

Math 220 A - Lecture 24

December 9, 2020

## 10 Last time Conway VII.2.

$$f_n: U \rightarrow \mathbb{C}, f: U \rightarrow \mathbb{C}$$

$$f_n \xrightarrow{l.u.} f \iff f_n \xrightarrow{c} f$$

$$\iff \forall z \in U, \exists \Delta(z, r_z) \subseteq U, f_n \Rightarrow f \text{ in } \Delta(z, r_z).$$

$$\iff f_n \Rightarrow f \text{ in } K \text{ for } K \subseteq U \text{ compact}$$

## 1 Weierstraß' Theorem

Let  $f_n: U \rightarrow \mathbb{C}$  holomorphic,  $f_n \xrightarrow{l.u.} f$ . Then

1  $f$  holomorphic

$$\text{2} \quad f_n^{(k)} \xrightarrow{l.u.} f^{(k)}$$

Proof 1 Let  $\bar{R} \subseteq U$  closed rectangle,  $\partial R = \text{compact}$ .

$$\text{Since } f_n \xrightarrow{l.u.} f \Rightarrow \int_{\partial R} f_n dz \rightarrow \int_{\partial R} f dz$$

$$\text{Since } f_n \text{ holomorphic} \Rightarrow \int_{\partial R} f_n dz = 0. \text{ (Theorem 5)}$$

$$\Rightarrow \int_{\partial R} f dz = 0 \xrightarrow{\text{Theorem 5}} f \text{ admits a primitive } F \text{ in any disc in } U.$$

$$\Rightarrow f = F' = \text{holomorphic in any disc} \Rightarrow f \text{ holomorphic.}$$

ii By induction, suffices to show

$$f_n' \xrightarrow{t.u.} f' \text{ in } \mathcal{U}.$$

$$\text{Let } a \in \mathcal{U}, \quad \overline{\Delta_r} \quad \overline{\Delta_R}$$

$$\Delta(a, r) \subseteq \Delta(a, R) \subseteq \mathcal{U}.$$

$$r < R.$$

Suffices  $f_n' \Rightarrow f'$  in  $\overline{\Delta_r}$ .

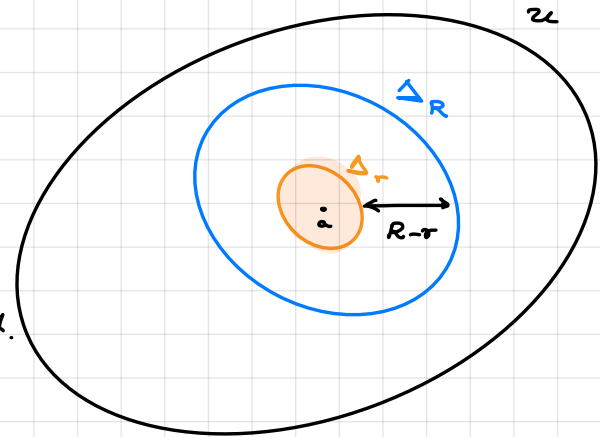
We use C/F for  $z \in \overline{\Delta_r}$

$$\left| f_n'(z) - f'(z) \right| = \left| \frac{1}{2\pi i} \int_{\partial \Delta_R} \frac{f_n(w) - f(w)}{(w-z)^2} dw \right|$$

$$\leq \frac{1}{2\pi} \cdot \sup_{\partial \Delta_R} |f_n - f| \cdot \frac{1}{(R-r)^2} \cdot 2\pi R$$

$$\text{Thus } \sup_{\overline{\Delta_r}} |f_n' - f'| \leq \frac{R}{(R-r)^2} \cdot \sup_{\partial \Delta_R} |f_n - f| \rightarrow 0 \text{ as } n \rightarrow \infty.$$

$$\Rightarrow f_n' \xrightarrow{t.u.} f'.$$



$$|w - z| \geq |w| - |z|$$

$$\geq R - r.$$

Series  $f_n: U \rightarrow \mathbb{C}$  holomorphic. Assume

(\*)  $\forall K \subseteq U$  compact  $\exists M_n(K), |f_n| \leq M_n(K).$

over  $K$ . &  $\sum_{n=1}^{\infty} M_n(K) < \infty.$

M-test  
 $\Rightarrow f = \sum_{n=1}^{\infty} f_n$  converges absolutely & uniformly on every  $K$ .

