



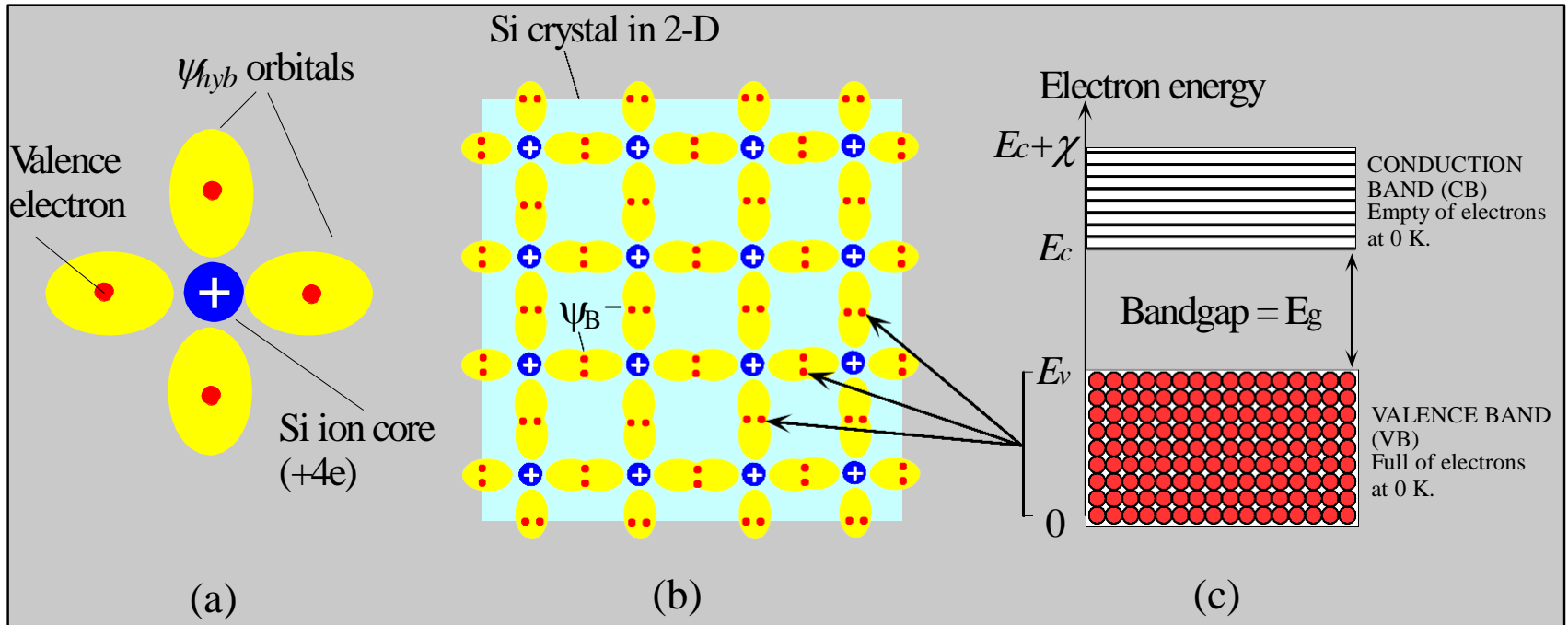
## Semiconductor fundamentals:

1. *energy band, carriers, conductivity*
2. *intrinsic semiconductors*
3. *extrinsic semiconductors*

## Devices always involve interfaces:

1. *metal-semiconductor interface*
  - *Schottky Junction*
  - *Ohmic Contact*
  - ***Tutorial 1***
2. *semiconductor-semiconductor interface*
  - *pn Junction*
  - *Tutorial 2, MOSFET (short briefing)*

# Silicon crystal and energy band diagram



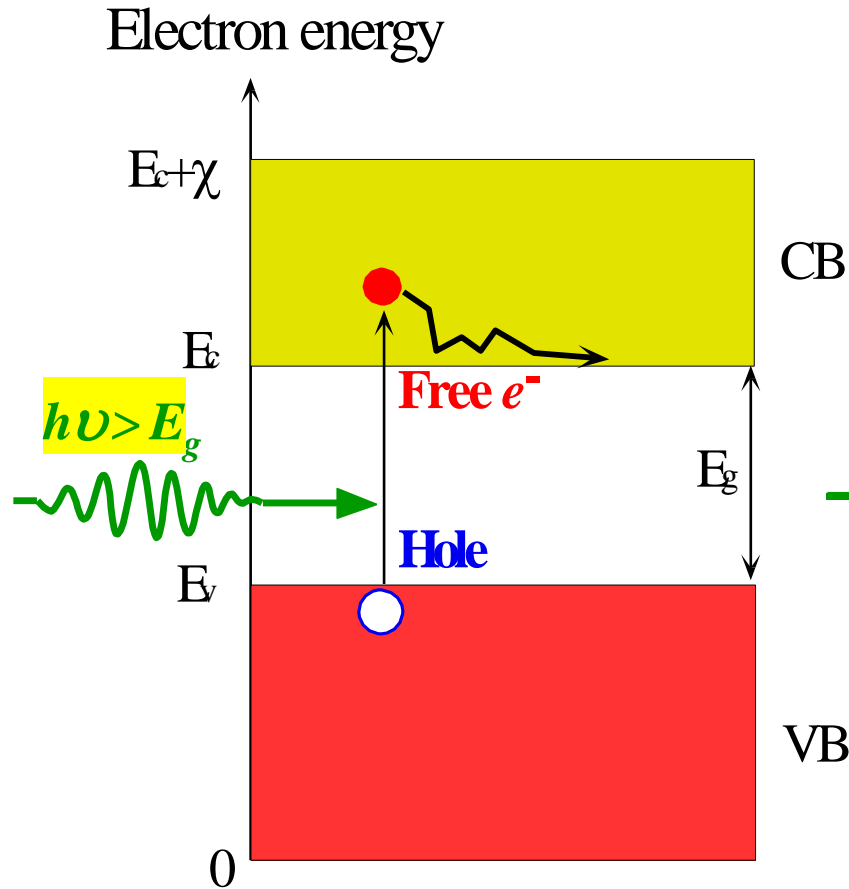
$E_g$  = energy gap (bandgap);  $E_v$  = top of the VB;  $E_c$  = bottom of the CB

$\chi$  = electron affinity;

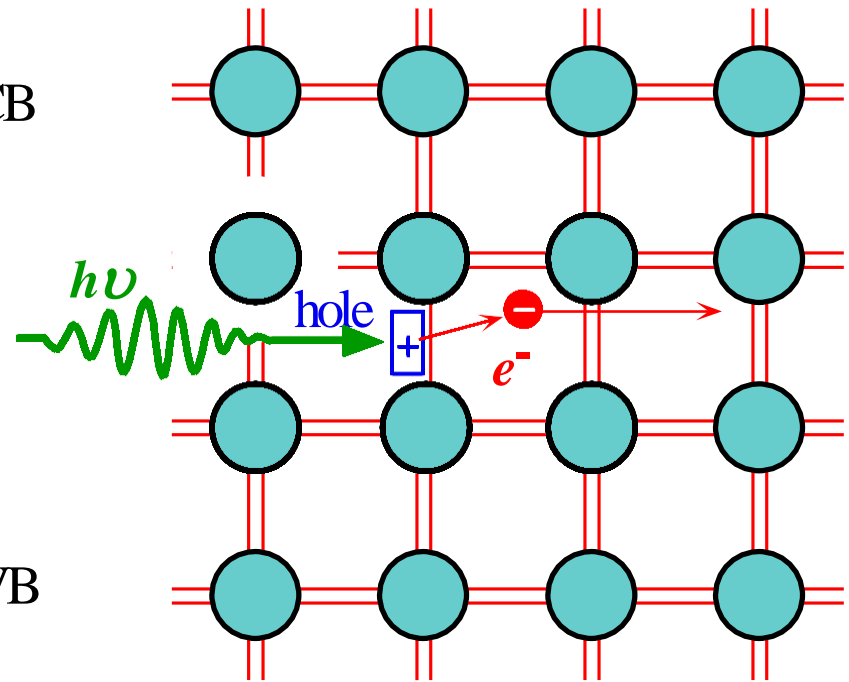
$E_g$ : 能带间隙 (带隙);  $E_v$ : 价带顶;  $E_c$ : 导带底;  $\chi$ : 电子亲和能

