## A: Datasheet

Algorithm: neurotechnology\_009

Developer: Neurotechnology

Submission Date: 2021\_09\_01

Template size: 513 bytes

Template time (2.5 percentile): 682 msec

Template time (median): 683 msec

Template time (97.5 percentile): 707 msec

Investigation:

Frontal mugshot ranking 29 (out of 329) -- FNIR(1600000, 0, 1) = 0.0014 vs. lowest 0.0009 from sensetime\_006

Mugshot webcam ranking 46 (out of 291) -- FNIR(1600000, 0, 1) = 0.0107 vs. lowest 0.0057 from sensetime\_006

Mugshot profile ranking 42 (out of 260) -- FNIR(1600000, 0, 1) = 0.1789 vs. lowest 0.0550 from sensetime\_006

Immigration visa-border ranking 23 (out of 218) -- FNIR(1600000, 0, 1) = 0.0022 vs. lowest 0.0009 from sensetime\_006

Immigration visa-kiosk ranking 32 (out of 215) -- FNIR(1600000, 0, 1) = 0.0787 vs. lowest 0.0487 from cubox\_000

Identification:

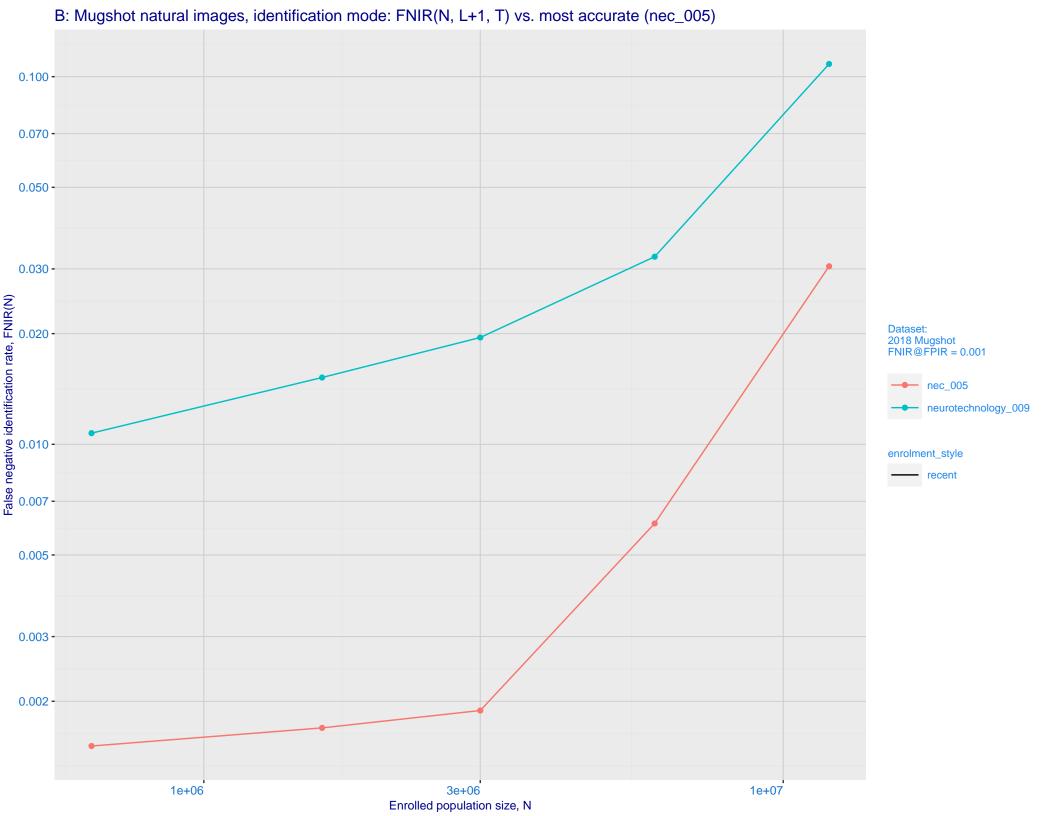
Frontal mugshot ranking 60 (out of 329) -- FNIR(1600000, T, L+1) = 0.0152, FPIR=0.001000 vs. lowest 0.0017 from nec\_005

