

Ring Polymer Molecular Dynamics

1 Partition Function

For a quantum system of Hamiltonian

$$\hat{H} = \hat{T} + \hat{V} = \frac{\hat{p}^2}{2m} + V(q), \quad (1.1)$$

we are often interested in the partition function

$$\begin{aligned} Z &= \sum_{|n\rangle} e^{-\beta E_n} \\ &= \sum_{|n\rangle} e^{-\beta \langle n | \hat{H} | n \rangle}. \end{aligned} \quad (1.2)$$

Defining the exponential of an operator via power series, one can write

$$Z = \sum_{|n\rangle} \langle n | e^{-\beta \hat{H}} | n \rangle = \text{tr} e^{-\beta \hat{H}}, \quad (1.3)$$

assuming convergence.

Next, we want to show the following result:

Claim 1.1 (Totter split).

$$e^{-\beta \hat{H}} = \lim_{N \rightarrow \infty} \left[e^{-\frac{\beta}{N} \hat{H}} \right]^N. \quad (1.4)$$

This seems trivial, but it actually isn't. $\hat{H} = \hat{T} + \hat{V}$, and in general \hat{T} and \hat{V} do not commute with each other. This will cause a little trouble.

We need the following result from Lie algebra.

Lemma 1.2 (Baker–Campbell–Hausdorff formula). For possibly non-commutative X and Y in the Lie algebra of a Lie group,

$$e^X e^Y = e^Z, \quad (1.5)$$

where Z is given by

$$Z = X + Y + \frac{1}{2}[X, Y] + \frac{1}{12}([X, [X, Y]] + [Y, [Y, X]]) + \dots, \quad (1.6)$$

in which $[-, -]$ is the commutator.

This simply states that once X and Y are non-commutative, we no longer have $e^X e^Y = e^{X+Y}$ — otherwise this will be same as $e^Y e^X$ and the non-commutativity will be broken. Instead, we will have some terms related to the commutators of X and Y introduced into the exponent.

From this, one can show that

$$\left[\exp\left(\frac{A}{N}\right) \exp\left(\frac{B}{N}\right) \right]^N = \exp\left(A + B + \frac{1}{2N}[A, B] + \dots\right). \quad (1.7)$$

The factor $\frac{1}{2N}$ in front of the commutator is not straightforward to work out, but one can easily see that it is $O(\frac{1}{N})$, and hence all the remainders $\rightarrow 0$ as $N \rightarrow \infty$. This gives the *Lie product formula*

$$e^{A+B} = \lim_{N \rightarrow \infty} \left(e^{A/N} e^{B/N} \right)^N. \quad (1.8)$$

Applying this to $-\beta\hat{H} = -\beta\hat{T} - \beta\hat{V}$, we get out claimed result.

This allows us to write

$$Z = \sum_{|n\rangle} \lim_{N \rightarrow \infty} \left\langle n \left| \left[e^{-\frac{\beta}{N}\hat{H}} \right]^N \right| n \right\rangle. \quad (1.9)$$

We let the complete orthonormal set $\{|n\rangle\}$ be the position basis $\{|q_1\rangle\}$, and correspondingly replace the sum by the integral. This gives

$$Z = \lim_{N \rightarrow \infty} \int dq_1 \left\langle q_1 \left| \left[e^{-\frac{\beta}{N}\hat{H}} \right]^N \right| q_1 \right\rangle. \quad (1.10)$$

We have the freedom to inset identity operators

$$1 = \int dq_i |q_i\rangle \langle q_i| \quad (1.11)$$

anywhere we want. We can insert $N - 1$ of them, each sandwiched between two of the N exponential operators, giving

$$Z = \lim_{N \rightarrow \infty} \int dq_1 \dots dq_N \left\langle q_1 \left| e^{-\frac{\beta}{N}\hat{H}} \right| q_2 \right\rangle \left\langle q_2 \left| e^{-\frac{\beta}{N}\hat{H}} \right| q_3 \right\rangle \dots \left\langle q_N \left| e^{-\frac{\beta}{N}\hat{H}} \right| q_1 \right\rangle. \quad (1.12)$$

