## A: Datasheet

Algorithm: cognitec\_004

Developer: Cognitec Systems GmbH

Submission Date: 2021\_03\_08

Template size: 2052 bytes

Template time (2.5 percentile): 179 msec

Template time (median): 192 msec

Template time (97.5 percentile): 206 msec

Investigation:

Frontal mugshot ranking 104 (out of 329) -- FNIR(1600000, 0, 1) = 0.0032 vs. lowest 0.0009 from sensetime\_006

Mugshot webcam ranking 98 (out of 291) -- FNIR(1600000, 0, 1) = 0.0159 vs. lowest 0.0057 from sensetime\_006

Mugshot profile ranking 160 (out of 260) — FNIR(1600000, 0, 1) = 0.8128 vs. lowest 0.0550 from sensetime\_006

Immigration visa-border ranking 123 (out of 218) -- FNIR(1600000, 0, 1) = 0.0128 vs. lowest 0.0009 from sensetime\_006

Immigration visa-kiosk ranking 115 (out of 215) -- FNIR(1600000, 0, 1) = 0.1430 vs. lowest 0.0487 from cubox\_000

Identification:

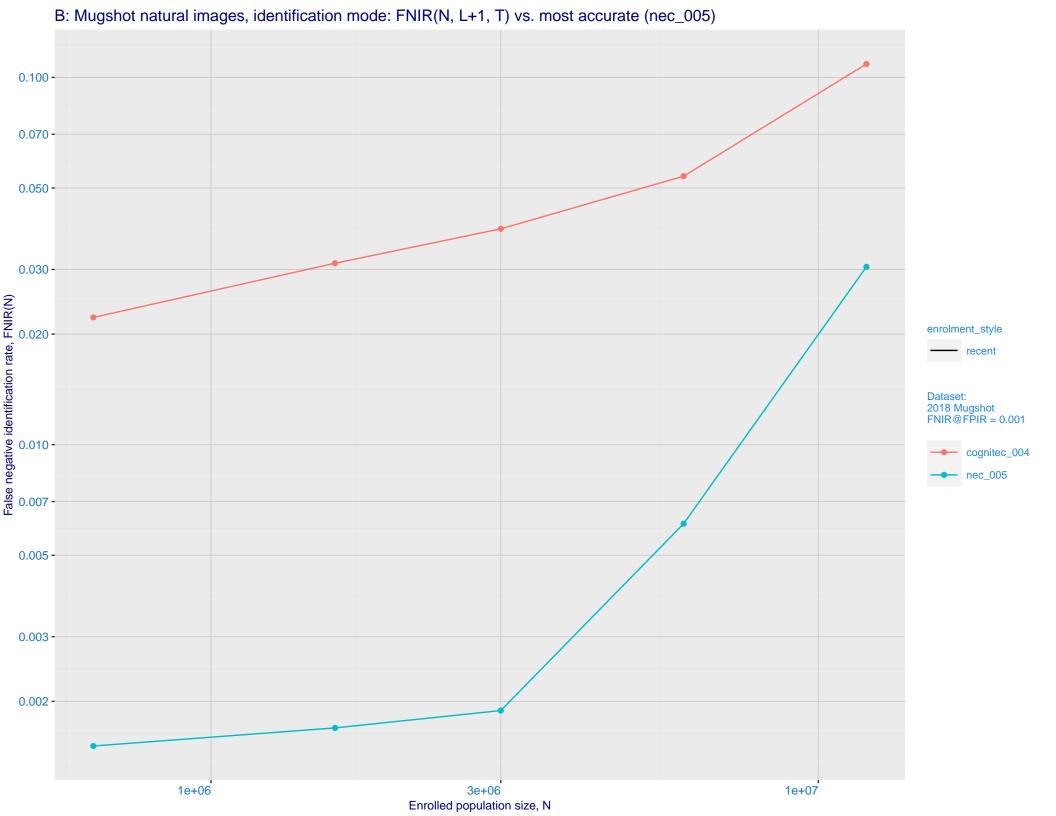
Frontal mugshot ranking 101 (out of 329) -- FNIR(1600000, T, L+1) = 0.0312, FPIR=0.001000 vs. lowest 0.0017 from nec\_005

