

Math 220C - Lecture 13

April 26, 2021

(1) Homework 6, available on Friday, due May 7

— last homework

(2) We drop the lowest homework

(3) Next 3 lectures - Little Picard.

## In Lecture 11

### Application A (Conway x1.3.6)

$f$  entire & not constant & **finite order**

$\Rightarrow f$  omits at most one value.

## Today — Picard's Theorems — Conway x11

### Little Picard

$f: \mathbb{C} \rightarrow \mathbb{C}$  entire, non constant  $\Rightarrow f$  omits at most one value.

For example,  $f(z) = e^z$  only omits the value 0.

**Little Picard** is a generalization of

### Liouville's Theorem

$f: \mathbb{C} \rightarrow \mathbb{C}$  entire, non constant

$\Rightarrow f$  cannot be bounded.

## Great Picard

$f: G \setminus \{a\} \rightarrow \mathbb{C}$  holomorphic, with essential singularity at  $a$ .

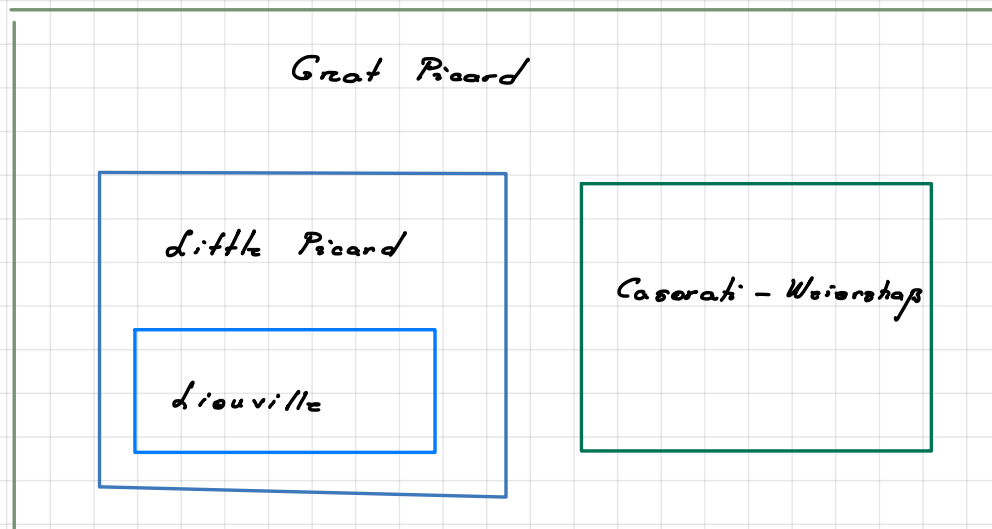
If  $\Delta^*(a, r) \subseteq G \setminus \{a\}$ , then  $f|_{\Delta^*(a, r)}$  takes on all complex numbers  $\infty$ -many times, with at most one exception.

Great Picard is a generalization of

## Casorati-Weierstrass

$f: G \setminus \{a\} \rightarrow \mathbb{C}$  holomorphic, with essential singularity at  $a$ .

If  $\Delta^*(a, r) \subseteq G \setminus \{a\}$ , then  $f|_{\Delta^*(a, r)}$  has dense image in  $\mathbb{C}$ .



## Great Picard > Little Picard Conway XII. 4.4

### Lemma

$f: \mathbb{C} \rightarrow \mathbb{C}$  entire, not polynomial.

$\Rightarrow f$  assumes all complex values  $\infty$ -many times, with at most one exception.

Proof Let  $g(z) = f\left(\frac{1}{z}\right): \mathbb{C}^* \rightarrow \mathbb{C}$ . Note that  $g$  has

an essential singularity at 0.  $\Leftrightarrow g$  does not have at worst

a pole at 0  $\Leftrightarrow f$  does not have at worst a pole at  $\infty$ .

Recall from Math 220A, Homework 5, Problem 6 that

entire functions with poles at  $\infty$  are polynomials, which is not

the case for  $f$ .

Thus  $g$  has essential singularity at 0. Apply Great

Picard to conclude.

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We showed Great Picard  $\Rightarrow$  Lemma  $\Rightarrow$  Little Picard.

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## Examples

I  $e^f + e^g = 1$ ,  $f, g$  entire  $\Rightarrow f, g$  constant.

Indeed,  $h = e^f$  omits 0 &  $h = 1 - e^g$  also omits 1.

Little Picard  $\Rightarrow h$  constant  $\Rightarrow f, g$  constant.

II  $f^n + g^n = 1$ ,  $n \geq 3$ ,  $f, g$  entire  $\Rightarrow f, g$  constant.