Now we have N identical-looking matrix elements in the integrand, each looks like

$$M_i = \left\langle q_i \left| e^{-\frac{\beta}{N}\hat{H}} \right| q_{i+1} \right\rangle, \quad (1.13)$$

where we have identified $q_1 \equiv q_{N+1}$. This equals to

$$M_i = \left\langle q_i \left| e^{-\frac{\beta}{N}\hat{T}} e^{-\frac{\beta}{N}\hat{V}} \right| q_{i+1} \right\rangle, \quad (1.14)$$

because breaking the exponential only introduces error terms $O(\frac{1}{N^2})$ in the exponent, which is a higher order infinitesimal in $N \rightarrow \infty$. To evaluate this, we again use the trick of inserting an identity operator between the exponentials, giving

$$M_i = \int dq_m \left\langle q_i \left| e^{-\frac{\beta}{N}\hat{T}} \right| q_m \right\rangle \left\langle q_m \left| e^{-\frac{\beta}{N}\hat{V}} \right| q_{i+1} \right\rangle. \quad (1.15)$$

The second term is trivial — \hat{V} is a scalar function of coordinates, so it is diagonal in the coordinate basis, giving

$$\begin{aligned} M_i &= \int dq_m \left\langle q_i \left| e^{-\frac{\beta}{N}\hat{T}} \right| q_m \right\rangle e^{-\frac{\beta}{N}\hat{V}(q_{i+1})} \delta(q_m - q_{i+1}) \\ &= \left\langle q_i \left| e^{-\frac{\beta}{N}\hat{T}} \right| q_{i+1} \right\rangle e^{-\frac{\beta}{N}\hat{V}(q_{i+1})} \end{aligned} \quad (1.16)$$

The first term is a bit more tricky. Since $\hat{T} = \frac{1}{2m}\hat{p}^2$, it might be a good idea to evaluate it in the momentum basis. We insert the identity operator in the momentum basis, giving

$$\left\langle q_i \left| e^{-\frac{\beta}{N}\hat{T}} \right| q_{i+1} \right\rangle = \int dp \langle q_i | p \rangle \left\langle p \left| e^{-\frac{\beta}{N}\hat{T}} \right| q_{i+1} \right\rangle \quad (1.17)$$

Both terms are now easy to evaluate: the first one is just the position representation of momentum eigenstates

$$\langle q_i | p \rangle = \frac{1}{\sqrt{2\pi\hbar}} e^{ipq_i/\hbar}, \quad (1.18)$$

while

$$e^{-\frac{\beta}{N}\hat{T}} |p\rangle = e^{-\frac{\beta}{N}\frac{p^2}{2m}} |p\rangle, \quad (1.19)$$

so the second term is

$$\langle p | e^{-\frac{\beta}{N} \hat{T}} | q_{i+1} \rangle = e^{-\frac{\beta p^2}{2mN}} \langle p | q_{i+1} \rangle = \frac{1}{\sqrt{2\pi\hbar}} e^{-\frac{\beta p^2}{2mN}} e^{-ipq_{i+1}/\hbar}. \quad (1.20)$$

Therefore,

$$\langle q_i | e^{-\frac{\beta}{N} \hat{T}} | q_{i+1} \rangle = \frac{1}{2\pi\hbar} \int dp e^{ip(q_i - q_{i+1})/\hbar} e^{-\frac{\beta p^2}{2mN}}. \quad (1.21)$$

