

Math 220B - Lecture 6

January 15, 2021

# 1. The Weierstrass Problem Conway VII.5.

Given ii  $\{a_n\}$  distinct,  $a_n \rightarrow \infty$ .

ii  $\{m_n\}$  positive integers

find entire functions  $f$  with zeros only at  $a_n$  of order  $m_n$ .

Remark This also makes sense for arbitrary regions  $u \subseteq \mathbb{C}$

## Main Theorem

The Weierstrass problem is always solvable in  $\mathbb{C}$ .

Henceforth,  $\{a_n\}$  will be an infinite sequence. The finite case is

easy.

Corollary Every meromorphic function in  $\mathbb{C}$  is quotient of two entire functions.

Proof Let  $h$  be meromorphic. Let  $P$  be the collection of poles of  $h$  listed with multiplicity. Let  $g$  be the solution to the Weierstrass problem for  $P$ .

(The set  $P$  has no limit point in  $\mathbb{C}$ . By Remark 11

the hypothesis of Weierstrass is satisfied.) Then  $f$  is

entire. &  $h = \frac{f}{g}$ .

Remarks ii Any two solutions  $f_1$  &  $f_2$

$$f_1 = e^{\lambda} f_2, \quad \lambda \text{ entire.}$$

iii If  $\{a_n\}$  has no limit point in  $\mathbb{C}$  then  $a_n \rightarrow \infty$ .

Indeed, if not,  $\exists r > 0$  such that  $\forall N \exists n \geq N, |a_n| \leq r$ .

This means  $\exists$  subsequence of  $\{a_n\}$  bounded by  $r$ . Since  $\bar{D}(0, r)$  compact, this will have a convergent subsequence, with limit  $a \in \mathbb{C}$ .

iii Repetitions & zero terms.

We will agree from now on that  $\{a_n\}$  may contain repetitions. That is, by relabelling we can repeat each zero as many times as their multiplicity.

We assume  $a_n \neq 0 \forall n$ . If 0 is a zero for  $f$ , we will add it via multiplication by  $z^m$  at the end.

## 2. Solution to the Weierstrass Problem

Naive Attempt: We could try  $\prod_{n=1}^{\infty} \left(1 - \frac{z}{a_n}\right)$

Issue: Convergence!

Idea Try  $f(z) = \prod_{n=1}^{\infty} f_n(z)$  where

$f_n$  has zero at  $a_n$ . e.g.  $f_n(z) = \left(1 - \frac{z}{a_n}\right) e^{h_n}$

Hope  $f(z) = \prod_{n=1}^{\infty} \left(1 - \frac{z}{a_n}\right) e^{h_n}$  converges.

For example,

$\prod_{n=1}^{\infty} \left(1 + \frac{z}{n}\right)$  does not converge

$\prod_{n=1}^{\infty} \left(1 + \frac{z}{n}\right) e^{-z/n} = G(z)$  does converge.

## Weierstrass elementary / primary factors

Define

$$E_p(z) = \begin{cases} 1 - z & \text{if } p = 0 \\ (1 - z) \exp\left(z + \frac{z^2}{2} + \dots + \frac{z^p}{p}\right) & \text{if } p > 0. \end{cases}$$

$\Rightarrow E_p$  is entire.

Remark Zero of  $E_p(z)$  is at  $z = 1$ .

$\Rightarrow E_p\left(\frac{z}{a}\right)$  has a simple zero at  $z = a$ .

We look for an answer of the form

$$(*) \quad f(z) = \prod_{n=1}^{\infty} E_{p_n}\left(\frac{z}{a_n}\right) \quad \text{for suitable } p_n \geq 0$$

$\swarrow$  zeros of  $f$  are at  $a_n$ .

Issue: Can we pick  $p_n$  such that  $(*)$  converges absolutely & locally uniformly.

Recall:  $\sum_{n=1}^{\infty} |f_n|$  converges locally uniformly  $\Rightarrow \prod_{n=1}^{\infty} (1 + f_n)$ .

converges absolutely locally uniformly.

We wish to use this for  $f_n = E_{p_n}\left(\frac{z}{a_n}\right) - 1$ .

## Growth of the elementary factors

Lemma  $|1 - E_p(2)| \leq |2|^{p+1}$  if  $|2| \leq 1$ .

Proof The proof will be given next time.

Lemma Given  $a_n \rightarrow \infty$ ,  $a_n \neq 0$ ,  $\exists p_n$  natural numbers (not unique) such that

$$\forall r > 0 \Rightarrow \sum_{n=1}^{\infty} \left( \frac{r}{|a_n|} \right)^{p_n+1} < \infty.$$

Proof

For instance, take  $p_n = n-1$ . Let  $r > 0$ .

