## A: Datasheet

Algorithm: dahua\_003

Developer: Dahua Technology Co Ltd

Submission Date: 2020\_11\_18

Template size: 2048 bytes

Template time (2.5 percentile): 722 msec

Template time (median): 723 msec

Template time (97.5 percentile): 730 msec

Investigation:

Frontal mugshot ranking 18 (out of 329) -- FNIR(1600000, 0, 1) = 0.0012 vs. lowest 0.0009 from sensetime\_006

Mugshot webcam ranking 10 (out of 291) -- FNIR(1600000, 0, 1) = 0.0072 vs. lowest 0.0057 from sensetime\_006

Mugshot profile ranking 44 (out of 260) -- FNIR(1600000, 0, 1) = 0.2060 vs. lowest 0.0550 from sensetime\_006

Immigration visa-border ranking 18 (out of 218) -- FNIR(1600000, 0, 1) = 0.0020 vs. lowest 0.0009 from sensetime\_006

Immigration visa-kiosk ranking 19 (out of 215) -- FNIR(1600000, 0, 1) = 0.0725 vs. lowest 0.0487 from cubox\_000

Identification:

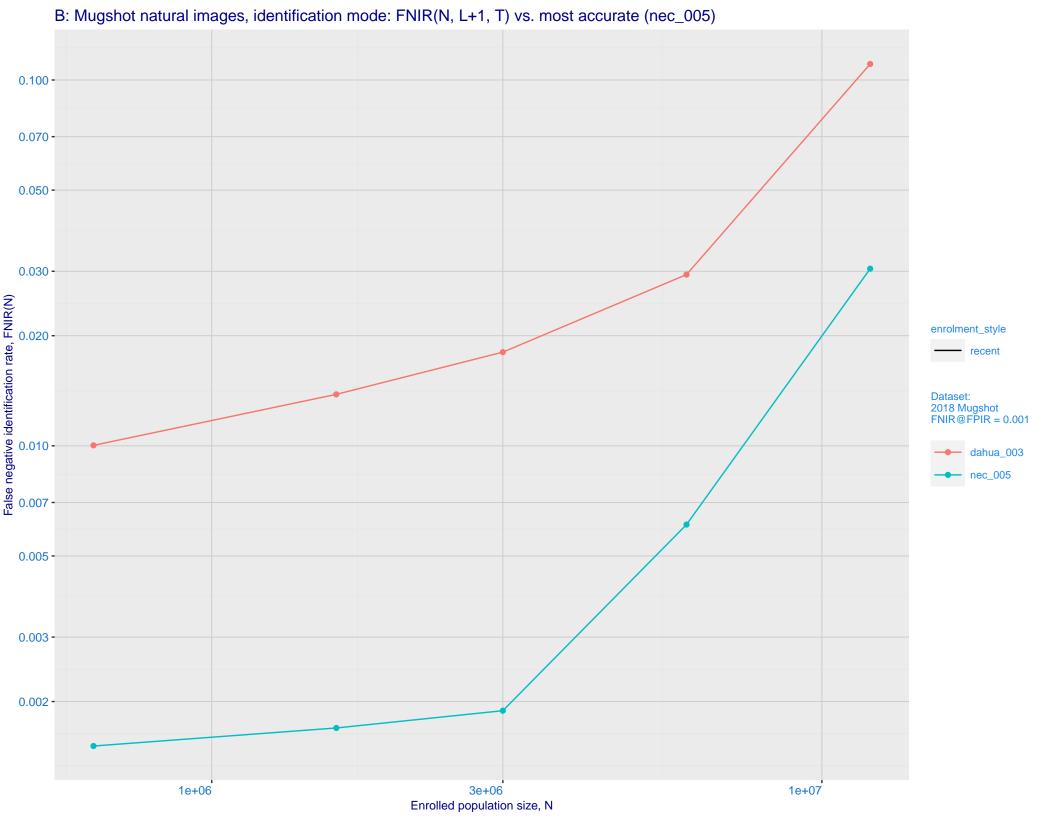
Frontal mugshot ranking 52 (out of 329) -- FNIR(1600000, T, L+1) = 0.0138, FPIR=0.001000 vs. lowest 0.0017 from nec\_005

