# COMP9020 19T1 Week 4 Equivalence and Order Relations

- Textbook (R & W) Ch. 3, Sec. 3.4-3.5 Ch. 11, Sec. 11.1-11.2
- Problem set 4
- Supplementary Exercises Ch. 3, Ch. 11 (R & W)
- Quiz 4 (due Monday week 5)



# **Equivalence Relations and Partitions**

Relation  $\mathcal{R}$  is called an **equivalence** relation if it satisfies (R), (S), (T).

Every equivalence  $\mathcal{R}$  defines **equivalence classes** on its domain S. The equivalence class [s] (w.r.t.  $\mathcal{R}$ ) of an element  $s \in S$  is

$$[s]_{\mathcal{R}} = \{ t \in S : t\mathcal{R}s \}$$

This notion is well defined only for  $\mathcal{R}$  which is an equivalence relation. Collection of all equivalence classes is a *partition* of S:

$$S = \bigcup_{s \in S} [s]_{\mathcal{R}}$$
 ( $\dot{\cup}$  denotes a disjoint union)

$$\mathcal{R} = \{ (m, n) \in \mathbb{Z} : m \mod 2 = n \mod 2 \}$$

$$[0] = \{ \dots, -4, -2, 0, 2, 4, \dots \} \quad \text{(same as } [-2], [2], \dots \text{)}$$

$$[1] = \{ \dots, -3, -1, 1, 3, 5, \dots \}$$

Thus the equivalence classes are disjoint and jointly cover the entire domain. It means that every element belongs to one (and only one) equivalence class.

We call  $s_1, s_2, \ldots$  representatives of (different) equivalence classes For  $s, t \in S$  either [s] = [t], when  $s\mathcal{R}t$ , or  $[s] \cap [t] = \emptyset$ , when  $s\mathcal{R}t$ . We commonly write  $s \sim_{\mathcal{R}} t$  when s, t are in the same equivalence class.

In the opposite direction, a partition of a set defines the equivalence relation on that set. If  $S = S_1 \dot{\cup} \dots \dot{\cup} S_k$ , then we specify  $s \sim t$  exactly when s and t belong to the same  $S_i$ .

## **Example**

$$\mathbb{Z}=\{\ldots,-3,0,3,\ldots\}\,\dot\cup\,\{\ldots,-2,1,4,\ldots\}\,\dot\cup\,\{\ldots,-1,2,5,\ldots\}$$

 $m \sim n$  if, and only if,  $m \mod 3 = n \mod 3$ 

$$[0] = [3] = [6] = \dots$$
  $[0] \cap [1] = \emptyset = [0] \cap [2]$ 

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If the relation  $\sim$  is an equivalence on S and [S] the corresponding partition, then

$$\nu: S \longrightarrow [S], \quad \nu: s \mapsto [s] = \{ x \in S : x \sim s \}$$

is called the *natural* map. It is always onto.

#### **Exercise**

When is  $\nu$  also 1–1 ?

Only when  $\sim$  is the identity on S



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#### **Exercise**

When is  $\nu$  also 1–1 ?

Only when  $\sim$  is the identity on S.

A function  $f: S \longrightarrow T$  defines an equivalence relation on S by

$$s_1 \sim s_2$$
 iff  $f(s_1) = f(s_2)$ 

These sets  $f^{\leftarrow}(t)$ ,  $t \in T$  that are nonempty form the corresponding partition

$$S = \bigcup_{t \in T} f^{\leftarrow}(t)$$

#### **Exercise**

When are all  $f^{\leftarrow}(t) \neq \emptyset$ ?

When f is onto



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#### Exercise

When are all  $f^{\leftarrow}(t) \neq \emptyset$ ?

When f is onto.



# **Example: Congruence Relations**

 $\mathbb{Z} \longrightarrow \mathbb{Z}_p$ : Partition of  $\mathbb{Z}$  into classes of numbers with the same remainder (mod p); it is particularly important for p prime

$$\mathbb{Z}(p) = \mathbb{Z}_p = \{0, 1, \dots, p-1\}$$

One can define all four arithmetic operations (with the usual properties) on  $\mathbb{Z}_p$  for a prime p; division has to be restricted when p is not prime.

