## A: Datasheet

Algorithm: cubox\_000

Developer: Cubox

Submission Date: 2021\_08\_24

Template size: 2048 bytes

Template time (2.5 percentile): 916 msec

Template time (median): 918 msec

Template time (97.5 percentile): 926 msec

Investigation:

Frontal mugshot ranking 27 (out of 329) -- FNIR(1600000, 0, 1) = 0.0014 vs. lowest 0.0009 from sensetime\_006

Mugshot webcam ranking 35 (out of 291) -- FNIR(1600000, 0, 1) = 0.0099 vs. lowest 0.0057 from sensetime\_006

Mugshot profile ranking 2 (out of 260) — FNIR(1600000, 0, 1) = 0.0582 vs. lowest 0.0550 from sensetime\_006

Immigration visa-border ranking 12 (out of 218) -- FNIR(1600000, 0, 1) = 0.0016 vs. lowest 0.0009 from sensetime\_006

Immigration visa-kiosk ranking 1 (out of 215) — FNIR(1600000, 0, 1) = 0.0487

Identification:

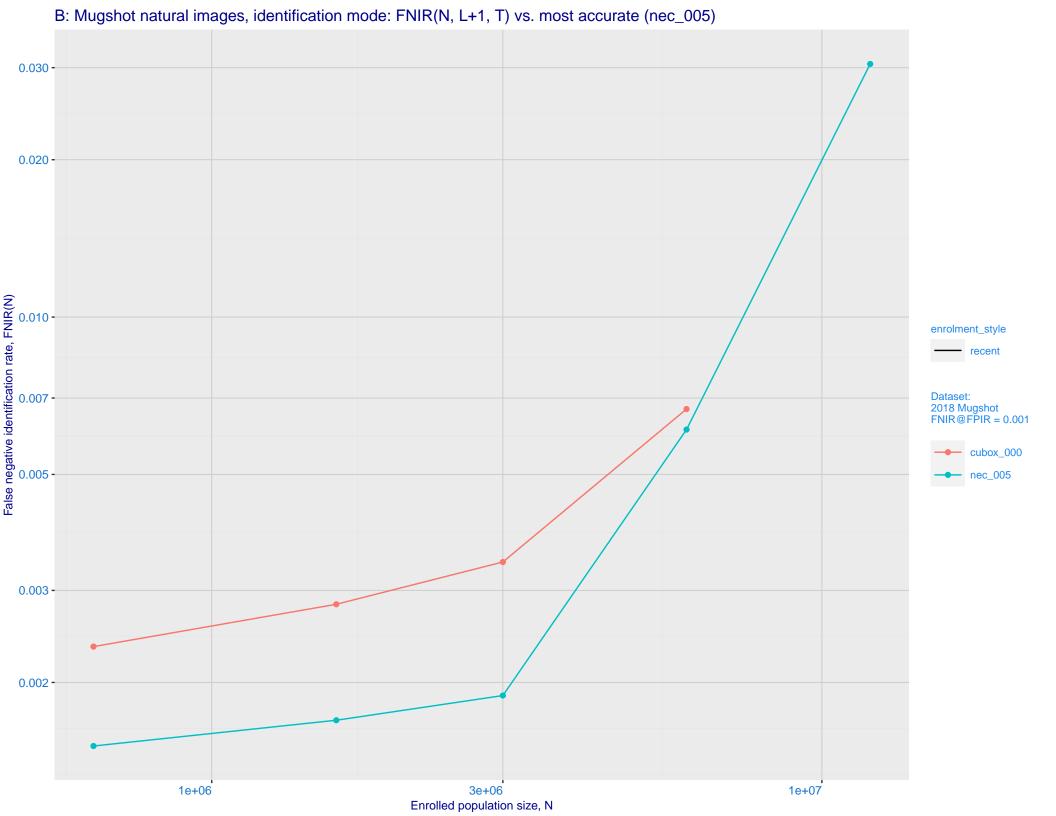
Frontal mugshot ranking 13 (out of 329) — FNIR(1600000, T, L+1) = 0.0028, FPIR=0.001000 vs. lowest 0.0017 from nec\_005

Mugshot webcam ranking 14 (out of 289) -- FNIR(1600000, T, L+1) = 0.0185, FPIR=0.001000 vs. lowest 0.0120 from nec\_005