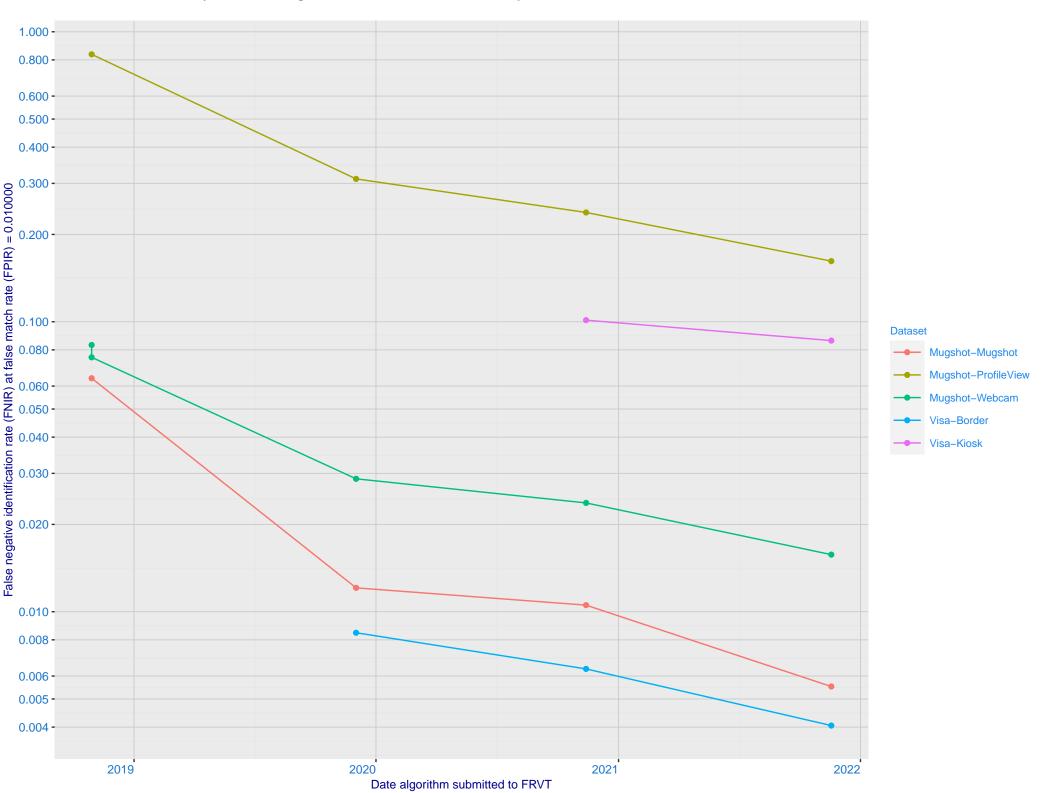
Mugshot webcam ranking 42 (out of 289) -- FNIR(1600000, T, L+1) = 0.0410, FPIR=0.001000 vs. lowest 0.0120 from nec\_005

Mugshot profile ranking 25 (out of 259) -- FNIR(1600000, T, L+1) = 0.5790, FPIR=0.001000 vs. lowest 0.1331 from cloudwalk\_hr\_000

Immigration visa-border ranking 32 (out of 217) -- FNIR(1600000, T, L+1) = 0.0125, FPIR=0.001000 vs. lowest 0.0032 from paravision\_009

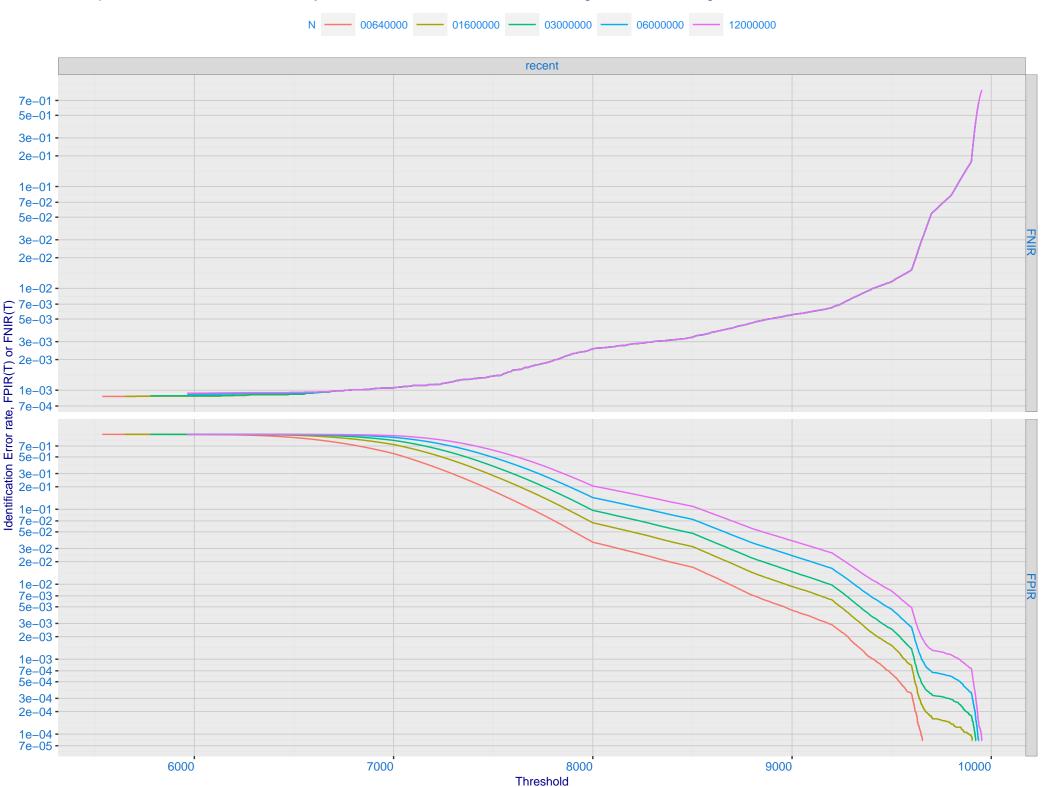
Immigration visa-kiosk ranking 23 (out of 212) -- FNIR(1600000, T, L+1) = 0.1356, FPIR=0.001000 vs. lowest 0.0728 from paravision\_009



