

Introduction to Algorithms

Approximation Algorithm

Approximation Algorithms

How to deal with NP-complete Problem

Many of the important problems in real world are NP-complete.

SAT, Set Cover, Graph Coloring, TSP, Max IND Set, Vertex Cover, ...

So, we cannot find optimum solutions in polynomial time. We have to enumerate all possible solutions and identify the best one, which sometimes takes $n!$ steps, which is in $O(2^n)$ time.

What to do instead?

- Find optimum solution of special cases (e.g., random inputs)
- Find near optimum solution in the worst case

Approximation Algorithm

Polynomial-time Algorithms with a guaranteed approximation ratio.

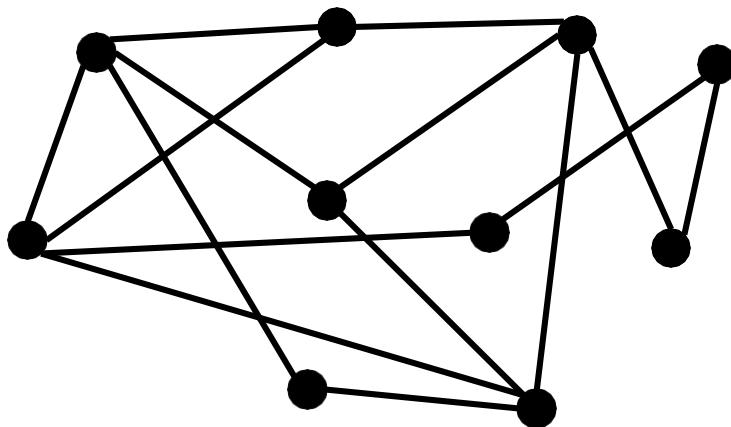
$$\alpha = \frac{\text{Cost of computed solution}}{\text{Cost of the optimum}}$$

worst case over all instances.

Goal: For each NP-hard problem find an approximation algorithm with the best possible approximation ratio.

Vertex Cover

Given a graph $G=(V,E)$, Find smallest set of vertices touching every edge



Greedy Algorithm?

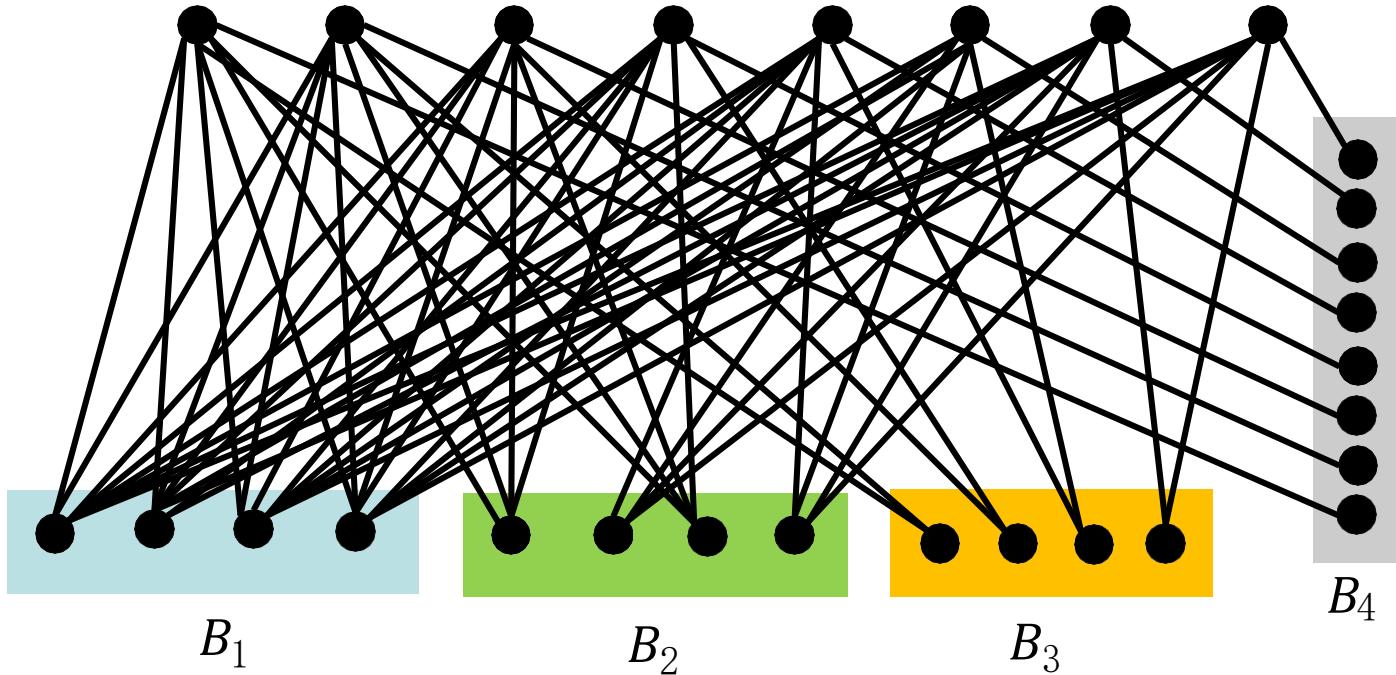
Greedy algorithms are typically used in practice to find a (good) solution to NP-hard problems

Strategy (1): Iteratively, include a vertex that covers most new edges

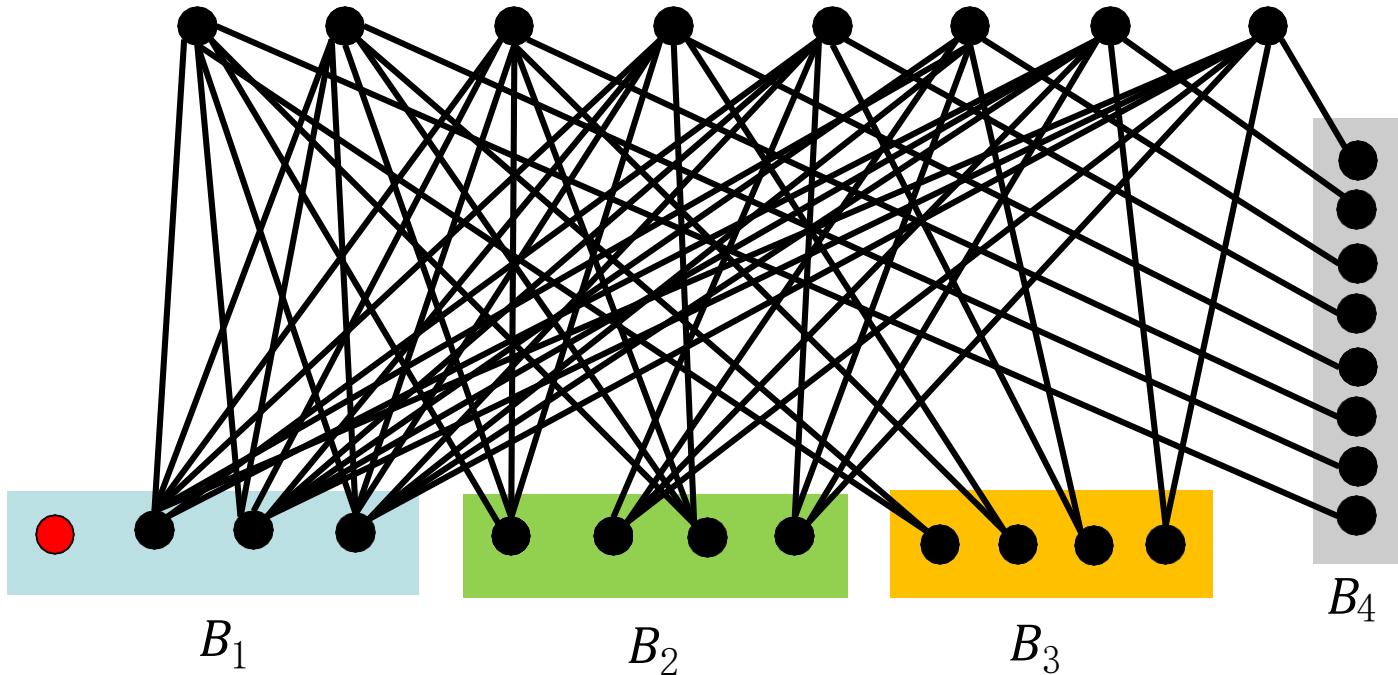
Q: Does this give an optimum solution?

A: No,

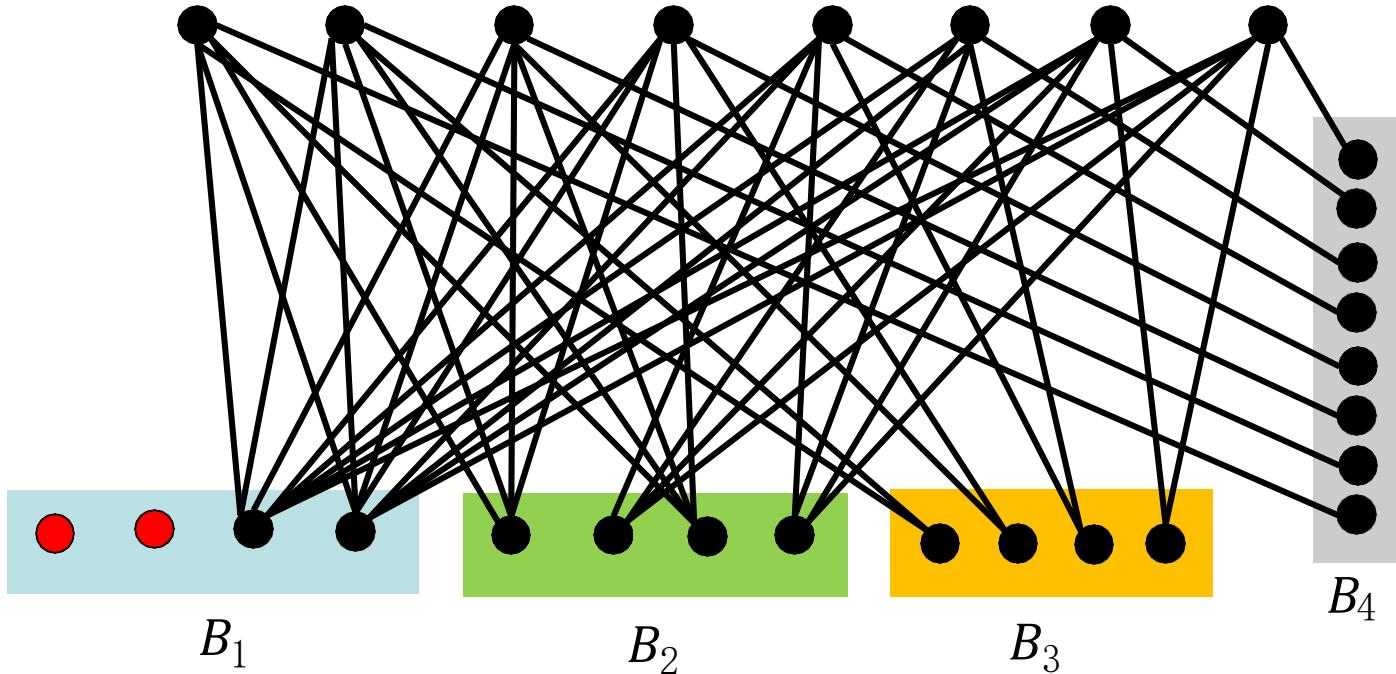
Greedy (1): Pick vertex that covers the most