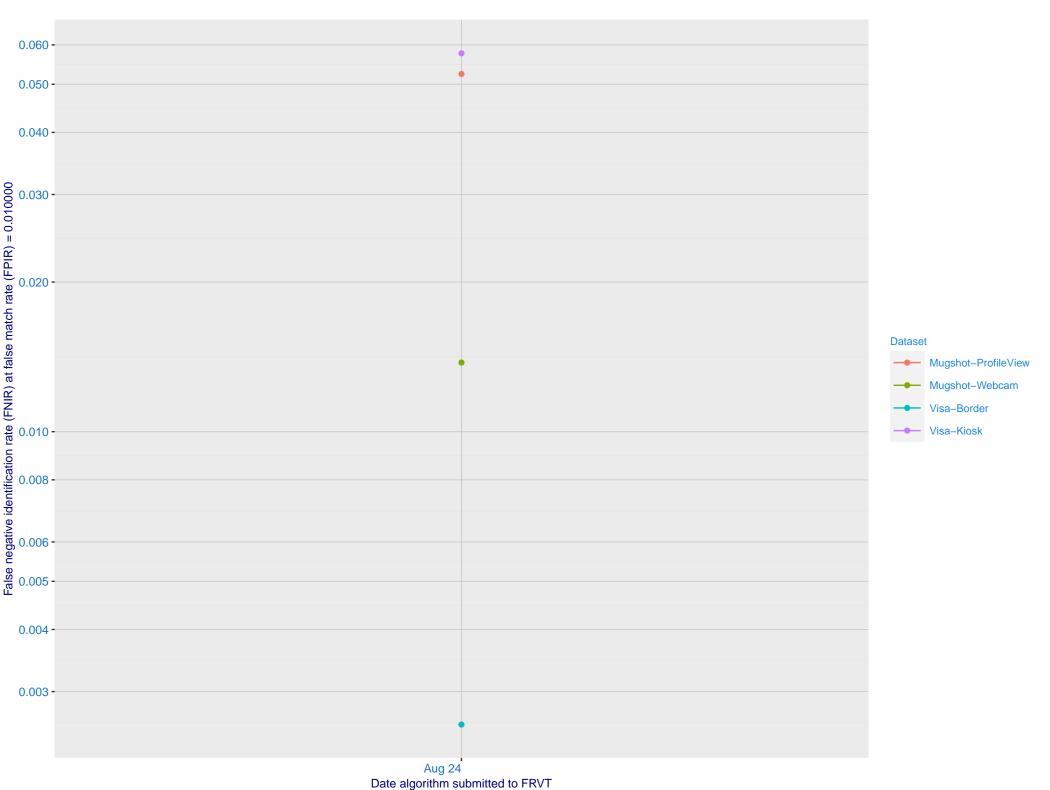
Mugshot profile ranking 2 (out of 259) — FNIR(1600000, T, L+1) = 0.1680, FPIR=0.001000 vs. lowest 0.1331 from cloudwalk\_hr\_000

Immigration visa-border ranking 4 (out of 217) -- FNIR(1600000, T, L+1) = 0.0043, FPIR=0.001000 vs. lowest 0.0032 from paravision\_009

Immigration visa-kiosk ranking 2 (out of 212) -- FNIR(1600000, T, L+1) = 0.0729, FPIR=0.001000 vs. lowest 0.0728 from paravision\_009

