

# **Chapter 2**

Crystal Binding (晶体的结合)

### **Objectives**



> To understand the 5 fundamental types of crystal binding;

> To learn the regularity of crystal binding.



# Outline

• Chapter 2.1 Basics of Crystal Binding (晶体结合的基本性质)

• Chapter 2.2 The 5 Types of Crystal Binding (晶体结合的5种类型)

Ionic Binding (离子型结合)

Covalent Binding (共价型结合)

Metallic Binding (金属型结合)

van der Waals Binding (范德瓦耳斯型结合)

Hydrogen Binding (氢键型结合)

• Chapter 2.3 Regularity of Crystal Binding (晶体结合的规律性)



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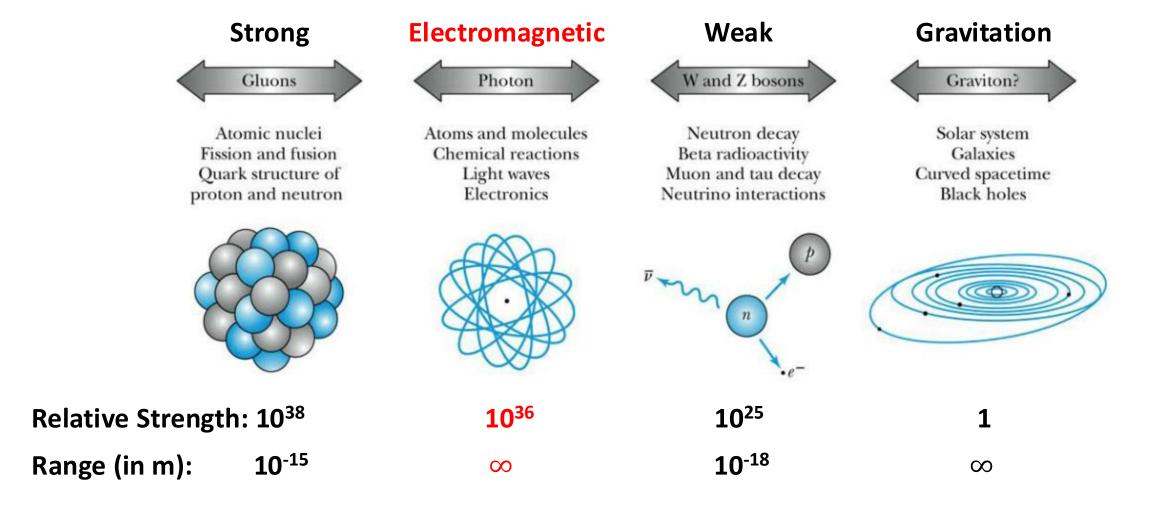
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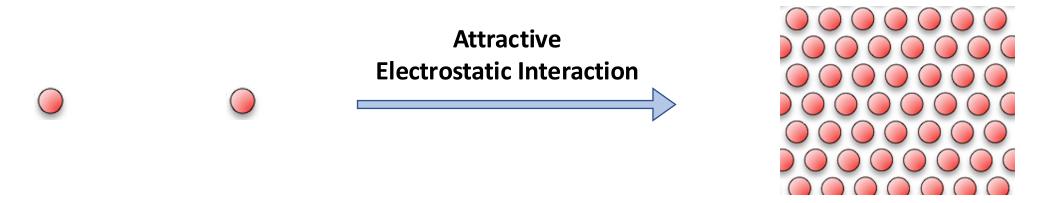


- What holds a crystal together?
  - **Four fundamental interactions (forces)** in the universe:





- What holds a crystal together?
  - ❖ The **attractive electrostatic interaction** (静电吸引相互作用) between the negative charges of **electrons** and the positive charges of **nuclei** is entirely responsible for the cohesion of solids.



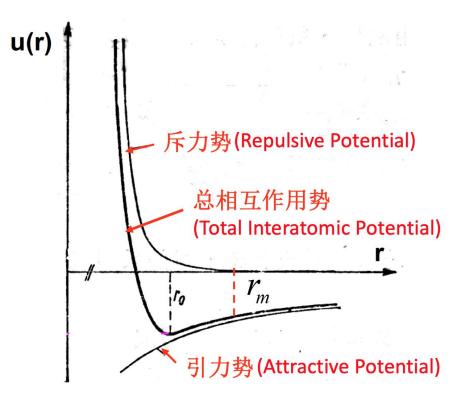
Free Particles
Sum of Energies High

Hold together to Form a Crystal Total Energy **Low** 



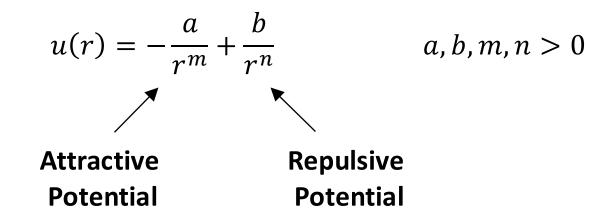
- ➤ Interatomic Interactions (原子间相互作用)
  - ❖ In general, there are 2 types of interatomic interactions:
    - 1) repulsion (斥力)
    - 2) attraction (引力)

❖ Both repulsion and attraction are dependent of interatomic distance *r*.





- ➤ Interatomic Interactions (原子间相互作用)
  - ❖ Total interatomic potential:





- ➤ Interatomic Interactions (原子间相互作用)
  - To bind two atoms together, it requires:

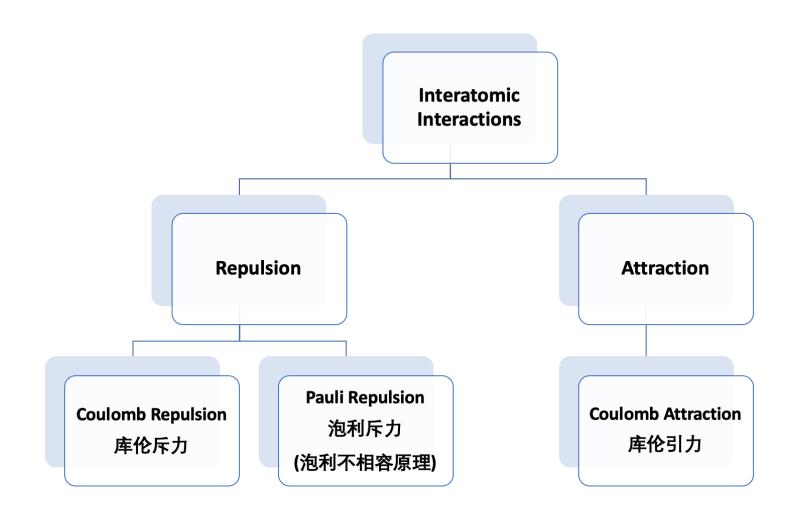
$$f(r) = -\frac{\partial u(r)}{\partial r}\Big|_{r_0} = 0$$

$$\frac{\partial^2 u(r)}{\partial r^2}\Big|_{r_0} > 0$$

$$n > m$$

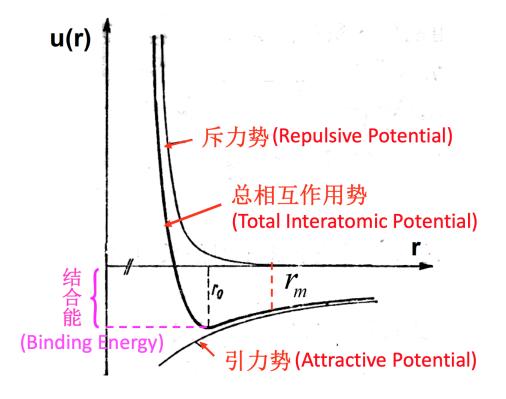


➤ Interatomic Interactions (原子间相互作用)





- ➢ Binding Energy of Solids (固体的结合能)
  - ❖ Binding energy (结合能), also called cohesive energy (内聚能), is defined as the energy consumed by separating a solid into static neutral free particles that are infinitely far from each other in distance.





### ➢ Binding Energy of Solids (固体的结合能)

 $\clubsuit$  In practice, the binding energy  $E_{\mathbf{b}}$  of a solid can be calculated by the difference between the sum of energies  $E_N$  of N constituent free particles and the total energy  $U_0$  of the solid:

$$E_{\mathbf{b}} = E_N - U_{\mathbf{0}}$$

If we set  $E_N = 0$ , it is obtained that  $E_b = -U_0$ .



- ➤ Binding Energy of Solids (固体的结合能)
  - $\clubsuit$  In general, the contributions to the total energy  $U_0$  of a solid include:
    - 1. The interatomic interaction energy (原子间相互作用能) at T=0 K;
    - 2. The lattice vibration energy (晶格振动能) at finite temperature ( $T \neq 0$  K);
    - 3. The zero-point energy (零点能) at T=0 K;
    - 4. The energy as a result of defects (缺陷能);
    - 5. ......



#### ➤ Fundamental Types of Crystal Binding (晶体结合的基本类型)

Ionic Binding 离子型结合 By electrostatic attraction between **oppositely charged ions**.

Covalent Binding 共价型结合

By **shared electron pairs** between atoms.

**Crystal Binding** 

Metallic Binding 金属型结合

By electrostatic attraction between **conduction electrons** and positively charged **metal ions**.

van der Waals Binding 范德瓦尔斯型结合

By interaction between polar and non-polar atoms or molecules.

Hydrogen Binding 氢键型结合

By electrostatic attraction between a **hydrogen** and **another adjacent atom**.



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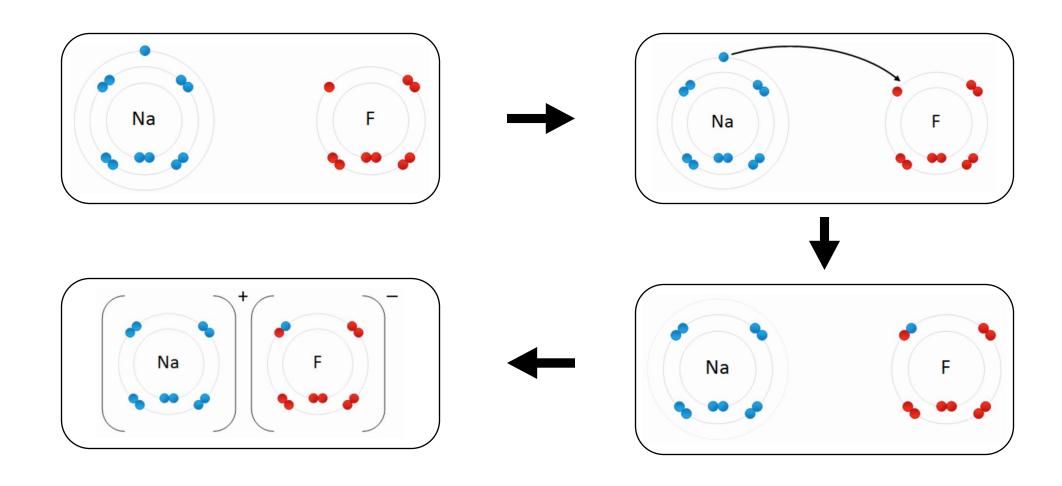
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### ➤ Ionic Bond (离子键)

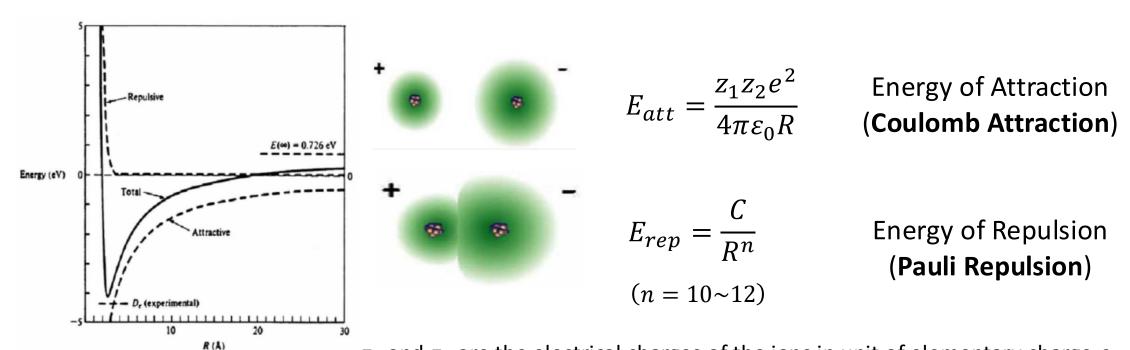
An example of how an ionic bond is formed between the atoms of Na and F:





### ➤ Ionic Bond (离子键)

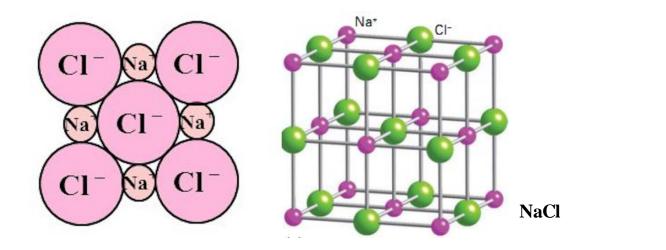
❖ Ionic bond is the result of **electrostatic (Coulomb) attraction** between ions of opposite charges that are viewed as **charged spheres**.

