



山东大学  
SHANDONG UNIVERSITY

**Physics I: Introduction to Wave Theory**  
**SDU Course Number: sd01232810 (Fall 2024)**

# Lecture 5: Waves in Media

## Outline

- Time-Harmonic Fields
- Lorentz Oscillator Model of an Atom
- Complex Refractive Index
- Plasma in Ionosphere
- Penetration Depth in Conducting Media
- Optical Anisotropy and Birefringence
- Circular Polarization
- Chiral Media

# Instantaneous Form of Maxwell's Equations

$$\nabla \cdot \vec{D} = \rho_{free} \quad \text{(Gauss's Law)}$$

$$\nabla \times \vec{E} = -\frac{\partial \vec{B}}{\partial t} \quad \text{(Faraday's Law)}$$

$$\nabla \cdot \vec{B} = 0 \quad \text{(Magnetic Gauss's Law)}$$

$$\nabla \times \vec{H} = \vec{J}_{free} + \frac{\partial \vec{D}}{\partial t} \quad \text{(Ampere's Law)}$$

$$\nabla \cdot \vec{J} = -\partial \rho / \partial t \quad \text{(The continuity equation)}$$

$$\vec{D} = \varepsilon_0 \vec{E} + \vec{P} = \varepsilon_0 (1 + \chi_e) \vec{E} = \varepsilon \vec{E} \quad \varepsilon : \text{permittivity}$$

$$\vec{B} = \mu_0 (\vec{H} + \vec{M}) = \mu_0 (1 + \chi_m) \vec{H} = \mu \vec{H} \quad \mu : \text{permeability}$$

**(Constitutive Relations)**

# Time-Harmonic Fields

In many practical systems involving electromagnetic waves, the time variations are of cosinusoidal form and are referred to as *time-harmonic*.

For time-harmonic fields, we can relate the instantaneous fields, current density and charge (represented by script letters) to their complex forms (represented by roman letters) by

$$\vec{E}(\vec{r}, t) = \text{Re} \left\{ \vec{E}(\vec{r}) e^{j\omega t} \right\}$$

$$\vec{D}(\vec{r}, t) = \text{Re} \left\{ \vec{D}(\vec{r}) e^{j\omega t} \right\}$$

$$\vec{B}(\vec{r}, t) = \text{Re} \left\{ \vec{B}(\vec{r}) e^{j\omega t} \right\}$$

$$\vec{H}(\vec{r}, t) = \text{Re} \left\{ \vec{H}(\vec{r}) e^{j\omega t} \right\}$$

$$\vec{J}(\vec{r}, t) = \text{Re} \left\{ \vec{J}(\vec{r}) e^{j\omega t} \right\}$$

$$\rho(\vec{r}, t) = \text{Re} \left\{ \rho(\vec{r}) e^{j\omega t} \right\}$$

**Example:**  $\vec{E}(\vec{r}) = e^{-jkz} \longrightarrow \vec{E}(\vec{r}, t) = \text{Re} \left\{ e^{-jkz} e^{j\omega t} \right\} = \cos(kz - \omega t)$

# Time-Harmonic Form of Maxwell's Equations

$$\nabla \cdot \vec{D} = \rho_{free}$$

(Gauss's Law)

$$\nabla \times \vec{E} = -j\omega \vec{B}$$

(Faraday's Law)

$$\nabla \cdot \vec{B} = 0$$

(Magnetic Gauss's Law)

$$\nabla \times \vec{H} = \vec{J}_{free} + j\omega \vec{D}$$

(Ampere's Law)

**Helmholtz wave equation (Source-Free):**

$$\partial/\partial t \rightarrow j\omega \quad \partial^2/\partial t^2 \rightarrow (j\omega)^2$$

$$(\nabla^2 + \omega^2 \mu \epsilon) \vec{E} = 0$$

$$\vec{k} = \hat{x}k_x + \hat{y}k_y + \hat{z}k_z$$

**Plane wave solution:**

$$k_x^2 + k_y^2 + k_z^2 = \omega^2 \mu \epsilon = k^2$$

$$\vec{E}(\vec{r}) = \vec{E}_0 \exp(-j\vec{k} \cdot \vec{r})$$

$$\vec{k} \cdot \vec{r} = k_x x + k_y y + k_z z$$

# Time-Averaged Poynting Power Vector

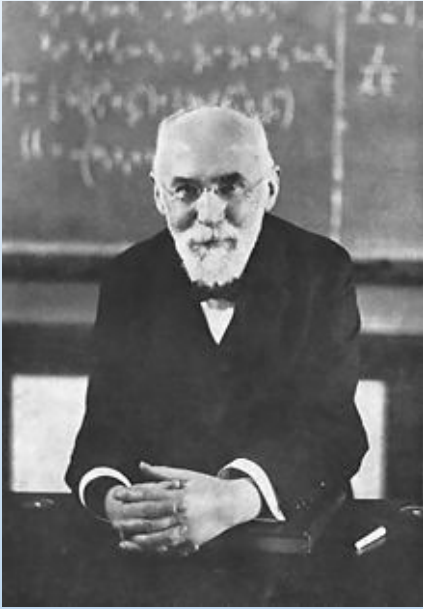
$$\begin{aligned}\vec{S}(t) &= \vec{E}(t) \times \vec{H}(t) = \text{Re}\{\vec{E}e^{j\omega t}\} \times \text{Re}\{\vec{H}e^{j\omega t}\} \\&= \frac{1}{2}\left\{\vec{E}e^{j\omega t} + \vec{E}^*e^{-j\omega t}\right\} \times \frac{1}{2}\left\{\vec{H}e^{j\omega t} + \vec{H}^*e^{-j\omega t}\right\} \\&= \frac{1}{4}\left\{\vec{E} \times \vec{H}e^{j2\omega t} + \vec{E} \times \vec{H}^* + \vec{E}^* \times \vec{H} + \vec{E}^* \times \vec{H}^*e^{-j2\omega t}\right\} \\&= \frac{1}{2}\text{Re}\left\{\vec{E} \times \vec{H}^*\right\} + \frac{1}{2}\text{Re}\left\{\vec{E} \times \vec{H}e^{j2\omega t}\right\} \\ \langle \vec{S}(t) \rangle &= \frac{1}{T} \int_0^T \vec{S}(t) dt = \frac{1}{2}\text{Re}\left(\vec{E} \times \vec{H}^*\right)\end{aligned}$$

$$\boxed{\vec{S} = \vec{E} \times \vec{H}^*}$$

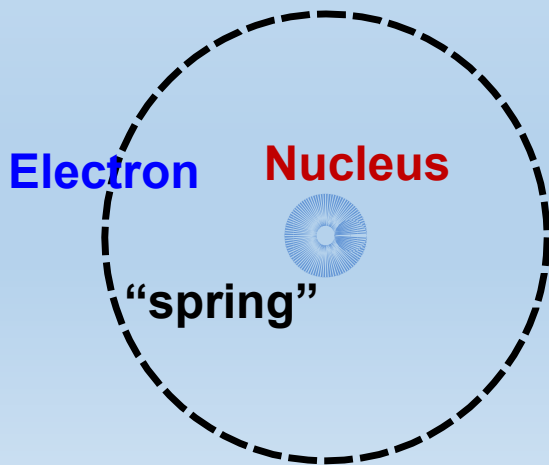
**(Complex Poynting Vector)**

# Lorentz Oscillator

Hendrik Lorentz  
(1853-1928)

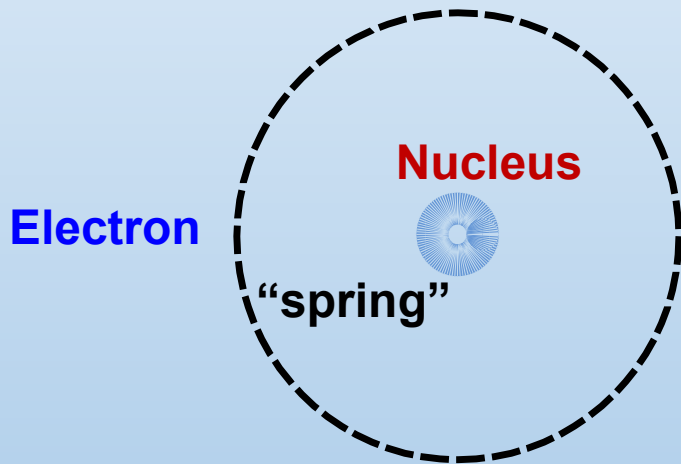


Lorentz was a late nineteenth century physicist, and quantum mechanics had not yet been discovered. However, he did understand the results of classical mechanics and electromagnetic theory. Therefore, he described the problem of atom-field interactions in these terms. Lorentz thought of an atom as a mass ( the **nucleus** ) **connected** to another smaller mass ( the **electron** ) by a **spring**. The spring would be set into motion by an electric field interacting with the charge of the electron. The field would either repel or attract the electron which would result in either compressing or stretching the spring.



# Atomic Oscillators

**Classical model of an atom. Electrons are bound to the nucleus by springs (due to the Coulomb force), which determine the natural frequencies**



**Hooke's Law:**  $F(y) = -ky$

**Newton's 2<sup>nd</sup> Law:**  $F(y) = m \frac{d^2 y}{dt^2}$

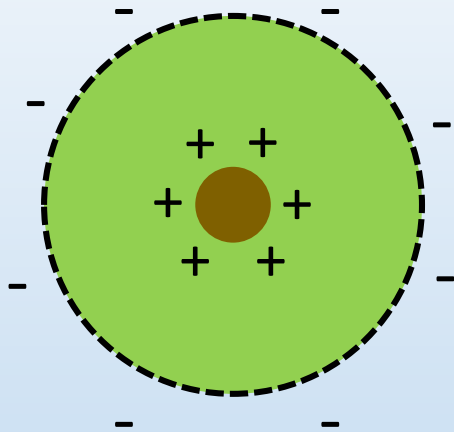
$$\frac{d^2 y}{dt^2} = -\frac{k}{m} y \Rightarrow y = \cos(\omega_0 t + \phi)$$

$$\omega_0 = \sqrt{k/m}$$

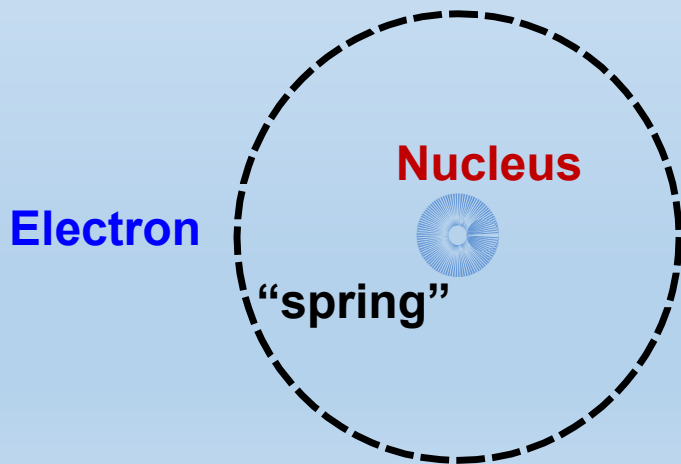
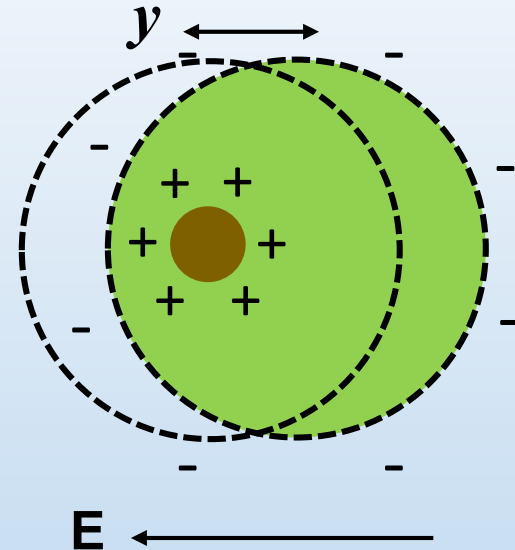
$$k = m\omega_0^2$$