This is a Gaussian integral (after completing the square), giving

$$\langle q_i | e^{-\frac{\beta}{N} \hat{T}} | q_{i+1} \rangle = \sqrt{\frac{mN}{2\pi\beta\hbar^2}} \exp\left(-\frac{mN}{2\beta\hbar^2}(q_i - q_{i+1})^2\right), \quad (1.22)$$

and so the matrix elements are

$$M_i = \sqrt{\frac{mN}{2\pi\beta\hbar^2}} \exp\left[-\frac{mN}{2\beta\hbar^2}(q_i - q_{i+1})^2 - \frac{\beta}{N}V(q_{i+1})\right]. \quad (1.23)$$

The partition function of interest is therefore

$$Z = \lim_{N \rightarrow \infty} \left(\frac{mN}{2\pi\beta\hbar^2}\right)^{N/2} \int dq_1 \dots dq_N \exp\left[-\sum_{i=1}^N \left(\frac{mN}{2\beta\hbar^2}(q_i - q_{i+1})^2 + \frac{\beta}{N}V(q_i)\right)\right]. \quad (1.24)$$

This form of the partition function starts to reveal its name ‘ring polymer’. We just need a few extra steps to get there. In particular, notice the prefactor — it is exactly what is known as the thermal wavelength, which can be obtained by integrating the momentum degrees of freedom when evaluating the classical partition function. It is just instead of β , we have β/N here. Hence, we define $\beta_N = \beta/N$, the effective (inverse) temperature, and observe that

$$\left(\frac{m}{2\pi\beta_N\hbar^2}\right)^{1/2} = \frac{1}{2\pi\hbar} \int dp_i \exp\left(-\frac{\beta_N p_i^2}{2m}\right). \quad (1.25)$$

This allows us to finally write

$$\begin{aligned} Z &= \lim_{N \rightarrow \infty} \frac{1}{(2\pi\hbar)^N} \int dp_1 dq_1 \dots dp_N dq_N \exp\left[-\beta_N \sum_{i=1}^N \left(\frac{p_i^2}{2m} + \frac{m}{2\beta_N^2\hbar^2}(q_i - q_{i+1})^2 + V(q_i)\right)\right] \\ &= \lim_{N \rightarrow \infty} \frac{1}{(2\pi\hbar)^N} \int d^N \mathbf{p} d^N \mathbf{q} \exp(-\beta_N H_N), \end{aligned} \quad (1.26)$$

where

$$H_N = \sum_{i=1}^N \left(\frac{p_i^2}{2m} + \frac{m}{2\beta_N^2\hbar^2}(q_i - q_{i+1})^2 + V(q_i)\right) \quad (1.27)$$

We see something magical here. This is exactly the classical partition function of a N -particle polymer ring system connected by springs of angular frequency $\omega_i = \frac{1}{\beta_N\hbar}$, placed on a potential V at temperature β_N .

2 Thermal Average of an Operator

Suppose now we are interested in the thermal average of an operator \hat{A} ,

$$\langle A \rangle = \frac{1}{Z} \sum_{|n\rangle} e^{-\beta E_n} \langle n | \hat{A} | n \rangle . \quad (2.1)$$

If we pick $\{|n\rangle\}$ to be the eigenstate of the Hamiltonian, then

$$e^{-\beta \hat{H}} |n\rangle = e^{-\beta E_n} |n\rangle , \quad (2.2)$$

so

$$\begin{aligned} \langle A \rangle &= \frac{1}{Z} \sum_{|n\rangle} \langle n | e^{-\beta \hat{H}} \hat{A} | n \rangle \\ &= \frac{1}{Z_N} \text{tr}[e^{-\beta \hat{H}} \hat{A}] . \end{aligned} \quad (2.3)$$

Using the same trick as for the partition function, we can write

$$\langle A \rangle = \lim_{N \rightarrow \infty} \frac{1}{Z_N} \int d^N \mathbf{q} \langle q_1 | e^{-\frac{\beta}{N} \hat{H}} | q_2 \rangle \dots \langle q_N | e^{-\frac{\beta}{N} \hat{H}} \hat{A} | q_1 \rangle . \quad (2.4)$$

Notice the extra \hat{A} in the final matrix element.