Mugshot webcam ranking 56 (out of 289) -- FNIR(1600000, T, L+1) = 0.0518, FPIR=0.001000 vs. lowest 0.0120 from nec\_005

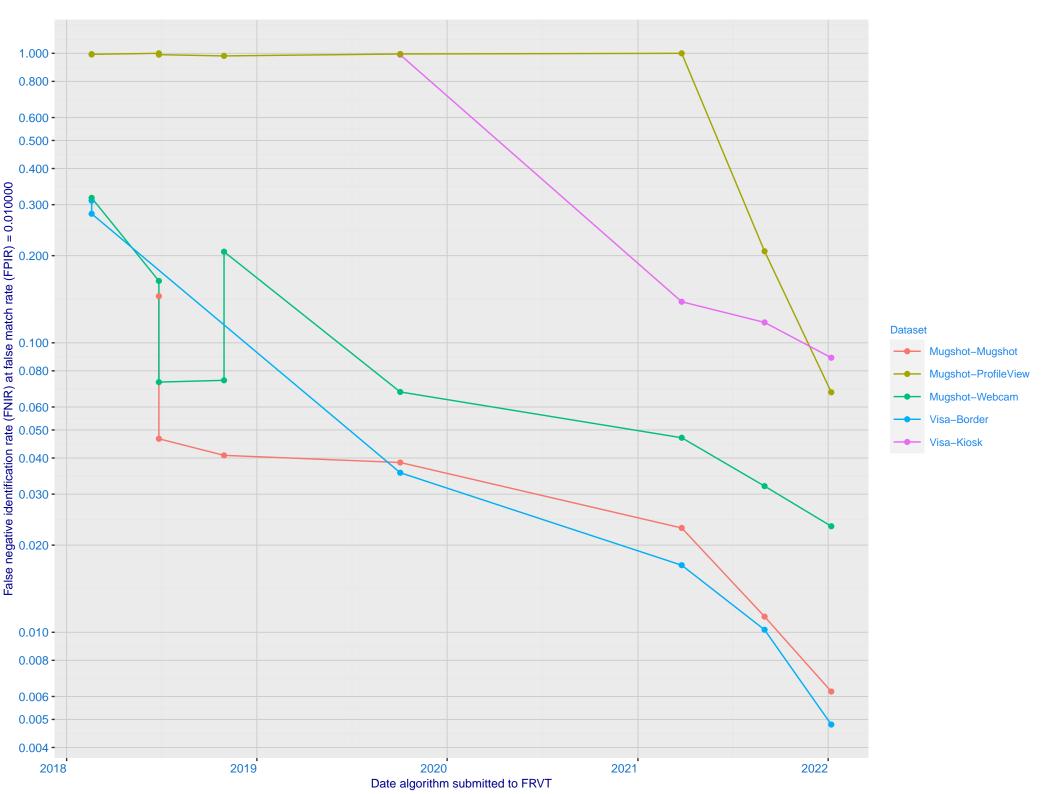
Mugshot profile ranking 27 (out of 259) — FNIR(1600000, T, L+1) = 0.5878, FPIR=0.001000 vs. lowest 0.1331 from cloudwalk\_hr\_000

Immigration visa-border ranking 47 (out of 217) -- FNIR(1600000, T, L+1) = 0.0204, FPIR=0.001000 vs. lowest 0.0032 from paravision\_009

Immigration visa-kiosk ranking 35 (out of 212) -- FNIR(1600000, T, L+1) = 0.1653, FPIR=0.001000 vs. lowest 0.0728 from paravision\_009

