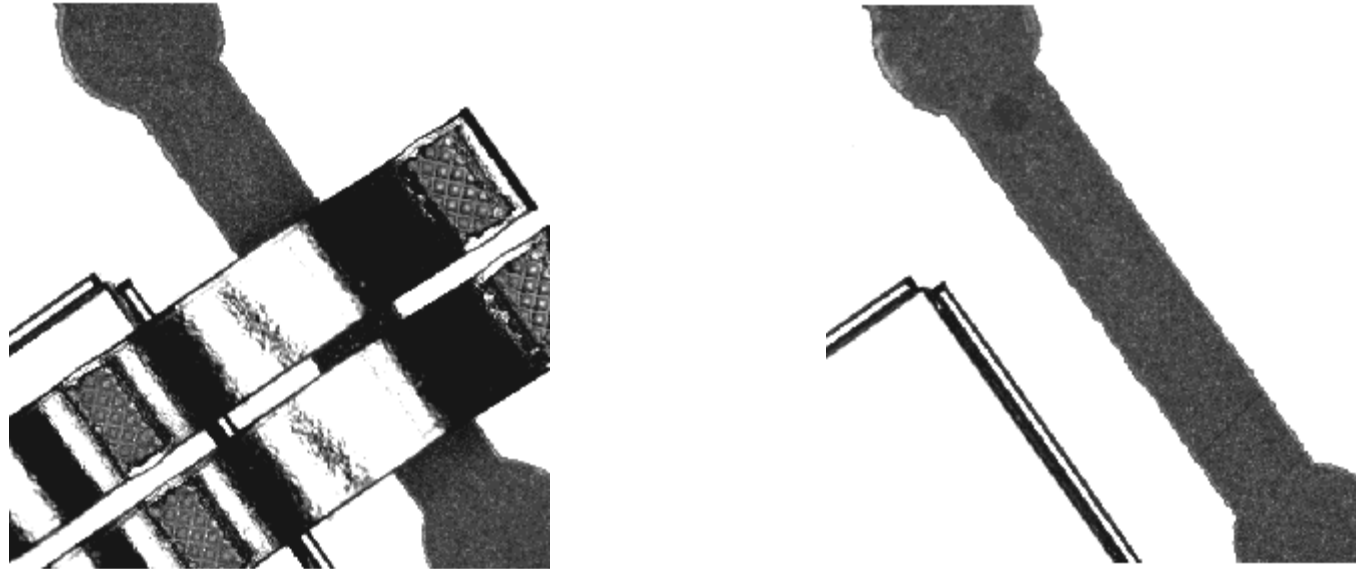


# Image classification: failure mode detection



**Niklas Kohlmann, Johannes Münderlein, Aadip Thapaliya**

# Introduction

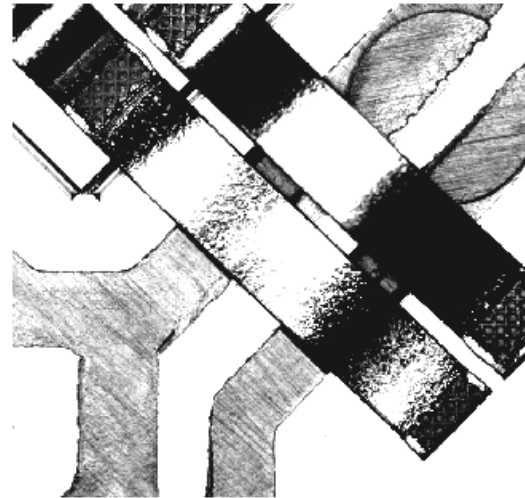
- Data obtained via:   
<https://challengedata.ens.fr/participants/challenges/157/>