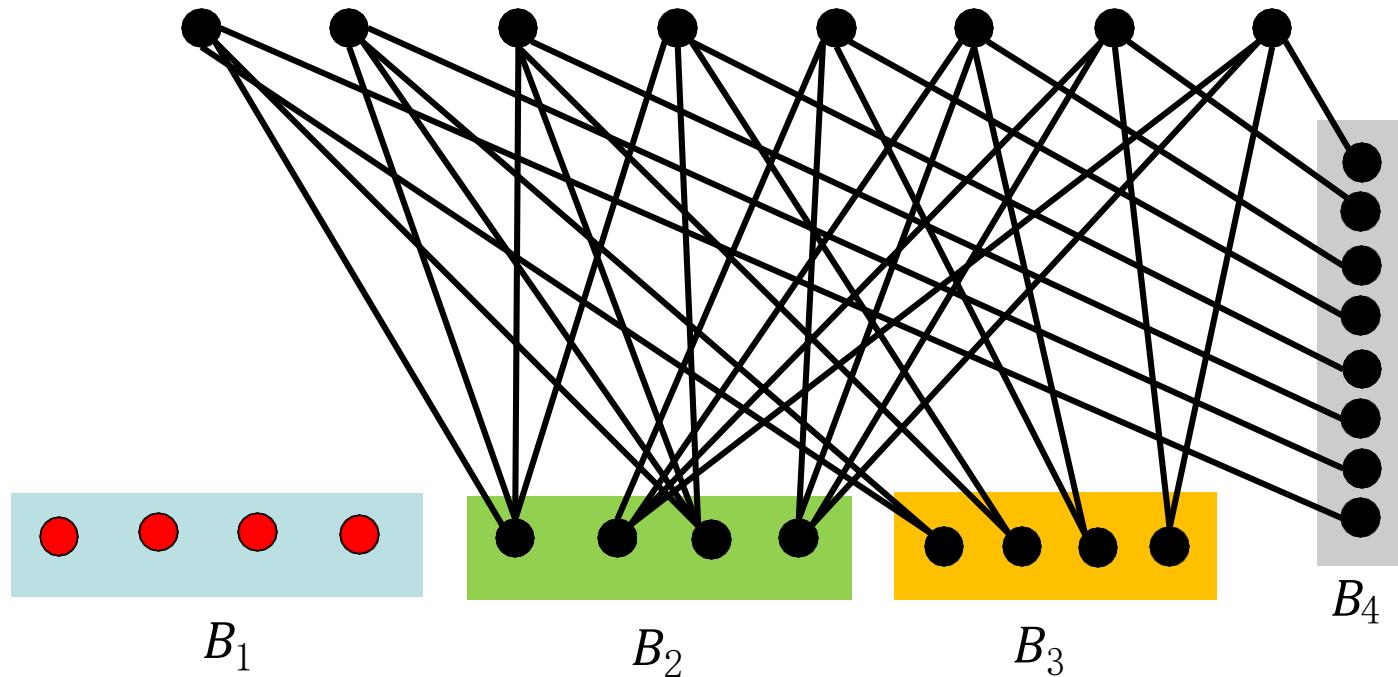
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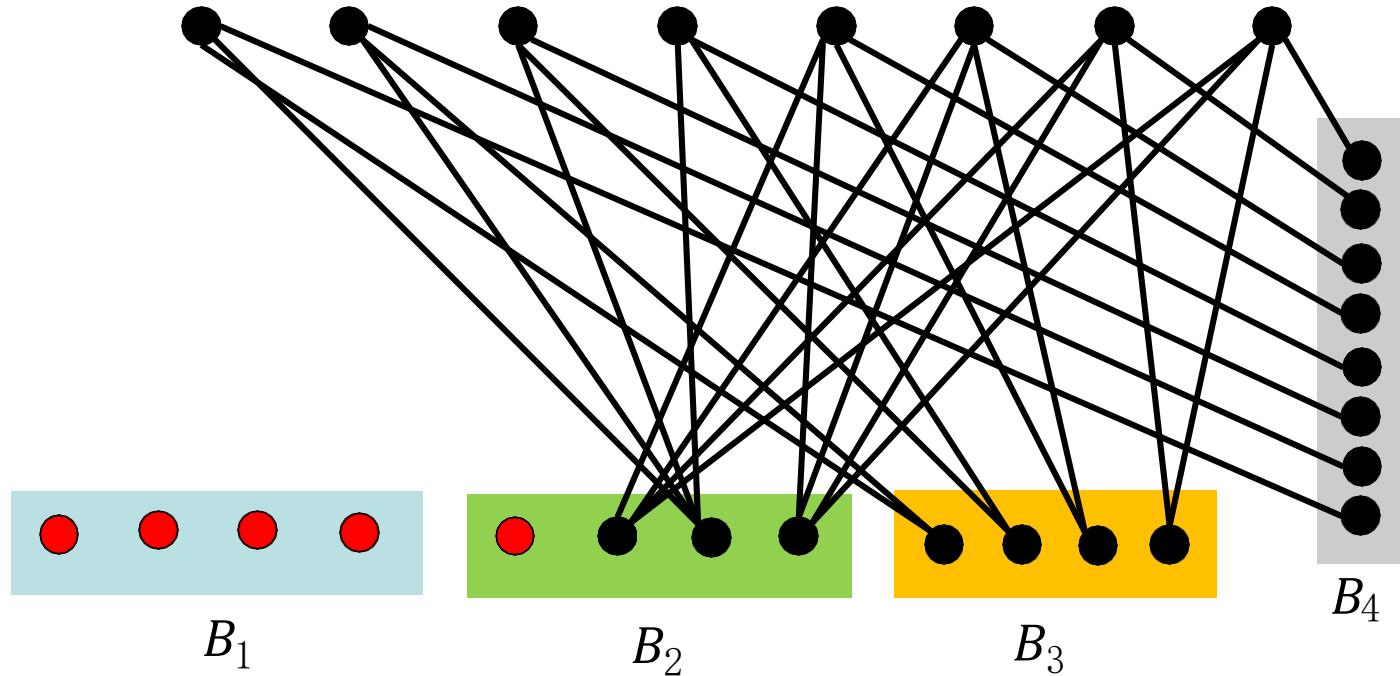
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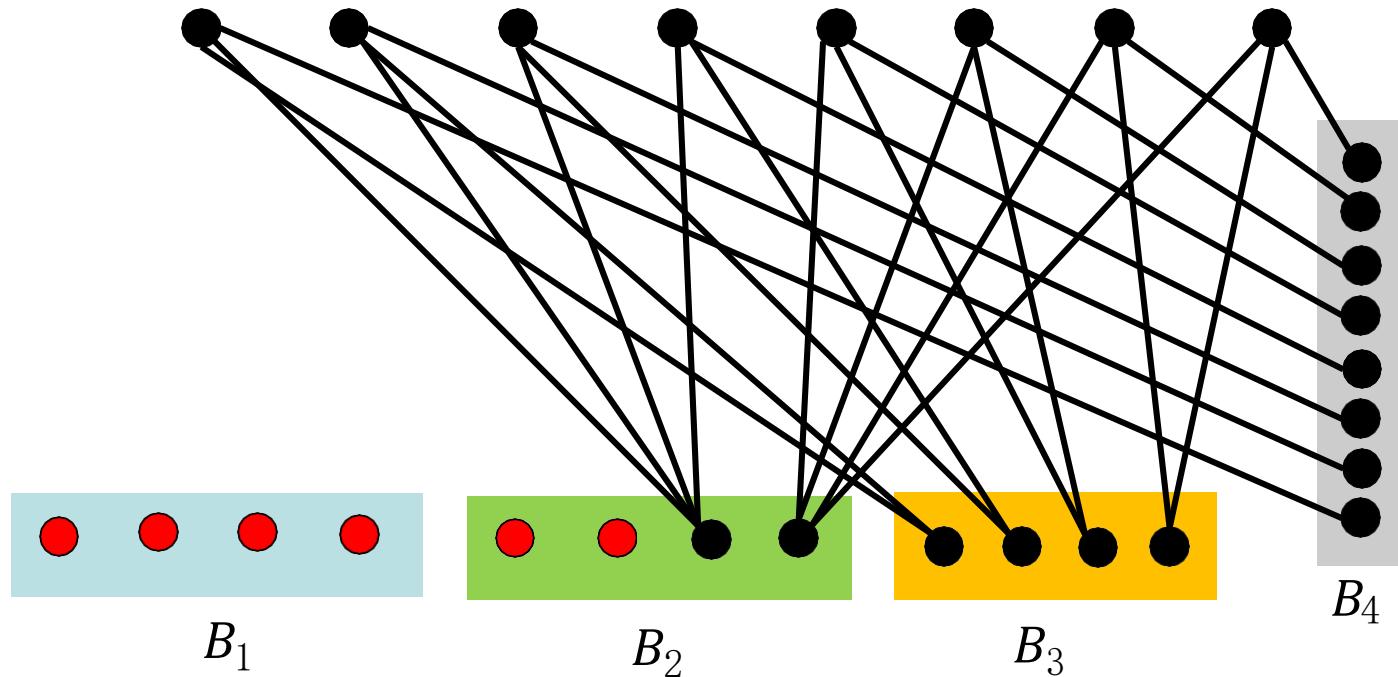
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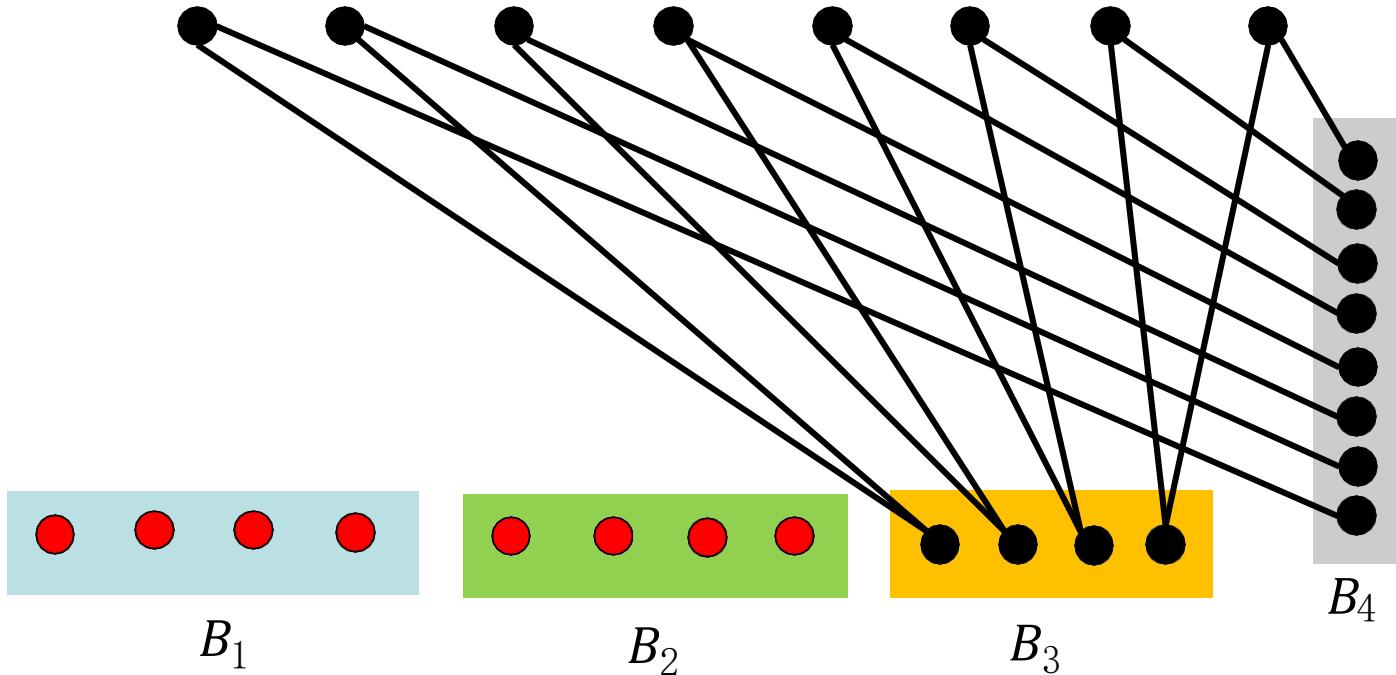
