

CS172: COMPUTER SYSTEMS II

Lecture 21

Cardinality (*Finite Sets*)

James Power



The cardinality of a finite set

The **cardinality** of a finite set is the number of elements it contains.

Notation: If S is a finite set, we write $\#S$ to denote the cardinality of S .

The cardinality of a finite set

The **cardinality** of a finite set is the number of elements it contains.

Notation: If S is a finite set, we write $\#S$ to denote the cardinality of S .

Alternative terminology: we might also call this the “size” of the set S , and it is sometimes written $|S|$.

The cardinality of a finite set

The **cardinality** of a finite set is the number of elements it contains.

Notation: If S is a finite set, we write $\#S$ to denote the cardinality of S .

Examples:

- $\#\{1, 2, 3\} = 3$
- $\#\{2, 4, 6, 8, 10\} = 5$
- $\#\{\} = 0$

The empty set is the *only* set with cardinality 0.

- $\#\{(1, 1), (2, 4), (3, 9)\} = 3$

The cardinality of a finite relation or function is just the number of tuples it contains.

Properties of cardinality

Let A and B be any **finite** sets.

- If $A \subseteq B$ then $\#A \leq \#B$

Properties of cardinality

Let A and B be any **finite** sets.

- If $A \subseteq B$ then $\#A \leq \#B$

In particular, $\#(A \cap B) \leq \#A$ and $\#(A \cap B) \leq \#B$

Properties of cardinality

Let A and B be any **finite** sets.

- If $A \subseteq B$ then $\#A \leq \#B$

In particular, $\#(A \cap B) \leq \#A$ and $\#(A \cap B) \leq \#B$

- $\#(A \cup B) = \#A + \#B - \#(A \cap B)$

Properties of cardinality

Let A and B be any **finite** sets.

- If $A \subseteq B$ then $\#A \leq \#B$

In particular, $\#(A \cap B) \leq \#A$ and $\#(A \cap B) \leq \#B$

- $\#(A \cup B) = \#A + \#B - \#(A \cap B)$

- $\#(A \setminus B) = \#A - \#(A \cap B)$

Properties of cardinality

Let A and B be any **finite** sets.

- If $A \subseteq B$ then $\#A \leq \#B$

In particular, $\#(A \cap B) \leq \#A$ and $\#(A \cap B) \leq \#B$

- $\#(A \cup B) = \#A + \#B - \#(A \cap B)$

- $\#(A \setminus B) = \#A - \#(A \cap B)$

- $\#(A \times B) = \#A * \#B$

Functions and cardinality

Suppose f is a **finite function** with domain A and co-domain B .

- If f is a *partial* function then $\#f < \#A$.
- If f is a *total* function then $\#f = \#A$.

Functions and cardinality

Suppose f is a **finite function** with domain A and co-domain B .

- If f is a *partial* function then $\#f < \#A$.
- If f is a *total* function then $\#f = \#A$.
- If f is an *injective* function then $\#f \leq \#B$
- If f is a *surjective* function then $\#f \geq \#B$

Functions and cardinality

Suppose f is a **finite function** with domain A and co-domain B .

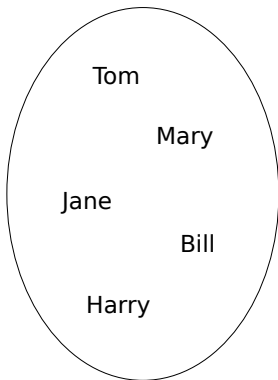
- If f is a *partial* function then $\#f < \#A$.
- If f is a *total* function then $\#f = \#A$.
- If f is an *injective* function then $\#f \leq \#B$
- If f is a *surjective* function then $\#f \geq \#B$
- Thus if f is a *bijective* function then $\#f = \#B$

Functions and cardinality

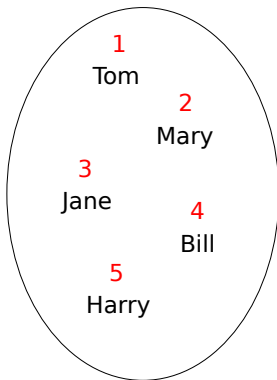
Suppose f is a **finite function** with domain A and co-domain B .

