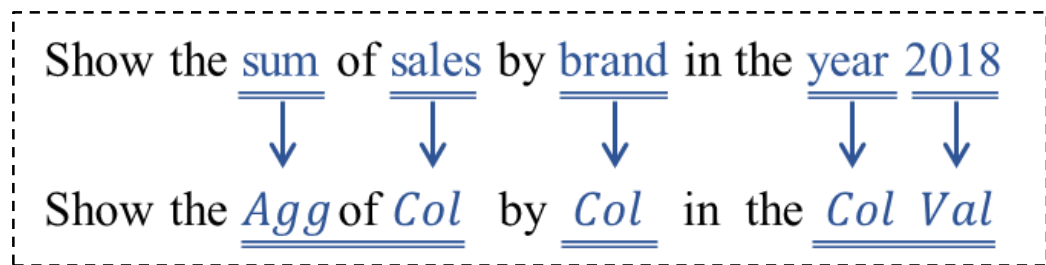


Follow-up Analysis for Database

- Learning to encode, fusion with semantic rules
- Reason when fusion
- Cold start for little data



- Words in utterance are split into two types:
analysis-specific words and **rhetorical words**.
- All numbers and dates belong to **Val**.
- One analysis-specific word could belong to different symbols, generating several symbol sequences.



Symbol	Meaning	Table-Related Knowledge
Col	Column Name	
Val	Cell Value	
Agg	Aggregation	
Com	Comparison	
Dir	Order Direction	Language-Related Knowledge
Per	Personal Pronoun	
Pos	Possessive Pronoun	
Dem	Demonstrative	

- **symbol** does not consider the context around and **segment** structure is designed.
- **segment** is a combination of adjacent symbols, inspired by SQL parameter and common sense.

Segment	Compositional Deduction Rule
Select	[Agg + [Val]] + Col
Group	Col
Order	[Dir] + Col
W_1	[Col] + [Com] + Val
W_2	Col + Com + Col
P_1	Per
P_2	Pos
P_3	Dem + Col

Precedent Query:

Could you tell me the player whose score is larger than 67

Select

 W_1 **Follow Query (1):**

Who play the same position as him ?

 P_3 P_1 **Follow Query (2):**

sort them using their score in ascending order.

 P_1

Order



1. Symbols are combined to generate all possible segment sequences.
2. A ranking model is built to score these segment sequences and pick the best one as output.
3. Intent was introduced to distinguish two scenarios: Refine & Append.

Network	Year
TSN	1995
CBC	1995
CFL	1996

Previous:

In W_1 1995, is there any *Select* network named W_1 CBC

Previous Fusion:

In 1995, is there any network named **TSN**

Follow-up:

Any W_1 TSN

Follow-up Fusion:

Any TSN

1. Conflicting segment pairs will not happen at the same time.
2. Utilize one sentence to make up for the lack of the another sentence.

Previous:

In W_1 1995, is there any *Select* network named W_1 CBC

Previous Fusion:

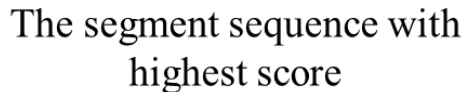
In 1995, is there any **networks** named CBC

Follow-up:

Show all *Select* networks in P_3 that year

Follow-up Fusion:

