

Optical Imaging

NA =  $\eta \sin \theta$ (Numerical Aperture, refractive index, RI)  
油镜:RI与玻璃类似,载玻片与介质之间几乎不发生折射,收集角度大

Resolution limits: ( $R = \frac{\lambda}{2NA}$ 小)

Depth of field (Z): ~500nm  
Resolution: ~200nm

Airy disk(衍射): Gaussian分布的光强度， 当一个环的中心与另一个环的1<sup>st</sup> maximum ( $\theta = \frac{1.22\lambda}{d} = \frac{1.22\lambda}{2R \cdot NA}$ )重合

叠时不可分辨  
FRET

$$R_0^6 = \frac{9Q_0(ln10)\kappa^2J}{128\pi^5\eta^4N_A} \quad E = \frac{1}{1 + (\tau/R_0)^6}$$

Confocal Spectroscopy

对部分位置进行选择性地染色，通过调对焦面调整被激发的分子，扫描

Super-Resolution Microscopy

STORM(时间分辨)  
在不同的时间点亮单个荧光分子。**Activator-reporter pair**, Activator荧光激发reporter, reporter被其他波长光 quench. 单次发出600个光子, 光子数越多分辨率越高。Photo bleaching.  
STED  
scan, 高强度激光(破坏样品)， optical vortex产生donut-shaped images，双光子

STORM/RESOLFT	STED/PALM
多光子(Gaussian)	单光子
荧光分子的坐标	预先设定坐标
需要时间长(背景)	

AFM

平整面扫描，云母片、单晶硅  
可以在水相中扫描(原位)  
可以探测绝缘材料  
Direct Mode:  
Tapping Mode: 前端resolution取决于针尖粗细,后端取决于震动频率  
Resolution: Scan rate(↓), Tapping frequency(↑),tip radius(↓), half angle(↓), force constant(↓), thermal noise, piezo response, dielectric of environment  
通过对针尖修饰可以得到材料不同的性质

STM(Scanning Tunneling)

STM探测的是电子云密度，电子云密度高的地方电流大(导电样品, 层状样品)

TEM(Transmission e micro-)

$$\lambda = \frac{h}{\gamma p} \quad \gamma = \frac{1}{\sqrt{1 - (v/c)^2}}$$

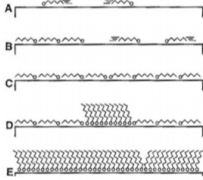
$$\sigma_{elastic} = Z\pi(\frac{e}{V\theta})^2 + \pi(\frac{Ze}{V\theta})^2$$

$$Q_T = N\sigma_T = \frac{N_0\sigma_T\rho}{M}$$

$$\lambda_{mfp} = \frac{1}{Q_T}$$

$$P = \frac{t}{\lambda_{mfp}} = \frac{N_0\sigma_T\rho t}{M}$$

Structure: E gun, condenser lens, specimen, objective lens, projective lens, CCD



Limits: Small area, average, damage

BF-TEM

收集透过电子与小角度散射电子

3 contrast: mass-thickness, diffraction, phase contrast(Direct & diffracted undergo phase shifting in the material.)

Contrast in BF-TEM: composition, thickness, crystallinity

DF-TEM

收集某些特定的diffraction way对应的crystal plane

更好地分辨轻质与重质元素

HAADF

高角度散射，重质元素亮

STEM

HR-TEM

同时利用衍射与透射电子，可以清楚看到晶格条纹，亮暗场不代表原子位置，调整焦平面得到不同相

XPS

elemental composition, electronic states, chemical states, formula

Mass Spec-SIMS

Mas-charge ratio

EDS/EDX

外层电子跃迁到空穴放出X-ray，穿透能力强。可用于TEM，SEM

EELS

检测入射后能量损失的电子(Type, quantity, chemical state, collective interaction of atoms with neighbors)

EF-TEM

EELS+TEM,对不同组分染色

SEM

利用二次电子+特征X线+背散射电子

二次电子：表面形貌

背散射电子：不同元素contrast

specimen之后不用再聚焦

AES

内层电子跃迁激发外层电子电离

分析表面(~5nm)元素组成

常用于分析导体，用于SEM

Resolution: ~20nm

Plane Spacing

$$(\frac{1}{d_{hkl}})^2 = (\frac{h}{a})^2 + (\frac{k}{b})^2 + (\frac{l}{c})^2$$

Weiss Zone Law:

plane(h<sub>1</sub>k<sub>1</sub>l<sub>1</sub>)(h<sub>2</sub>k<sub>2</sub>l<sub>2</sub>)