**Classification of images of  
microelectronic components**

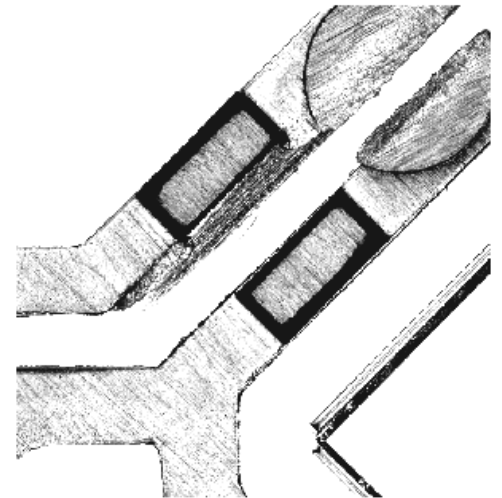
- Data and challenge provided by:



(French-Chinese Electronics company)



Functioning  
component?

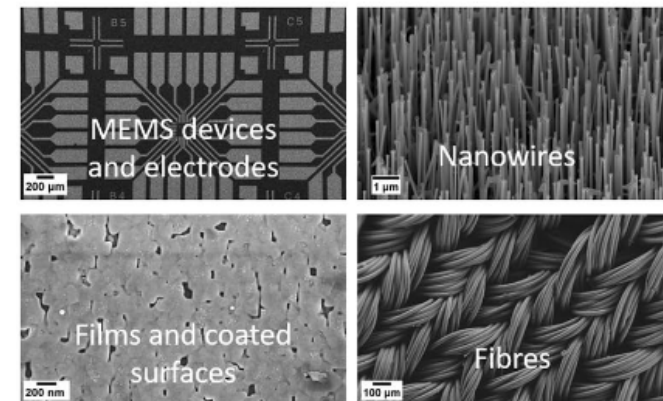
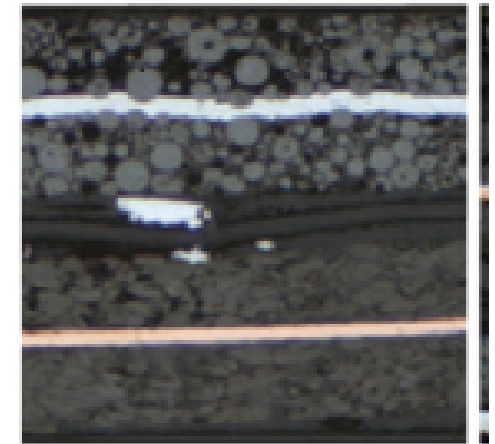


What kind of  
defect?