$E_f$ : 费米能级;  $\Phi$ : 逸出功

# Conduction electron due to optical excitation



(a)



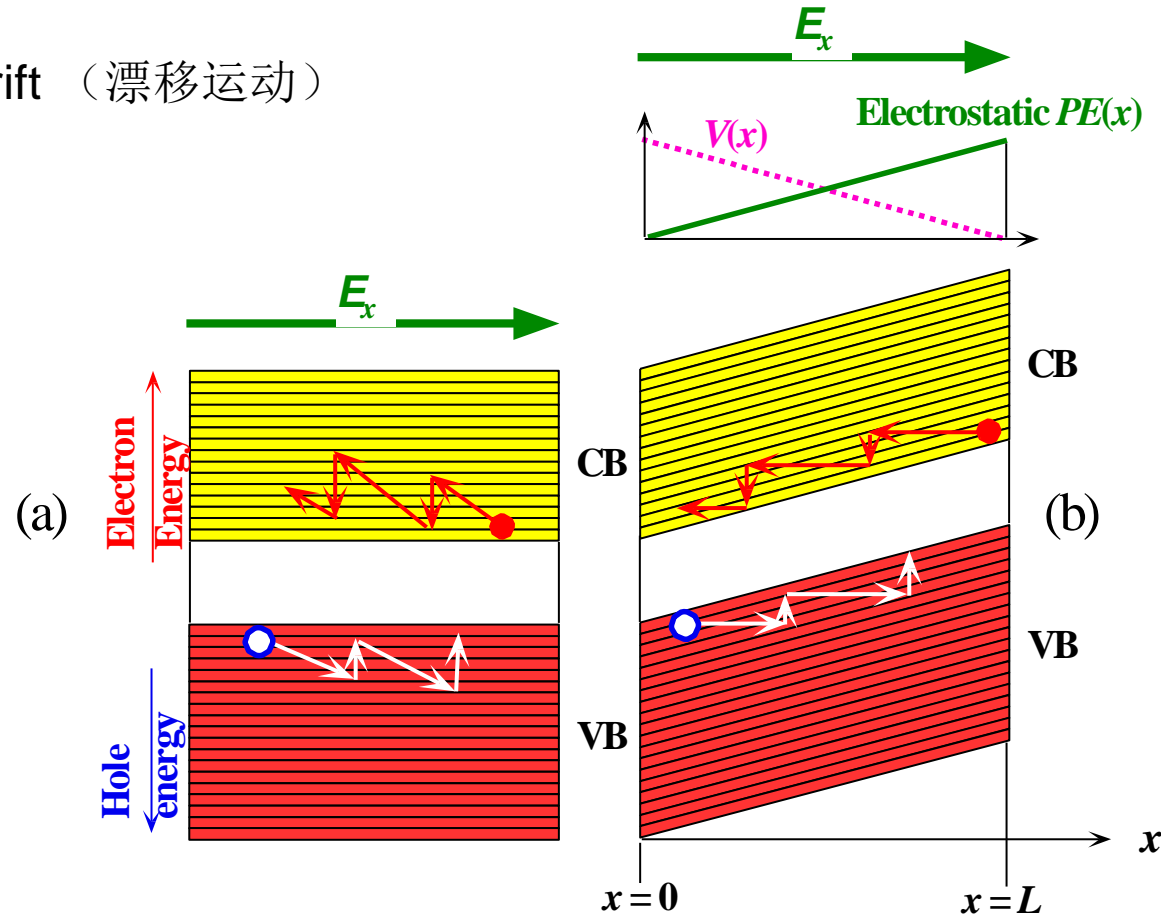
(b)

(a) A photon with an energy greater than  $E_g$  can excite an electron from the VB to the CB. 4

(b) When a photon breaks a Si-Si bond, a free **electron** and a **hole** in the Si-Si bond is created.

# Conductivity (电导率) in semiconductors

Drift (漂移运动)

