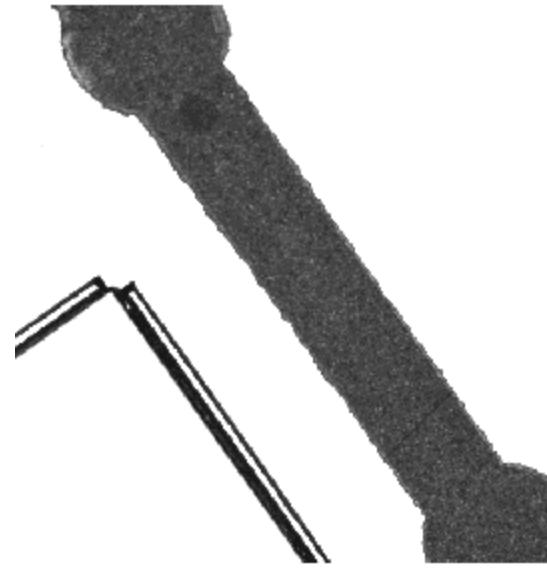
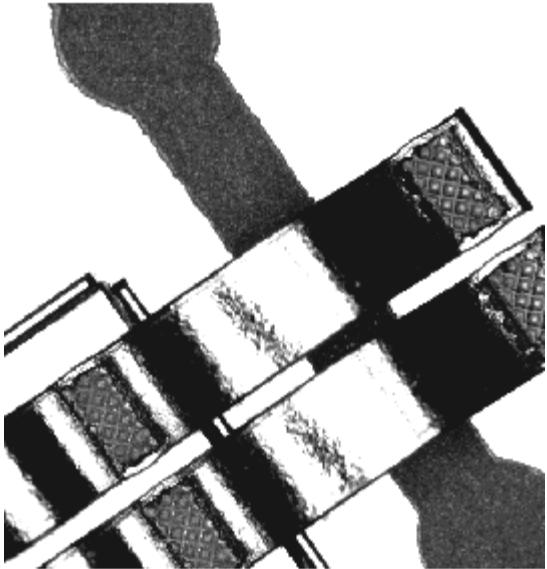


# **Image classification: failure mode detection**



**Niklas Kohlmann, Johannes Münderlein, Aadip Thapaliya**

# Introduction

- Data obtained via:

ChAllengeData  
By MathA

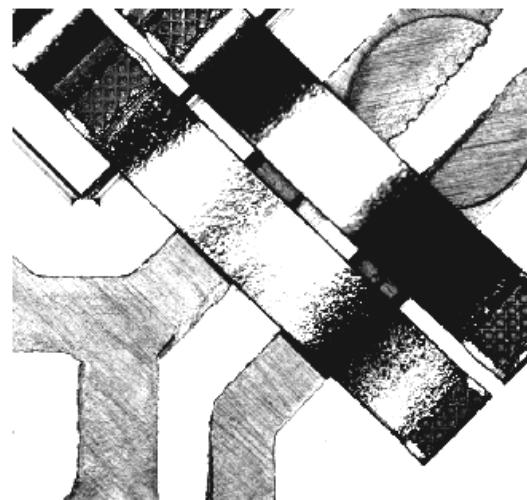
<https://challengedata.ens.fr/participants/challenges/157/>

Classification of images of  
microelectronic components

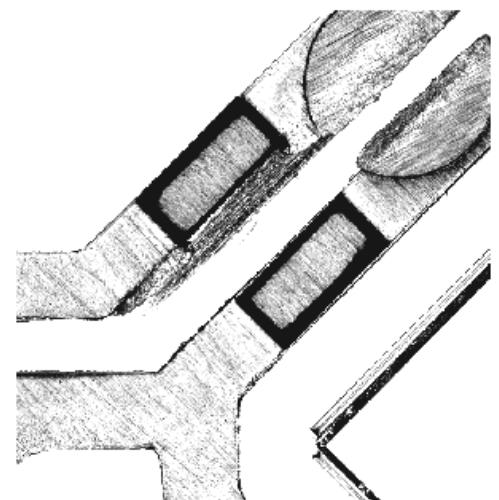
- Data and challenge provided by:



(French-Chinese Electronics company)



Functioning  
component?