**Resonant frequency  
(or natural frequency)**

# Atomic Oscillators under Electric Field



No external E Field



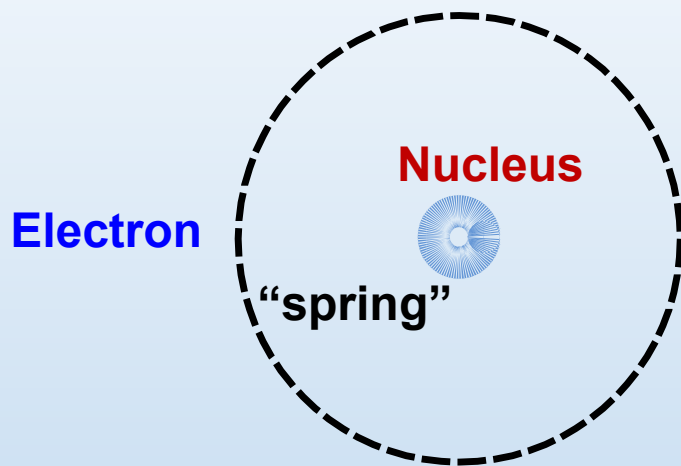
↑ **electric force**
↑ **restoring force**

$$m \frac{d^2 y}{dt^2} = -eE - \gamma m v - k y$$

↓ **damping force**

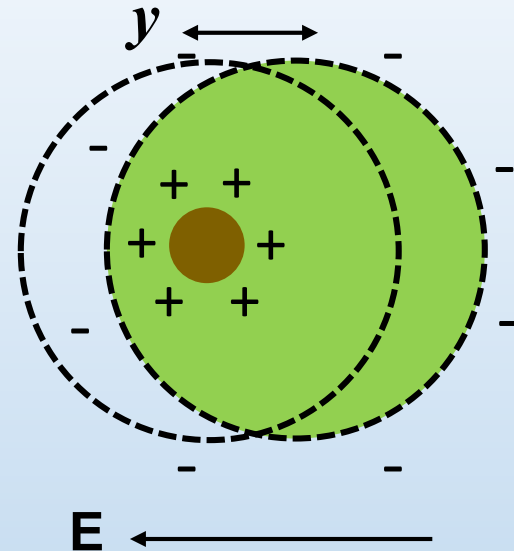


# Lorentz Oscillator Model



No external E Field

$$P = -Ney$$



$$m \frac{d^2 y}{dt^2} = -eE - m\gamma \frac{dy}{dt} - m\omega_0^2 y \quad \Rightarrow \quad m(\omega^2 - \gamma j\omega - \omega_0^2) y = eE$$

$$\varepsilon(\omega) = \varepsilon_0 \left( 1 + \frac{P}{\varepsilon_0 E} \right) = \varepsilon_0 \left( 1 - \frac{\omega_p^2}{\omega^2 - \omega_0^2 - j\gamma\omega} \right)$$

$$\omega_p = \sqrt{Ne^2 / m\varepsilon_0} \quad \text{(Plasma frequency)}$$

## Oscillator Resonance

$$y = \frac{e}{m (\omega^2 - \omega_0^2) - j\gamma\omega} E_y$$

$$E_y(t) = \text{Re}\{E_y e^{j\omega t}\}$$

$$y(t) = \text{Re}\{y e^{j\omega t}\}$$

Driven harmonic oscillator: **Amplitude** and **Phase** depend on frequency



**Low** frequency

**medium amplitude**

Displacement,  $y$   
in phase with  $E_y$



**At resonance**

**large amplitude**

Displacement,  $y$   
90° out of phase with  $E_y$

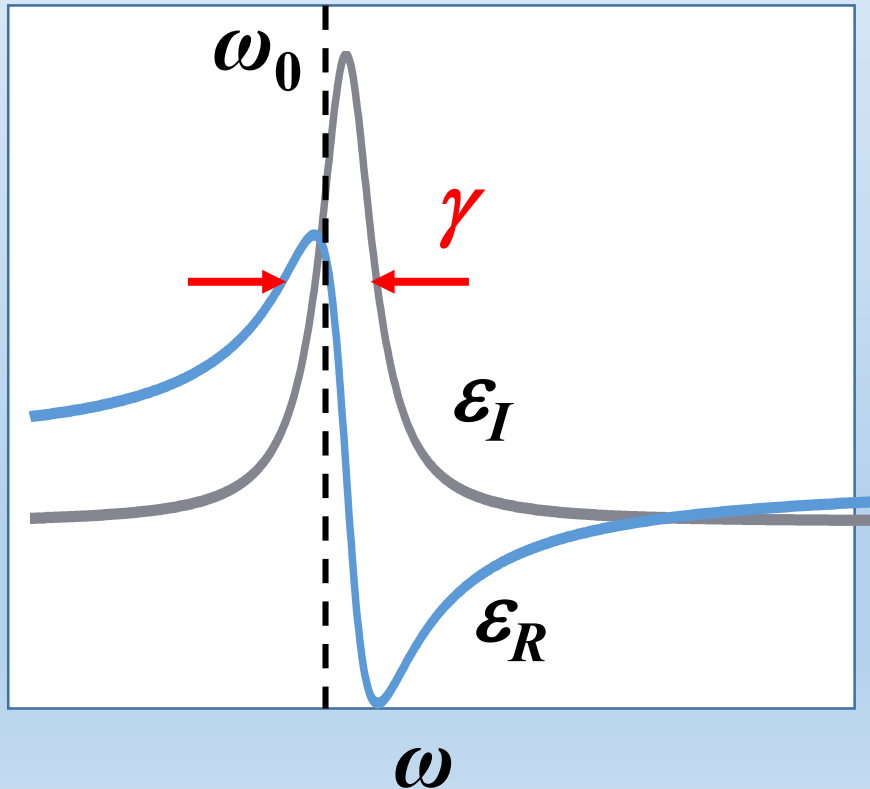
**High** frequency

**vanishing amplitude**

Displacement  $y$  and  $E_y$   
in antiphase

# Complex permittivity

$$\varepsilon(\omega) = \varepsilon_0 \left( 1 - \frac{\omega_p^2}{\omega^2 - \omega_0^2 - j\gamma\omega} \right) = \varepsilon_0 (\varepsilon_R - j\varepsilon_I)$$



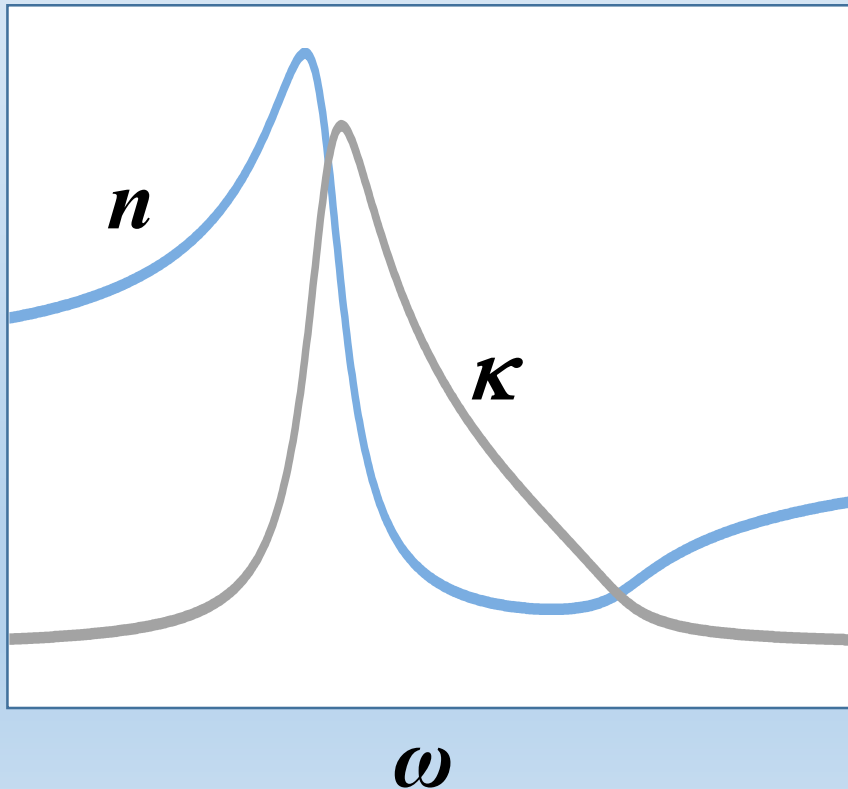
$$\varepsilon_R = 1 + \frac{\omega_p^2 (\omega_0^2 - \omega^2)}{(\omega^2 - \omega_0^2)^2 + (\gamma\omega)^2}$$

$$\varepsilon_I = \frac{\omega_p^2 \gamma \omega}{(\omega^2 - \omega_0^2)^2 + (\gamma\omega)^2}$$

Around the resonance frequency  $\omega_0$ , the magnitude of  $\varepsilon_R$  has a drastic change and  $\varepsilon_I$  has the maximum value.