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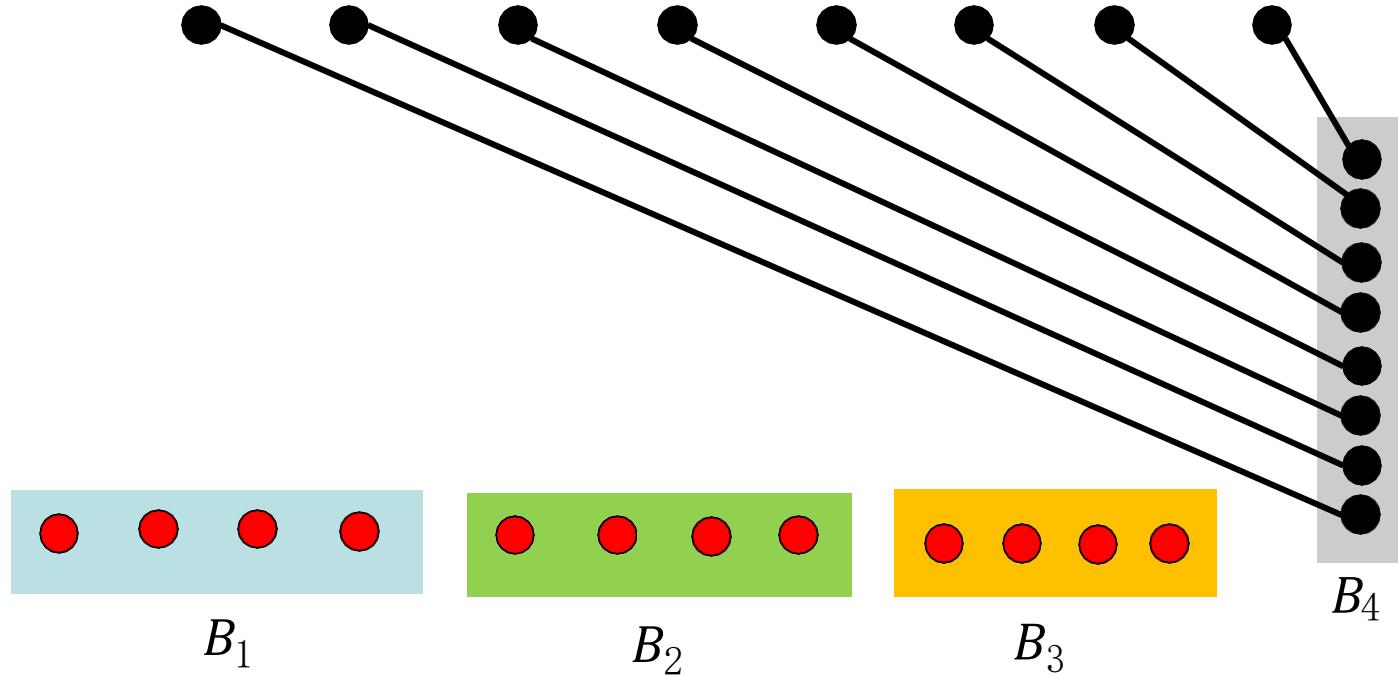
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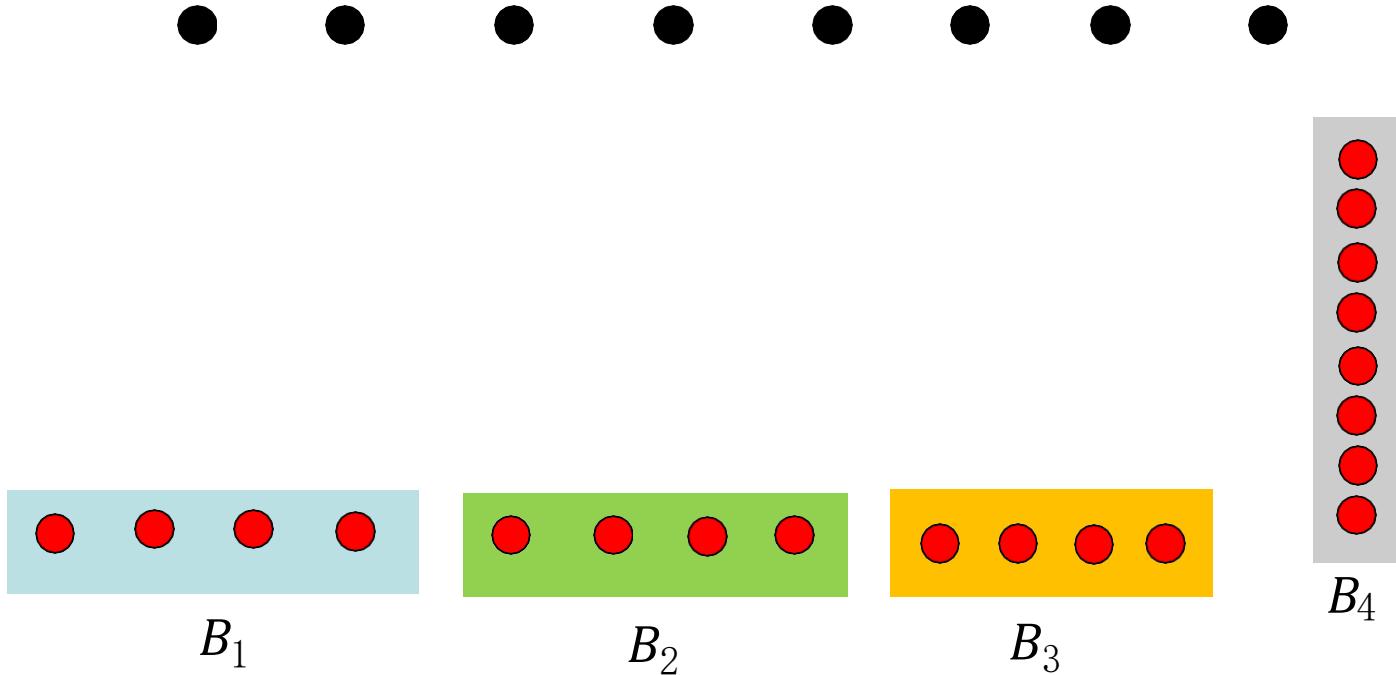
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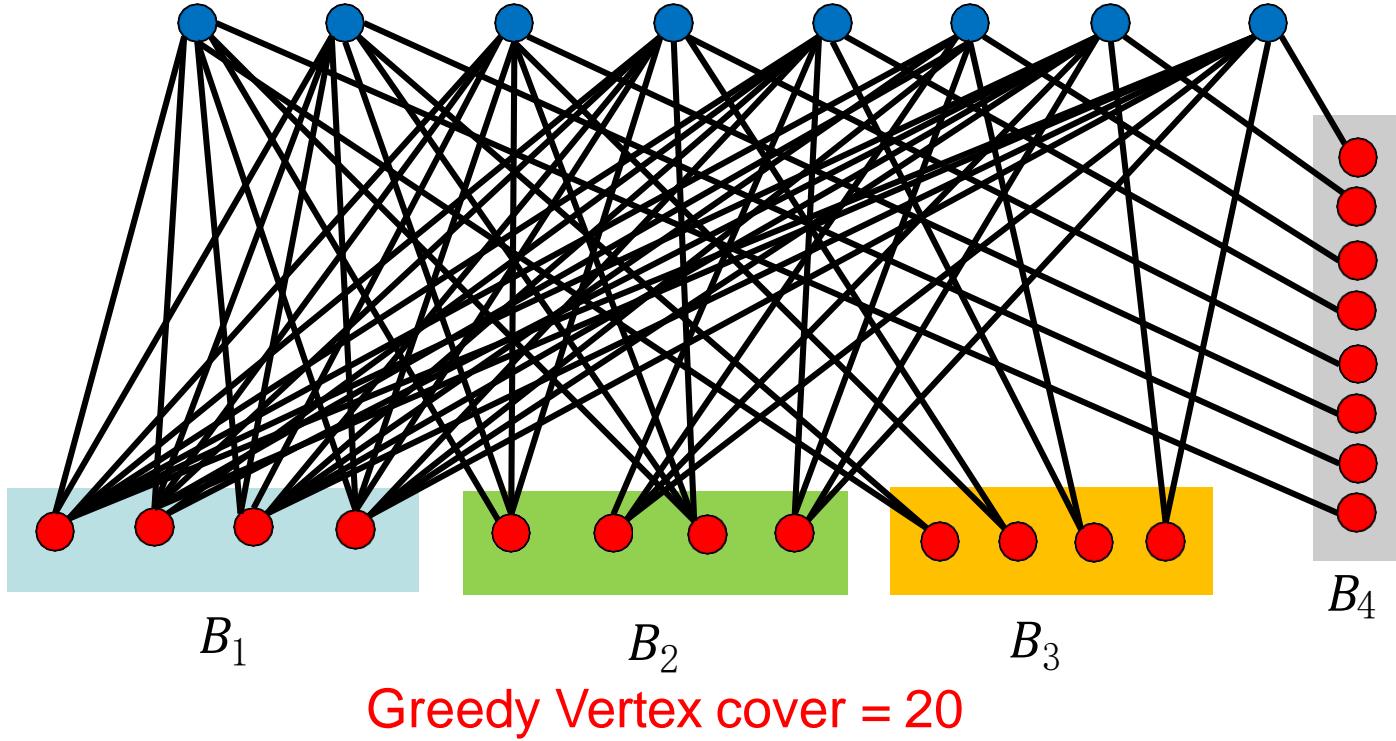
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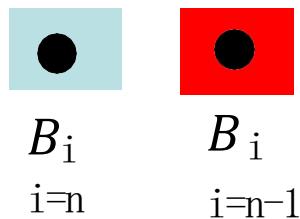