# Literature review

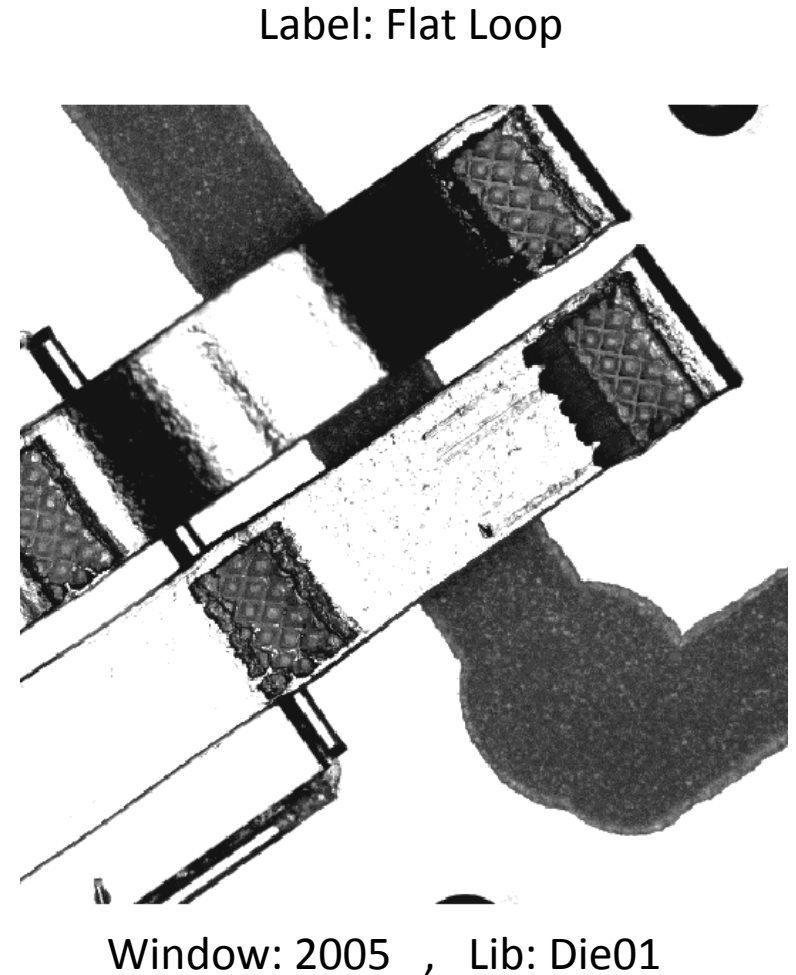
Literature	Objective	Approach	Key Findings	Relevance to the Project
<i>A Comprehensive Review of Convolutional Neural Networks for Defect Detection in Industrial Applications</i>	Industrial defect detection	CNNs, transfer learning	Overview of models & best practices	Methodological foundation
<i>Image-based defect detection in lithium-ion battery electrode using convolutional neural networks</i>	Battery electrode defects	CNNs, transfer learning	Up to 0.99 F1 score	Strong task similarity
<i>Neural Network for Nanoscience Scanning Electron Microscope Image Recognition</i>	SEM image classification	CNNs, transfer learning	85–95% accuracy	Comparable data & images

- Typical ImageNet Accuracies: 75 – 85 %



# Dataset characteristics

- ≈8300 images
  - Varying resolution ~500x500 px to 1200x1200 px
  - Images are 8bit grayscale
- Labels and further features are provided as.csv
  - Linked via filename
- features:
  - **Images – image data itself**
  - Lib – type of component / part (die)
  - Window – year
- No feature engineering is performed
- Preprocessing:
  - Normalization
  - Resolution downsampling



# Dataset characteristics

## Overview of image classes



0\_GOOD

1\_Flat loop

2\_White lift-off

3\_Black lift-off

4\_Missing

5\_Short circuit  
MOS

6\_Drift

Fully **functioning** including bridge and all connections and thin film layers.

Bridge has different appearance. Likely laying flat instead of arching

Bridge arch shows irregularity: appears more bright.  
Contact wel missing

Bridge arch shows irregularity: appears more dark

One or two parts of the bridge are missing.

Name indicates unwanted contact. Visually not uniquely identifiable

All data not belonging to classes 0 – 5. Including faulty images and otherwise damaged parts.

1235 images

71 images

270 images

104 images

6472 images

126 images

~ 55  
(different dataset)



highly imbalanced , visually close

# Baseline model

**Traditional** machine learning methods (e.g. decision trees or random forests) would require **manual** image preprocessing and feature extraction.



- Very simple convolutional neural network (CNN)
  - Architecture:
    - 1 conv. Layer - maxpooling – 1 dense layer – output layer
- No data augmentation
- Images resized to 128 x 128 px
- Trained on class-balanced dataset

```
model_simple_CNN = tf.keras.Sequential([
    tf.keras.layers.Input((target_size[0], target_size[1], 1)),
    tf.keras.layers.Conv2D(64, (3, 3), activation="relu"),
    tf.keras.layers.MaxPool2D((2, 2)),
    tf.keras.layers.Flatten(),
    tf.keras.layers.Dense(64, activation="relu"),
    tf.keras.layers.Dense(num_classes, activation="softmax"),
])
```

