

## HW6 - SOLUTIONS

**Q1.** *Biholomorphic rectangles.*

(i) The map  $\ell(w) = a' - w$  is a linear automorphism of  $\mathbb{C}$  and

$$\begin{aligned}\ell(0) &= a' & \ell(a') &= 0 \\ \ell(b'i) &= a' - b'i & \ell(a' + b'i) &= -b'i.\end{aligned}$$

Thus  $\ell$  maps  $R_{a',b'}$  to  $R_{a',-b'}$ . Moreover, the first row of the above equation tells us that the horizontal side  $S'$  of  $R_{a',b'}$  is mapped to the horizontal side  $S'$  of  $R_{a',-b'}$ . Thus composition  $\ell \circ f : R_{a,b} \rightarrow R_{a',-b'}$  is a biholomorphism mapping  $S$  to the horizontal side  $S'$  of  $R_{a',-b'}$  and satisfies

$$\begin{aligned}\ell \circ f(0) &= \ell(a') = 0 \\ \ell \circ f(a) &= \ell(0) = a' .\end{aligned}$$

The case proved in class gives us  $\ell \circ f(z) = \alpha z$ , where

$$\alpha = \frac{a'}{a} = \frac{-b'}{b}.$$

(ii) The linear holomorphic maps

$$\ell_1(w) = w - ib' \quad : \quad R_{a',b'} \rightarrow R_{a',-b'} \quad (0.1)$$

$$\ell_2(w) = iw \quad : \quad R_{a',b'} \rightarrow R_{-b',a'} \quad (0.2)$$

$$\ell_3(w) = i(w - a') \quad : \quad R_{a',b'} \rightarrow R_{-b',-a'} \quad (0.3)$$

sends the three sides  $[ib', a' + ib']$ ,  $[0, ib']$  and  $[a', a' + ib']$  to the horizontal side lying on the real axis of the target rectangles  $R_{a',-b'}$ ,  $R_{-b',a'}$  and  $R_{-b',-a'}$  respectively.

By considering  $\ell_k \circ f$ , for appropriate  $k$ , we may assume that  $S$  maps to  $S'$ . For instance, if we use  $\ell_3$ , we obtain that

$$\ell_3 \circ f : R_{a,b} \rightarrow R_{-b',-a'}$$

so that via (i) we have

$$\frac{-b'}{-a'} = \frac{a}{b} \implies aa' = bb'.$$

After accounting for all cases, we obtain

$$\frac{a'}{a} = \pm \frac{b'}{b} \quad \text{or} \quad aa' = \pm bb'.$$

**Q2.** *Schwarz Reflection across arcs.*

- (i) Observe that  $w \in U = \{z : 1 < |z| < R\}$  if and only if  $1/R < |1/\bar{w}| < 1$ .  
Thus

$$U^* = \{z : 1/R < |z| < 1\}.$$

- (ii) For any  $z \in U$ ,  $|z| > 1$ , thus  $U^* = \{z : 1/\bar{z} \in U\}$  is a subset of  $\Delta \setminus \{0\}$ .

Note that the reflection,  $z \rightarrow \bar{z}$ , is an open map (it suffices to check that images of open discs are open discs which is clear). The inverse map,  $z \rightarrow 1/\bar{z}$ , is a bi-holomorphism from  $\mathbb{C}^* \rightarrow \mathbb{C}^*$ , hence an open map as well. Therefore their composition,  $z \rightarrow 1/\bar{z}$ , from  $\mathbb{C}^* \rightarrow \mathbb{C}^*$  is an open map. Thus  $U^*$  is an open subset of  $\Delta \setminus \{0\}$ .

- (iii) Let  $\phi : \widehat{\mathbb{C}} \rightarrow \widehat{\mathbb{C}}$  be the Möbius transformation given by

$$\phi(z) = i \frac{z+1}{z-1}, \quad \phi^{-1}(w) = \frac{w+i}{w-i}.$$

Note that  $\phi$  maps  $\Delta(0, 1)$  to the lower half plane, and outside of the circle to the upper half plane. Moreover, we observe the following useful relation

$$\overline{\phi(z)} = \phi\left(\frac{1}{\bar{z}}\right).$$

Let  $W = \phi(U)$  and  $W^* = \phi(U^*)$ . For any element  $w \in W$ , let  $z = \phi^{-1}(w) \in U$ , then

$$\phi\left(\frac{1}{\bar{z}}\right) = \bar{w}.$$

Note

$$\frac{1}{\bar{z}} \in U^* \implies \bar{w} \in W^*.$$

Thus,  $W^*$  is the reflection of  $W$  along the real line and we have the following commuting biholomorphisms :

$$\begin{array}{ccc} U & \xrightarrow{\phi} & W \\ \downarrow 1/\bar{z} & & \downarrow \bar{w} \\ U^* & \xrightarrow{\phi} & W^* \end{array}.$$

