## Math 220B - Winter 2021 - Midterm

#### Problem 1.

- (i) Find an entire function with simple zeroes only at  $z = \sqrt{n}$  for each  $n \in \mathbb{Z}_{\geq 0}$ , and no other zeroes.
- (ii) Give an example of a meromorphic function function with poles only at  $z=-\sqrt{n}$  and principal parts  $\frac{1}{z+\sqrt{n}}$ , for  $n\in\mathbb{Z}_{\geq 0}$ .
- (iii) Assume  $\{a_n\}, \{b_n\}$  be sequences with no common terms, such that  $\sum_n |a_n b_n| < \infty$  and  $b_n \to \infty$  as  $n \to \infty$ . Show that

$$f(z) = \prod_{n=1}^{\infty} \frac{z - a_n}{z - b_n}$$

defines a holomorphic function in the open set  $\mathbb{C} \setminus \{b_1, b_2, \ldots\}$ . What are the zeros of f?

### Solution:

(i) Let  $p_n = 2$  for all n. Note that

$$\sum_{n=1}^{\infty} \left( \frac{r}{\sqrt{n}} \right)^{p_n+1} = \sum_{n=1}^{\infty} \frac{r^{3/2}}{n^{3/2}} < \infty,$$

for all r. By the Weierstraß factorization theorem we obtain that

$$f(z) = z \prod_{n=1}^{\infty} E_2\left(\frac{z}{\sqrt{n}}\right)$$

solves the Weierstraß problem in (i).

(ii) We Taylor expand around the Laurent tail  $q_n$  at the origin for  $n \neq 0$ :

$$q_n(z) = \frac{1}{z + \sqrt{n}} = \frac{1}{\sqrt{n}} \cdot \frac{1}{1 + \frac{z}{\sqrt{n}}} = \frac{1}{\sqrt{n}} \left( 1 - \frac{z}{\sqrt{n}} + \frac{z^2}{n} - \dots \right).$$

Let

$$q_n = \frac{1}{\sqrt{n}} \left( 1 - \frac{z}{\sqrt{n}} \right).$$

We compute

$$|q_n - h_n| = \left| \frac{z^2}{n(z + \sqrt{n})} \right|.$$

Letting  $r_n = \frac{n^{1/8}}{2} < \sqrt{n}$ . We have

$$|q_n - h_n| = \left| \frac{z^2}{n(z + \sqrt{n})} \right| \le \frac{r_n^2}{n(\sqrt{n} - r_n)} := c_n.$$

Note that

$$\lim_{n\to\infty}\frac{c_n}{n^{5/4}}<\infty.$$

Since  $\sum_{n=1}^{\infty} \frac{1}{n^{5/4}} < \infty$  it follows by the limit comparison test that

$$\sum_{n=1}^{\infty} c_n < \infty.$$

Thus the choices  $q_n, c_n, r_n$  verify the requirements in the proof of Mittag-Leffler, and

$$f = \frac{1}{z} + \sum_{n=1}^{\infty} (q_n - h_n) = \frac{1}{z} + \sum_{n=1}^{\infty} \left( \frac{1}{z + \sqrt{n}} - \frac{1}{\sqrt{n}} \left( 1 - \frac{z}{\sqrt{n}} \right) \right)$$

is the Mittag-Leffler solution.

(iii) Let

$$f_n(z) = \frac{z - a_n}{z - b_n} - 1 = \frac{b_n - a_n}{z - b_n}.$$

We show that  $\prod_{n=1}^{\infty} (1+f_n)$  converges absolutely and locally uniformly in

$$U=\mathbb{C}\setminus\{b_1,b_2,\ldots\}.$$

The set U is indeed open in  $\mathbb{C}$  since the complement is closed as  $b_n \to \infty$ . The limit is a holomorphic function in U with zeros whenever

$$1 + f_n(z) = 0$$
 for some  $n \iff \frac{z - a_n}{z - b_n} = 0 \iff z = a_n$  for some  $n$ .

To show the product converges absolutely and locally uniformly, it suffices to show that the series

$$\sum_{n=1}^{\infty} f_n$$

converges absolutely and locally uniformly in U. Let K be a compact set in U, and assume  $K \subset \Delta(0,R)$  for some R. Since  $b_n \to \infty$ , we can find N such that for all  $n \ge N$ :

$$|b_n| \ge R + 1.$$

Then

$$|z - b_n| \ge |b_n| - |z| \ge |b_n| - R \ge 1$$

if  $n \ge N$  and  $z \in K$ . Thus

$$|f_n(z)| = \frac{|a_n - b_n|}{|z - b_n|} \le |a_n - b_n| = M_n$$

for  $n \geq N$  and  $z \in K$ . Note that

$$\sum M_n < \infty$$

by assumption. Thus by the Weierstraß M-test, we obtain that

$$\sum_{n=1}^{\infty} f_n$$

converges absolutely and uniformly on K, as needed.