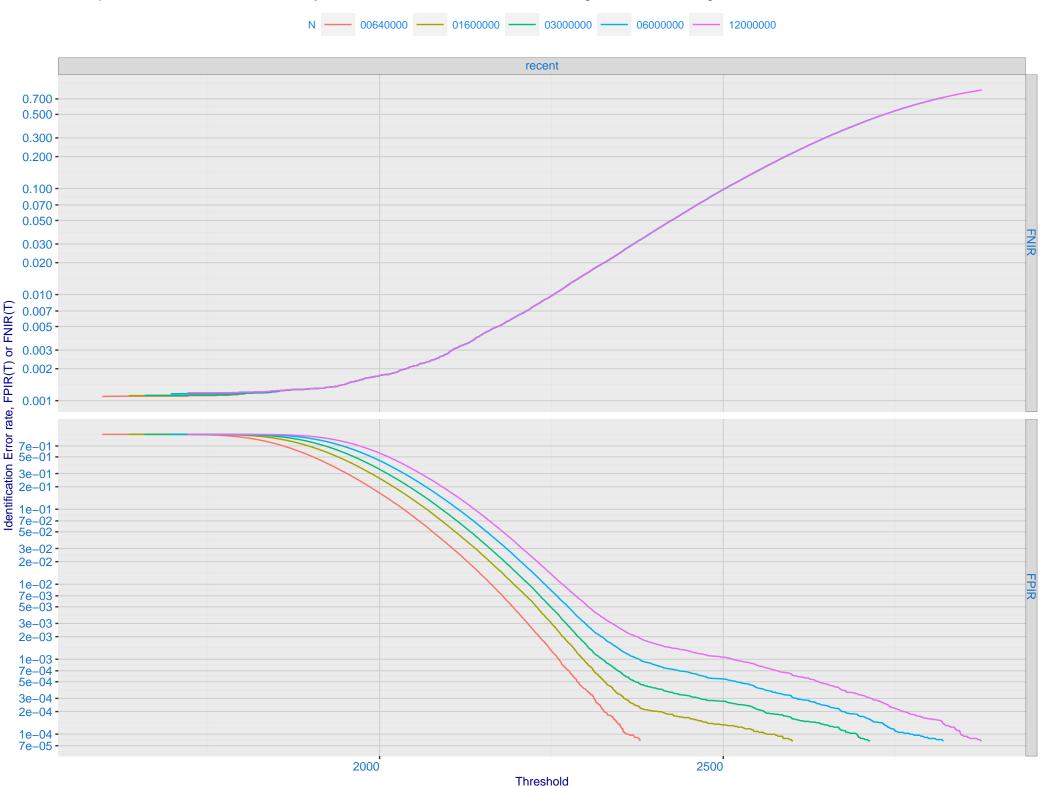


C: Evolution of accuracy for NEUROTECHNOLOGY algorithms on three datasets 2018 – present