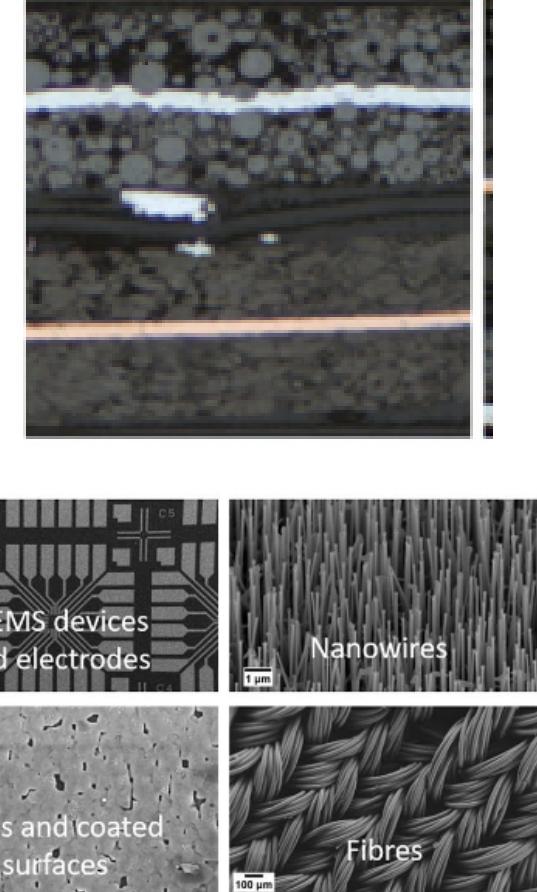


What kind of  
defect?

# Literature review

Literature	Objective	Approach	Key Findings	Relevance to the Project
<i>A Comprehensive Review of Convolutional Neural Networks for Defect Detection in Industrial Applications</i>	Industrial defect detection	CNNs, transfer learning	Overview of models & best practices	Methodological foundation
<i>Image-based defect detection in lithium-ion battery electrode using convolutional neural networks</i>	Battery electrode defects	CNNs, transfer learning	Up to 0.99 F1 score	Strong task similarity
<i>Neural Network for Nanoscience Scanning Electron Microscope Image Recognition</i>	SEM image classification	CNNs, transfer learning	85–95% accuracy	Comparable data & images

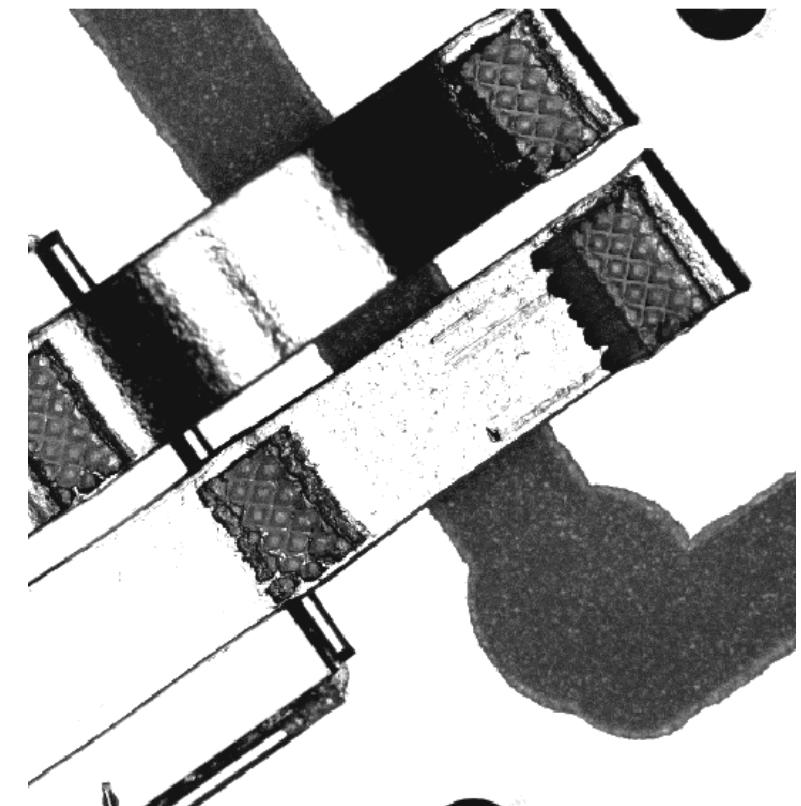
• Typical ImageNet Accuracies: 75 – 85 %



# Dataset characteristics

- ≈8300 images
  - Varying resolution ~500x500 px to 1200x1200 px
  - Images are 8bit grayscale
- Labels and further features are provided as.csv
  - Linked via filename
- features:
  - **Images – image data itself**
  - Lib – type of component / part (die)
  - Window – year
- No feature engineering is performed
- Preprocessing:
  - Normalization
  - Resolution downsampling

Label: Flat Loop



Window: 2005 , Lib: Die01

# Dataset characteristics

## Overview of image classes



0\_GOOD

1\_Flat loop

2\_White lift-off

3\_Black lift-off

4\_Missing

5\_Short circuit  
MOS

6\_Drift

Fully **functioning** including bridge and all connections and thin film layers.