Standard notation:  $\mathbf{m} \equiv \mathbf{n} \pmod{\mathbf{p}}$ 

 $\stackrel{\mathsf{def}}{=}$  remainder of dividing m by p= remainder of dividing n by p

#### **NB**

 $(\mathbb{Z}_p,+,\cdot,0,1)$  are fundamental algebraic structures known as **rings**. These structures are very important in coding theory and cryptography.

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## **Modular Arithmetic**

$$\mathbb{Z}_5 = \{0,1,2,3,4\}$$

$+_5$	0	1	2	3	4
0	0	1	2	3	4
1	1	2	3	4	0
2	2	3	4	0	1
3	3	4	0	1	2
0 1 2 3 4	4	0	1	2	3
*5			2		
<u>^5</u>	0				
0	0	0	0	0	0
1	0	1	0 2 4	3	4
2	0	2	4	1	3

$$\begin{array}{c|cccc}
1 & 4 \\
2 & 3 \\
3 & 2 \\
4 & 1
\end{array}$$

$$\begin{array}{c|cccc}
n & n^{-1} \\
\hline
0 & - \\
1 & 1 \\
2 & 3 \\
2 & 2
\end{array}$$

3.5.6 Calculate the following in  $\mathbb{Z}_7$ .

- (b) 5 + 6 =
- (c) 4 \* 4 =
- (d) for any  $k \in \mathbb{Z}_7$ , 0 + k = 1
- (e) for any  $k \in \mathbb{Z}_7$ , 1 \* k = k

3.5.6 Calculate the following in  $\mathbb{Z}_7$ .

- (b) 5+6=4
- (c) 4\*4=2
- (d) for any  $k \in \mathbb{Z}_7$ , 0 + k = k
- (e) for any  $k \in \mathbb{Z}_7$ , 1 \* k = k

Solve the following for x in  $\mathbb{Z}_5$ .

- (a) 2 + x = 1  $\Rightarrow x = 4$
- (b) 2 \* x = 1  $\Rightarrow x = 2^{-1} = 3$
- (c) 2\*x = 3  $\Rightarrow x = 3*2^{-1} = 3*3 = 4$

#### Exercise

Solve the following for x in  $\mathbb{Z}_6$ .

- (d) 5 + x = 3
- (e) 5 \* x = 1
- (e) 2 \* x = 1

Solve the following for x in  $\mathbb{Z}_5$ .

(a) 
$$2 + x = 1 \implies x = 4$$

(b) 
$$2 * x = 1$$
  $\Rightarrow x = 2^{-1} = 3$ 

(c) 
$$2 * x = 3$$
  $\Rightarrow x = 3 * 2^{-1} = 3 * 3 = 4$ 

#### **Exercise**

Solve the following for x in  $\mathbb{Z}_6$ .

(d) 
$$5 + x = 1 \implies x = 2$$

(e) 
$$5 * x = 1 \implies x = 5$$
 (since 25 mod  $6 = 1$ )

(e) 
$$2 * x = 1$$
 undefined (since  $2 \cdot k \mod 6 \neq 1$  for all  $k \in \mathbb{Z}_6$ )

Solve the following for x in  $\mathbb{Z}_5$ .

- (a)  $2 + x = 1 \Rightarrow x = 4$
- (b)  $2 * x = 1 \implies x = 2^{-1} = 3$
- (c) 2 \* x = 3  $\Rightarrow x = 3 * 2^{-1} = 3 * 3 = 4$

#### **Exercise**

Solve the following for x in  $\mathbb{Z}_6$ .

- (d)  $5 + x = 1 \implies x = 2$
- (e)  $5 * x = 1 \implies x = 5 \pmod{6} = 1$
- (e) 2\*x = 1 undefined (since  $2 \cdot k \mod 6 \neq 1$  for all  $k \in \mathbb{Z}_6$ )