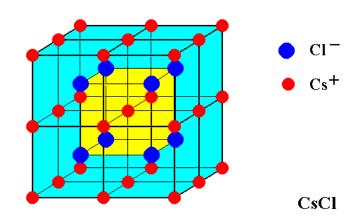


 $z_1$  and  $z_2$  are the electrical charges of the ions in unit of elementary charge e.  $\varepsilon_0$  is the dielectric permittivity of vacuum. R is the distance between the nuclei.



- ➤ Ionic Crystals (离子晶体)
  - ❖ Ionic crystals are formed as a result of ionic binding (bond) in the crystals.
  - ❖ Typical ionic crystals are compounds consisting of alkali metals (碱金属) and halogen elements (卤族元素), such as NaCl, CsCl, NaF, ZnS...







### Binding Energy of Ionic Crystals

The interaction potential between any two ions:

$$u(r_{ij}) = \pm \frac{z_1 z_2 e^2}{4\pi \varepsilon_0 r_{ij}} + \frac{b}{r_{ij}^n}$$

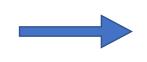
 $\clubsuit$  The total interaction potential (binding energy) of an ionic crystal with N ions:

$$U = \frac{N}{2} \sum_{j(\neq i)}^{N} u(r_{ij}) = \frac{N}{2} \sum_{j(\neq i)}^{N} \left( \pm \frac{z_1 z_2 e^2}{4\pi \varepsilon_0 r_{ij}} + \frac{b}{r_{ij}^n} \right)$$



### **Binding Energy of Ionic Crystals**

set  $r_{ij} = m_i r$  (r: distance between two nearest-neighbor ions)



$$U = \frac{N}{2} \left( -\frac{z_1 z_2 e^2}{4\pi \varepsilon_0 r} M + \frac{B}{r^n} \right)$$

$$M = \sum_{j(\neq i)}^{N} \left( \pm \frac{1}{m_j} \right) \qquad B = \sum_{j(\neq i)}^{N} \frac{b}{m_j^n}$$

❖ In real ionic crystals, the typical binding energy of an ionic bond is about 6.5 ~16.0 eV.



- ➤ Madelung Constant (马德隆常数)
  - Madelung constant is a dimensionless constant used in determining the electrostatic potential of a single ion in an ionic crystal by approximating the ions by point charges.

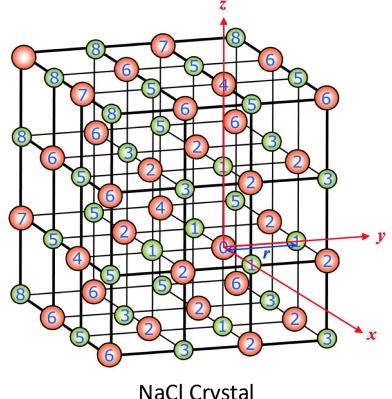
$$M = \sum_{j(\neq i)}^{N} \left( \pm \frac{1}{m_j} \right)$$



### **➢ Madelung Constant (马德隆常数)**

❖ In the case of NaCl:

$$M = \sum_{j(\neq i)}^{N} \frac{(-1)^{(m_{jx} + m_{jy} + m_{jz})}}{(m_{jx}^2 + m_{jy}^2 + m_{jz}^2)^{1/2}}$$



NaCl Crystal



### ➤ Madelung Constant (马德隆常数)

Madelung constant for some real ionic crystals:

Crystal Structure	M
NaCl	1.747565
CsCl	1.762675
ZnS (Cubic)	1.6381
ZnS (Hexagonal)	1.641
CaF <sub>2</sub>	5.039
TiO <sub>2</sub>	4.816



### ➤ Characteristics of Ionic Binding (离子型结合的特点)

- i. The electronic configurations of ions correspond to closed electronic shells (离子的电 子组态都为闭壳层结构);
- ii. The charge distributions of ions have approximately spherical symmetry (离子电荷分布 近似球对称);
- iii. Large binding energy and high melting point (结合能大,熔点高);
- iv. No directionality and saturation of ionic bonds (离子键没有方向性和饱和性).



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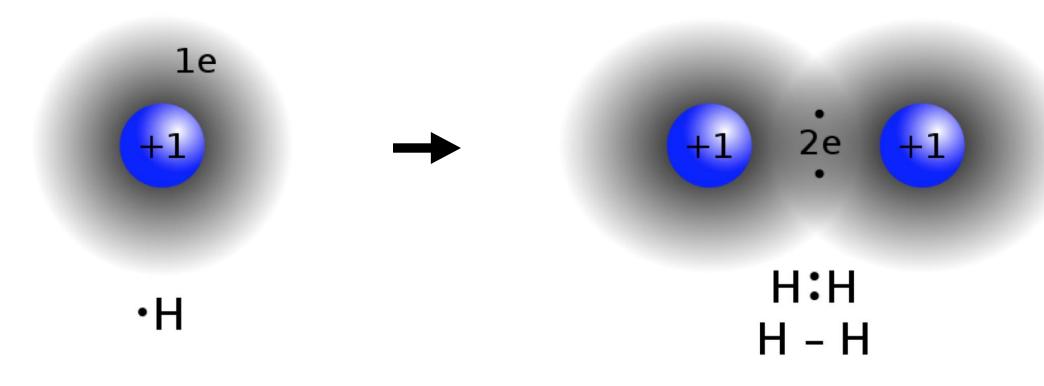
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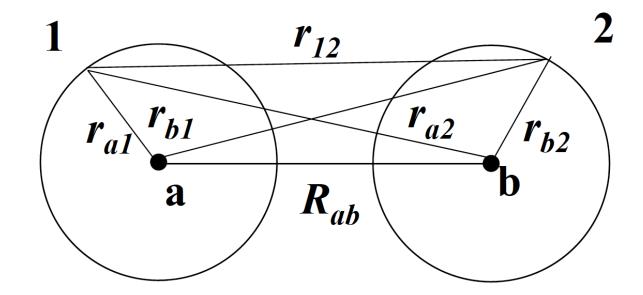