- If f is a *partial* function then $\#f < \#A$.
- If f is a *total* function then $\#f = \#A$.
- If f is an *injective* function then $\#f \leq \#B$
- If f is a *surjective* function then $\#f \geq \#B$
- Thus if f is a *bijective* function then $\#f = \#B$
- Corollaries:
 - if f is a total injective function then $\#A \leq \#B$
 - if f is a total bijective function then $\#A = \#B$

Aside: counting

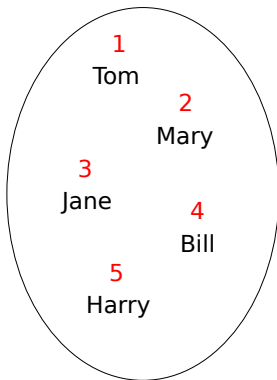


Aside: counting



- Counting the elements in a set ...

Aside: counting = constructing a bijection



- Counting the elements in a set involves **constructing a bijection** between the elements and an initial segment of the non-zero natural numbers.
- Example:

$$\left\{ \begin{array}{l} (Tom, 1), (Mary, 2), (Jane, 3), \\ (Bill, 4), (Harry, 5) \end{array} \right\}$$

The number of functions

Suppose A and B are two finite sets.

- how many (different) total functions are there from A to B ?

The number of functions

Suppose A and B are two finite sets.

- how many (different) total functions are there from A to B ?
- For each element of the domain A ,
choose an element of the co-domain B to map it to.

The number of functions

Suppose A and B are two finite sets.

- how many (different) total functions are there from A to B ?
- For each element of the domain A ,
choose an element of the co-domain B to map it to.
- i.e. do this $\#A$ times: choose an element of B

The number of functions

Suppose A and B are two finite sets.

- how many (different) total functions are there from A to B ?
- For each element of the domain A ,
choose an element of the co-domain B to map it to.
- i.e. do this $\#A$ times: choose an element of B
- So the number of functions with domain A and co-domain B is

$$(\#B)^{(\#A)}$$

The number of functions

Suppose A and B are two finite sets.

- how many (different) total functions are there from A to B ?
- For each element of the domain A ,
choose an element of the co-domain B to map it to.
- i.e. do this $\#A$ times: choose an element of B
- So the number of functions with domain A and co-domain B is

$$(\#B)^{(\#A)}$$

- **Notation:** sometimes *the set* of all functions from A to B is written as B^A

The power set of a set

The power set of a set

If S is a set, then the set of all subsets of S is called the **power set** of S .

- **Notation:** The power set of S is written as $\mathbb{P} S$

The power set of a set

If S is a set, then the set of all subsets of S is called the **power set** of S .

- **Notation:** The power set of S is written as $\mathbb{P} S$
- **Formally:** Some set A belongs to the power set of S if and only if A is a subset of S .

That is,

$$A \in \mathbb{P} S \quad \leftrightarrow \quad A \subseteq S$$

The power set of a set

If S is a set, then the set of all subsets of S is called the **power set** of S .

- **Notation:** The power set of S is written as $\mathbb{P} S$
- **Formally:** Some set A belongs to the power set of S if and only if A is a subset of S .

That is,

$$A \in \mathbb{P} S \quad \leftrightarrow \quad A \subseteq S$$

- Note that the power set is always a *set of sets*
 - i.e. a set whose elements are sets.

Examples of power sets of a set

- If $S = \{a\}$ then $\mathbb{P} S = \{\{\}, \{a\}\}$

Examples of power sets of a set

- If $S = \{a\}$ then $\mathbb{P} S = \{\{\}, \{a\}\}$
- If $S = \{a, b\}$ then $\mathbb{P} S = \{\{\}, \{a\}, \{b\}, \{a, b\}\}$

Examples of power sets of a set

- If $S = \{a\}$ then $\mathbb{P} S = \{\{\}, \{a\}\}$
- If $S = \{a, b\}$ then $\mathbb{P} S = \{\{\}, \{a\}, \{b\}, \{a, b\}\}$
- If $S = \{a, b, c\}$ then
 $\mathbb{P} S = \{\{\}, \{a\}, \{b\}, \{c\}, \{a, b\}, \{a, c\}, \{b, c\}, \{a, b, c\}\}$