- Surprisingly good performance!
  - Easy or hard to improve upon?

precision	recall	f1-score
0.85	0.85	<b>0.85</b>

# Model definition and evaluation

Model	Architecture	No. parameters
Simple CNN 01	1 Conv layer, 1 Dense layer	89,719,878
Simple CNN 02	2 Conv layers, 1 Dense layer (more neurons)	43,674,886
Simple CNN 03	3 Conv layers, 2 Dense layers	20,171,846
InceptionV3 transfer	InceptionV3 + dropout + classifier	21,815,078
EfficientNetV2S transfer	EfficientNetV2S + dropout + classifier	20,339,046

# Model definition and evaluation

## Metrics used

- No defective parts should be labelled as functioning
- No functioning part should be labelled as defect
- For class: 0\_Good **precision** is best metric
- For all defective classes **recall** is the best metric
- Typically metrics are averaged over all classes



**F1 score** as main metric

## Training Criteria

- Model performance does not always increase (for validation metrics, i.e. overfitting)
- Early stopping is used
  - Based on val\_loss OR val\_F1

	precision	recall	f1-score	support
0_GOOD	0.89	0.94	0.91	17
1_Flat loop	0.93	0.78	0.85	18
2_White lift-off	0.77	0.71	0.74	14
3_Black lift-off	0.76	1.00	0.87	13
4_Missing	1.00	1.00	1.00	9
5_Short circuit MOS	1.00	0.93	0.97	15
accuracy			0.88	86
macro avg	0.89	0.89	0.89	86
weighted avg	0.89	0.88	0.88	86

Full classification report for better understanding



# Model definition and evaluation

## Implementation of best performing model

```
# inception model where layers are successively unfrozen during training

# Load pre-trained InceptionV3 with correct input size
base_transfer_model_4 = tf.keras.applications.InceptionV3(
    weights='imagenet',
    include_top=False,
    input_shape=(target_size[0], target_size[0], 3)
)

# Freeze all layers of Inception model
base_transfer_model_4.trainable = False

# Simple classification head
# - GlobalAveragePooling2D reduces spatial dimensions
# - Final Dense layer maps to class probabilities
inception_multiPhase_fine_tune_model = tf.keras.Sequential([
    base_transfer_model_4,
    tf.keras.layers.GlobalAveragePooling2D(),
    tf.keras.layers.Dropout(0.2),
    tf.keras.layers.Dense(num_classes, activation = 'softmax')
])
```

## Training in Multiple steps

1. Train Classification layer only
  - “High” learning rate, few epochs
2. Train last 30 layers of Inception

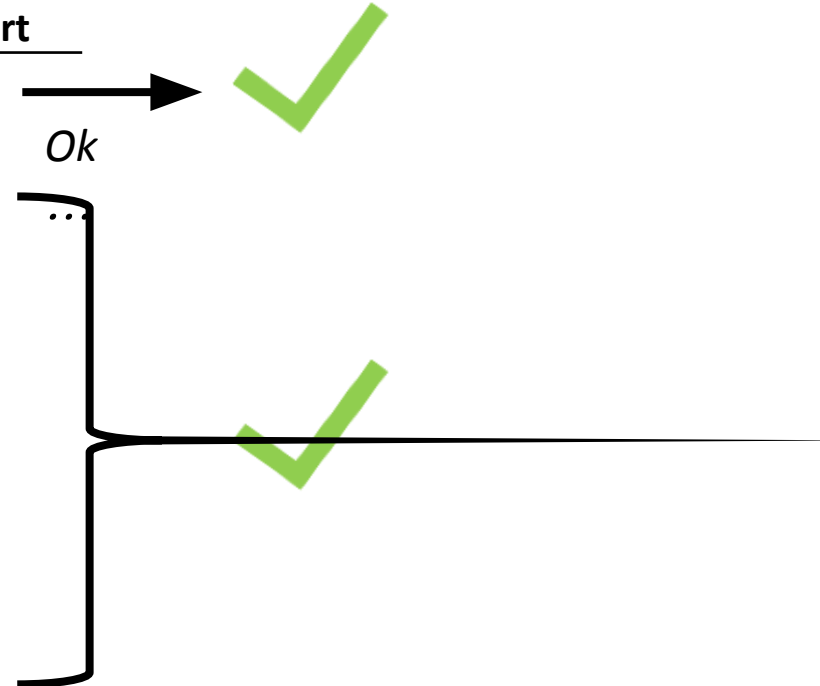
```
#set last 30 layers to be trainable
for layer in base_transfer_model_4.layers[-30:]:
    layer.trainable = True
```