- ➤ Covalent Bond (共价键)
- ❖ An example of how a covalent bond is formed between two H atoms:





- ➤ Covalent Bond (共价键)
- $\diamond$  The mechanism of covalent bonding (H<sub>2</sub> as an example):

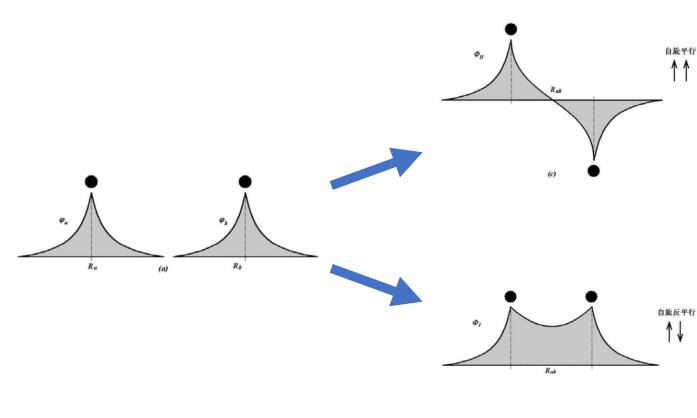


$$\hat{H} = -\frac{\hbar^2}{2m} (\nabla_1^2 + \nabla_2^2) - \frac{e^2}{r_{a1}} - \frac{e^2}{r_{b2}} - \frac{e^2}{r_{a2}} - \frac{e^2}{r_{b1}} + \frac{e^2}{r_{12}} + \frac{e^2}{R_{ab}}$$

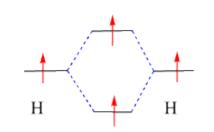


### ➤ Covalent Bond (共价键)

❖ The mechanism of covalent bonding (H₂ as an example):



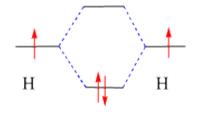




$$\Phi_{II} = c_2 [\varphi_a^1 \varphi_b^2 - \varphi_a^2 \varphi_b^1] \chi_S$$

$$E_{II} = K - J$$

#### **High Energy State**



$$\Phi_I = c_1 [\varphi_a^1 \varphi_b^2 + \varphi_a^2 \varphi_b^1] \chi_A$$

$$E_I = K + J$$

**Low Energy State** 



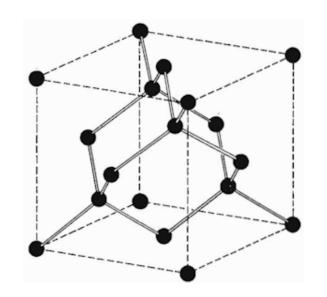
### ➤ Covalent Bond (共价键)

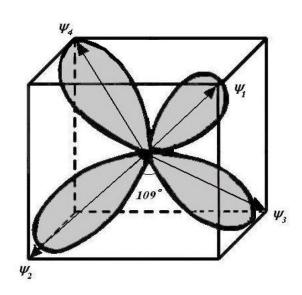
- ❖ The directionality of covalent bond (共价键的**方向性**):
  - a) The "directionality" of covalent bond indicates that the covalent bond can be formed only along specific directions.
  - b) The strength of a covalent bond is determined by the overlap between the two atomic orbitals forming the bond, which are **direction dependent**.
  - c) A phenomenon underlying the importance of directionality of covalent bond is "orbital hybridization" (轨道杂化), such as  $sp^3$ ,  $sp^2$ , and sp hybridization.



### ➤ Covalent Bond (共价键)

❖ The directionality of covalent bond (共价键的**方向性**):





$$\begin{cases} \psi_{1} = \frac{1}{2} (\varphi_{2s} + \varphi_{2p_{X}} + \varphi_{2p_{y}} + \varphi_{2p_{Z}}) & [111] \\ \psi_{2} = \frac{1}{2} (\varphi_{2s} + \varphi_{2p_{X}} - \varphi_{2p_{y}} - \varphi_{2p_{Z}}) & [1\overline{1}\overline{1}] \end{cases}$$

$$\begin{cases} \psi_{3} = \frac{1}{2} (\varphi_{2s} - \varphi_{2p_{X}} + \varphi_{2p_{y}} - \varphi_{2p_{Z}}) & [\overline{1}\overline{1}\overline{1}] \end{cases}$$

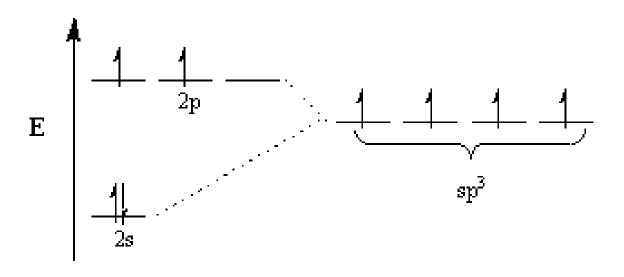
$$\psi_{4} = \frac{1}{2} (\varphi_{2s} - \varphi_{2p_{X}} - \varphi_{2p_{y}} + \varphi_{2p_{Z}}) & [\overline{1}\overline{1}] \end{cases}$$



### ➤ Covalent Bond (共价键)

❖ The directionality of covalent bond (共价键的方向性):

e.g.,  $sp^3$  hybridization ( $sp^3$ 杂化):