Examples of power sets of a set

- If $S = \{a\}$ then $\mathbb{P} S = \{\{\}, \{a\}\}$
- If $S = \{a, b\}$ then $\mathbb{P} S = \{\{\}, \{a\}, \{b\}, \{a, b\}\}$
- If $S = \{a, b, c\}$ then
 $\mathbb{P} S = \{\{\}, \{a\}, \{b\}, \{c\}, \{a, b\}, \{a, c\}, \{b, c\}, \{a, b, c\}\}$
- If $S = \{a, b, c, d\}$ then

$$\mathbb{P} S = \left\{ \begin{array}{l} \{\}, \\ \{a\}, \{b\}, \{c\}, \{d\}, \\ \{a, b\}, \{a, c\}, \{a, d\}, \\ \{b, c\}, \{b, d\}, \{c, d\}, \\ \{a, b, c\}, \{a, b, d\}, \\ \{a, c, d\}, \{b, c, d\}, \\ \{a, b, c, d\} \end{array} \right\}$$

Cardinality of the power set

- For any finite set S , if $\#S = n$ then $\#(\mathbb{P} S) = 2^n$.

Cardinality of the power set

- For any finite set S , if $\#S = n$ then $\#(\mathbb{P} S) = 2^n$.
- i.e. for any finite set S , we have $\#(\mathbb{P} S) = 2^{\#S}$.

Cardinality of the power set

- For any finite set S , if $\#S = n$ then $\#(\mathbb{P} S) = 2^n$.
- i.e. for any finite set S , we have $\#(\mathbb{P} S) = 2^{\#S}$.
- Reasoning:
 - for each if the n elements $x \in S$,
 - each subset $A \subseteq S$ must answer “yes” or “no”
 - to the question “is $x \in A$ ”?
- Compare this with a truth table for n propositional variables:
Each *row* of the truth table corresponds to one set of yes/no answers.

Cardinality of the power set

- For any finite set S , if $\#S = n$ then $\#(\mathbb{P} S) = 2^n$.
- i.e. for any finite set S , we have $\#(\mathbb{P} S) = 2^{\#S}$.
- Reasoning:
 - for each of the n elements $x \in S$,
each subset $A \subseteq S$ must answer “yes” or “no”
to the question “is $x \in A$ ”?
- Compare this with a truth table for n propositional variables:
Each *row* of the truth table corresponds to one set of yes/no answers.
- For any set S , a subset of S is basically the same as a total function from S to the set $\{0, 1\}$.

Counting the number of subsets

- If $\#S = n$, how many **subsets** $A \subseteq S$ are there such that $\#A = m$?
(Assuming $0 \leq m \leq n$)

Counting the number of subsets

- If $\#S = n$, how many **subsets** $A \subseteq S$ are there such that $\#A = m$?
(Assuming $0 \leq m \leq n$)
- Let's try an easier question first...

Counting the number of sequences

- If $\#S = n$, how many **sequences** of elements of S are there of size m ? (Assuming $0 \leq m \leq n$)

Counting the number of sequences (repetitions allowed)

- If $\#S = n$, how many **sequences** of elements of S are there of size m ? (Assuming $0 \leq m \leq n$)
- If repetitions *are* allowed:
 - Choose the first element of A : n choices
 - Choose the second element of A : n choices
 - Choose the third element of A : n choices
 - ...
 - Choose the m^{th} element of A : n choices

Counting the number of sequences (repetitions allowed)

- If $\#S = n$, how many **sequences** of elements of S are there of size m ? (Assuming $0 \leq m \leq n$)
- If repetitions *are* allowed:
 - Choose the first element of A : n choices
 - Choose the second element of A : n choices
 - Choose the third element of A : n choices
 - ...
 - Choose the m^{th} element of A : n choices
- Number of sequences of size m

$$= n * n * n * \dots * n$$

Counting the number of sequences (repetitions allowed)

- If $\#S = n$, how many **sequences** of elements of S are there of size m ? (Assuming $0 \leq m \leq n$)
- If repetitions *are* allowed:
 - Choose the first element of A : n choices
 - Choose the second element of A : n choices
 - Choose the third element of A : n choices
 - \dots
 - Choose the m^{th} element of A : n choices
- Number of sequences of size m

$$= n * n * n * \dots * n$$

$$= n^m$$

Counting the number of sequences (repetitions allowed)

- If $\#S = n$, how many **sequences** of elements of S are there of size m ? (Assuming $0 \leq m \leq n$)
- If repetitions *are* allowed:
 - Choose the first element of A : n choices
 - Choose the second element of A : n choices
 - Choose the third element of A : n choices
 - ...
 - Choose the m^{th} element of A : n choices
- Number of sequences of size m

$$= n * n * n * \dots * n$$

$$= n^m$$

$$= \#S^{\#\{1..m\}}$$

Counting the number of sequences (no repetitions)