#### **Exercise**

3.6.6 Show that  $m \sim n$  iff  $m^2 \equiv n^2 \pmod{5}$  is an equivalence on  $S = \{1, \ldots, 7\}$ . Find all the equivalence classes.

```
(a) It just means that m\equiv n\pmod 5 or m\equiv -n\pmod 5, e.g 1\equiv -4\pmod 5. This satisfies (R), (S), (T).
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- (b) We have
- $[1] = \{1, 4, 6\}$
- $[2] = \{2, 3, 7\}$
- $[5] = \{5\}$

#### **Exercise**

3.6.6 Show that  $m \sim n$  iff  $m^2 \equiv n^2 \pmod{5}$  is an equivalence on  $S = \{1, \dots, 7\}$ . Find all the equivalence classes.

(a) It just means that  $m \equiv n \pmod{5}$  or  $m \equiv -n \pmod{5}$ , e.g.  $1 \equiv -4 \pmod{5}$ . This satisfies (R), (S), (T).

(b) We have

$$[1] = \{1, 4, 6\}$$

$$[2] = \{2, 3, 7\}$$

$$[5] = \{5\}$$

It is often necessary to define a function on [S] by describing it on the individual representatives  $t \in [s]$  for each equivalence class [s]. If  $\phi : [S] \longrightarrow X$  is to be defined in this way, one must

- define  $\phi(t)$  for all  $t \in S$ , making sure that  $\phi(t) \in X$
- make sure that  $\phi(t_1) = \phi(t_2)$  whenever  $t_1 \sim t_2$ , ie. when  $[t_1] = [t_2]$
- define  $\phi([s]) \stackrel{\text{def}}{=} \phi(s)$ .

The second condition is critical for  $\phi$  to be well-defined.

$$[S] = \{0, 4, 8, \ldots\} \dot{\cup} \{1, 5, 9, \ldots\} \dot{\cup} \{2, 6, 10, \ldots\} \dot{\cup} \{3, 7, 11, \ldots\}$$
  
 $\phi : [S] \longrightarrow \mathbb{Z}_2$  defined by  $\phi(n) = n \mod 2$   
 $\phi(0) = 0 = \phi(4) = \phi(8) = \ldots$ 

## **Example**

Example of a not well-defined 'function' on equivalence classes:

$$\phi: \{0,3,6,\ldots\} \stackrel{?}{\cup} \{1,4,7,\ldots\} \stackrel{?}{\cup} \{2,5,8,\ldots\} \longrightarrow \mathbb{Z}_5$$
  
$$\phi(n) \stackrel{?}{=} n \mod 5$$

Problem: 
$$[0] = [3] = [6] = \dots$$
 in  $\mathbb{Z}_3$ ; however,  $0 \mod 5 = 0$ ,  $3 \mod 5 = 3$ ,  $6 \mod 5 = 1$ ...

#### **Exercise**

## 3.6.10

 $\overline{\mathcal{R}}$  is a binary relation on  $\mathbb{N} \times \mathbb{N}$ , i.e. it is a subset of  $\mathbb{N}^4$   $(m,n)\mathcal{R}(p,q)$  if  $m \equiv p \pmod 3$  or  $n \equiv q \pmod 5$ . (a)  $\mathcal{R} \in (\mathbb{R})$ ?

Yes:  $(m,n)\sim (m,n)$  iff  $m\equiv m\pmod 3$  or  $n\equiv n\pmod 5$  iff true or true.

(b)  $\mathcal{R} \in (S)$ ?

Yes: by symmetry of  $. \equiv . \pmod{n}$ 

(c)  $\mathcal{R} \in (\mathsf{T})$ ?

#### **Exercise**

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(b)  $\mathcal{R} \in (S)$ ?

Yes: by symmetry of  $.\equiv .\pmod{n}$ 

(c)  $\mathcal{R} \in (\mathsf{T})$ ?

#### **Exercise**

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(a)  $\mathcal{R} \in (R)$ ?

Yes:  $(m, n) \sim (m, n)$  iff  $m \equiv m \pmod{3}$  or  $n \equiv n \pmod{5}$  iff true or true.

(b)  $\mathcal{R} \in (S)$ ?

Yes: by symmetry of  $. \equiv . \pmod{n}$ .

(c)  $\mathcal{R} \in (\mathsf{T})$ ?

#### **Exercise**

## 3.6.10

 $\overline{\mathcal{R}}$  is a binary relation on  $\mathbb{N} \times \mathbb{N}$ , i.e. it is a subset of  $\mathbb{N}^4$   $(m,n)\mathcal{R}(p,q)$  if  $m \equiv p \pmod{3}$  or  $n \equiv q \pmod{5}$ .

(a)  $\mathcal{R} \in (R)$ ?

Yes:  $(m,n) \sim (m,n)$  iff  $m \equiv m \pmod 3$  or  $n \equiv n \pmod 5$  iff true or true.