Greedy (1): Pick vertex that covers the most

n vertices. Each vertex has i edges into each node in B_i



n/i nodes



.....



.....



Greedy pick bottom vertices = $n + \frac{n}{2} + \frac{n}{3} + \dots + 1 \approx n \ln n$



对应于 B_1

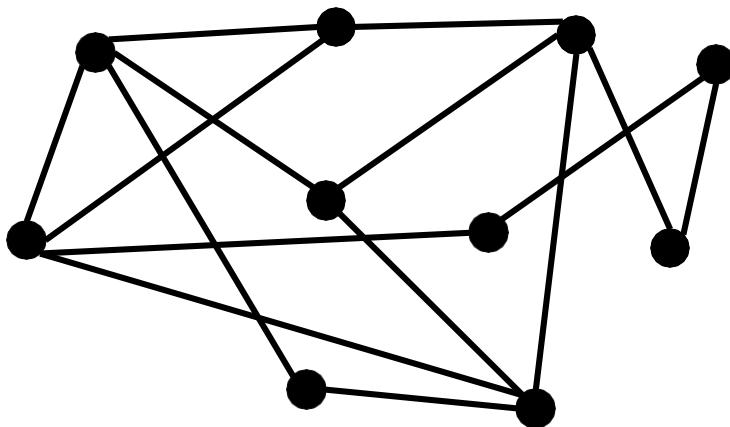
OPT pick top vertices = n

调和级数

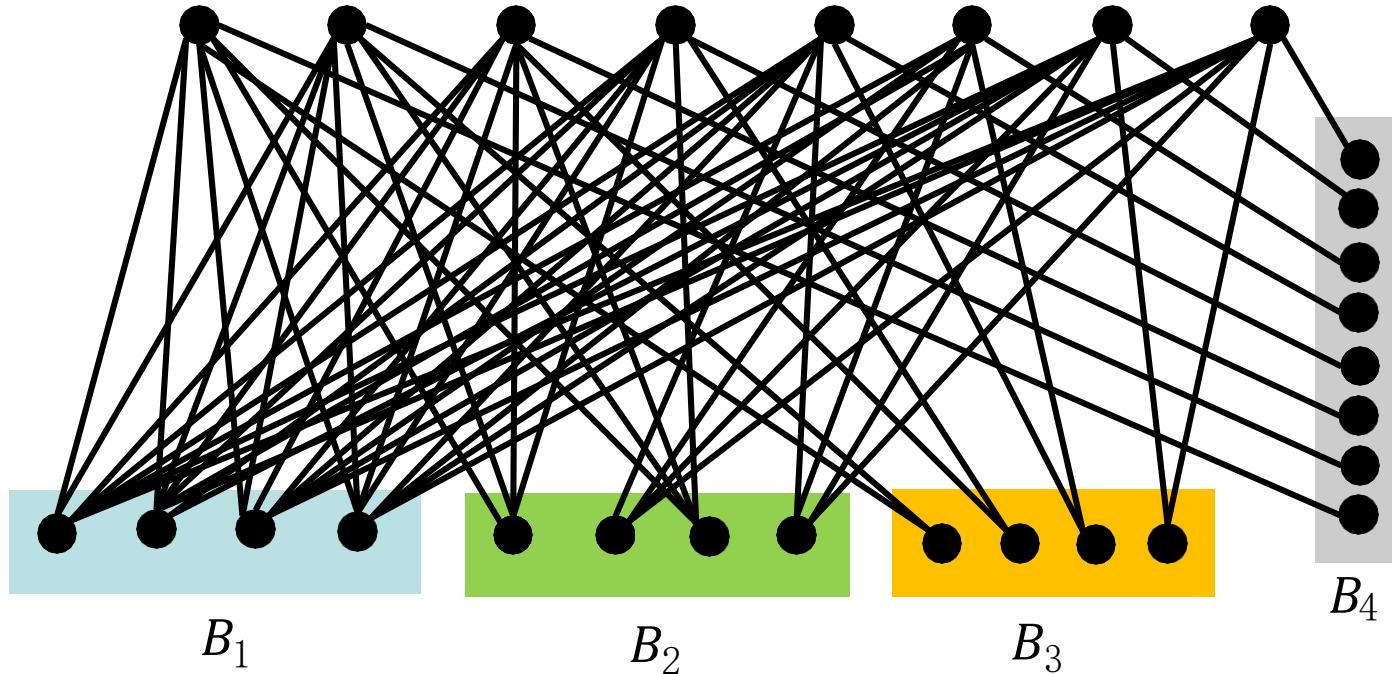
A Different Greedy Rule

Greedy 2: Iteratively, pick **both endpoints** of an uncovered edge.

Vertex cover = 6



Greedy 2: Pick Both endpoints of an uncovered edge



Greedy vertex cover = 16

OPT vertex cover = 8

Greedy (2) gives 2-approximation

Thm: Size of greedy (2) vertex cover is at most twice as big as size of optimal cover

Pf: Suppose Greedy (2) picks endpoints of edges e_1, \dots, e_k . Since these edges do not touch, every valid cover must pick one vertex from each of these edges!

i.e., $OPT \geq k$.

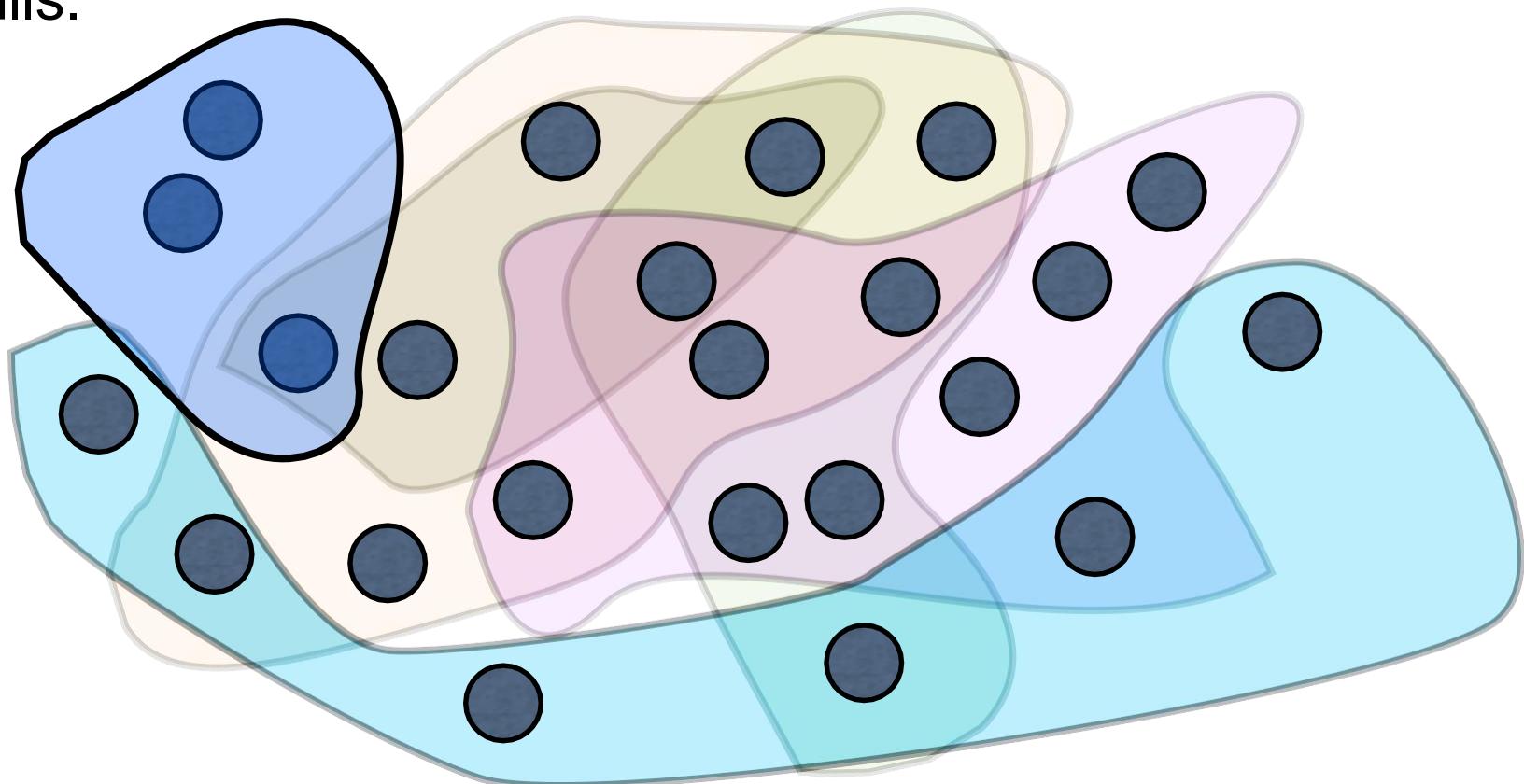
But the size of greedy cover is $2k$. So, Greedy is a 2-approximation.

Set Cover

Given a number of sets on a ground set of elements,

Goal: choose minimum number of sets that cover all.

e.g., a company wants to hire employees with certain skills.