Show all networks in **1995**

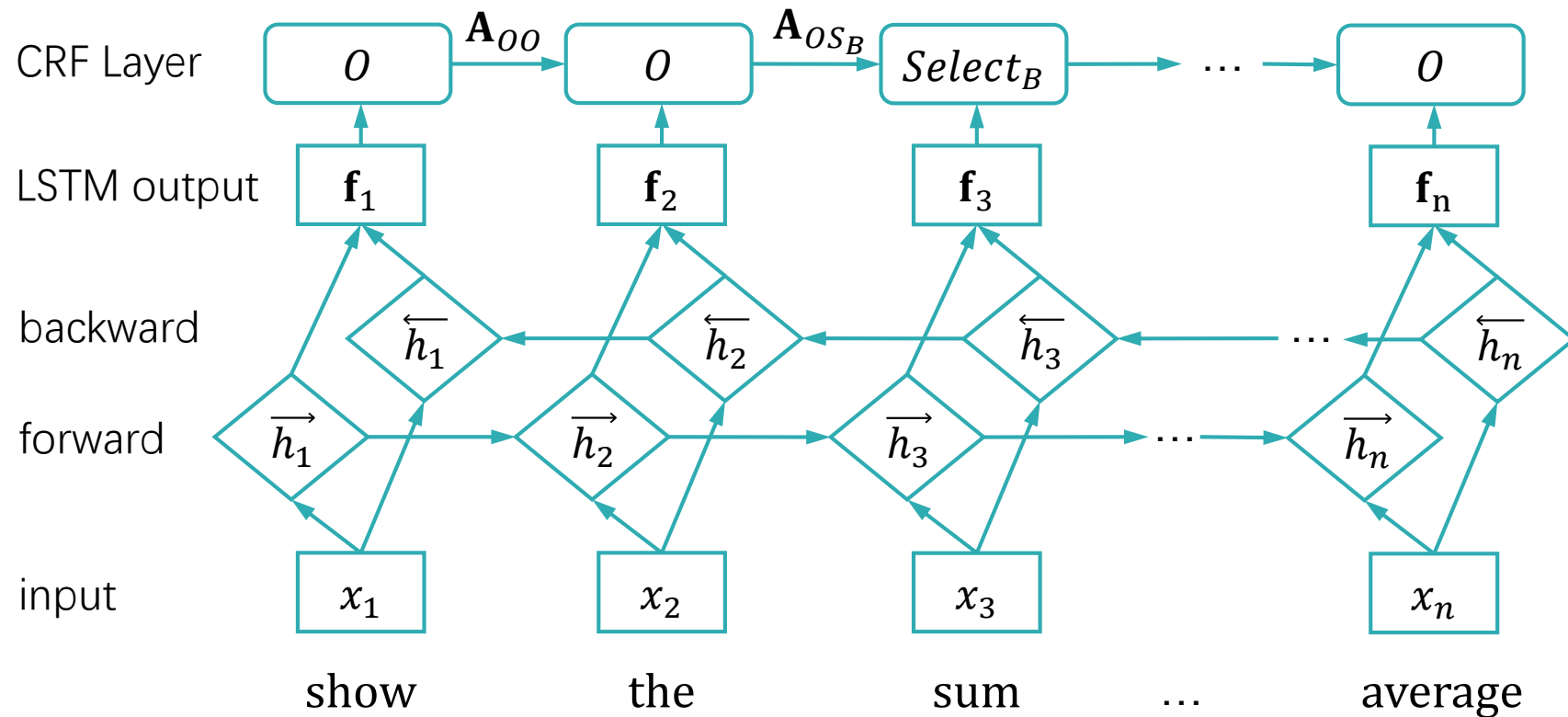


\mathcal{P}

O O Select_B Select_I ... Refine_I Select_B
O O Select_B Select_I ... Refine_I Select_B

 \mathcal{N}

O O Select_B Select_I ... Append_I Select_B
O O Select_B Select_I ... Append_I Select_B



$$g(\mathbf{s}_k | \Theta) = \sum_{i=1}^N (\mathbf{A}_{t_{i-1}^k t_i^k} + \mathbf{f}_i[t_i^k])$$

$$\hat{\mathbf{s}}_p = \arg \max_{\mathbf{s} \in \mathcal{P}} g(\mathbf{s} | \Theta)$$

$$\hat{\mathbf{s}}_n = \arg \max_{\mathbf{s} \in \mathcal{N}} g(\mathbf{s} | \Theta)$$

$$\max(0, \Delta - \frac{g(\hat{\mathbf{s}}_p | \Theta)}{|\hat{\mathbf{s}}_p|} + \frac{g(\hat{\mathbf{s}}_n | \Theta)}{|\hat{\mathbf{s}}_n|})$$