# Complex refractive index

Refractive index is defined as the ratio between the propagation speed of light in vacuum and the propagation speed of light in the medium.



$$\tilde{n} \equiv \frac{c}{v_p} = \frac{\sqrt{\epsilon\mu}}{\sqrt{\epsilon_0\mu_0}}$$

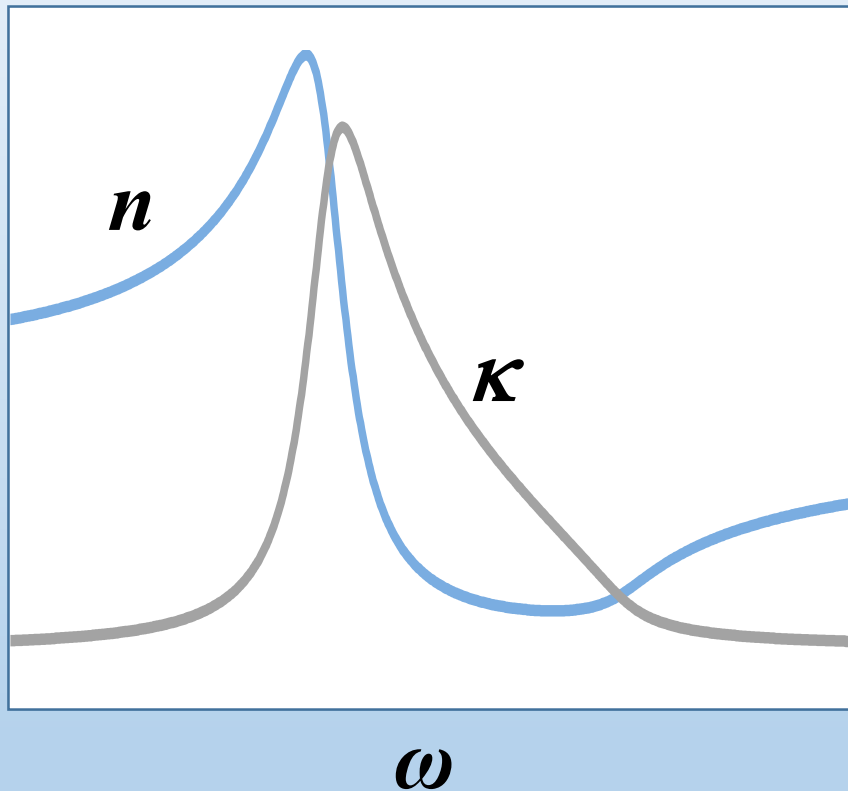
$$\tilde{n} = n - j\kappa = \sqrt{\epsilon_R - j\epsilon_I}$$

$$\tilde{n} = n^2 - 2jn\kappa - \kappa^2 = \epsilon_R - j\epsilon_I$$

$$\epsilon_R = n^2 - \kappa^2$$

$$\epsilon_I = 2n\kappa$$

# Absorption



$$n = \frac{1}{\sqrt{2}} \sqrt{\epsilon_R + \sqrt{\epsilon_R^2 + \epsilon_I^2}}$$

$$\kappa = \frac{1}{\sqrt{2}} \sqrt{-\epsilon_R + \sqrt{\epsilon_R^2 + \epsilon_I^2}}$$

$$E = \exp(-jnk_0z)$$

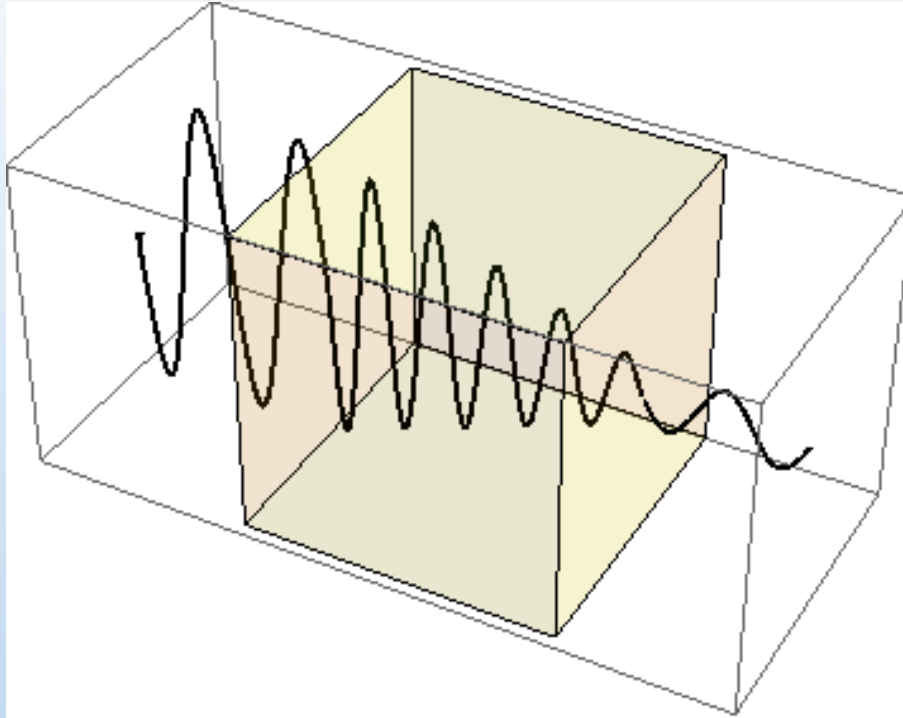
$$= \exp[-j(n - j\kappa)k_0z]$$

$$= \exp(-\underbrace{\alpha z/2}_{\text{Absorption}} - jnk_0z)$$



**Absorption**

$$\alpha = 2k_0\kappa = 2\frac{2\pi}{\lambda_0}\kappa \quad [\text{cm}^{-1}]$$



$$E(t, z) = \text{Re}\{\tilde{E}_0 e^{-\alpha z/2} e^{j(\omega t - k_0 n z)}\}$$

Absorption  
coefficient

Refractive  
index

$$I(z) = I_o e^{-\alpha z} \quad \text{Beer-Lambert Law or Beer's Law}$$

# Dispersion

Prism

$$\varepsilon(\omega) = \varepsilon_0 \left( 1 - \frac{\omega_p^2}{\omega^2 - \omega_0^2 - j\gamma\omega} \right)$$

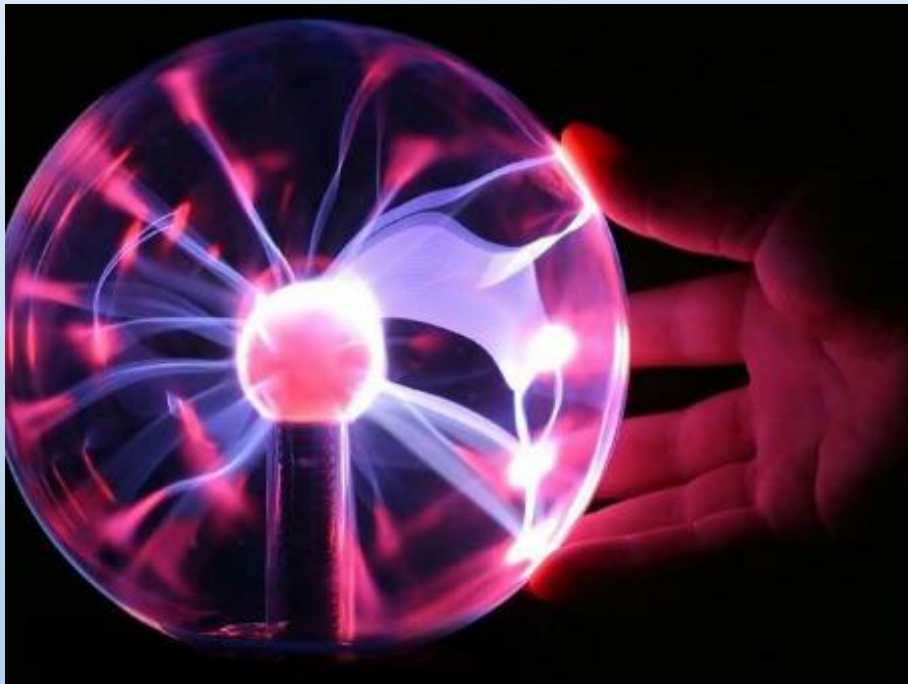
(Lorentz Oscillator Model)



The above equation shows that permittivity depends on the frequency, besides the plasma frequency and damping (which are properties of the medium). A medium displaying such behavior (that is, whose permittivity depends on the frequency of the wave) is called **dispersive**, named after "dispersion", which is the phenomenon exhibited in a prism or raindrop that causes white light to be spread out into a rainbow of colors (white light is a mixture of beams of many different colors {all traveling at the same speed, but having different frequencies and wavelengths}).

# Plasma in Ionosphere

**Plasma is an ionized gas consisting of positively charged molecules (ions) and negatively charged electrons that are free to move. Plasma exists naturally in what we call ionosphere (80 km ~ 120 km above the surface of the Earth).**



**The effect of a conducting object (a hand) touching the plasma globe**



**An aurora seen above Bear Lake, Alaska, USA**



For Plasma, we will assume  $\gamma = 0$  (lossless) and  $\omega_0 = 0$

$$\varepsilon(\omega) = \varepsilon_0 \left( 1 - \frac{\omega_p^2}{\omega^2 - \omega_0^2 - j\gamma\omega} \right) = \varepsilon_0 \left( 1 - \frac{\omega_p^2}{\omega^2} \right)$$