(b)  $\mathcal{R} \in (S)$ ?

Yes: by symmetry of  $. \equiv . \pmod{n}$ .

(c)  $\mathcal{R} \in (T)$ ?

## **Order Relations**

#### Total order < on S

- (R)  $x \le x$  for all  $x \in S$
- (AS)  $x \le y, y \le x \Rightarrow x = y$
- (T)  $x \le y, y \le z \Rightarrow x \le z$
- (L) Linearity any two elements are comparable: for all x, y either  $x \le y$  or  $y \le x$  (and both if x = y)

On a finite set all total orders are "isomorphic"

$$x_1 \leq x_2 \leq \cdots \leq x_n$$

On an infinite set there is quite a variety of possibilities.

- discrete with a least element, e.g.  $\mathbb{N} = \{0, 1, 2, \ldots\}$
- discrete without a least element, e.g.  $\mathbb{Z} = \{\dots, 0, 1, 2, \dots\}$
- various dense/locally dense orders
  - rational numbers  $\mathbb{Q}$  :  $\forall p, q \in \mathbb{Q} (p < q \Rightarrow \exists r \in \mathbb{Q} (p < r < q))$
  - S = [a, b] both least and greatest elements
  - S = (a, b] no least element
  - S = [a, b) no greatest element
  - $\bullet \ \ \text{other} \ [0,1] \cup [2,3] \cup [4,5] \cup \dots$



## **Partial Order**

A partial order  $\leq$  on S satisfies (R), (AS), (T); need not be (L) We call  $(S, \leq)$  a poset — partially ordered set

To each (partial) order one can associate a unique quasi-order

$$x \prec y \text{ iff } x \leq y \text{ and } x \neq y$$

It satisfies (AS) and (T); it satisfies (L) if it corresponds to a total order (we could call it a total quasi-order); it does not satisfy (R) for any pair x, y.



# **Example**

#### **Exercise**

11.1.8 For  $\omega_1, \omega_2 \in \Sigma^*$  define  $\omega_1 \preceq \omega_2$  when  $\omega_2 = \nu \omega_1 \nu'$  for some  $\nu, \nu'$ .

Is this a partial order?

Yes

Relation  $\leq$  means being a substring; it is a partial order:

- (R)  $\omega = \lambda \omega \lambda$ , hence  $\omega \preceq \omega$
- (S) if  $\omega_1 = \nu \omega_2 \nu'$  and  $\omega_2 = \chi \omega_1 \chi'$  for some  $\nu, \nu', \chi, \chi'$  then  $\nu = \nu' = \chi = \chi' = \lambda$ , hence  $\omega_1 = \omega_2$
- (T) if  $\omega_1 = \nu \omega_2 \nu'$  and  $\omega_2 = \chi \omega_3 \chi'$  then  $\omega_1 = \nu \chi \omega_3 \chi' \nu'$

# **Example**

#### **Exercise**

11.1.8 For  $\omega_1, \omega_2 \in \Sigma^*$  define  $\omega_1 \preceq \omega_2$  when  $\omega_2 = \nu \omega_1 \nu'$  for some  $\nu, \nu'$ .

Is this a partial order?

Yes.

Relation  $\leq$  means being a substring; it is a partial order:

- (R)  $\omega = \lambda \omega \lambda$ , hence  $\omega \leq \omega$
- (S) if  $\omega_1 = \nu \omega_2 \nu'$  and  $\omega_2 = \chi \omega_1 \chi'$  for some  $\nu, \nu', \chi, \chi'$  then  $\nu = \nu' = \chi = \chi' = \lambda$ , hence  $\omega_1 = \omega_2$
- (T) if  $\omega_1 = \nu \omega_2 \nu'$  and  $\omega_2 = \chi \omega_3 \chi'$  then  $\omega_1 = \nu \chi \omega_3 \chi' \nu'$

11.6.16 Properties of four relations defined on  $\mathbb{P} = \{1, 2, \ldots\}$ ?

- $\mathcal{R}_1$  if m|n
- $\mathcal{R}_2$  if  $|m-n| \leq 2$
- $\mathcal{R}_3$  if 2|m+n
- $\mathcal{R}_4$  if 3|m+n

	$\mathcal{R}_1$	$\mathcal{R}_2$	$\mathcal{R}_3$	$\mathcal{R}_4$
(R)				
(S)				
(AS)				
(T)				
Equivalence	?	?	?	?
Partial order	?	?	?	?

11.6.16 Properties of four relations defined on  $\mathbb{P} = \{1, 2, \ldots\}$ 

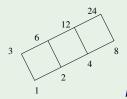