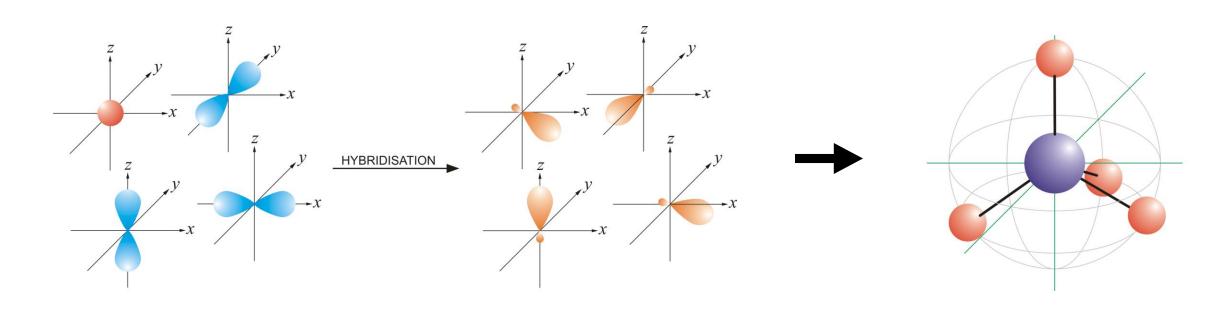




### ➤ Covalent Bond (共价键)

❖ The directionality of covalent bond (共价键的方向性):

e.g., *sp*<sup>3</sup> hybridization (*sp*<sup>3</sup>杂化):





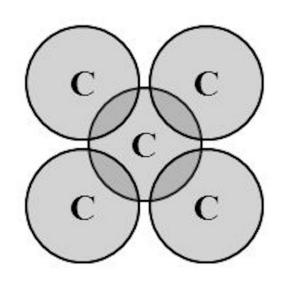
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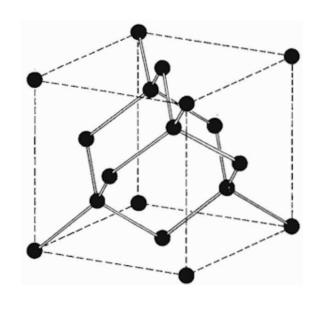
- ❖ The **saturation** of covalent bond (共价键的**饱和性**)
  - a) There exists a **maximum number of covalent bonds** that an atom can form, which is determined by the number of (unpaired) valence electrons of the atom.
  - b) Covalent bond can be formed only by **unpaired electrons**.
  - c) Basically, when the valence shell of an atom is no more than half filled, the maximum number of covalent bonds  $N_{\max}$  is equal to the number of valence electrons N, i.e.,  $N_{\max} = N$ ; when the valence shell is more than half filled,  $N_{\max} < N$ .

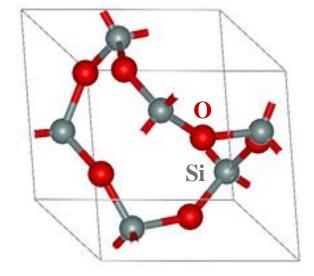


### ➤ Covalent Crystals (共价晶体)

- Covalent crystals are formed as a result of covalent binding (bond) in the crystals.
- ❖ Typical covalent crystals include C (diamond), Si, Ge, SiO₂, GaAs...







C (Si, Ge)

 $SiO_2$ 



#### Binding Energy of Covalent Crystals

- ❖ The binding energies of covalent crystals are too complicated to calculate analytically.
- ❖ They are usually evaluated by means of **quantum chemistry methods**, such as density functional theory (DFT).

#### 共价晶体结合能的计算结果与实验的比较

		晶格常数	结合能	体积弹性模量
	计算值	3. 603Å	7.58 eV/原子	4. 33×10 <sup>11</sup> Pa
С	实验值	3. 567Å	7.37 eV/原子	4. 43×10 <sup>11</sup> Pa
	%误差	1%	3%	-2%
	计算值	5. 451 <b>Å</b>	4.67 eV/原子	0. 98×10 <sup>11</sup> Pa
Si	实验值	5. 429 <b>Å</b>	4.63 eV/原子	$0.99 \times 10^{11} \text{ Pa}$
	%误差	0.4%	1%	-1%
	计算值	5. 655 <b>Å</b>	4.02 eV/原子	0.73×10 <sup>11</sup> Pa
Ge	实验值	5. 652Å	3.85 eV/原子	0.77×10 <sup>11</sup> Pa
	%误差	0.2%	4%	-5%

<sup>\*</sup>摘自朱建国等《固体物理学》, p50

### Chapter 2.2.2: Covalent Binding (共价型结合)



#### ➤ Characteristics of Covalent Binding (共价型结合的特点)

I. The most important characteristics of covalent binding is the "directionality" and "saturation" of covalent bonds (共价键的方向性和饱和性)!

II. The crystal structures are significantly impacted by the "directionality" of covalent bonds (共价键的方向性对晶体结构有重要影响).

III. Covalent binding could have components of ionic binding due to the presence of "polar covalent bonds" ("极性共价键"的存在使得共价型结合也会含有离子型结合的成分).



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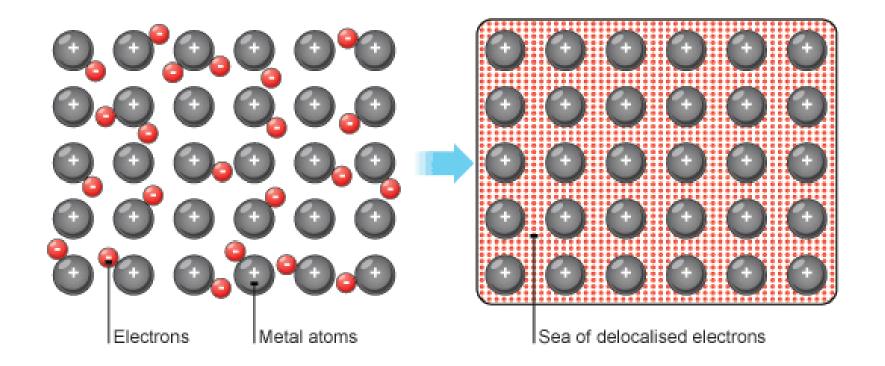
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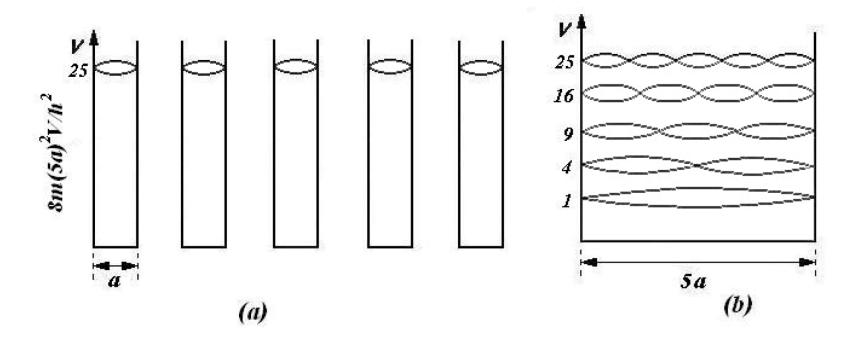


- ➤ Metallic Bond (金属键)
  - ❖ Metallic bond arises from the electrostatic attractive force between a cloud (or "sea") of delocalized electrons and positively charged metal ions.