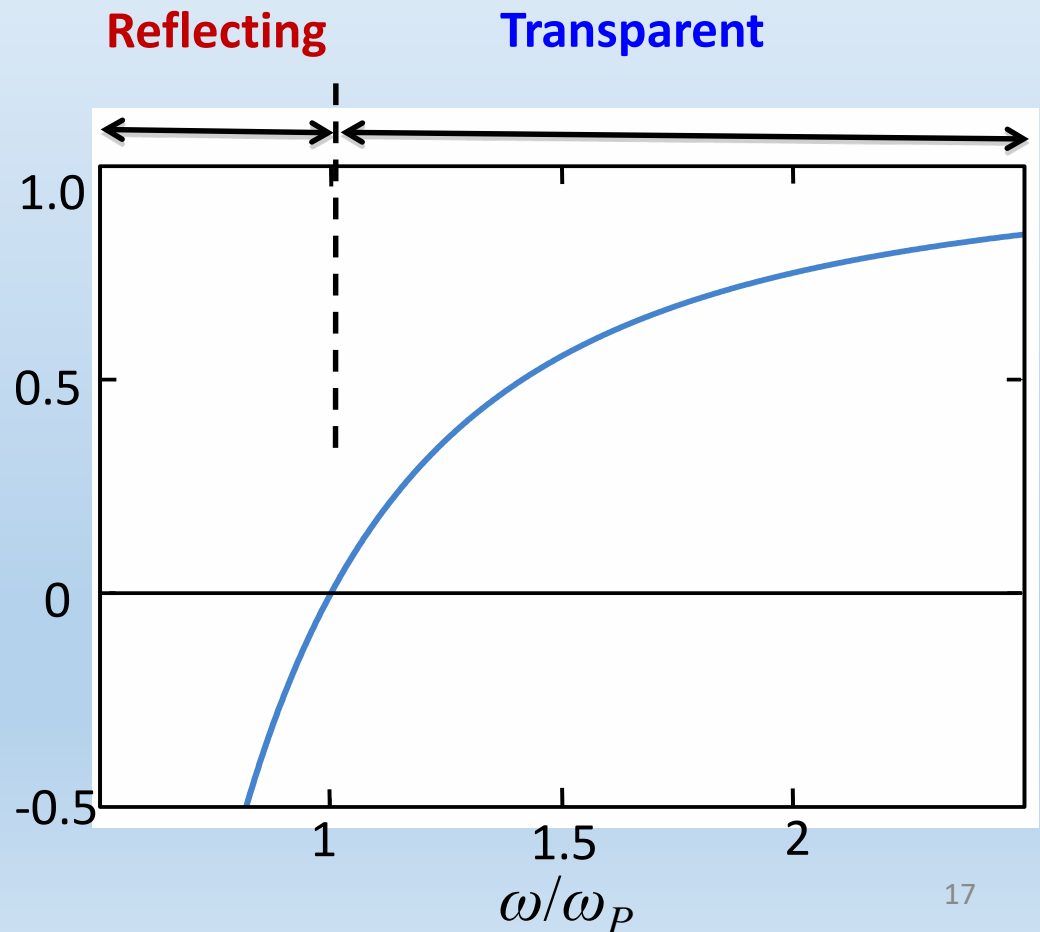
$$\omega_p = \sqrt{Ne^2 / m\varepsilon_0}$$

(Plasma frequency)

$$\omega < \omega_p \rightarrow \varepsilon < 0$$

$$\omega > \omega_p \rightarrow \varepsilon > 0$$

What happens when  
the dielectric constant  
is negative?



# Plasma frequency

$$\omega_P = \sqrt{Ne^2/m\varepsilon_0} \quad (\text{In the ionosphere})$$

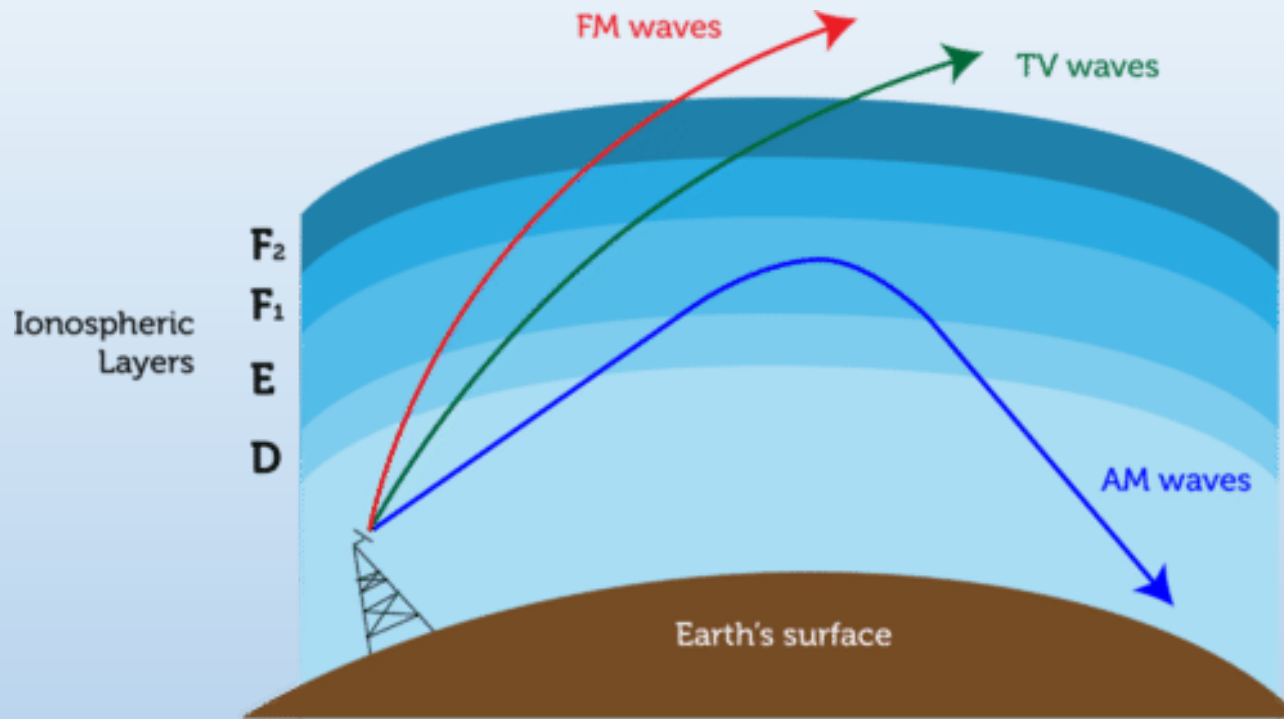
$$= \sqrt{\frac{(10^{12} m^{-3})(1.602 \times 10^{-19} C)^2}{(9.1 \times 10^{-31} kg)(8.85 \times 10^{-12} F/m)}}$$