### Problem 2.

Let  $f: \Delta(0,1) \setminus \{0\} \to \mathbb{C}$  be a holomorphic function on the punctured unit disc. Let

$$f_n: \Delta(0,1)\setminus\{0\}\to\mathbb{C}, \quad f_n(z)=f\left(\frac{z}{n}\right).$$

Show that if the family  $\mathcal{F} = \{f_n : n \geq 1\}$  is normal iff f has a removable singularity at the origin.

Solution: Assume that f has a removable singularity at the origin. We show that  $\{f_n\}$  is a locally bounded family. Let  $K \subset \Delta$  be compact. Without loss of generality we may assume

$$K \subset \overline{\Delta}(0,r)$$

for some r < 1. Since f is continuous in the compact set  $\overline{\Delta}(0,r)$ , we find a bound

$$|f(z)| \le M$$
 for all  $|z| \le r$ .

Then

$$z \in K \implies |z| \le r \implies \left|\frac{z}{n}\right| \le \frac{r}{n} \le r \implies \left|f\left(\frac{z}{n}\right)\right| \le M \implies |f_n(z)| \le M.$$

Thus  $\mathcal{F}$  is bounded on K, hence locally bounded.

For the converse, assume  $\mathcal{F}$  is normal. In particular,  $\mathcal{F}$  is locally bounded, hence bounded on each compact set e.g. the circle  $|z| = \frac{1}{2}$ . Thus

$$|f_n(z)| \leq M$$

for all n and  $|z| = \frac{1}{2}$ , so

$$|f(z)| \le M$$

whenever  $|z| = \frac{1}{2n}$ . Write  $C_n$  for the circle  $|z| = \frac{1}{2n}$  and consider the annulus  $D_n = \overline{\Delta}\left(0; \frac{1}{2n+2}; \frac{1}{2n}\right)$  with boundary

$$\partial D_n = C_n \cup C_{n+1}$$
.

By the maximum modulus principle, using that f is bounded by M on  $\partial D_n$ , it follows that

$$|f(z)| \le M \quad \forall z \in D_n.$$

Since

$$\cup_{n=1}^{\infty} D_n = \Delta\left(0, \frac{1}{2}\right) \setminus \{0\},\$$

it follows that f is bounded in  $\Delta(0, \frac{1}{2}) \setminus \{0\}$ . By the removable singularity theorem, it follows that the singularity at 0 is removable.

### Problem 3.

Let  $f: \Delta(0,1) \to \mathbb{C}$  be such that Re f(z) > 0 for all  $z \in \Delta$ , and assume that f(0) = 1.

(i) Show that for all  $z \in \Delta(0,1)$  we have

$$\frac{1-|z|}{1+|z|} \le |f(z)| \le \frac{1+|z|}{1-|z|}.$$

(ii) Find the minimum and maximum value of  $|f(\frac{1}{2})|$ .

# Solution:

(i) Consider

$$\phi: \{z: Re\ z > 0\} \to \Delta(0,1)$$

the Cayley-like transform

$$\phi(z) = \frac{z-1}{z+1}.$$

Note that

$$\phi(z) = C(iz)$$
 where  $C(w) = \frac{w-i}{w+i}$ 

is the usual Cayley transform taking us from  $\Delta(0,1) \to \mathfrak{h}^+$ . The multiplication by i in the argument is needed so that we map from

$$\{z: Re\ z > 0\} \to \mathfrak{h}^+.$$

Let

$$g = \phi \circ f : \Delta(0,1) \to \Delta(0,1), \quad g = \frac{f-1}{f+1}.$$

Note that g(0) = 0. By Schwarz's lemma, we obtain

$$|g(z)| \le |z| \iff \left|\frac{1 - f(z)}{1 + f(z)}\right| \le |z| \iff |1 - f(z)| \le |z||1 + f(z)|.$$

Using the triangle inequality

$$1 - |f(z)| \le |1 - f(z)|, \quad |1 + f(z)| \le 1 + |f(z)|,$$

we obtain

$$1 - |f(z)| \le |z|(1 + |f(z)|) \iff (1 - |z|) \le |f(z)|(1 + |z|) \iff \frac{1 - |z|}{1 + |z|} \le |f(z)|.$$

Similarly, using

$$|f(z)| - 1 \le |f(z) - 1|, \quad |1 + f(z)| \le 1 + |f(z)|,$$

we obtain

$$|f(z)| - 1 \le |z|(1 + |f(z)|) \iff |f(z)|(1 - |z|) \le 1 + |z| \iff |f(z)| \le \frac{1 + |z|}{1 - |z|}.$$

(ii) For 
$$z = \frac{1}{2}$$
, we have

$$\frac{1}{3} \le \left| f\left(\frac{1}{2}\right) \right| \le 3.$$

The minimum value equals  $\frac{1}{3}$  and the maximum value is 3.