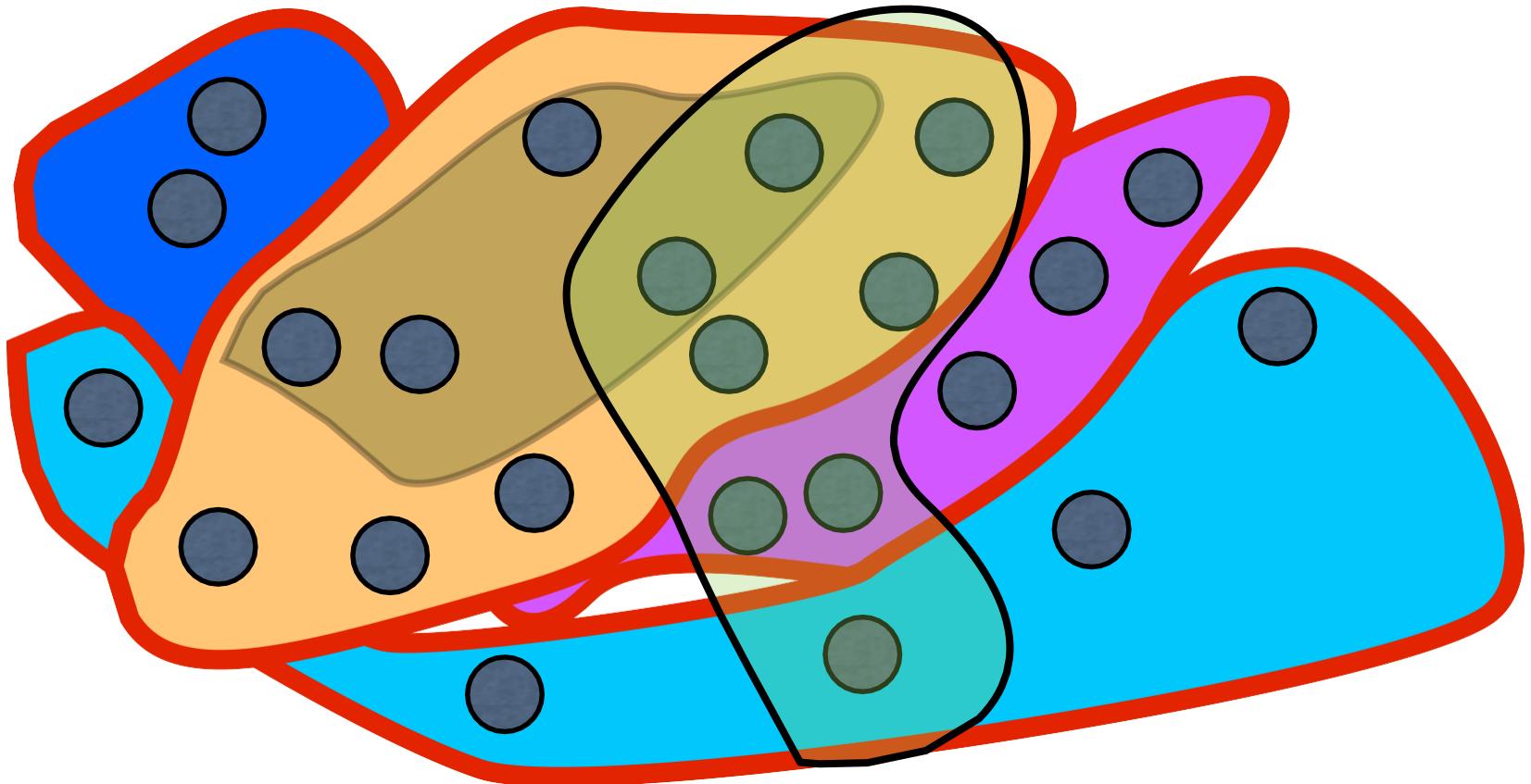


Set Cover

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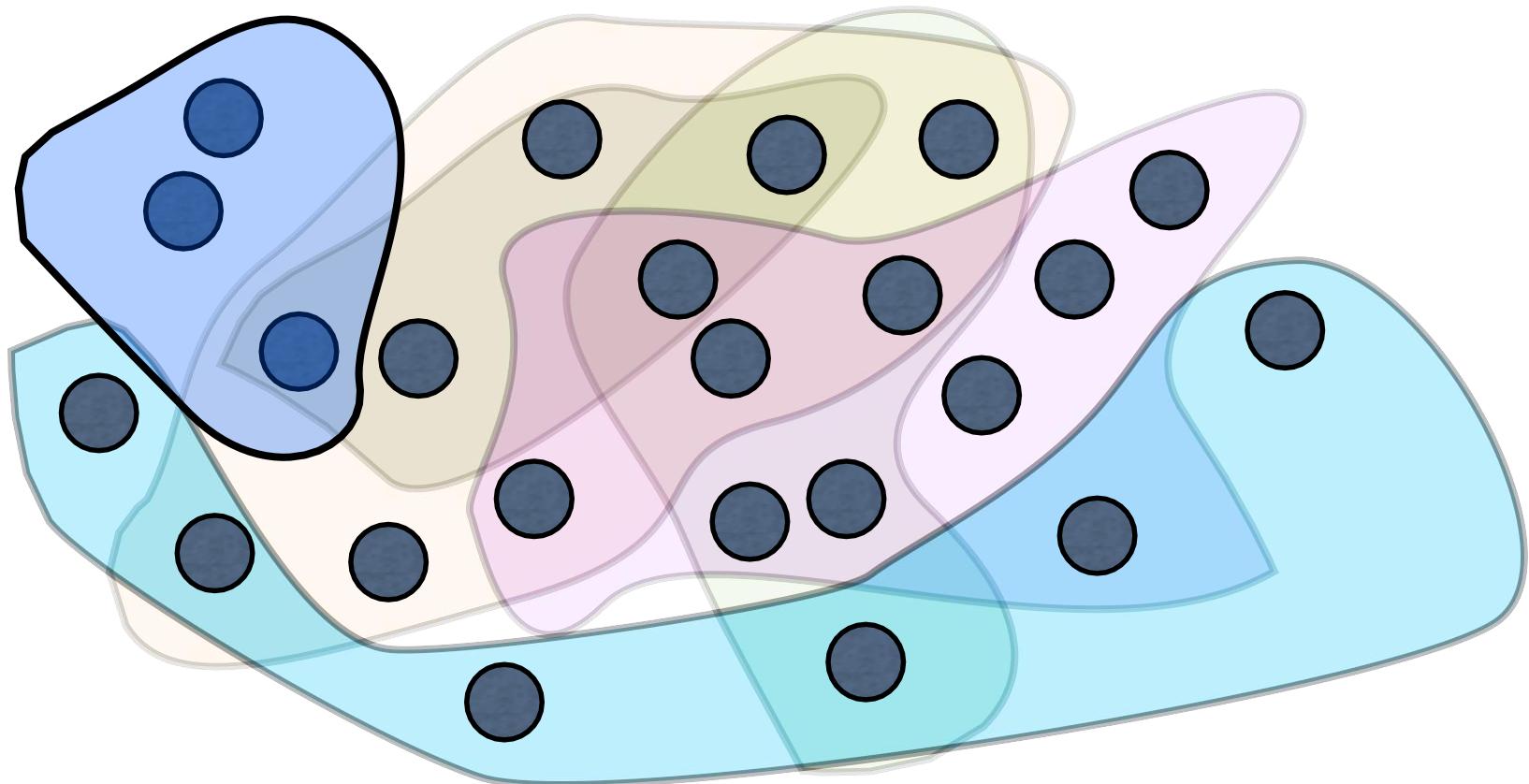
Goal: choose minimum number of sets that cover all.