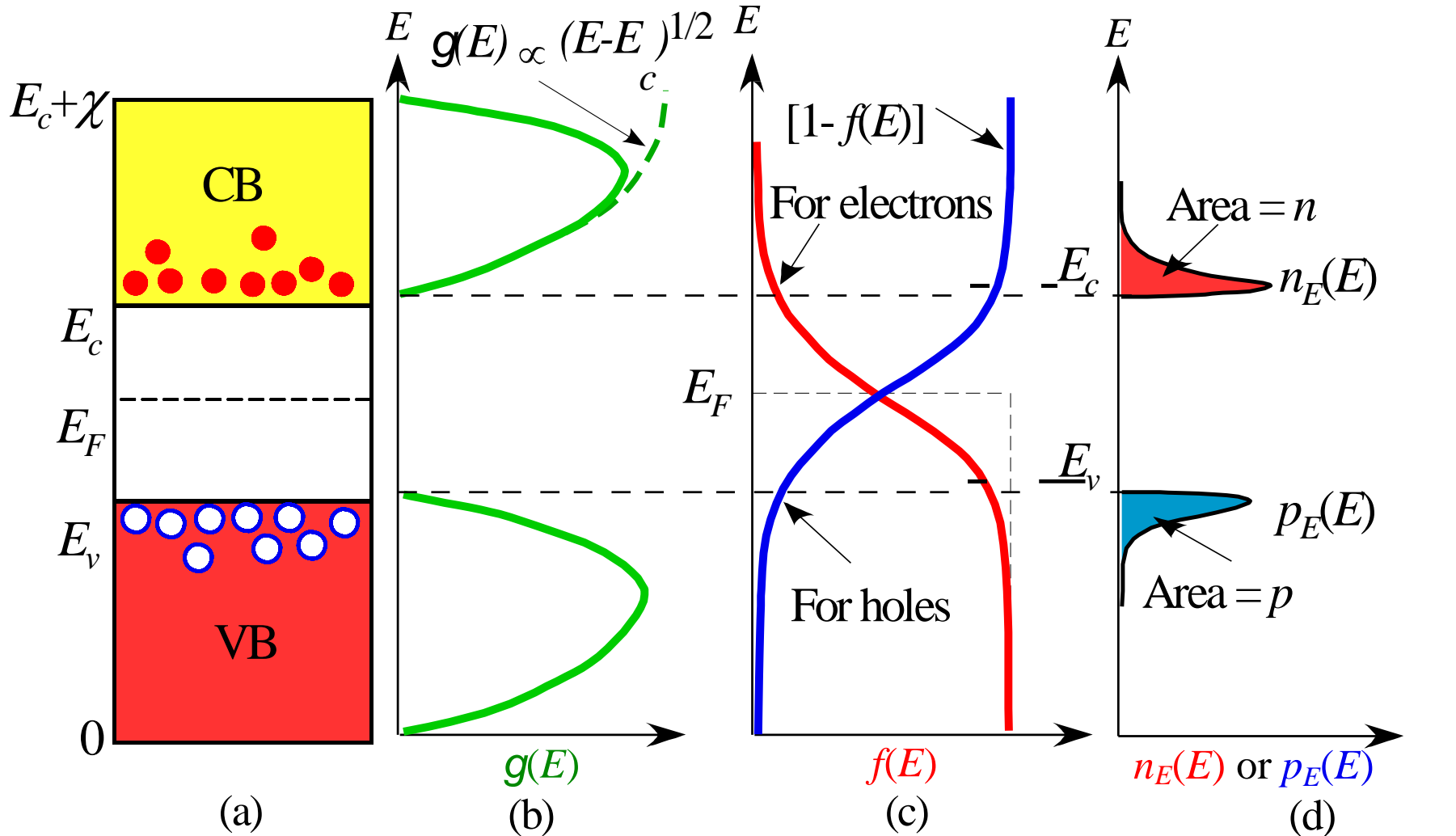


Applied field bends the energy bands, the electrostatic  $PE$  of the electron is  $-eV(x)$  and  $V(x)$  decreases in the direction of  $E_x$  whereas  $PE$  increases

The conductivity of a semiconductor:

$$\sigma = en\mu_e + ep\mu_h$$

# Calculate carrier (载流子) concentration



$$n_E dE = g_{cb}(E) f(E) dE$$

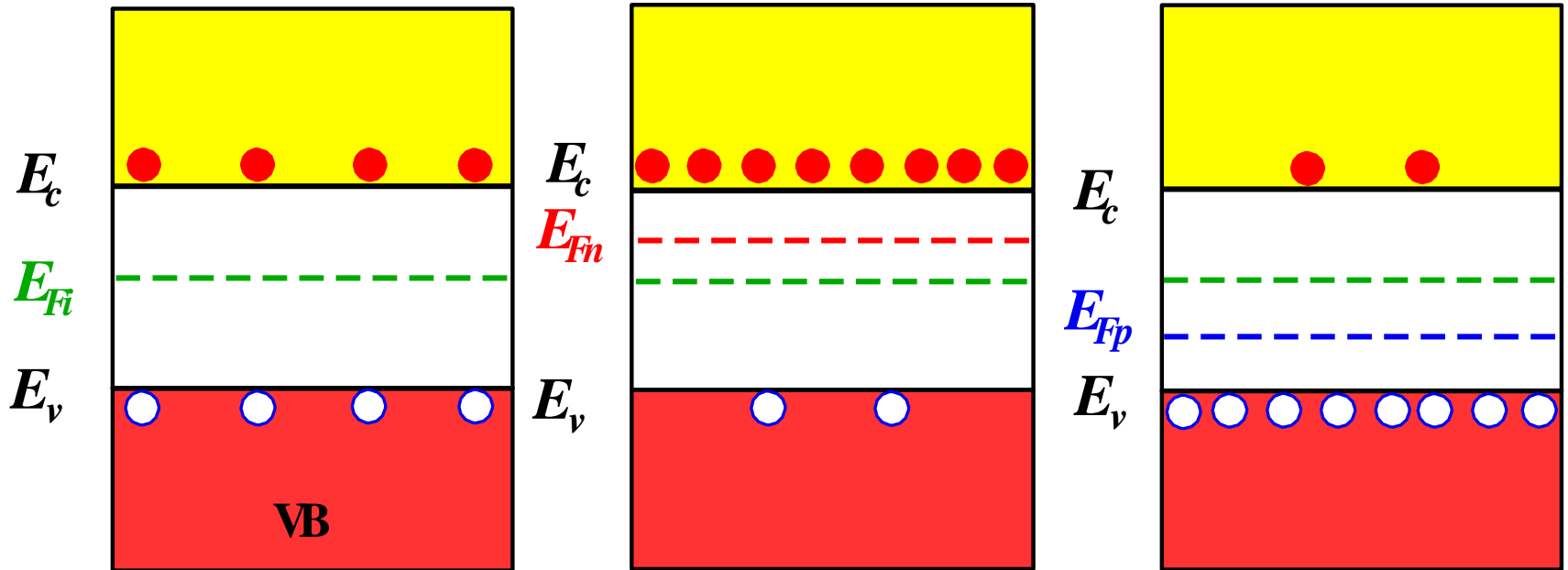
$$n = N_c \exp\left[-\frac{E_c - E_F}{kT}\right]$$

$$p = N_v \exp\left[-\frac{(E_F - E_v)}{kT}\right]$$

Energy band diagrams for (a) **intrinsic** (b) ***n*-type** and (c) ***p*-type** semiconductors.

In all cases,  $np = n_i^2$

$$np = n_i^2 = N_c N_v \exp\left(-\frac{E_g}{kT}\right)$$



$$n = p = n_i$$

$$n_i = N_c \exp\left[-\frac{E_c - E_{Fi}}{kT}\right]$$

$$n_i = N_v \exp\left[-\frac{E_{Fi} - E_v}{kT}\right]$$

$$n = N_c \exp\left[-\frac{E_c - E_{Fn}}{kT}\right] = N_d$$

$$p = N_v \exp\left[-\frac{E_{Fp} - E_v}{kT}\right] = N_a$$

For intrinsic Si:

$$n_i = N_c \exp\left[-\frac{E_c - E_{Fi}}{kT}\right]$$

For doped n-type Si:

$$n = N_c \exp\left[-\frac{E_c - E_{Fn}}{kT}\right] = N_d$$

$\Rightarrow$

$$\frac{N_d}{n_i} = \exp\left[\frac{E_{Fn} - E_{Fi}}{kT}\right]$$

For intrinsic Si:

$$n_i = N_v \exp\left[-\frac{E_{Fi} - E_v}{kT}\right]$$

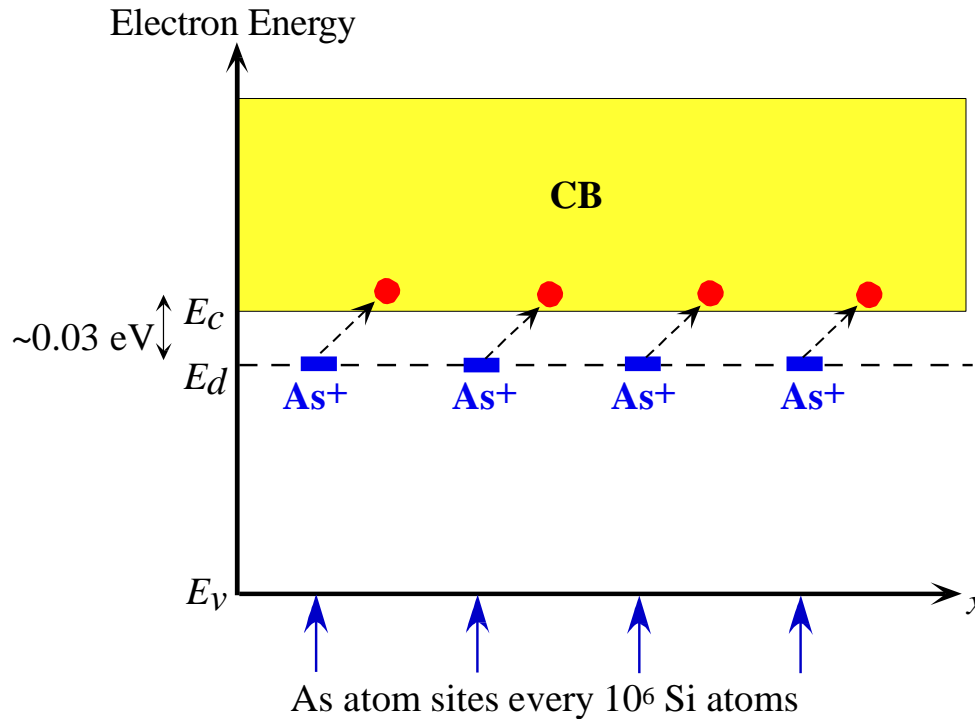
For doped p-type Si:

$$p = N_v \exp\left[-\frac{E_{Fp} - E_v}{kT}\right] = N_a$$

$\Rightarrow$

$$\frac{N_a}{n_i} = \exp\left[-\frac{E_{Fp} - E_{Fi}}{kT}\right]$$

# Extrinsic semiconductors, n-type



Energy band diagram for an n-type Si doped with 1 ppm As. There are donor energy levels just below  $E_c$  around  $\text{As}^+$  sites.

donor ionization energy  
 $\Delta E = E_c - E_d$

If the  $N_d$  is the donor atom concentration in the crystal, then provided that  $N_d \gg n_i$ , at **room temperature** the electron concentration in the CB will be nearly equal to  $N_d$ , that is  $n \approx N_d$ .

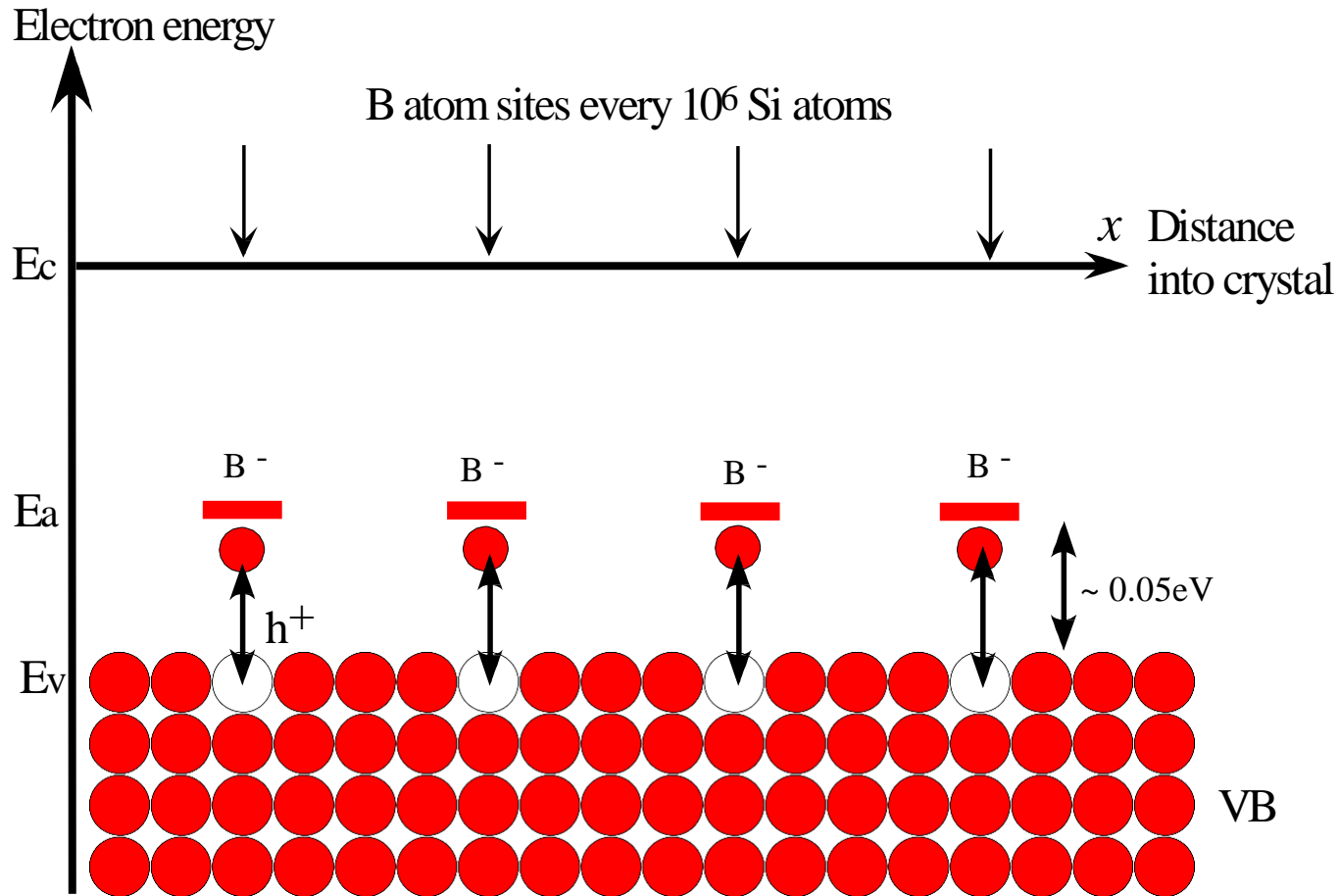
$$p = \frac{n_i^2}{N_d}$$

The conductivity  $\sigma$  :

$$= eN_d\mu_e + e\left(\frac{n_i^2}{N_d}\right)\mu_h \approx eN_d\mu_e$$



# Extrinsic semiconductors, p-type



There are acceptor energy levels just above  $E_v$  around  $B^-$  sites. These acceptor levels accept electrons from the VB and therefore create holes in the VB

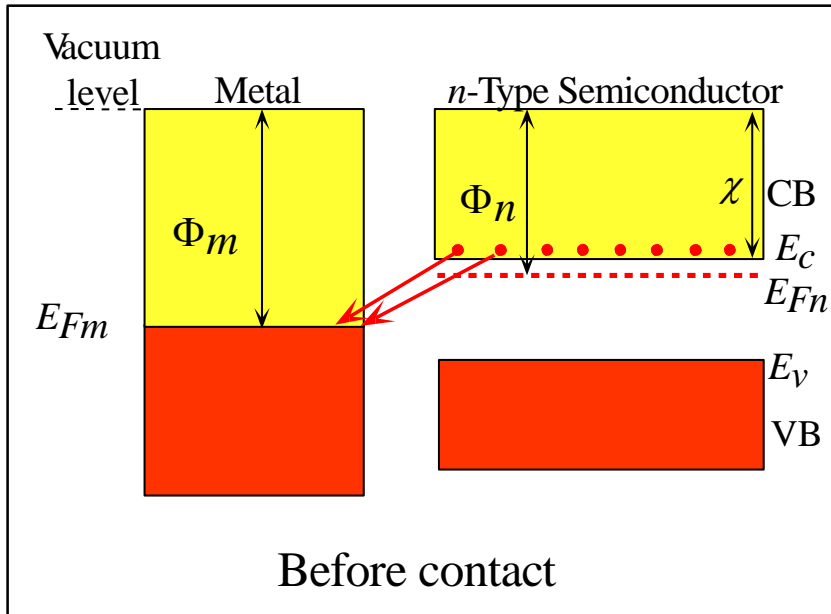
$$p \approx N_a$$

The conductivity  $\sigma$  :

$$= eN_a\mu_h$$

$$n = \frac{n_i^2}{N_a}$$

# Metal-Semiconductor Interface: Schottky Junction (肖特基结)



Work function (逸出功):

$$\Phi = E_{\text{vacuum}} - E_F$$

Formation of a Schottky junction:

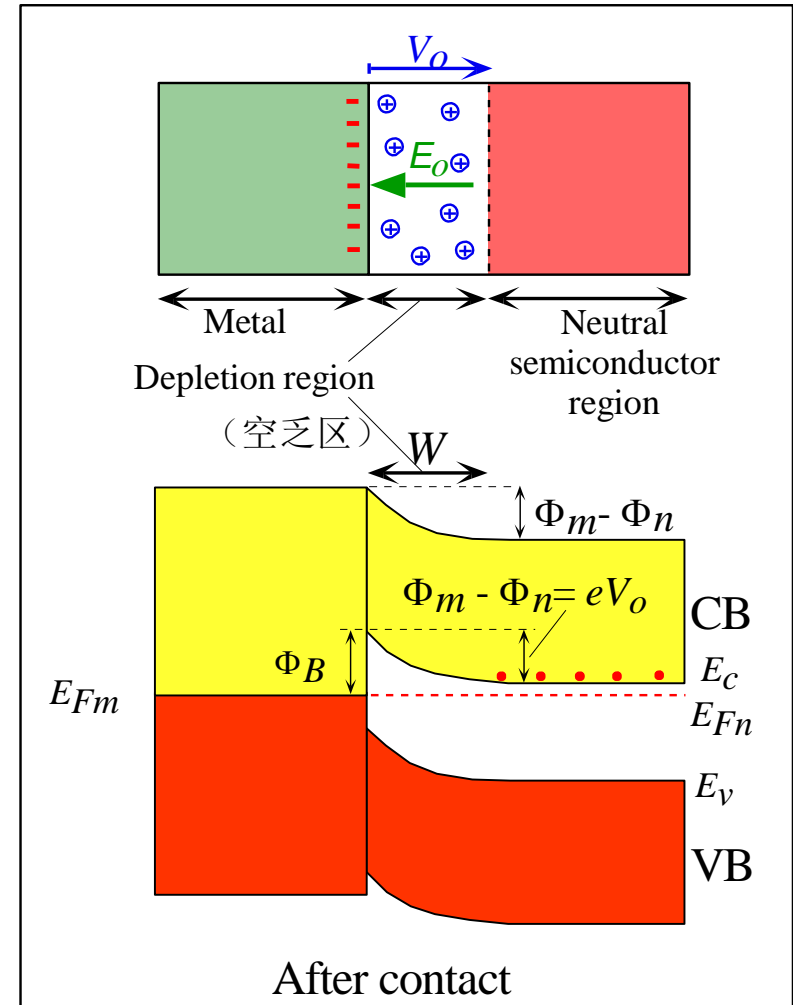
$$\Phi_m > \Phi_n$$

The built-in potential  $V_0$  (内置电位):

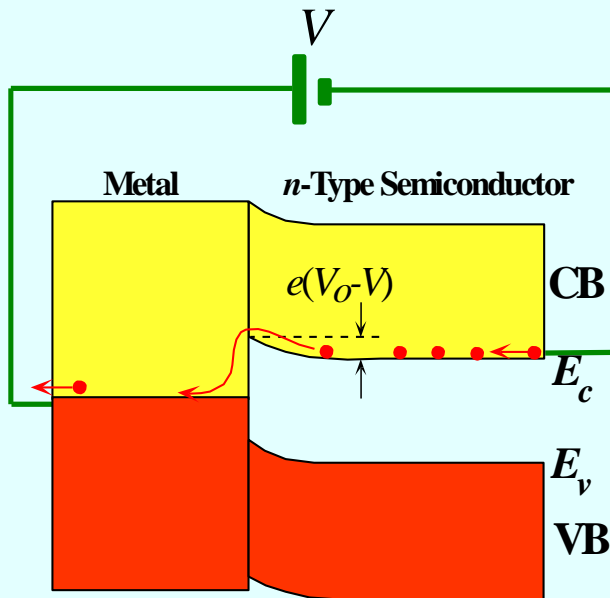
$$V_0 = (\Phi_m - \Phi_n) / e$$

Schottky barrier height  $\Phi_B$ :

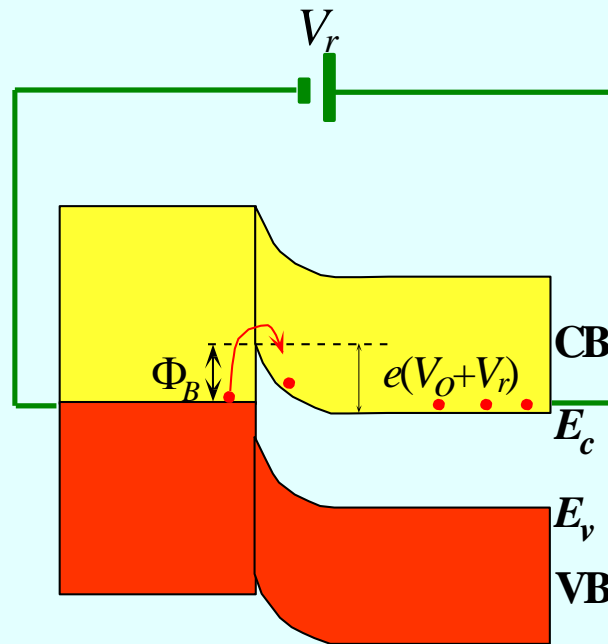
$$\Phi_B = \Phi_m - \chi = eV_0 + (E_c - E_{Fn})$$



# The Schottky junction

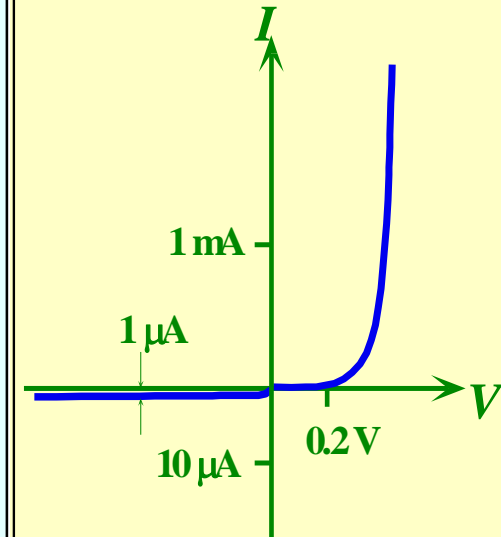


(a) Forward biased Schottky junction. Electrons in the CB of the semiconductor can readily overcome the small  $PE$  barrier to enter the metal.



(b) Reverse biased Schottky junction. Electrons in the metal can not easily overcome the  $PE$  barrier  $\Phi_B$  to enter the semiconductor.