The maximum value is indeed achieved. We can consider the inverse

$$\phi^{-1}: \Delta \to \{z : Re \ z > 0\}, \quad \phi^{-1}(z) = \frac{1+z}{1-z}.$$

Letting

$$f(z) = \phi^{-1}(z) = \frac{1+z}{1-z}$$

we see that f(1/2) = 3. Using

$$f(z) = \phi^{-1}(-z) = \frac{1-z}{1+z}$$

we achieve the minimum value f(1/2) = 1/3.

### Problem 4.

Recall the function

$$G(z) = \prod_{n=1}^{\infty} E_1\left(-\frac{z}{n}\right).$$

(i) Show that

$$\left(z+\frac{1}{2}\right)G(z)G\left(z+\frac{1}{2}\right)=e^{h(z)}G(2z),$$

for some entire function h.

(ii) Show furthermore that h(z) = az + b.

### Solution:

(i) The product G(z) converges absolutely and locally uniformly by Weierstraß factorization theorem since indeed setting all  $p_n = 1$ , we have that for all r > 0,

$$\sum_{n} \left(\frac{r}{n}\right)^{p_n+1} = r^2 \sum_{n=1}^{\infty} \frac{1}{n^2} < \infty.$$

The function G(z) has zeros at all negative integers

$$-1, -2, \dots$$

The function  $G\left(z+\frac{1}{2}\right)$  has zeros at

$$-\frac{3}{2}, -\frac{5}{2}, \dots$$

Thus  $(z+\frac{1}{2})G(z)G(z+\frac{1}{2})$  has zeros at

$$-\frac{1}{2}, -1, -\frac{3}{2}, -2, \dots$$

Similarly, G(2z) has zeros the negative half integers

$$-\frac{1}{2}, -1, -\frac{3}{2}, \dots$$

The two sides are both entire and have exactly the same zeroes hence

$$\left(z + \frac{1}{2}\right)G(z)G\left(z + \frac{1}{2}\right) = e^{h(z)}G(2z),$$

for some entire function h(z).

(ii) We compute the logarithmic derivatives of both sides. This yields

$$\frac{1}{z+\frac{1}{2}} + \frac{G'(z)}{G(z)} + \frac{G'\left(z+\frac{1}{2}\right)}{G\left(z+\frac{1}{2}\right)} = h'(z) + 2\frac{G'(2z)}{G(2z)}.$$

Since

$$G(z) = \prod_{n=1}^{\infty} \left(1 + \frac{z}{n}\right) e^{-\frac{z}{n}}.$$

We have

$$\frac{G'(z)}{G(z)} = \sum_{n=1}^{\infty} \left( \frac{1}{z+n} - \frac{1}{n} \right).$$

Furthermore,

$$\frac{G'\left(z + \frac{1}{2}\right)}{G\left(z + \frac{1}{2}\right)} = \sum_{n=1}^{\infty} \left(\frac{1}{z + \frac{1}{2} + n} - \frac{1}{n}\right).$$

Finally,

$$\frac{G'(2z)}{G(2z)} = \sum_{n=1}^{\infty} \left( \frac{1}{2z+n} - \frac{1}{n} \right).$$

This yields

$$h'(z) = \frac{1}{z + \frac{1}{2}} + \sum_{n=1}^{\infty} \left( \frac{1}{z+n} - \frac{1}{n} \right) + \sum_{n=1}^{\infty} \left( \frac{1}{z + \frac{1}{2} + n} - \frac{1}{n} \right) - 2 \cdot \sum_{n=1}^{\infty} \left( \frac{1}{2z+n} - \frac{1}{n} \right).$$

In the last sum (doubled), the terms with  $n \to 2n$  become  $\sum_{n=1}^{\infty} \left(\frac{1}{z+n} - \frac{1}{n}\right)$  so they cancel the first sum. For  $n \to 2n+1$ , the terms involving z match with the terms in the second sum, but the free terms differ by

$$-\frac{1}{n} + \frac{2}{2n+1}.$$

Rearrangements into even and odd summands are allowed since we have seen in class that the logarithmic derivative preserves the absolute and local uniform convergence. Thus h'(z) does not depend on z, showing h' = a for a constant a. In fact,

$$a = \sum_{n=1}^{\infty} \left( -\frac{1}{n} + \frac{2}{2n+1} \right).$$

This gives h(z) = az + b for a constant b.

