

Describing Syntax

CS4080

Dr. Amar Raheja

Chapter 3

Syntax and Semantics

- What is the syntax and semantics of a programming language?
- **Syntax:** the form or structure of the expressions, statements, and program units
- **Semantics:** the meaning of the expressions, statements, and program units
- Syntax and semantics provide a language's definition
 - Users of a language definition
 - Other language designers
 - Implementers
 - Programmers (the users of the language)

Syntax

- A well-designed programming language implies
 - Semantics follows directly from syntax
 - if (<expr>) then <statement>
- Formal system for describing syntax initially developed by Noam Chomsky in 1950s.
 - Father of Modern Linguistics
 - Chomsky Normal Form for context free grammar
- BNF :Notational system for describing context-free grammars for programming languages developed by John Backus and Peter Naur
- Three flavors: BNFs, EBNFs and syntax diagrams

General Problem of Describing Syntax

- A **sentence** is a string of characters over some alphabet
- A **language** is a set of sentences
- A **lexeme** is the lowest level syntactic unit of a language (e.g., *, sum, begin)
- A **token** is a category of lexemes (e.g., identifier)
- Tokens have several distinct categories:
 - Reserved words (keywords): if, while, do, int etc.
 - Literals and constants: 35, 4.9, “hello” etc.
 - Special symbols(operators and separators): ; , +, ==, !, * etc.
 - Identifiers: xyz, interest_rate, hello etc.
- Identifying tokens in PLs
 - The principle of longest substring and token delimiters

Formal Definition of Languages

- Language Recognizers
 - Syntax analysis part of a compiler is a recognizer
 - Recognizes the language that the compiler translates
 - Role of recognizer-to determine if a program is in a certain language
 - Syntax analyzer determines if it is syntactically correct
 - Examples : PDAs
- Language Generators
 - Device that generates sentences of a language
 - Generate a sentence based on certain rules and submit to compiler to see if valid
 - Syntax of statement is correct by comparing it with structure of generator
 - Example: CFG
- Close connection between formal generation and recognition

BNF and Context-Free Grammars

- Context-Free Grammars
 - Developed by Noam Chomsky in the mid-1950s
 - Language generators, meant to describe the syntax of natural languages
 - Define a class of languages called context-free languages
- Backus-Naur Form (1959)
 - Invented by John Backus to describe the syntax of Algol 58
 - BNF is equivalent to context-free grammars

BNF Fundamentals

- In BNF, abstractions are used to represent classes of syntactic structures they act like syntactic variables (also called **nonterminal symbols**, or just **terminals**)
- **Terminals** are lexemes or tokens
- A rule has a left-hand side (LHS), which is a nonterminal, and a right-hand side (RHS), which is a string of terminals and/or nonterminals
- Nonterminals are often enclosed in angle brackets <>
- Grammar: a finite non-empty set of rules
- A **start symbol** is a special element of the nonterminals of a grammar

BNF- Metalanguage

- A simple set of grammar rules in English
 1. sentence \rightarrow noun-phrase verb-phrase ‘.’
 2. noun-phrase \rightarrow article noun
 3. article \rightarrow a | the
 4. noun \rightarrow girl | dog
 5. verb-phrase \rightarrow verb noun-phrase
 6. verb \rightarrow sees | pets
- A legal sentence generation (called derivation) according to foregoing grammar rules
 - sentence \Rightarrow noun-phrase verb-phrase. (rule 1)
 - sentence \Rightarrow article noun verb-phrase. (rule 2)
 - sentence \Rightarrow the noun verb-phrase. (rule 3)
 - sentence \Rightarrow the girl verb-phrase. (rule 4)
 - sentence \Rightarrow the girl verb noun-phrase. (rule 5)
 - sentence \Rightarrow the girl pets noun-phrase. (rule 6)
 - sentence \Rightarrow the girl pets article noun. (rule 2)
 - sentence \Rightarrow the girl pets a noun. (rule 3)
 - sentence \Rightarrow the girl pets a dog. (rule 4)

Context-Free Grammars

- CFG: series of grammar rules such that
 - Left hand side which is a single structure name, followed by the metasymbol \rightarrow , followed by a right hand side
 - Right hand side can be mixture of lexemes , tokens or other abstractions
 - Name of abstractions called non-terminals as they can be broken into further structures (abstractions or terminals)
 - Lexemes and token symbols are called terminals, as they are never broken
 - Grammar rules are called productions
 - Symbols used | and sometimes parenthesis (<> or ()) are called metasymbols
 - Derivation: generating language sentences through a series of applications of the rules
 - Grammar for Simple Integer Arithmetic Expressions
 - $\text{expr} \rightarrow \text{expr} + \text{expr} \mid \text{expr} * \text{expr} \mid (\text{expr}) \mid \text{number}$
 - $\text{number} \rightarrow \text{number digit} \mid \text{digit}$ (note recursion here)
 - $\text{digit} \rightarrow 0 \mid 1 \mid 2 \mid 3 \mid 4 \mid 5 \mid 6 \mid 7 \mid 8 \mid 9$
- How many terminals, non-terminals and productions in the previous example?

BNF Rules

- An abstraction (or nonterminal symbol) can have more than one RHS

```
<stmt> → <single_stmt>  
        | begin <stmt_list> end
```

- Syntactic lists are described using recursion

```
<ident_list> → ident  
              | ident, <ident_list>
```

- A derivation is a repeated application of rules, starting with the start symbol and ending with a sentence (all terminal symbols)

Example: Grammar of a PL

- Production Rules of a Grammar for Simple Integer Arithmetic
- How many productions, nonterminals and terminals ?

$\langle \text{program} \rangle \rightarrow \langle \text{stmts} \rangle$

$\langle \text{stmts} \rangle \rightarrow \langle \text{stmt} \rangle \mid \langle \text{stmt} \rangle ; \langle \text{stmts} \rangle$

$\langle \text{stmt} \rangle \rightarrow \langle \text{var} \rangle = \langle \text{expr} \rangle$

$\langle \text{var} \rangle \rightarrow a \mid b \mid c \mid d$

$\langle \text{expr} \rangle \rightarrow \langle \text{term} \rangle + \langle \text{term} \rangle \mid \langle \text{term} \rangle - \langle \text{term} \rangle$

$\langle \text{term} \rangle \rightarrow \langle \text{var} \rangle \mid \text{const}$

Example Leftmost Derivation

- A **leftmost derivation** is one in which the leftmost nonterminal in each sentential form is the one that is expanded
- A derivation may be neither leftmost nor rightmost
- Special words like, begin and end can be used.
- The start symbol is here is <program>
- Every string of symbols in the derivation is a *sentential form*
- A *sentence* is a sentential form that has only terminal symbols

```
<program> => <stmts> => <stmt>
                                => <var> = <expr>
                                => a = <expr>
                                => a = <term> + <term>
                                => a = <var> + <term>
                                => a = b + <term>
                                => a = b + const
```

Class Exercise

How many productions, nonterminals and terminals ?