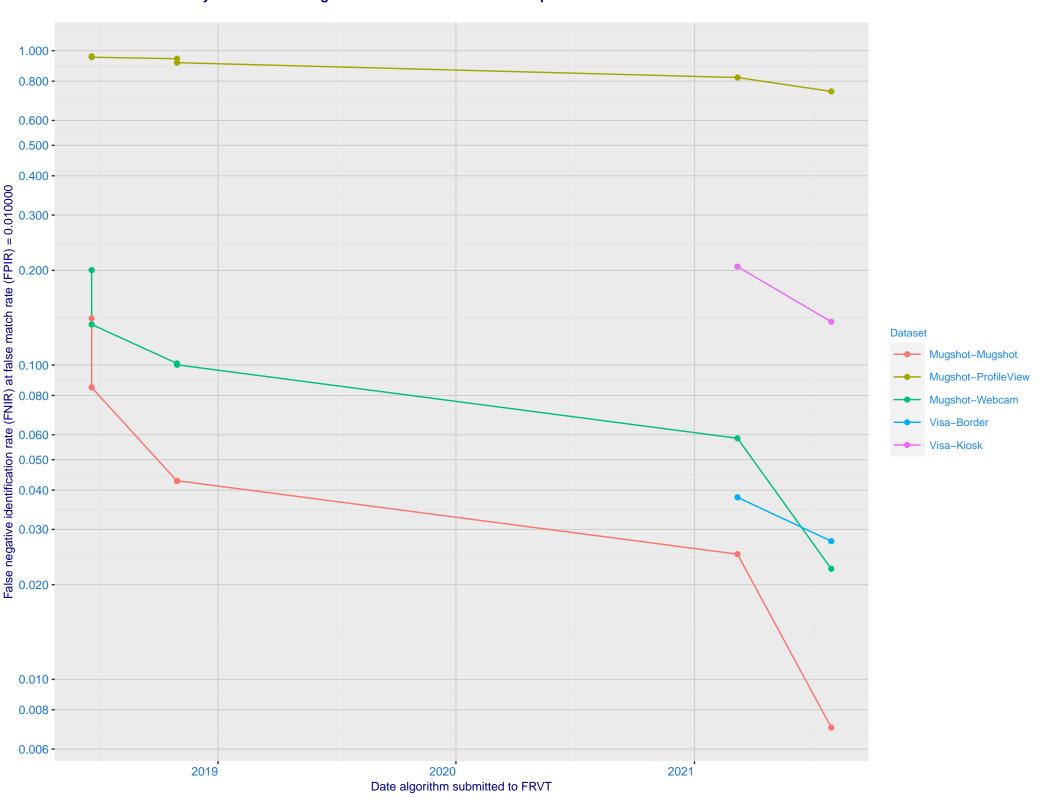
Mugshot webcam ranking 114 (out of 289) -- FNIR(1600000, T, L+1) = 0.0968, FPIR=0.001000 vs. lowest 0.0120 from nec\_005

Mugshot profile ranking 108 (out of 259) -- FNIR(1600000, T, L+1) = 0.9902, FPIR=0.001000 vs. lowest 0.1331 from cloudwalk\_hr\_000

Immigration visa-border ranking 109 (out of 217) -- FNIR(1600000, T, L+1) = 0.0679, FPIR=0.001000 vs. lowest 0.0032 from paravision\_009

Immigration visa-kiosk ranking 70 (out of 212) -- FNIR(1600000, T, L+1) = 0.2876, FPIR=0.001000 vs. lowest 0.0728 from paravision\_009

