

## Problem set 12

(1)

- ① The expression for  $C_V$  in question (using the entropy  $S$ ) is

$$C_V = T \left( \frac{\partial S}{\partial T} \right)_V$$

The entropy  $S$  can be calculated from the Helmholtz free energy  $F$  via

$$S = - \left( \frac{\partial F}{\partial T} \right)_{V, N}$$

and  $F$  can be calculated using

$$F = -k_B T \ln Z$$

where  $Z$  is the canonical partition function of the whole system.

In the Debye model (in 3D), consisting of  $N$  atoms, the "system" refers to  $3N$  harmonic oscillator modes

( $N$  longitudinal and  $2N$  transverse modes)  
oscillation

The available frequencies are

$$\omega_n = \frac{\pi C_s}{L} n \quad \text{where } n = \sqrt{n_x^2 + n_y^2 + n_z^2}, \quad n_{x,y,z} = 1, 2, 3, \dots$$

except that there is a constraint, that

$$n < n_{\max}$$

(so that the upper limits of  $n_x, n_y, n_z$  are not  $\infty$ )

or the available oscillation frequencies are

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bounded from above by some maximum frequency  $\omega_{\max}$ :

$$0 < \omega_n \leq \omega_{\max}$$

The value of  $n = |\underline{n}|$  corresponding to  $\omega_{\max}$  is defined as  $n_{\max}$

$$\omega_{\max} = \frac{\pi c_s}{L} n_{\max}, \text{ so that } 0 \leq n \leq n_{\max}$$

In addition, we have to take into account that each mode  $\vec{n} = (n_x, n_y, n_z)$  - or each triplet of integers  $(n_x, n_y, n_z)$  - has a "spin" or "polarization" multiplicity of 3 - 1 longitudinal and 2 transverse modes

3 polarization states for each  $\vec{n}$

Before we proceed, need to find  $\omega_{\max}$  (or  $n_{\max}$ ) in terms of the given total number of atoms  $N$ .

This is done in  $\vec{n} = (n_x, n_y, n_z)$  - space, from the requirement that the sum over all modes

$$\sum_{\text{all modes}} 1 = 3 \sum_{\substack{n < n_{\max} \\ \underline{n}}} 1$$

adds up to the known total number of atoms  $N$  times 3 (total of  $3N$  linear harmonic oscillator modes for  $N$  atoms)

$$3N = 3 \sum_{\substack{n < n_{\max} \\ \underline{n}}} 1 \rightarrow 3 \cdot \frac{1}{8} \cdot 4\pi \int_0^{n_{\max}} dn n^2 = \frac{\pi}{2} n_{\max}^3$$

Solving for  $n_{\max}$ , we find

$$n_{\max} = \left( \frac{6N}{\pi} \right)^{1/3}$$

and therefore

$$\omega_{\max} = \frac{\pi C_s}{L} n_{\max} = \frac{\pi C_s}{L} \left( \frac{6N}{\pi} \right)^{1/3}$$

[  $n_{\max}$  - in terms of the given number of atoms  $N$  is needed for calculating the total energy of the system  $U$  as

$$U = 3 \sum_n E_n f_p(E_n) = 3 \sum_n \hbar \omega_n f_p(\hbar \omega_n)$$

all modes

need to know what this upper limit is.

$$\rightarrow 3 \cdot \frac{1}{8} 4\pi \int_0^{n_{\max}} dn n^2 \frac{\hbar \omega_n}{e^{\hbar \omega_n / k_B T} - 1} = \dots$$

[see lecture notes].

Here, we are not calculating  $U$ , but instead have to calculate  $F$ , from

$Z$ , and  $n_{\max}$  is going to be needed here as well.

The partition function of the total system  $Z$  is calculated (using the factorizability property) as a product of partition functions of all individual harmonic oscillator modes ( $3N$  modes)

For one mode of an elastic wave (either longitudinal or transverse) at frequency  $\omega_n$ , the partition function  $Z_n$  is the same as for a mode of electromagnetic radiation:

$$Z_n = \sum_{S_n=0}^{\infty} e^{-E_{S_n}/k_B T} = \sum_{S_n=0}^{\infty} e^{-S_n \hbar \omega_n / k_B T} =$$

$$= \sum_{S_n=0}^{\infty} (e^{-\hbar \omega_n / k_B T})^{S_n} = \frac{1}{1 - e^{-\hbar \omega_n / k_B T}}$$

→ geometric series

where  $S_n = 0, 1, 2, 3, \dots \infty$  is the number of excitation quanta ("phonons" instead of photons) in the mode.