$$= 5.64 \times 10^7 \text{ rad/s}$$

$$= 2\pi \times (8.98 \text{ MHz})$$

**AM radio is in the range 520-1610 kHz      Reflected**  
**FM radio is in the range 87.5 to 108 MHz      Transmitted**

# Radio Waves in Atmosphere



**AM radio is in the range 520-1610 kHz**

**FM radio is in the range 87.5 to 108 MHz**

**Reflected**

**Transmitted**

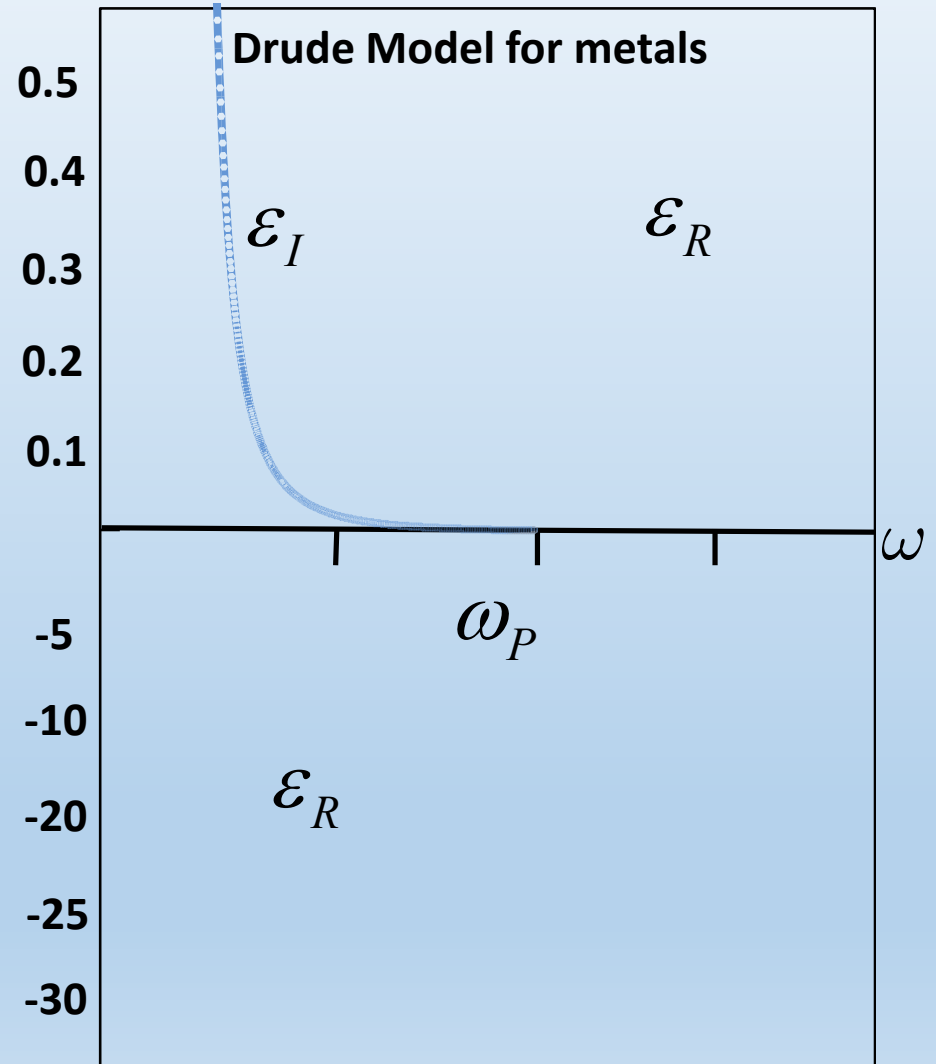
# Behavior of Metals

For metal, we have  $\gamma \neq 0$  (lossy) and  $\omega_0 = 0$

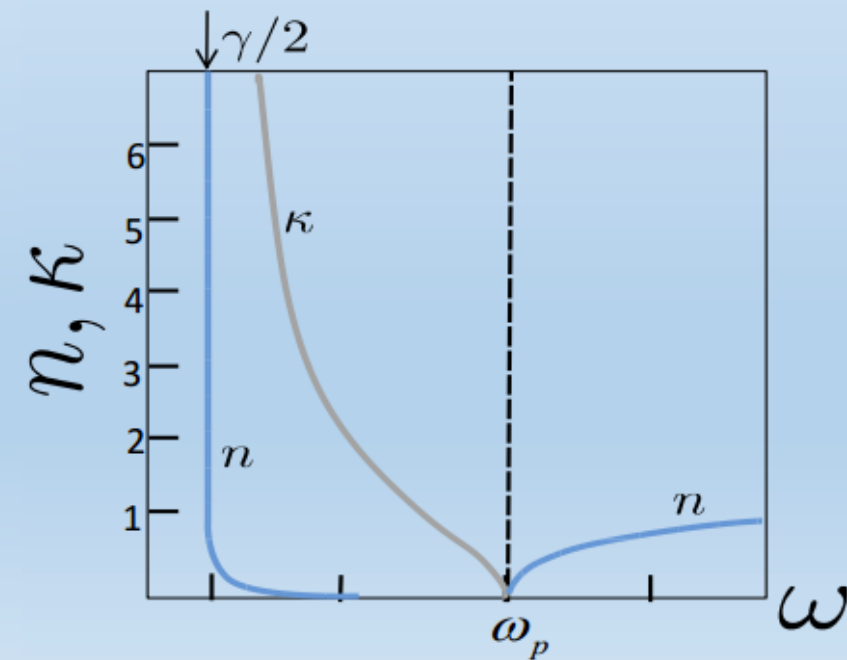
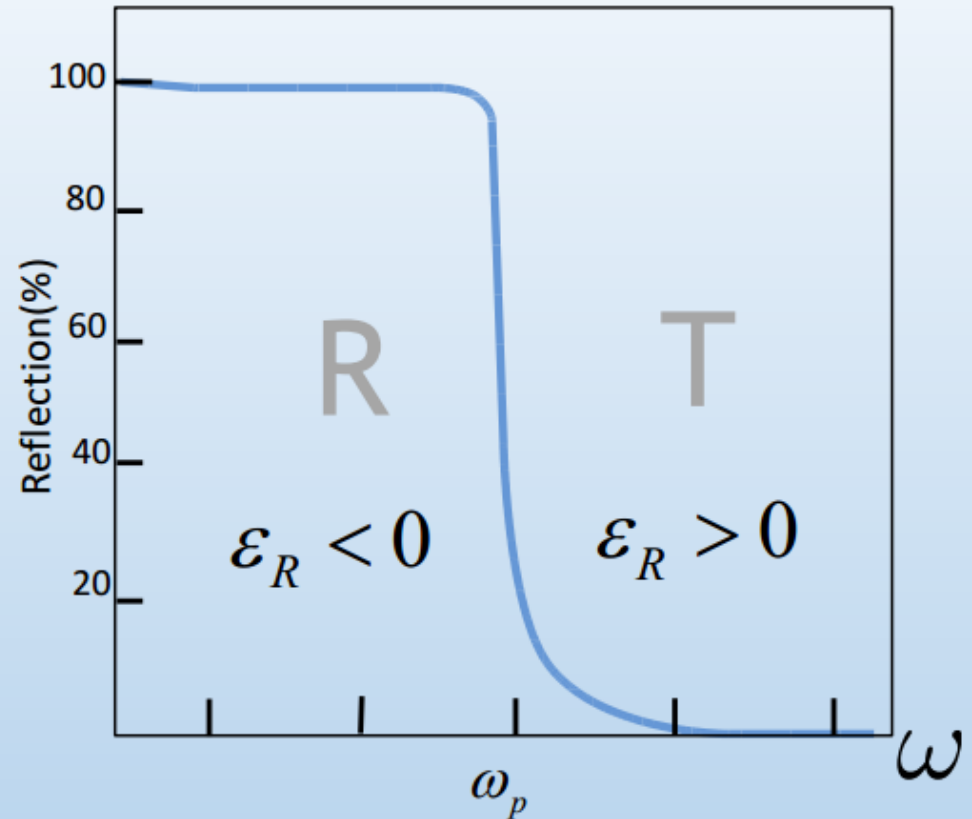
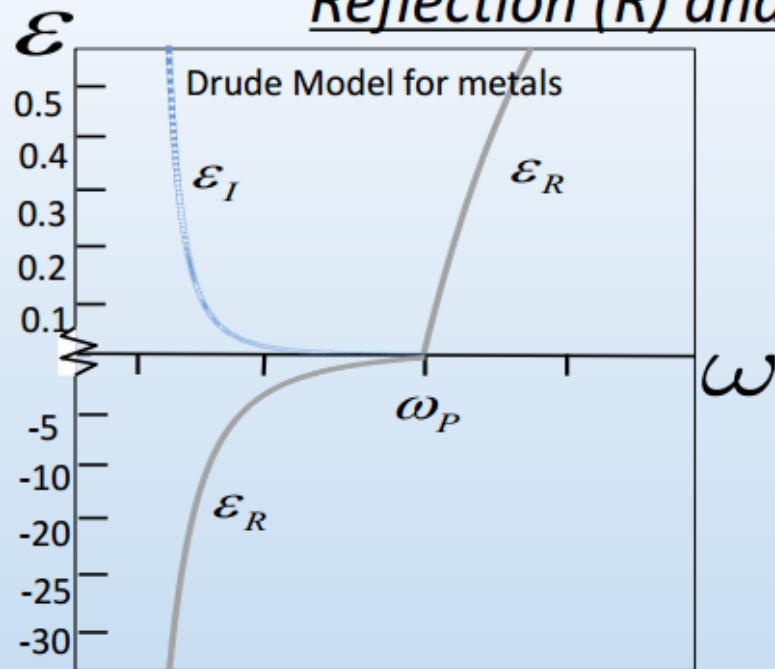
$$\begin{aligned}\varepsilon(\omega) &= \varepsilon_0 \left( 1 - \frac{\omega_p^2}{\omega^2 - j\gamma\omega} \right) \\ &= \varepsilon_R - j\varepsilon_I\end{aligned}$$

$$\varepsilon_R = \varepsilon_0 \left( 1 - \frac{\omega_p^2}{\omega^2 + \gamma^2} \right)$$

$$\varepsilon_I = \varepsilon_0 \left( \frac{\gamma\omega_p^2}{\omega(\omega^2 + \gamma^2)} \right)$$



# Reflection (R) and transmission (T) of Metals



$$n = \frac{1}{\sqrt{2}} \sqrt{\epsilon_R + \sqrt{\epsilon_R^2 + \epsilon_I^2}}$$

$$\kappa = \frac{1}{\sqrt{2}} \sqrt{-\epsilon_R + \sqrt{\epsilon_R^2 + \epsilon_I^2}}$$

# Conducting Media

Consider a conducting medium governed by Ohm's law

$$\vec{J}_c = \sigma \vec{E} \quad \nabla \times \vec{H} = j\omega \vec{D} + \vec{J}_{free} + \vec{J}_c$$



$$\nabla \times \vec{H} = j\omega \left( \epsilon + \frac{\sigma}{j\omega} \right) \vec{E} + \vec{J}_{free}$$

We can define a new permittivity for conducting media

$$\epsilon_c = \epsilon + \frac{\sigma}{j\omega} = \epsilon - j \frac{\sigma}{\omega}$$

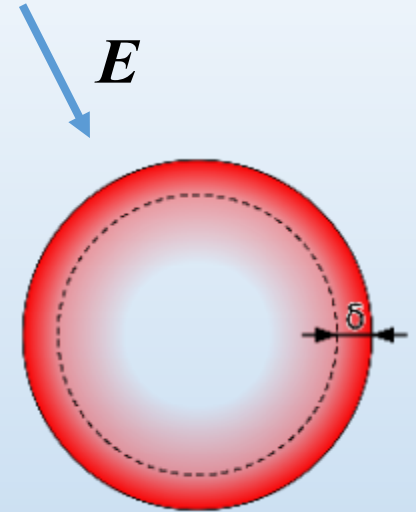
$$\epsilon(\omega) = \epsilon_0 \left( 1 - \frac{\omega_p^2}{\omega^2 - j\gamma\omega} \right)$$

# Penetration Depth

$$\varepsilon_c = \varepsilon + \frac{\sigma}{j\omega} = \varepsilon - j\frac{\sigma}{\omega}$$

$$k = \omega\sqrt{\mu\varepsilon} \left[ 1 - j\frac{\sigma}{\omega\varepsilon} \right]^{1/2} = k_R - jk_I$$

$$E = \exp(-jkz) = \exp(-k_I z - jk_R z)$$



Penetration depth is defined as

$$d_P = \frac{1}{k_I}$$

- The wave amplitude attenuates by a factor of  $e^{-1}$  in a distance  $d_p$
- $d_p$  of Copper at 10 GHz is about 0.65  $\mu\text{m}$

For a highly conducting medium with  $1 \ll \sigma/\omega\epsilon$

$$k = \omega\sqrt{\mu\epsilon} \left[ 1 - j \frac{\sigma}{\omega\epsilon} \right]^{1/2} \approx \omega\sqrt{\mu\epsilon} \left[ -j \frac{\sigma}{\omega\epsilon} \right]^{1/2} = \sqrt{\frac{\omega\mu\sigma}{2}} [1 - j]$$

$$d_P = \sqrt{\frac{2}{\omega\mu\sigma}} \quad \text{Skin depth}$$

For a slightly conducting medium with  $1 \gg \sigma/\omega\epsilon$

$$k = \omega\sqrt{\mu\epsilon} \left[ 1 - j \frac{\sigma}{\omega\epsilon} \right]^{1/2} \approx \omega\sqrt{\mu\epsilon} \left[ 1 - j \frac{\sigma}{2\omega\epsilon} \right] = \omega\sqrt{\mu\epsilon} - j \frac{\sigma}{2} \sqrt{\frac{\mu}{\epsilon}}$$