1235 images

Bridge has different appearance. Likely laying flat instead of arching

71 images

Bridge arch shows irregularity: appears more bright.  
Contact wel missing

270 images

Bridge arch shows irregularity: appears more dark

104 images

One or two parts of the bridge are missing.

6472 images

Name indicates unwanted contact.  
Visually not uniquely identifiable

126 images

All data not belonging to classes 0 – 5.  
Including faulty images and otherwise damaged parts.

~ 55  
(different dataset)



**highly imbalanced , visually close**

# Baseline model

**Traditional** machine learning methods (e.g. decision trees or random forests) would require **manual** image preprocessing and feature extraction.



- Very simple convolutional neural network (CNN)
    - Architecture:
      - 1 conv. Layer - maxpooling – 1 dense layer – output layer
  - No data augmentation
  - Images resized to 128 x 128 px
  - Trained on class-balanced dataset
- 
- Surprisingly good performance!
    - Easy or hard to improve upon?

```
model_simple_CNN = tf.keras.Sequential([
    tf.keras.layers.Input((target_size[0], target_size[1], 1)),
    tf.keras.layers.Conv2D(64, (3, 3), activation="relu"),
    tf.keras.layers.MaxPool2D((2, 2)),
    tf.keras.layers.Flatten(),
    tf.keras.layers.Dense(64, activation="relu"),
    tf.keras.layers.Dense(num_classes, activation="softmax"),
])
```

	precision	recall	f1-score
	0.85	0.85	<b>0.85</b>

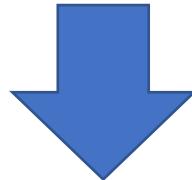
# Model definition and evaluation

Model	Architecture	No. parameters
Simple CNN 01	1 Conv layer, 1 Dense layer	89,719,878
Simple CNN 02	2 Conv layers, 1 Dense layer (more neurons)	43,674,886
Simple CNN 03	3 Conv layers, 2 Dense layers	20,171,846
InceptionV3 transfer	InceptionV3 + dropout + classifier	21,815,078
EfficientNetV2S transfer	EfficientNetV2S + dropout + classifier	20,339,046

# Model definition and evaluation

## Metrics used

- No defective parts should be labelled as functioning
- No functioning part should be labelled as defect
- For class: 0\_Good **precision** is best metric
- For all defective classes **recall** is the best metric
- Typically metrics are averaged over all classes



**F1 score** as main metric

## Training Criteria

- Model performance does not always increase (for validation metrics, i.e. overfitting)
- Early stopping is used
  - Based on val\_loss OR val\_F1

	precision	recall	f1-score	support
0_GOOD	0.89	0.94	0.91	17
1_Flat loop	0.93	0.78	0.85	18
2_White lift-off	0.77	0.71	0.74	14
3_Black lift-off	0.76	1.00	0.87	13
4_Missing	1.00	1.00	1.00	9
5_Short circuit MOS	1.00	0.93	0.97	15
accuracy			0.88	86
macro avg	0.89	0.89	0.89	86
weighted avg	0.89	0.88	0.88	86

Full classification report for better understanding

# Model definition and evaluation

## Implementation of best performing model

```
# inception model where layers are successively unfrozen during training

# Load pre-trained InceptionV3 with correct input size
base_transfer_model_4 = tf.keras.applications.InceptionV3(
    weights='imagenet',
    include_top=False,
    input_shape=(target_size[0], target_size[0], 3)
)

# Freeze all layers of Inception model
base_transfer_model_4.trainable = False

# Simple classification head
# - GlobalAveragePooling2D reduces spatial dimensions
# - Final Dense layer maps to class probabilities
inception_multiPhase_fine_tune_model = tf.keras.Sequential([
    base_transfer_model_4,
    tf.keras.layers.GlobalAveragePooling2D(),
    tf.keras.layers.Dropout(0.2),
    tf.keras.layers.Dense(num_classes, activation = 'softmax')
])
```