Since each ~~triplet~~ triplet  $\underline{n} = (n_x, n_y, n_z)$  has a multiplicity of 3 - one longitudinal and two transverse modes of the same frequency - the total partition function corresponding to these 3 modes is

$$(Z_n)^3$$

- using the factorizability property for 3 independent modes ("systems"):

$$Z_{n, \text{longitudinal}}(\text{say } z) \times Z_{n, \text{transverse}}(x) \times$$

$$\times Z_{n, \text{transverse}}(y)$$

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The total partition function  $Z$  for all available modes (with  $0 < \omega_n < \omega_{\max}$ ) will be given by a product of these  $(Z_n)^3$  taken over all triplets of positive integers  $\underline{n} = (n_x, n_y, n_z)$  - with the upper limit of  $n = |\underline{n}| = n_{\max}$

$$Z = \prod_{\underline{n}}^{n < n_{\max}} (Z_n)^3$$

$\prod_{\underline{n}}$  means  $\prod_{n_x} \prod_{n_y} \prod_{n_z}$

Then

$$F = -k_B T \ln Z = -k_B T \ln \left( \prod_{\underline{n}}^{n < n_{\max}} (Z_n)^3 \right)$$

$$= -k_B T \cdot \sum_{\underline{n}}^{n < n_{\max}} \ln (Z_n)^3 = -3 k_B T \sum_{\underline{n}}^{n < n_{\max}} \ln Z_n$$

(all triplets  
with  $n = |\underline{n}| < n_{\max}$ )

$$= -3 k_B T \sum_{\underline{n}}^{n < n_{\max}} \ln \frac{1}{1 - e^{-\hbar \omega_n / k_B T}}$$

$$= 3 k_B T \sum_{\underline{n}}^{n < n_{\max}} \ln (1 - e^{-\hbar \omega_n / k_B T})$$

Thus

$$F = 3 k_B T \sum_{\underline{n}}^{n < n_{\max}} \ln (1 - e^{-\hbar \omega_n / k_B T})$$

$$n_{\max} = \left( \frac{6N}{\pi} \right)^{1/3}$$

↳ function of  $T$ ,  $N$ , and  $L$

$$\omega_n = \frac{\pi c_s}{L} n$$

This general expression can not be simplified further for all temperatures, and has to be calculated numerically.

However, simple analytic results can be obtained in 2 limiting cases: low temperatures,

(a)  $T \ll T_D = \frac{\hbar \omega_{\max}}{k_B}$  ; and high temperatures

(b)  $T \gg T_D = \frac{\hbar \omega_{\max}}{k_B}$  - ( $T_D$  - Debye temperature)

(a).  $T \ll T_D = \frac{\hbar \omega_{\max}}{k_B}$

means

$$x_{\max} \equiv \frac{\hbar \omega_{\max}}{k_B T} \gg 1$$

$$In \quad F = 3 k_B T \sum_{\hbar}^{\hbar < \hbar_{\max}} ( \dots )$$

can convert to (approximate by)  
an integral in spherical coordinates

$$\sum_{\hbar}^{\hbar < \hbar_{\max}} \rightarrow \frac{1}{8} 4\pi \int_0^{\hbar_{\max}} \hbar^2 d\hbar$$

Then

$$F = 3 k_B T \cdot \frac{1}{8} \cdot 4\pi \int_0^{\hbar_{\max}} d\hbar \hbar^2 \ln \left( 1 - e^{-\hbar \omega_{\hbar} / k_B T} \right)$$

$\omega_{\hbar} = \frac{\pi c_s}{L} \hbar$

$$= \frac{3 k_B T \pi}{2} \cdot \int_0^{\hbar_{\max}} d\hbar \hbar^2 \ln \left( 1 - e^{-\frac{\hbar \pi c_s}{L k_B T}} \right)$$

introduce  
(variable change)

$$x \equiv \frac{\hbar \pi c_s}{L k_B T}$$

$$= \frac{3\pi k_B T}{2} \left( \frac{k_B T L}{\hbar^3 c_s} \right)^3 \int_0^{x_{\max}} dx \, x^2 \ln(1 - e^{-x})$$

where  $x_{\max} = \frac{\hbar^3 c_s n_{\max}}{L k_B T} = \frac{\hbar^3 \omega_{\max}}{k_B T} \gg 1$