$$d_P = \frac{2}{\sigma} \sqrt{\frac{\epsilon}{\mu}}$$



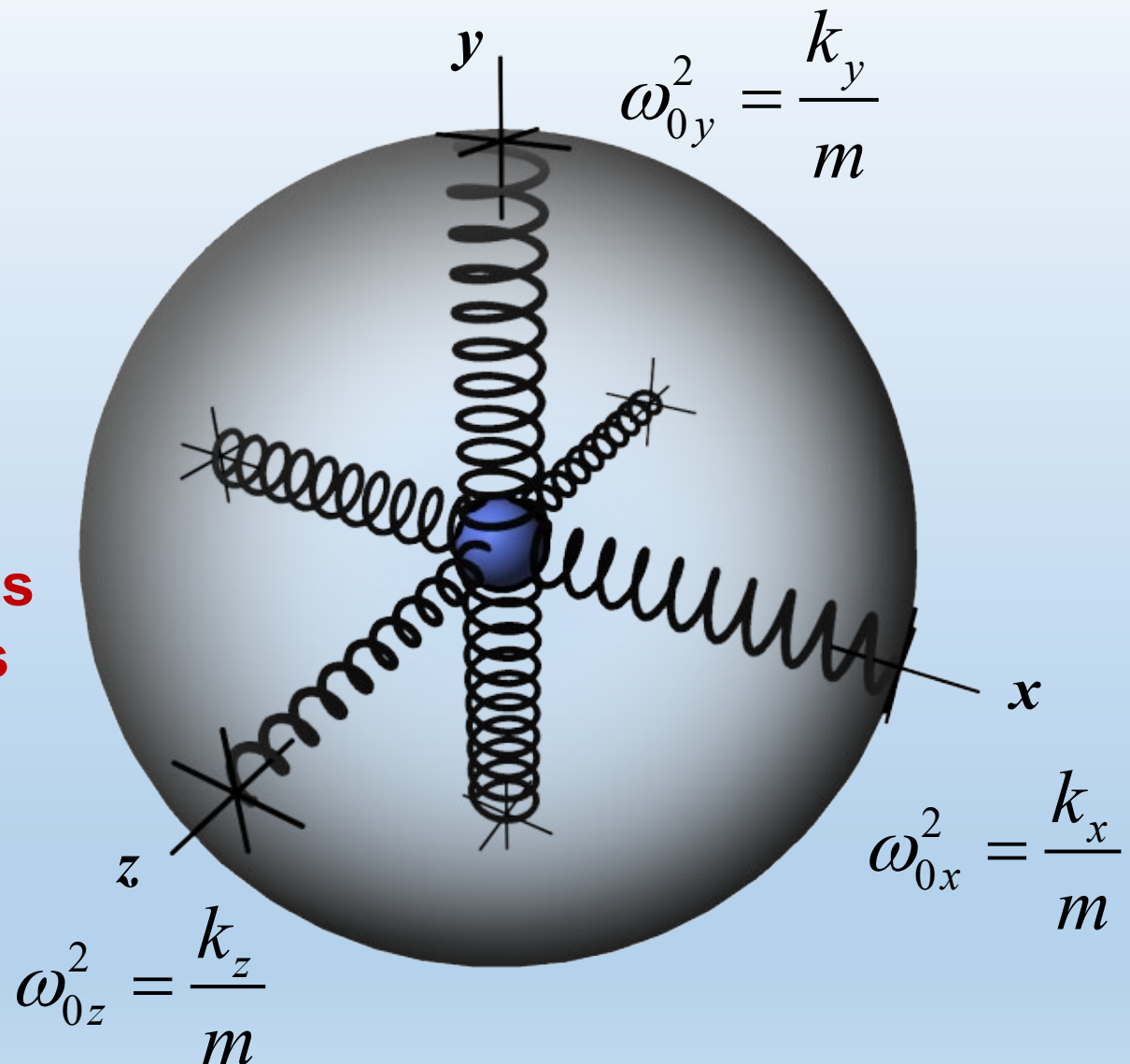
# Anisotropic Material

The molecular  
"spring constant"  
can be different for  
different directions

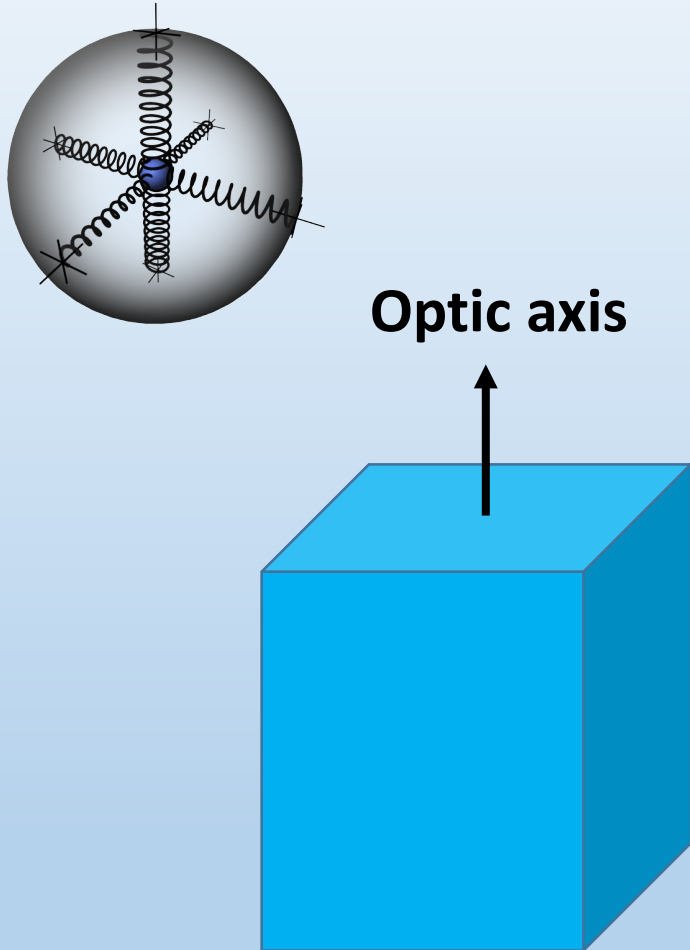
If  $\omega_{0x} = \omega_{0z}$  ,  
then the material has  
a single optics axis  
and is called  
uniaxial crystal

$$\epsilon_x \neq \epsilon_y \neq \epsilon_z$$

$$n_x \neq n_y \neq n_z$$



# Uniaxial Crystal



**Uniaxial crystals** have one refractive index for light polarized along the **optic axis** ( $n_e$ )

and another for light polarized in either of the two directions perpendicular to it ( $n_o$ ).

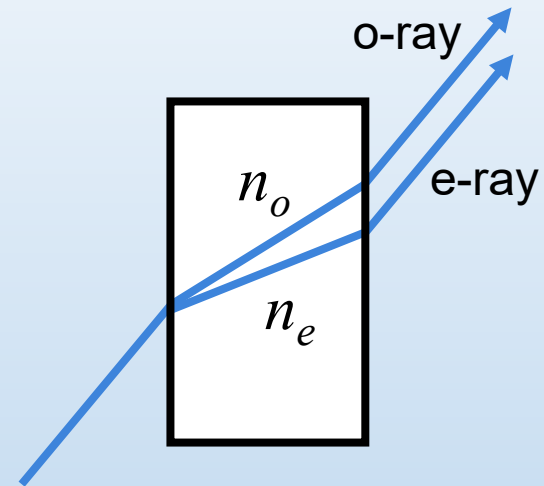
Light polarized along the optic axis is called the **extraordinary** ray,

and light polarized perpendicular to it is called the **ordinary** ray.

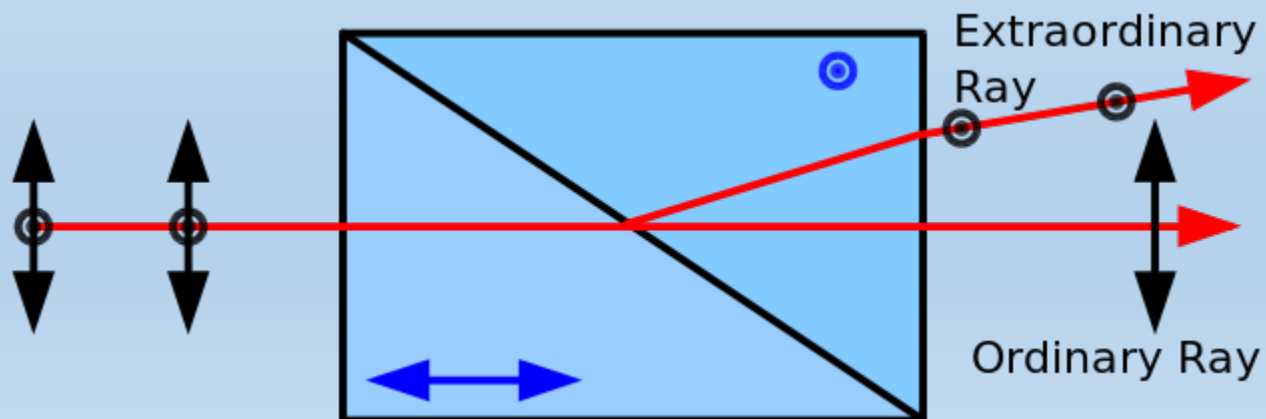
These polarization directions are the **crystal principal axes**.