- If $\#S = n$, how many **sequences** of elements of S are there of size m ? (Assuming $0 \leq m \leq n$)
- If repetitions *are not* allowed:

Counting the number of sequences (no repetitions)

- If $\#S = n$, how many **sequences** of elements of S are there of size m ? (Assuming $0 \leq m \leq n$)
- If repetitions *are not* allowed:
 - Choose the first element of A : n choices
 - Choose the second element of A : $n - 1$ choices
 - Choose the third element of A : $n - 2$ choices
 - ...
 - Choose the m^{th} element of A : $n - (m - 1)$ choices

Counting the number of sequences (no repetitions)

- If $\#S = n$, how many **sequences** of elements of S are there of size m ? (Assuming $0 \leq m \leq n$)
- If repetitions *are not* allowed:
 - Choose the first element of A : n choices
 - Choose the second element of A : $n - 1$ choices
 - Choose the third element of A : $n - 2$ choices
 - ...
 - Choose the m^{th} element of A : $n - (m - 1)$ choices
- Number of sequences of size m

$$= n * (n - 1) * (n - 2) * \cdots * n - (m - 1)$$

Counting the number of sequences (no repetitions)

- If $\#S = n$, how many **sequences** of elements of S are there of size m ? (Assuming $0 \leq m \leq n$)
- If repetitions *are not* allowed:
 - Choose the first element of A : n choices
 - Choose the second element of A : $n - 1$ choices
 - Choose the third element of A : $n - 2$ choices
 - ...
 - Choose the m^{th} element of A : $n - (m - 1)$ choices
- Number of sequences of size m

$$\begin{aligned}
 &= n * (n - 1) * (n - 2) * \dots * n - (m - 1) \\
 &= \frac{n * (n - 1) * (n - 2) * \dots * n - (m - 1) * (n - m) * (n - m) - 1 * (n - m) - 2 * \dots * 1}{(n - m) * (n - m) - 1 * (n - m) - 2 * \dots * 1} \\
 &= \frac{n!}{(n - m)!}
 \end{aligned}$$

Counting the number of subsets

- If $\#S = n$, how many **subsets** $A \subseteq S$ are there such that $\#A = m$?

Counting the number of subsets

- If $\#S = n$, how many **subsets** $A \subseteq S$ are there such that $\#A = m$?
- We know there are $\frac{n!}{(n-m)!}$ *sequences* of size m .

Counting the number of subsets

- If $\#S = n$, how many **subsets** $A \subseteq S$ are there such that $\#A = m$?
- We know there are $\frac{n!}{(n-m)!}$ *sequences* of size m .
- But each *set* of size m corresponds to $m!$ sequences.
- So $\frac{n!}{(n-m)!}$ sequences corresponds to $\frac{n!}{m!(n-m)!}$ sets

Counting the number of subsets

- If $\#S = n$, how many **subsets** $A \subseteq S$ are there such that $\#A = m$?
- We know there are $\frac{n!}{(n-m)!}$ *sequences* of size m .
- But each *set* of size m corresponds to $m!$ sequences.
- So $\frac{n!}{(n-m)!}$ sequences corresponds to $\frac{n!}{m!(n-m)!}$ sets
- **Notation:** also called “ n choose m ”, and written:

$$\frac{n!}{m!(n-m)!} = \binom{n}{m}$$

see also: the *binomial coefficient*

Number of subsets of given size: Pascal's triangle



Blaise Pascal
(1623-1662)

$n = 0:$										1																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																	
$n = 1:$										1									1																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																								

Traité du triangle arithmétique, Blaise Pascal, 1653.