$\therefore$  the upper limit can be extended to  $\infty$  [the integrand  $\rightarrow 0$  as  $x \rightarrow \infty$ ]

$$\approx \frac{3\pi k_B T}{2} \left( \frac{k_B T L}{\hbar^3 c_s} \right)^3 \int_0^{\infty} dx \, x^2 \ln(1 - e^{-x})$$

by parts

$$= \frac{3\pi k_B T}{2} \left( \frac{k_B T L}{\hbar^3 c_s} \right)^3 \left[ \frac{1}{3} \int_0^{\infty} d(x^3) \ln(1 - e^{-x}) \right]$$

$$= \frac{\pi k_B T}{2} \left( \frac{k_B T L}{\hbar^3 c_s} \right)^3 \left\{ \cancel{\left[ x^3 \ln(1 - e^{-x}) \right]_0^{\infty}} - \int_0^{\infty} dx \, x^3 \frac{d}{dx} [\ln(1 - e^{-x})] \right\}$$

$$= - \frac{\pi k_B T}{2} \left( \frac{k_B T L}{\hbar^3 c_s} \right)^3 \int_0^{\infty} dx \, \frac{x^3 e^{-x}}{1 - e^{-x}}$$

$$= - \frac{\pi L^3 (k_B T)^4}{2 \pi^3 \hbar^3 c^3} \int_0^{\infty} dx \, \frac{x^3}{e^x - 1} = \frac{\pi^4}{15}$$

$$= - \frac{\pi^2 V}{30 \hbar^3 c^3} (k_B T)^4$$

Thus, for  $T \ll T_D$  :

$$F = - \frac{\pi^2 V}{30 \hbar^3 c^3} (k_B T)^4$$

Then, the entropy  $S$  is:

$$S = - \left( \frac{\partial F}{\partial T} \right)_V = \frac{4\pi^2 V}{30 \hbar^3 c^3} k_B^4 T^3 = \frac{2\pi^2 V}{15 \hbar^3 c^3} k_B^4 T^3$$

[ can check that  $U = F + TS = \frac{\pi^2 V}{10 \hbar^3 c^3} (k_B T)^4 -$   
 - same as in lecture notes ]

Finally, the heat capacity:

$$C_V = T \left( \frac{\partial S}{\partial T} \right)_V = T \frac{2\pi^2 V}{15 \hbar^3 c^3} k_B^4 \cdot 3 T^2$$

$$= \frac{2\pi^2 V k_B^4}{5 \hbar^3 c^3} T^3 \quad - \text{Debye } T^3 \text{ law (at low } T)$$

- same as in lecture notes.

(b) High temperature limit

$$T \gg T_D \equiv \frac{\hbar \omega_{\max}}{k_B}$$

means

$$\frac{\hbar \omega_{\max}}{k_B T} \ll 1.$$

Since all  $\omega_n < \omega_{\max}$ , we have

$$\frac{\hbar \omega_n}{k_B T} \ll 1 \quad \text{for all mode frequencies}$$



$$\therefore \text{in } n < n_{\max} \quad \ll 1$$

$$F = 3k_B T \sum_n \ln \left( 1 - e^{-\frac{\hbar \omega_n}{k_B T}} \right)$$

can expand  $e^{-\hbar \omega_n / k_B T}$  in Taylor series and keep the lowest order term

$$F \approx 3k_B T \sum_n \ln \left( 1 - 1 + \frac{\hbar \omega_n}{k_B T} + \dots \right)$$

$$F = 3k_B T \sum_n \ln \frac{\hbar \omega_n}{k_B T}$$

Then

$$S = - \left( \frac{\partial F}{\partial T} \right)_V = - 3k_B \sum_n \ln \frac{\hbar \omega_n}{k_B T} -$$

$$- 3k_B T \sum_n \frac{\partial}{\partial T} \left( \ln \frac{\hbar \omega_n}{k_B T} \right)$$

$$= - 3k_B \sum_n \ln \frac{\hbar \omega_n}{k_B T} - 3k_B T \sum_n \frac{1}{\frac{\hbar \omega_n}{k_B T}} \cdot \frac{\hbar \omega_n}{k_B} \left( -\frac{1}{T^2} \right)$$

$$= - 3k_B \sum_n \ln \frac{\hbar \omega_n}{k_B T} + 3k_B \underbrace{\sum_n 1}_{= 3N}$$

$$S = - 3k_B \sum_n \ln \frac{\hbar \omega_n}{k_B T} + 3k_B N$$

(sum over all modes)