To proceed, we assume that the operator of interest $\hat{A} = A(\hat{q})$ is a function of position only, and so $\hat{A} |q_i\rangle = A(q_i) |q_i\rangle$. Therefore,

$$\langle A \rangle = \lim_{N \rightarrow \infty} \frac{1}{Z_N} \int d^N \mathbf{q} A(q_1) \langle q_1 | e^{-\frac{\beta}{N} \hat{H}} | q_2 \rangle \dots \langle q_N | e^{-\frac{\beta}{N} \hat{H}} | q_1 \rangle . \quad (2.5)$$

This now reduces to what we have seen before, just with an extra scalar function in the integral. We can write it as

$$\langle A \rangle = \lim_{N \rightarrow \infty} \frac{1}{Z_N} \int d^N \mathbf{p} d^N \mathbf{q} A(q_1) e^{-\beta_N H_N} , \quad (2.6)$$

which is the classical thermal average of $A(q_1)$ for the polymer ring. Moreover, since we are integrating over all q_i , the particles in the polymer ring are equivalent, so we can write it as

$$\langle A \rangle = \lim_{N \rightarrow \infty} \frac{1}{Z_N} \int d^N \mathbf{p} d^N \mathbf{q} A_N e^{-\beta_N H_N} , \quad (2.7)$$

where

$$A_N(\mathbf{q}) = \frac{1}{N} \sum_{i=1}^N A(q_i) \quad (2.8)$$

is the average value of A for the N particles on the polymer ring.

We reduced the quantum thermal average into the classical thermal average in a polymer ring.

3 Kubo-Transformed Correlation Function

Suppose now we have two coordinate-dependent operators A and B of interest, with classical ring-polymer counterparts A_N and B_N defined analogous to (2.8). What does the $N \rightarrow \infty$ limit of

$$\langle A_N B_N \rangle = \frac{1}{Z_N} \int d^N \mathbf{p} d^N \mathbf{q} A_N B_N e^{-\beta_N H_N} \quad (3.1)$$

corresponds to?

A naive guess would be

$$\langle AB \rangle \stackrel{?}{=} \lim_{N \rightarrow \infty} \langle A_N B_N \rangle, \quad (3.2)$$

but this is actually wrong. To see this, we expand

$$\langle A_N B_N \rangle = \frac{1}{N^2} \sum_{i,j=1}^N \langle A(q_i) B(q_j) \rangle, \quad (3.3)$$

but to get $\langle AB \rangle$ in the $N \rightarrow \infty$ limit, we would need

$$\langle AB \rangle = \lim_{N \rightarrow \infty} \frac{1}{N} \sum_{i=1}^N \langle A(q_i) B(q_i) \rangle. \quad (3.4)$$

These two are obviously unequal in general.

The $N \rightarrow \infty$ limit of $\langle A_N B_N \rangle$ actually corresponds to something else.

Definition 3.1. The *corelation function* of two observables A and B is

$$C_{AB}(t) := \frac{1}{Z} \text{tr}[e^{-\beta \hat{H}} \hat{A} e^{i\hat{H}t/\hbar} \hat{B} e^{-i\hat{H}t/\hbar}]. \quad (3.5)$$

The rationalisation of this is that in the Heisenberg picture, the operator \hat{B} evolves as

$$\hat{B}(t) = e^{i\hat{H}t/\hbar} \hat{B}(0) e^{-i\hat{H}t/\hbar}, \quad (3.6)$$

while the energy eigenstates are not changing, so

$$\begin{aligned} C_{AB}(t) &= \frac{1}{Z} \text{tr}[e^{-\beta \hat{H}} \hat{A}(0) \hat{B}(t)] \\ &= \frac{1}{Z} \sum_{|n\rangle} \langle n | e^{-\beta \hat{H}} \hat{A}(0) \hat{B}(t) | n \rangle \\ &= \frac{1}{Z} \sum_{|n\rangle} e^{-\beta E_n} \langle n | \hat{A}(0) \hat{B}(t) | n \rangle \\ &= \langle A(0) B(t) \rangle. \end{aligned} \quad (3.7)$$