Set cover = 4



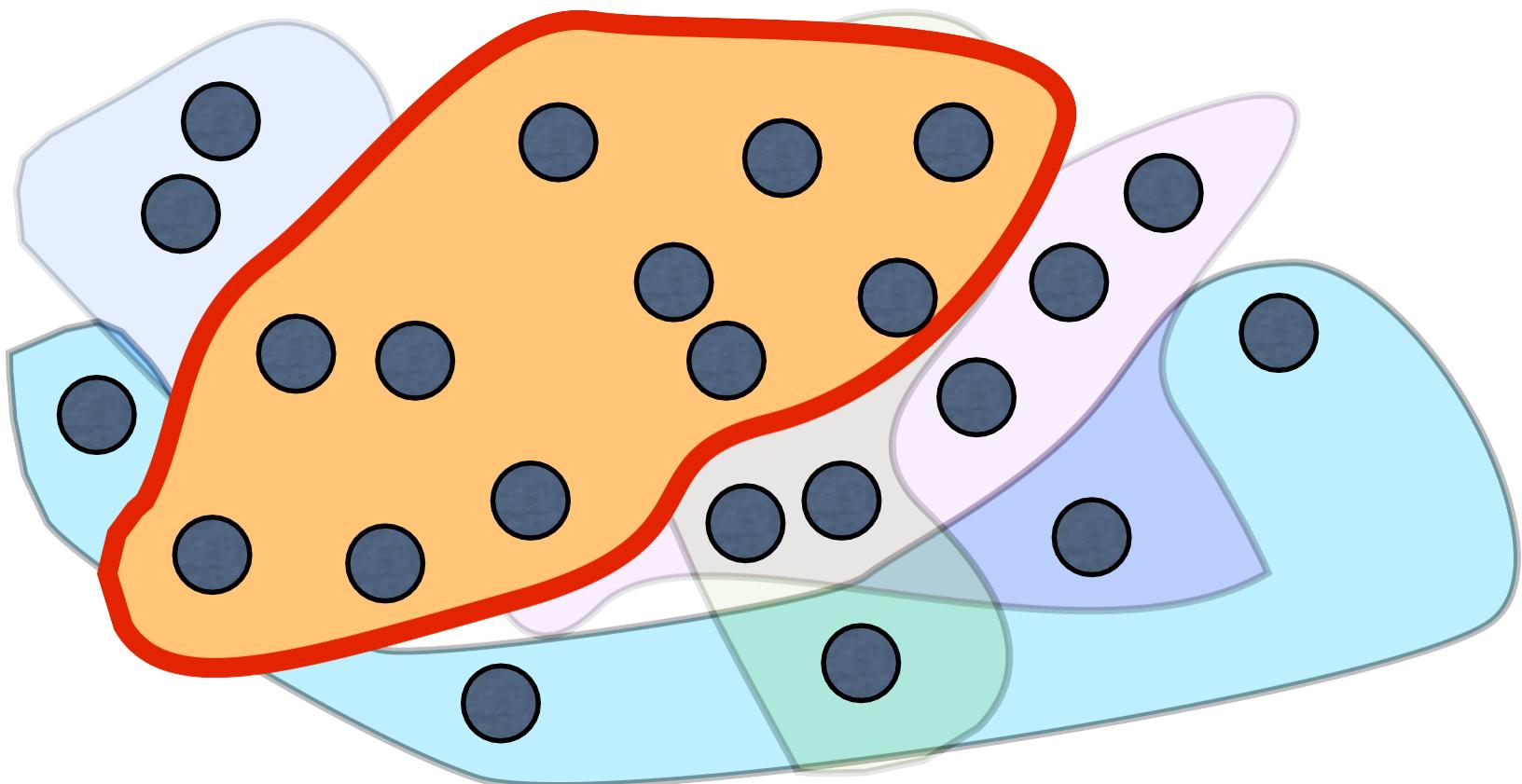
A Greedy Algorithm

Strategy: Pick the set that maximizes # new elements covered



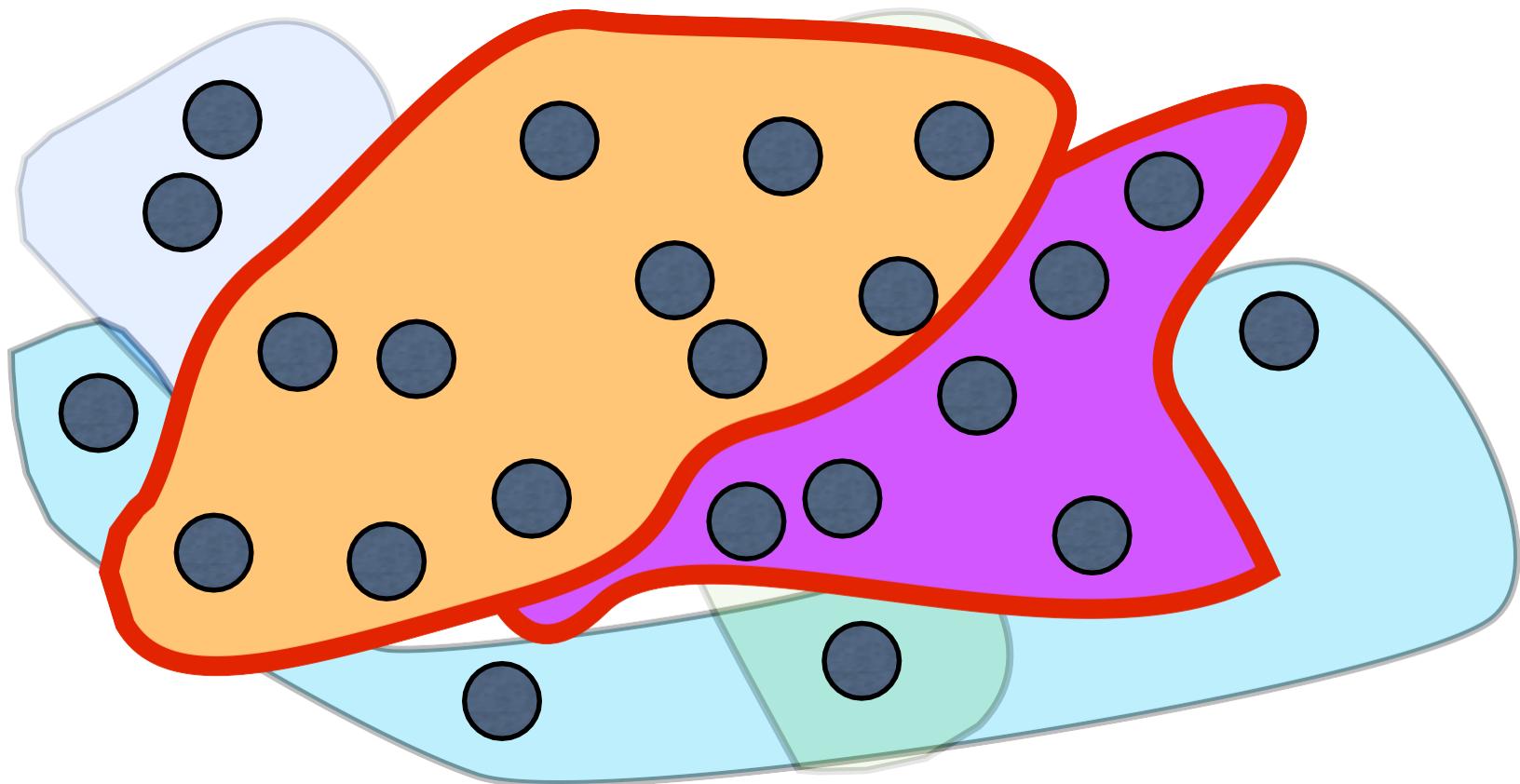
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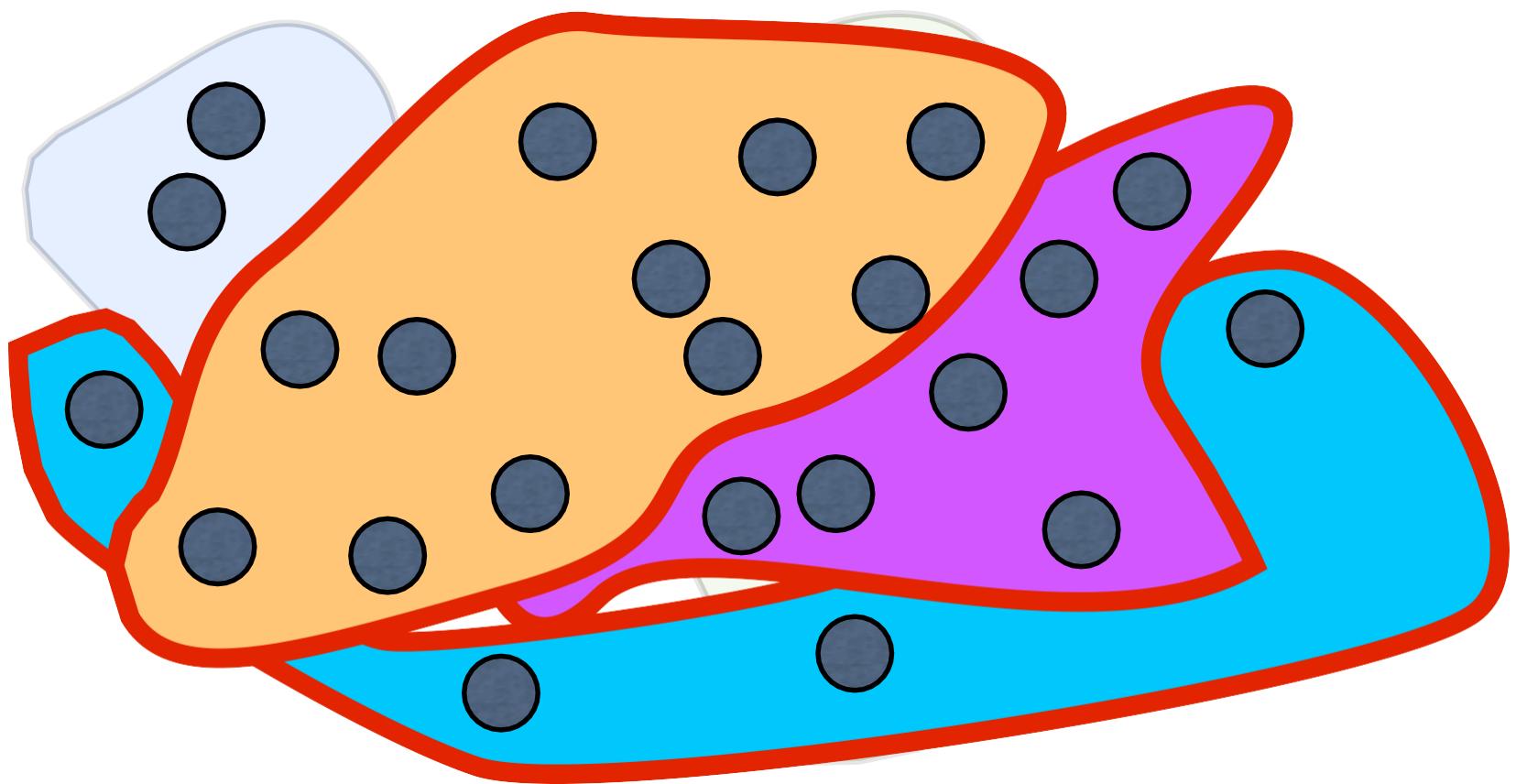
A Greedy Algorithm