Weierstrass  
Thm  $\Rightarrow f$  holomorphic &  $f' = \sum_{n=1}^{\infty} f_n'$

Remark We have seen a particular case of this for

power series. (Lecture 2).

## Example ( $\zeta$ -function)

$\zeta(s) = \sum_{n=1}^{\infty} \frac{1}{n^s}$  gives a holomorphic function in  $\operatorname{Re} s > 1$ .

Take  $f_n(s) = \frac{1}{n^s}$  holomorphic in  $s$ .

Take  $K \subseteq \{ \operatorname{Re} s > 1 \}$ . Since  $\operatorname{Re} : K \rightarrow \mathbb{R}$  is continuous,

it achieves a minimum on  $K \Rightarrow \operatorname{Re} z \geq \alpha \quad \forall z \in K, \alpha > 1$ .

$$|f_n| = \left| \frac{1}{n^s} \right| = \left| \frac{1}{n^x} \cdot \frac{1}{n^{iy}} \right| = \frac{1}{n^x} \cdot \underbrace{1}_{M_n} \leq \frac{1}{n^\alpha} \quad \text{where } s = x + iy$$

$$\sum_{n=1}^{\infty} M_n < \infty \quad \text{by real analysis} \Rightarrow \sum_{n=1}^{\infty} f_n \text{ holomorphic in } s$$

$\Rightarrow \zeta$  holomorphic in  $s$ ,  $\operatorname{Re} s > 1$ .

Remarks [1] We have seen  $\zeta(2n) = \frac{(-1)^{n+1} (2\pi)^{2n}}{2 (2n)!} B_{2n}$  (HWK6)

[2] This can be extended holomorphically to  $s \neq 1$

(requires work).

## 2. Hurwitz' Theorem

$f_n : U \rightarrow \mathbb{C}$  holomorphic,  $f_n \xrightarrow{l.u.} f$ ,  $\bar{V} \subseteq U$  compact

If  $f|_{\partial V}$  has no zeroes,

$$\# \text{ zeroes } (f_n)_{\bar{V}} = \# \text{ zeroes } (f)_{\bar{V}} \quad \forall n \geq N.$$

Proof

1.) Most useful case (Conway)

$$V = \bar{\Delta}(a, R)$$

Since  $f|_{\partial V}$  has no zeroes  $\Rightarrow \varepsilon = \min_{\partial V} |f| > 0$ .

Since  $f_n \xrightarrow{l.u.} f$  over  $\partial V \Rightarrow \exists N$  s.t. over  $\partial V \quad \forall n \geq N$ ,

$$|f_n - f|_{\partial V} < \varepsilon \leq |f|_{\partial V} \Rightarrow |f_n - f| < |f| \text{ over } \partial V.$$

$\Rightarrow$  Rouché':  $\# \text{ zeroes } (f)_{\bar{V}} = \# \text{ zeroes } (f_n)_{\bar{V}}$  in  $\bar{V}$ .

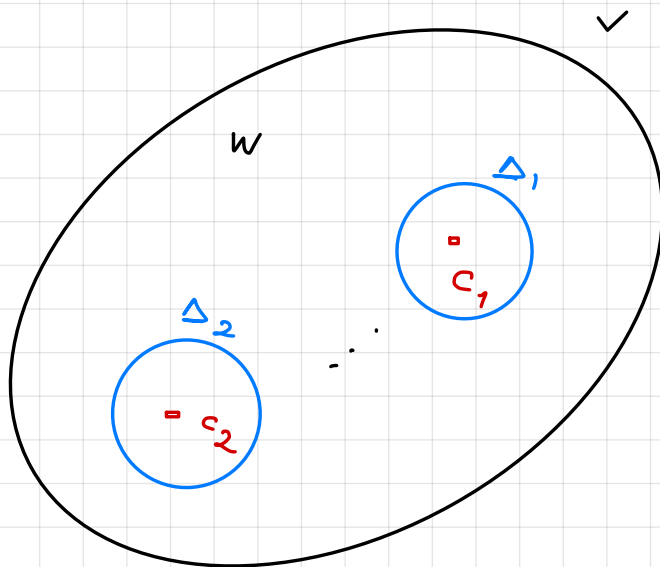
## General case

$\bar{V}$  compact  $\Rightarrow f$  has finitely

many zeroes  $c_1, \dots, c_k$  in  $\bar{V}$ .