(HWK 6)



*Émile Picard (1856 – 1941) .*

*Known for*

*Picard group*

*Picard's Little and Great theorems*

*Doctoral advisor:*

*Gaston Darboux*

*Doctoral students:*

*Jacques Hadamard*

*Gaston Julia*

*Paul Painlevé*

*Picard made contributions to applied mathematics, telegraphy and elasticity.*

*He wrote one of the first textbooks on the theory of relativity as well as biographies of several French mathematicians.*

*Une fonction entiere, qui ne devient jamais ni  $a$  ni  $b$  est  
nécessairement une constante.*

*(An entire function which is never equal to either  $a$  or  $b$  must be  
constant.)*

*E. Picard, 1879.*

## § 2. Proof of Little Picard

Step A Landau's lemma — Conway X11.2

Step B due to Bloch — Conway X11.1

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Assume  $\exists f: \mathbb{C} \rightarrow \mathbb{C}$  entire, not constant, omits 0 & 1.

Step A produces a function  $g$  entire and  $\alpha > 0$  with

$\Delta \not\subset \text{Im } g$  for all discs  $\Delta$  of radius  $\alpha$

Step B For any  $g$  entire & not constant,  $\text{Im } g$  contains a disc of any radius, in particular of radius  $\alpha$ .

Step A & Step B are incompatible, showing  $f$  does not exist  $\Rightarrow$  Little Picard.

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## Landau's Lemma

Let  $h: G \rightarrow \mathbb{C}$  holomorphic,  $G$  simply connected

Assume  $h$  omits  $-1$  &  $1$ . Then  $\exists F: G \rightarrow \mathbb{C}$  holomorphic

such that  $h = \cos F$ .

Proof Note  $1 - h^2$  is nowhere zero in  $G \Rightarrow$  let  $g$  be a

square root of  $1 - h^2 \Rightarrow g^2 + h^2 = 1 \Rightarrow (g + ih)(g - ih) = 1$ .

Note  $g + ih \neq 0$  in  $G \Rightarrow \exists$  logarithm for  $g + ih$ . Write

$$g + ih = e^{iF} \Rightarrow g - ih = \frac{1}{g + ih} = e^{-iF}$$

$$\Rightarrow g = \frac{1}{2} (e^{iF} + e^{-iF}) = \cos F.$$

Remark In our case  $f$  entire, omits 0 & 1  $\Rightarrow$

$\Rightarrow 2f-1$  omits  $-1$  &  $1 \Rightarrow$  by Landau

$\Rightarrow 2f-1 = \cos \pi F$  &  $F$  entire.

Since  $\cos \pi F = 2f-1 \neq \pm 1 \Rightarrow F$  omits all integers.

Thus  $F$  omits  $-1$  &  $1$  and by Landau again

$\Rightarrow F = \cos \pi g$  &  $\cos \pi g$  is never an integer.

Conclusion

$$f = \frac{1}{2} (1 + \cos \pi F) = \frac{1}{2} (1 + \cos \pi \cos \pi g).$$

Define

$$A = \left\{ m \pm \frac{i}{\pi} \log(n + \sqrt{n^2 - 1}) : n \in \mathbb{Z}_{>0}, m \in \mathbb{Z} \right\}$$

Let  $\alpha_{mn}^{\pm} = m \pm \frac{i}{\pi} \log(n + \sqrt{n^2 - 1})$ . Note

$$e^{i\pi\alpha_{mn}^+} = e^{i\pi m} \cdot e^{-\log(n + \sqrt{n^2 - 1})} = (-1)^m \frac{1}{n + \sqrt{n^2 - 1}} = (-1)^m (n - \sqrt{n^2 - 1}).$$

$$e^{-i\pi\alpha_{mn}^+} = e^{-i\pi m} \cdot e^{\log(n + \sqrt{n^2 - 1})} = (-1)^m (n + \sqrt{n^2 - 1})$$

$$\Rightarrow \cos \pi \alpha_{mn}^+ = \frac{1}{2} (e^{i\pi\alpha_{mn}^+} + e^{-i\pi\alpha_{mn}^+}) = (-1)^m n \in \mathbb{Z}.$$

(The same argument works for  $\alpha_{mn}^-$ .)

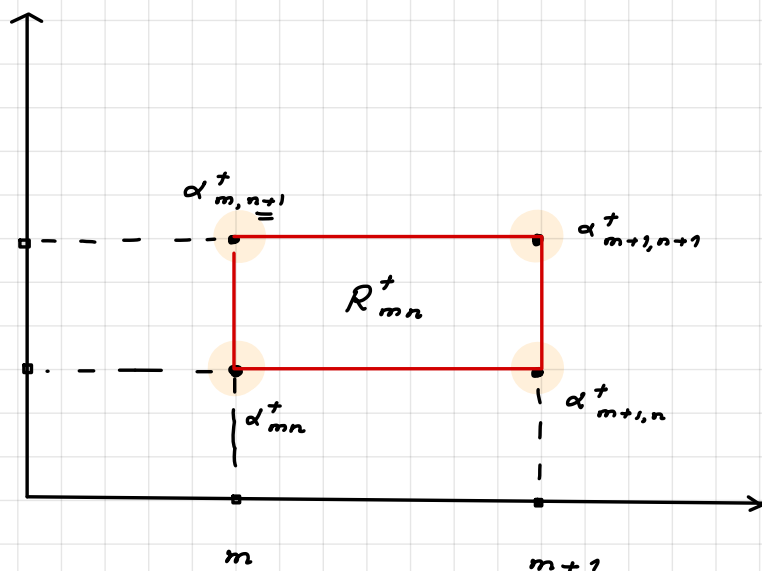
But  $\cos \pi g$  cannot be an integer.