- “High” learning rate, medium epoch No.
3. Train last 100 layers of inception
    - Low learning rate, high epoch number (patience)

# Results

## Classification report – best performing model

Label	precision	recall	f1-score	support
0_GOOD	<b>0.99</b>	0.99	0.99	238
1_Flat loop	0.85	0.73	0.79	15
2_White lift-off	0.93	<b>0.97</b>	0.95	57
3_Black lift-off	0.87	<b>1.00</b>	0.93	13
4_Missing	1.00	<b>0.99</b>	0.99	1316
5_Short circuit MOS	0.89	<b>0.94</b>	0.91	17
accuracy	0.99	0.99	0.99	0.99
macro avg	0.92	0.94	<b>0.93</b>	1656
weighted avg	0.99	0.99	<b>0.99</b>	1656



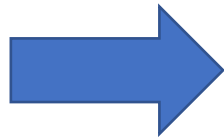
- InceptionV3 transfer learning
- ImageNet weights as starting point
- 3 phases of training

- Target resolution 299 x 299 px
- Full dataset with class weights
- Mild data augmentation

# Results

Best F1 score for each model with corresponding train parameters

model	S-CNN 01	S-CNN 02	S-CNN 03	IncV3 feat.	IncV3 mult.
F1 score	<b>0.92</b>	<b>0.90</b>	<b>0.94</b>	<b>0.92</b>	<b>0.93</b>
Param	299 x 299 px Balanced DS Focal loss	128 x 128 px Balanced DS	256 x 256 px Balanced DS	256 x 256 px Balanced DS	299 x 299 px Unbalanced DS Class weights



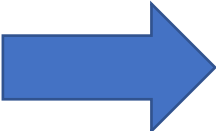
- Models have best performances at different parameters
- Augmentation has little to no effect (overfitting not limiting factor)
- Can the values from balanced dataset be trusted?

# Results

Model F1 scores for given training parameters

model	S-CNN 01	S-CNN 02	S-CNN 03	IncV3 feat.	IncV3 mult.	EfficientNet V2S mult.
F1 score	<b>0.84</b>	<b>0.90</b>	<b>0.79</b>	<b>0.72</b>	<b>0.93</b>	<b>0.85</b>

## Parameters:

- Target resolution 299x299 px
  - Mild data augmentation
  - **Unbalanced Dataset**
  - Using class weights
  - 'normal' loss (categorical crossentropy)
- 
- Multi Phase transfer learning based on Inception V3 architecture is clearly best model
  - Larger dataset (unbalanced) give higher trust in the results