[UVW] =

(h<sub>1</sub>k<sub>1</sub>l<sub>1</sub>) × (h<sub>2</sub>k<sub>2</sub>l<sub>2</sub>)

Single-Slit Diff

$$I = I_0 \sin^2 c^2 [\frac{\pi x w}{\lambda L}]$$

$$\sin c(x) = \frac{\sin x}{x}$$
 w: 缝

宽

Double-Slit Diffraction

$$n\lambda = D \sin \theta = D \frac{x}{L}$$

SAED(Selected Area E Diff)

Back focal plane(衍射图像，非实像)

Single crystalline: diffraction pattern

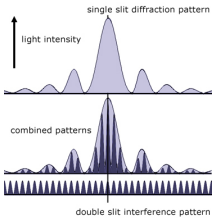
Poly crystalline: 衍射环，没有取向，与objective lens高度相关

Amorphous: 没有环, 知distance between neighbors pdf(键长)

Raman

2 Kinds of scattering: Rayleigh, Raman(Stokes↓, anti-stokes↑)

SERS: electromagnetic, chemical +



DLS(Dynamic Light Scattering)

$$D = \frac{k_b T}{6\pi\eta R_H}$$
 (Input: 黏度，温度)

Requirement: no stirring, narrow distribution, dilute, transparent, 溶剂不吸光, 溶剂折光系数不同, thermostable

Measure:  $D_T$ , Size(Z average),  $R_{Iv}$ , Polydispersity Index

Graph: Decay time(Size), Gradient (Polydispersity, more even larger)

$$G(\tau) = A(1 + B e^{-2\Gamma\tau}) \quad \Gamma = D(\frac{4\pi n}{\lambda_o})^2 \sin^2(\frac{\theta}{2})$$

Intensity  $\propto r^6$ , R↓ C↑, R↑ C↓ (1mL)

Static Light Scattering

Measure: Molar Mass(10<sup>3</sup>-10<sup>6</sup>),  $r_g$ , second virial

coefficient, translational  $D_T$ , shape

Limitations: 1mL, R↓ C↑, non-turbid

Input: concentration C, Refractive index

SAS(Small Angle Scattering)

$$I(Q) = N_p V_p^2 (\rho_p - \rho_s)^2 F(Q) S(Q) + B$$

$F(Q)$ : 单个粒子的作用(size shape, compo)

$S(Q)$ : 颗粒之间的相互作用

用于probe重元素

SANS

Neutrons interact with nuclei(isotope)

截面积与原子序数没有明显的线性关系

通过调节Scattering length density(H<sub>2</sub>O/ D<sub>2</sub>O)调节contrast  
probe壳核结构

	R(nm)		Invasiveness (high or low)	Elemental information	Vacuum
Confocal	200	Interior		N	N
TEM	0.005	Interior	High	With EDS, Y	Y
SEM	0.1	Surface	Low	AES and EDS, Y	
AFM	1	Surface	Low	N	N
STM	0.1	Surface	Low	N	Y
	Resolution	Origin of Contrast (RI, e density, nuclei cross- section)	Invasiveness (high, medium or low)	Typical angle range (estimate)	
DLS	1-1000	Rayleigh scattering	Low		
SLS	1-1000	Rayleigh scattering	Low		
SAXS	1-200 nm	E density			8.84~0.044
WAXS	<1	E density	Low		2~160 (2θ)
SANS	1-200	Nuclei			
	Concentration	Probe Depth			Info. Aquired
		1-2nm	1-10nm	Microns	
XPS	1000pm		Y		elemental composition, electronic states, chemical states, formula
SIMS	0.1ppm	Y			mass/charge ratio
EELS	10ppm		Y		轻质样品
EDS	1000ppm			Y	N

SAMs

M-S: ~150kJ/mol 断裂: 强酸，氧化

Odd-even effects: 偶数个C更亲水

Thiol可以通过部分氧化来调节与表面能的binding程度

**Post Modification:** Insertion at defect site, chemical reaction(光照生成PS后litho)

SAMs可以作为spacer介导粒子的自主装

不同的SAMs结构→不同的自主装结构

可以利用Microcontact print向基底印上thiol

SAMs: **HF with different moisture**(DNA)

SAMs: 可以作为resist

**High E surfaces:** silica, metals, MO<sub>x</sub>

**Low E:** polymer, 小的分子晶体, graphene

**Dynamic Measur:** 变化越大, liquid-solid作用越弱

**Block Copolymer**

可以通过**活性聚合**得到

避免大范围分相, 但存在**microphase sep.**

Interaction Parameter( $\chi$ ): 代表 $\Delta H$

**Surface energy**

**Bubble:**  $\Delta P = 2\gamma(\frac{1}{R_1} + \frac{1}{R_2})$

**Young-Laplace:**  $\Delta\mu = 2\gamma\Omega/r$

$\ln\left(\frac{P_C}{P_\infty}\right) = \ln\left(\frac{S_C}{S_\infty}\right) = \frac{\gamma\Omega\left(R_1^{-1} + R_2^{-1}\right)}{kT} = \frac{2\gamma V_m}{rRT}$