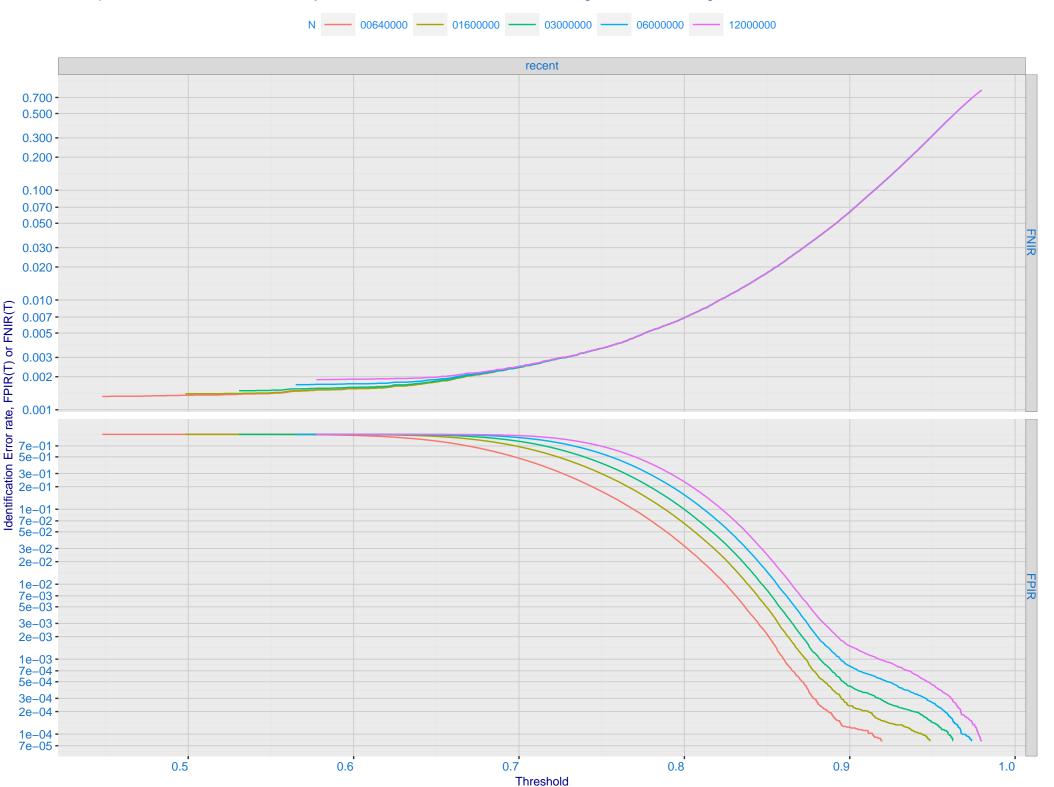




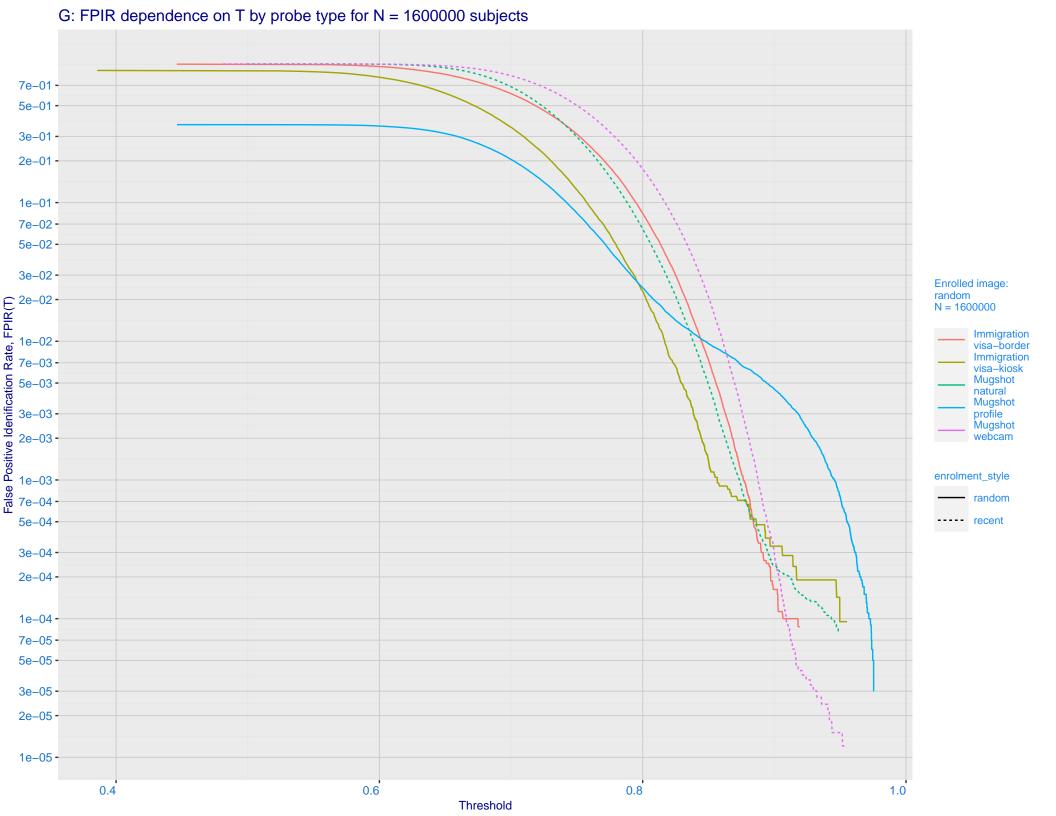
D: 1:N error tradeoff by dataset and enrollment type. N = 1600000 individuals Immigration Immigration Mugshot visa-border visa-kiosk natural 0.700 -0.500 -0.300 -0.200 -0.100 -0.070 -0.050 -0.030 -0.020 -0.010 -Construction (2007) - (0.007) - (0.007) - (0.003) - (0.002) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.007) - (0.0 enrolment\_style random-ONE-MATE recent-ONE-MATE 0.100 -0.070 -0.050 -0.030 -0.020 -0.010 -0.007 -0.005 -0.003 -0.002 -0.001 -

False positive identification rate, FPIR(T)