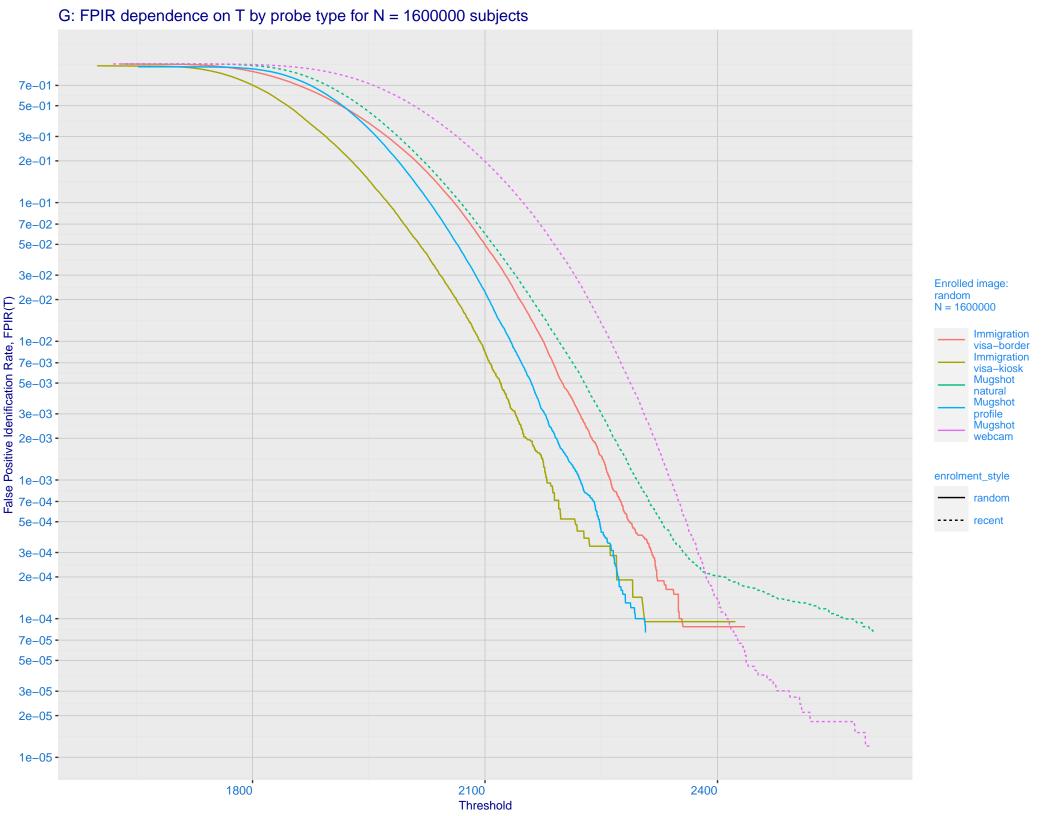


D: 1:N error tradeoff by dataset and enrollment type. N = 1600000 individuals Immigration Immigration Mugshot visa-border visa-kiosk natural 0.500 -0.300 -0.200 -0.100 -0.070 -0.050 -0.030 -0.020 -0.010 -0.007 -Ealse negative identification rate, FNIR(T) 0.003 - 0.002 - 0.001 - 0.500 - 0.200 - 0.100 - 0. enrolment\_style random-ONE-MATE recent-ONE-MATE 0.070 neurotechnology 009 0.050 -0.030 -0.020 -0.010 -0.007 -0.005 -0.003 -0.002 -0.001 -False positive identification rate, FPIR(T)

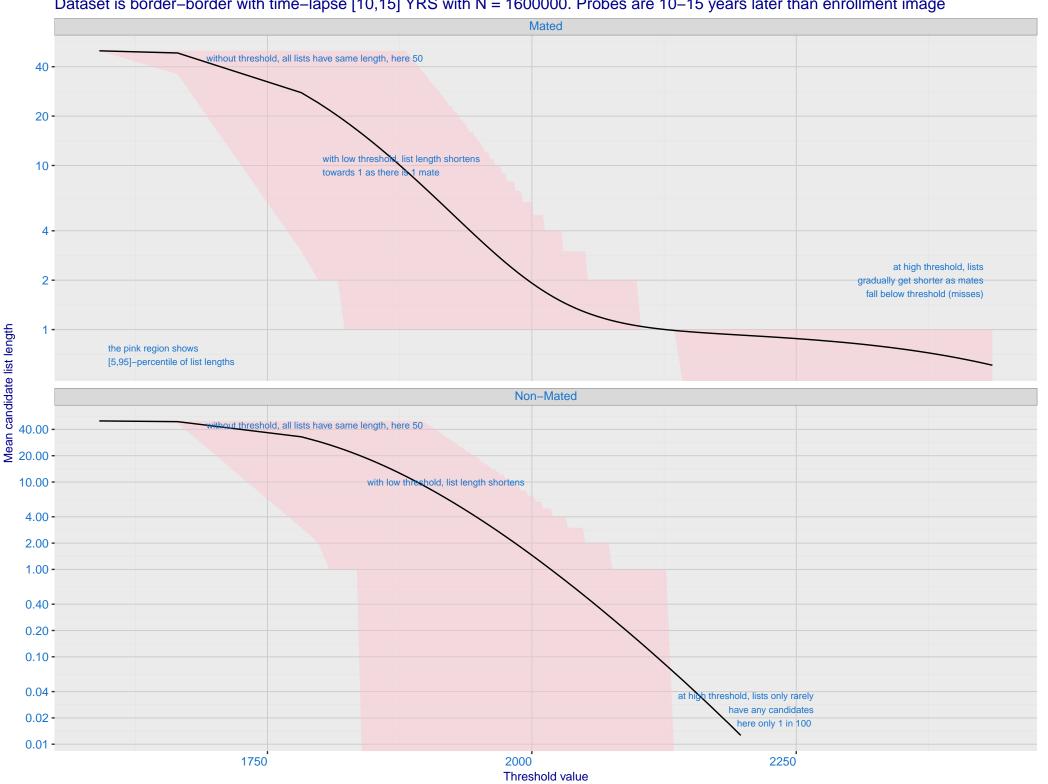
E: Dependence of error rates on T by number enrolled identities, N, for Mugshot natural images