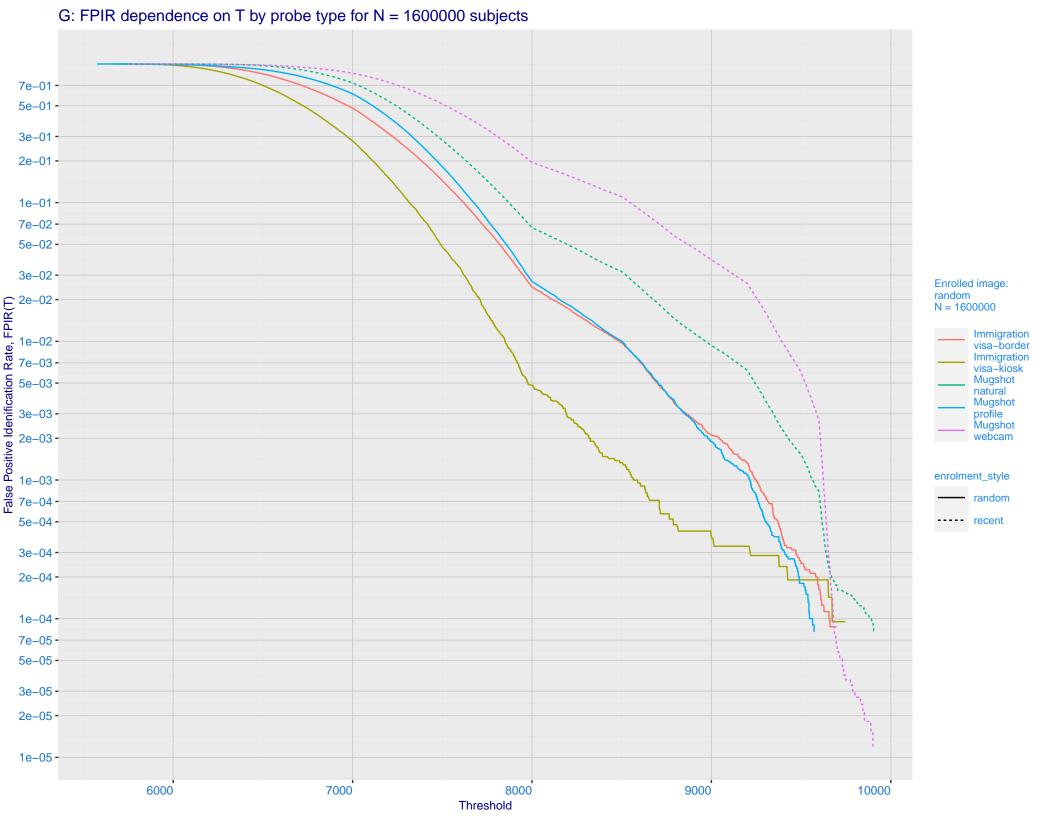


D: 1:N error tradeoff by dataset and enrollment type. N = 1600000 individuals Immigration Immigration Mugshot visa-border visa-kiosk natural 0.500 -0.300 -0.200 -0.100 -0.070 -0.050 -0.030 -0.020 -0.010 -0.007 -20.005 - 0.003 - 0.003 - 0.001 - 0.001 - 0.500 - 0.200 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 - 0.100 enrolment\_style random-ONE-MATE recent-ONE-MATE 0.070 -0.050 -0.030 -0.020 -0.010 -0.007 -0.005 -0.003 -0.002 -0.001 -False positive identification rate, FPIR(T)