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A Greedy Algorithm

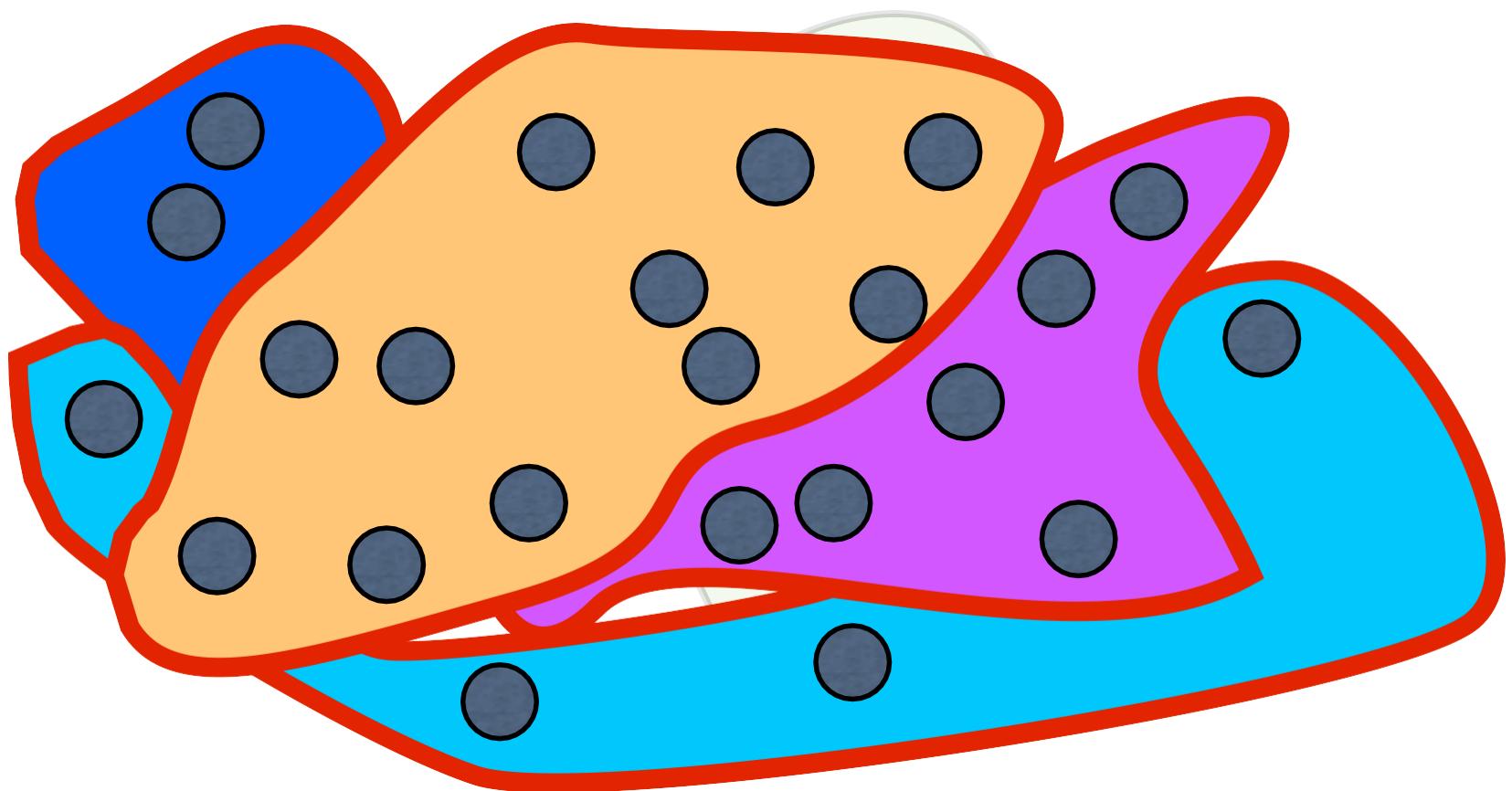
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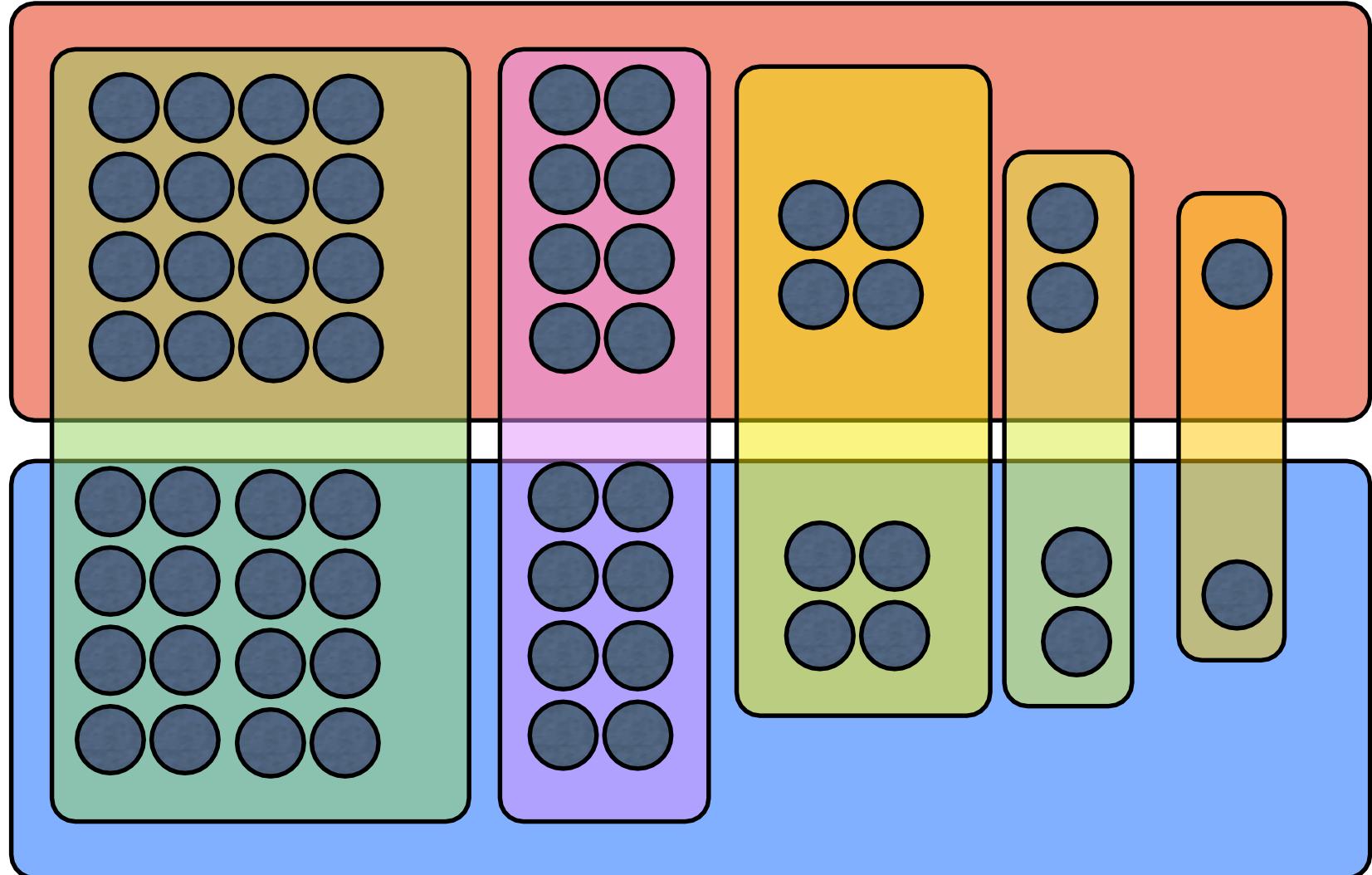
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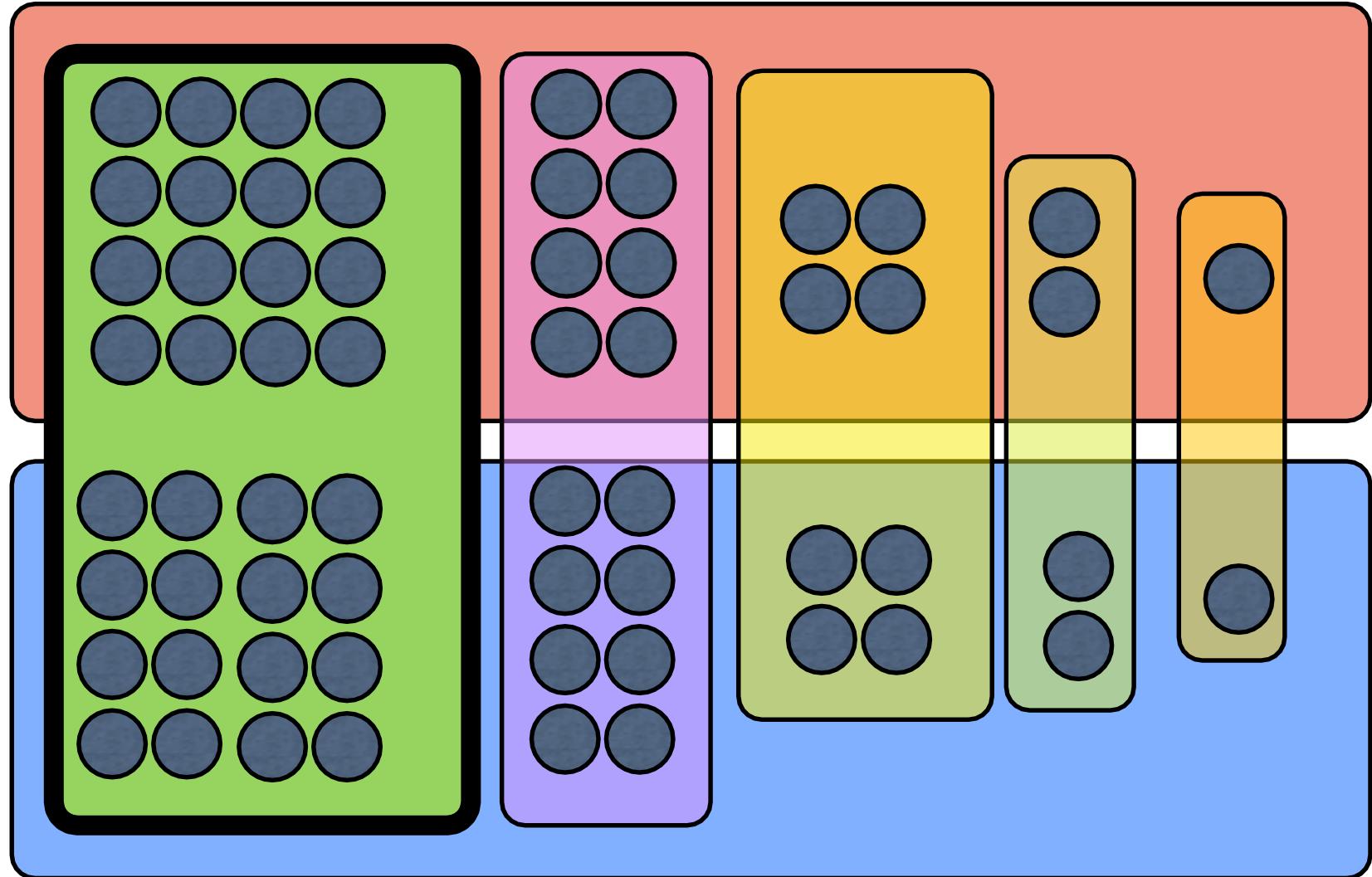
Thm: Greedy has $\ln n$ approximation ratio