$\langle \text{program} \rangle \rightarrow \mathbf{begin} \langle \text{stmt_list} \rangle \mathbf{end}$

$\langle \text{stmt_list} \rangle \rightarrow \langle \text{stmt} \rangle \mid \langle \text{stmt} \rangle ; \langle \text{stmt_list} \rangle$

$\langle \text{stmt} \rangle \rightarrow \langle \text{var} \rangle = \langle \text{expr} \rangle$

$\langle \text{var} \rangle \rightarrow a \mid b \mid c$

$\langle \text{expr} \rangle \rightarrow \langle \text{var} \rangle + \langle \text{var} \rangle \mid \langle \text{var} \rangle - \langle \text{var} \rangle \mid \langle \text{var} \rangle$

Perform the following leftmost derivation:

begin $a = b - c ; a = c$ **end**

$\langle \text{assign} \rangle \rightarrow \langle \text{id} \rangle = \langle \text{expr} \rangle$

$\langle \text{id} \rangle \rightarrow A \mid B \mid C$

$\langle \text{expr} \rangle \rightarrow \langle \text{id} \rangle - \langle \text{expr} \rangle \mid \langle \text{id} \rangle * \langle \text{expr} \rangle \mid (\langle \text{expr} \rangle) \mid \langle \text{id} \rangle$

Perform the following leftmost derivation:

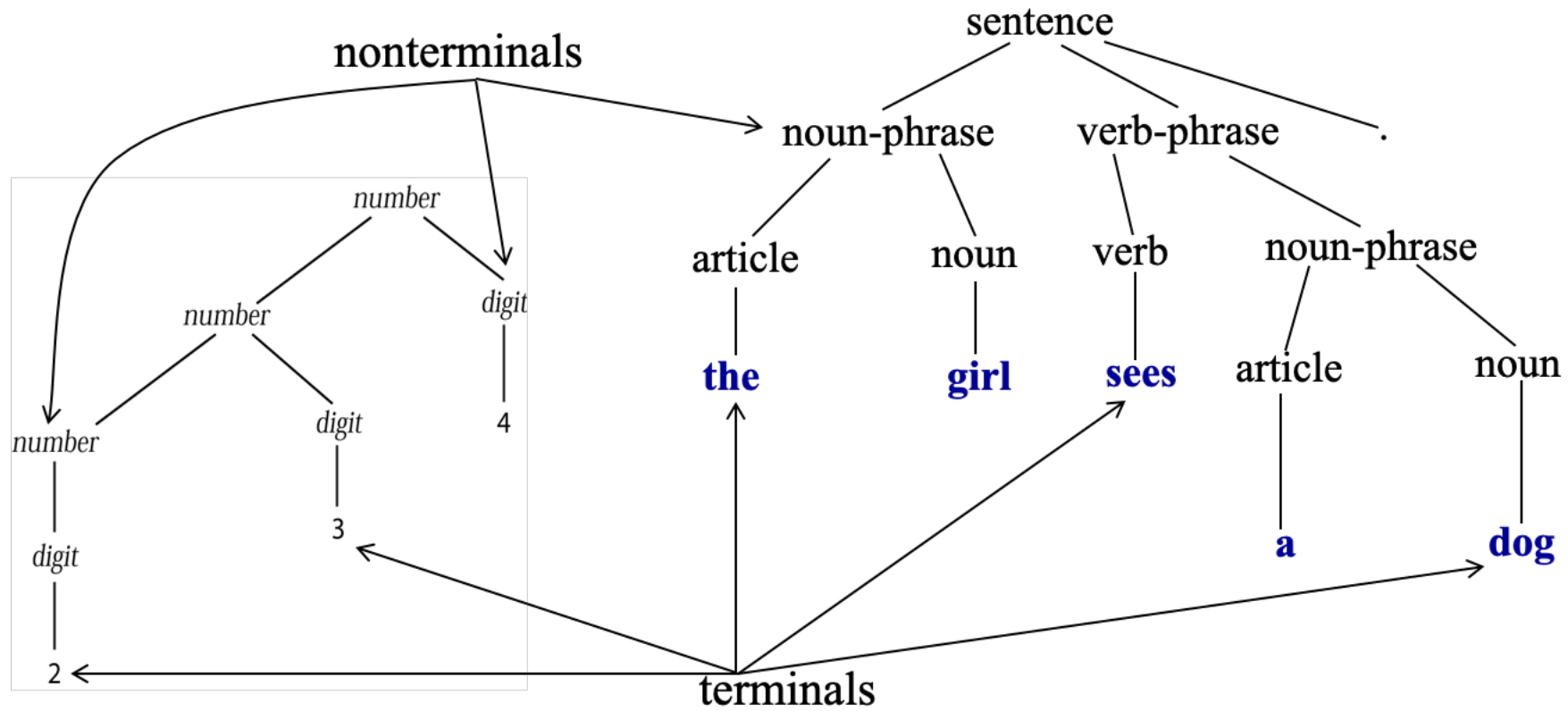
$A = C * (A - B)$

Why Context-Free Grammar?

- Non-terminals appear singly on the LHS of a production
- Hence each non-terminal can be replaced by any RHS choice, no matter where the non-terminal might appear
- Hence, no context under which only certain replacements can occur
 - e.g. the dog pets a girl
 - If it were context sensitive , verb “pets” used only when subject is “girl”
 - Another example of context-sensitivity is the use of a capitalized article in the beginning of the sentence
- How is it dealt with w.r.t. PLs ?
- Allow context-strings on LHS of the grammar rules or deal with it as a semantic issue, not a syntactic one