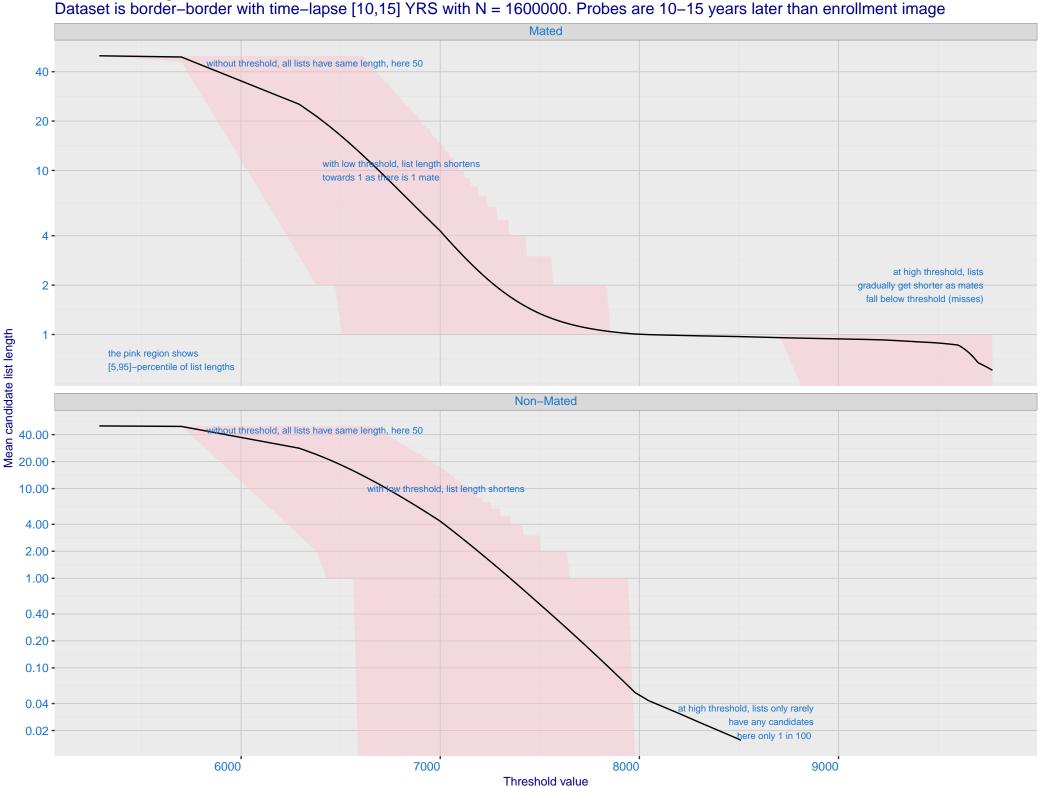
E: Dependence of error rates on T by number enrolled identities, N, for Mugshot natural images



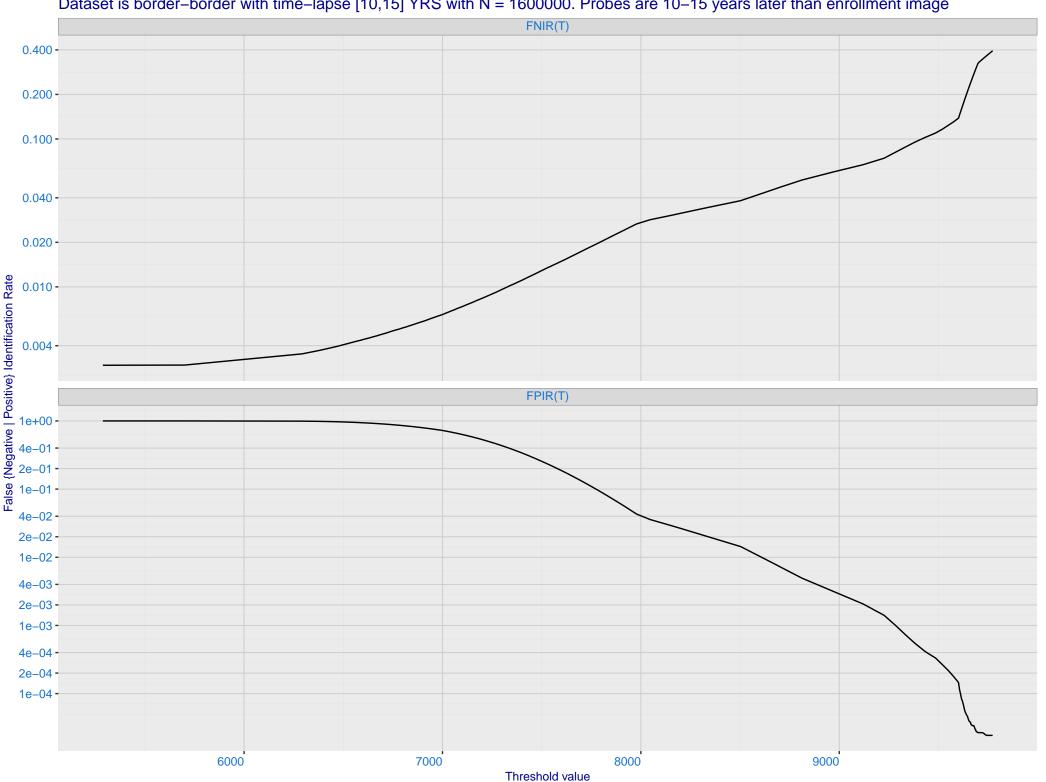
F: FPIR vs. Selectivity for mugshot images, N = 1600000 subjects enrolled with one recent mate 7e+01 -5e+01 -3e+01 -2e+01 -1e+01 -7e+00 -5e+00 -3e+00 -2e+00 -1e+00 -7e-01 -5e-01 -3e-01 -2e-01 -1e-01 -7e-02 -5e-02 -3e-02 -3e-02 -1e-02 -**Enrolled images:** recent N = 1600000 Mugshot natural Mugshot webcam 7e-03 -5e-03 -3e-03 -2e-03 -1e-03 -7e-04 -5e-04 -3e-04 -2e-04 -1e-04 -7e-05 -5e-05 -3e-05 -2e-05 -1e-05 -1e-05 3e-05 1e-04 3e-04 1e-03 3e-03 1e-02 3e-02 1e-01 3e-01 False Positive Idenification Rate, FPIR(T)