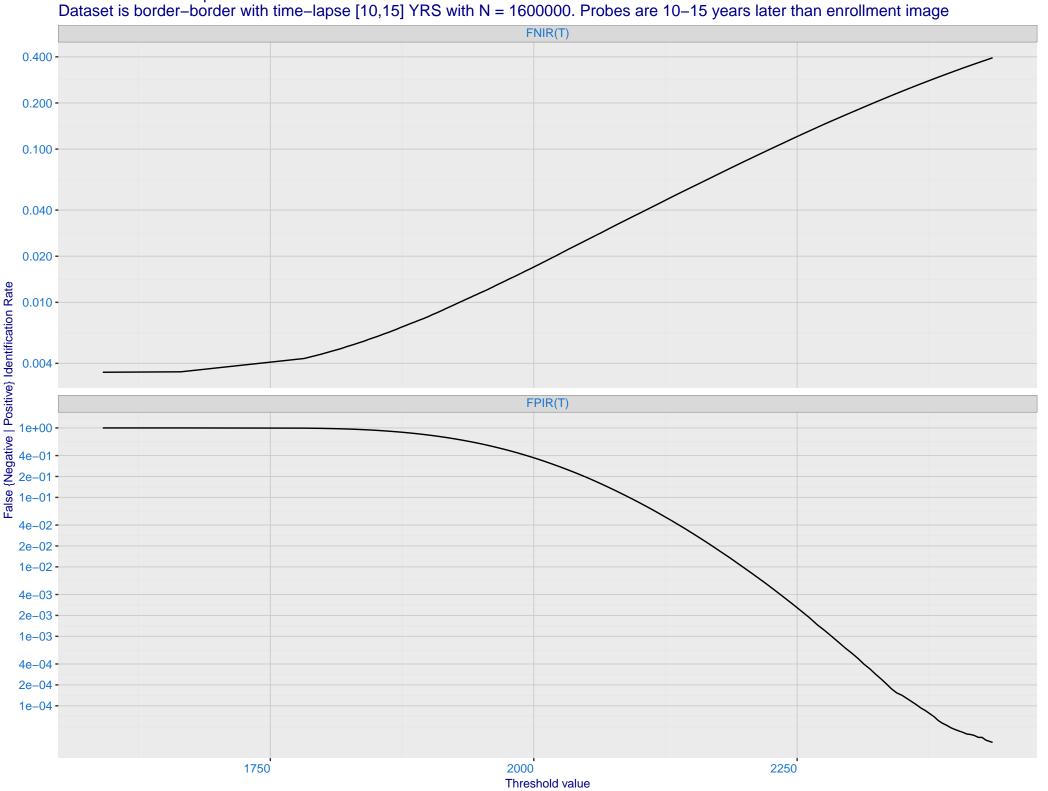
F: FPIR vs. Selectivity for mugshot images, N = 1600000 subjects enrolled with one recent mate 7e+01 -5e+01 -3e+01 -2e+01 -1e+01 -7e+00 -5e+00 -3e+00 -2e+00 -1e+00 -7e-01 -5e-01 -3e-01 -2e-01 -1e-01 -7e-02 -5e-02 -3e-02 -3e-02 -1e-02 -**Enrolled images:** recent N = 1600000 Mugshot natural Mugshot webcam 7e-03 -5e-03 -3e-03 -2e-03 -1e-03 -7e-04 -5e-04 -3e-04 -2e-04 -1e-04 -7e-05 -5e-05 -3e-05 -2e-05 -1e-05 -1e-05 3e-05 1e-04 3e-04 1e-03 3e-03 1e-02 3e-02 1e-01 3e-01 False Positive Idenification Rate, FPIR(T)