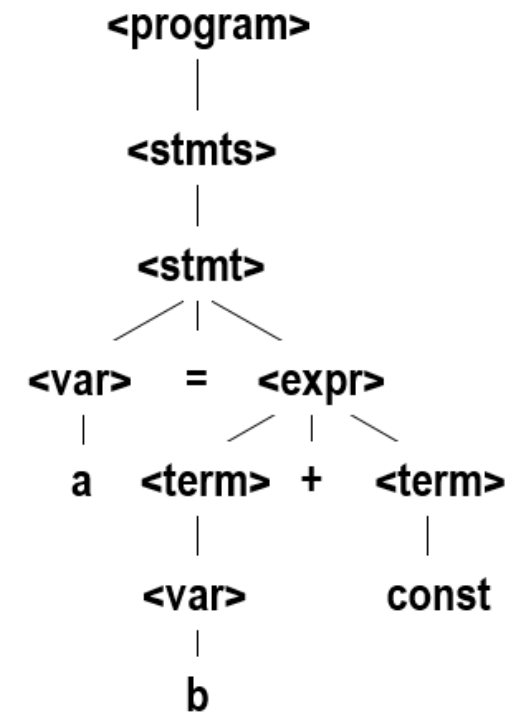
Parse Trees

- A hierarchical graphical representation of a derivation



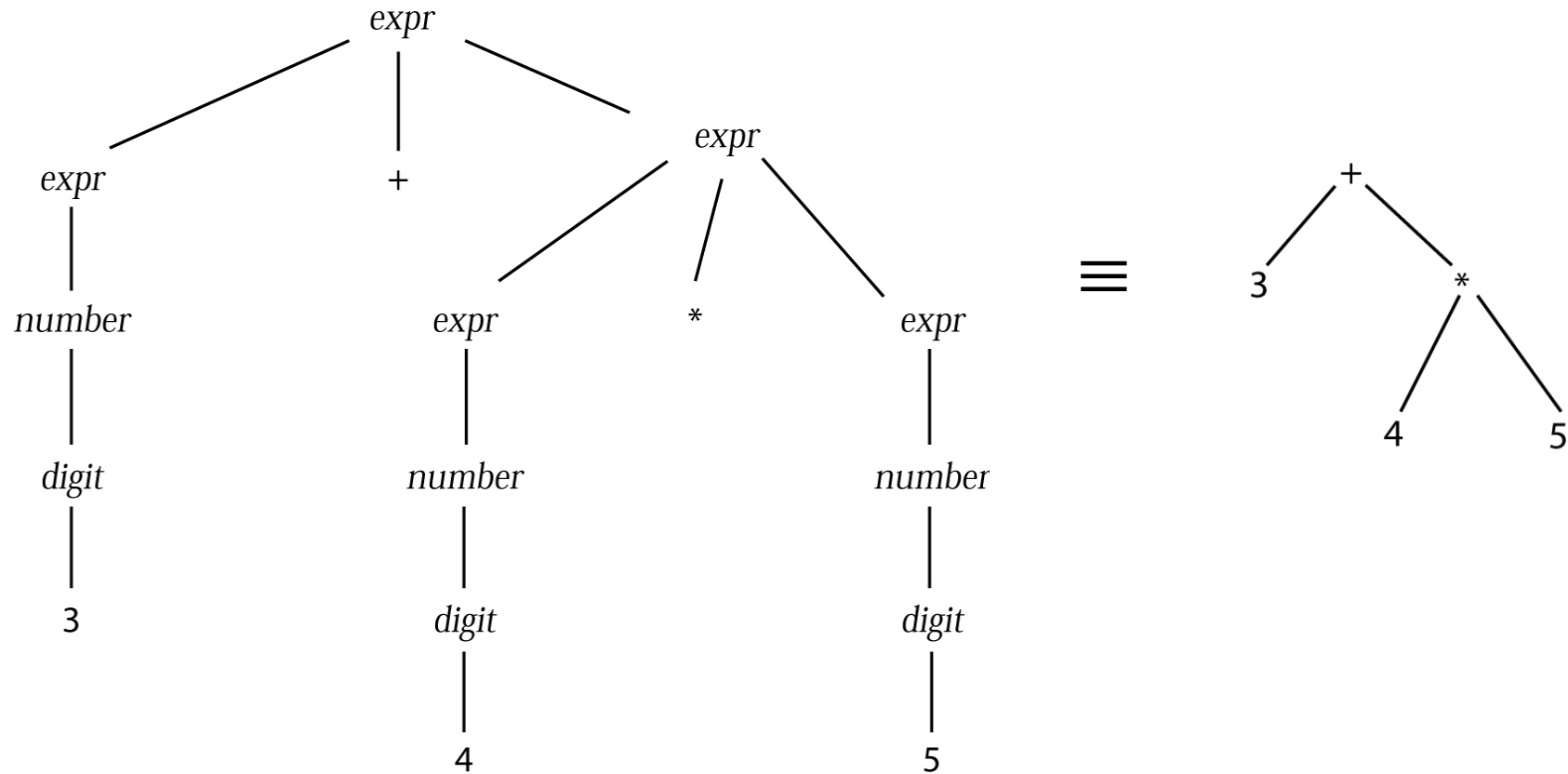
Parse Tree Representation of a Derivation

$\langle \text{program} \rangle \Rightarrow \langle \text{stmts} \rangle \Rightarrow \langle \text{stmt} \rangle$
 $\Rightarrow \langle \text{var} \rangle = \langle \text{expr} \rangle \Rightarrow a = \langle \text{expr} \rangle$
 $\Rightarrow a = \langle \text{term} \rangle + \langle \text{term} \rangle$
 $\Rightarrow a = \langle \text{var} \rangle + \langle \text{term} \rangle$
 $\Rightarrow a = b + \langle \text{term} \rangle$
 $\Rightarrow a = b + \text{const}$



Abstract Syntax Tree

- Abstracting the essential structure of the tree



Ambiguity of Grammars

- A grammar that generates a sentential form for which there are 2 or more parse trees is said to be ambiguous

- $\text{expr} \rightarrow \text{expr} + \text{expr} \mid \text{expr} * \text{expr} \mid (\text{expr}) \mid \text{number}$

$\text{expr} \Rightarrow \text{expr} + \text{expr}$

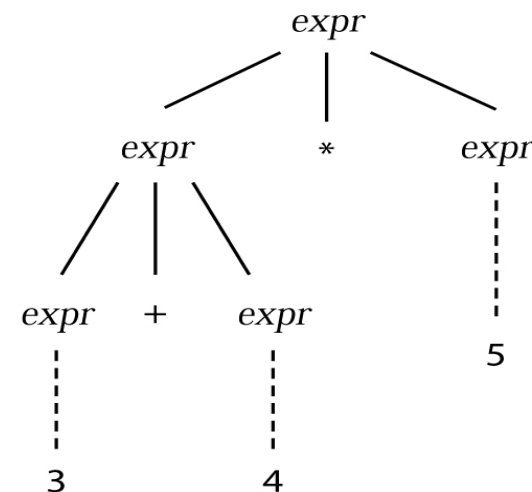
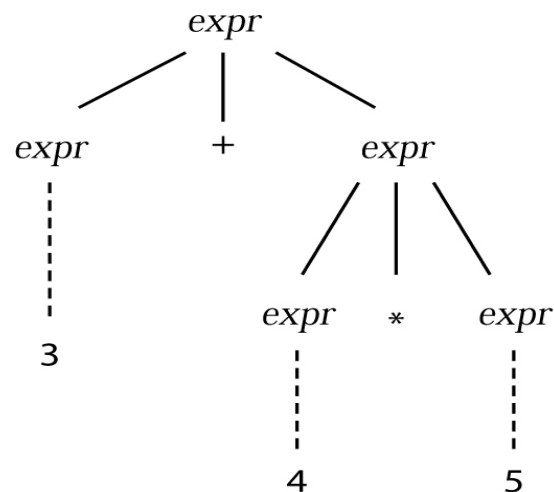
$\Rightarrow \text{expr} + \text{expr} * \text{expr}$ (replace second expr with $\text{expr} * \text{expr}$)

$\Rightarrow \text{number} + \text{expr} * \text{expr}$

$\text{expr} \Rightarrow \text{expr} + \text{expr}$

$\Rightarrow \text{expr} + \text{expr} * \text{expr}$ (replace first expr with $\text{expr} + \text{expr}$)

$\Rightarrow \text{number} + \text{expr} * \text{expr}$



Leftmost Derivation

- Leftmost nonterminal is singled out for replacement at each step
- Each parse tree has a unique leftmost derivation which can be constructed by a preorder traversal of the tree
- Ambiguity can be detected by searching for more than one leftmost derivation of the same string

$\text{expr} \Rightarrow \text{expr} + \text{expr}$

$\Rightarrow \text{number} + \text{expr}$

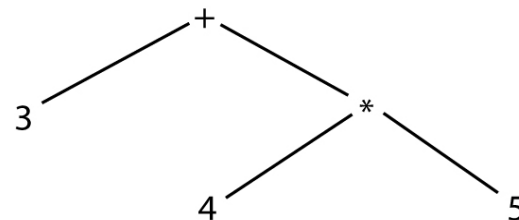
$\Rightarrow \text{digit} + \text{expr} * \text{expr}$

$\Rightarrow 3 + \text{expr}$

$\Rightarrow 3 + \text{expr} * \text{expr}$

$\Rightarrow 3 + \text{number} * \text{expr}$

Tree 1

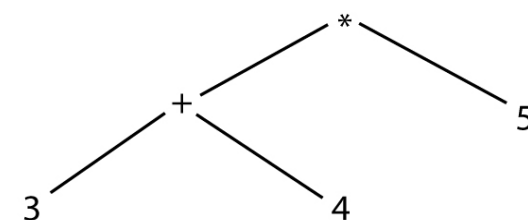


$\text{expr} \Rightarrow \text{expr} * \text{expr}$

$\Rightarrow \text{expr} + \text{expr} * \text{expr}$ (replace first
expr with $\text{expr} + \text{expr}$)