### Problem 5.

Let  $a, b \neq 0$  and  $a, b \in \Delta(0, 1)$ . Consider the twice punctured discs

$$D_1 = \Delta(0,1) \setminus \{0,a\}, \quad D_2 = \Delta(0,1) \setminus \{0,b\}.$$

Find a necessary and sufficient condition for  $D_1, D_2$  to be biholomorphic, and determine all biholomorphic maps

$$f:D_1\to D_2.$$

Solution: We claim that the condition is |a| = |b|. Indeed, if |a| = |b| we can use the rotation

$$f(z) = \alpha z$$

for  $\alpha = \frac{b}{a}$  with  $|\alpha| = 1$ . We immediately verify that

$$f(0) = 0$$
,  $f(a) = b$ ,  $f: D_1 \to D_2$  is biholomorphic.

Conversely, assume that  $f:D_1\to D_2$  is a biholomorphism. Write  $\Delta=\Delta(0,1)$  and note  $D_2\subset\Delta.$  Since

for all z in a neighborhood of the punctures 0 and a, it follows by the removable singularity theorem that f extends across 0 and a to a holomorphic map

$$F: \Delta \to \overline{\Delta}$$
.

We claim furthermore that

$$F: \Delta \to \Delta$$
.

Indeed, if there existed  $z_0 \in \Delta$  such that  $F(z_0) \in \partial \Delta$ , then we would contradict the open mapping theorem applied to the nonconstant holomorphic function F.

We claim next that

$$F(0) = 0, F(a) = b \text{ or } F(0) = b, F(a) = 0.$$

Indeed, let  $F(0) = \alpha$ . If  $\alpha \in D_2$ , then since f is bijective, we must have  $f(z) = \alpha$  for some  $z \in D_1$ . Pick

$$\Delta_0, \Delta_z, \Delta_\alpha$$

small discs around  $0, z, \alpha$  such that

$$\Delta_{\alpha} \subset F(\Delta_0), \quad \Delta_{\alpha} \subset f(\Delta_z), \quad \Delta_0 \cap \Delta_z = \emptyset, \quad a \notin \Delta_0, \quad a \notin \Delta_z.$$

This is possible by the open mapping theorem. Let  $w \in \Delta_{\alpha} \setminus \{\alpha\}$ . Then the above inclusions show

$$w = F(u) = f(v)$$

for  $u \in \Delta_0$  and  $v \in \Delta_z$ . Since  $w \neq \alpha$ , we must have  $u \neq 0$  and also  $u \neq a$  since  $a \notin \Delta_0$ . Thus F(u) = f(u) and

$$w = f(u) = f(v)$$
.

This contradicts f injective since  $u \neq v$  as  $\Delta_0 \cap \Delta_z = \emptyset$ . Thus  $F(0) \notin D_2$ . Similarly,  $F(a) \notin D_2$ . In fact, applying the same argument yet one more time, we can also see that  $F(0) \neq F(a)$ . For if F(0) = F(a) = z, then picking small neighborhoods around  $0, a, \alpha$ , we would contradict injectivity of f in those neighborhoods.

Thus

$${F(0), F(a)} = {0, b}.$$

In particular,  $F: \Delta \to \Delta$  is bijective using that  $f: D_1 \to D_2$  bijective. Thus F is a biholomorphism of  $\Delta$ .

• If F(0) = 0 and F(a) = b, then F must be a rotation  $F(z) = \alpha z$  with  $|\alpha| = 1$ . We must also have  $b = \alpha a$  which implies

$$|a| = |b|$$

since  $|\alpha| = 1$ . Furthermore,

$$f(z) = \frac{b}{a}z.$$

• If F(0) = b and F(a) = 0, then we can use  $\phi_b$  to recenter F. Let

$$\widetilde{F} = \phi_b \circ F.$$

We obtain

$$\tilde{F}(0) = 0, \quad \tilde{F}(a) = \phi_b(0) = -b.$$

In this case,  $\widetilde{F}$  is also a rotation

$$\widetilde{F}(z) = \alpha z$$

for  $|\alpha| = 1$ . Since  $\widetilde{F}(a) = -b$  it follows  $\alpha = -\frac{b}{a}$  which gives again

$$|a| = |b|$$
.

In this case

$$\widetilde{F} = \phi_b \circ f \implies f = \phi_{-b} \circ \widetilde{F} \implies f(z) = \frac{\widetilde{F}(z) + b}{1 + \overline{b}\widetilde{F}(z)} = \frac{ab - bz}{a - b\overline{b}z}.$$

The necessary and sufficient condition is then |a| = |b| and there are 2 biholomorphisms between  $D_1, D_2$ .