- ➤ Metallic Bond (金属键)
  - The "delocalization" of electrons in metallic bonding can significantly reduce the total energy of metals.

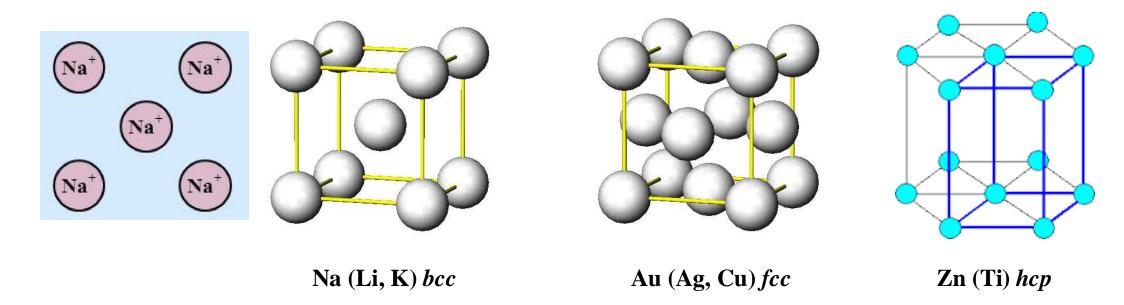


A quantum-well model comparing 5 localized electrons (a) with 5 delocalized electrons (b)



#### ➤ Metals (金属)

- Metals are the materials formed as a result of metallic binding.
- ❖ Typical metals include **alkali metals** (碱金属 such as Li, Na, K, Rb, Cs, Fr) and **transition metals** (过渡金属 such as Au, Ag, Cu, Zn, Ti...)





#### Binding Energy of Metals

Similar to the case of covalent crystals, the analytical calculations of the binding energy of metallic crystals are also very difficult. Quantum chemistry methods (such as DFT and beyond) are very powerful in calculating the binding energies of metals.

典型金属的结合能、晶格常数和体积弹性模量

材料	结合能/(Ry/原子)		晶格常数/原子单位		体积弹性模量/10 <sup>11</sup> Pa	
	实 验	理论	实 验	理论	实 验	理论
Li	0. 122	0. 121	6.60	6. 40	0.132	0.148
Ве	0. 244	0. 294	6.02	5. 93	1. 15	1.35
Na	0.083	0.081	7. 98	7.69	0.085	0.090
Mg	0.112	0. 121	8. 46	8. 42	0.369	0.405
Al	0. 244	0. 282	4.60	7. 59	0. 880	0.801
K	0.069	0.066	9.90	9. 57	0.040	0.044
Ca	0.134	0.164	10.52	10.0	0. 152	0.167
Cu	0. 257	0.309	6.81	6. 79	1. 42	1.58

 $1Ry \approx 13.6 \text{ eV}$ 

1~4 eV



➤ Characteristics of Metallic Binding (金属型结合的特点)

I. The **"delocalization" of electrons** is an important characteristic of metallic binding (电子的离域化).

- II. Metals usually have **close packing and large coordination numbers**, such as 12 for transition metals with *fcc* and *hcp* lattice (密堆积与大配位数).
- III. High electrical and thermal conductivity (高导电与导热性).



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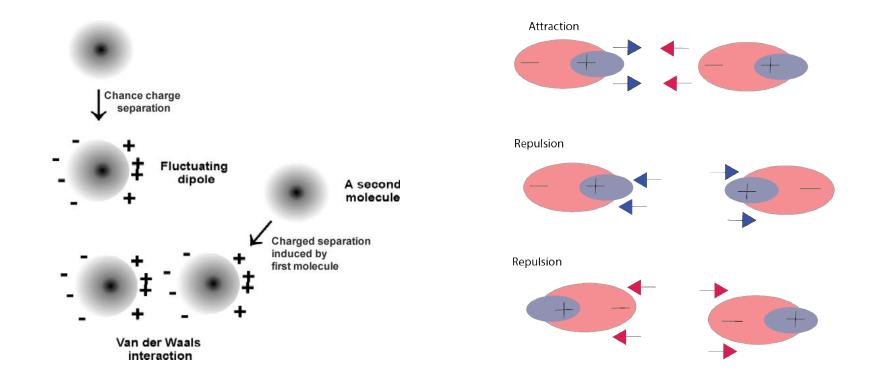
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- ➤ van der Waals Force (范德瓦尔斯力)
  - van der Waals forces are distance-dependent interactions between atoms or molecules.
    They include both attraction and repulsion, caused by correlations in the fluctuating polarizations of nearby particles.