Since  $a_n \rightarrow \infty$ ,  $\exists N$  such that  $|a_n| \geq \frac{r}{2}$  if  $n \geq N$ .

$$\Rightarrow \frac{r}{|a_n|} \leq \frac{1}{2} \Rightarrow \left( \frac{r}{|a_n|} \right)^n \leq \frac{1}{2^n}.$$

Since  $\sum_{n=1}^{\infty} \frac{1}{2^n} < \infty \Rightarrow \sum_{n=1}^{\infty} \left( \frac{r}{|a_n|} \right)^n < \infty$  by comparison test.

## Weierstrass Factorization

Thm Let  $a_n \rightarrow \infty$ ,  $a_n \neq 0$ . Pick  $p_n$  as in the previous lemma:

$$\forall r > 0 \Rightarrow \sum_{n=1}^{\infty} \left( \frac{r}{|a_n|} \right)^{p_n+1} < \infty.$$

Then  $\prod_{n=1}^{\infty} E_{p_n} \left( \frac{z}{a_n} \right)$  converges absolutely & locally uniformly

to an entire function with zeroes at  $a_n$  and no other zeroes.

Proof Let  $f_n = E_{p_n} \left( \frac{z}{a_n} \right) - 1$ . Pick  $K$  compact,  $K \subseteq \Delta(0, r)$ .

for some  $r$ . We will argue that  $\prod_{n=1}^{\infty} (1 + f_n)$  converges *locally uniformly*.

It suffices to show  $\sum_{n=1}^{\infty} |f_n|$  converges uniformly on  $\Delta(0, r)$ .

Now for  $\Delta(0, r)$ :

1<sup>st</sup> Lemma

$$|f_n(z)| = \left| E_{p_n} \left( \frac{z}{a_n} \right) - 1 \right| \leq \left| \frac{z}{a_n} \right|^{p_n+1} \leq \left( \frac{r}{|a_n|} \right)^{p_n+1}.$$

This requires  $\left| \frac{z}{a_n} \right| \leq \frac{r}{|a_n|} \leq 1$  which is true for  $n \geq N$  since  $a_n \rightarrow \infty$ .

Since  $\sum_{n=1}^{\infty} \left( \frac{r}{|a_n|} \right)^{p_n+1} < \infty \Rightarrow$  Weierstrass M-test  $\sum_{n=1}^{\infty} |f_n|$  converges

uniformly in  $\Delta(0, r)$ , as needed



→  $\prod_{n=1}^{\infty} E_{p_n}\left(\frac{z}{a_n}\right)$  converges absolutely & locally uniformly

The statement about zeroes follows from Lecture 3 & the fact that  $E_{p_n}\left(\frac{z}{a_n}\right)$  vanishes only at  $z = a_n$ .

Corollary Any (not identically 0) entire function can be written as

$$f(z) = z^m e^h \prod_n E_{p_n}\left(\frac{z}{a_n}\right), \quad h = \text{entire}.$$

for a non-unique choice of  $p_n$  &  $h$ .

Remark For the same function  $f$ , several  $p_n$ 's may work.

Changing  $p_n$  into  $\tilde{p}_n$  can be absorbed in the exponential.

Proof WLOG we may assume  $f(0) \neq 0$ . Else if  $\text{ord}(f, 0) = m$  we add the factor  $z^m$ .

Let  $\{a_n\}$  be the zeroes of  $f$  listed with multiplicity.

Both  $f$  and  $\prod_n E_{p_n}\left(\frac{z}{a_n}\right)$  solve the Weierstrass problem.

Apply Remark L to conclude.

Remark Weierstrass' theorem allows us to define functions which were not even hinted at before.

Poincaré: "Weierstrass' most important contribution to the theory of complex variables is the discovery of primary factors."

## Example

$$\boxed{\text{I}} \quad Q(z) = \prod_{k=1}^{\infty} (1 + z^{2k}) = \prod_{k=1}^{\infty} E_0(-z^{2k})$$

Note  $p_k = 0$  &  $\sum_{k=1}^{\infty} (z^{2k})^{p_k+1} < \infty$ . so the hypothesis of

Weierstrass factorization holds.

$$\boxed{\text{II}} \quad G(z) = \prod_{k=1}^{\infty} \left(1 + \frac{z}{k}\right) e^{-\frac{z}{k}} = \prod_{k=1}^{\infty} E_1\left(-\frac{z}{k}\right).$$

Note  $p_k = 1$  &  $\sum_{k=1}^{\infty} \left(\frac{z}{k}\right)^{p_k+1} < \infty$ .

$$\begin{aligned} \boxed{\text{III}} \quad \sin \pi z &= \pi z \prod_{k=1}^{\infty} \left(1 - \frac{z^2}{k^2}\right) \\ &= \pi z \prod_{k=1}^{\infty} \left(1 - \frac{z}{k}\right) e^{\frac{z}{k}} \left(1 + \frac{z}{k}\right) e^{-\frac{z}{k}} \\ &= \pi z \prod_{\substack{k=-\infty \\ k \neq 0}}^{\infty} E_1\left(\frac{z}{k}\right) \end{aligned}$$

IV Do we get any new examples we didn't know?

Yes. See hwk for the Weierstrass  $\gamma$ -function.