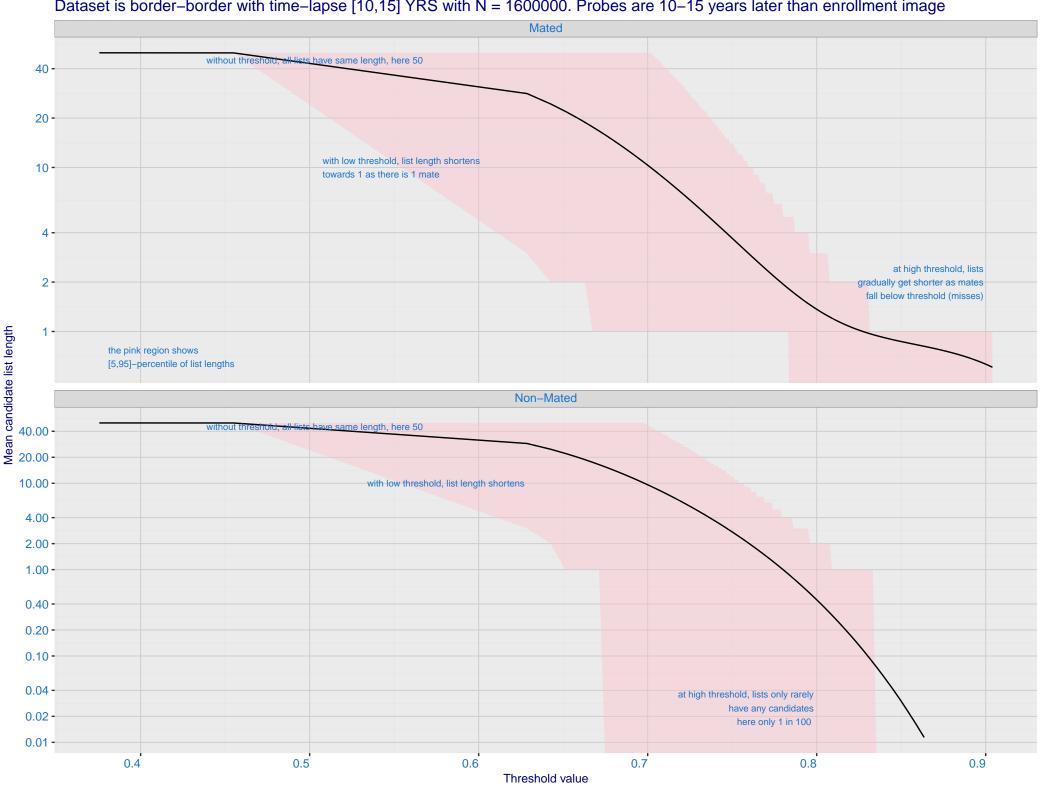
E: Dependence of error rates on T by number enrolled identities, N, for Mugshot natural images



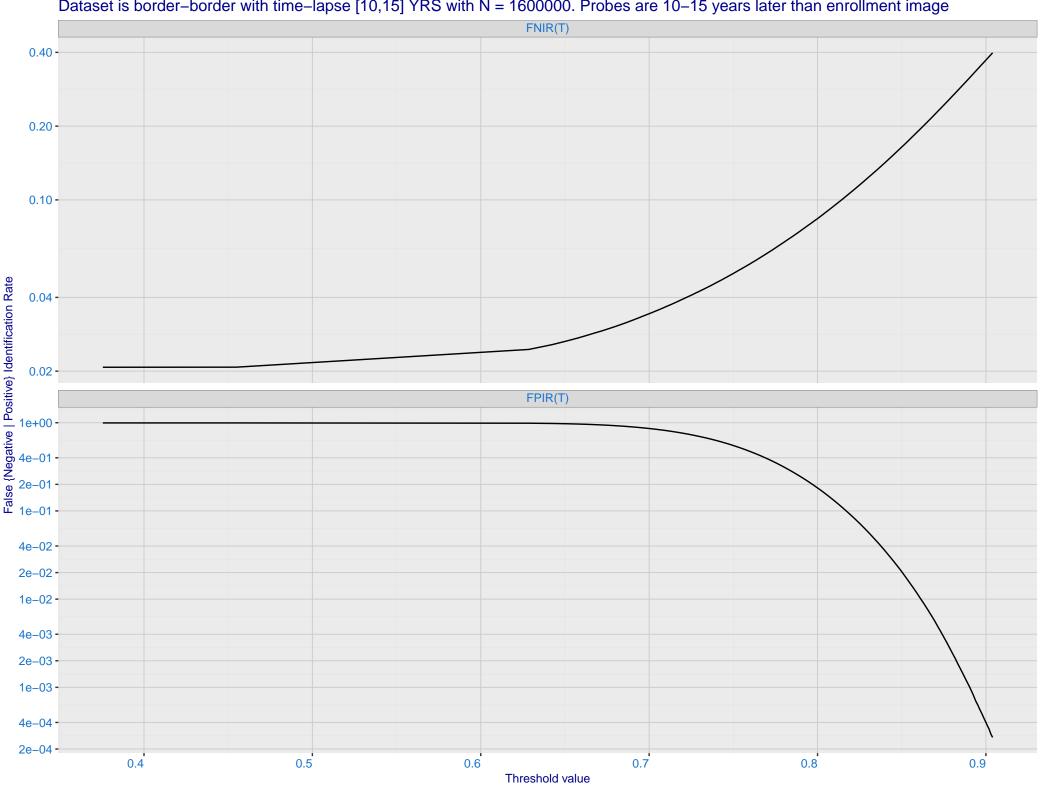
F: FPIR vs. Selectivity for mugshot images, N = 1600000 subjects enrolled with one recent mate 7e+01 -5e+01 -3e+01 · 2e+01 -1e+01 -7e+00 -5e+00 -3e+00 -2e+00 -1e+00 -7e-01 -5e-01 -3e-01 -2e-01 -1e-01 -7e-02 -5e-02 -3e-02 -3e-02 -1e-02 -**Enrolled images:** recent N = 1600000 Mugshot natural Mugshot webcam 7e-03 -5e-03 -3e-03 -2e-03 -1e-03 -7e-04 -5e-04 -3e-04 -2e-04 -1e-04 -7e-05 -5e-05 -3e-05 -2e-05 -1e-05 -1e-05 3e-05 1e-04 3e-04 1e-03 3e-03 1e-02 3e-02 1e-01 3e-01 False Positive Idenification Rate, FPIR(T)