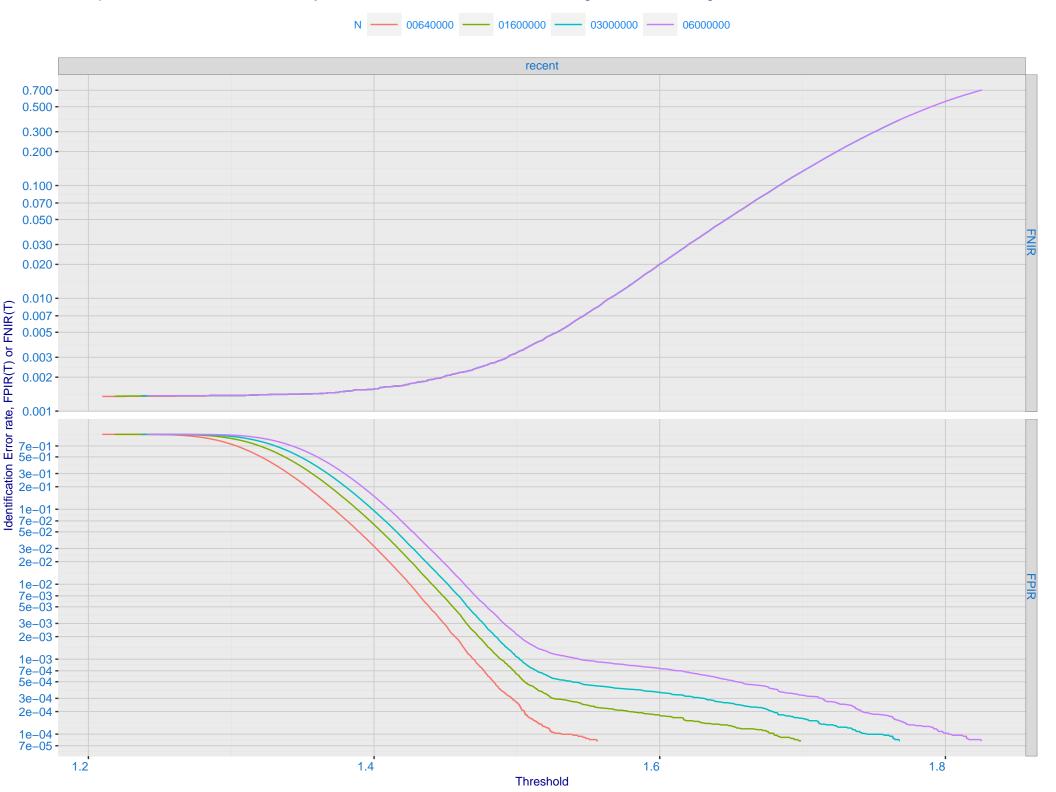


## C: Evolution of accuracy for CUBOX algorithms on three datasets 2018 – present

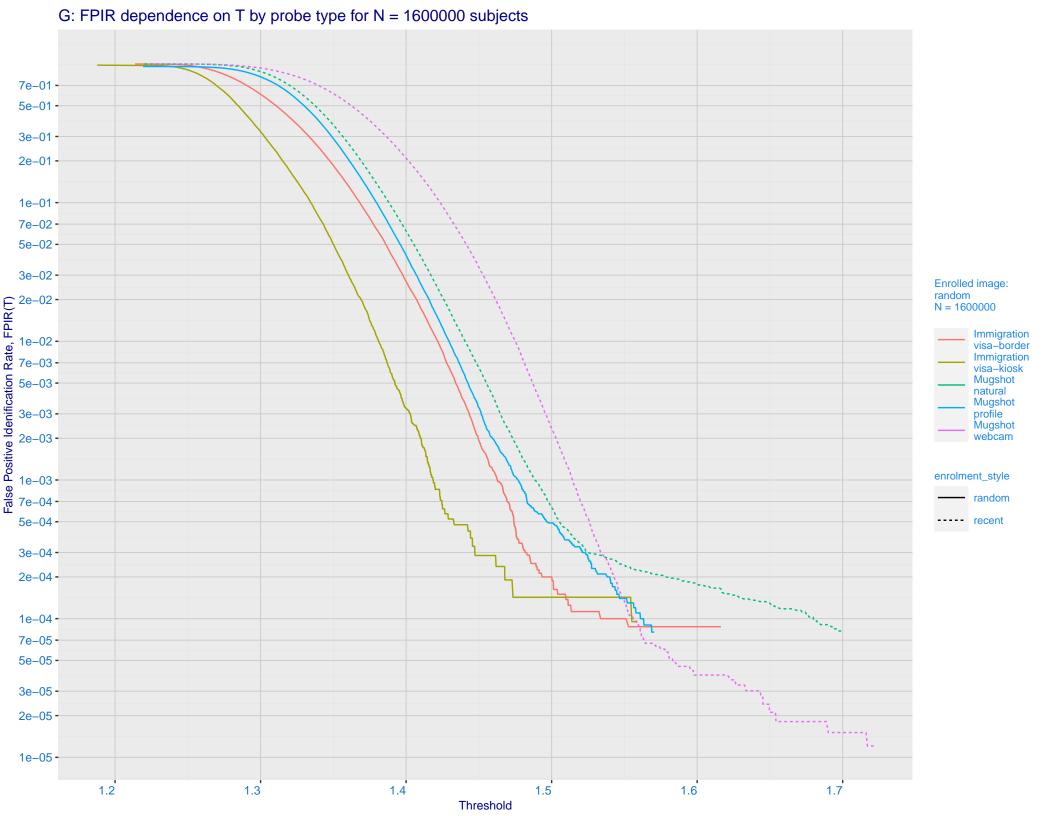


D: 1:N error tradeoff by dataset and enrollment type. N = 1600000 individuals Immigration Immigration Mugshot visa-border visa-kiosk natural 0.500 -0.300 -0.200 -0.100 -0.070 -0.050 cubox 000 0.030 -0.020 -0.010 -0.007 -Ealse negative identification rate, FNIR(T) 0.003 - 0.002 - 0.001 - 0.500 - 0.200 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0. enrolment\_style random-ONE-MATE recent-ONE-MATE 0.070 -0.050 -0.030 -0.020 -0.010 -0.007 -0.005 -0.003 -0.002 -0.001 -False positive identification rate, FPIR(T)