# Birefringence

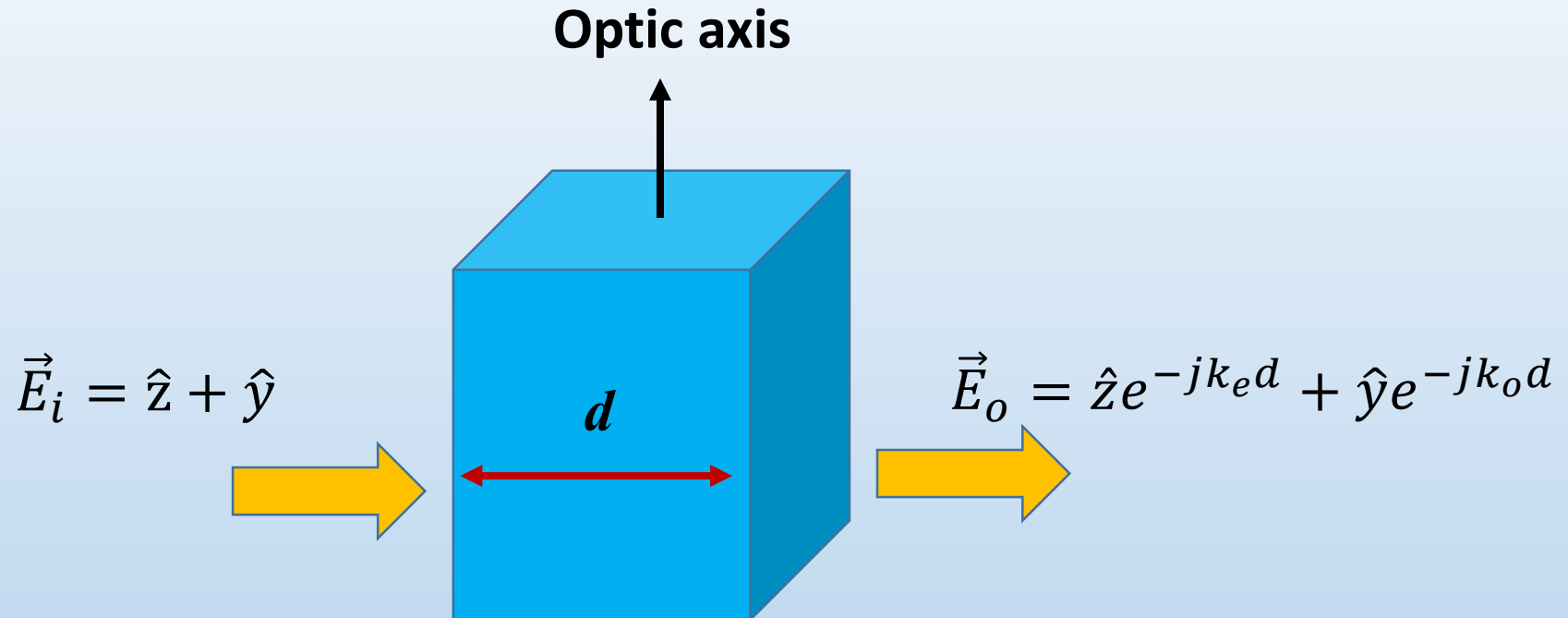
A calcite crystal



Rochon prism



# Polarization Conversion



**Polarization of output wave is determined by**

$$\frac{E_y}{E_z} = \frac{e^{-jk_o d}}{e^{-jk_e d}} = e^{-j(k_o - k_e)d}$$

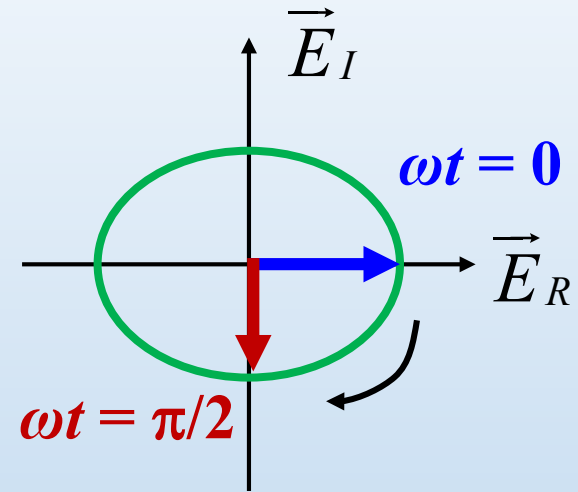
# Polarization of Monochromatic Waves

At plane  $z = 0$ :

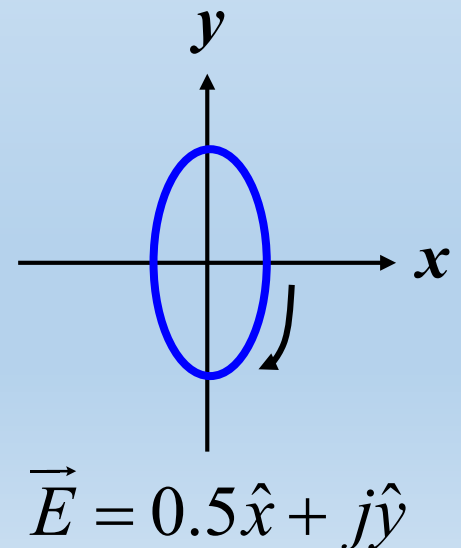
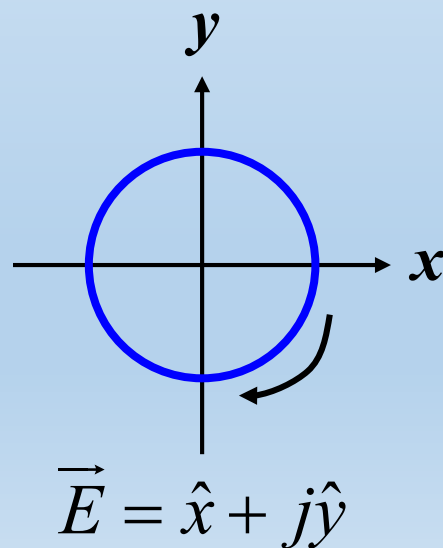
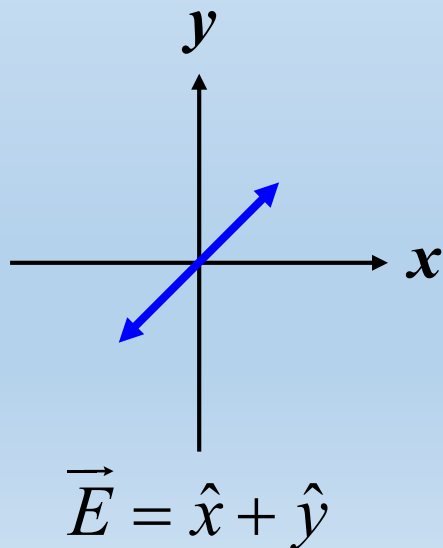
$$\vec{E} = \vec{E}_R + j\vec{E}_I$$

$$\vec{E}(t) = \text{Re} \left\{ \left( \vec{E}_R + j\vec{E}_I \right) e^{j\omega t} \right\}$$

$$= \vec{E}_R \cos \omega t - \vec{E}_I \sin \omega t$$



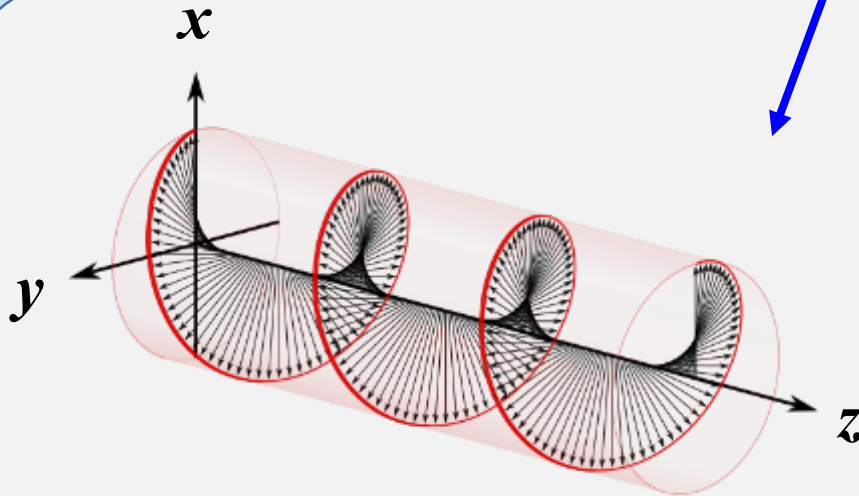
(a) Linear Polarization    (b) Circular Polarization    (c) Elliptical Polarization



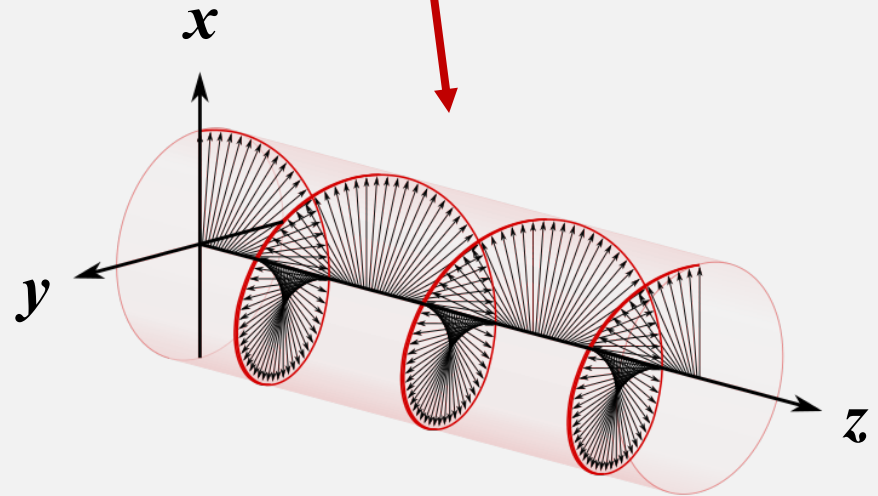
# Circular Polarization

For any plane wave propagating along +z direction, the electric field can be decomposed into LCP and RCP components.

$$\vec{E} = \vec{E}_R + j\vec{E}_I = E_{LCP} \frac{\hat{x} + j\hat{y}}{\sqrt{2}} + E_{RCP} \frac{\hat{x} - j\hat{y}}{\sqrt{2}}$$



**Left-handed Circularly Polarized Waves (LCP waves)**



**Right-handed Circularly Polarized Waves (RCP waves)**

# Question

**What is the polarization of the wave?**

$$\vec{E}(z, t) = \hat{x}E_0 \sin\left(kz - \omega t - \frac{\pi}{4}\right) + \vec{y}E_0 \cos\left(kz - \omega t + \frac{\pi}{4}\right)$$

$$\vec{E}(z, t) = \hat{x}E_0 \cos(kz - \omega t) + \vec{y}E_0 \sin\left(kz - \omega t - \frac{\pi}{4}\right)$$

# 3D Movies Technology

Polarizer A

Left eye  
source

Film or digital  
projector

Right eye  
source

Film or digital  
projector

Polarizer B

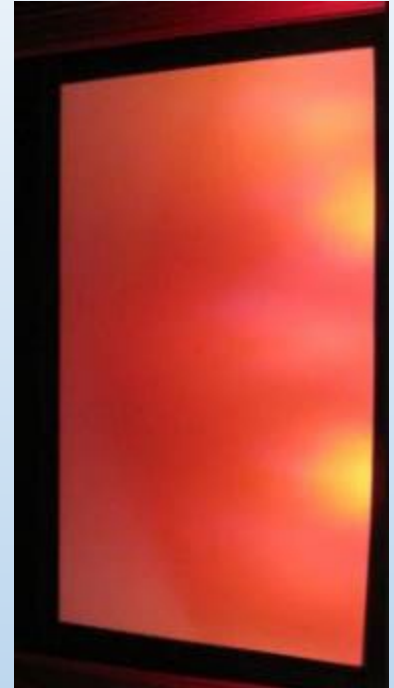


Image by comedy\_nose  
<http://www.flickr.com/photos/medynose/4482682966/> on flickr



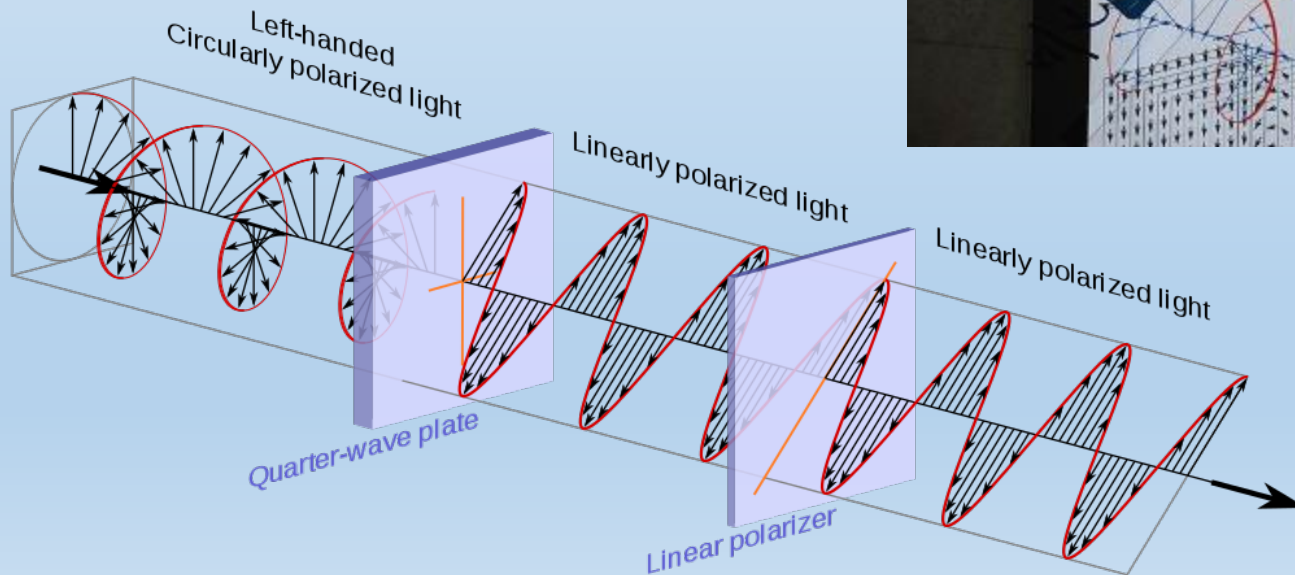
Which approach is better ? Linear or circular polarization ?