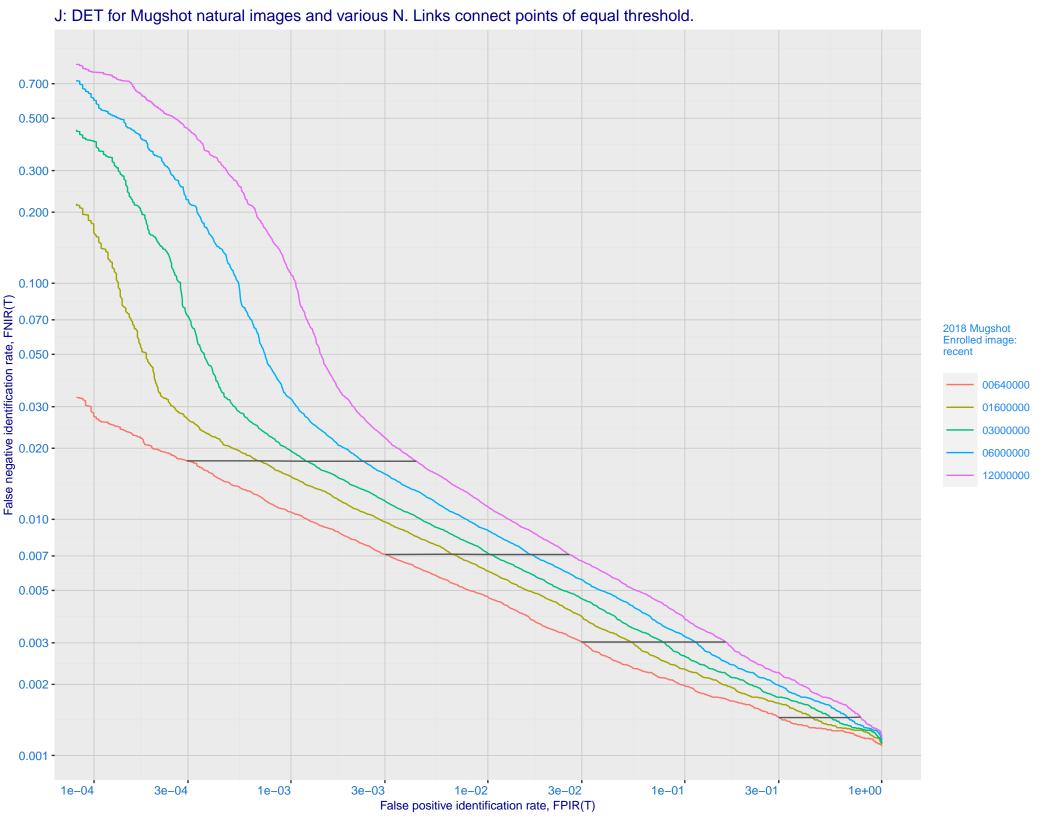


H: Reduced length candidate lists for human review Dataset is border–border with time–lapse [10,15] YRS with N = 1600000. Probes are 10–15 years later than enrollment image