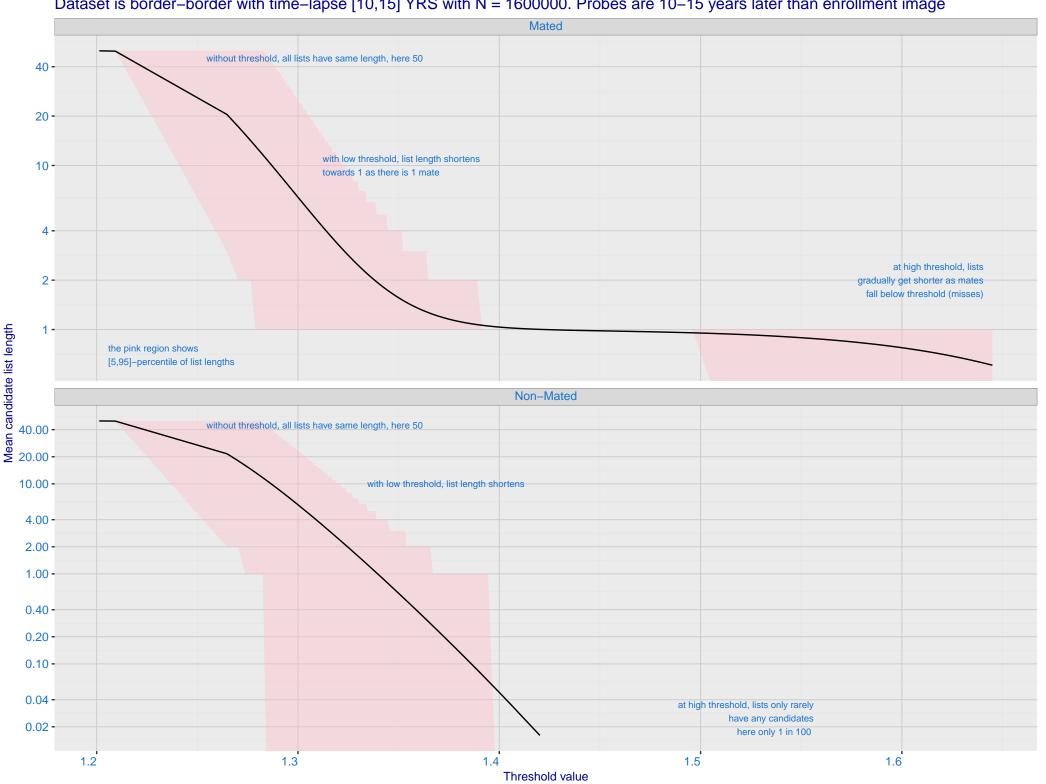
E: Dependence of error rates on T by number enrolled identities, N, for Mugshot natural images



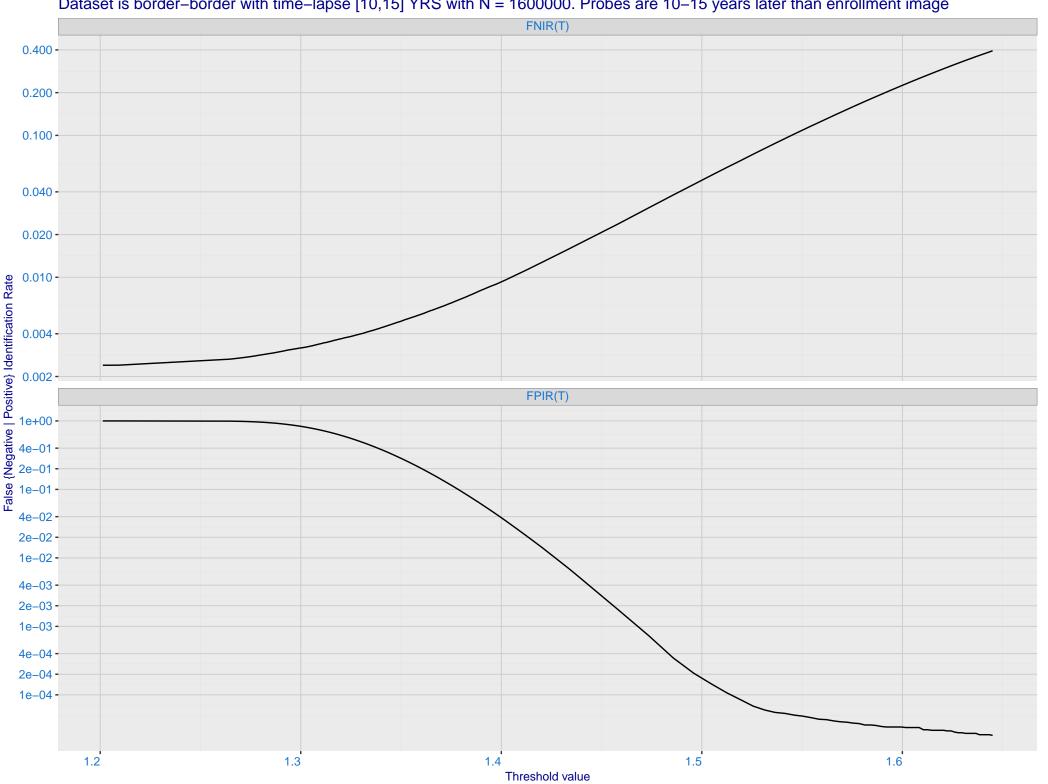
F: FPIR vs. Selectivity for mugshot images, N = 1600000 subjects enrolled with one recent mate 7e+01 -5e+01 -3e+01 -2e+01 -1e+01 -7e+00 -5e+00 -3e+00 -2e+00 -1e+00 -7e-01 -5e-01 -3e-01 -2e-01 -1e-01 -7e-02 -5e-02 -5e-02 -3e-02 -1e-02 -**Enrolled images:** recent N = 1600000 Mugshot natural Mugshot webcam 7e-03 -5e-03 -3e-03 -2e-03 -1e-03 -7e-04 -5e-04 -3e-04 -2e-04 -1e-04 -7e-05 -5e-05 -3e-05 -2e-05 -1e-05 -1e-05 3e-05 1e-04 3e-04 1e-03 3e-03 1e-02 3e-02 1e-01 3e-01 False Positive Idenification Rate, FPIR(T)