- ➤ van der Waals Force (范德瓦尔斯力)
  - ❖ The three components that constitute to van der Waals forces:

Interaction Component	Origin of Interactions	Equation
Keesom	Dipole-dipole	$w(r) = -\frac{u_1^2 u_2^2}{3(4\pi\varepsilon_o \varepsilon_r)^2 k_B T} \frac{1}{r^6}$
Debye	Dipole – induced dipole	$w(r) = -\frac{u^2 \alpha_o}{(4\pi \epsilon_o \epsilon_r)^2} \frac{1}{r^6}$
London (Dispersion)	Induced Dipole – Induced Dipole	$w(r) = -\frac{3}{2} \frac{\alpha_{o1} \alpha_{o2}}{(4\pi\epsilon_{o})^{2}} \frac{I_{1}I_{2}}{(I_{1} + I_{2})} \frac{1}{r^{6}}$

**❖** The London (dispersion) component is the most dominant.

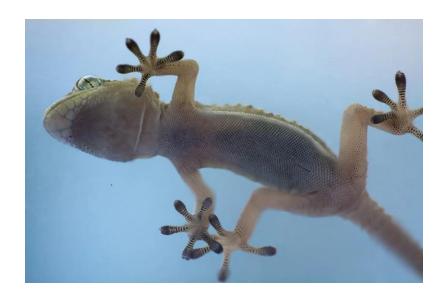


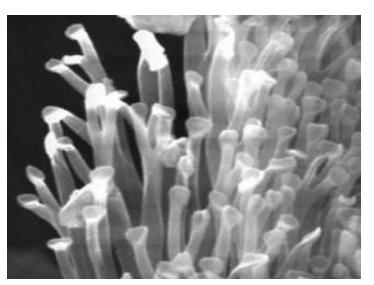
- ➤ van der Waals Force (范德瓦尔斯力)
  - Contribution of the London (dispersion) component to the total energy of interaction:

Molecule pair +	% of the total energy of interaction
Ne-Ne	100
CH <sub>4</sub> -CH <sub>4</sub>	100
HCI-HCI	86
HBr-HBr	96
HI-HI	99
CH <sub>3</sub> CI-CH <sub>3</sub> CI	68
NH <sub>3</sub> -NH <sub>3</sub>	57
H <sub>2</sub> O-H <sub>2</sub> O	24
HCI-HI	96
H <sub>2</sub> O-CH <sub>4</sub>	87



- ➤ van der Waals Force (范德瓦尔斯力)
  - **Examples** of how van der Waals force holds things together:





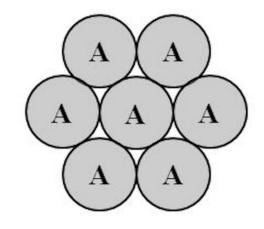


Geckos stick on wall as a result of van der Waals force!

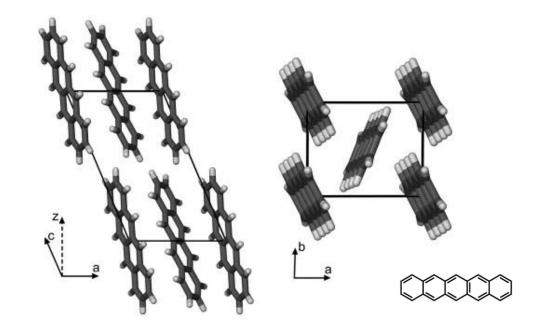


#### ➤ Molecular Crystals (分子晶体)

- ❖ Molecular crystals are formed as a result of van der Waals force.
- ❖ Typical molecular crystals include crystals of inert gas atoms and organic molecules.



Crystals of inert gases (van der Waals)



Organic Molecular Crystals (pentacene)



#### > Binding Energy of Molecular Crystals

- The binding energy of organic molecular crystals are very complex such that it is usually evaluated by means of quantum chemistry calculations.
- ❖ The binding energy of inert gas crystals can be calculated analytically. The interatomic potential can be expressed in terms of the Lennard-Jones potential ("兰纳-琼斯"势):

$$u(r) = 4\varepsilon \left[ \left( \frac{\sigma}{r} \right)^{12} - \left( \frac{\sigma}{r} \right)^{6} \right] \qquad \sigma = \left( \frac{b}{a} \right)^{\frac{1}{6}}, \varepsilon = \frac{a^{2}}{4b}$$

❖ For a crystal with *N* inert gas atoms, the total potential is:

$$U(r) = 2N\varepsilon \left[ A_{12} \left( \frac{\sigma}{r} \right)^{12} - A_6 \left( \frac{\sigma}{r} \right)^6 \right] \qquad A_{12} = \sum_{i(\neq j)} \frac{1}{a_i^{12}} \qquad A_6 = \sum_{i(\neq j)} \frac{1}{a_i^6}$$



#### **➤** Binding Energy of Molecular Crystals

惰性气体元素的固体结合能

	u <sub>实验</sub> (eV/atom)	u <sub>理论</sub> (eV/atom)		
Ne	-0.02	-0.027 ( <del>-</del> 0.019)		
Ar	-0.08	-0.089 (-0.080)		
Kr	-0.11	-0.120 ( <del>-0.113</del> )		
Xe	-0.17	-0.172		

摘自黄昆书p70



### Outline

• Chapter 2.1 Basics of Crystal Binding (晶体结合的基本性质)

• Chapter 2.2 The 5 Types of Crystal Binding (晶体结合的5种类型)

Ionic Binding (离子型结合)

Covalent Binding (共价型结合)

Metallic Binding (金属型结合)

van der Waals Binding (范德瓦耳斯型结合)

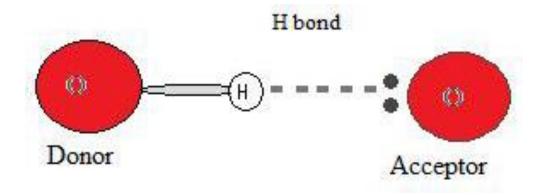
Hydrogen Binding (氢键型结合)

• Chapter 2.3 Regularity of Crystal Binding (晶体结合的规律性)



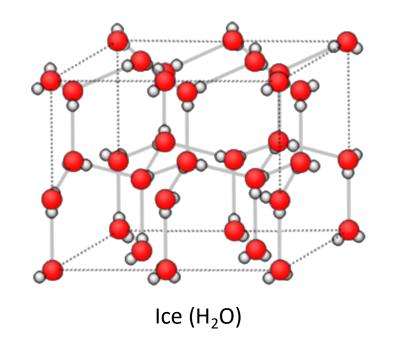
#### ➤ Hydrogen Bond (氢键)

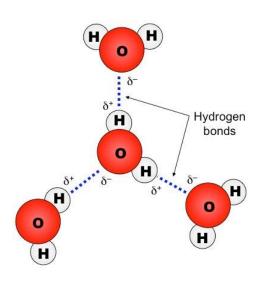
❖ A hydrogen bond is a partially electrostatic attraction between a hydrogen which is bound to a more electronegative atom (such as N, O, or F) and another adjacent atom bearing a lone pair of electrons (孤对电子).