Surround  $c_j$  by small disjoint

discs  $\Delta_j$ ,  $W = V \setminus \bigcup_j \bar{\Delta}_j$



$\Rightarrow f$  has no zeroes in  $\bar{W}$ .  $\Rightarrow \exists N$  s.t.  $\forall n \geq N$ ,  $f_n$

has no zeroes in  $\bar{W}$ . (If  $\varepsilon = \min_{\bar{W}} |f| > 0 \Rightarrow \exists N$ , s.t.  $\forall n \geq N$

$|f_n - f| < \varepsilon$  in  $\bar{W} \Rightarrow f_n \neq 0$  in  $\bar{W}$  for  $n \geq N$ .)

$$\Rightarrow \# \text{ zeroes } (f)_{\bar{V}} = \sum_{j=1}^k \# \text{ zeroes } (f)_{\bar{\Delta}_j} =$$

$$= \sum_{j=1}^k \# \text{ zeroes } (f_n)_{\bar{\Delta}_j} =$$

$$= \# \text{ zeroes } (f_n) \text{ for } n \geq N.$$

for  $n$  large by

1<sup>st</sup> case applied to  $f_n$  on  $\bar{\Delta}_j$ .

using  $f_n$  has no zeroes in  $\bar{W}$

Corollary A  $f_n \xrightarrow{l.u.} f$ ,  $f_n$  holomorphic in  $U$ ,

If  $f_n$  is zero free  $\forall n \Rightarrow f$  zero-free or  $f \equiv 0$ .

This fails in real analysis,  $f_n = x^2 + \frac{1}{n} \Rightarrow f = x^2$ .

Proof Indeed if  $f \not\equiv 0$ , let  $a$  be chosen so that

$f(a) \neq 0$ . Let  $V = \overline{D}(a, r)$ ,  $f|_V$  has no zeroes.

(Argue by contradiction, otherwise zeroes of  $f$  would accumulate).

Hurwitz

$\Rightarrow \underbrace{\# \text{ zeroes } (f_n)}_{\substack{0 \text{ assumption.}}} = \underbrace{\# \text{ zeroes } (f)}_{\substack{a \text{ is a zero.}}} \geq 1, \quad \forall n \geq N.$  **contradiction.**

$\Rightarrow f$  is zero-free

Example  $U = \mathbb{C}^* = \mathbb{C} \setminus \{0\}$

•  $f_n(z) = z$ ,  $f(z) = z$ ,  $f_n \xrightarrow{l.u.} f$ ,  $f$  zero free.

•  $f_n(z) = \frac{z}{n}$ ,  $f(z) = 0$ ,  $f_n \xrightarrow{l.u.} f$ ,  $f \equiv 0$ .

Both possibilities occur.



Corollary B  $f_n \xrightarrow{\text{l.u.}} f$ ,  $f_n$  holomorphic in  $U$ ,

If  $f_n$  are injective  $\forall n \Rightarrow f$  injective or  $f$  constant.

Proof. Assume  $f$  not injective,  $f(a) = f(b)$ ,  $a \neq b$ .

$$\tilde{f}_n = f_n - f_n(a).$$

$$\tilde{f} = f - f(a).$$

Since  $f_n(a) \rightarrow f(a)$   
 $f_n \xrightarrow{\text{l.u.}} f$   $\left. \vphantom{\begin{matrix} f_n(a) \rightarrow f(a) \\ f_n \xrightarrow{\text{l.u.}} f \end{matrix}} \right\} \Rightarrow \tilde{f}_n \xrightarrow{\text{l.u.}} \tilde{f}.$

$f_n$  injective  $\Rightarrow \tilde{f}_n$  zero free on  $\tilde{U} = U \setminus \{a\}$ .

Corollary A

$\Rightarrow \tilde{f}$  is zero free on  $\tilde{U}$  or  $\tilde{f} \equiv 0$  on  $\tilde{U}$

Note that  $\tilde{f}(b) = f(b) - f(a) = 0 \Rightarrow \tilde{f}$  is not zero-free

in  $\tilde{U}$ . Thus  $\tilde{f} \equiv 0$  in  $\tilde{U} \Rightarrow f$  constant.



*Adolf Hurwitz (1859 - 1919)*