$\Rightarrow \text{number} + \text{expr} * \text{expr}$

Tree 2

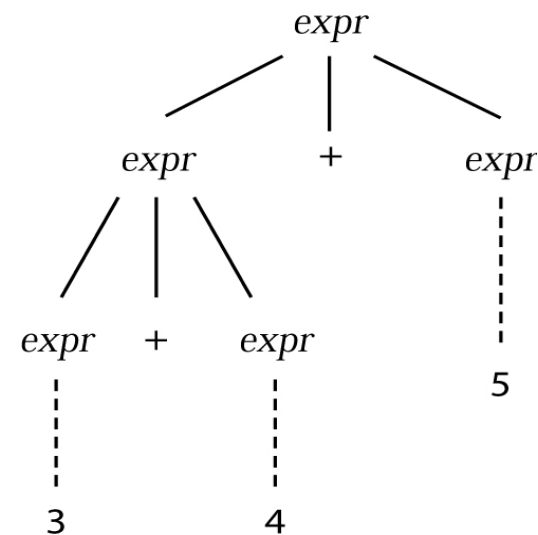
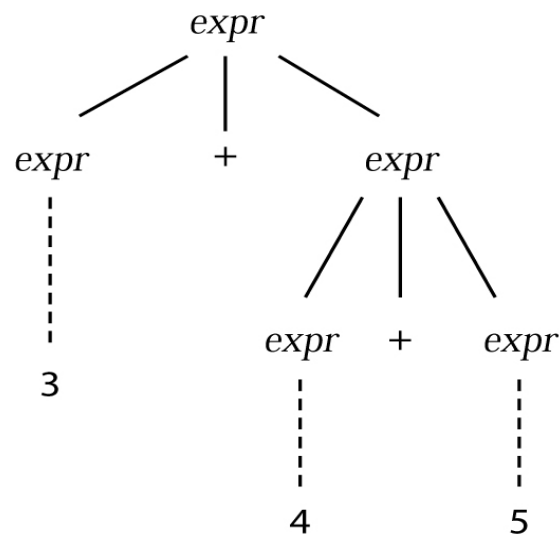


Disambiguaty Rule

- Which parse tree was the correct one from semantics point of view?
- Use the precedence rule as a disambiguating rule
- Rewrite the rule using a new grammar rule called introduces another nonterminal called **term** that establishes a precedence

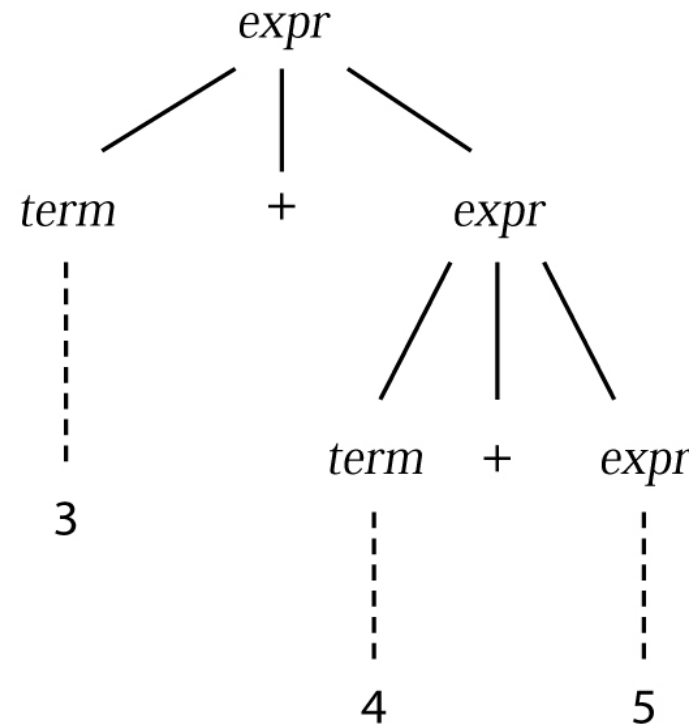
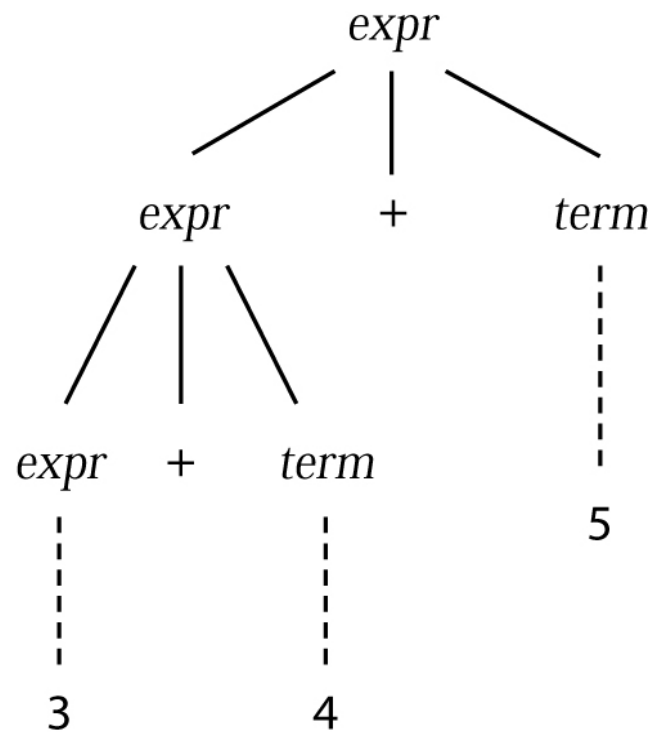
Fixing Ambiguity using a New Nonterminal

- Fixing ambiguity by precedence
 - $\text{expr} \rightarrow \text{expr} + \text{expr} \mid \text{term}$
 - $\text{term} \rightarrow \text{term} * \text{term} \mid (\text{expr}) \mid \text{number}$
- Still ambiguous $3 + (4 + 5)$ or $(3 + 4) + 5$ (addition can be right or left associative)



Disambiguity due to Associativity

- Ambiguity because of associativity
 - $\text{expr} \rightarrow \text{expr} + \text{term}$ (left recursive i.e. left associate) or
 - $\text{expr} \rightarrow \text{term} + \text{expr}$ (right recursive i.e. right associate)



Revised Grammar

$\text{expr} \rightarrow \text{expr} + \text{expr} \mid \text{expr} * \text{expr} \mid (\text{expr}) \mid \text{number}$

$\text{number} \rightarrow \text{number digit} \mid \text{digit}$ (note recursion here)

$\text{digit} \rightarrow 0 \mid 1 \mid 2 \mid 3 \mid 4 \mid 5 \mid 6 \mid 7 \mid 8 \mid 9$

- Revised grammar for Simple Integer Arithmetic Expressions (SIAE)

$\text{expr} \rightarrow \text{expr} + \text{term} \mid \text{term}$

$\text{term} \rightarrow \text{term} * \text{factor} \mid \text{factor}$

$\text{factor} \rightarrow (\text{expr}) \mid \text{number}$

$\text{number} \rightarrow \text{number digit} \mid \text{digit}$ (note recursion here)

$\text{digit} \rightarrow 0 \mid 1 \mid 2 \mid 3 \mid 4 \mid 5 \mid 6 \mid 7 \mid 8 \mid 9$