## Training in Multiple steps

1. Train Classification layer only
  - “High” learning rate, few epochs
2. Train last 30 layers of Inception
  - “High” learning rate, medium epoch No.
3. Train last 100 layers of inception
  - Low learning rate, high epoch number (patience)

```
#set last 30 layers to be trainable
for layer in base_transfer_model_4.layers[-30:]:
    layer.trainable = True
```

# Results

## Classification report – best performing model

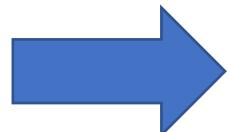
Label	precision	recall	f1-score	support	
0_GOOD	<b>0.99</b>	0.99	0.99	238	→ 
1_Flat loop	0.85	0.73	0.79	15	Ok
2_White lift-off	0.93	<b>0.97</b>	0.95	57	...
3_Black lift-off	0.87	<b>1.00</b>	0.93	13	
4_Missing	1.00	<b>0.99</b>	0.99	1316	
5_Short circuit					
MOS	0.89	<b>0.94</b>	0.91	17	
accuracy	0.99	0.99	0.99	0.99	
macro avg	0.92	0.94	<b>0.93</b>	1656	
weighted avg	0.99	0.99	<b>0.99</b>	1656	

- InceptionV3 transfer learning
- ImageNet weights as starting point
- 3 phases of training
- Target resolution 299 x 299 px
- Full dataset with class weights
- Mild data augmentation

# Results

Best F1 score for each model with corresponding train parameters

model	S-CNN 01	S-CNN 02	S-CNN 03	IncV3 feat.	IncV3 mult.
F1 score	<b>0.92</b>	<b>0.90</b>	<b>0.94</b>	<b>0.92</b>	<b>0.93</b>
Param	299 x 299 px Balanced DS Focal loss	128 x 128 px Balanced DS	256 x 256 px Balanced DS	256 x 256 px Balanced DS	299 x 299 px Unbalanced DS Class weights



- Models have best performances at different parameters
- Augmentation has little to no effect (overfitting not limiting factor)
- Can the values from balanced dataset be trusted?

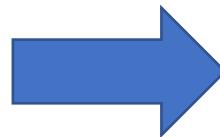
# Results

## Model F1 scores for given training parameters

model	S-CNN 01	S-CNN 02	S-CNN 03	IncV3 feat.	IncV3 mult.	EfficientNet V2S mult.
F1 score	<b>0.84</b>	<b>0.90</b>	<b>0.79</b>	<b>0.72</b>	<b>0.93</b>	<b>0.85</b>

### Parameters:

- Target resolution 299x299 px
- Mild data augmentation
- **Unbalanced Dataset**
- Using class weights
- ‘normal’ loss (categorical crossentropy)