# Polarized 3D glasses

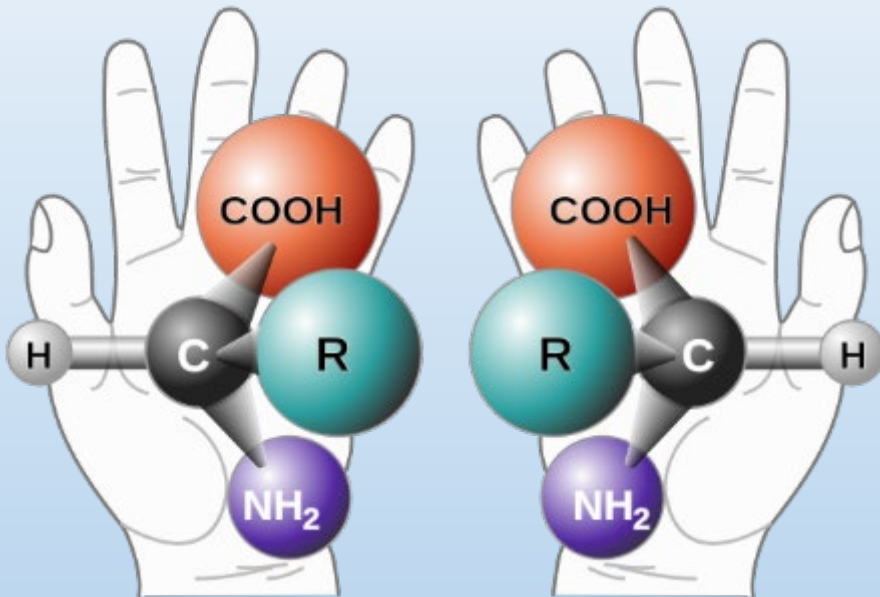
Circularly polarized 3D glasses in front of an LCD tablet with a quarter-wave retarder on top of it; the  $\lambda/4$  plate at  $45^\circ$  produces a definite handedness, which is transmitted by the left filter but blocked by the right filter.

## Circular polarizer



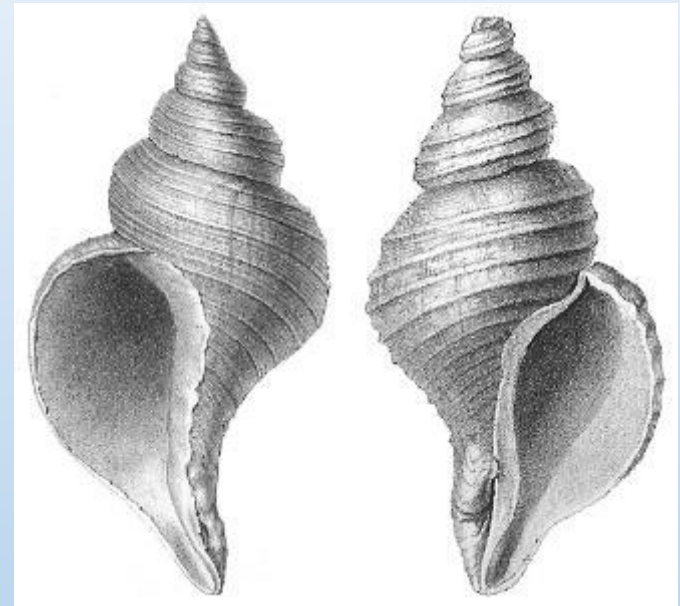
# Chiral Materials

An object or a system is chiral if it is distinguishable from its mirror image.



Two enantiomers of a generic amino acid that is **chiral**

**Chiral materials...**  
**...different interactions with left- and right-circular polarizations**



Shells of two different species of sea snail: on the left is the normally sinistral (left-handed) shell of *Neptunea angulata*, on the right is the normally dextral (right-handed) shell of *Neptunea despecta*

# Optical Properties of Chiral Media

## Constitutive relation for chiral media

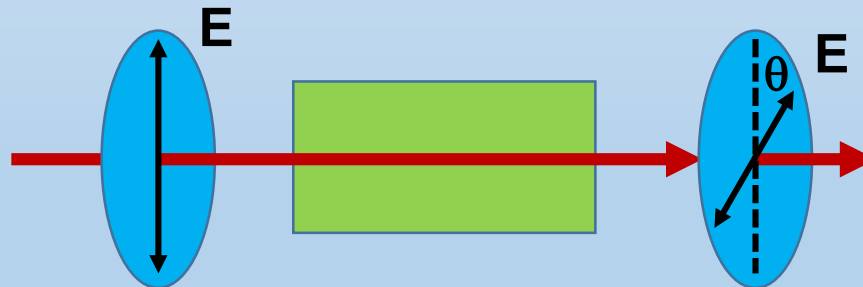
$$\vec{D} = \varepsilon_0 \varepsilon_r \vec{E} - j\kappa \sqrt{\varepsilon_0 \mu_0} \vec{H}$$

$$\vec{B} = \mu_0 \mu_r \vec{H} + j\kappa \sqrt{\varepsilon_0 \mu_0} \vec{E}$$

## Refractive index

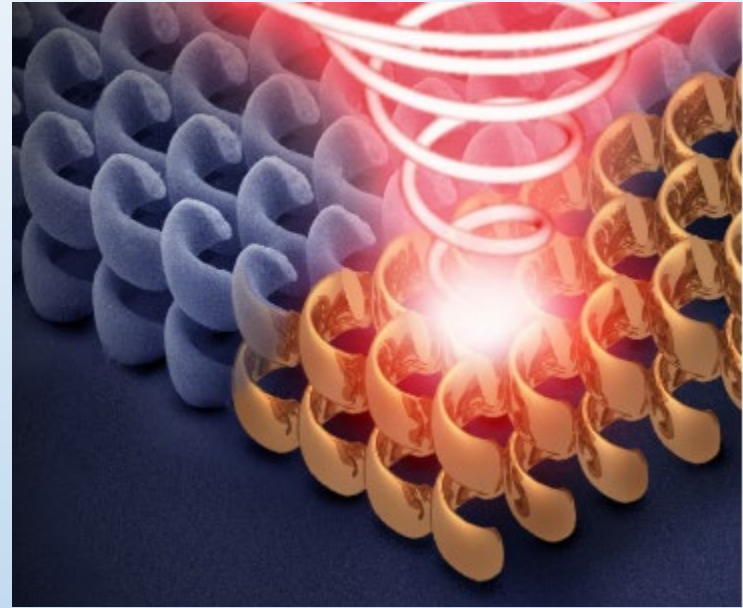
$$n_{R/L} = \sqrt{\varepsilon_r \mu_r} \pm \kappa$$

## Optical rotation



$$\theta = \frac{\pi d}{\lambda} (n_R - n_L)$$

# Natural and Artificial Chiral Materials



- How does biological handedness arise? (1 of 125 big questions posed by *Science Magazine* )
- Chiral metamaterials are artificially designed media whose chiral responses are much stronger than natural materials.

## 习题5.1

- (1) 在微波炉的工作频率 (2.5 GHz) 下, 圆形牛排的介电常数约为  $40\epsilon_0$ , 电导率  $\sigma = 2 \text{ mho/m}$ 。求牛排的趋肤深度? 将此趋肤深度与聚苯乙烯泡沫进行比较 (介电常数为  $1.03 \epsilon_0$ , 电导率  $\sigma = 4 \times 10^{-6} \text{ mho/m}$ )
- (2) 求海水在 100Hz 和 5MHz 频率处的趋肤深度。设海水的电导率为  $\sigma = 4 \text{ mho/m}$ , 介电常数为  $80 \epsilon_0$ , 磁导率为  $\mu_0$ 。
- (3) 一艘轮船想要与水下 100 米处的潜艇通信, 如果用 1kHz 的电磁波通信, 有多少电磁波能量可以到达水下潜艇。海水的电磁参数同 (2)。

习题5.2 如图所示，考虑一个圆极化波垂直入射到单轴媒质中，入射波的电场为

$$\vec{E}_{in} = \hat{y}E_0 \exp(-jk_x x) + \hat{z}\alpha E_0 \exp(-jk_x x - j\beta)$$

这里我们忽视界面处的电磁波反射。试求：

(1) 如果入射波为右旋圆极化波，且  $\alpha$  和  $\beta$  均为正实数，求  $\alpha$  和  $\beta$  的取值。

(2) 设单轴媒质的介电常数为  $\bar{\epsilon} = \begin{bmatrix} \epsilon_x & & \\ & \epsilon_y & \\ & & \epsilon_z \end{bmatrix}$ ，其中  $\epsilon_x = \epsilon_y = 4\epsilon_0$ ， $\epsilon_z = 9\epsilon_0$ ，磁导率为

$\mu_0$ 。求单轴媒质里，电场沿  $y$  方向极化的电磁波波数  $k_x$ 。

(3) 在 (2) 中，令入射波为右旋圆极化波，求单轴媒质的最小厚度  $d$ ，使得电磁波透过该媒质后转化为左旋圆极化波。



# 实验作业

通过**MATLAB**、**COMSOL**等软件来仿真如下的实例。

第五章 介质中的波：

仿真一维单频率电磁波通过带损耗的介质的情况；

画出左旋和右旋偏振光在三维空间传播的具体形状。