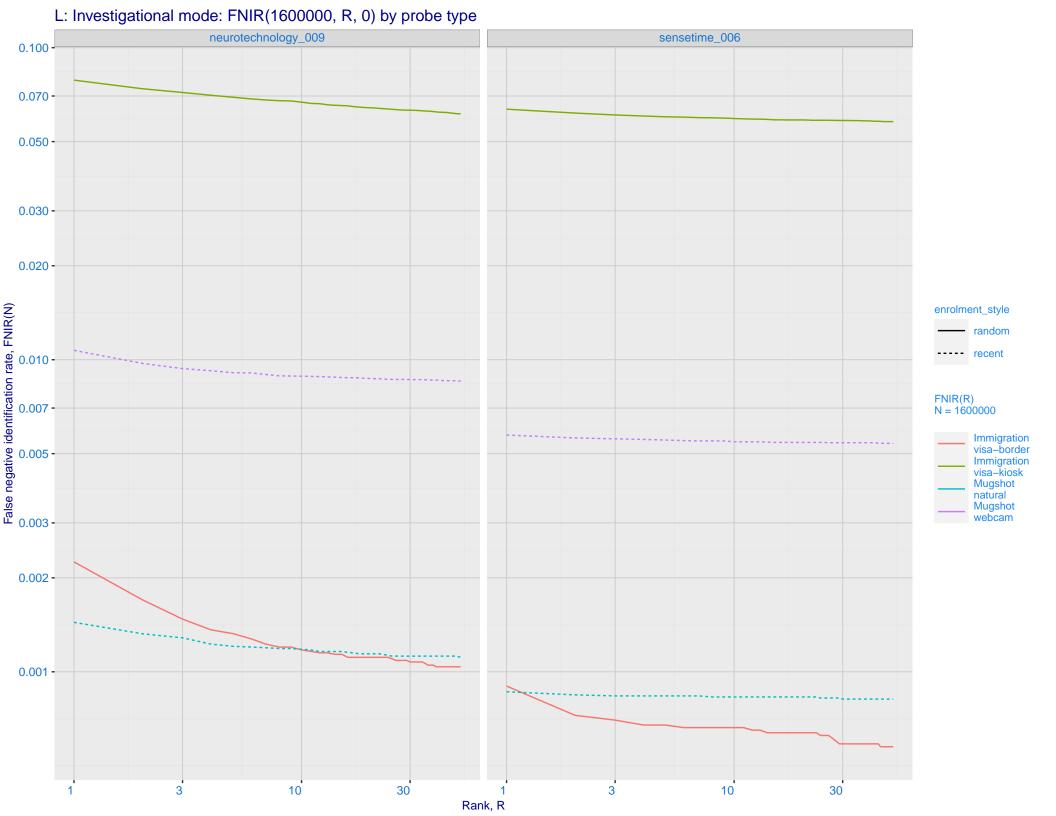


I: FNIR and FPIR dependence on threshold Dataset is border–border with time–lapse [10,15] YRS with N = 1600000. Probes are 10–15 years later than enrollment image