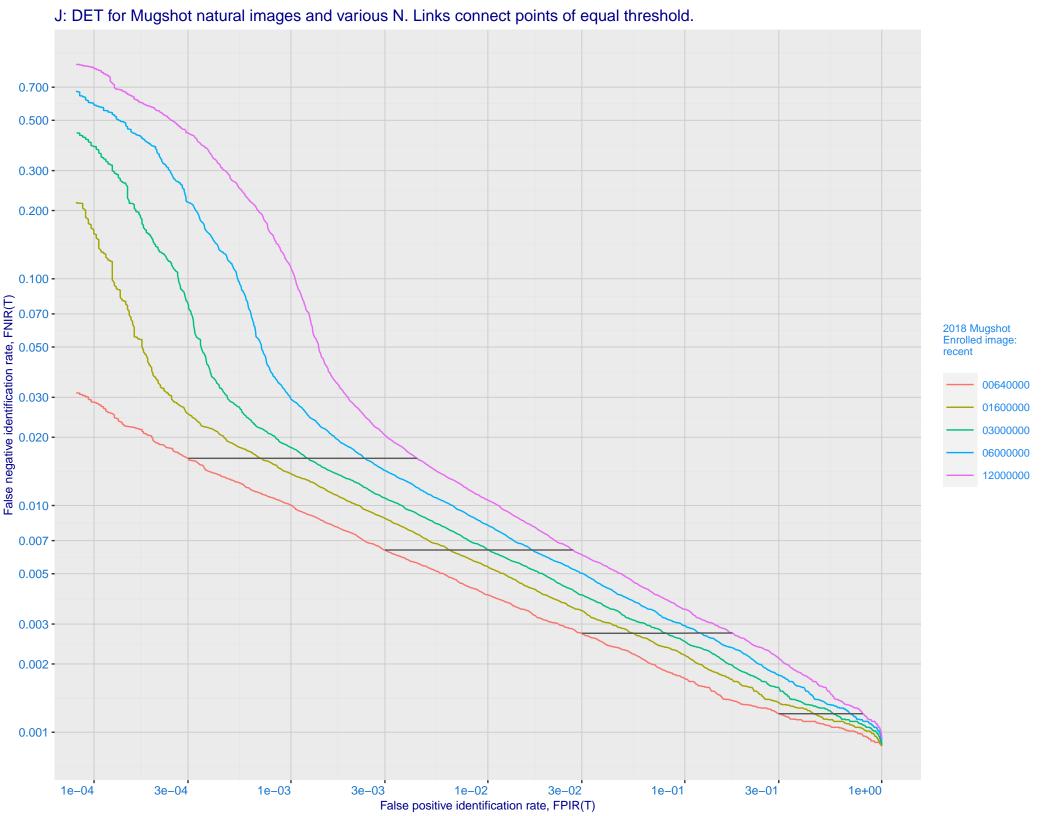


H: Reduced length candidate lists for human review Dataset is border–border with time–lapse [10,15] YRS with N = 1600000. Probes are 10–15 years later than enrollment image