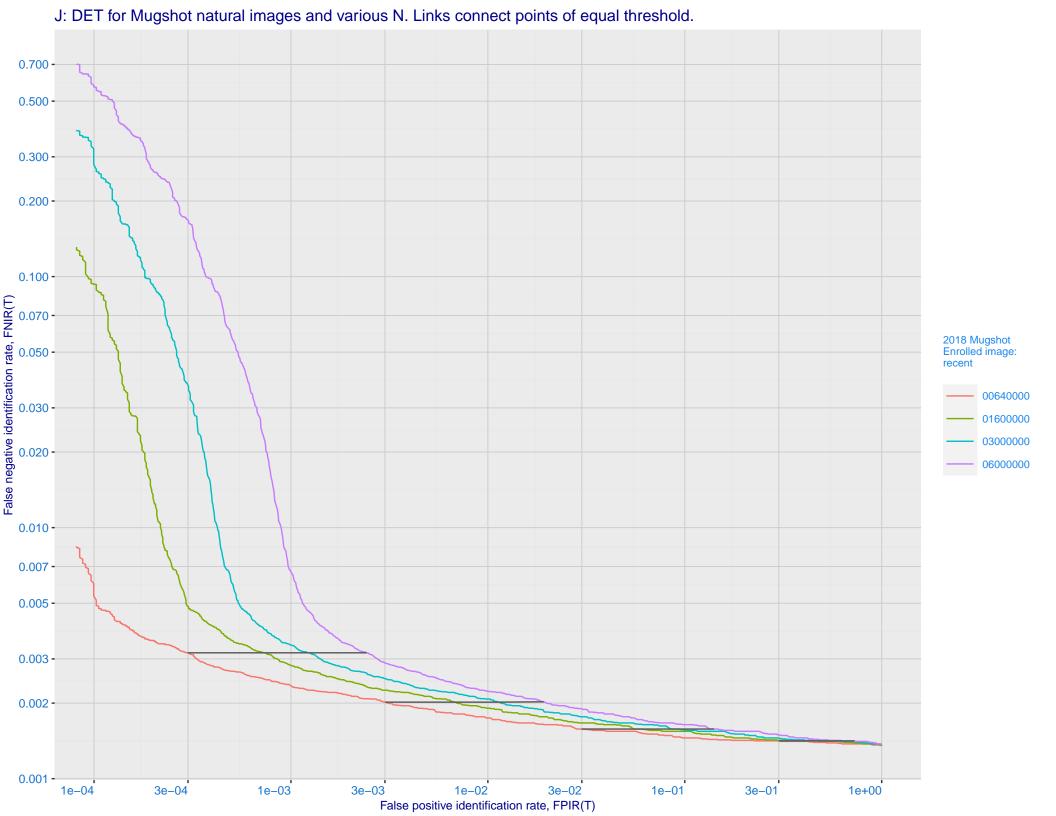


H: Reduced length candidate lists for human review Dataset is border–border with time–lapse [10,15] YRS with N = 1600000. Probes are 10–15 years later than enrollment image