	Model	Symbol Acc (%)	BLEU (%)
Dev	SEQ2SEQ	0.63 \pm 0.00	21.34 \pm 1.14
	COPYNET	17.50 \pm 0.87	43.36 \pm 0.54
	S2S+ANON	18.75 \pm 0.95	41.22 \pm 0.33
	COPY+ANON	25.50 \pm 2.47	51.45 \pm 0.93
	FANDA	49.00 \pm 1.28	60.14 \pm 0.98
Test	CONCAT	22.00 \pm -	52.02 \pm -
	E2ECR	27.00 \pm -	52.47 \pm -
	SEQ2SEQ	0.50 \pm 0.22	20.72 \pm 1.31
	COPYNET	19.30 \pm 0.93	43.34 \pm 0.45
	S2S+ANON	18.80 \pm 1.77	38.90 \pm 2.45
	COPY+ANON	27.00 \pm 4.32	49.43 \pm 1.11
	FANDA	47.80 \pm 1.14	59.02 \pm 0.54

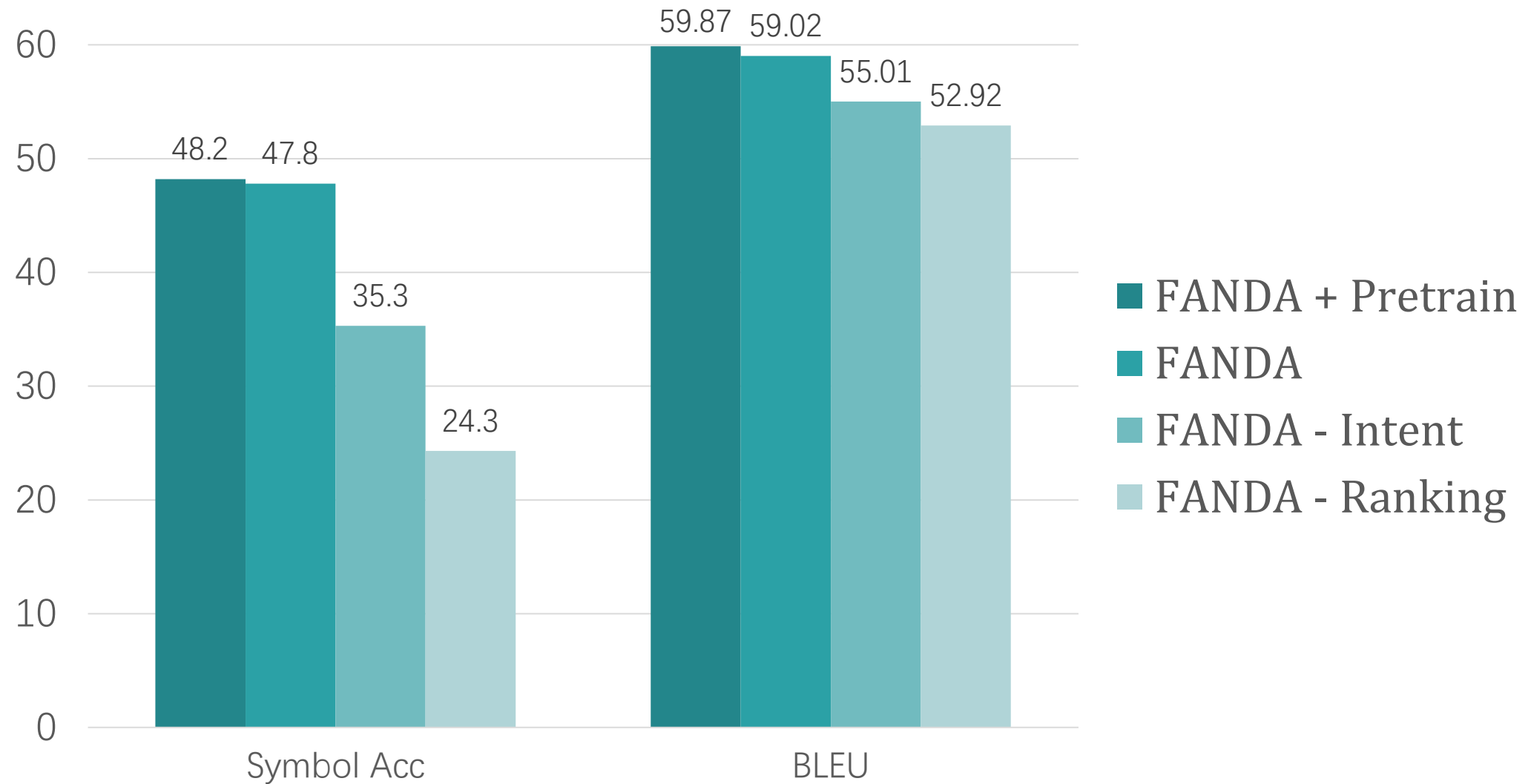
Model	Execution Accuracy (%)
CONCAT	25.24
E2ECR	27.18
COPY+ANON	40.77
FANDA	60.19