Define  $g = \phi \circ f \circ \phi^{-1} : W \rightarrow \mathbb{C}$ . By Schwarz reflection principle, we know that the function

$$g^*(w) = \overline{g(\bar{w})}$$

is holomorphic on  $W^*$ . Hence, using the useful relation  $\phi^{-1}(\overline{\phi(\bar{z})}) = 1/\bar{z}$  mentioned above, the function

$$\phi^{-1} \circ g^* \circ \phi(z) = \phi^{-1}\left(\overline{\phi \circ f \circ \phi^{-1}(\overline{\phi(z)})}\right) \quad (0.4)$$

$$= 1/\overline{f(1/\bar{z})}. \quad (0.5)$$

is holomorphic on  $U^*$ .

- (iv) An open set  $V$  is symmetric with respect to an arc, if  $1/\bar{z} \in V$  for any  $z \in V$ . Define

$$U = V \cap \{|z| > 1\} \quad (0.6)$$

$$U^0 = V \cap \{|z| = 1\} \quad (0.7)$$

$$U^* = V \cap \{|z| < 1\}. \quad (0.8)$$

Note that  $|z| = 1$  if and only if  $z$  is fixed under the reflection (across the arc)

$$z \rightarrow \frac{1}{\bar{z}}.$$

- (v) Given a holomorphic function  $f$  on  $V^+$ , such that  $f$  extends continuously to  $U^0$  and

$$|f(z)| = 1 \quad \forall z \in U^0,$$

define

$$F(z) = \begin{cases} f(z) & \text{if } z \in U \\ f(z) & \text{if } z \in U^0 \\ 1/\overline{f(1/\bar{z})} & \text{if } z \in U^* \end{cases} \quad (0.9)$$

**Theorem 0.1.** *The function  $V \rightarrow \mathbb{C}$  is a holomorphic extension of  $f$  beyond the boundary  $U^0$ .*

**Proof.** We will use the notations from part (iii). Let

$$\phi(V) = W \cup W^0 \cup W^*,$$

where  $W$ ,  $W^0$  and  $W^*$  be the intersection of  $\phi(V)$  with  $\mathfrak{h}^+$ , the real axis  $\{w : \text{Im } w = 0\}$  and  $\mathfrak{h}^-$  respectively.

We see that  $g$  is a holomorphic function on  $W$  extends continuously to  $W^0$  and  $g(w)$  is real for real numbers  $w$ :  $\phi$  maps the unit circle to the real line.

By the usual Schwarz reflection principle (along real line), the function

$$G(w) = \begin{cases} g(w) & \text{if } w \in W \\ g(w) & \text{if } w \in W^0 \\ g^*(z) = \overline{g(\bar{w})} & \text{if } w \in W^* \end{cases} \quad (0.10)$$

is holomorphic. We finish the proof by observing that  $\phi^{-1} \circ G \circ \phi = F$ . ■

*Remark 0.2.* We may extend generalize the statement of the above theorem to reflect about an arc in the circle  $C = \{z : |z| = r\}$ , where the function maps to  $C' = \{w : |w| = R\}$ . This is simply done by scaling the domain and the range by  $1/r$  and  $1/R$  on respectively.

We say that  $V$  is symmetric about  $C$ , if it is closed under the composition of map

$$z \rightarrow \frac{z}{r} \rightarrow \frac{r}{\bar{z}} \rightarrow \frac{r^2}{\bar{z}}.$$

Here, the first and last map is scaling by  $1/r$  and  $r$  respectively, and the middle one is  $z \rightarrow 1/\bar{z}$ . It is clear that the circle  $C$  is fixed under the above composition.

Let  $U$ ,  $U^0$  and  $U^*$  be the intersection of  $V$  with outside of  $C$ ,  $C$  and inside of  $C$ . Then any holomorphic function,  $f$  on  $U$  which extends continuously to  $C$  and satisfy  $|f(z)| = R$  admits the holomorphic extension to  $V$  defined by

$$F(z) = \begin{cases} f(z) & \text{if } z \in U \\ f(z) & \text{if } z \in U^0 \\ R^2/\overline{f(r^2/\bar{z})} & \text{if } z \in U^* \end{cases} \quad (0.11)$$

**Q3.** *Schwarz Reflection and Conformal Annuli.*

- (i) By the open mapping theorem,  $f(A_1) \subset A_2$ , thus  $f^{-1}(\partial A_2) \subset \partial A_1$ . The inverse map

$$g = f^{-1} : \bar{A}_2 \rightarrow \bar{A}_1$$

is necessarily continuous since the preimages of closed sets in  $\bar{A}_1$  (which are automatically compact) under  $g = f^{-1}$  are closed in  $\bar{A}_2$ . (Indeed, these are just the images of compact sets under  $f$  which are compact hence closed). Let  $C$  be one of the boundary circles of  $\partial A_2$ . Then  $g(C)$  must be a connected subset of  $\partial A_1$  so it must be contained in one of the boundary circles  $D$ . Thus  $g = f^{-1} : C \rightarrow D$  is an injective continuous maps between circles. Such a map is necessarily surjective  $g(C) = D$  by the Lemma below.