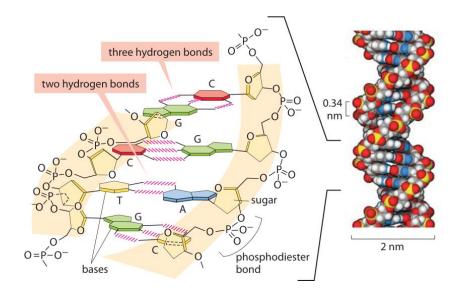
- ➤ Solids with Hydrogen Bond (含有氢键的固体)
  - Typical materials with hydrogen binding include some small molecules (H<sub>2</sub>O, HF) and polymeric molecules (DNA, protein):







- ➤ Solids with Hydrogen Bond (含有氢键的固体)
  - Typical materials with hydrogen binding include some small molecules (H<sub>2</sub>O, HF) and polymeric molecules (DNA, protein):



**DNA** molecules



#### ➤ Characteristics of Hydrogen Bonding (氢键型结合的特点)

I. Hydrogen bonding can occur between molecules (intermolecular) or between different parts of a single molecule (intramolecular).

II. There is no crystal consisting of only hydrogen binding.

III. Hydrogen binding usually works in crystals or molecules where other types of binding dominate.



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#### > Comparison of Different Crystal Binding

The binding energy of solids strongly depends on the types of bonding.

Bondin	ng Type	lonic Bond 离子键	Covalent Bond 共价键	Metallic Bond 金属键	Hydrogen Bond 氢键	Van der Waals Bond 范德瓦尔斯键
Binding Energy	kcal/mol	150 ~ 370	125 ~ 300	25 ~ 200	5 ~ 15	< 5
	eV	6.5 ~ 16.0	5.4 ~ 13.0	1.1 ~ 8.7	0.22 ~ 0.65	< 0.22

<sup>\*</sup>摘自朱建国等《固体物理学》, p59



#### > Comparison of Different Crystal Binding

❖ The binding in real crystals is usually a combination of the 5 fundamental types.

Bond	Strength	Directionality	Localization
Ionic	+	-	+
Covalent	+	+	+
Metallic	+	_	_
Van der Waals	-	no	N/A
Hydrogen	-	yes	N/A



➤ Electronegativity of the Elements (元素的电负性)

The nature of crystal binding is essentially determined by the ability that the atoms lose and obtain electrons, which can be evaluated by their "electronegativity".

There are several ways of defining electronegativity of the elements, such as the definitions by Mulliken, Pauling, and Philips.



➤ Electronegativity of the Elements (元素的电负性)

❖ The Mulliken definination:

$$\chi_{\text{Mulliken}} = 0.18 \times (EA + IP)$$

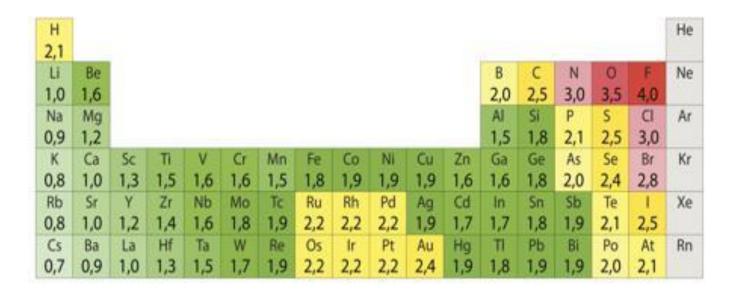
EA: Electron Affinity (亲和势,或**亲和能**) 中性原子十(-e)  $\longrightarrow$  负离子

IP: Ionization Potential (电离势,或**电离能**) 正离子+(一e) → 中性原子



➤ Electronegativity of the Elements (元素的电负性)

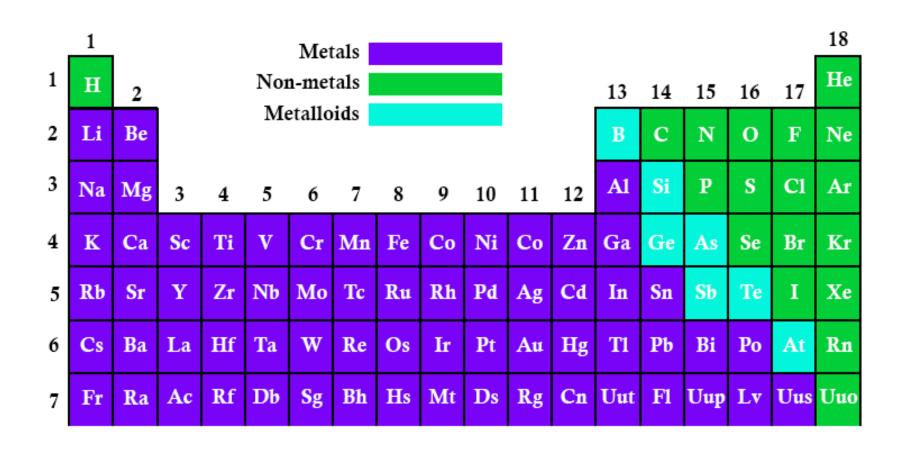
#### **ELECTRONEGATIVITY**



low medium high



➤ Electronegativity of the Elements (元素的电负性)





#### Regularity of Crystal Binding

- □ The elements with **low electronegativity** tend to form **metallic binding** (例如碱金属Li, Na, K...).
- □ The elements with **high electronegativity** tend to form **covalent binding** (例如IV族元素C, Si, Ge, Sn, Pb).
- □ The **combination** of different elements of **very low and very high electronegativity** tends to form **ionic binding** (例如碱金属和卤素的化合物NaCl, CsCl...).
- □ The **combination** of different elements with **similar electronegativity** tends to form **covalent binding** (例如III-V族化合物AIP, GaAs, InSb...)

## Chapter 2: Crystal Binding (晶体的结合)



# Summary (总结)

### Chapter 2: Crystal Binding (晶体的结合)



#### ➤ Summary (总结)

#### **The 5 fundamental types of crystal binding:**

- 1) Ionic binding;
- 2) Covalent binding;
- 3) Metallic binding;
- 4) van der Waals binding;
- 5) Hydrogen binding.
- **❖** The regularity of crystal binding.