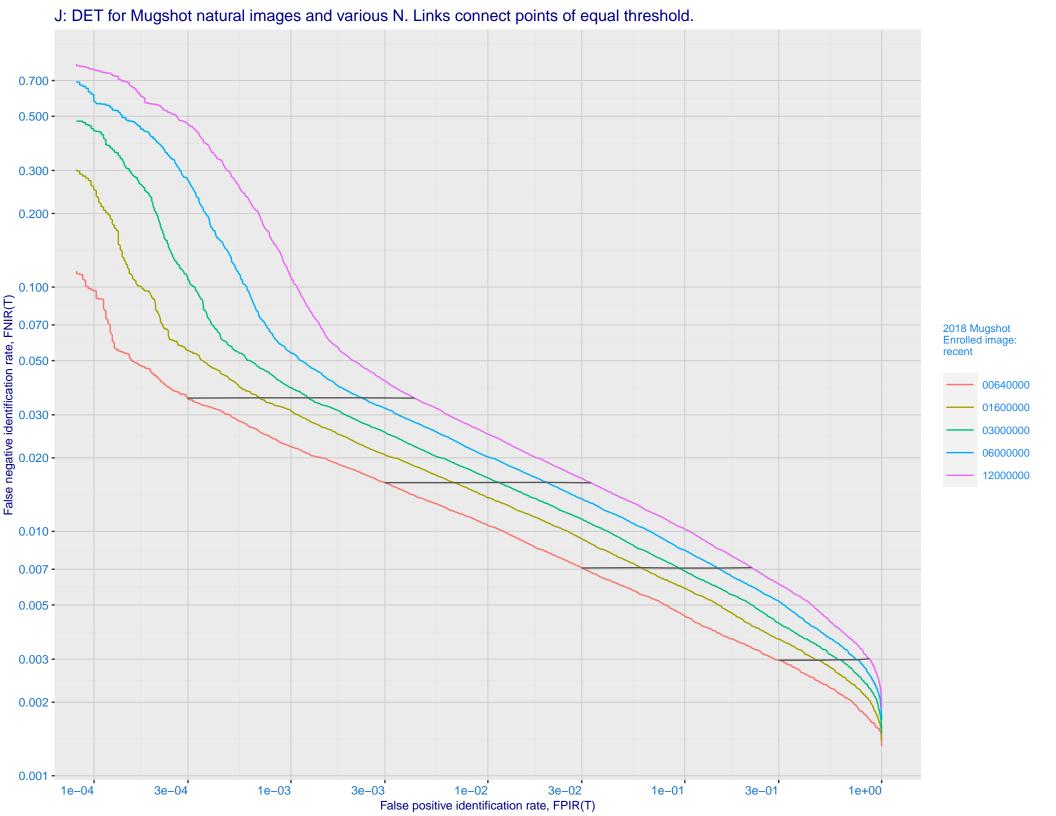


H: Reduced length candidate lists for human review Dataset is border–border with time–lapse [10,15] YRS with N = 1600000. Probes are 10–15 years later than enrollment image