This is often ill-defined. What arises more frequently in PIMD (and linear response theory) is a slightly modified version of this:

Definition 3.2. The *Kubo-transformed corelation function* of two observables A and B is

$$\tilde{C}_{AB}(t) := \frac{1}{\beta Z} \int_0^\beta d\lambda \text{tr}[e^{-(\beta-\lambda)\hat{H}} \hat{A} e^{-\lambda\hat{H}} e^{i\hat{H}t/\hbar} \hat{B} e^{-i\hat{H}t/\hbar}]. \quad (3.8)$$

Let's have a closer look at what this means. In addition to the Boltzmann factor $e^{-\lambda\hat{H}}$ and evolved \hat{B} operator $\hat{B}(t) = e^{i\hat{H}t/\hbar}\hat{B}e^{-i\hat{H}t/\hbar}$ in the trace, we also have changed our \hat{A} operator by

$$e^{\lambda\hat{H}}\hat{A}e^{-\lambda\hat{H}} \quad (3.9)$$

with an averaging over λ from 0 to β by the integral $\frac{1}{\beta}\int_0^\beta$. Notice that this is similar to the time evolution we've done on \hat{B} , but this time there is no factor of i in the exponent. We can interpret this as *imaginary-time evolution*,

$$\hat{A}(-i\hbar\lambda) = e^{\lambda\hat{H}}\hat{A}e^{-\lambda\hat{H}}. \quad (3.10)$$

Hence in the Kubo-transformed correlation function, we are also averaging over the imaginary time of \hat{A} from $\tau = 0$ to $\tau = -i\hbar\beta$. This allows us to compactly denote the Kubo-transformed correlation function as

$$\tilde{C}_{AB}(t) = \frac{1}{\beta} \int_0^\beta d\lambda \left\langle \hat{A}(-i\hbar\lambda)\hat{B}(t) \right\rangle. \quad (3.11)$$

Having established what the Kubo-transformed correlation function is, let's see how it is related to the ring-polymer average of two observables.

Claim 3.3. The $N \rightarrow \infty$ limit of $\langle A_N B_N \rangle$ for the classical ring polymer is the $t \rightarrow 0$ limit of the Kubo-transformed correlation function

$$\lim_{N \rightarrow \infty} \langle A_N B_N \rangle = \tilde{C}_{AB}(0). \quad (3.12)$$

Proof. At $t = 0$,

$$\tilde{C}_{AB}(0) = \frac{1}{\beta Z} \int_0^\beta d\lambda \operatorname{tr}[e^{-(\beta-\lambda)\hat{H}}\hat{A}e^{-\lambda\hat{H}}\hat{B}]. \quad (3.13)$$

Consider again Trotter-splitting the exponential of the Hamiltonians, but this time

$$e^{-(\beta-\lambda)\hat{H}} = \left(e^{-\beta\hat{H}}\right)^{\frac{\beta-\lambda}{\beta}} = \lim_{N \rightarrow \infty} \left(e^{-\frac{\beta}{N}\hat{H}}\right)^{N(1-\frac{\lambda}{\beta})}, \quad (3.14)$$

and similarly

$$e^{-\lambda\hat{H}} = \lim_{N \rightarrow \infty} \left(e^{-\frac{\beta}{N}\hat{H}}\right)^{N\frac{\lambda}{\beta}}. \quad (3.15)$$