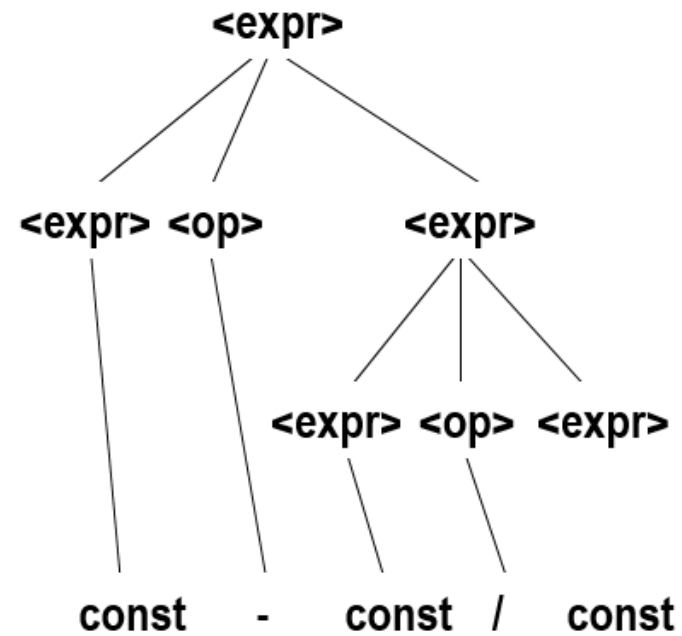
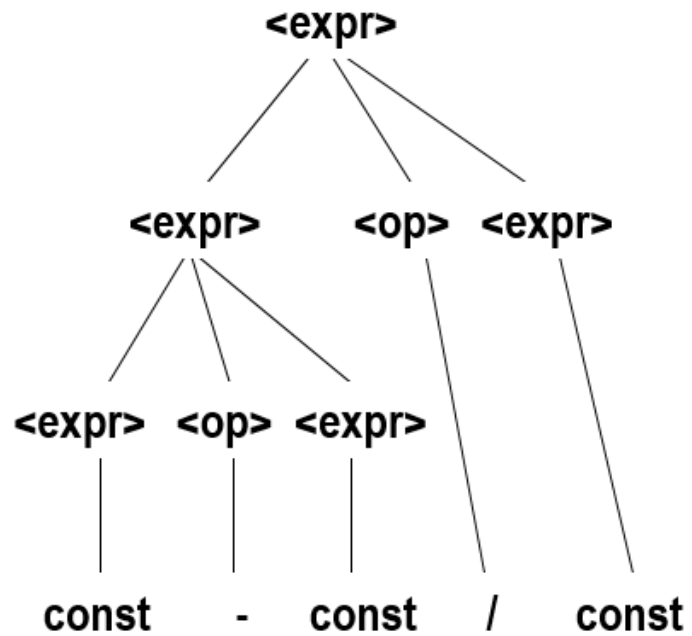
Syntax trees generated by this BNF corresponds to the semantics of the arithmetic operations as they are usually defined

Is this Grammar Ambiguous?

- Is the following grammar ambiguous? Can you draw 2 parse trees?

$\langle \text{expr} \rangle \rightarrow \langle \text{expr} \rangle \langle \text{op} \rangle \langle \text{expr} \rangle \mid \text{const}$

$\langle \text{op} \rangle \rightarrow / \mid -$

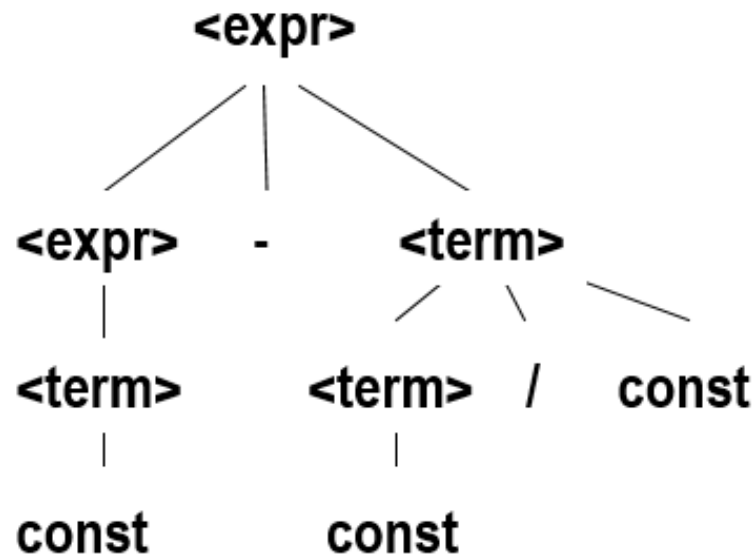


- How to remove ambiguity by adding another nonterminal ?

An Unambiguous Expression Grammar

- If we use the parse tree to indicate precedence levels of the operators, we cannot have ambiguity

$\langle \text{expr} \rangle \rightarrow \langle \text{expr} \rangle - \langle \text{term} \rangle \mid \langle \text{term} \rangle$
 $\langle \text{term} \rangle \rightarrow \langle \text{term} \rangle / \text{const} \mid \text{const}$

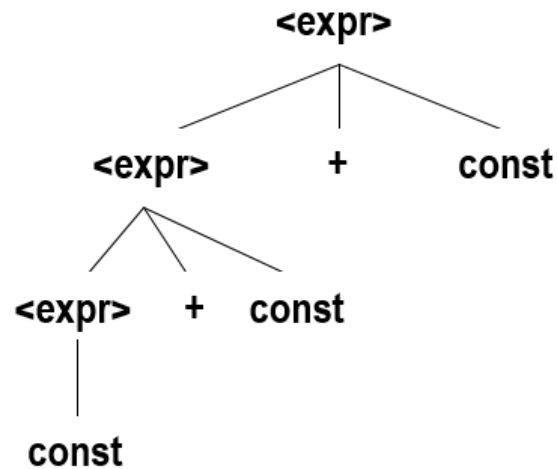


Associativity of Operators

- Operator associativity can also be indicated by a grammar

`<expr> -> <expr> + <expr> | const` (ambiguous)

`<expr> -> <expr> + const | const` (unambiguous)