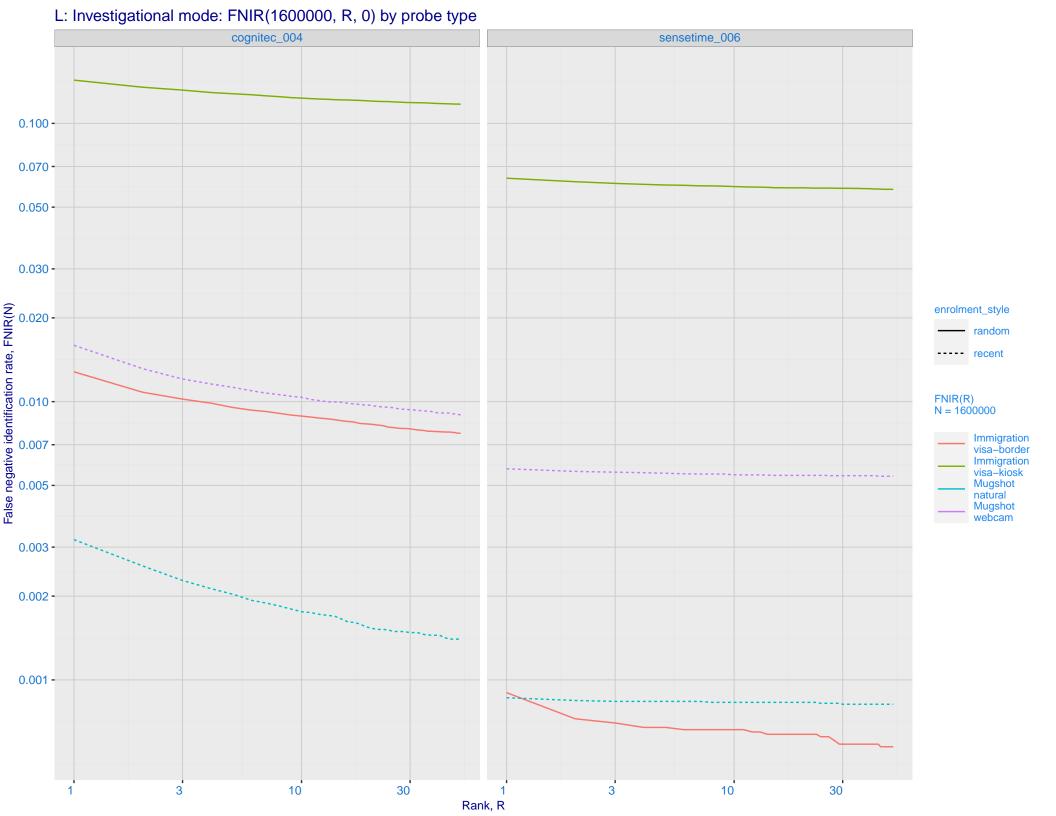


I: FNIR and FPIR dependence on threshold Dataset is border–border with time–lapse [10,15] YRS with N = 1600000. Probes are 10–15 years later than enrollment image