Schottky diode



(c)  $I$ - $V$  Characteristics of a Schottky junction exhibits rectifying properties (negative current axis is in microamps)

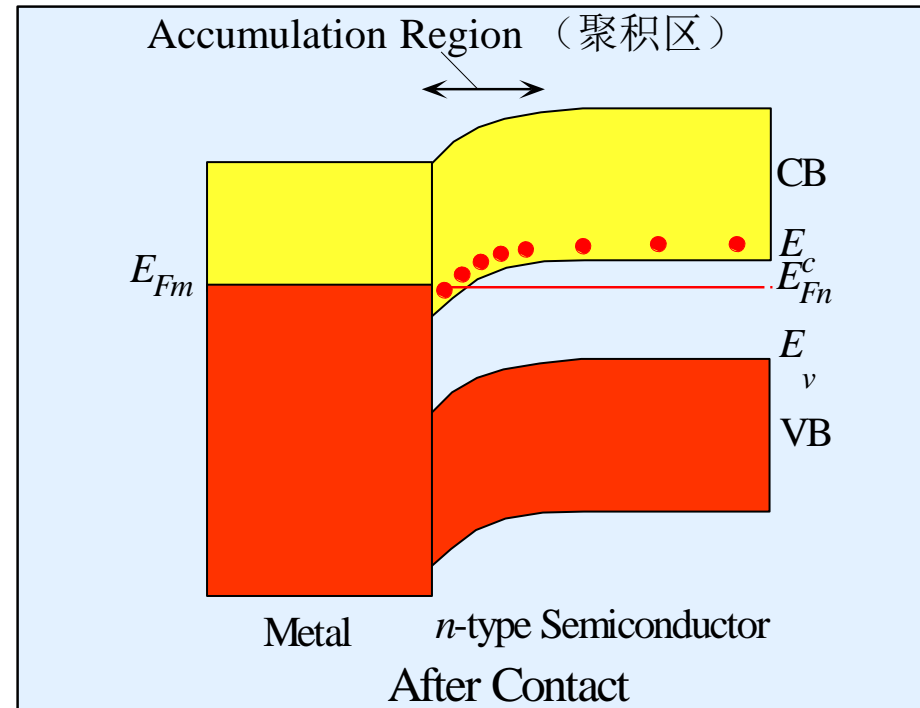
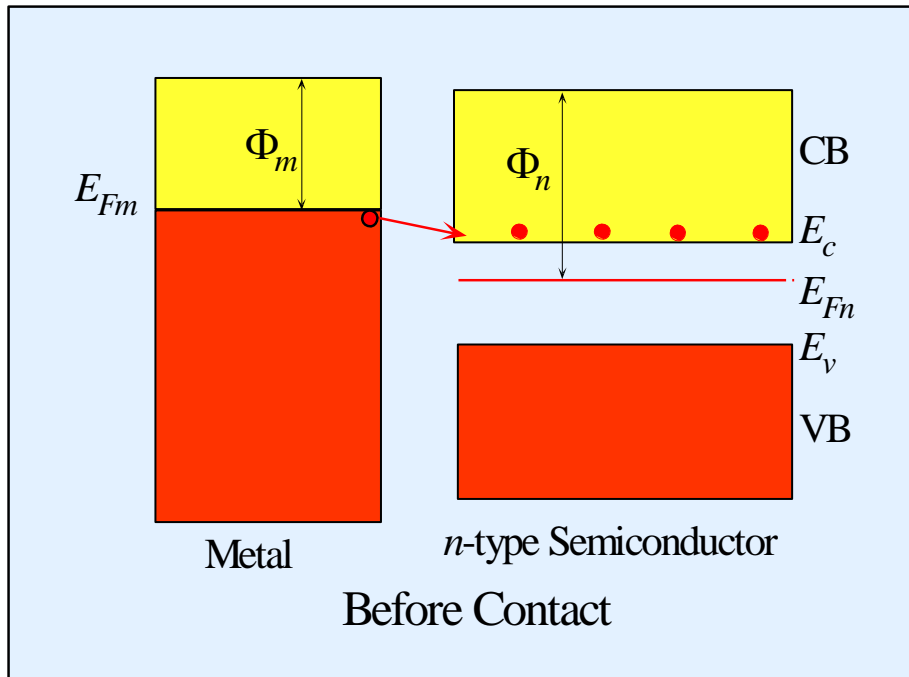
$$J = J_o \left[ \exp\left(\frac{eV}{kT}\right) - 1 \right]$$

$J_o$ , reverse saturation current

# Ohmic contact (欧姆接触)

An ohmic contact is a junction between a metal and a semiconductor, that does not limit the current flow.

The work function of the metal  $\Phi_m$  is **smaller** than the work function  $\Phi_n$  of the semiconductor.



When a metal with a smaller work function than an n-type semiconductor are put into contact, the excess electrons in the accumulation region *increase* the conductivity of the semiconductor in this region, the resulting junction is an Ohmic contact in the sense that it does not limit the current flow.

## Assignment 6.1

**Table 5.1** Selected typical properties of Ge, Si, and GaAs at 300 K

	$E_g$ (eV)	$\chi$ (eV)	$N_c$ (cm <sup>-3</sup> )	$N_v$ (cm <sup>-3</sup> )	$n_i$ (cm <sup>-3</sup> )	$\mu_e$ (cm <sup>2</sup> V <sup>-1</sup> s <sup>-1</sup> )	$\mu_h$ (cm <sup>2</sup> V <sup>-1</sup> s <sup>-1</sup> )	$m_e^*/m_e$	$m_h^*/m_e$	$\epsilon_r$
Ge	0.66	4.13	$1.04 \times 10^{19}$	$6.0 \times 10^{18}$	$2.3 \times 10^{13}$	3900	1900	0.12a 0.56b	0.23a 0.40b	16
Si	1.10	4.01	$2.8 \times 10^{19}$	$1.2 \times 10^{19}$	$1.0 \times 10^{10}$	1350	450	0.26a 1.08b	0.38a 0.60b	11.9
GaAs	1.42	4.07	$4.7 \times 10^{17}$	$7 \times 10^{18}$	$2.1 \times 10^6$	8500	400	0.067a,b	0.40a 0.50b	13.1

NOTE: Effective mass related to conductivity (labeled a) is different than that for density of states (labeled b). In numerous textbooks,  $n_i$  is taken as  $1.45 \times 10^{10}$  cm<sup>-3</sup> and is therefore the most widely used value of  $n_i$  for Si, though the correct value is actually  $1.0 \times 10^{10}$  cm<sup>-3</sup>. (M. A. Green, *J. Appl. Phys.*, **67**, 2944, 1990.)

←

**Question 1:** Using the values of the density of states effective masses  $m_e^*$  and  $m_h^*$  in Table 5.1, calculate the intrinsic concentration in Ge at 400K.←

What is  $n_i$  if you use  $N_c$  and  $N_v$  from Table 5.1 at 300K?←

Calculate the intrinsic resistivity of Ge at 300 K.←

# Assignment 6.1

$$\begin{aligned} \text{Q1: (a)} \quad N_c &= 2 \left( \frac{2\pi m_e^* kT}{h^2} \right)^{3/2} = 2 \left[ \frac{2\pi (0.56 \times 9.1 \times 10^{-31}) (1.38 \times 10^{-23}) 400}{(6.626 \times 10^{-34})^2} \right]^{3/2} \\ &= 1.62 \times 10^{25} \text{ m}^{-3} \end{aligned}$$

$$\begin{aligned} N_v &= 2 \left( \frac{2\pi m_h^* kT}{h^2} \right)^{3/2} = 2 \left[ \frac{2\pi (0.4 \times 9.1 \times 10^{-31}) (1.38 \times 10^{-23}) 400}{(6.626 \times 10^{-34})^2} \right]^{3/2} \\ &= 9.75 \times 10^{24} \text{ m}^{-3} \end{aligned}$$