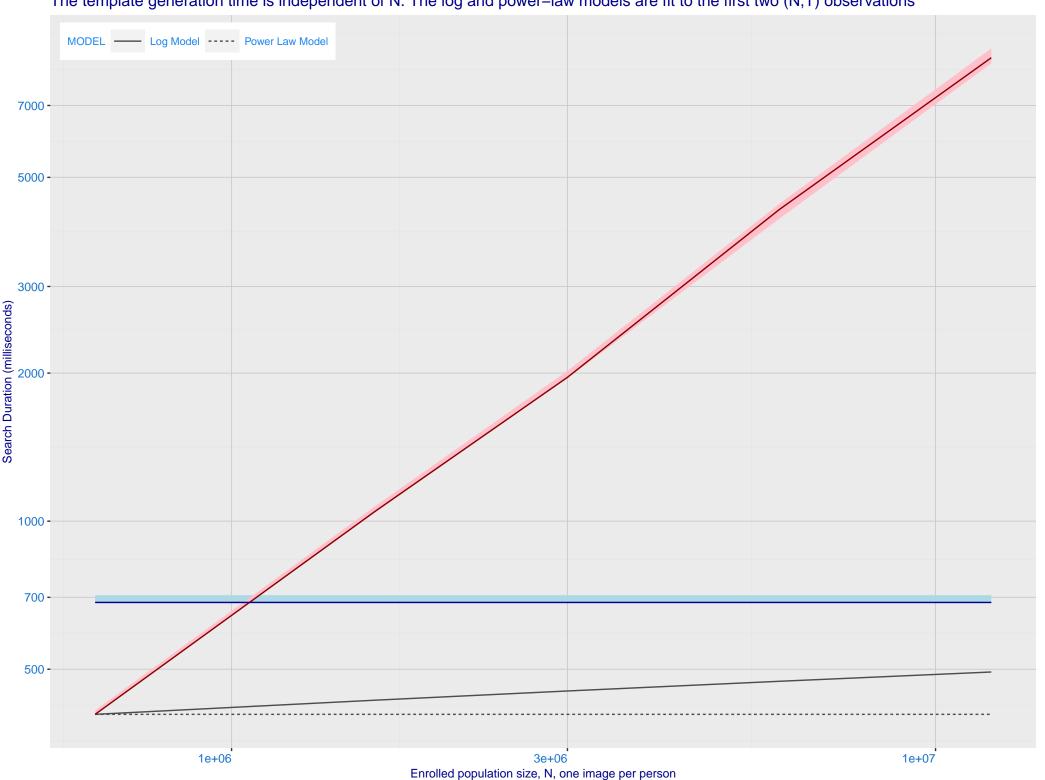




K: Investigational mode: FNIR(N, 1, 0) vs. most accurate (sensetime\_006) Immigration **Immigration** visa-border visa-kiosk 0.070 -0.050 -0.030 -0.020 -0.010 -0.007 -0.005 -0.003 -Ealse negative identification rate, FNIR(N) 0.002 - 0.001 - 0.000 0.000 - 0.00 enrolment\_style random ---- recent Mugshot webcam Mugshot natural FNIR@Rank = 1 neurotechnology\_009 sensetime\_006 0.020 -0.010 -0.007 -0.005 -0.003 -0.002 -0.001 -1e+06 3e+06 1e+07 1e+06 3e+06 1e+07 Enrolled population size, N



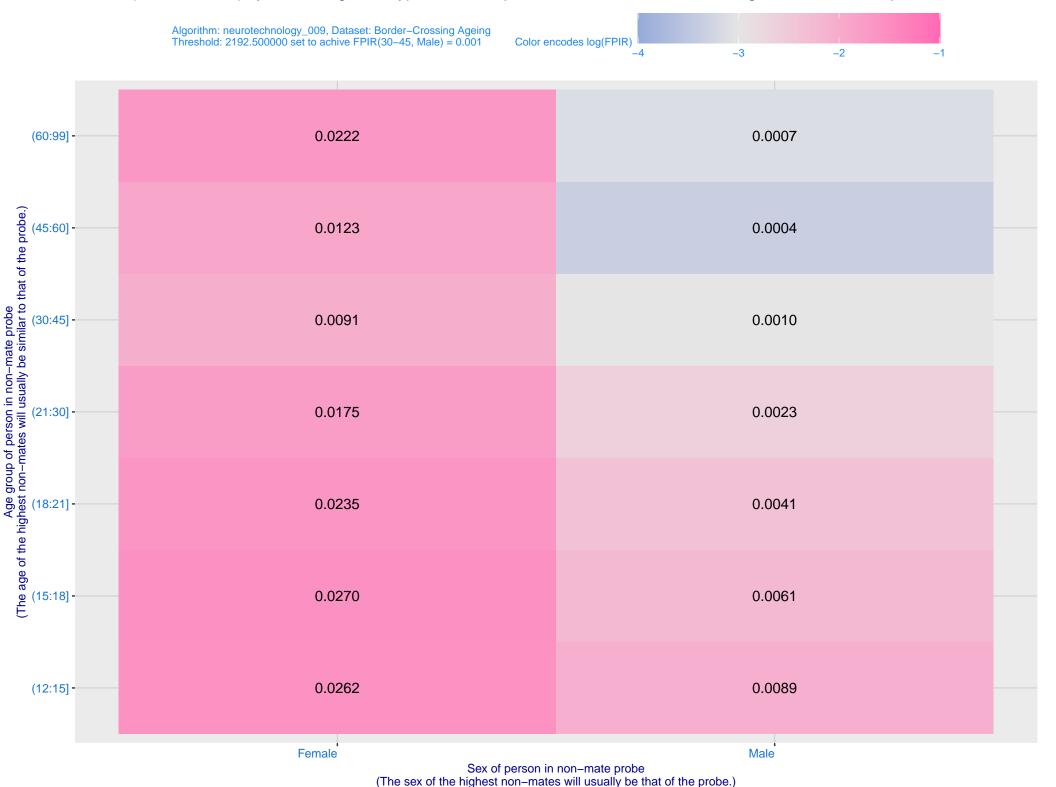
M: Template duration; search duration vs. N. The blue and pink ribbon covers 95 percent of observed measurements. The template generation time is independent of N. The log and power–law models are fit to the first two (N,T) observations



O: FNIR(T, N = 1.6 million) by sex, age and time-lapse. The top row gives investigational rank-1 miss rates. The bottom panels give high threshold for more lights-out identification with low FPIR.



P: FPIR(N = 1.6 million) by sex and age. It is typical for false positive identification rates to be higher in women except in their teens.



Q: Identification FNIR(N, T, L+1) and Investigational FNIR(N, 0, R) under ageing