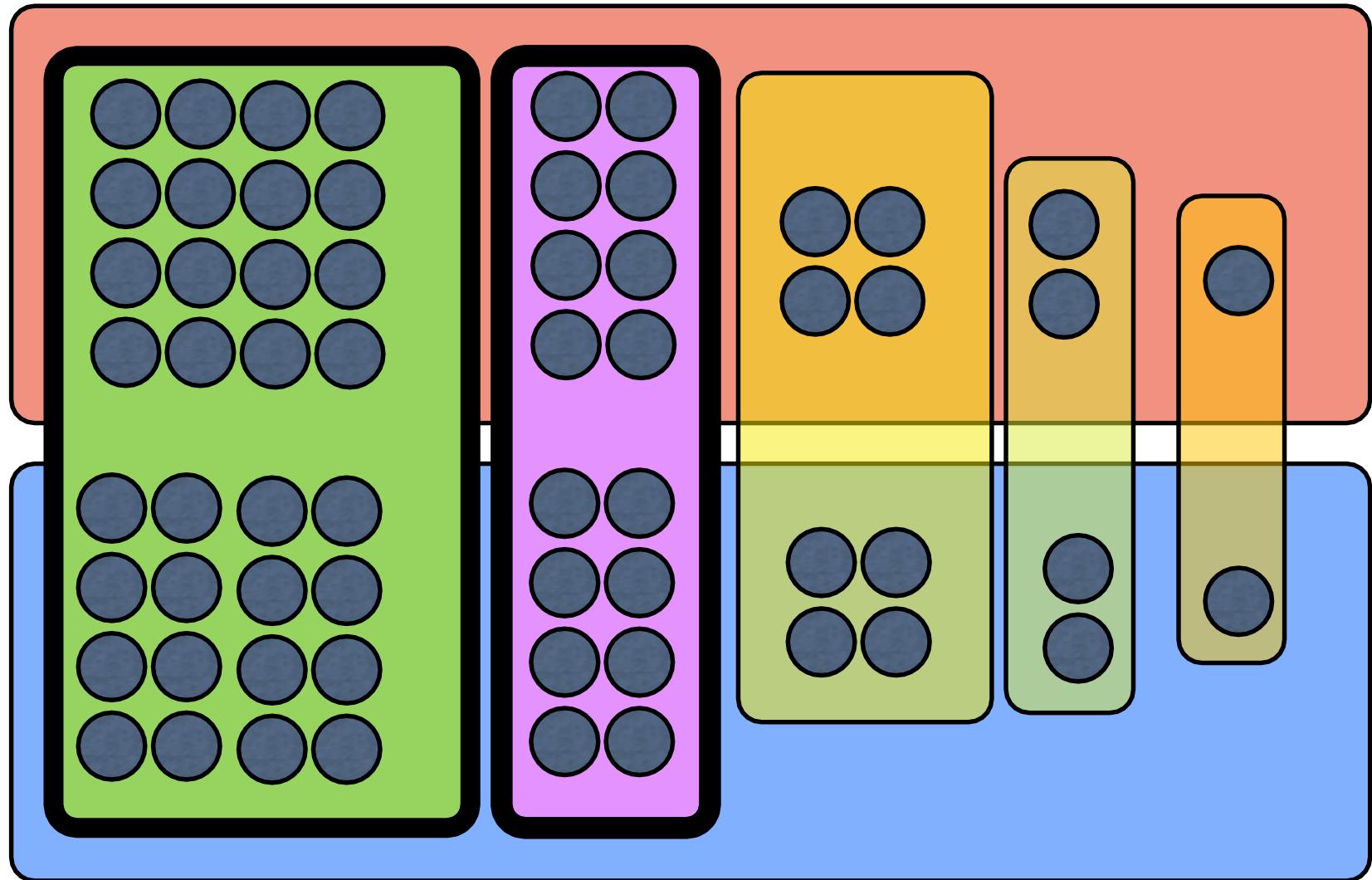
A Tight Example for Greedy



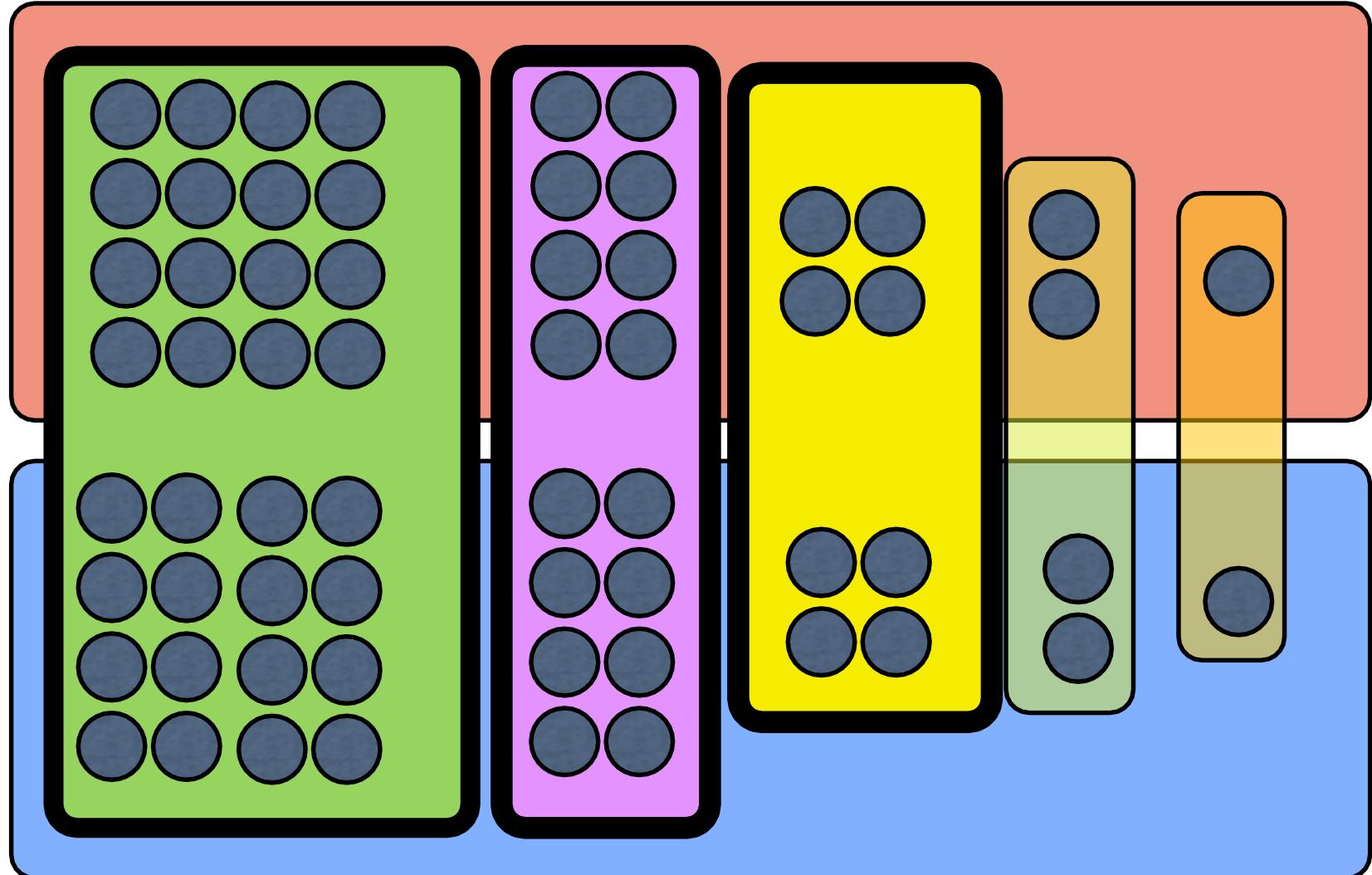
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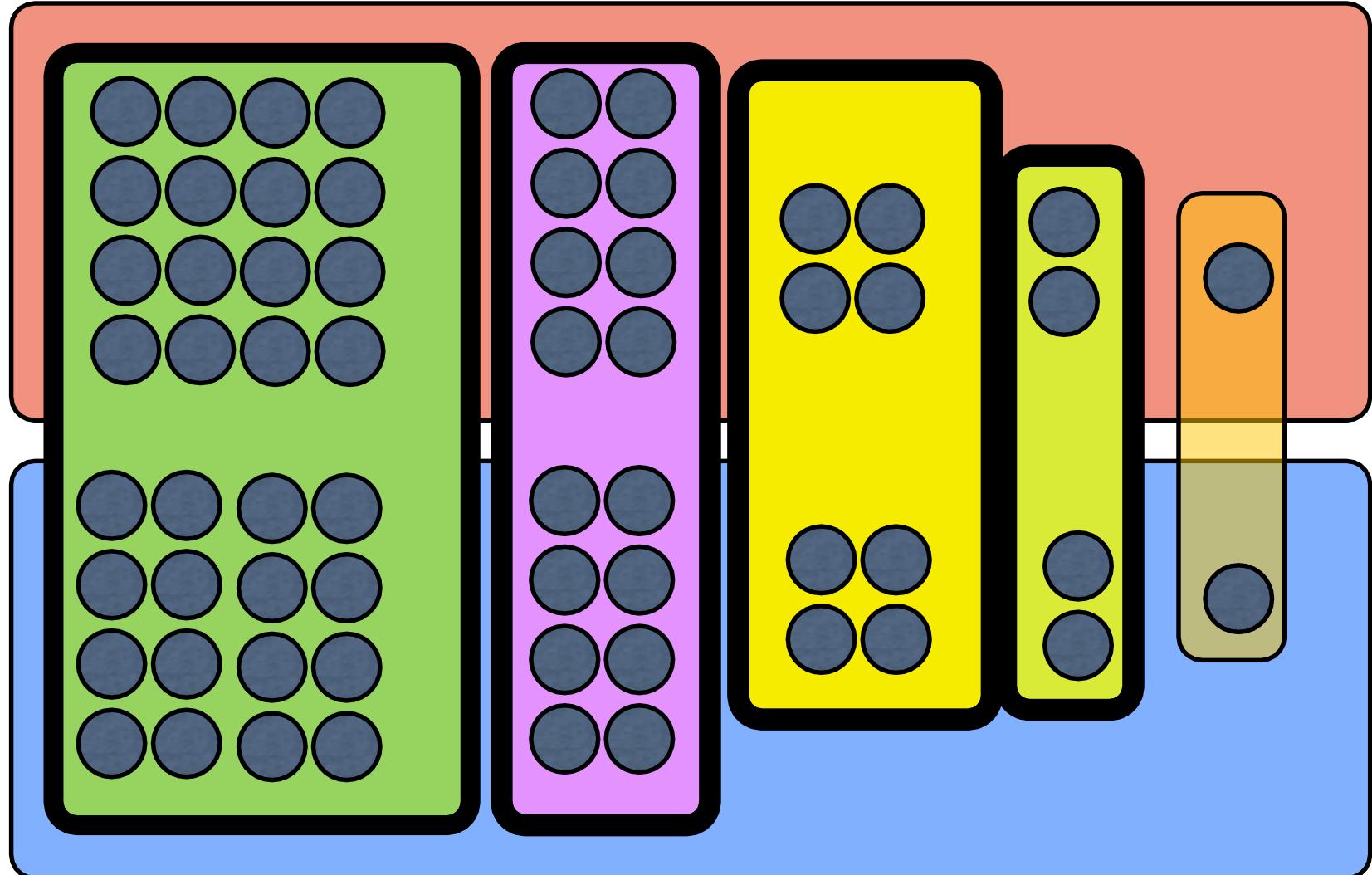
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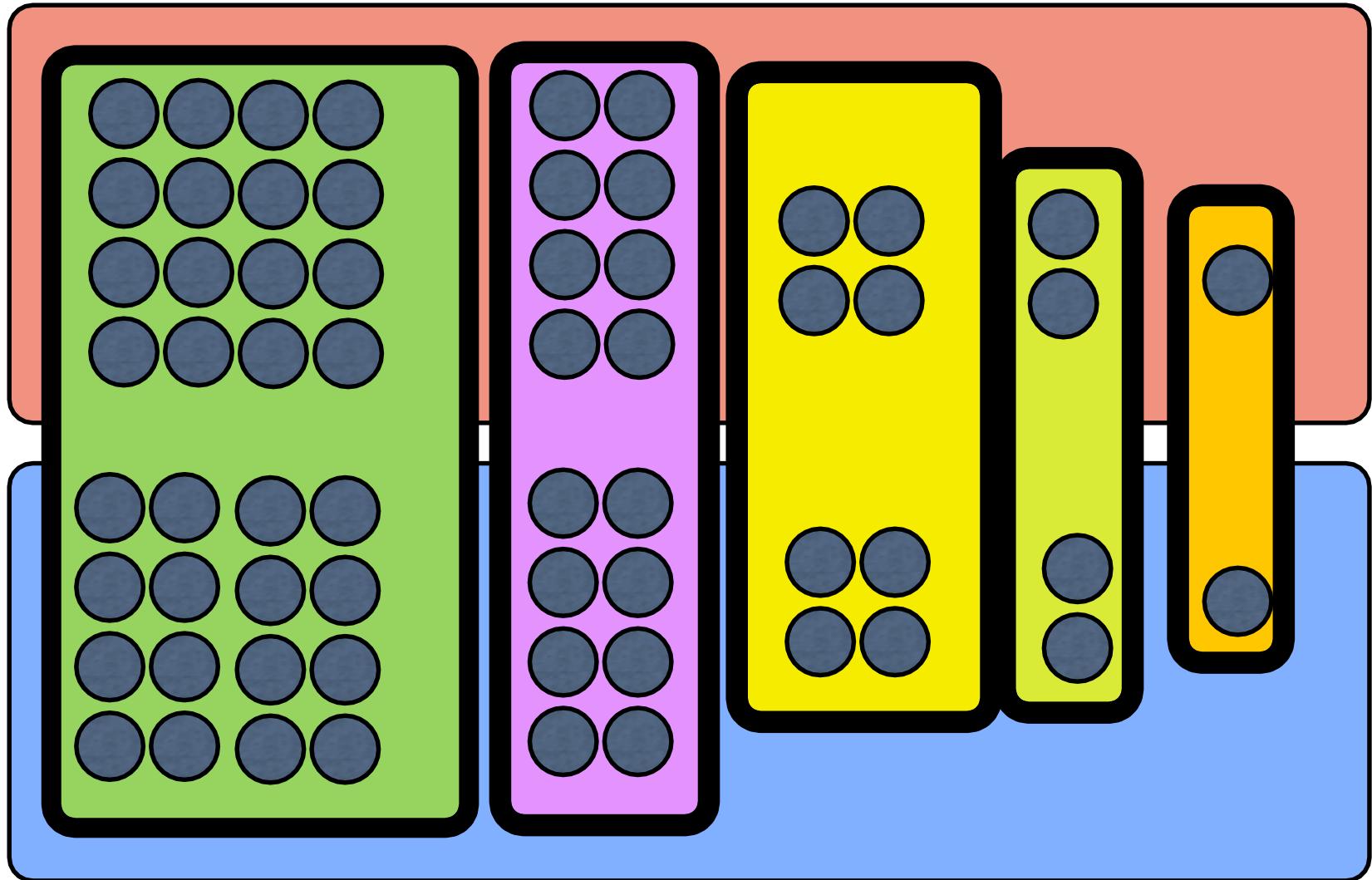
A Tight Example for Greedy



A Tight Example for Greedy

Greedy = 5

OPT = 2



An Algorithm

Input: A universe U of n elements, a collection of subsets of U , $T = \{S_1, \dots, S_k\}$, and a cost function $c : S \rightarrow Q$.

Output: A subset T' of T s.t. $U = \bigcup_{S_i \in T'} S_i$

1. $C \leftarrow \emptyset$

2. While $C \neq U$ do

 Find the most cost-effective set in the current iteration, say S .

 Let $\alpha = \frac{\text{cost}(S)}{|S - C|}$, i.e., the cost-effectiveness of S .

 Pick S , and for each $e \in S - C$, set $\text{price}(e) = \alpha$.

$C \leftarrow C \cup S$.

3. Output the picked sets.

Lemma: For each $k \in \{1, \dots, n\}$, $\text{price}(e_k) \leq \text{OPT}/(n - k + 1)$.

Proof: In any iteration, the leftover sets of the optimal solution can cover the remaining elements at a cost of at most OPT . Therefore, among these sets, there must be one having cost-effectiveness of at most $\text{OPT}/|\bar{C}|$ (Otherwise, every set has cost-effectiveness greater than $\text{OPT}/|\bar{C}|$, then total cost will exceed OPT). In the iteration in which element e_k was covered, \bar{C} contained at least $n - k + 1$ elements (Current S_i contains at least one element, hence C contains no more than $k-1$ elements). Since e_k was covered by the most cost-effective set in this iteration, it follows that

$$\text{price}(e_k) \leq \frac{\text{OPT}}{|\bar{C}|} \leq \frac{\text{OPT}}{n - k + 1}.$$

Approximation Ratio

Theorem: The greedy algorithm is an H_n factor approximation algorithm for the minimum set cover problem, where

$$H_n = 1 + \frac{1}{2} + \frac{1}{3} + \cdots + \frac{1}{n}$$

Proof: Since the cost of each set picked is distributed among the new elements covered, the total cost of the set cover picked is equal to $\sum_{k=1}^n \text{price}(e_k)$. By Lemma, this is at most $(1 + \frac{1}{2} + \frac{1}{3} + \cdots + \frac{1}{n}) \text{OPT}$.

$$1 + \frac{1}{2} + \frac{1}{3} + \cdots + \frac{1}{n} \approx \ln(n)$$

$$\text{price}(e_k) \leq \frac{\text{OPT}}{|\bar{C}|} \leq \frac{\text{OPT}}{n - k + 1}.$$