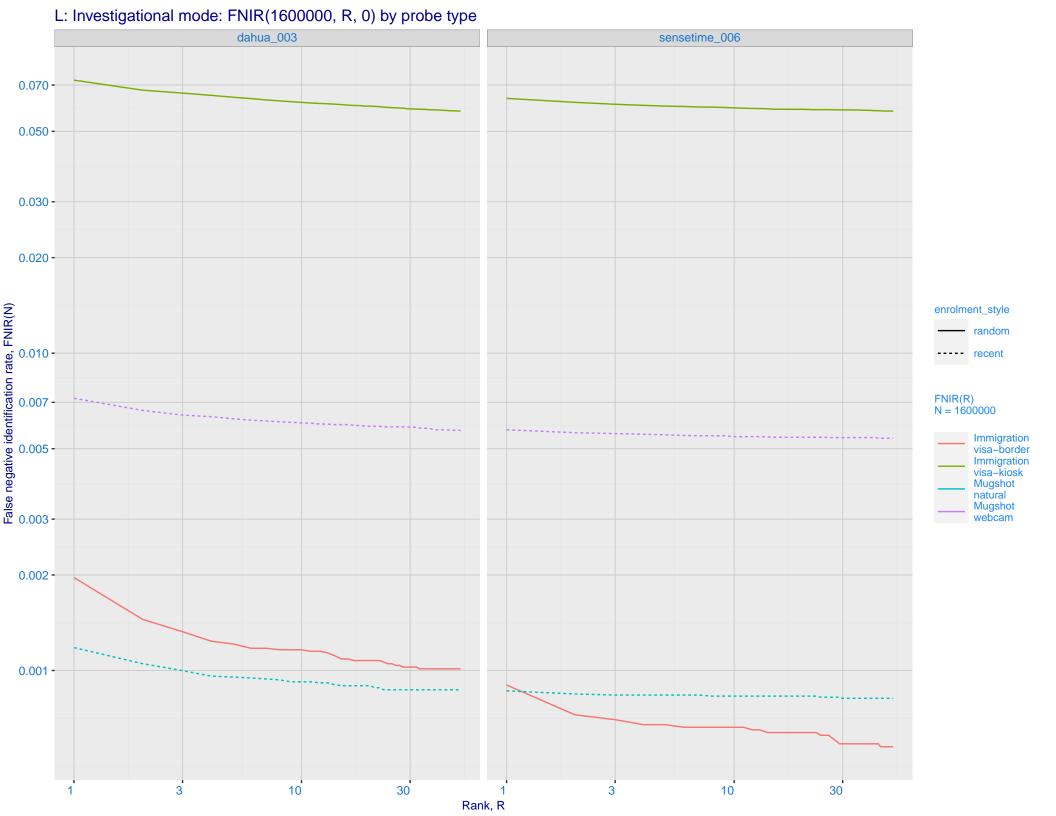


I: FNIR and FPIR dependence on threshold Dataset is border–border with time–lapse [10,15] YRS with N = 1600000. Probes are 10–15 years later than enrollment image