Surface energy:  $\gamma = \frac{1}{2}N\epsilon\rho a$

**Surface Stabilization**

**Reducing surface energy:** 表面弛豫, 表面重构, 表面吸附, composition segregation

**P.Z.C:** E = 0.06(p.z.c - pH)

**产生表面电荷的方式:** 离子的选择性吸附, 带点物种的解离, 离子替代, 电荷逃逸/累计, 物理吸附带点物种

**Surface potential:**  $E = \frac{2.303RT}{F} \log(c/c_{zp})$

$E \propto e^{-\kappa(h-H)}$  H: double layer thickness  
 $\kappa = (F^2 I / \epsilon \epsilon_0 R T)^{1/2}$

**DLVO theory:**

$\Phi_R = 2\pi\epsilon_r\epsilon_0 r E^2 \exp(-\kappa S)$

$\Phi_A = \frac{-Ar}{12S}$  if  $s \ll \zeta$

双电层薄时可能出现

**secondary minimum.**

Electro stab. 动力学稳

定

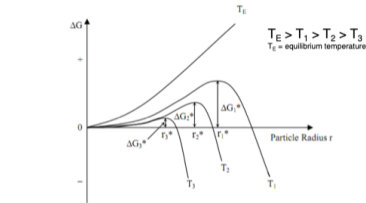
Steric stab. 动力学稳定

不良溶剂+低覆盖率: 先降后升

**Homogeneous nucleation**

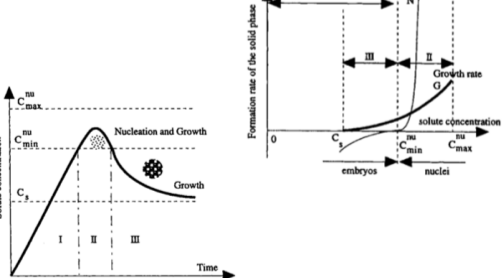
**热力学:**  $\Delta G = -\frac{4\pi}{3}r^3kT \ln \frac{C}{C_0} + 4\pi r^2\gamma$

$r^* = -\frac{2\gamma}{\Delta G_v} \quad \Delta G_v^* = \frac{16\pi\gamma^3}{3(\Delta G_v)^2}$



**Lamber Diagram:**

**Nucleation rate**



$R_N = \left\{ \frac{C_0 k T}{3\pi d^3 \eta} \right\} \exp\left(\frac{-\Delta G_v^*}{k T}\right)$

**Distribution:**

**diff. Controlled:**  $\frac{dr}{dt} = \frac{D(C - C_0)V_m}{r}$  D↓

**Rxn. Controlled:**  $\frac{dr}{dt} = k r^2$  D↑

**Multi layer:**  $\frac{dr}{dt} = k$  D↓

**Heterogeneous Nucleation:**

$\Delta G = a_3 r^3 \Delta\mu_v + a_1 r^2 \gamma_{vf} + a_2 r^2 \gamma_{fs} - a_2 r^2 \gamma_{sv}$

$a_1 = 2\pi(1 - \cos\theta) \quad a_2 = \pi \sin^2\theta \quad a_3 = 3\pi(2 - 3\cos\theta + \cos^2\theta)$

**Top-Down**

**Photo Lithography (~10nm)**

**Positive resist:** 光照后水溶 **Neg:** 光照后聚合, 难溶

**DRIT(Deep reactive ion etching, High):** 低湿

形成直的孔道

**Particle Etching**(E beam lithography, fairly high)

**Nanoimprint Lithograohy**(NIL, ~5nm), Si stamp印poly

**Plasma cleaning:** O<sub>2</sub>去除有机物, Ar去除无机物

**Nanosphere Lithograohy:** PE小球, oxygen plas, CVD/PVD

**Microcontact Printing:** PDMS图章,比nanoimprint res低

**AAO template**(5~1000nm, hexagonal packing): 作为电化学还原的模板(Al<sub>2</sub>O<sub>3</sub>+HNO<sub>3</sub>, Al+NaOH)

**On-wire Lithography:** Au/Niwire, 在wire一侧sputter Au

**0D material**

**Au NPs**

**柠檬酸钠还原**(>10nm, 80°C): T↑ R↓↑ D↑↑

**Brust-Schiffrin**(<5nm): Phase Transfer, NaBH<sub>4</sub> reduction, alkanethiol protection 丙酮ligand exchange可以得到>5nm

**Seed Mediated Growth:** 多种形貌

**Ag NPs**

**Polyol Synthesis:** 醇(还原剂+stabilizaer)原位氧化生成

醛, 种晶介导生长, 生成多种形貌的晶体(添加剂影响)

**Pt NPs**

NaOH + H<sub>2</sub>PtCl<sub>6</sub>.6H<sub>2</sub>O, +HCl PVP stab.