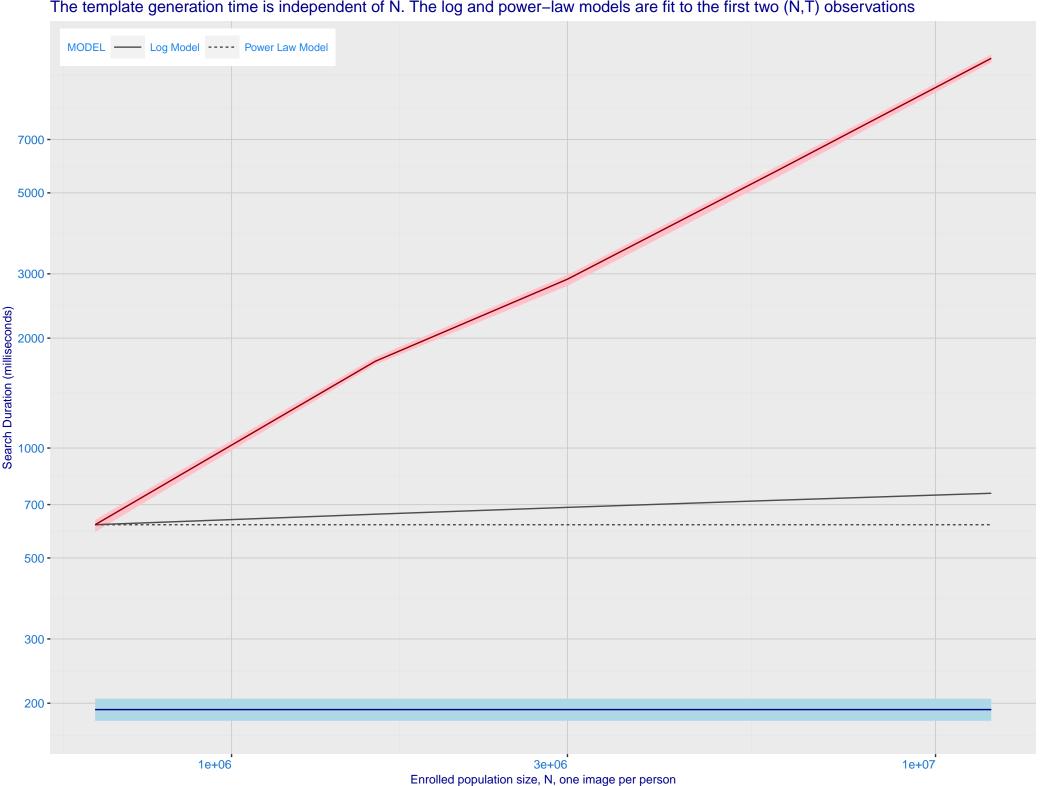




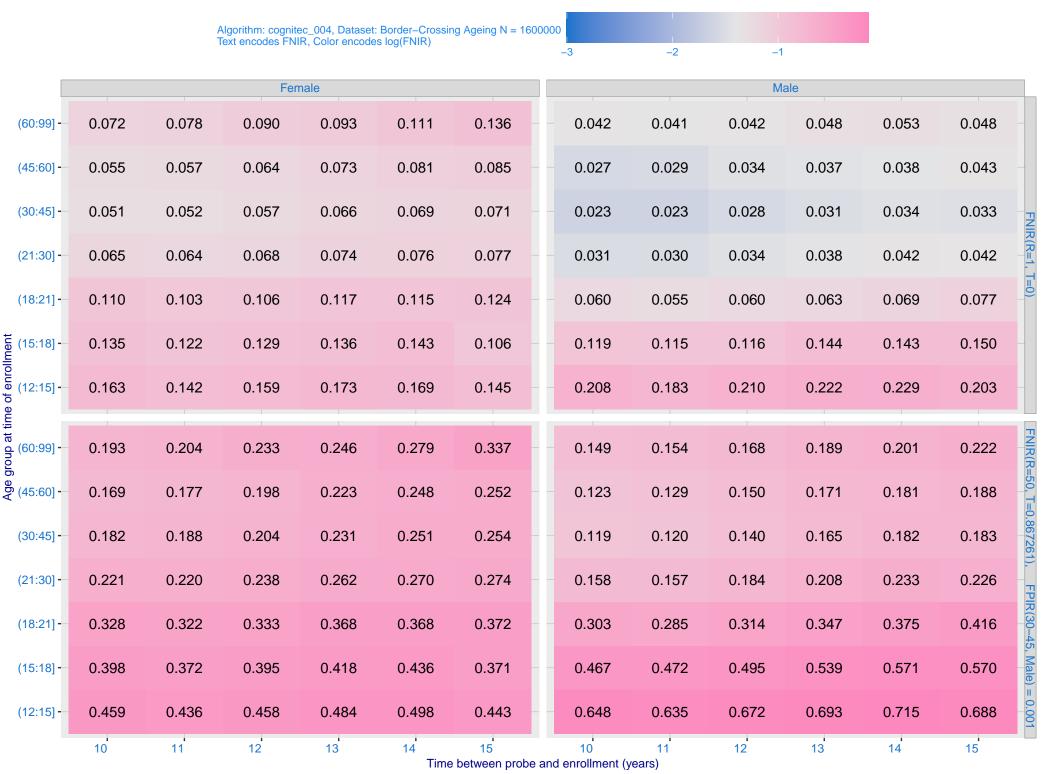
K: Investigational mode: FNIR(N, 1, 0) vs. most accurate (sensetime\_006) Immigration **Immigration** visa-border visa-kiosk 0.100 -0.070 -0.050 -0.030 -0.020 -0.010 -0.007 -0.005 -0.003 - 0.002 - 0.001 - 0.001 - 0.000 - 0.000 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 - 0.050 FNIR@Rank = 1 -- cognitec\_004 sensetime\_006 Mugshot Mugshot webcam natural enrolment\_style random ---- recent 0.030 -0.020 -0.010 -0.007 -0.005 -0.003 -0.002 -0.001 -1e+06 3e+06 1e+07 1e+06 3e+06 1e+07 Enrolled population size, N