# Results

## Classifying the drift class

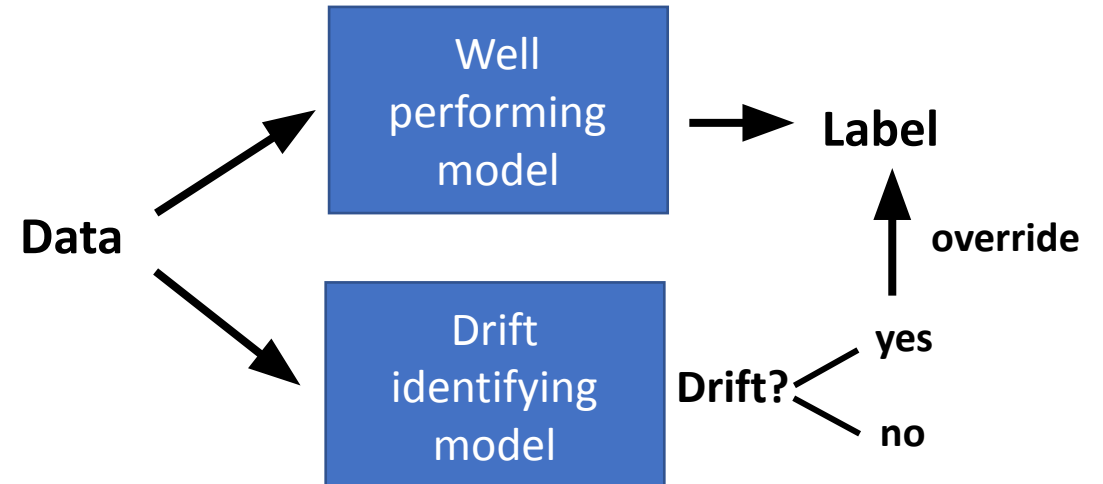
- No Drift class images in training set
  - Only occur in public and private test sets for submission
  - No true labels available for these sets



- Manually label the test set (focus in drift)
- Summarize labels 0-5 into “regular”
- Train a Model on the newly available dataset with 2 classes



- Transfer learning from previous best performing model
  - So far **only F1 score of 0.4** for the drift class achieved
- Drift images **SHOULD** be labelled correctly (heavily penalized in submission)



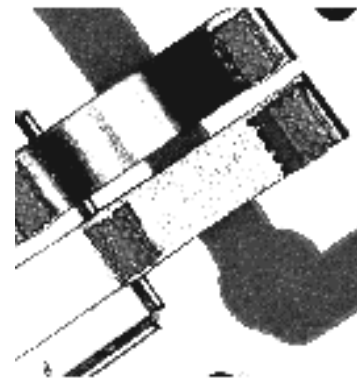
# Challenges and errors

## solved

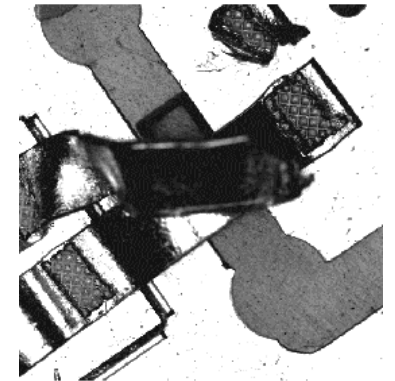
- Augmentation massively reduced performance
  - Removed image flipping
- Elegant and effective way of handling image data + table
  - Legacy method: ImageDataGenerator + pandas dataframes
- Transfer learning performance not as expected
  - Used multi phase learning
- Repeated training of models stopped early unpredictably
  - Delete variables: history, model, memory handling

## ongoing

- Validation metrics start out 'too good'
  - Used for early stopping
- Low performance on Drift class detection
- Low performance on flat loop class



flat loop



Drift

# Discussion

- Model F1 score could be increased
- Model performance depends strongly on hyperparameters AND training methodology
- Computer Vision is (as expected) a prime example for successful machine learning application
- When can (good) model performance be “trusted”?
  - Will it translate to a wholly independent dataset?

# Conclusion and outlook

- The classification task was solved with good performance using CNNs
- Model performance depends strongly on hyperparameters AND training methodology
- Computer Vision is (as expected) a prime example for successful machine learning application
- Usage of more modern model architectures (i.e. transformers)
- Systematically and automatically vary hyperparameters
- Implement other methods to tackle low amount of training data
- Different methods of identifying drift data?
- Custom loss functions



**Thank you for the attention!**

**... any questions?**