- ullet  $\mathcal{R}_1$  if m|n
- $\mathcal{R}_2$  if  $|m-n| \leq 2$
- $\mathcal{R}_3$  if 2|m+n
- $\mathcal{R}_4$  if 3|m+n

# **Hasse Diagram**

Every finite poset can be represented as a **Hasse diagram**, where a line is drawn *upward* from x to y if  $x \prec y$  and there is no z such that  $x \prec z \prec y$ 

## **Example**

11.1.1(a) Hasse diagram for positive divisors of 24



 $p \leq q$  if, and only if,  $p \mid q$ 

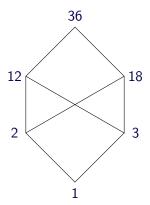
# **Ordering Concepts**

- Minimal and maximal elements (they always exist in every finite poset)
- Minimum and maximum unique minimal and maximal element
- *lub* (least upper bound) and *glb* (greatest lower bound) of a subset  $A \subseteq S$  of elements
  - lub(A) smallest element  $x \in S$  s.t.  $x \succeq a$  for all  $a \in A$  glb(A) greatest element  $x \in S$  s.t.  $x \preceq a$  for all  $a \in A$
- Lattice a poset where lub and glb exist for every pair of elements
  - (by induction, they then exist for every *finite* subset of elements)



- Pow( $\{a, b, c\}$ ) with the order  $\subseteq$   $\emptyset$  is minimum;  $\{a, b, c\}$  is maximum
- $\lfloor 11.1.4 \rfloor$ Pow( $\{a, b, c\}$ ) \  $\{\{a, b, c\}\}$  (proper subsets of  $\{a, b, c\}$ ) Each two-element subset  $\{a, b\}, \{a, c\}, \{b, c\}$  is maximal.
  - But there is no maximum
- {1, 2, 3, 4, 6, 8, 12, 24} partially ordered by divisibility is a lattice
  - e.g.  $lub({4,6}) = 12$ ;  $glb({4,6}) = 2$
- $\bullet$   $\{1,2,3\}$  partially ordered by divisibility is not a lattice
  - {2,3} has no lub
- {2,3,6} partially ordered by divisibility is not a lattice
  - {2,3} has no glb

- {1,2,3,12,18,36} partially ordered by divisibility is not a lattice
  - {2,3} has no lub (12,18 are minimal upper bounds)



#### NB

An infinite lattice need not have a lub (or no glb) for an arbitrary infinite subset of its elements, in particular no such bound may exist for **all** its elements.

- ℤ neither lub nor glb;
- $\mathbb{F}(\mathbb{N})$  all finite subsets, has no *arbitrary* lub property; glb exists, it is the intersection, hence always finite;
- $\mathbb{I}(\mathbb{N})$  all infinite subsets, may not have an arbitrary glb; lub exists, it is the union, which is always infinite.

- $|\,11.1.5\,|$  Consider poset  $(\mathbb{R},\leq)$
- (a) Is this a lattice?
- (b) Give an example of a non-empty subset of  $\mathbb R$  that has no upper bound.
- (c) Find lub( $\{ x \in \mathbb{R} : x < 73 \}$ )
- (d) Find lub( $\{x \in \mathbb{R} : x \leq 73\}$ )
- (e) Find lub( $\{x: x^2 < 73\}$ )
- (f) Find glb( $\{x: x^2 < 73\}$ )

- (a) It is a lattice.
- (b) subset with no upper bound:  $\mathbb{R}_{>0} = \{ r \in \mathbb{R} : r > 0 \}$
- (c) and (d)  $lub({x:x < 73}) = lub({x:x \le 73}) = 73$
- (e) lub( $\{x: x^2 < 73\}$ ) =  $\sqrt{73}$
- (f) glb( $\{x: x^2 < 73\}$ ) =  $-\sqrt{73}$

- $|11.1.13| \mathbb{F}(\mathbb{N})$  collection of all *finite* subsets of  $\mathbb{N}$ ;  $\subseteq$ -order
- (a) Does it have a maximal element?
- (b) Does it have a minimal element?
- (c) Given  $A, B \in \mathbb{F}(\mathbb{N})$ , does  $\{A, B\}$  have a lub in  $\mathbb{F}(\mathbb{N})$ ?
- (d) Given  $A, B \in \mathbb{F}(\mathbb{N})$ , does  $\{A, B\}$  have a glb in  $\mathbb{F}(\mathbb{N})$ ?
