

# **IT 775**

## **Database Technology**

### **SQL-DML**

### **Transaction Management**

# Transaction Support

## Transaction

Action, or series of actions, carried out by user or application, which reads or updates contents of database.

- Logical unit of work on the database.
- Application program is series of transactions with non-database processing in between.
- Transforms database from one consistent state to another, although consistency may be violated during transaction.

# Transaction Support

Can have one of two outcomes:

Success - transaction *commits* and database reaches a new consistent state.

Failure - transaction *aborts*, and database must be restored to consistent state before it started.

Such a transaction is *rolled back* or *undone*.

Committed transaction cannot be aborted.

Aborted transaction that is rolled back can be restarted later.

# Lost Update Problem

Successfully completed update is overridden by another user.

$T_1$  withdrawing \$10 from an account with  $bal_x$ , initially \$100.

$T_2$  depositing \$100 into same account.  
Serially, final balance would be \$190.

# Lost Update Problem

Time	$T_1$	$T_2$	$bal_x$
$t_1$		begin_transaction	100
$t_2$	begin_transaction	read( $bal_x$ )	100
$t_3$	read( $bal_x$ )	$bal_x = bal_x + 100$	100
$t_4$	$bal_x = bal_x - 10$	write( $bal_x$ )	200
$t_5$	write( $bal_x$ )	commit	90
$t_6$	commit		90

Loss of  $T_2$ 's update avoided by preventing  $T_1$  from reading  $bal_x$  until after update.

# Uncommitted Dependency Problem

Occurs when one transaction can see intermediate results of another transaction before it has committed.

$T_4$  updates  $bal_x$  to \$200 but it aborts, so  $bal_x$  should be back at original value of \$100.

$T_3$  has read new value of  $bal_x$  (\$200) and uses value as basis of \$10 reduction, giving a new balance of \$190, instead of \$90.

# Uncommitted Dependency Problem

Time	T <sub>3</sub>	T <sub>4</sub>	bal <sub>x</sub>
t <sub>1</sub>		begin_transaction	100
t <sub>2</sub>		read(bal <sub>x</sub> )	100
t <sub>3</sub>		bal <sub>x</sub> = bal <sub>x</sub> + 100	100
t <sub>4</sub>	begin_transaction	write(bal <sub>x</sub> )	200
t <sub>5</sub>	read(bal <sub>x</sub> )	:	200
t <sub>6</sub>	bal <sub>x</sub> = bal <sub>x</sub> - 10	rollback	100
t <sub>7</sub>	write(bal <sub>x</sub> )		190
t <sub>8</sub>	commit		190

Problem avoided by preventing T<sub>3</sub> from reading bal<sub>x</sub> until after T<sub>4</sub> commits or aborts.

# Inconsistent Analysis Problem

Occurs when transaction reads several values but second transaction updates some of them during execution of first.

Sometimes referred to as *dirty read* or *unrepeatable read*.

$T_6$  is totaling balances of account x (\$100), account y (\$50), and account z (\$25).

Meantime,  $T_5$  has transferred \$10 from  $bal_x$  to  $bal_z$ , so  $T_6$  now has wrong result (\$10 too high).



# Inconsistent Analysis Problem

Time	T <sub>5</sub>	T <sub>6</sub>	bal <sub>x</sub>	bal <sub>y</sub>	bal <sub>z</sub>	sum
t <sub>1</sub>		begin_transaction	100	50	25	
t <sub>2</sub>	begin_transaction	sum = 0	100	50	25	0
t <sub>3</sub>	read(bal <sub>x</sub> )	read(bal <sub>x</sub> )	100	50	25	0
t <sub>4</sub>	bal <sub>x</sub> = bal <sub>x</sub> - 10	sum = sum + bal <sub>x</sub>	100	50	25	100
t <sub>5</sub>	write(bal <sub>x</sub> )	read(bal <sub>y</sub> )	90	50	25	100
t <sub>6</sub>	read(bal <sub>z</sub> )	sum = sum + bal <sub>y</sub>	90	50	25	150
t <sub>7</sub>	bal <sub>z</sub> = bal <sub>z</sub> + 10		90	50	25	150
t <sub>8</sub>	write(bal <sub>z</sub> )		90	50	35	150
t <sub>9</sub>	commit	read(bal <sub>z</sub> )	90	50	35	150
t <sub>10</sub>		sum = sum + bal <sub>z</sub>	90	50	35	185
t <sub>11</sub>		commit	90	50	35	185

Problem avoided by preventing T<sub>6</sub> from reading bal<sub>x</sub> and bal<sub>z</sub> until after T<sub>5</sub> completed updates.