- **Symbol Acc:** Symbol Consistent With Gold Fused Query
- **BLEU:** Quality of Output Fused Query
- **Execution Accuracy:** Output Query Execution Correctness (Parser using [Coarse-to-Fine](#))
 - SEQ2SEQ: Attention SEQ2SEQ
 - COPYNET: + copy mechanism
 - S2S + ANON: SEQ2SEQ + anonymization
 - COPY + ANON: COPY+ anonymization
 - CONCAT: Concatenate Precedent Query and Follow-up
 - E2ECR: End to End Coreference Resolution System

Anonymization

Origin : In 1995, is there any network named CBC? Any TSN?

Transform : In Val#1, is there any Col#1 named Val#2? Any Val#3?



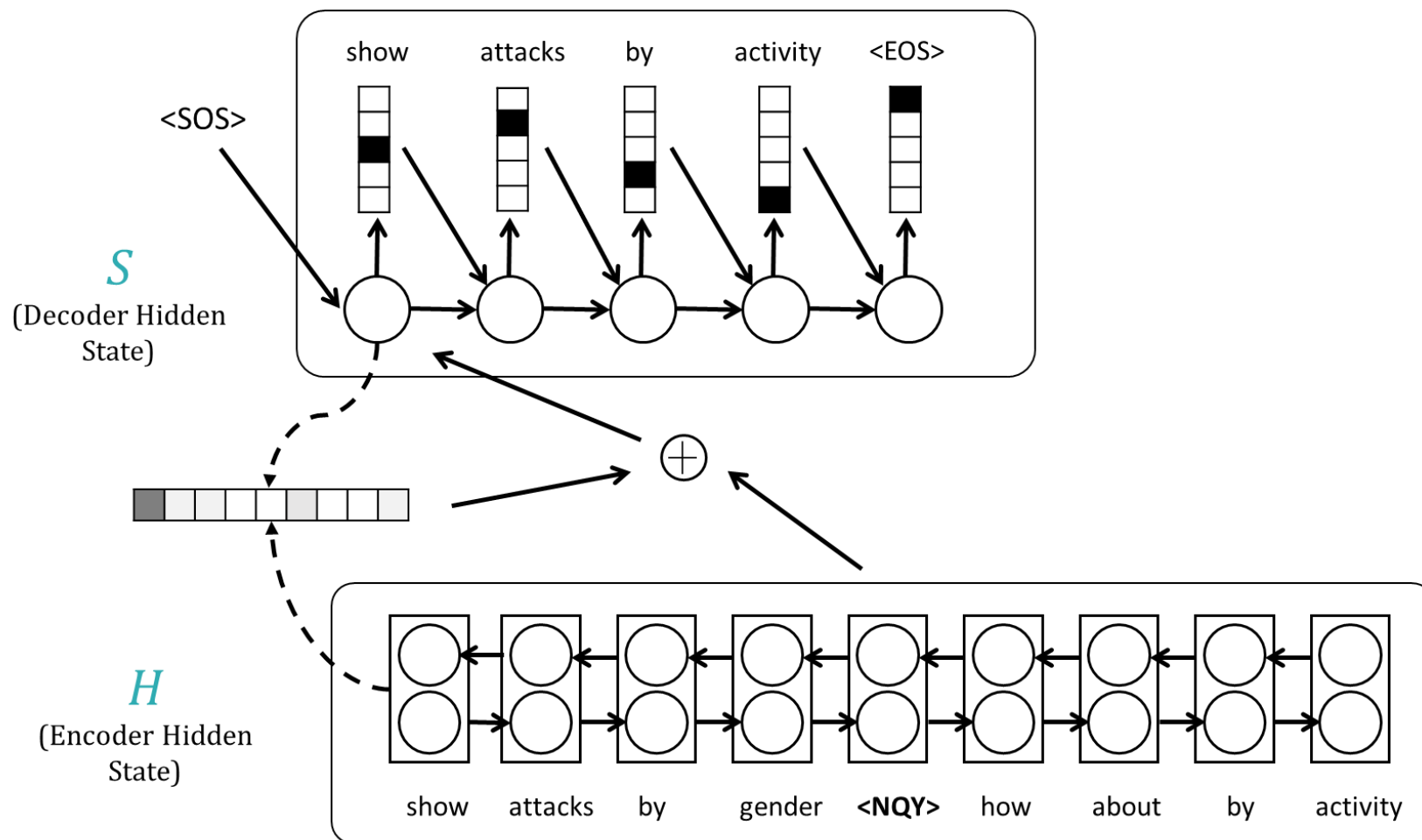
No	Case Analysis	
1	Precedent	: What is the result, when the home team score is 2.4.6? Follow-up : What is the date?
	Gold Fusion	: What is the date, when the home team score is 2.4.6?
	COPY+ANON	: What is the date, the home team score is 2.4.6?
	FANDA	: What is the date, when the home team score is 2.4.6?
2	Precedent	: Which is the draw number of Lowry? Follow-up : How about Laura?
	Gold Fusion	: Which is the draw number of Laura?
	COPY+ANON	: Which is the draw number of Lowry?
	FANDA	: Which is the draw number of Laura?
3	Precedent	: What are the names when elevation is feet? Follow-up : Of those, whose GNIS feature is 1417308?
	Gold Fusion	: Of names when elevation is feet, whose GNIS feature is 1417308?
	COPY+ANON	: What are the names when elevation is 1417308, whose GNIS feature is feet?
	FANDA	: What are the names when elevation is feet whose GNIS feature is 1417308?

	COPY+ANON	FANDA
Substantial Overlap	√	√ (Segment Type)
No Overlap		√ (Table Structure)
Ambiguous overlap		√ (Combination of Symbol)

- Extending to multi-turns and multi-tables.
- Using reinforcement learning

Thank you!