In a similar fashion, the other boundary circle  $C'$  of  $\partial A_2$  must map bijectively under  $g$  to the other boundary circle  $D'$  of  $\partial A_1$ . This shows

$$g(\partial A_1) = \partial A_2 \iff f(\partial A_2) = \partial A_1.$$

**Lemma 0.3.** *Any injective continuous map,  $\phi : C \rightarrow D$ , from a circle to a circle is a surjection.*

**Proof.** Assume  $p \notin E = \phi(C)$ . The image  $E = \phi(C)$  must be connected and compact, hence it must be a closed arc of  $D \setminus \{p\}$ . The map  $\phi^{-1} : E \rightarrow C$  is necessarily continuous since preimages of closed (hence compact) sets under  $\phi^{-1}$  are closed and compact, being just images of compact sets under  $\phi$ . This shows that the circle  $C$  is homeomorphic to a closed arc of the circle. This is impossible since  $C$  is not simply connected, while the arc is. ■

- (ii) We shall prove this by successively reflecting across the inner circle of the annulus.

Let  $f_1 : \Delta(0; 1/r, 1) \rightarrow \Delta(0; 1/R, 1)$  be the defined by reflecting  $f$  across  $|z| = 1$ . Using the defining equation, we note that  $f_1$  extends to a bijective continuous map from  $\bar{\Delta}(0; 1/r, 1)$  to  $\bar{\Delta}(0; 1/R, 1)$ , which is holomorphic in the interior.

Let  $r_n = 1/r^{2^n}$  and  $R_n = 1/R^{2^n}$ . Suppose we have a bijective continuous map

$$f_n : \overline{\Delta}(0; r_n, 1) \rightarrow \overline{\Delta}(0; R_n, 1),$$

which is holomorphic in the interior. Using the arguments in part (i), the circle  $\{|z| = r_n\}$  maps to  $\{|z| = R_n\}$ . We now apply the remark in Problem 2-(v) to extend  $f_n$  across the circle  $\{|z| = r_n\}$ .

Observe that if  $U = \Delta(0; r_n, 1)$ , its reflection

$$U^* = \left\{ z : \frac{r_n^2}{\bar{z}} \in U \right\} = \Delta(0; r_{n+1}, r_n).$$

We may extend  $f_n$  to the function  $f_{n+1} : \Delta(0; r_{n+1}, 1) \rightarrow \Delta(0; R_{n+1}, 1)$  defined by

$$f_{n+1}(z) = \begin{cases} f(z) & \text{if } z \in U \\ f(z) & \text{if } |z| = r_n \\ R_n^2 / \overline{f(r_n^2/\bar{z})} & \text{if } z \in U^* \end{cases} \quad (0.12)$$

From the equations above, we see that the  $f_{n+1}$  is a bijective holomorphic map to  $\Delta(0; R_{n+1}, 1)$ , that extends continuously and bijectively to the boundary.

We can continue this process for any number of times and the maps agree on the intersection. Thus we obtain a bijective holomorphic (hence biholomorphic) extension  $f^+ : \Delta \setminus \{0\} \rightarrow \Delta \setminus \{0\}$ .

- (iii) We have seen in Lecture 16 that the only automorphisms of  $\Delta \setminus \{0\}$  are rotations. In particular,  $f^+$  must map the circle  $\{|z| = r_0\}$  to the circle  $\{|z| = R_0\}$ , thus  $r_0 = 1/r = 1/R = R_0$ . Hence  $r = R$ .
- (iv) Suppose  $\{|z| = 1\}$  is mapped to  $\{|z| = R\}$ . Note that the function  $z \rightarrow R/z$  is a bijective continuous map from  $\overline{A_2} \rightarrow \overline{A_2}$ , which is holomorphic in the interior and maps  $\{|z| = R\} \rightarrow \{|z| = 1\}$ . Thus the function  $g(z) = R/f(z) : \overline{A_1} \rightarrow \overline{A_2}$  is a bijective continuous map, which is holomorphic in the interior and it maps  $\{|z| = 1\}$  to  $\{|z| = 1\}$ . From part (iii), we conclude that  $r = R$ .