- (e) Is  $(\mathbb{F}(\mathbb{N}), \subseteq)$  a lattice?

- $|11.1.13| \mathbb{F}(\mathbb{N})$  collection of all *finite* subsets of  $\mathbb{N}$ ;  $\subseteq$ -order
- (a) No maximal elements
- (b)  $\emptyset$  is the minimum
- (c)  $lub(A, B) = A \cup B$
- (d)  $glb(A, B) = A \cap B$
- (e)  $(\mathbb{F}(\mathbb{N}),\subseteq)$  is a lattice is has *finite* union and intersection properties.

- $oxed{11.1.14}\mathbb{I}(\mathbb{N}) = \mathsf{Pow}(\mathbb{N}) \setminus \mathbb{F}(\mathbb{N})$  all infinite subsets of  $\mathbb{N}$
- (a) Does it have a maximal element?
- (b) Does it have a minimal element?
- (c) Given  $A, B \in \mathbb{I}(\mathbb{N})$ , does  $\{A, B\}$  have a lub in  $\mathbb{I}(\mathbb{N})$ ?
- (d) Given  $A, B \in \mathbb{I}(\mathbb{N})$ , does  $\{A, B\}$  have a glb in  $\mathbb{I}(\mathbb{N})$ ?
- (e) Is  $(\mathbb{I}(\mathbb{N}),\subseteq)$  a lattice?

 $ig| 11.1.14 \, ig| \, \mathbb{I}(\mathbb{N}) = \mathsf{Pow}(\mathbb{N}) \setminus \mathbb{F}(\mathbb{N})$  — all *infinite* subsets of  $\mathbb{N}$ 

- (a)  $\mathbb{N}$  is the maximum
- (b) No minimal elements ( $\emptyset$  is not in  $\mathbb{I}(\mathbb{N})$ )
- (c)  $lub(A, B) = A \cup B$
- (d)  $glb(A, B) = A \cap B$  if it exists; it does not exist when  $A \cap B$  is finite, eg. when empty.
- (e)  $(\mathbb{I}(\mathbb{N}),\subseteq)$  is not a lattice it has finite union but not finite intersection property; eg. sets  $2\mathbb{N}$  and  $2\mathbb{N}+1$  have the empty intersection.

### **Well-Ordered Sets**

Well-ordered set: every subset has a least element.

#### NB

The greatest element is not required.

### **Examples**

- $\mathbb{N} = \{0, 1, \ldots\}$
- $\mathbb{N}_1 \dot{\cup} \mathbb{N}_2 \dot{\cup} \mathbb{N}_3 \dot{\cup} \dots$ , where each  $\mathbb{N}_i \simeq \mathbb{N}$  and  $\mathbb{N}_1 < \mathbb{N}_2 < \mathbb{N}_3 \cdots$

#### NB

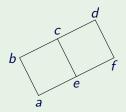
Well-order sets are an important mathematical tool to prove termination of programs.



# Ordering of a Poset — Topological Sort

For a poset  $(S, \preceq)$  any linear order  $\leq$  that is consistent with  $\preceq$  is called **topological sort**. Consistency means that  $a \preceq b \Rightarrow a \leq b$ .

### **Example**



The following all are topological sorts:

$$a \le b \le e \le c \le f \le d$$
  
 $a \le e \le b \le f \le c \le d$ 

$$a \le e \le f \le b \le c \le d$$

# **Combining Orders**

**Product order** — can combine any partial orders. In general, it is only a *partial order*, even if combining total orders. For  $s, s' \in S$  and  $t, t' \in T$  define

$$(s,t) \leq (s',t')$$
 if  $s \leq s'$  and  $t \leq t'$ 



11.2.1 Let  $A = \{1, 2, 3, 4\}$  and  $S = A \times A$  with the product order.

- (a) A chain with seven elements?
- (b) A chain with eight elements?



11.2.1 Let  $A = \{1, 2, 3, 4\}$  and  $S = A \times A$  with the product order.

- (a) A chain with seven elements?
- (1,1)(1,2)(2,2)(2,3)(2,4)(3,4)(4,4)
- (b) A chain with eight elements? The above is a maximal chain. No chains of eight elements.

### **Example**

Take  $(S, \leq_1)$ ,  $(T, \leq_2)$  to be any total orders of more than one element. Then  $S \times T$  with the product order is not a total order: for any  $s_1 \prec s_2$ ,  $t_1 \prec t_2$  the pair  $(s_1, t_2)$  and  $(s_2, t_1)$  are not comparable.