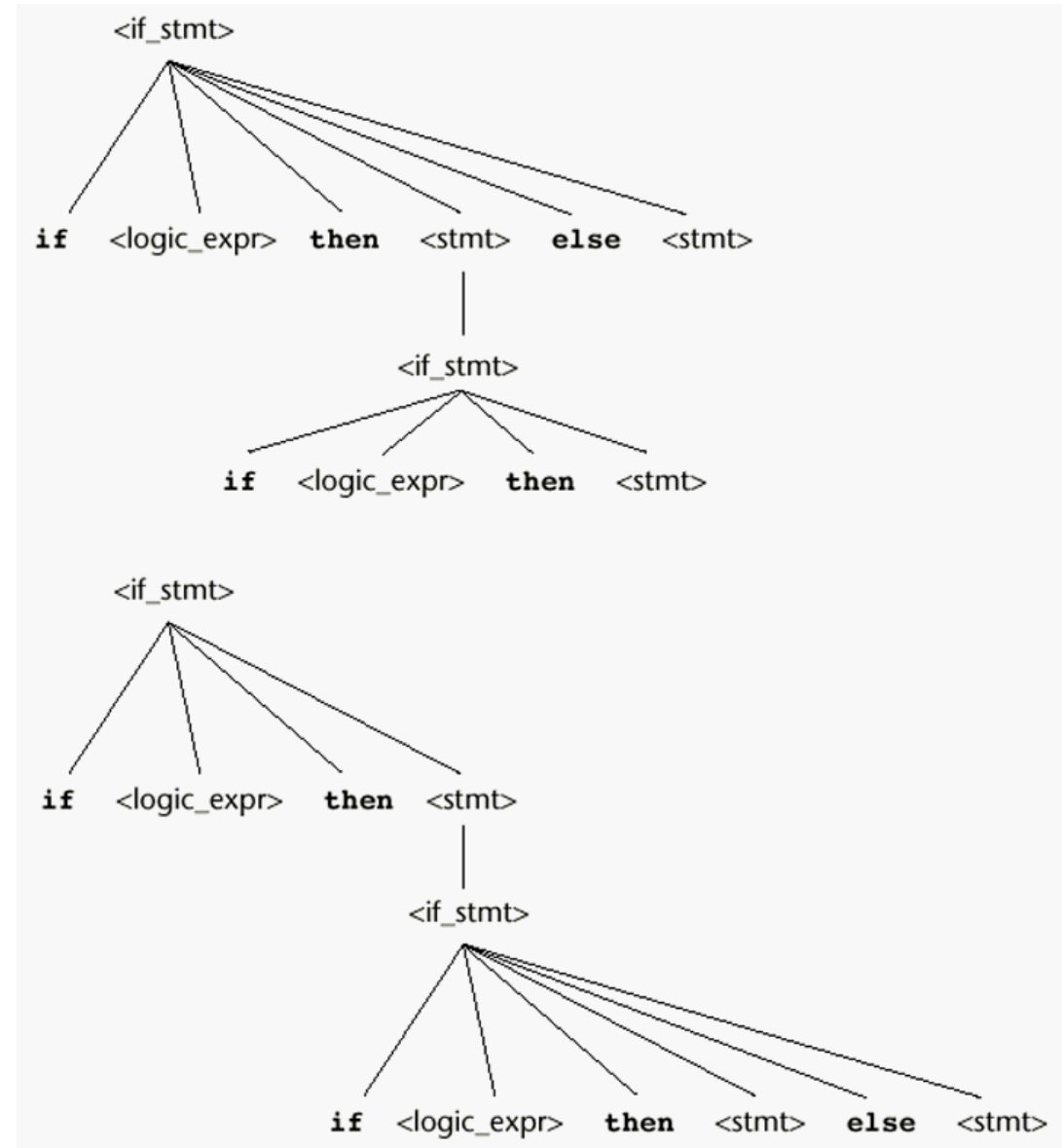


Ambiguous Grammar for Selector

- Java/C/C++ **if-then-else** grammar

```
<if_stmt> -> if (<logic_expr>) <stmt>  
          | if (<logic_expr>) <stmt> else <stmt>
```

- Grammar above is ambiguous
- Show it using two parse trees for
 - if <logic_expr> then if <logic_expr> then <stmt> else <stmt>



Unambiguous Grammar for Selector

- Ambiguous!
 - An unambiguous grammar for if-then-else

```
<stmt> -> <matched> | <unmatched>
<matched> -> if (<logic_expr>) <stmt>
              | a non-if statement
<unmatched> -> if (<logic_expr>) <stmt>
              | if (<logic_expr>) <matched> else
                <unmatched>
```

Extended BNF

- Optional parts are placed in brackets []

`<proc_call> -> ident [(<expr_list>)]`

- Alternative parts of RHSs are placed inside parentheses and separated via vertical bars

`<term> → <term> (+|-) const`

- Repetitions (0 or more) are placed inside braces { }

`<ident> → letter {letter|digit}`

BNF and EBNF

- BNF

```
<expr> → <expr> + <term>
        | <expr> - <term>
        | <term>
<term> → <term> * <factor>
        | <term> / <factor>
        | <factor>
```

- EBNF

```
<expr> → <term> { (+ | -) <term> }
<term> → <factor> { (* | /) <factor> }
```

Recent Variations in EBNF

- Alternative RHSs are put on separate lines
- Use of a colon instead of \Rightarrow
- Use of `opt` for optional parts
- Use of `oneof` for choices

EBNF of SIAE

- EBNF grammar for Simple Integer Arithmetic Expressions (SIAE)

$\text{expr} \rightarrow \text{expr} \{ + \text{term} \}$

$\text{term} \rightarrow \text{factor} \{ * \text{factor} \}$

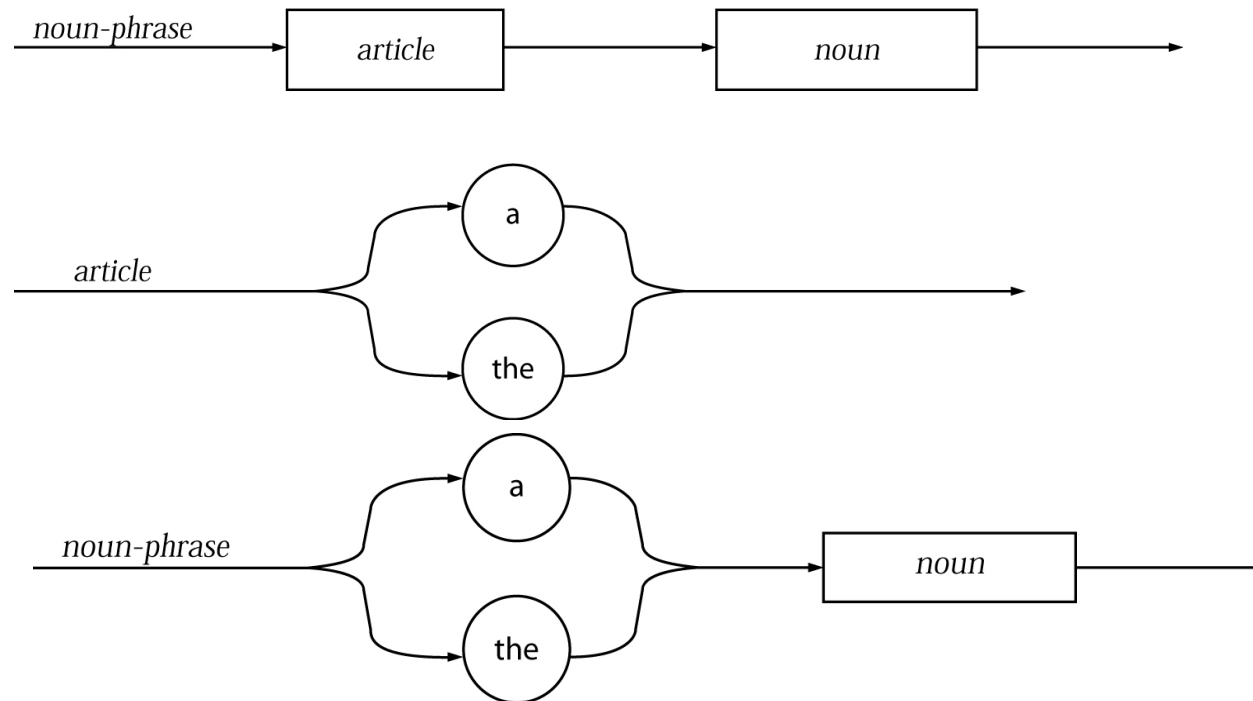
$\text{factor} \rightarrow (\text{expr}) \mid \text{number}$

$\text{number} \rightarrow \text{digit} \{ \text{digit} \}$ (note recursion here)

$\text{digit} \rightarrow 0 \mid 1 \mid 2 \mid 3 \mid 4 \mid 5 \mid 6 \mid 7 \mid 8 \mid 9$

Syntax Diagrams

- Another form of graphical representation of the grammar rule: use of circle for ? and square/rectangles for ?
- Used mainly in the past
- Very appealing visually but take up a lot of space
- Diagrams always derived from the EBNF notation