Finally

$$C_V = T \cdot \left( \frac{\partial S}{\partial T} \right)_V = -3k_B \sum_n^{n < n_{\max}} \frac{\partial}{\partial T} \left[ \ln \frac{\hbar \omega_n}{k_B T} \right]_V$$

$$= -3k_B \cdot \sum_n^{n < n_{\max}} \frac{1}{\frac{\hbar \omega_n}{k_B T}} \cdot \frac{\hbar \omega_n}{k_B} \left( -\frac{1}{T^2} \right)$$

$$= k_B \cdot 3 \underbrace{\sum_n^{n < n_{\max}} 1}_{= 3N} = 3N \quad (\text{sum over all modes})$$

$$\boxed{C_V = 3Nk_B}$$

same as in Lecture notes

↳ at high temperatures;  
classical regime;

agrees with equipartition theorem:

3N linear harmonic oscillators, each having two degrees of freedom

$$\left( \frac{1}{2} k_B + \frac{1}{2} k_B \right) \times 3N = 3N k_B$$

## ② Debye solid in 2D

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All approximations and arguments are the same as in 3D (see lecture notes), except that the total number of independent modes is now  $2N$  (for  $N$  atoms), and

$$\omega_{\max} = \frac{\pi c_s}{L} n_{\max} \quad \text{or } n_{\max} \text{ is found}$$

from

$$2N = 2 \underbrace{\sum_{\underline{n}} 1}_{\text{sum over all modes}}^{n < n_{\max}}$$

Where  $\underline{n} = (n_x, n_y)$  - pair of positive integers

$n_x, n_y = 1, 2, 3, \dots$  with  $n = |\underline{n}| = \sqrt{n_x^2 + n_y^2}$  defining the available frequencies  $\omega_n = \frac{\pi c_s}{L} n$

with  $0 < \omega_n < \omega_{\max}$ .

"Spin" or "polarization" multiplicity is now 2: each  $\underline{n} = (n_x, n_y)$  comes in 2 "polarizations" (one longitudinal and one transverse mode)

In polar coordinates:

$$\begin{aligned} 2N &= 2 \sum_{\underline{n}} 1^{n < n_{\max}} \rightarrow 2 \cdot \frac{1}{4} \int_0^{2\pi} d\varphi \int_0^{n_{\max}} n \, dn = \\ &= 2 \cdot \frac{1}{4} \cdot 2\pi \int_0^{n_{\max}} n \, dn = \frac{\pi n_{\max}^2}{2} \end{aligned}$$

$$\Rightarrow n_{\max} = \sqrt{\frac{4N}{\pi}} \Rightarrow \omega_{\max} = \frac{\pi c_s}{L} \left( \frac{4N}{\pi} \right)^{1/2}$$

This gives a Debye temperature of

$$T_D = \frac{\hbar \omega_{\max}}{k_B} = \frac{\hbar \pi c_s}{k_B L} \left( \frac{4N}{\pi} \right)^{1/2}$$

Total average energy

$$U = 2 \sum_{\underline{n}}^{n < n_{\max}} \underbrace{\langle S_{\underline{n}} \rangle}_{\text{Planck distribution}} \hbar \omega_{\underline{n}}$$

↳ Planck distribution  $f_p(E_{\underline{n}})$   
 [thermal average number of  
 phonons in the mode of frequency  
 $\omega_{\underline{n}}$ , energy  $E_{\underline{n}} = \hbar \omega_{\underline{n}}$ .]  
 $\underline{n} = (n_x, n_y)$

$$= 2 \sum_{\underline{n}}^{n < n_{\max}} \frac{\hbar \omega_{\underline{n}}}{e^{\hbar \omega_{\underline{n}}/k_B T} - 1} \quad \left[ \omega_{\underline{n}} = \frac{\hbar c}{L} n \right]$$