$$S_1 = RNN(H_n, E_{sos})$$

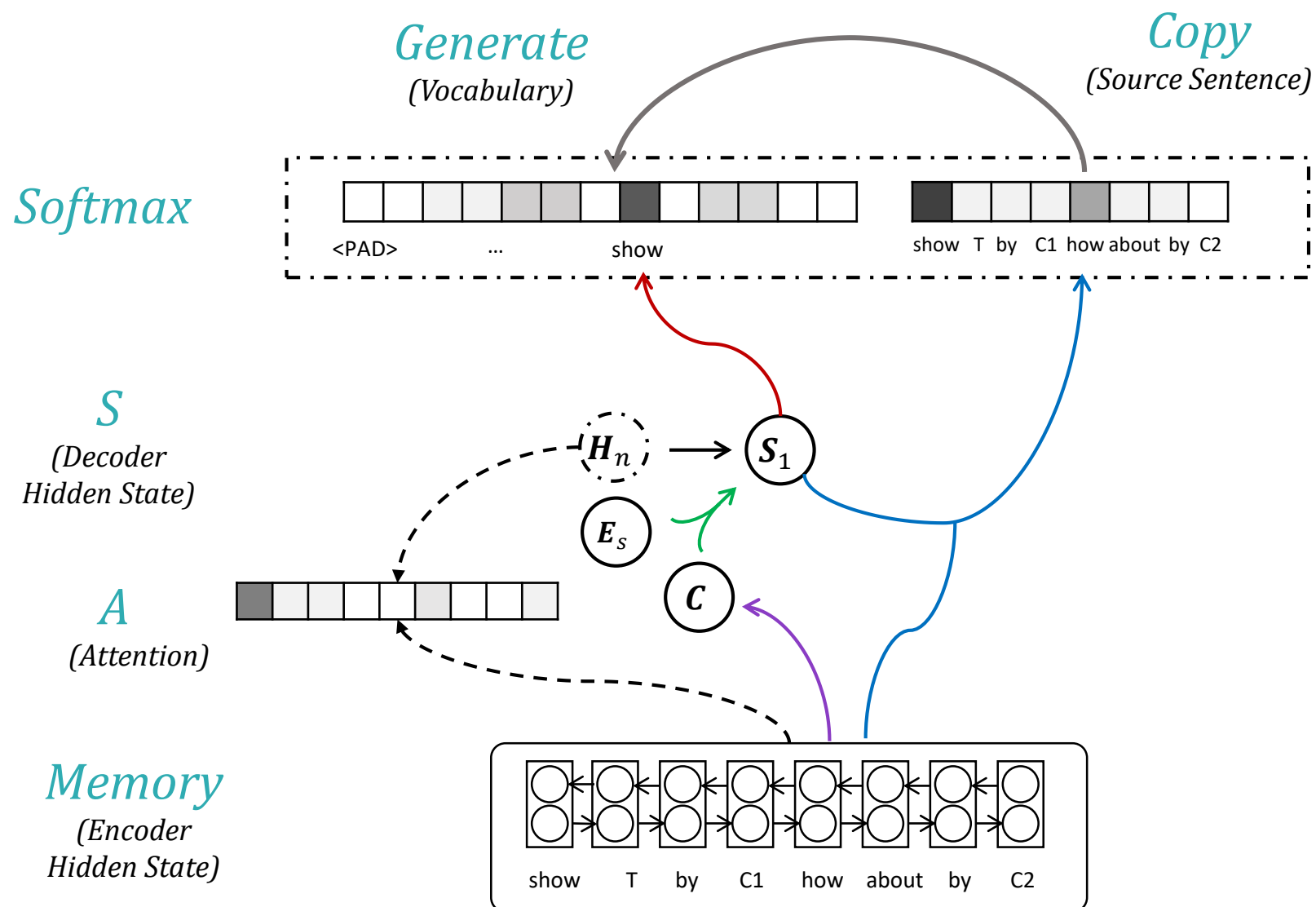
$$\alpha_i = S_1^T W H_i$$

$$a_i = \frac{e^{\alpha_i}}{\sum e^{\alpha_k}}$$

$$C = \sum a_i \cdot H_i$$

$$O = F([C, S_1])$$

$$S_2 = RNN(S_1, E_o)$$



$$\alpha_i = V_a^T \tanh(W[H_n H_i])$$

$$a_i = \frac{e^{\alpha_i}}{\sum e^{\alpha_k}}$$

$$C = \sum a_i \cdot H_i$$

$$S_1 = RNN(H_n, [E_s, C])$$

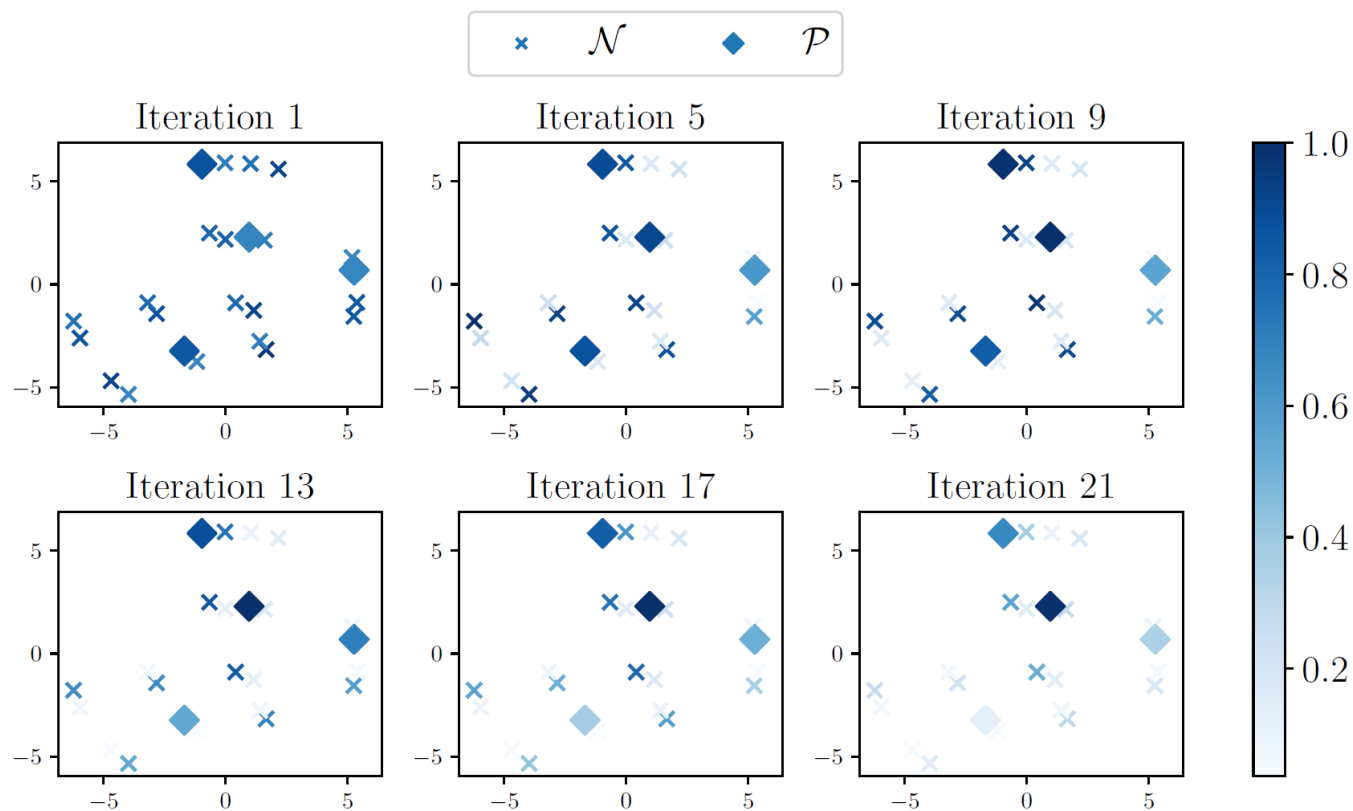
$$O_G = W_o S_1$$

$$\beta_j = \tanh(W_c H_j) S_1$$

$$O_C = [\beta_1, \dots, \beta_s]$$

$$P = \text{Softmax}([O_G, O_C])$$

$$p(y_t) = p(y_t, g | \cdot) + p(y_t, c | \cdot)$$



- In **Iteration 1**, different but similar scores are assigned to all candidates in \mathcal{P} and \mathcal{N} with random initialization.
- From **Iteration 5 to 21**, the score distribution becomes increasingly skewed.
- From **Iteration 13 to 21**, the candidate with the highest score remains unchanged, indicating the stability of weakly supervised learning.