$$\begin{aligned} n_i &= (N_c N_v)^{1/2} \exp\left(-\frac{E_g}{2kT}\right) = \left[ (1.62 \times 10^{25}) (9.75 \times 10^{24}) \right]^{1/2} \\ &\quad \cdot \exp\left(-\frac{0.66 \times 1.6 \times 10^{-19}}{2(1.38 \times 10^{-23}) 400}\right) \\ &= 8.81 \times 10^{20} \text{ m}^{-3} = \underline{8.81 \times 10^{14} \text{ cm}^{-3}} \end{aligned}$$

$$\begin{aligned} \text{(b)} \quad n_i &= (N_c N_v)^{1/2} \exp\left(-\frac{E_g}{2kT}\right) = \left[ (1.04 \times 10^{19}) (6.0 \times 10^{18}) \right]^{1/2} \\ &\quad \cdot \exp\left(-\frac{0.66 \times 1.6 \times 10^{-19}}{2(1.38 \times 10^{-23}) 300}\right) \\ &= 2.28 \times 10^{19} \text{ m}^{-3} = \underline{2.28 \times 10^{13} \text{ cm}^{-3}} \end{aligned}$$

$$\begin{aligned} \text{(c)} \quad \rho &= \frac{1}{\sigma} = \frac{1}{en_i(\mu_h + \mu_e)} = \frac{1}{(1.6 \times 10^{-19})(2.28 \times 10^{13})(3900 + 1900)} \\ &= \underline{46.85 \text{ } \Omega\text{cm}} \end{aligned}$$

**Question 2:** Using the values of the density of states effective masses  $m_e^*$  and  $m_h^*$  in Table 5.1, find the position of the Fermi energy in intrinsic GaAs with respect to the middle of the bandgap ( $E_g/2$ ). ←

**Question 3:** A Si crystal has been doped with P. The donor concentration is  $10^{15} \text{ cm}^{-3}$ . Find the conductivity, and resistivity of the crystal at room temperature (300 K). ←

$$\underline{Q2}: E_{Fi} = E_v + \frac{1}{2} E_g - \frac{3}{4} kT \ln \left( \frac{m_e^*}{m_h^*} \right)$$

$$= E_v + \frac{1}{2} E_g - \frac{3}{4} (8.62 \times 10^{-5} \text{ eV K}^{-1}) (300 \text{ K}) \ln \left( \frac{0.067 m_e}{0.5 m_e} \right)$$

$$= E_v + \frac{1}{2} E_g + \underline{0.039 \text{ eV}} \quad \left( \text{assumption of } E_{Fi} \text{ at middle of } E_g \text{ is valid!} \right)$$

$$\underline{Q3}: \sigma = e n \mu_e + e p \mu_h$$

$$\approx \underline{e N_d \mu_e}$$

$$= (1.6 \times 10^{-19} \text{ C}) (10^{15} \text{ cm}^{-3}) (1350 \text{ cm}^2 \text{ V}^{-1} \text{ s}^{-1})$$

$$= 0.216 \text{ } \Omega^{-1} \text{ cm}^{-1}$$

$$\rho = \frac{1}{\sigma} = \underline{4.63 \text{ } \Omega \text{ cm}}$$

#### Question 4:

- From Table 5.1, calculate the expected doping concentration of a p-type Si semiconductor with a resistivity of  $1 \Omega \cdot \text{cm}$  at room temperature, assuming the electron and hole drift mobility remain unchanged.
- What is the change in the Fermi energy of the p-type Si compared to intrinsic Si?

#### Question 5:

Schematically draw the energy diagram, density of states, Fermi-Dirac probability, and carrier distributions of a p-type semiconductor.

Q4:  $G = \frac{1}{\rho} = 1 \Omega^{-1} \text{cm}^{-1}$

(a)

For p-type Si:  $G = en\mu_e + ep\mu_h = eN_a\mu_h$

$$N_a = \frac{G}{e\mu_h} = \frac{1}{1.6 \times 10^{-19} \times 450} = \underline{1.39 \times 10^{16} \text{ cm}^{-3}}$$

(b)

$$E_{Fp} - E_{Fi} = -kT \ln\left(\frac{N_a}{n_i}\right) = -(8.62 \times 10^{-5} \text{ eV K}^{-1})(300 \text{ K})$$

$$\cdot \ln\left[\frac{1.39 \times 10^{16} \text{ cm}^{-3}}{10^{10} \text{ cm}^{-3}}\right] = \underline{-0.37 \text{ eV}}$$