(a) Low  $T$  limit :  $T \ll T_D \equiv \frac{\hbar \omega_{\max}}{k_B}$

Transform  $\sum_{\underline{n}} (\dots) \rightarrow \frac{1}{4} 2\pi \int_0^{n_{\max}} n \, dn (\dots)$

$$U = 2 \cdot \frac{1}{4} \cdot 2\pi \int_0^{n_{\max}} dn \cdot n \cdot \frac{\hbar \omega_n}{e^{\hbar \omega_n/k_B T} - 1} \quad \left[ 2\pi \text{ comes from } \int_0^{2\pi} d\varphi = 2\pi \right]$$

$$= \pi \int_0^{n_{\max}} dn \cdot n \cdot \frac{\frac{\hbar \pi c}{L} n}{e^{\frac{\hbar \pi c}{L k_B T} n} - 1}$$

def  $x \equiv \frac{\hbar \pi c}{L k_B T} n$

$$= \pi \cdot (k_B T) \left( \frac{L k_B T}{\hbar^2 \pi c_s} \right)^2 \cdot \int_0^{x_{\max}} dx \frac{x^2}{e^x - 1}$$

$$\text{where } x_{\max} = \frac{\hbar^2 \pi c_s}{L k_B T} n_{\max} = \frac{\hbar \omega_{\max}}{k_B T} \gg 1$$

The integrand  $\frac{x^2}{e^x - 1} \ll 1$  at  $x \sim x_{\max}$

$$\Rightarrow \int_0^{x_D} dx (\dots) \approx \int_0^{\infty} dx (\dots)$$

$$= \pi (k_B T) \left( \frac{L k_B T}{\hbar^2 \pi c_s} \right)^2 \underbrace{\left( \int_0^{\infty} dx \frac{x^2}{e^x - 1} \right)}_{= 2.404}$$

$$= \frac{2.404 \pi L^2 (k_B T)^3}{\pi \hbar^2 c_s^2}$$

def.  $L^2 \equiv A$  area

$$\boxed{U = \frac{2.404 \cdot A}{\pi \hbar^2 c_s^2} (k_B T)^3}, \text{ at } T \ll T_D$$

$$C_A = \left( \frac{\partial U}{\partial T} \right)_V = \frac{3 \cdot 2.404 \cdot A}{\pi \hbar^2 c_s^2} k_B^3 T^2$$

$\hookrightarrow A = L^2$  is the area (instead of  $C_V$ , with  $V$ -volume in 3D)

$$\boxed{C_A \propto T^2} \text{ in 2D at low } T \quad (\propto T^3 \text{ in 3D})$$

(b) high temperature limit:

$$T \gg T_D = \frac{\hbar \omega_{\max}}{k_B}$$

$$\therefore \frac{\hbar \omega_{\max}}{k_B T} \ll 1 \quad \text{and since}$$

$$\omega_n < \omega_{\max} \Rightarrow \frac{\hbar \omega_n}{k_B T} \ll 1 \quad \text{for all modes}$$

Then, from

$$U = 2 \sum_n^{\omega < \omega_{\max}} \frac{\hbar \omega_n}{e^{\hbar \omega_n / k_B T} - 1}$$

expand in Taylor series and keep the lowest order term

$$\approx 2 \sum_n^{\omega < \omega_{\max}} \frac{\hbar \omega_n}{\cancel{1} + \frac{\hbar \omega_n}{k_B T} + \dots - \cancel{1}} =$$

$$= 2 k_B T \sum_n^{\omega < \omega_{\max}} 1 = k_B T \cdot 2N$$

sum over all modes

$$\Rightarrow \boxed{U = 2N k_B T}$$

$$\Rightarrow \boxed{C_A = \left( \frac{\partial U}{\partial T} \right)_A = 2N k_B}$$

(agrees with equipartition theorem:  
 2N linear harmonic oscillators, each having 2 degrees of freedom, and contributing  $\frac{1}{2} k_B T + \frac{1}{2} k_B T$  energy, so the total is  $2N \cdot k_B T$ )

③. Debye solid in 1D  
(reading quiz question)

In 1D, the total number of modes is  $N$

$$\left[ N = \sum_{n=1}^{n_{\max}} 1 = n_{\max} \right]$$

$$\omega_{\max} = \frac{\pi C_s}{L} n_{\max} = \frac{\pi C_s}{L} N$$

Debye temperature

$$T_D = \frac{\hbar \omega_{\max}}{k_B} = \frac{\hbar \pi C_s}{k_B L} N$$

For  $N = 3.5 \times 10^8$  and  $L = 10 \text{ cm}$ , and  $C_s = 5000 \text{ m/s}$

$$T_D = \text{sub in} = 418 \text{ K}$$