**Semiconductor**

**原子半径越大, 粒子尺寸越大 对应的band gap**越小

**Brus's**  $E_g^* = E_g^{\text{bulk}} + \frac{\hbar^2 \pi^2}{2R^2} \left( \frac{1}{m_e} + \frac{1}{m_h} \right) - \frac{1.8e^2}{\epsilon R}$

Bulk, 量子效应(空穴质量小时量子效应明显, 半径小时量子效应占主导), 库伦引力

缺陷(**deep trap**)会导致电子能更好的地在价带与导带之间导通, 改变吸光频率

**Caps:** TOPO不导电, 很难隧穿; SnS<sub>2</sub>导电, 吸收λ变长

**合成:** TOPO溶液加热除气, Me<sub>2</sub>Cd-TOP, TOP-Se(230°C)

or (TMS)<sub>2</sub>Se (-100°C)生长温度低很多

不同形状: TOP+S→TOPS, CdO + HPA(酸,稳定)洗涤: 酸洗, +不良溶剂 (大颗粒先沉淀)

**Ball milling,**

**Electrospraying**

**LSPR**

纳米粒子尺寸小于光波尺寸,

quasi-static

Lateral dimension < δ<sub>diel</sub>

**影响因素:** diameter(R↑λ↑),

shape(nanoshell

**scattering+absorption,**

**nanosphere+rod absorption)**

三角形的nanoplate会有三个峰

(2个dipole+1个quadrupole)

粒子越小对应的**荧光效应**越强

Spectra: ligand&medium( $\epsilon_s - \epsilon_m$ )折光系数差距越大,

ligand所占体积越大, 波长变化越多

**LSPR coupling:** 间距越小, 对应的波长越长

**1D material**

**Electrospinning(非单晶), Evaporation-**

**Condensation**

**VLS(Vapor-Liquid-Solid)**

通常用于生长**Si纳米线**以及**半导体纳米线**(两者间溶解度低), 生长纳米线的宽度被droplet大小决定

**Template Directed Growth**

可用于生成金属, 金属氧化物, 或者Polymer

**Electro chemical deposition:**

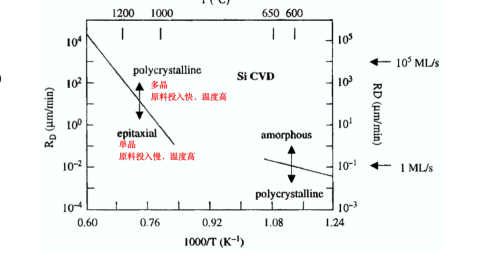
**Electroless chemical deposition:** Polymer中空(实心

polymer可以通过Nanosphere lithography)

**2D Material**

**Island:**  $\gamma_{sv} < \gamma_{sf} + \gamma_{vf}$  **Layer:**  $\gamma_{sv} = \gamma_{sf} + \gamma_{vf}$

I-L:  $\Delta G^* = \left\{ 16\pi \gamma_{vf} / (3 (\Delta G_v + w)) \right\}^2 \left\{ 2 - 3 \cos\theta + \cos^3\theta / 4 \right\}$



**PVD**

**蒸发**(10<sup>-3</sup>~10<sup>-10</sup> torr): LiF, Al, Ca, Ti, Cr, Au, C<sub>60</sub>很难形成复合膜

**Molecular Beam Epitaxy**(10<sup>-10</sup>torr): 元素供给枪, 温度太高使其快速脱附, 温度太低容易产生缺陷

**Sputtering**(100torr): 存在低压Ar, 被电离轰击阴极

**CVD(10~760torr)**

复杂形貌材料的表面修饰: 金属, MO<sub>x</sub>, MC<sub>x</sub>, MN<sub>x</sub>,特别小的孔很难扩散进去

**Metal Organic CVD:** 通常生成**multilayer structure**

**ALD**

可以精确控制层数(0.5nm~几百nm)

**Sol-gel process**

金属前驱体水解生成colloidal suspension(sol), sol之间发生condensation生成gel, 可以用于生成金属氧化物

**Spin-Coating**

Applying - rotating - drying - repeating