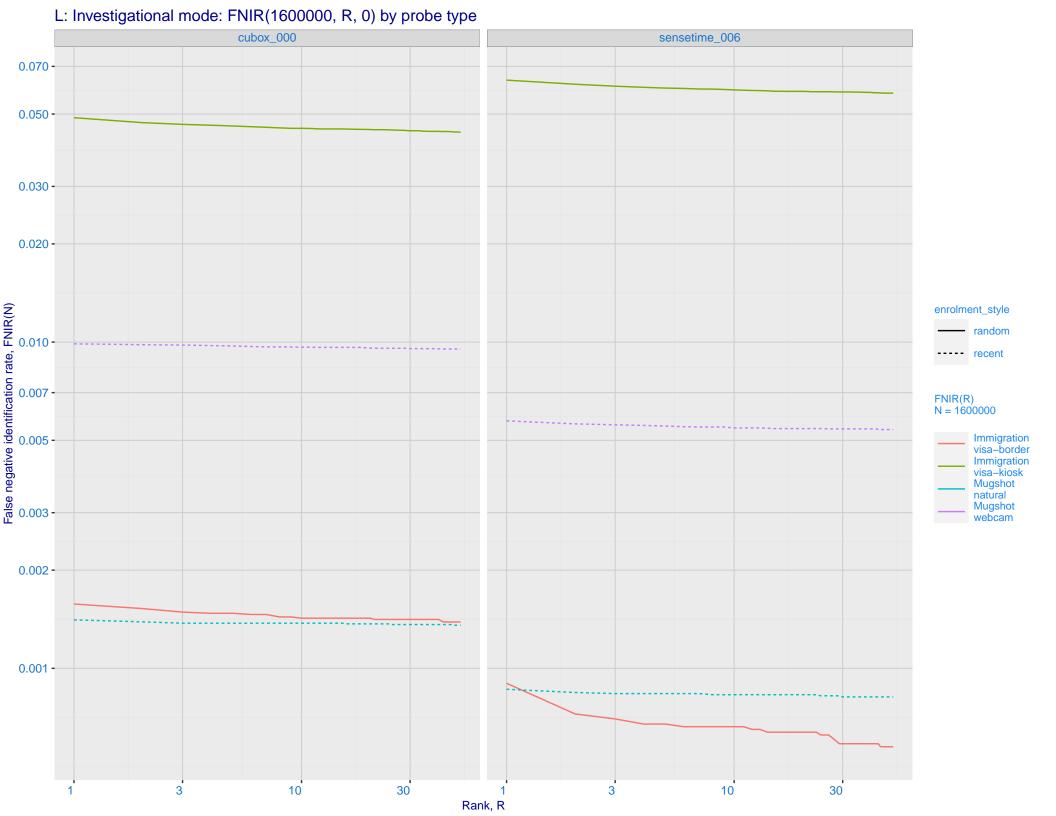


I: FNIR and FPIR dependence on threshold Dataset is border–border with time–lapse [10,15] YRS with N = 1600000. Probes are 10–15 years later than enrollment image