$$\frac{N_a}{n_i} = \exp\left[-\frac{E_{Fp} - E_{Fi}}{kT}\right]$$

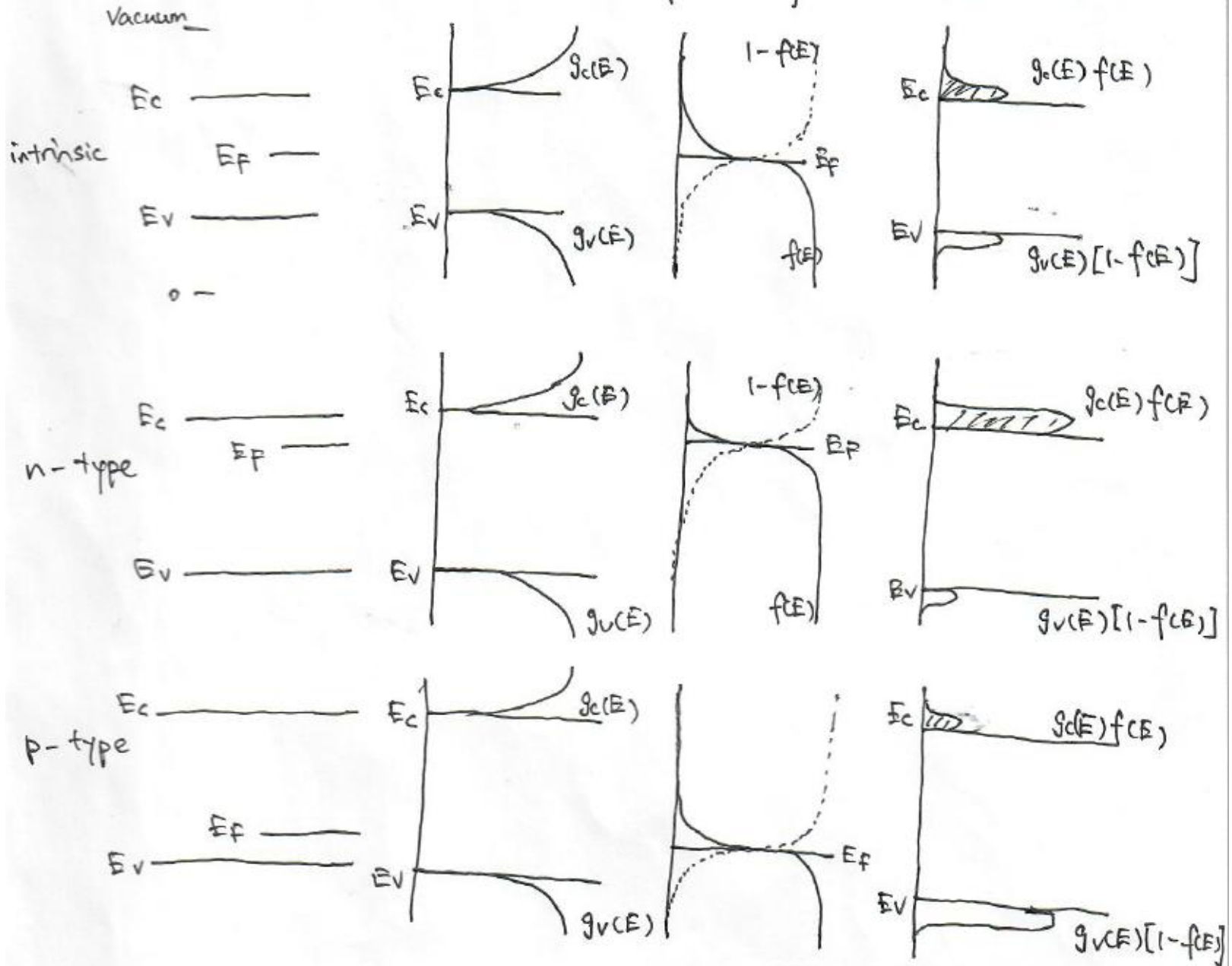


Q5: Energy band diagram

Density of states

Fermi-Dirac probability

Carrier distribution



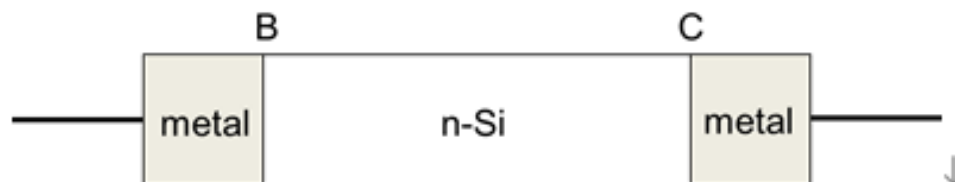
## Assignment 6.2

**Question 1:** Consider an  $n$ -type Si sample doped with  $10^{16}$  donors per  $\text{cm}^3$ . The length  $L$  is  $100\text{ }\mu\text{m}$ ; the cross-sectional area  $A$  is  $10\text{ }\mu\text{m} \times 10\text{ }\mu\text{m}$ . The two ends of the sample are labeled as B and C. The electron affinity ( $\chi$ ) of Si is  $4.01\text{ eV}$  and the work functions of four potential metals ( $\Phi_m$ ) for contacts at B and C are listed in the table below:

**Table 5.5** Work functions in eV

Cs	Li	Al	Au
1.8	2.5	4.25	5.0

- a) Which metals will result in a Schottky contact? Draw the energy band diagram **after** contact.



- b) Sketch the I-V characteristics when both B and C are Ohmic contacts. What is the relationship (gradient) between  $I$  and  $V$ ? (Hint: conductivity of  $n$ -type Si)
- c) Sketch the I-V characteristics when both B and C are Schottky contacts. What is the relationship between  $I$  and  $V$ ?
- d) Sketch the I-V characteristics when B is Ohmic and C is a Schottky junction. What is the relationship between  $I$  and  $V$ ?

## Assignment 6.2

Q1: For n-type Si:

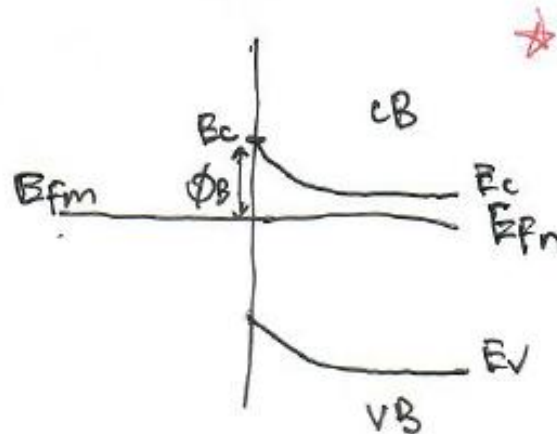
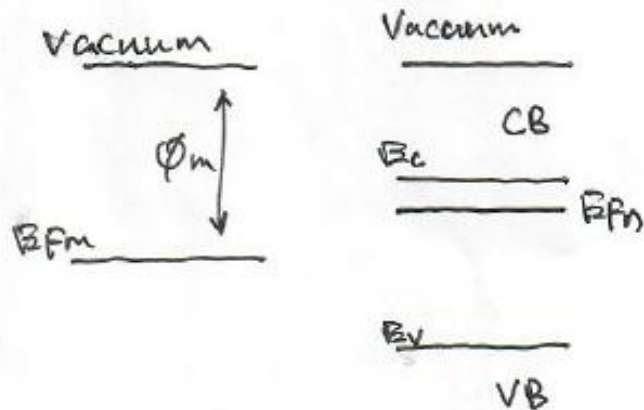
$$\phi_n = \chi + (E_c - E_{fn}) = 4.01 + 0.21 = \underline{4.22 \text{ eV}}$$

$$n = N_c \exp \left[ - \frac{E_c - E_{fn}}{kT} \right] = N_d$$

$$\Rightarrow E_c - E_{fn} = -kT \ln \left( \frac{N_d}{N_c} \right) = - (8.62 \times 10^{-5}) (300) \ln \left( \frac{10^{16}}{2.8 \times 10^{19}} \right) = 0.21 \text{ eV}$$

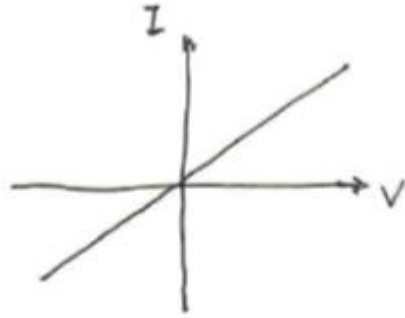
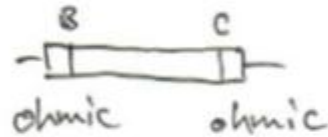
$\phi_m > \phi_n$ , Schottky contact

$$\begin{cases} \phi_m = 5.0 \text{ eV for Au} \\ \phi_m = 4.25 \text{ eV for Al} \end{cases}$$

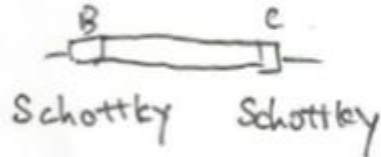


(b) Relationship between  $I$  and  $V$ : a straight line with slope equal to the conductance. (or inverse of the resistance)

$$R = \frac{L}{\sigma A} = \frac{L}{eN_B \mu_e A} = \frac{100 \times 10^{-4} \text{ cm}}{(1.602 \times 10^{-19} \text{ C})(10^{16} \text{ cm}^{-3})(1350 \text{ cm}^2 \text{ V}^{-1} \text{ s}^{-1})(10^6 \text{ cm}^2)} \\ = 4620 \Omega$$



(c)



There is maximum saturation current in both directions (reverse bias).

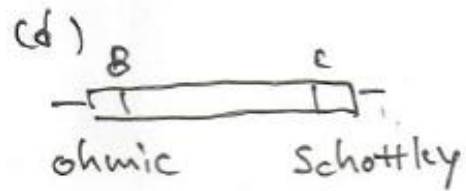
$$J = -J_0 \left[ 1 - \exp\left(-\frac{eV}{kT}\right) \right]$$

$\therefore$  the saturation current is the thermionic emission current over  $\phi_B$ .

$$\phi_B = \phi_{Au} - \chi = 5.1 \text{ eV} - 4.01 \text{ eV} = 1.09 \text{ eV}$$

$$I_0 = A \cdot J_0 = A \cdot C_1 \exp\left(-\frac{\phi_B}{kT}\right)$$





when  $C$  is reverse biased, current is limited by  $I_0$  (thermionic saturation current). when  $C$  is forward biased, current is limited by resistance of the semiconductor.