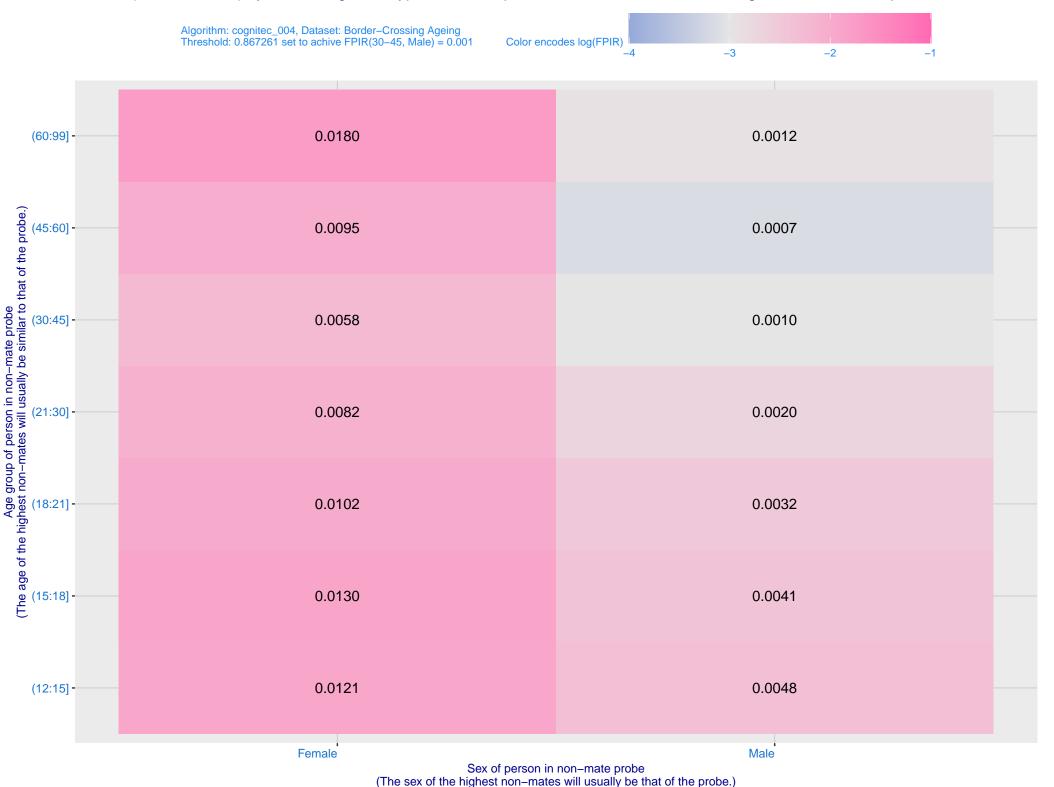
M: Template duration; search duration vs. N. The blue and pink ribbon covers 95 percent of observed measurements. The template generation time is independent of N. The log and power–law models are fit to the first two (N,T) observations



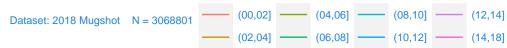
O: FNIR(T, N = 1.6 million) by sex, age and time-lapse. The top row gives investigational rank-1 miss rates. The bottom panels give high threshold for more lights-out identification with low FPIR.

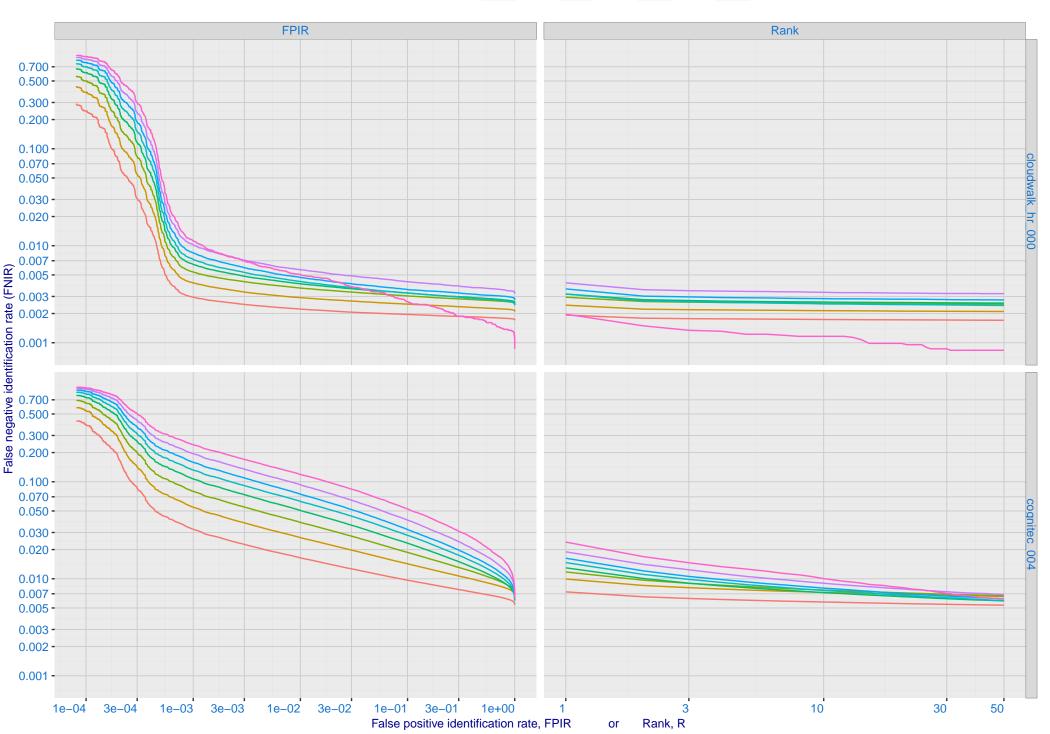


P: FPIR(N = 1.6 million) by sex and age. It is typical for false positive identification rates to be higher in women except in their teens.



Q: Identification FNIR(N, T, L+1) and Investigational FNIR(N, 0, R) under ageing





R: Decline of genuine scores with ageing, with some eventually dropping below typical thresholds shown by the horizontal lines