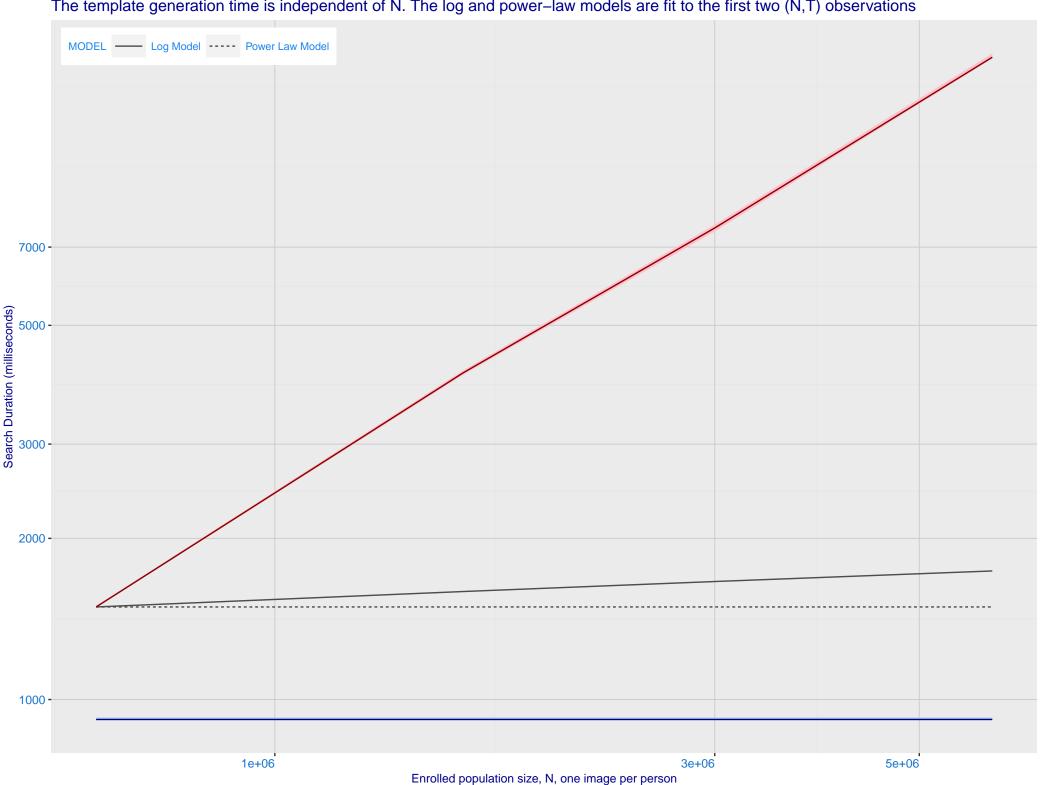




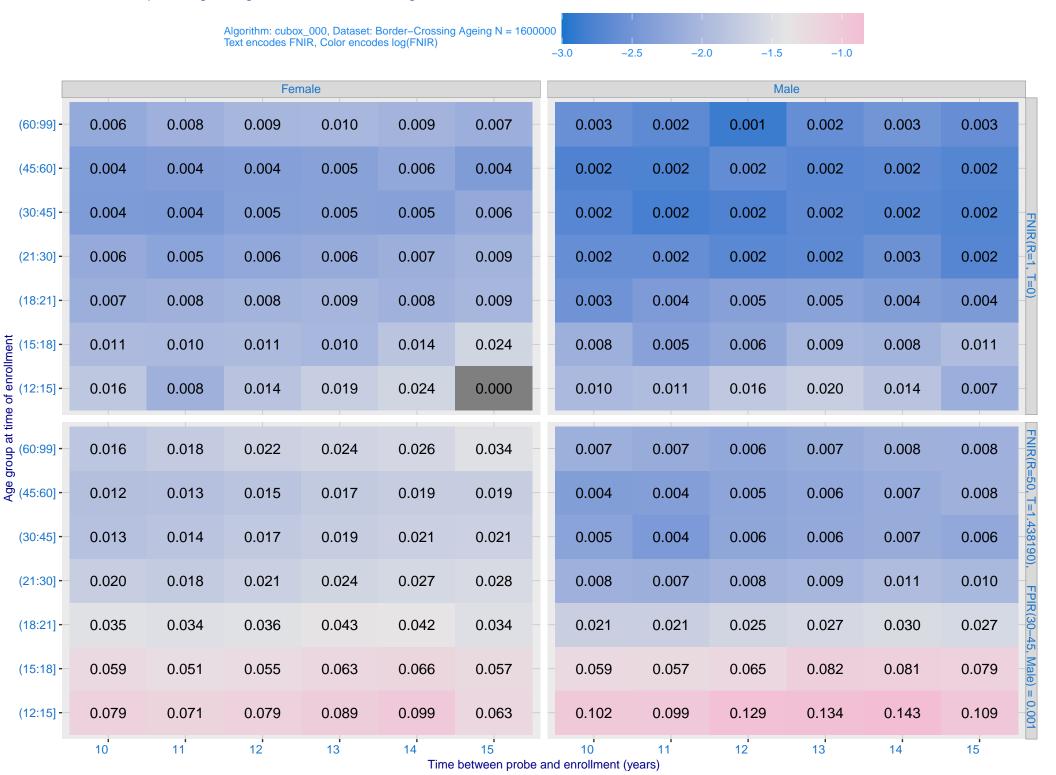
K: Investigational mode: FNIR(N, 1, 0) vs. most accurate (sensetime\_006) Immigration **Immigration** visa-border visa-kiosk 0.070 -0.050 -0.030 -0.020 -0.010 -0.007 -0.005 -0.003 -Ealse negative identification rate, FNIR(N) - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - 0.000 - FNIR@Rank = 1 --- cubox\_000 sensetime\_006 Mugshot natural Mugshot webcam enrolment\_style random ---- recent 0.020 -0.010 -0.007 -0.005 -0.003 -0.002 -0.001 -1e+06 3e+06 1e+07 1e+06 3e+06 1e+07 Enrolled population size, N