$\Downarrow$

Conclusion

$$A \cap \text{Im} g = \emptyset.$$

Visualize A  $A = \left\{ m + \frac{i}{\pi} \log(n + \sqrt{n^2 - 1}) : n \in \mathbb{Z}_{>0}, m \in \mathbb{Z} \right\}$



The set A gives the vertices of rectangles paving the plane. The upper half plane is paved by rectangles  $R_{mn}^+$

— horizontal side  $(m+1) - m = 1$

— vertical side  $\frac{1}{\pi} \log(n+1 + \sqrt{(n+1)^2 - 1}) - \frac{1}{\pi} \log(n + \sqrt{n^2 - 1}) =$

$$= \frac{1}{\pi} \log \frac{n+1 + \sqrt{(n+1)^2 - 1}}{n + \sqrt{n^2 - 1}} < 1$$

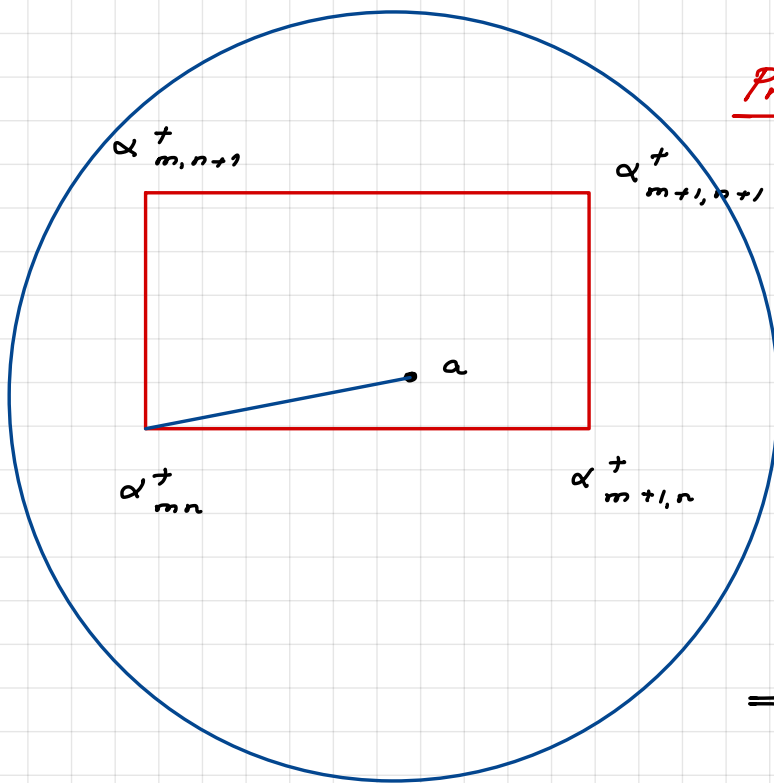
(make  $n \rightarrow \infty$  to see boundedness).

The  $\alpha_{mn}^-$ 's are used to pave the lower half plane.

The **diameter** of  $R_{mn}^+$  is  $< \sqrt{1+m^2}$ . Let  $\alpha = \sqrt{2+m^2}$



Claim If  $\Delta$  is any disc of radius  $\alpha$  then  $\Delta \not\subset \text{Im} g$ .



Proof Let  $a$  be the center of  $\Delta$  located say in the upper half plane. Then  $a \in R_{mn}^+$ .

$$\Rightarrow |a - \alpha_{mn}^+| < \text{diameter}(R_{mn}^+) < \alpha$$

$$\Rightarrow \alpha_{mn}^+ \in \Delta.$$

We have seen  $\alpha_{mn}^+ \not\subset \text{Im} g$ . Thus  $\Delta \not\subset \text{Im} g$ .

This completes the proof of Step A. Step B will be discussed next.



*Edmund Landau  
(1877 – 1938)*

*Big O notation*

*Landau's problems (ICM 1912)*

*Goldbach's conjecture*

*Twin prime conjecture*

*Legendre's conjecture: Does there always exist at least one prime between consecutive perfect squares?*

*Are there infinitely many primes of the form  $n^2 + 1$ ?*