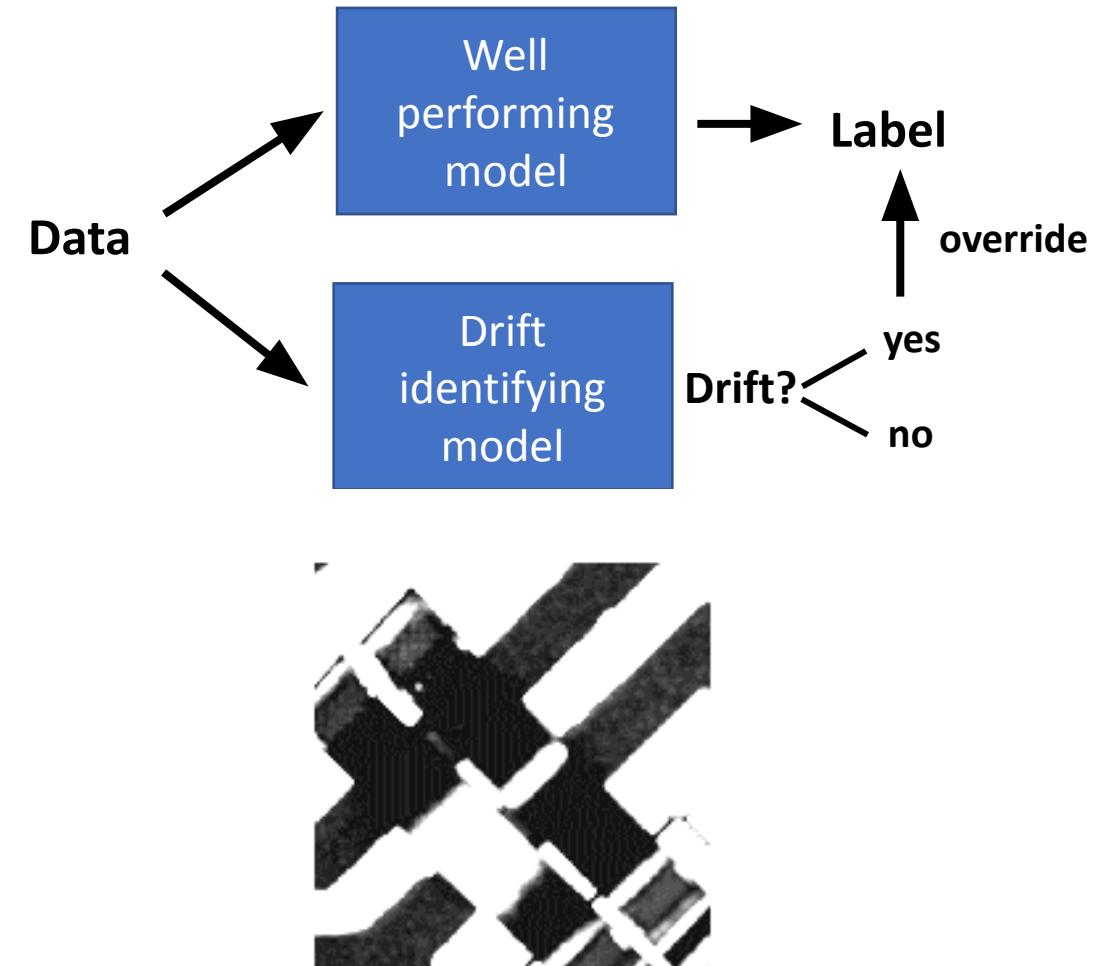


- Multi Phase transfer learning based on Inception V3 architecture is clearly best model
- Larger dataset (unbalanced) give higher trust in the results

# Results

## Classifying the drift class

- No Drift class images in training set
  - Only occur in public and private test sets for submission
  - No true labels available for these sets
- Manually label the test set (focus in drift)
- Summarize labels 0-5 into “regular”
- Train a Model on the newly available dataset with 2 classes
- Transfer learning from previous best performing model
  - So far **only F1 score of 0.4** for the drift class achieved
- Drift images SHOULD be labelled correctly (heavily penalized in submission)



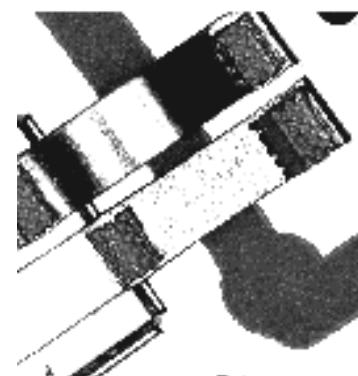
# Challenges and errors

## solved

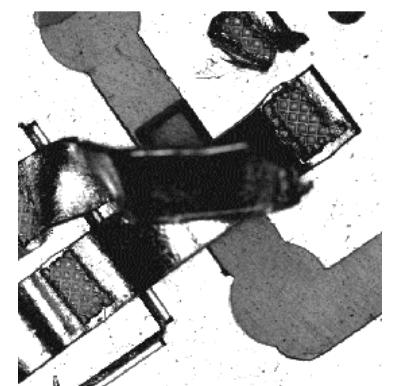
- Augmentation massively reduced performance
  - Removed image flipping
- Elegant and effective way of handling image data + table
  - Legacy method: ImageDataGenerator + pandas dataframes
- Transfer learning performance not as expected
  - Used multi phase learning
- Repeated training of models stopped early unpredictably
  - Delete variables: history, model, memory handling

## ongoing

- Validation metrics start out ‘too good’
  - Used for early stopping
- Low performance on Drift class detection
- Low performance on flat loop class



flat loop



Drift

# Discussion

- Model F1 score could be increased
- Model performance depends strongly on hyperparameters AND training methodology
- Computer Vision is (as expected) a prime example for successful machine learning application
- When can (good) model performance be “trusted”?
  - Will it translate to a wholly independent dataset?

# Conclusion and outlook

- The classification task was solved with good performance using CNNs
- Model performance depends strongly on hyperparameters AND training methodology
- Computer Vision is (as expected) a prime example for successful machine learning application
- Usage of more modern model architectures (i.e. transformers)
- Systematically and automatically vary hyperparameters
- Implement other methods to tackle low amount of training data
- Different methods of identifying drift data?
- Custom loss functions

**Thank you for the attention!**

**... any questions?**