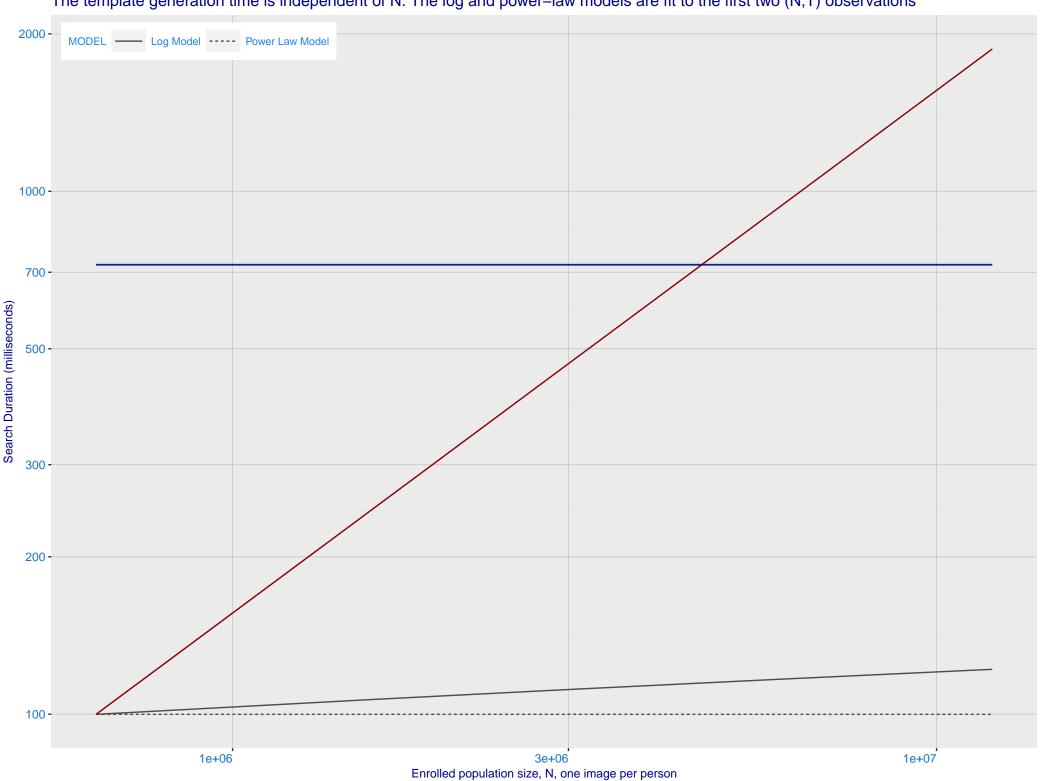




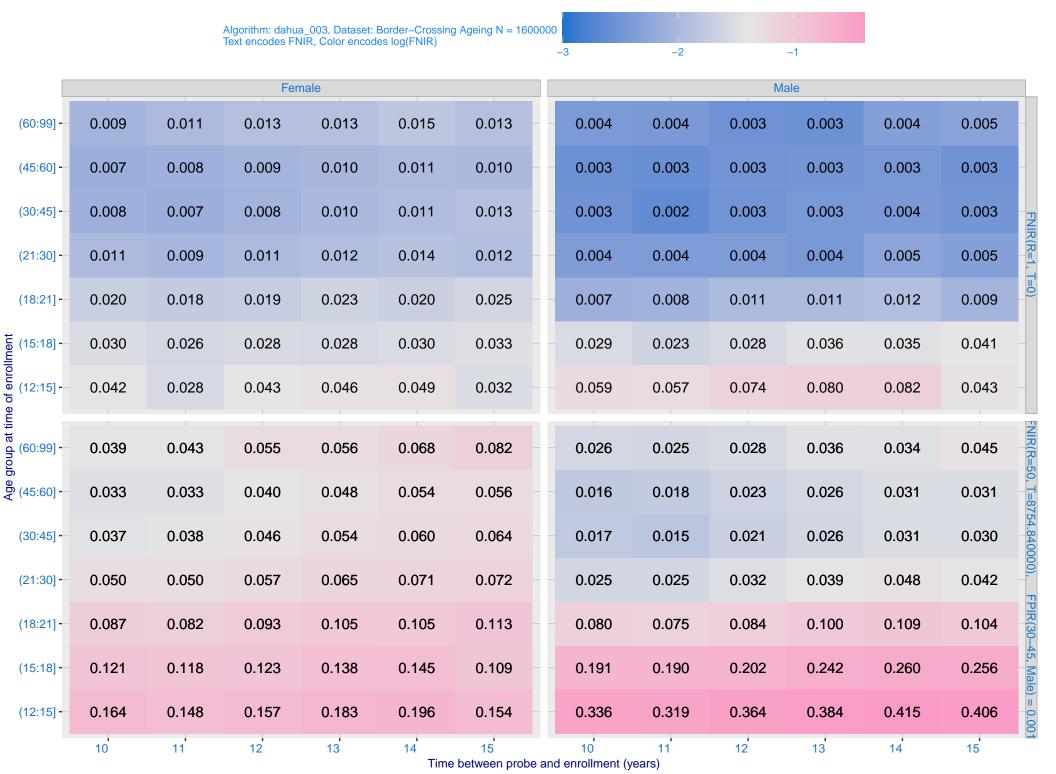
K: Investigational mode: FNIR(N, 1, 0) vs. most accurate (sensetime\_006) Immigration **Immigration** visa-border visa-kiosk 0.070 -0.050 -0.030 -0.020 -0.010 -0.007 -0.005 -0.003 -Ealse negative identification rate, FNIR(N) - 0.000 - 0.000 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 - 0.030 enrolment\_style - random ---- recent Mugshot Mugshot webcam natural FNIR@Rank = 1 -- dahua\_003 sensetime\_006 0.020 -0.010 -0.007 -0.005 -0.003 -0.002 -0.001 -1e+06 3e+06 1e+07 1e+06 3e+06 1e+07 Enrolled population size, N



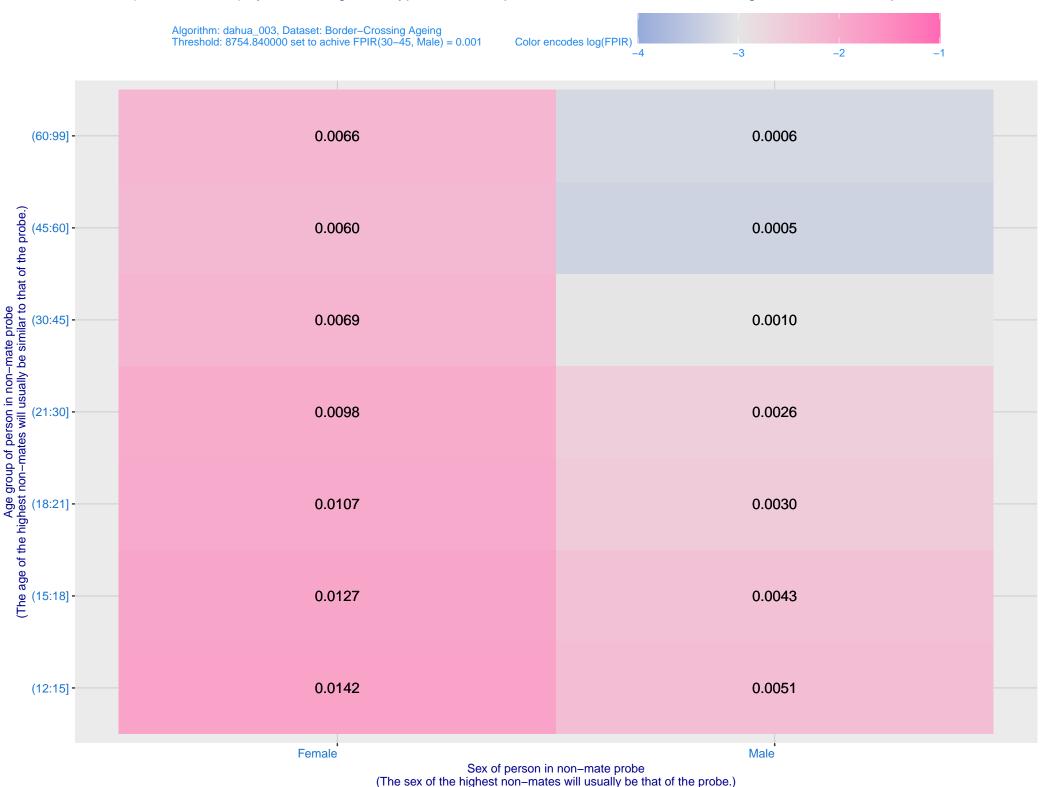
M: Template duration; search duration vs. N. The blue and pink ribbon covers 95 percent of observed measurements. The template generation time is independent of N. The log and power–law models are fit to the first two (N,T) observations