Syntax diagram for a SLAE

- Use of loops to exhibit repetitions

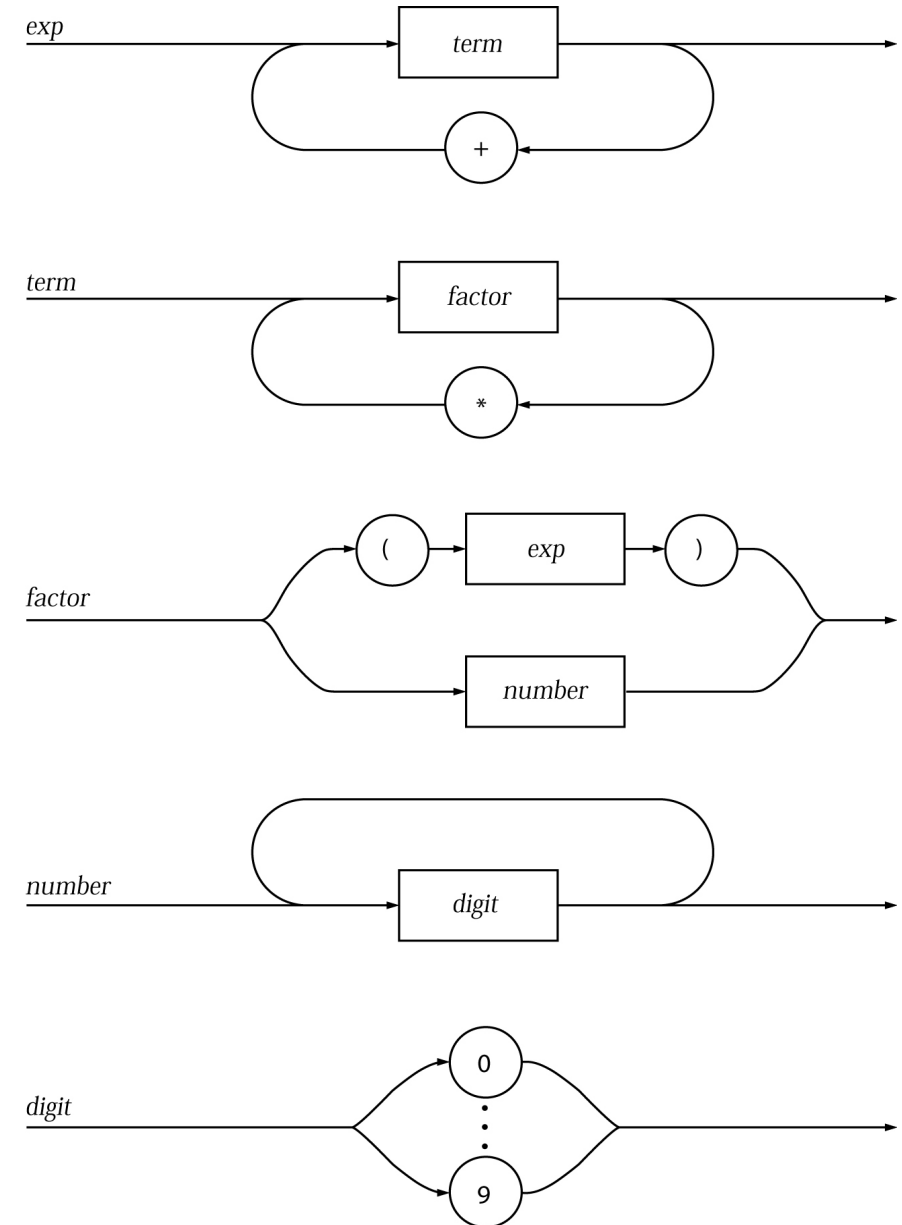
$\text{expr} \rightarrow \text{expr} \{ + \text{term} \}$

$\text{term} \rightarrow \text{factor} \{ * \text{factor} \}$

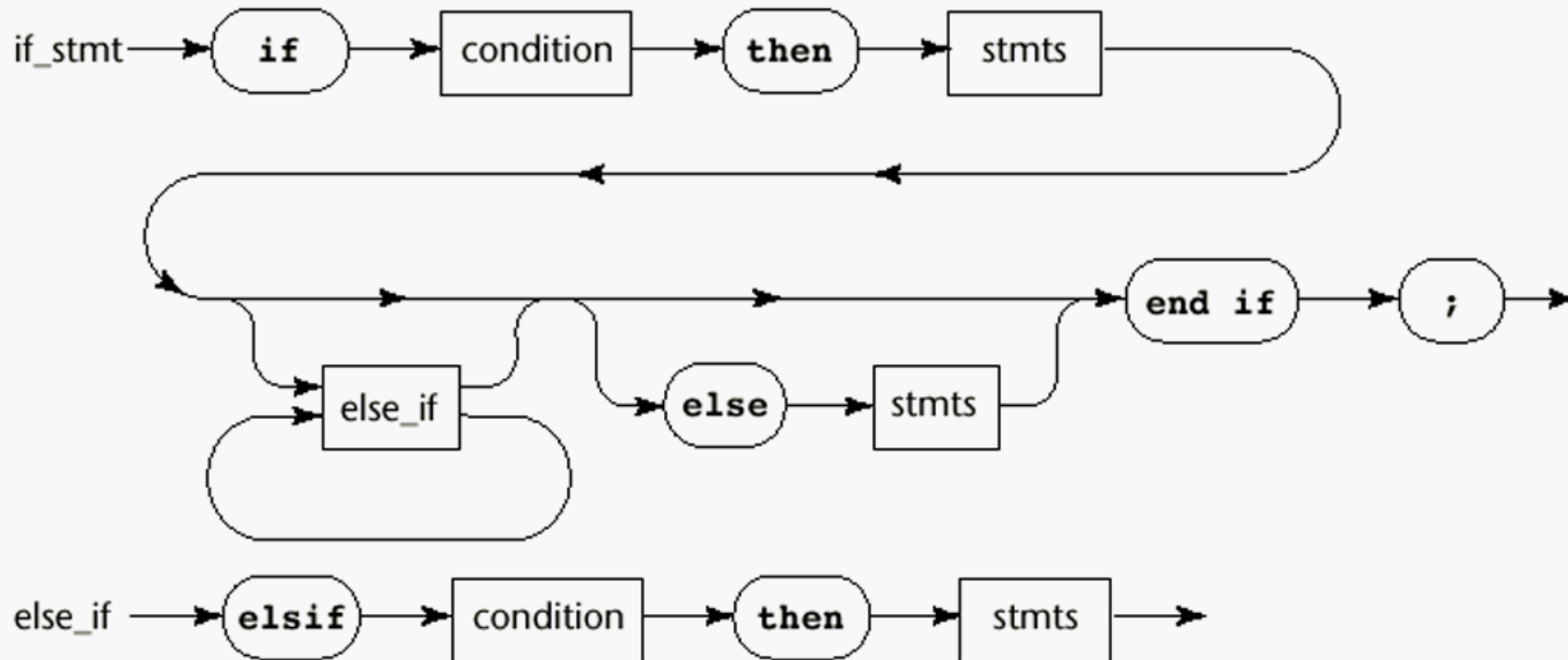
$\text{factor} \rightarrow (\text{expr}) \mid \text{number}$

$\text{number} \rightarrow \text{digit} \{ \text{digit} \}$ (note recursion here)

$\text{digit} \rightarrow 0 \mid 1 \mid 2 \mid 3 \mid 4 \mid 5 \mid 6 \mid 7 \mid 8 \mid 9$



Syntax Graph and EBNF descriptions of if_stmt



`<if_stmt>` \longrightarrow **if** `<condition>` **then** `<stmts>` {`<else_if>`}