Therefore,

$$\tilde{C}_{AB}(0) = \lim_{N \rightarrow \infty} \frac{1}{\beta Z_N} \int_0^\beta d\lambda \operatorname{tr} \left[\left(e^{-\frac{\beta}{N}\hat{H}}\right)^{N(1-\frac{\lambda}{\beta})} \hat{A} \left(e^{-\frac{\beta}{N}\hat{H}}\right)^{N\frac{\lambda}{\beta}} \hat{B} \right]. \quad (3.16)$$

Let's consider the effect of the integral averaging over λ : $\frac{1}{\beta}\int_0^\beta$. There are $N(1-\frac{\lambda}{\beta})$ pieces of $e^{-\frac{\beta}{N}\hat{H}}$ in front of \hat{A} and $N\frac{\lambda}{\beta}$ between \hat{A} and \hat{B} . What the integral does is averaging over the number of $e^{-\frac{\beta}{N}\hat{H}}$ pieces distributed between these two places, while making sure that there are N of them in total. When N is large, this can be replaced by the sum

$$\frac{1}{\beta} \int_0^\beta d\lambda \mapsto \lim_{N \rightarrow \infty} \frac{1}{N} \sum_{\lambda/\beta=1}^N. \quad (3.17)$$

Therefore we can write

$$\tilde{C}_{AB}(0) = \lim_{N \rightarrow \infty} \frac{1}{N Z_N} \sum_{k=1}^N \operatorname{tr} \left[\left(e^{-\frac{\beta}{N}\hat{H}}\right)^k \hat{A} \left(e^{-\frac{\beta}{N}\hat{H}}\right)^{N-k} \hat{B} \right]. \quad (3.18)$$

Now there are $N+2$ operators in the trace. We again use the trick of inserting identity operators between them, while associating \hat{A} and \hat{B} to the $e^{-\frac{\beta}{N}\hat{H}}$ in front of them, giving

$$\lim_{N \rightarrow \infty} \frac{1}{Z_N} \frac{1}{N} \sum_{k=1}^N \int d^N \mathbf{q} \dots \langle q_k | e^{-\beta_N \hat{H}} \hat{A} | q_{k+1} \rangle \dots \langle q_N | e^{-\beta_N \hat{H}} \hat{B} | q_1 \rangle. \quad (3.19)$$

Another property of the trace we can exploit is its cyclic invariance. This means that we can move any slice of bra-kets at front to the end, and vice versa. This means that

$$\begin{aligned}\tilde{C}_{AB}(0) &= \int d^N \mathbf{q} \dots \langle q_k | e^{-\beta_N \hat{H}} \hat{A} | q_{k+1} \rangle \dots \langle q_N | e^{-\beta_N \hat{H}} \hat{B} | q_1 \rangle \\ &= \int d^N \mathbf{q} \dots \langle q_i | e^{-\beta_N \hat{H}} \hat{A} | q_{i+1} \rangle \dots \langle q_j | e^{-\beta_N \hat{H}} \hat{B} | q_{j+1} \rangle \dots, \end{aligned} \quad (3.20)$$

as long as $|j - i| = k$. We average over all possible cyclic permutations of the trace — there are N of them for each interval k . This is effectively putting \hat{A} and \hat{B} into all possible slices of brackets. Therefore we can write

$$\begin{aligned}\tilde{C}_{AB}(0) &= \lim_{N \rightarrow \infty} \frac{1}{Z_N} \frac{1}{N^2} \sum_{i,j=1}^N \int d^N \mathbf{q} \dots \langle q_i | e^{-\beta_N \hat{H}} \hat{A} | q_{i+1} \rangle \dots \langle q_j | e^{-\beta_N \hat{H}} \hat{B} | q_{j+1} \rangle \dots \\ &= \lim_{N \rightarrow \infty} \frac{1}{Z_N} \int d^N \mathbf{p} d^N \mathbf{q} A_N B_N e^{-\beta_N H_N} \\ &= \lim_{N \rightarrow \infty} \langle A_N B_N \rangle, \end{aligned} \quad (3.21)$$

which is exactly what we claimed. \square