O: FNIR(T, N = 1.6 million) by sex, age and time-lapse. The top row gives investigational rank-1 miss rates. The bottom panels give high threshold for more lights-out identification with low FPIR.

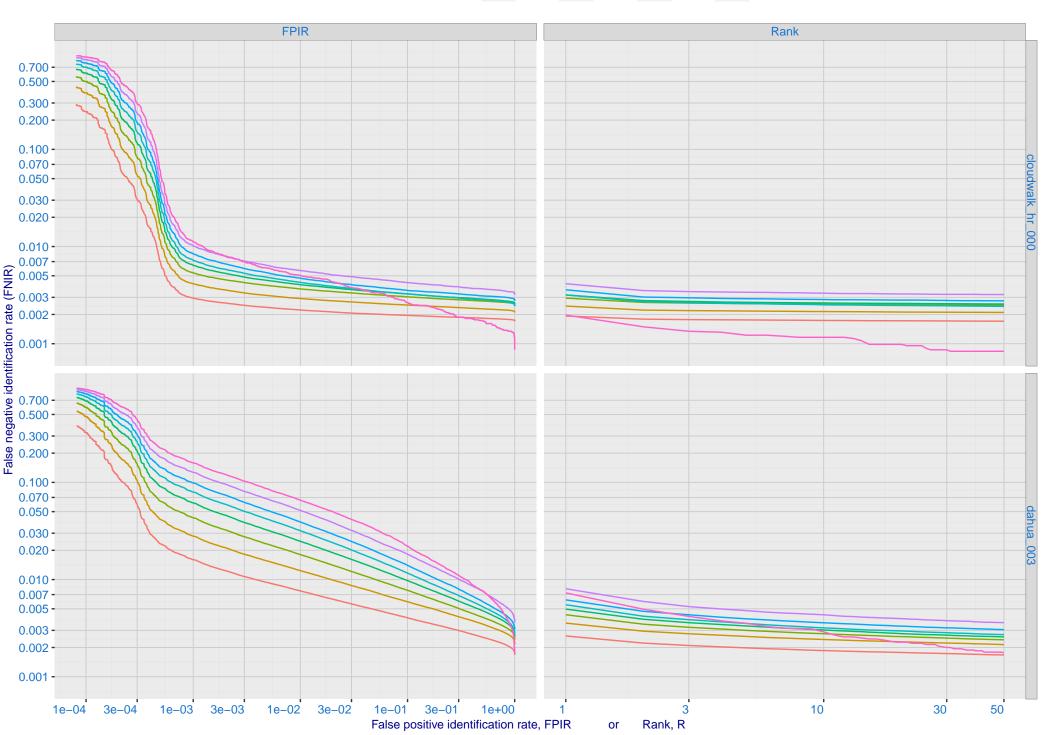


P: FPIR(N = 1.6 million) by sex and age. It is typical for false positive identification rates to be higher in women except in their teens.



Q: Identification FNIR(N, T, L+1) and Investigational FNIR(N, 0, R) under ageing





R: Decline of genuine scores with ageing, with some eventually dropping below typical thresholds shown by the horizontal lines 10000 -Dataset: 2018 Mugshot N= 3.1M 9000 -Color encodes FNIR (Rank = 1) 0.20 0.15 0.10 8000 -0.05 0.00 **TVAL** - FPIR = 0.001 7000 -FPIR = 0.003 FPIR = 0.010FPIR = 0.030 6000 -

(08,10]

Time lapse between search and initial encounter enrollment (years)

(10,12]

(12,14]

(14,18]

(00,02]

(02,04]

(04,06]

(06,08]