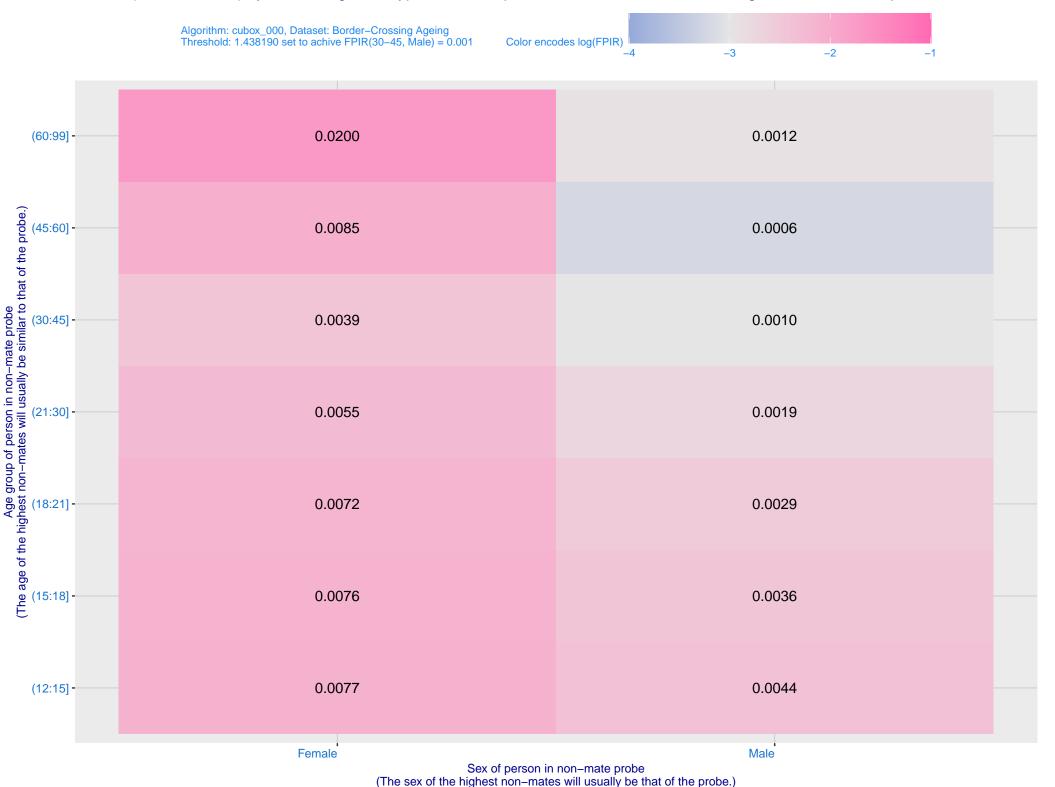
M: Template duration; search duration vs. N. The blue and pink ribbon covers 95 percent of observed measurements. The template generation time is independent of N. The log and power–law models are fit to the first two (N,T) observations



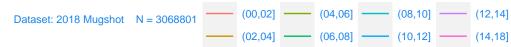
O: FNIR(T, N = 1.6 million) by sex, age and time-lapse. The top row gives investigational rank-1 miss rates. The bottom panels give high threshold for more lights-out identification with low FPIR.

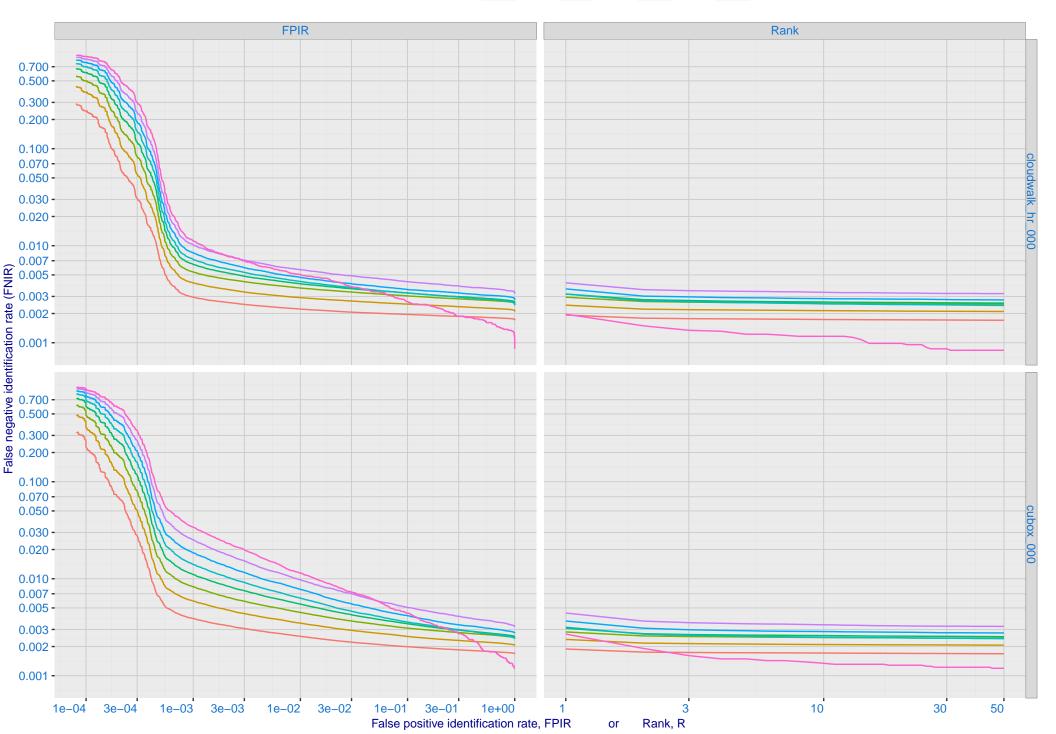


P: FPIR(N = 1.6 million) by sex and age. It is typical for false positive identification rates to be higher in women except in their teens.



Q: Identification FNIR(N, T, L+1) and Investigational FNIR(N, 0, R) under ageing





R: Decline of genuine scores with ageing, with some eventually dropping below typical thresholds shown by the horizontal lines 2.0 -