[else <stmts>] end if

`<else_if>` \longrightarrow **elsif** `<condition>` **then** `<stmts>`

Class Exercise 2

- Show a parse tree **and** left most derivation of the following statement:

- $A = (A + B) * C$
- $A = B * (C * (A + B))$

- Productions:

$\langle \text{assign} \rangle \rightarrow \langle \text{id} \rangle = \langle \text{expr} \rangle$

$\langle \text{id} \rangle \rightarrow A \mid B \mid C$

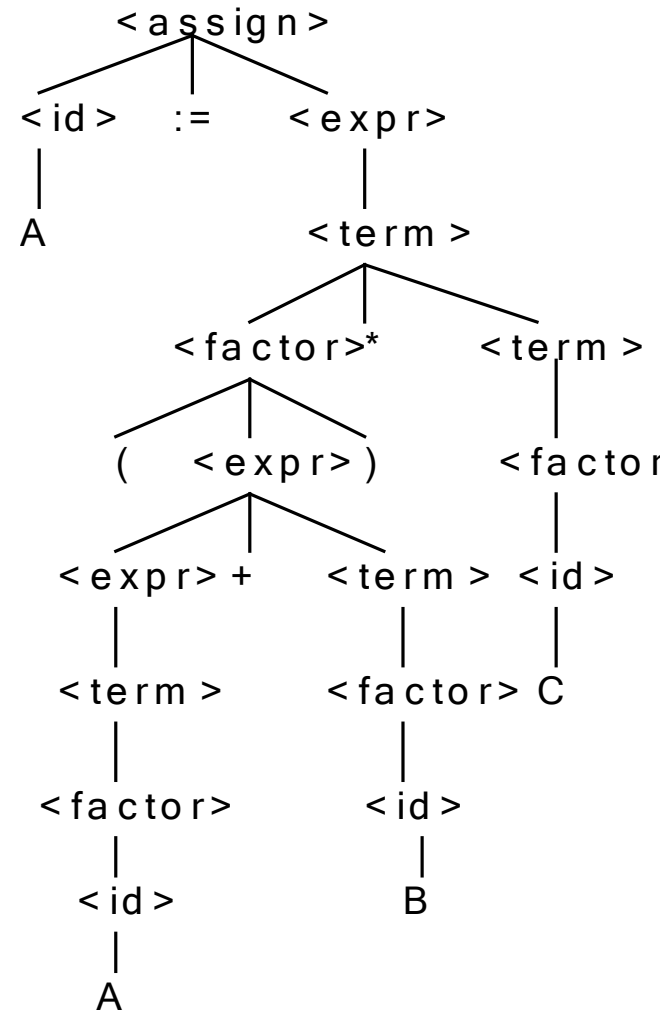
$\langle \text{expr} \rangle \rightarrow \langle \text{expr} \rangle + \langle \text{term} \rangle \mid \langle \text{term} \rangle$

$\langle \text{term} \rangle \rightarrow \langle \text{term} \rangle * \langle \text{factor} \rangle \mid \langle \text{factor} \rangle$

$\langle \text{factor} \rangle \rightarrow (\langle \text{expr} \rangle) \mid \langle \text{id} \rangle$

Derivation

$\langle \text{assign} \rangle \Rightarrow \langle \text{id} \rangle = \langle \text{expr} \rangle$
 $\Rightarrow A = \langle \text{expr} \rangle$
 $\Rightarrow A = \langle \text{term} \rangle$
 $\Rightarrow A = \langle \text{factor} \rangle * \langle \text{term} \rangle$
 $\Rightarrow A = (\langle \text{expr} \rangle) * \langle \text{term} \rangle$
 $\Rightarrow A = (\langle \text{expr} \rangle + \langle \text{term} \rangle) * \langle \text{term} \rangle$
 $\Rightarrow A = (\langle \text{term} \rangle + \langle \text{term} \rangle) * \langle \text{term} \rangle$
 $\Rightarrow A = (\langle \text{factor} \rangle + \langle \text{term} \rangle) * \langle \text{term} \rangle$
 $\Rightarrow A = (\langle \text{id} \rangle + \langle \text{term} \rangle) * \langle \text{term} \rangle$
 $\Rightarrow A = (A + \langle \text{term} \rangle) * \langle \text{term} \rangle$
 $\Rightarrow A = (A + \langle \text{factor} \rangle) * \langle \text{term} \rangle$
 $\Rightarrow A = (A + \langle \text{id} \rangle) * \langle \text{term} \rangle$
 $\Rightarrow A = (A + B) * \langle \text{term} \rangle$
 $\Rightarrow A = (A + B) * \langle \text{factor} \rangle$
 $\Rightarrow A = (A + B) * \langle \text{id} \rangle$
 $\Rightarrow A = (A + B) * C$



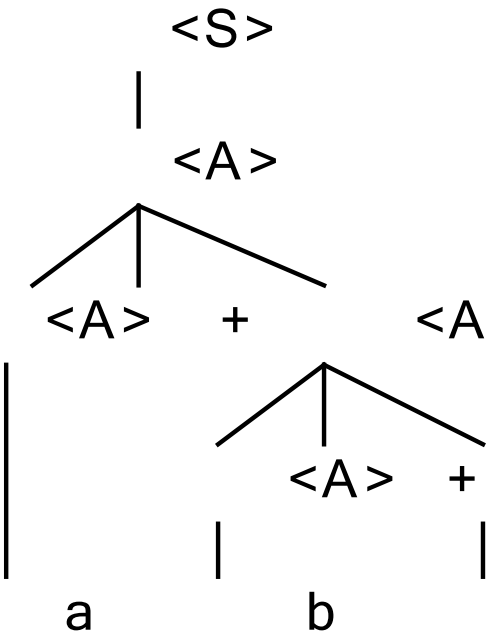
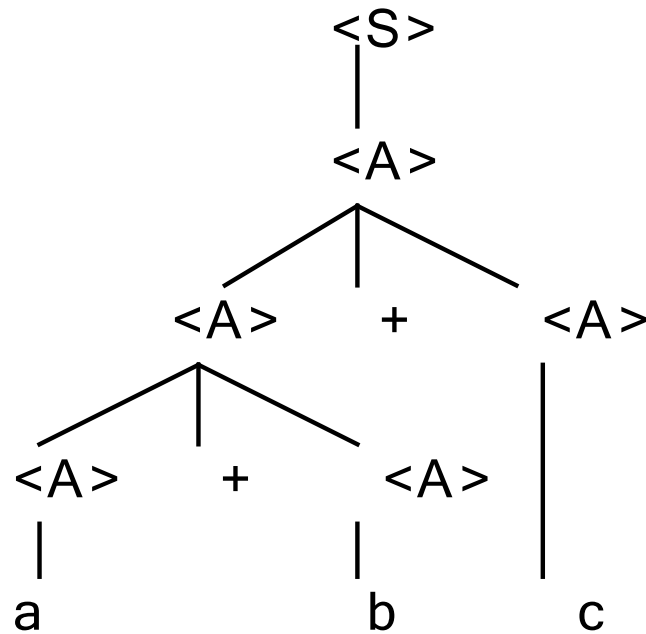
Class Exercise 3

- Prove the following grammar is ambiguous by generating 2 parse trees

$\langle S \rangle \rightarrow \langle A \rangle$

$\langle A \rangle \rightarrow \langle A \rangle + \langle A \rangle \mid \langle \text{id} \rangle$

$\langle \text{id} \rangle \rightarrow a \mid b \mid c$



Take home question

- How would you add a production to fix the ambiguity?