See also: *binomial coefficients*

Example: power sets

If $S = \{a, b, c, d\}$ then

$$\mathbb{P} S = \left\{ \begin{array}{l} \{\}, \\ \{a\}, \{b\}, \{c\}, \{d\}, \\ \{a, b\}, \{a, c\}, \{a, d\}, \\ \{b, c\}, \{b, d\}, \{c, d\}, \\ \{a, b, c\}, \{a, b, d\}, \\ \{a, c, d\}, \{b, c, d\}, \\ \{a, b, c, d\} \end{array} \right.$$

Example: power sets

If $S = \{a, b, c, d\}$ then

$$\mathbb{P} S = \left\{ \begin{array}{ll} \{\}, & yyy y \\ \{a\}, \{b\}, \{c\}, \{d\}, & xyy y \quad yxy y \quad yyxy \quad yyyx \\ \{a, b\}, \{a, c\}, \{a, d\}, & xxyy \quad xyxy \quad xyyx \\ \{b, c\}, \{b, d\}, \{c, d\}, & yxxy \quad yxyx \quad yyxx \\ \{a, b, c\}, \{a, b, d\}, & xxxy \quad xxyx \\ \{a, c, d\}, \{b, c, d\}, & xyxx \quad yxxx \\ \{a, b, c, d\} & xxxx \end{array} \right.$$

... writing x = “is a member”, y = “not a member”

Example: power sets

If $S = \{a, b, c, d\}$ then

$$\mathbb{P} S = \left\{ \begin{array}{ll} \{\}, & yyyy \\ \{a\}, \{b\}, \{c\}, \{d\}, & + xyyy + yxyy + yyxy + yyyyx \\ \{a, b\}, \{a, c\}, \{a, d\}, & + xxxy + xyxy + xyyx \\ \{b, c\}, \{b, d\}, \{c, d\}, & + yxxy + yxyx + yyxx \\ \{a, b, c\}, \{a, b, d\}, & + xxxy + xxyx \\ \{a, c, d\}, \{b, c, d\}, & + xyxx + yxxx \\ \{a, b, c, d\} & + xxxx \end{array} \right.$$

... remember from truth tables: multiplication= and , addition= or

Example: power sets

If $S = \{a, b, c, d\}$ then

$$\mathbb{P} S = \left\{ \begin{array}{lll} \{\}, & yyyy & y^4 \\ \{a\}, \{b\}, \{c\}, \{d\}, & + xyyy + yxyy + yyxy + yyyx & 4xy^3 \\ \{a, b\}, \{a, c\}, \{a, d\}, & + xxxy + xyxy + xyyx & \\ \{b, c\}, \{b, d\}, \{c, d\}, & + yxxy + yxyx + yyxx & 6x^2y^2 \\ \{a, b, c\}, \{a, b, d\}, & + xxxy + xxyx & \\ \{a, c, d\}, \{b, c, d\}, & + xyxx + yxxx & 4x^3y \\ \{a, b, c, d\} & + xxxx & x^4 \end{array} \right.$$

... just adding up the terms

Example: power sets

If $S = \{a, b, c, d\}$ then

$$\mathbb{P} S = \left\{ \begin{array}{lll} \{\}, & yyy y & y^4 \\ \{a\}, \{b\}, \{c\}, \{d\}, & + xyyy + yxyy + yyxy + yyyy & 4xy^3 \\ \{a, b\}, \{a, c\}, \{a, d\}, & + xxxy + xyxy + xyyx & \\ \{b, c\}, \{b, d\}, \{c, d\}, & + yxxy + yxyx + yyxx & 6x^2y^2 \\ \{a, b, c\}, \{a, b, d\}, & + xxxy + xxyx & \\ \{a, c, d\}, \{b, c, d\}, & + xyxx + yxxx & 4x^3y \\ \{a, b, c, d\} & + xxxx & x^4 \end{array} \right.$$

$$= x^4 + 4x^3y + 6x^2y^2 + 4xy^3 + y^4$$

Example: power sets to binomial coefficients

If $S = \{a, b, c, d\}$ then

$$\mathbb{P} S = \left\{ \begin{array}{lll} \{\}, & yyyy & y^4 \\ \{a\}, \{b\}, \{c\}, \{d\}, & + xyyy + yxyy + yyxy + yyyy & 4xy^3 \\ \{a, b\}, \{a, c\}, \{a, d\}, & + xxxy + xyxy + xyyx & \\ \{b, c\}, \{b, d\}, \{c, d\}, & + yxxy + yxyx + yyxx & 6x^2y^2 \\ \{a, b, c\}, \{a, b, d\}, & + xxxy + xxyx & \\ \{a, c, d\}, \{b, c, d\}, & + xyxx + yxxx & 4x^3y \\ \{a, b, c, d\} & + xxxx & x^4 \end{array} \right.$$

$$\begin{aligned} &= x^4 + 4x^3y + 6x^2y^2 + 4xy^3 + y^4 \\ &= (x + y)^4 \end{aligned}$$