## **Ordering of Functions**

T — arbitrary set (no order required) S — partially ordered set  $M = \{f: T \longrightarrow S\}$  — set of all functions from T to S It has a natural partial order

$$f \leq g$$
 iff  $\forall t \in T(f(t) \leq g(t))$ 

It is, in effect, a product order on  $S^{|T|}$ . In most applications T has a linear ordering; however, it does not affect the order of the functions defined on T (only the order on S matters).

# **Practical Orderings**

They are, effectively, total orders on the product of ordered sets.

- Lexicographic order defined on all of  $\Sigma^*$ . It extends a total order already assumed to exist on  $\Sigma$ .
- Lenlex the order on (potentially) the entire  $\Sigma^*$ , where the elements are ordered first by length.
  - $\Sigma^{(1)} \prec \Sigma^{(2)} \prec \Sigma^{(3)} \prec \cdots$ , then lexicographically within each  $\Sigma^{(k)}$ . In practice it is applied only to the finite subsets of  $\Sigma^*$ .
- Filing order lexicographic order confined to the strings of the same length.
   It defines total orders on Σ<sup>i</sup>, separately for each i.

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### **Examples**

- (a) Lexicographic order
- 000,0010,010,10,1000,101,11
- (b) Lenlex order
- 11.2.8 When are the lexicographic order and *lenlex* on  $\Sigma^*$  the same?
- Only when  $|\Sigma| = 1$ .

## **Examples**

#### **Exercise**

 $\lfloor 11.2.5 \rfloor$  Let  $\mathbb{B}=\{0,1\}$  with the usual order 0<1. List the elements 101,010,11,000,10,0010,1000 of  $\mathbb{B}^*$  in the

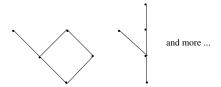
- (a) Lexicographic order 000, 0010, 010, 10, 10, 1000, 101, 11
- (b) Lenlex order 10, 11, 000, 010, 101, 0010, 1000

[11.2.8] When are the lexicographic order and lenlex on  $\Sigma^*$  the same?

Only when  $|\Sigma| = 1$ .

11.6.12 Draw a Hasse diagram for a poset with exactly 5 members, 2 of which are maximal and 1 of which is the poset's minimum.

11.6.12 Draw a Hasse diagram for a poset with exactly 5 members, 2 of which are maximal and 1 of which is the poset's minimum.



- 11.6.6 True or false?
- (a) If a set  $\Sigma$  is totally ordered, then the corresponding lexicographic partial order on  $\Sigma^*$  also must be totally ordered.
- (b) If a set  $\Sigma$  is totally ordered, then the corresponding lenlex order on  $\Sigma^*$  also must be totally ordered.
- (c) Every finite partially ordered set has a Hasse diagram.
- (d) Every finite partially ordered set has a topological sorting.
- (e) Every finite partially ordered set has a smallest element.
- (f) Every finite totally ordered set has a largest element.
- (g) An infinite partially ordered set cannot have a largest element.

#### **Exercise**

### 11.6.6

- (a) and (b) True; this is the idea behind various lex-sorts
- (c) Yes.
- (d) Yes.
- (e) False consider a two-element set with the identity as p.o.
- (f) True due to the finiteness
- (g) False, eg.  $\mathbb{Z}_{<0}$

## **Summary**

- Equivalence relations  $\sim$ , equivalence classes [S]
- Special equivalence relations  $\mathbb{Z}_p$  with notation  $m \equiv n \pmod{p}$
- Ordering concepts: total, partial, lub, glb, lattice, topological sort
- Orderings: product, lexicographic, lenlex, filing

